

PROJECT MEMORANDUM

RAND

SSA Program Data User's Manual

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The information in this user's manual comes in part from the experiences of researchers who have used the data. You can contribute to the development and improvement of future editions by sharing insights into the data that you have gained through your own experiences. See page 593 for how to feed information back to the editors.

Preface

This report documents program files of the Social Security Administration (SSA) for research purposes. It has been compiled primarily through extensive interviews with many analysts, programmers, and other staff members in the Office of Research, Evaluation, and Statistics (ORES), Office of Systems Requirements (OSR), Office of Systems Design and Development (OSDD), Office of Program Benefits (OPB), Office of the Chief Actuary (OACT), and SSA field offices.

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Disclaimer

This user's manual has been compiled from previously existing written documentation and many interviews with analysts at SSA and elsewhere. At times, we were confronted with incomplete or conflicting information. While we have gone through considerable effort to collect reliable information, the possibility remains that some portions of this user's manual are inaccurate. Neither the authors nor SSA can assume liability for the validity of all materials or for the consequences of their use.

To resolve ambiguous or suspect information, please contact a member of SSA's Data Linkage Team, Office of Research, Evaluation and Statistics, 4-C-15 Operations Building, 6401 Security Boulevard, Baltimore, MD 21235-6401. The telephone number is 410.965.5530.

To help correct erroneous information in future editions, see page 593.

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Acronyms

AGI	Adjusted Gross Income
AHEAD	Asset and Health Dynamics survey
AIME	Average Indexed Monthly Earnings
ALC	Agency Location Code
AME	Average Monthly Earnings
CDR	Continuing Disability Review
CER	Characteristic Extract Record
COLA	Cost of Living Adjustment
CPS	Current population Survey
CWHS	Continuous Work History Sample
DAA	Drug Addiction and Alcoholism
DCFAM	Deputy Commissioner for Finance, Assessment and Management
DDA	Dimes Deduction Amount
DDS	Disability Determination Services
DE	Dual Entitlement
DI	Disability Insurance
DIB	Disability Insurance Benefit
DLT	Data Linkage Team
DRSA	Division of RSDI Research Statistics and Analysis
DSSA	Division of SSI Statistics and Analysis
DUMAS	Daily Update and Master Accounting System
EBCDIC	Extended Binary-Coded Decimal Interchange Code
EEST	Employment and Earnings Statistics Team
EIN	Employer Identification Number
FERS	Federal Employees Retirement System
FICA	Federal Insurance Contributions Act
FRA	Full Retirement Age (see Normal Retirement Age)
HCFA	Health Care Financing Administration
HI	Hospital Insurance
HRS	Health and Retirement Study
IRS	Internal Revenue Service
MBR	Master Beneficiary Record
MEF	Master Earnings File
MOA	Memorandum of Agreement
MQGE	Medicare Qualified Government Employee
MSSICS	Modernized Supplemental Security Income System
NDDSS	National Disability Determination Services System
NRA	Normal Retirement Age (also referred to as Full Retirement Age)
OACT	Office of the Chief Actuary
OASDI	Old-Age, Survivors, and Disability Insurance
OASI	Old-Age and Survivors Insurance
OD	Office of Disability
OF	Office of Finance

OIM	Office of Information Management
ORES	Office of Research, Evaluation and Statistics
OS	Office of Systems
OSDD	Office of Systems Design and Development
OSO	Office of System Operations
OSR	Office of Systems Requirements
PIA	Primary Insurance Amount
QC	Quarters of Coverage
REMICS	Revised Management Information Counts System
RETAP	Regular Transcript Selection and Attainment Pass
RRB	Railroad Retirement Benefit
RSDI	Retirement, Survivors, and Disability Insurance
SECA	Self-Employment Contributions Act
SGA	Substantial Gainful Activity
SIPP	Survey of Income and Program Participation
SMI	Supplemental Medical Insurance
SSA	Social Security Administration
SSI	Supplemental Security Income
SSN	Social Security Number
SSR	Supplemental Security Record
TRA	Tax Reform Act
UI	Unemployment Insurance

Glossary

A benefit	Primary benefit, i.e., based on the claimant's own earnings history.
Beneficiary	Individual who receives OASDI benefits. Also see Recipient.
B benefit	Spousal benefit, based on the earnings of a living worker.
C benefit	Child's benefit.
Data element	Field or variable on a data file.
D benefit	Benefit for aged widow(er) or nondisabled widow(er) age 60 or older.
Deeming	Term used in the SSI program when the income and resources of people responsible for the welfare of an eligible individual are considered in determining the eligibility and payment amount for an SSI recipient. See Section 6.1.3, in particular page 255.
Dual entitlement	Dual entitlement occurs where a beneficiary is eligible for benefits on the basis of more than one account. For example, a woman may claim Old-Age and Survivors Insurance (OASI) benefits based on both her own and her husband's earnings history. See Section 5.1.3, in particular pages 50 and following.
E benefit	Benefit for mother or father of an eligible worker.
F benefit	Benefit for parent of a deceased worker.
Field office	Generic term for local SSA office where SSA clients may communicate in person with SSA staff. A field office may be a district office, branch office, resident station, teleservice center, or contact station.
Offset	Reduction in benefit because of benefits received from other pension sources or worker's compensation.
Recipient	Individual who receives SSI benefits. Also see Beneficiary.
Title II	Section of Social Security Act legislating Old-age and Survivors Insurance and Disability Insurance (OASDI). (42 USC, Chapter 7, Subchapter 2.)
Title XVI	Section of the Social Security Act legislating Supplemental Security Income (SSI). (42 USC, Chapter 7, Subchapter 16.)
W benefit	Benefit for disabled widow(er)

1. Introduction

1.1. Background

The Social Security Administration (SSA) is responsible for administering two cash benefit programs, notably the Old-Age, Survivors, and Disability Insurance (OASDI) and Supplemental Security Income (SSI) programs. To carry out this task, SSA maintains a number of files with detailed information on individuals and their characteristics, such as demographics, employment, earnings, assets, disability diagnosis, location, and other information. While designed for SSA to carry out its administrative tasks, the data files offer great informational depth to researchers interested in SSA's programs and other research areas. This user's manual is intended for such researchers, both inside and outside of SSA. It documents data files and data elements that are of greatest interest for research purposes.

SSA's data files are governed by strict confidentiality restrictions and are not publicly accessible. SSA's Office of Research, Evaluation, and Statistics (ORES) is the primary interface for researchers, either within SSA or outside of it, who seek access to SSA's program files. This user's manual explains the procedures for obtaining program data and the restrictions on their use.

The following master files contain in-depth information on individuals:

- The Master Beneficiary Record (MBR) is the main file administering OASI and DI (OASDI) payments. It contains one record for each of about 98 million claim records and covers roughly 142 million beneficiaries. See Chapter 5.
- The Supplemental Security Record (SSR) is the main file for administering the SSI program. It has about 43 million household records, covering over 60 million persons. See Chapter 6.
- The Master File of Social Security Number Holders and Applications, better known as the Number Identification (Numident) file, contains records of applications for original and replacement Social Security cards, including name, Social Security Number (SSN), date and place of birth and death, and other personal information. Records are initiated by Social Security card applications; record updates, such as with date of death, may come from many sources. The unit of observation is an SSN. There are almost 400 million SSN numbers. See Chapter 7.
- The Master Earnings File (MEF) contains one record for each issued SSN. It includes entries for earnings of each calendar year since 1951 and additional related information. The MEF record contains identifying information

(including sex, race, date of birth, and date of death), first year of earnings, last year of earnings, other indicators (such as multiple SSNs, railroad, self-employment, military, and agricultural), and summary and detailed earnings data. See Chapter 8.

- The National Disability Determination Services System (NDDSS) tracks cases as they are processed by the Disability Determination Services (DDSs). The Office of Disability (OD) maintains a number of extract files which are accessible to and used by ORES staff. These files are known as 831 Disability files, because the main sources of NDDSS data are the disability determination forms SSA-831, SSA-832, and SSA-833. These forms report the process and outcome of the DDS evaluation of a claimant's disability on application for benefits or on review of the continuance of the disability. NDDSS processes both DI and SSI disability claims. See Chapter 9.
- The Continuous Work History Sample (CWHS) is a 1 percent sample of the MEF that combines earnings data from the MEF with some benefit data from the MBR. Additionally, the CWHS has data fields created from the MEF and MBR that reflect an annual view of benefit and insured status for the sample cases. See Chapter 10.

The MBR, SSR, Numident, and MEF are program files, constructed and maintained for program administration purposes. The 831 Disability files and CWHS are analytical files, constructed and updated for research purposes.

Researchers are not given direct access to the MBR, SSR, Numident, and MEF. Instead, they may be given copies of extract files, which contain subsets of data elements with relevance to research. SSA creates many extract files on a regular basis, such as quarterly or annually. In this user's manual, these extract files are referred to as recurring extract files. Most recurring extract files contain only a subset of the master file population, selected based on characteristics (such as age or marital status) or based on an SSN digit pattern that yields a pseudo-random selection of some fraction of the population. Full population or universal (100 percent) recurring extracts exist for the MBR and SSR. This user's manual documents existing recurring extract files, including their sample selection criteria and data element list. This user's manual does not document all data elements. We have attempted to capture all data elements that bear relevance to current research issues.

Some research projects require data that cannot be found on, or derived from, existing recurring extract files. In such cases, the researcher may request that ORES access master files directly, either by extracting data elements for a specific list of SSNs through a finder process or by developing and submitting custom programs.

1.2. Organization of This Document

The remainder of this user's manual is organized as follows. Chapter 2 provides background on the master files in connection to the OASDI and SSI programs. Chapter 3 discusses the process through which researchers may gain access to SSA administrative data, including application procedures, cost of resources, and likely time frame. It also provides guidance on the most promising master files for common research areas. Chapter 4 provides general information on the data dictionaries—presented in Chapters 5 through 10—, including a discussion of special data formats such as date, bit-code, and packed formats.

Chapters 5 through 10 present data dictionaries for the master files, on chapter for each of the master files, focusing on data elements of greatest researcher interest. We first document each element of interest in the particular master file, including its interpretation, legal values, and idiosyncrasies, if any. We then discuss the key recurring extracts that are derived from the master file, including sample selection criteria and available data elements. Specifically, the data dictionaries are presented as follows: MBR (Chapter 5); SSR (Chapter 6) , Numident (Chapter 7), MEF (Chapter 8); 831 Disability (Chapter 9); and CWHS (Chapter 10).

The appendices contain code listings that are common to both the MBR and SSR. Appendix A contains state and county codes, Appendix B SSA District Office codes, and Appendix C Impairment Codes.

Finally, this user's manual contains several indices of data elements. All six master file chapters contain lists of data elements by research area. The full-name index, starting on page 537, lists the full names of all data element acronyms across all data dictionaries. It contains colloquial names, rather than official full names, of data elements whose full names are rarely used among researchers.

2. Program Descriptions

As described above, researchers routinely use SSA program data to conduct research. However, these data are not created for research purposes. They are collected and used to enable SSA to administer the OASDI and SSI programs. This chapter briefly discusses these two programs—origins, eligibility, and benefits—and their links to the master files. Sections 1.1 and 2.2 summarize the OASDI and SSI programs, respectively. Additional program information may be found in the publications listed in Section 2.3. Section 2.4 relates research areas to master files.

2.1. Old-Age, Survivors, and Disability Insurance

The OASDI program—which is what Social Security means for most Americans—is the largest income-maintenance program in the United States. Based on social insurance principles, the program provides monthly benefits designed to replace, in part, the loss of income resulting from retirement, disability, or death. OASDI has two programs: the Old-Age and Survivors Insurance (OASI) program, introduced in 1935, and the Social Security Disability Insurance (DI) program, introduced in 1956. Both are contained in Title II of the Social Security Act.

Coverage is nearly universal, with about 96 percent of the jobs in the United States covered. Workers finance most of the program through a payroll tax levied under the Federal Insurance Contribution Act (FICA) and Self-Employment Contribution Act (SECA). The revenues are deposited in two trust funds—the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund—which pay benefits and the operating expenses of the program. In 1999, the program’s total income of \$526.6 billion exceeded its total expenditures of \$392.9 billion, thus generating a surplus of \$133.7 billion. Over 98 percent of expenditures consisted of benefit payments. Worker payroll taxes accounted for 87 percent of total income; interest on the trust fund balances generated 11 percent of total income, and taxation of a portion of OASDI benefits generated the remaining 2 percent.

In December 1999, 44.6 million persons were receiving monthly benefits. Approximately 62 percent were retired workers and 10 percent disabled workers. The remainder received benefits based on the earnings records of another. Approximately 7 percent were spouses of retired, deceased, and disabled workers, 9 percent children, and 12 percent widow(er)s and parents.

Coverage

Nearly all work performed by citizens and non-citizens is covered if it is performed within the United States (defined for Social Security purposes to include all 50 states, the District of Columbia, the Commonwealth of Puerto Rico, the territories of Guam and American Samoa, the U.S. Virgin Islands, and the Northern Mariana Islands). In addition, the program covers work performed outside the United States by American citizens or resident aliens who are employed by an American employer, employed by a foreign affiliate of an American employer electing coverage for its employees, or (under certain circumstances) the self-employed.

The majority of workers excluded from OASDI coverage are in four major categories: (1) federal civilian employees hired before January 1, 1984; (2) agricultural workers and domestic workers whose earnings do not meet certain minimum requirements; (3) persons with very low net earnings from self-employment (generally less than \$400 per year); and (4) employees of several state and local governments. Civil service and other government workers are, however, included in Medicare coverage and are therefore present in the MBR.

Benefit Eligibility

To qualify for Social Security a person must be insured for benefits. Most types of benefits require fully insured status, which is obtained by acquiring a certain number of credits (also called quarters of coverage) from earnings in covered employment. The number of credits needed depends on the worker's age and type of benefit.

In the OASI program, persons are fully insured for benefits if they have at least as many credits (acquired at any time after 1936) as the number of full calendar years elapsing after age 21 and before age 62, disability, or death, whichever occurs first. For workers who attained age 21 before 1951, the requirement is one credit for each year after 1950 and before the year of attainment of age 62, disability, or death. Persons reaching age 62 after 1990 need 40 credits to qualify for retirement benefits. In 2000, workers earn one credit for each \$780 of annual earnings, up to a maximum of four credits per year.

For workers who die before acquiring fully insured status, certain survivor benefits are payable if they were currently insured—that is, they acquired 6 credits in the 13-quarter period ending with the quarter in which they died.

The DI program has two criteria for disability benefit eligibility. Workers must both be fully insured and meet a test of substantial recent covered work—that is, they must have credit for work in covered employment for at least 20 quarters of

the 40 calendar quarters ending with the quarter the disability began. Young workers disabled before age 31 may qualify for benefits under a special insured status requirement. They must have credits in one-half the calendar quarters after age 21, up to the date of their disability, or, if disabled before age 24, one-half the quarters in the three years ending with the quarter of disability. Blind workers need only to be fully insured to qualify for benefits.

Disability Determination

For purposes of DI entitlement, disability is defined as “the inability to engage in any substantial gainful activity (SGA) by reason of any medically determinable physical or mental impairment that can be expected to result in death or that has lasted or can be expected to last for a continuous period of not less than 12 months.” A person’s age, education, and work experience are considered along with the medical evidence in making a determination of disability. A less strict rule is provided for blind workers age 55 or older. Such workers are considered disabled if, because of their blindness, they are unable to engage in SGA requiring skills and abilities comparable to those required in their past occupations.

The impairment must be of a degree of severity that renders the individual unable to engage in any kind of substantial gainful work that exists in the national economy, regardless of whether such work exists in the immediate area in which the individual lives, whether a specific job vacancy exists for that person, or whether that person would be hired upon application for the work. The amount of earnings that ordinarily demonstrates SGA is set forth in regulations. For non-blind beneficiaries, earnings averaging more than \$700 a month are presumed to represent SGA, and earnings below \$300 generally indicate the absence of SGA. The SGA level for statutorily blind beneficiaries varies by year and is \$1170 a month in 2000.

Unlike the Old-Age and Survivors Insurance program, which is an entirely federally-administered program, the law mandates federal-state cooperation in carrying out the DI program. Each state’s Disability Determination Services (DDS) develops the medical evidence and makes an initial determination of disability based on federal criteria, after SSA determines that the applicant is insured for benefits. DDS costs are reimbursed to the states by the federal government.

The applicant may appeal an unfavorable decision through a four-step process taken in the following order: a reconsideration of the initial decision; a hearing before an Administrative Law Judge; a review by the Appeals Council; and a civil suit in Federal District Court. A sample of DDS decisions is reviewed by SSA to ensure consistency and conformity with national policies.

Applicants may be referred to the state vocational rehabilitation agency. If they are offered services and refuse them without good reason, benefits may be withheld. SSA pays for the cost of the rehabilitation services if such services result in a beneficiary's return to work at the SGA level for at least 9 continuous months.

OASI Earnings Test

OASI beneficiaries who work after retirement may have some or all benefits withheld, depending on their age and the amount of their annual earnings. Benefits payable to a spouse and/or child may also be reduced or withheld because of the earnings of the retired worker. In 2000, persons age 65 or older receive full benefits regardless of their earnings, while benefits for persons age 62-64 are reduced \$1 for each \$2 of annual earnings in excess of \$10,080. The earnings test also applies to non-disabled auxiliaries of disabled beneficiaries.

Prior to the year 2000, a different earnings test applied to beneficiaries age 65-69. In 1999, benefits for persons age 65-69 were reduced \$1 for each \$3 of earnings above \$15,500.

Benefits Types

Monthly retirement benefits are payable at age 62 but are permanently reduced if claimed before the Normal Retirement Age (NRA). Before the year 2000, the NRA was 65 years; for workers that become eligible for (early) benefits in 2000, it is 65 years and two months; it is scheduled to gradually increase to age 67. Benefits may also be payable to the spouse and children of retired-worker beneficiaries. A spouse receives benefits at age 62 or at any age if he/she is caring for a child under age 16 or disabled. A divorced spouse age 62 or older who had been married to the worker for at least 10 years is also entitled to benefits. If the spouse has been divorced for at least two years, the worker who is eligible for benefits need not be receiving benefits for the former spouse to receive benefits. Benefits are payable to unmarried children under age 18, or age 18-19 if they attend elementary or secondary school full time. A child can be the worker's natural child, adopted child, stepchild, and—under certain circumstances—a grandchild or stepgrandchild. A worker's child age 18 or older may also receive benefits if disabled before age 22.

Monthly benefits are payable to disabled workers after a waiting period of five full calendar months. This rule applies because DI is not intended to cover short-term disabilities. Benefits terminate if the beneficiary medically improves or returns to work (at a substantial gainful activity level) despite the impairment. At NRA, beneficiaries are transferred to the retirement program. Benefits for family

members of a disabled worker are payable under the same conditions as for those of retired workers.

Monthly benefits are payable to survivors of a deceased worker. A widow(er) married to the worker for at least nine months (three in the case of accidental death) may receive an unreduced benefit if claimed at NRA (if the spouse never received a retirement benefit reduced for age). It is permanently reduced if claimed between age 60 and before NRA, and for disabled survivors if claimed at age 50-59. Benefits are payable to a widow(er) or surviving divorced spouse at any age who is caring for a child under age 16 or disabled. A surviving divorced spouse age 60 or older is entitled to benefits if he or she had been married to the worker for at least 10 years. A deceased worker's dependent parent age 62 or older may also be entitled to benefits.

Surviving children of deceased workers may receive benefits if they are under age 18, are full-time elementary or secondary school students age 18-19, or became disabled before age 22.

Benefit Amounts

The OASDI benefit amount is based on covered earnings averaged over a period of time equal to the number of years the worker reasonably could have been expected to work in covered employment. Specifically, the number of years in the averaging period equals the number of full calendar years after 1950 (or, if later, after age 21) and up to the year in which the worker attains age 62, becomes disabled, or dies. In survivor claims, earnings in the year of the worker's death may be included. In general, the highest 35 years are included. The minimum length of the averaging period is two years.

For workers who were first eligible (attained age 62, became disabled, or died) after 1978, the actual earnings are indexed, i.e., updated to reflect increases in average wage levels in the economy. After a worker's average indexed monthly earnings (AIME) have been determined, a benefit formula is applied to determine the worker's primary insurance amount (PIA), on which all Social Security benefits related to the worker's earnings are based. The benefit formula is weighted to replace a higher portion of lower-paid workers' earnings than of higher paid workers' earnings (although higher-paid workers will always receive higher benefits). For persons first eligible before 1979, the actual amount of covered earnings is used in the computations, along with a different PIA formula.

For persons first eligible for benefits in 2000, the formula is:

90 percent of the first \$531 of AIME, plus
32 percent of the next \$2,671 (\$531 to \$3,202) of AIME, plus
15 percent of AIME over \$3,202.

The dollar amounts defining the AIME brackets are adjusted annually based on changes in average wage levels in the economy. As a result, initial benefit amounts will generally keep pace with future increases in wages. A special minimum PIA is payable to persons who have had covered employment or self-employment for many years at low earnings. It applies only if the resulting payment is higher than the benefit computed by the regular formula.

After the initial benefit amount has been determined for the year of first eligibility, the amount is increased automatically each December (payable in the January checks) to reflect any increase in the Consumer Price Index. The 2000 cost-of-living adjustment was 2.4 percent. The benefit may be recomputed if, after retirement, the worker has additional earnings that produce a higher PIA.

The monthly benefit for a worker retiring at NRA is equal to the PIA rounded to the next lower multiple of \$1. For workers retiring before NRA, the benefit is actuarially reduced to account for the longer period over which they will receive benefits. In 1999, a worker who retires at age 62 receives 80 percent of the full benefit amount (20 percent reduction). The benefit is reduced proportionally for each month of entitlement before NRA. A spouse who begins to receive benefits at age 62 receives 75 percent of the amount that would have been payable at NRA; a widow(er) at age 60 will be paid 71.5 percent of the deceased spouse's PIA, as will a disabled widow(er) age 50-59. Starting in the year 2000, the NRA gradually increases to age 67. The reductions for early retirement are proportional to the number of months before NRA and therefore exceed those for 1999 and earlier.

Benefits for eligible family members are based on a percentage of the worker's PIA. A spouse or child may receive a benefit of up to 50 percent of the worker's PIA. A surviving widow's or widower's benefit is equal to as much as 100 percent of the amount of the deceased worker's PIA. The benefit of a surviving child is 75 percent of the worker's PIA.

The law sets a limit on the total monthly benefit amount to workers and their eligible family members, referred to as the Family Maximum. This maximum ranges from 150 to 188 percent of PIA, depending on the PIA. Benefits to the family members of disabled workers are paid on the same basis as those to the family of retired workers. The limitation on family benefits is, however, somewhat more stringent for disabled-worker families than for retired-worker or survivor families. The family maximum ensures that the families are not considerably better off financially after the retirement, disability, or death of the worker than they were while the worker was employed. For example, if the primary wage earner is deceased, a child beneficiary is eligible for 75 percent of the worker's PIA. Two children would each get 75 percent, for a total of 150 percent of the PIA. However, if there are three eligible children, their benefits would be proportionally reduced such that they sum to the Family Maximum. If a

child is eligible on two accounts, his benefits are paid from the account that provides the highest benefit. In that situation, the Family Maximum is summed over the two accounts. Suppose, for example, that the father's PIA is \$400 and the Family Maximum is \$600. The mother's PIA is \$500 and Family Maximum is \$750. The child's benefit is 75 percent of the higher PIA, \$375 (75 percent of \$500) or a shared amount on a Family Maximum of \$1350 (\$600 + \$750).

Taxation of Benefits

Up to 85 percent of Social Security benefits may be subject to federal income tax depending on the taxpayer's amount of income (under a special definition) and filing status. The applicable definition of income is adjusted gross income (before Social Security or Railroad Retirement benefits are considered), plus tax-exempt interest income—with further modification of adjusted gross income in some cases involving certain tax provisions of limited applicability among the beneficiary population—plus one-half of Social Security and Tier I Railroad Retirement benefits.

For married taxpayers filing jointly whose income under this definition is between \$32,000 and \$44,000, the amount of benefits included in gross income is the lesser of one-half of Social Security and Tier I Railroad Retirement benefits or one-half of income over \$32,000. If their income exceeds \$44,000, the amount of benefits included in gross income is the lesser of (1) 85 percent of Social Security and Tier I railroad retirement benefits or (2) the sum of \$6,000 plus 85 percent of income over \$44,000.

For married taxpayers filing separate returns, no exempt amounts are applicable. The amount of benefits included in the gross income is the lesser of 85 percent of Social Security or Tier I Railroad Retirement benefits, or 85 percent of income (as defined above). For individuals in all other filing categories, the amount of benefits to be included in gross income is determined in a manner analogous to that for married taxpayers filing jointly, but at lower exempt amounts.

2.2. Supplemental Security Income

The Public Assistance for Needy Aged and Blind program and the Aid to the Permanently and Totally Disabled program were established in 1935 and 1950, respectively. In 1972, Congress replaced them with the federal Supplemental Security Income (SSI) program, which is administered by SSA. This ended the multiplicity of eligibility requirements and benefit levels that had characterized the assistance programs formerly administered at the state and local levels. The program went into effect in January 1974. It is contained in Title XVI of the Social Security Act.

The SSI program consists of two parts: the federal program, and state supplementation of the federal payment. Under the federal program, the eligibility requirements are nationally uniform for age, limits on income and resources allowed, and definitions of disability or blindness. Federal benefit payments are also nationally uniform so that regardless of where qualified individuals live, they are guaranteed the same minimum payment. The state supplementation can be federally or state-administered. States with state-administered programs establish their own eligibility criteria and issue their own checks. States with federally-administered programs must adhere to the SSI eligibility criteria and one check is issued for the combined federal and state payment. State-administered payments are not captured on the SSR file. As of January 1999, all but two states offered supplementary payments to at least some of their recipients. Almost 90 percent of SSI payments are federal.

In January 2000, 6.3 million people received federally-administered SSI payments. Of the total, 31 percent were age 65 or older; 56 percent were blind or disabled age 18-64; and 13 percent were blind or disabled under age 18. Under Title II, disabled workers are automatically converted to the retired category when they reach NRA. However, disabled or blind SSI recipients are not converted. People who first qualify at age 65 are automatically categorized as aged.

The maximum federal benefit for an individual is \$512 per month in 2000. For a couple the maximum federal benefit is \$769 per month. Beneficiaries with income from other sources may receive reduced SSI payments, as explained below. The average total benefit, including state supplementation, was \$369 per month in December 1999; the average federal benefit was \$342 per month.

Eligibility

Basic requirements for SSI eligibility involve citizenship, income, financial resources, age, and disability.

Citizenship. Since the passage of the Personal Responsibility and Work Opportunity Reconciliation Act of 1996, SSI eligibility is generally restricted to U.S. citizens residing in one of the 50 states, the District of Columbia, or the Northern Mariana Islands. However, eligibility is still possible for non-citizen members of certain groups of refugees, active duty or retired military personnel and their families, and lawful permanent residents who have earned or can be credited with 40 quarters of Social Security covered employment.

Income. An individual does not have to be entirely without income to be eligible for SSI benefits. Maximum SSI benefits are paid, assuming the other conditions of eligibility are met, if the individual or couple has no “countable” income in that particular month. If the individual or couple has countable income, a dollar-for-

dollar reduction is made against the maximum payment. Not all income is counted for SSI purposes; see below.

Financial resources. In most cases, ownership of financial assets is limited to \$2,000 for an individual or \$3,000 for a couple. The resource limit for a couple applies even if only one member of a couple is eligible. Some items are excluded in determining countable resources; see below.

Age and disability. To qualify as aged, an individual must be at least 65 years old. Qualifying standards for SSI payments based on disability are almost the same as those used for the DI program. That is, an individual is considered to be disabled if he or she is unable to engage in any SGA by reason of any medically determinable physical or mental impairment that can be expected to result in death or which has lasted (or can be expected to last) for a continuous period of 12 months. This 12-month requirement does not apply to the blind in SSI.

The SGA criterion does not apply to children under age 18. The standard for them is a medically determinable physical or mental impairment that results in marked and severe functional limitations.

As of January 1, 1997, persons for whom drug addiction or alcoholism is a contributing factor material to the finding of disability ceased to be eligible for SSI. Inmates of public institutions, including prisoners, are not eligible for SSI. There are some exceptions to this general rule: those in medical institutions where the Medicaid program pays more than half the cost of their care may receive up to \$30 monthly; and those who live in certain public emergency shelters and community-based residences for 16 persons or fewer may, if otherwise eligible, receive SSI.

Income

The maximum SSI payment is reduced dollar-for-dollar to reflect other income and in-kind support and maintenance. Major exclusions include the first \$20 of monthly unearned income from virtually any source (such as Social Security benefits, but not needs-tested income such as veterans' pensions) and the first \$65 of monthly earned income plus one-half remaining earnings. Given the \$512 maximum monthly federal benefit, the dollar-for-dollar reduction thus eliminates SSI payments altogether for individuals with income from wages in excess of \$1,109 or income from other sources in excess of \$532. There are some exceptions to these break-even points, such as when the individual has a combination of earned and unearned income.

Income received in-kind, i.e., as goods rather than money, is considered in determining the payment amount.

If a recipient lives in another person's household and receives both food and shelter from the person in whose household he or she is living, the federal benefit is reduced by one-third, regardless of the actual dollar value of the in-kind support and maintenance. SSI recipients are required to apply for any other benefits to which they may be entitled, such as Social Security, Unemployment Insurance (UI), or workers' compensation.

If a child recipient under age 18 lives with parents, or an adult lives with a spouse who is not eligible for SSI, some of the income of the parent or spouse may be counted as unearned income to the eligible person. This process is called "deeming." Non-citizens may also be deemed income from an alien sponsor.

Other income excluded when determining payment amounts includes certain scholarships, certain student earnings, work expenses of blind persons, impairment-related work expenses of the disabled, payments for providing foster care to a child not eligible for SSI, and the Earned Income Tax Credit. Income necessary for an approved plan for achieving self-support for blind and disabled recipients is also disregarded. Irregular and infrequent income is not counted as long as it does not exceed \$20 per month if unearned or \$10 a month if earned.

The Employment Opportunities for Disabled Americans Act of 1986 provided special SSI benefits and Medicaid coverage to blind and disabled individuals eligible for SSI payments who work despite severe impairments. This legislation made permanent and revised section 1619 of the Social Security Act which was enacted as a temporary demonstration project in 1980. Under section 1619(a), a disabled recipient with earnings over the SGA level may continue to receive SSI payments under a special benefit status and. Moreover, section 1619(b) provides special SSI recipient status for Medicaid purposes when the individual has earnings that make him or her ineligible for cash payments. Because these earnings are combined with unearned income in making the payment determination, they do not necessarily have to exceed the SGA level. This special benefit status may continue as long as the recipient has the disabling impairment and until his or her earnings exceed the amount that would reduce the cash benefit to zero.

Resources

Although the amount of assets a person may hold to be eligible for SSI is fixed at \$2,000 for an individual (\$3,000 for a couple), certain resources are excluded from consideration. The most important of these is a home occupied by the recipient. Also excluded are personal goods and household effects with an equity value of up to \$2,000.

An automobile may be excluded, regardless of its value, if the individual or a member of the individual's household uses it for transportation for employment or

medical treatment, if it is modified to be operated by, or used for, transportation of a handicapped person, or if it is needed for essential daily activities. If an automobile cannot be excluded based on the nature of its use, a current market value of up to \$4,500 may be excluded.

Life insurance policies are not countable if the face values do not exceed \$1,500. Under certain circumstances, real property can be excluded for as long as the owner's reasonable efforts to sell it are not successful.

Special exclusions are applicable to the resources necessary for an approved plan of self-support for blind or disabled recipients and for property essential to self-support. The value of burial spaces for a recipient, spouse, and immediate family members is excluded, as is up to \$1,500 of funds set aside for burial of the individual and spouse.

2.3. Further Reading

The following are useful sources for understanding the OASDI and SSI programs. For full citations, consult the list of references on page 534.

- *Social Security Programs in the United States* (SSA 1997a). This publication of SSA concisely describes OASDI, SSI, Medicare, Medicaid, UI, Worker's Compensation, Temporary Disability Insurance, Food Stamps, and about 12 other programs. It may be downloaded from <http://www.ssa.gov/statistics/sspus.html>.
- *Social Security Handbook* (SSA 1997b). This handbook provides the most detailed specification of the OASDI, SSI, Medicare, and Black Lung Benefits programs. It may be downloaded from http://www.ssa.gov/OP_Home/handbook/ssa-hbk.htm.
- *Annual Statistical Supplement to the Social Security Bulletin* (SSA 1999). This publications provides a good summary of the OASDI, SSI, Medicare, Medicaid, and several other insurance and income support programs. It contains some historical information, such as cost-of-living increases tables, beneficiary counts, benefit amounts, and various other program statistics. It may be downloaded from <http://www.ssa.gov/statistics/Supplement/1999>.
- *Summary of Major Changes in the Social Security Cash Benefits Program: 1935-1996* (Kollman 1996). This article may be downloaded from <http://www.ssa.gov/history/pdf/crs9436.pdf>.
- *1998 Green Book: Background Material and Data on Programs within the Jurisdiction of the Committee on Ways and Means* (Green Book 1998). This book, compiled by the Committee on Ways and Means of the House of Representatives, provides program descriptions and historical data on a wide variety of social and economic topics, including Social Security, employment, earnings, welfare, child support, health insurance, the elderly, families with

children, poverty, and taxation. It may be downloaded from <http://aspe.hhs.gov/98gb/intro.htm>.

2.4. Links Between SSA Programs and Master Files

As part of the process of administering the OASDI and SSI programs, SSA staff have created a number of administrative and analytical master files: the MBR, the SSR, the Numident, the MEF, the 831 Disability, and the CWHS files. Each of the files is linked to one or both programs.

MBR: Master Beneficiary Record

The MBR contains the data to administer the OASDI benefit program. The MBR record is initiated once the initial decision is made to award benefits, and entitlement and payment data are stored on the file. Taken together, the MBR is a massive file, containing (as of December 1998) 161 million person records grouped in approximately 95 million units by the Social Security claim number—that is, the SSN of the wage earner based on whose earnings benefits are paid (see data element CAN). Spouses, widows/widowers, children, and parents, known as the auxiliaries or survivors in the unit, can be identified by their own SSN (see data element BOAN). Because of this, the unit itself can have multiple configurations. The MBR does not discard old records and start new ones, instead mostly adding new entries (blocks of data elements) to existing records or replacing data to reflect changes in status. There is one record for each Claim Account Number (CAN) on the MBR. However, there are circumstances under which an individual may appear on more than one record, such as in case of dual entitlement (see Section 5.1.3, in particular pages 50 and following).

SSR: Supplemental Security Record

The SSR provides the data needed to generate federally-administered SSI benefit checks. The file contains approximately 43 million records, indexed under the SSN of the first eligible person in the unit. This SSN is called the Housed Under Number (see data element HUN). There may be multiple eligible individuals in a household, so that the 43 million HUNs represent more than 65 million recipients. For various reasons, there may be multiple records for a recipient. SSA establishes and updates the SSR through local field office and teleservice site transactions, usually establishing the record as soon as a person files for SSI. The file stores eligibility and payment information, and certain information about ineligible spouses/parents because the SSI eligibility determination considers their income/resources.

Numident: Number Identification

The Numident file is a numerically ordered file of assigned SSNs and contain approximately 400 million records. The file accumulates SSNs through applications for Social Security cards at SSA field offices or as part of the birth registration process in hospitals. Each Numident record contains identifying information given by the applicant (date of birth, sex, race, mother's maiden name, father's name, place of birth). In addition, each Numident record can have as many as 300 entries (blocks of data elements) when there are changes in the data as a result of applying for a replacement card or an addition or change to the information related to SSN (e.g., a name change).

MEF: Master Earnings File

The MEF file contains earnings records for calendar years since 1951 and contains approximately 400 million records. Since 1977, the MEF is primarily derived from Internal Revenue Service (IRS) Form W-2 data. In most cases, a worker has one MEF record and one SSN; however, multiple MEF records exist for workers with multiple SSNs. SSA creates the MEF record when an individual gets his/her SSN. In addition to the SSN, the MEF record contains identifying information (sex, race, date of birth, date of death), first year of earnings and last year of earnings, a number of other indicators (such as whether there are multiple SSNs, whether the worker is a railroad or agricultural employee or self-employed), and a summary and detailed earnings data. MEF data are used for computing OASDI benefits. In addition to the MEF, the SSR is a source of earnings data from SSI applications.

831 Disability File

The 831 Disability file is related to both OASDI and SSI. When a person applies for disability benefits from either program, a medical determination is required. Medical decisions are made by the Disability Determination Services (DDS), reported to SSA's Office of Disability (OD), and recorded in the National Disability Determination Services System (NDDSS). The 831 Disability file is extracted regularly from this system for research purposes. The 831 Disability file may contain data from decisions made at several levels of adjudication that represent ever higher levels of appeal. Most records in the 831 pertain to only two levels: (1) the initial medical determination; and (2) the reconsideration decision, i.e., the first level of appeal for medical denials. Only a small number of records for appeals beyond the reconsideration decision, such as decisions made by SSA's Office of Hearings and Appeals are on the 831 Disability file. However, appeal decisions above the first reconsideration are often incomplete and should not be relied on. Decisions made as a result of SSA's Continuing Disability Review (CDR) process are recorded on OD's 832 and 833 Disability

Files (not documented in this user's manual). The unit of observation in the 831 Disability file is a disability decision; the main data elements capture the primary impairment code, the regulation basis code (used to measure the severity of the impairment), the date of decision, the level of decision, and the result of the decision.

CWHS: Continuous Work History Sample

The MBR, SSR, Numident, MEF, and 831 Disability files contain all information available for study of the OASDI and SSI programs. In addition to these master files, we highlight one important extract file, the CWHS file. It is a 1 percent continuous work history sample from 1937—when payroll tax was first levied—until the present. The intent of the sample is to measure the working trends and employment of the population in relation to the OASDI program. The data in the CWHS are drawn from other master files—the Numident, MBR, and MEF—and from the IRS Self-Employed File.

The CWHS is a research file drawn from multiple administrative master files. It also contains derived and constructed data elements that do not appear on any master file. However, it is included here because it figures so prominently in a wide range of ORES research activities that it almost has the status of a master program file. Moreover, given the stringent restrictions on direct MEF access, the CWHS has become a substitute for the MEF in many instances. As of June 2000, access to the CWHS is very limited, but efforts are under way to make the file more widely available. Contact the Data Linkage Team (DLT) (page 21) to discuss use of the CWHS.

3. How to Obtain Program Data

Master files offer substantial opportunities for research. Researchers may be able to obtain data from these files through SSA's Office of Research, Evaluation, and Statistics (ORES). This chapter describes the process for obtaining administrative data, including application and approval, confidentiality issues, reimbursement, and computer program considerations.

This chapter contains the following. Section 3.1 describes the application process for most data requests. Section 3.2 discusses confidentiality issues. Section 3.3 deals with household surveys which have been matched to SSA program data.

Note: Researchers who only want to establish whether specific individuals are dead or alive may file a so-called epidemiological request. Its application process is generally simpler and faster than for other research requests. This user's manual does not pertain to epidemiological requests. For more information, see the *Announcement of Service to Epidemiological Researchers to Provide Vital Status Data on Subjects of Health Research*, Federal Register Volume 63, Number 162 (August 21, 1998), pages 44942-44944. This announcement, with updated contact information, is also available from <http://www.ssa.gov/statistics/epidimi.html>.

3.1. Application Process

Figure 3.1 provides an overview of the application process. Briefly, the procedure is as follows. The researcher submits a formal request for data to the Associate Commissioner for Research, Evaluation, and Statistics. The Associate Commissioner forwards it to the Data Linkage Team (DLT), which is responsible for processing requests for data. A DLT analyst reviews the request to determine SSA's legal authorization to disclose the data and prepares a Memorandum of Agreement (MOA). If the request is cost-reimbursable, the analyst prepares a cost estimate on Form SSA-1235-U5. The analyst also coordinates meetings between the data requestor and the technical data experts to discuss data issues and develop interval data processing steps. The Associate Commissioner signs the MOA and the reimbursement form and forwards them to the requestor. The requestor sends a signed copy of the MOA and a check for payment (if applicable) to the Office of Finance (OF). In addition, if applicable, the requestor sends relevant data (such as SSNs of individuals under study) to an ORES analyst who will extract and process the requested data. This ORES analyst is sometimes the same person as the DLT analyst, but may also be another person who is more specialized in the required data files. The ORES analyst extracts the data, manipulates and analyzes them as needed, and sends the results to the requestor. If the cost estimate deviates from actual costs, OF may issue a refund or submit a final bill on Form SSA-1036.

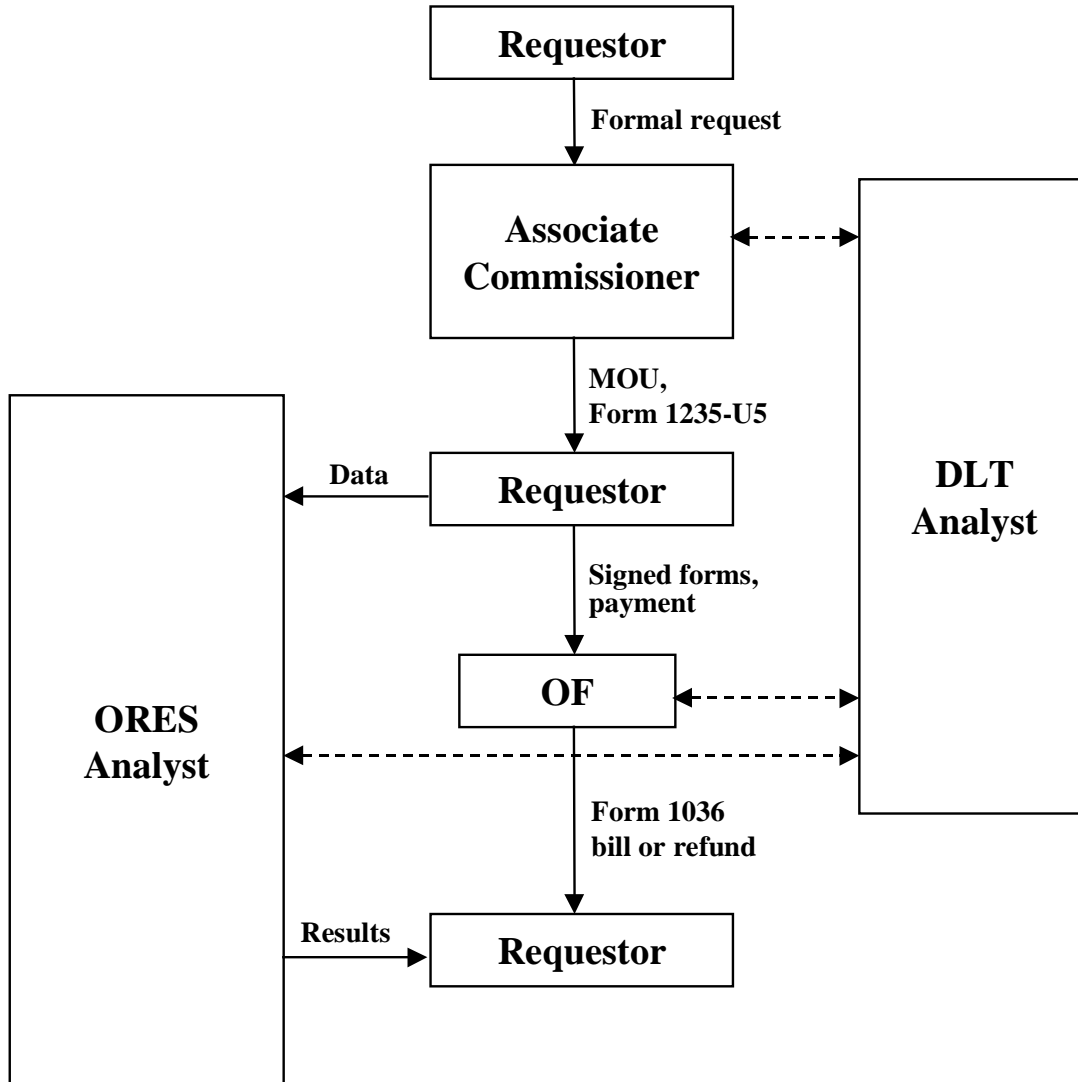


Figure 3.1. Application Process for SSA Administrative Data

We now discuss each step in more detail.

Step 0. Preliminary Inquiries

Researchers with an interest in using SSA's administrative data are encouraged to contact SSA's DLT for an informal exchange on the feasibility of using the data for their purposes, the optimal data source and format, et cetera. In particular, for reasons of confidentiality, SSA prefers to release tabulations, regression results, or other forms of analysis output instead of microdata. ORES offers such analysis services to outside researchers, and its staff is especially well-qualified for the task.

Preliminary inquiries may be directed to DLT staff, Office of Research, Evaluation and Statistics, 4-C-15 Operations Building, 6401 Security Boulevard, Baltimore, MD 21235-6401:

Name	E-mail	Telephone
Patricia McFadden	patricia.h.mcfadden@ssa.gov	410.965.5541
Joel Packman	joel.packman@ssa.gov	410.965.5530
Michael Risha	mike.risha@ssa.gov	410.966.4868

Step 1. Application

Formal requests must be submitted to the Associate Commissioner for Research, Evaluation and Statistics, Social Security Administration, 4-C-15 Operations Building, 6401 Security Boulevard, Baltimore MD 21235-6401. The fax number is 410.965.3308. The application may be submitted in letter-style, i.e., there is no structured application form. The application must contain the following items:

1. Contact information of yourself and of the organization that will be receiving the data, including Employer Identification Number (EIN) or Agency Locator Code (for federal agencies);
2. A description of your project and the intended outcome (that is, a dissertation, research for publication, fulfillment of a grant or contract and the grant/contract sponsor, input to a court case, investigation of individuals for possible administrative action, etc.);
3. A explanation of how this project will further SSA's mission to promote the economic security of the nations' people through its administration of the OASI program, the DI program, and/or the SSI program;
4. A description of the desired data. SSA usually releases information only in the form of aggregates or individual data that cannot be associated with an individual. Indicate the form of data needed to accomplish the purposes of your study, such as tabulations, data from SSA's program records for individuals, SSA data for individuals that have been linked to other sources of data;
5. If data are needed for a particular cohort of individuals, a specification of the information you have on these individuals (for example, SSNs, names, and dates of birth);
6. A description of other sources of data to which you will be linking SSA data (if applicable); and
7. An indication of the time frame within which you need the data.

This information will be forwarded to the DLT for review. DLT will address issues such as SSA's legal authorization to release the data, the

types and format of the data to be released, and reimbursement issues. The criteria for approval include whether confidentiality requirements are met (see Section 3.2); whether the request may be fulfilled in a timely fashion given SSA's staffing constraints; and whether the research project benefits SSA's mission.

The DLT analyst will draft an MOA delineating the terms under which data or analysis output will be made available. Specifically, the MOA provides details on the data to be provided by SSA, the specific program files that will be used in processing the request, the period of agreement, the authority for the release of data, the scope of work, data security and safeguarding, and the terms of reimbursement. The security and safeguarding provisions include the identification of a responsible custodian, stipulation that the data will not be merged with other data sources, and other conditions.

SSA is required to seek full reimbursement of costs incurred in processing the request for data or analysis. SSA may elect to waive reimbursement if the research project generates a product that directly benefits SSA, such as matched survey data. Assuming that reimbursement applies, the DLT analyst will estimate costs and prepare form SSA-1235-U5, Agreement Covering Reimbursable Services.

Both the MOA and form SSA-1235-U5 are signed by the Associate Commissioner and sent to the requesting researcher.

Step 2. Return MOA and Submit Payment to OF

Reimbursable costs must be paid in full prior to further processing of the request, except when the requestor is a federal agency. Federal agencies are required to pay 50 percent of the costs prior to the work being started and the remaining 50 percent after the work is completed.

The requestor returns one copy of the signed MOA and form SSA-1235-U5, along with payment of the estimated costs to the OF.

Step 3. Send Data to ORES Analyst

If the request involves specific individuals, the requestor needs to provide SSA with identifying information on those individuals. If the request does not involve specific individuals, this step does not apply

The requestor sends a computer file to the DLT analyst who is the requestor's contact. This person will forward this file, if necessary, to the

analyst involved in developing and executing programs to fulfill the request. The data file should contain SSNs, names, and dates of birth for individuals for whom case data are requested, possibly with other information such as survey IDs.

Step 4. Data Extraction and Analysis

The ORES analyst will then extract the required data and perform requested analyses, if any. He or she may take several approaches, with different implications for the time that it will take to fulfill the request and the costs incurred. Given these implications, we briefly discuss alternative approaches.

ORES analysts do not have the capability to directly manipulate the master files. The required information must be obtained by manipulating existing extract files, by accessing preselected individual records from the master files, by custom programming, or by using combinations of these methods.

Requests for case data may often be satisfied using existing extract files. The ORES analyst may then further extract requested cases and data elements from an existing extract or conduct a statistical analysis based on an existing extract. This may be completed without involvement of offices outside ORES. This user's manual contains descriptions of all recurring extract files.

In other cases, researchers request information on specific individuals. So-called finder processes offer an efficient way to address those types of requests. Finder processes and the subsequent formatting of the data require minimal input from offices outside ORES.

If recurring extract files do not contain the required information, and no SSNs are available on the individuals of interest, a custom program may need to be written to draw a custom extract of a master file. The development of custom programs tends to lengthen the process substantially. While manipulation of recurring extract files and finder processes may be completed in a matter of weeks, custom programming typically requires several months.

For SSR research, and sometimes for MBR research, custom programming may be avoided by a combination of recurring extract manipulation and a finder process. The ORES analyst can take an existing 100 percent extract file and search it for all cases of interest. The resulting file will contain SSNs that can subsequently be used as input to a finder process.

For further details, consult a DLT analyst.

Step 5. ORES Analyst Sends Results to Requestor

Upon completion of the data extraction and analysis, the ORES analyst sends the results to the requestor. These may be in the form of a data file or as tabular output. If individual case data are provided, the ORES analyst will include summary statistics, so that the requestor can verify that he/she interprets the computer files correctly.

Step 6. Final Billing

Form SSA-1235-U5 provided estimated costs only. If actual costs deviate from estimated costs, the OF will issue a refund or submit an additional billing.

3.2. Confidentiality Issues

One of the criteria for approval of a request for data is that confidentiality rules will not be violated. SSA is subject to strict confidentiality requirements as specified by the Privacy Act of 1974 (5 USC 552a) and interpreted in Regulation 1 (20 CFR 401). Data containing earnings records are governed by the Internal Revenue Code, particularly Section 6103 discussed below.

Non-Earnings Case Data

Case records may be released if the individual to which the information pertains has given permission for release. This option is used by some survey organizations to match survey information to administrative records. For example, the Health and Retirement Study asks its respondents to sign a consent form (also see Section 3.3). If the requesting organization wants to obtain data in this way, it needs to coordinate the process and timing of obtaining consent forms with DLT.

If the requestor of case data does not have individuals' consent, the data may or may not be released. The Privacy Act allows federal agencies to disclose information about individuals without their consent if one of the 12 Conditions of Disclosure applies. See Section 401.165 of Regulation 1.

If tabulations or output from statistical analyses are sufficient to meet the requestor's needs, case data should not be released. Certain cell size limitations apply, as explained below.

Data without identifying information (such as SSNs or matched survey IDs) may be released, provided that there are safeguards that the record will be used solely as a statistical or research record and that the individual cannot be identified from any information in the record. Such safeguards are included as part of the MOA between SSA and the requestor.

Data with identifying information may be disclosed for statistical and research purposes in a form allowing individual identification, if there is a Condition of Disclosure in the Privacy Act or a routine use allowing the release and:

1. The requestor needs the information in an identifiable form for a statistical or research activity, will use the information only for that purpose, and will protect individuals from unreasonable and unwanted contacts;
2. The activity is designed to increase knowledge about present or alternative social security programs or other federal or state income-maintenance or health-maintenance programs, or consists of epidemiological or similar research; and
3. The recipient will keep the information as a system of statistical records, will follow appropriate safeguards, and agrees to on-site inspection of those safeguards to ensure the information is used or redisclosed only for statistical or research purposes. No redisclosure of the information may be made without SSA's approval.

Earnings Data

Earnings data are maintained in systems of records such as the MEF and the CWHS. Release of earnings data is subject to stronger restrictions than non-earnings data.

In 1976, Congress passed the Tax Reform Act (TRA) which tightened access to earnings data and transferred control of these data to the Internal Revenue Service (IRS). The IRS restrictions are imposed by the Internal Revenue Code, particularly Section 6103. Under the TRA, SSA is allowed to use these data for administering the Social Security program and for internal research. SSA contractors may only use these data for tax administration purposes, not for research.

Non-SSA researchers must obtain IRS approval to use SSA data containing earnings information. The researcher should first contact SSA's DLT to determine the exact data requirements and to ascertain that there are no objections from SSA to release of the data. After SSA approval, the requestor will be

responsible for obtaining permission from the Commissioner of the Internal Revenue Service.

Release of Tabulations

As indicated above, case data will not be released if aggregated data in tabulations suffice the requestor's research needs. However, the tabulations should be such that the risk for identification is minimal.

A threshold rule is used in many cases. In general, there must be five or more respondents in any single row and in any single column. For more sensitive data, three or more respondents for all detail cells may be required. The SSA and IRS guidelines are as follows:

- Tabulations showing distributions by age, earnings, or benefits will be released only such that the risk of identification is minimal, taking into account age, earnings, and benefits.
- For distributions by data elements other than age, earnings and benefits, no tabulations will be released in which a group total is equal to one of its detail cells. Some exceptions to this rule may be made on a case-by-case basis when the detail cell in question includes individuals in more than one category.
- The basic rule does not prohibit empty cells as long as there are two or more non-empty cells in all rows and in all columns, nor does it prohibit detail cells with only one person. However, additional restrictions should be applied whenever the detailed classifications are based on sensitive information. The same restrictions should be applied to non-sensitive data if the restrictions can be readily applied and do not seriously limit the uses of the tabulations. Additional restrictions may include one or more of the following:
 - No empty cells. An empty cell tells the user that an individual included in the marginal total is not in the class represented by the empty cell, and
 - No cells with one person. An individual included in a one-person cell will know that no one else included in the row or column is a member of that cell.
- IRS rules apply for SSA statistics based on IRS (earnings) data. That is, no cell in tabulation at or above the state level will have a frequency of less than three or an amount based on a frequency of less than three. Data cells for areas below the state level, for example counties, require at least ten observations. Data cells considered sensitive are to be suppressed or combined with other cells.

3.3. Survey-Matched Data

Several household surveys have been matched to SSA program data. In particular, several waves of the Current Population Survey (CPS) and the Survey of Income and Program Participation (SIPP) have been linked, and the Health and Retirement Study (HRS) and its companion, the Assets and Health Dynamics (AHEAD) survey. Approximately 90 percent of the 1984 and 1990 SIPP respondents and slightly fewer of the 1991, 1992, and 1993 respondents were matched successfully to SSA program data. Data for HRS and AHEAD respondents were only matched if the respondents signed a consent form; their match rate is approximately 75 percent. While the CPS, SIPP, and HRS/AHEAD surveys are in the public domain, their linked program data files are highly confidential and their access is strongly restricted.

The matched CPS and SIPP data are generally only accessible to researchers at the Bureau of the Census and SSA. Under strict data safeguarding procedures, researchers may obtain access to the matched HRS and AHEAD data. For procedural matters, contact the Institute for Social Research at the University of Michigan, which collects the HRS and AHEAD surveys, or visit its website at <http://www.umich.edu/~hrswww>.

For the benefit of users of SIPP and HRS/AHEAD matched data, this section provides a list of SSA program data elements which are available on the matched data.

3.3.1. SIPP-Matched Files

Several waves of the SIPP have been matched to SSA program data from the MBR, SSR, MEF (Summary Earnings Record), and 831 Disability files. The following tables list the matched data element names. The names do not correspond to those used on master files and in this user's manual, but the first few characters should be instrumental in determining the correspondence. Note:

- Only a subset of matched data elements are documented in this user's manual;
- Data elements that are repeating for multiple time periods or persons on the master files may appear multiple times (with different names) on the matched files, which have a fixed record length;
- SSR data elements that repeat monthly are denoted below with 'yymm' in their names (e.g., DRGLyymm, EINCyymm). The series run from January 1974 (7401) to the last known month before the matched file was last extracted. As of June 2000, the last month was July 1999 (9907);
- MEF (Summary Earnings Record) data elements that repeat annually are denoted with 'ccyy' in their names (e.g., ADJccyy, ACQCccyy). The series run from 1951 to the last known year before the matched file was last

extracted. As of June 2000, the last year was 2000. It is not clear what the 2000 data elements contain.

- 831 Disability file data elements that repeat annually are denoted with 'yy' in their names (e.g., AER__yy, BIC__yy). The series run from 1977 (77) to the last known year before the matched file was last extracted. As of June 2000, the last year was 1997 (97).
- Master file data elements that contain dates may appear as two or three data elements on the matched survey data, one each to capture the year, month, and day.

SIPP-Matched MBR Data Elements

ADCmm__P	CDR__S	DOBDD__P	DOESyy_S	DOTS2yyP
ADCmm__S	CSA__P	DOBDD__S	DOEXmm_P	DOTS2yyS
ADCyy__P	CSA__S	DOBmm__P	DOEXmm_S	DPM__P
ADCyy__S	CWC__P	DOBmm__S	DOEXyy_P	DPM__S
AFARIA_P	CWC__S	DOByy__P	DOEXyy_S	DSDmm__P
AFARIA_S	CWSDmm_P	DOByy__S	DOFI__P	DSDmm__S
APSm__P	CWSDmm_S	DOCAmm_P	DOFI__S	DSDyy__P
APSm__S	CWSDyy_P	DOCAmm_S	DOFmm_P	DSDyy__S
APSy__P	CWSDyy_S	DOCAyy_P	DOFmm_S	DUAL
APSy__S	DAC__P	DOCAyy_S	DOFyy_P	ENTRY
BDODmm_P	DAC__S	DODPmm_P	DOFyy_S	ERC__P
BDODmm_S	DDBCmm_P	DODPmm_S	DOSmm_P	ERC__S
BDODyy_P	DDBCmm_S	DODPyy_P	DOSmm_S	FMAX__P
BDODyy_S	DDBCyy_P	DODPyy_S	DOSTmm_P	FMAX__S
BIC__1P	DDBCyy_S	DOECmm_P	DOSTmm_S	GS__P
BIC__1S	DDCO__P	DOECmm_S	DOSTyy_P	GS__S
BIC__2P	DDCO__S	DOECyy_P	DOSTyy_S	HDDmm__P
BIC__2S	DDOmm_P	DOECyy_S	DOSyy_P	HDDmm__S
BIC__P	DDOmm_S	DOEDmm_P	DOSyy_S	HDDyy__P
BIC__S	DDOyy_P	DOEDmm_S	DOTH1mmP	HDDyy__S
BLIC__P	DDOyy_S	DOEDyy_P	DOTH1mmS	HENC__P
BLIC__S	DDT__P	DOEDyy_S	DOTH1yyP	HENC__S
CC__P	DDT__S	DOEHmm_P	DOTH1yyS	HOC__P
CC__S	DESC1__P	DOEHmm_S	DOTH2mmP	HOC__S
CDIEDmmP	DESC1__S	DOEHyy_P	DOTH2mmS	IDR__P
CDIEDmmS	DESC2__P	DOEHyy_S	DOTH2yyP	IDR__S
CDIEDyyP	DESC2__S	DOEImm_P	DOTH2yyS	IME__1P
CDIEDyyS	DIG__P	DOEImm_S	DOTS1mmP	IME__1S
CDIODmmP	DIG__S	DOEIyy_P	DOTS1mmS	IME__2P
CDIODmmS	DLmmm__P	DOEIyy_S	DOTS1yyP	IME__2S
CDIODyyP	DLmmm__S	DOESmm_P	DOTS1yyS	IME__P
CDIODyyS	DLMyy__P	DOESmm_S	DOTS2mmP	IME__S
CDR__P	DLMyy__S	DOESyy_P	DOTS2mmS	IVR__P

IVR___S	NMPME_2P	OTPIA2_S	SDSmm__S	TOB__2P
LAF__1P	NMPME_2S	OTRIA1_P	SDSyy__P	TOB__2S
LAF__1S	OFA___P	OTRIA1_S	SDSyy__S	TOB___P
LAF__2P	OFA___S	OTRIA2_P	SENC__P	TOB___S
LAF__2S	OTAN1__P	OTRIA2_S	SENC__S	TOC___P
LAF___P	OTAN1__S	PIA__1P	SEX___P	TOC___S
LAF___S	OTAN2__P	PIA__1S	SEX___S	TOD1__P
LEMBA1_P	OTAN2__S	PIA__2P	SFMBA1_P	TOD1__S
LEMBA1_S	OTBCB__P	PIA__2S	SFMBA1_S	TOD2__P
LEMBA2_P	OTBCB__S	PIA___P	SFMBA2_P	TOD2__S
LEMBA2_S	OTBCC__P	PIA___S	SFMBA2_S	TOP___P
LFMBA1_P	OTBCC__S	PNUM	SIEDmm_P	TOP___S
LFMBA1_S	OTBCR__P	PRY___P	SIEDmm_S	TWPC__P
LFMBA2_P	OTBCR__S	PRY___S	SIEDyy_P	TWPC__S
LFMBA2_S	OTBCS__P	PWAEDmmP	SIEDyy_S	TWPDDmmP
MBA__1P	OTBCS__S	PWAEDmmS	SIFT__P	TWPDDmmS
MBA__1S	OTBCV__P	PWAEDyyP	SIFT__S	TWPDDyyP
MBA__2P	OTBCV__S	PWAEDyyS	SISC__P	TWPDDyyS
MBA__2S	OTBIC1_P	PWASDmmP	SISC__S	TWPESM_P
MBA___P	OTBIC1_S	PWASDmmS	SLAC__P	TWPESM_S
MBA___S	OTBIC2_P	PWASDyyP	SLAC__S	UNIQUE
MBC__1P	OTBIC2_S	PWASDyyS	SMIAMT1P	VNTRY
MBC__1S	OTDOC1_P	RACE__P	SMIAMT1S	VPNUM
MBC__2P	OTDOC1_S	RACE__S	SMIAMT2P	VSUID
MBC__2S	OTDOC2_P	RCC___P	SMIAMT2S	VUNIQUE
MBP__1P	OTDOC2_S	RCC___S	SOC___P	WKEYR1_P
MBP__1S	OTDOEM1P	RDD___P	SOC___S	WKEYR1_S
MBP__2P	OTDOEM1S	RDD___S	SOURCE_M	WKEYR2_P
MBP__2S	OTDOEM2P	RDI___P	STDIWCGP	WKEYR2_S
MDR___P	OTDOEM2S	RDI___S	STDIWCGS	WKEYR3_P
MDR___S	OTDOEY1P	RLSC__P	SUID	WKEYR3_S
MREDmm_P	OTDOEY1S	RLSC__S	TESPDmmP	XIXEC__P
MREDmm_S	OTDOEY2P	SAMBA1_P	TESPDmmS	XIXEC__S
MREDyy_P	OTDOEY2S	SAMBA1_S	TESPDyyP	YFP___P
MREDyy_S	OTPIA1_P	SAMBA2_P	TESPDyyS	YFP___S
NMPME_1P	OTPIA1_S	SAMBA2_S	TOB__1P	
NMPME_1S	OTPIA2_P	SDSmm__P	TOB__1S	

SIPP-Matched SSR Data Elements

ALIEN	AWDDTE	DENCDE	DISPAY	DRGTRT
ALIENRD	CLMDTE	DENDTE	DO	DT8080
APLDTE	CPSTAT	DIBDG2	DOB	DUPS
APTYPE	CS_TOA	DIBDIG	DOD	EINCyymm
AP_ZIP	DDUDTE	DIBMDR	DRGLyymm	ELGRD

ESTPD	ID_SIPP	PAYZIP	REPGC	STCOCNV
EVR_PAID	ID_SUID	PDPDTE	REPTYP	TOA
FDMTyymm	LIVFyymm	PDSCC	RPSTAT	UINCyymm
FSTPAY	LSTPAY	PLCDE	SELDTE	XMITDO
FS_REC	MBRLAF	PLDTE	SEX	ZEBLEY
FS_REQ	MDTSyymm	PSTAyymm	SPMTyymm	
F_LANG	MFT	RACE	STALER	
ID_NTRY	NOP	REPCUS	START	
ID_PNUM	OSCDyymm	REPDTE	STCNyymm	

SIPP-Matched MEF (Summary Earnings Record) Data Elements

ADJccyy	DODmm	ESIY	RR1	WQCccyy
ADJSUM	DODyy	FINP	RR2	WQC3746
AGI	DS	FYE	SBI	WQC4752
AGQCccyy	DT	INDXccyy	SEI	WQC47TD
ASDOB	DUPS	LYE	SEQCccyy	WQC51TD
BS	Eccyy	MEccyy	SEX	X
BT	E37TD	MIL	SIPP	X01-X49
CASE	E51TD	NPCE	SSI	Yccyy
CD	EAM	NTccyy	STARTYR	YR
DEATH	EARNFLG	PPENT	SUID	YRccyy
DOBmm	ED	PPNUM	SYRS	
DOByy	ENDYR	RACE	UB	

SIPP-Matched 831 Disability File Data Elements

AER__yy	DR__yy	LIT2__yy	MLN__yy	RSDX__yy
AL__yy	DT__yy	LIT3__yy	ND__yy	SDX__yy
BIC__yy	ED__yy	LIT4__yy	OCC__yy	SEX__yy
BI__yy	FIRSTNyy	LIT5__yy	OY__yy	SLC__yy
BS__yy	FLD__yy	LIT6__yy	PANEL	SPC__yy
CCF__yy	FS__yy	LIT7__yy	PDD__yy	SSN__yy
DDPB__yy	ID_NTRY	LIT8__yy	PDI__yy	TOC__yy
DDS__yy	ID_PNUM	LIT9__yy	PDX__yy	VRA__yy
DD__yy	ID_SIPP	LNA__yy	PD__yy	VRN__yy
DOBC__yy	ID_SUID	LNB__yy	RACE__yy	YEAR
DOB__yy	IND__yy	LNC__yy	RB__yy	
DODEC__yy	LASTN__yy	LND__yy	RDT__yy	
DOT__yy	LIT10__yy	LNE__yy	RID__yy	
DO__yy	LIT1__yy	LNF__yy	RPDX__yy	

3.3.2. HRS and AHEAD-Matched Files

The HRS and AHEAD have been matched to SSA program data from the MBR, SSR, and MEF (Summary Earnings Record). The following tables list the matched data element names. The names are Vnnnnn, where nnnnn is above 20000 (e.g., V20003, V20004); we note their correspondence to master file data element names below. Note:

- Data elements that are repeating for multiple time periods or persons on the master files may appear multiple times (with different names) on the matched files, which have a fixed record length;
- SSR data elements that repeat monthly are denoted below with ‘yymm’ in their names (e.g., DRGLyymm, EINCyymm). The series run from January 1974 (7401) to the last known month before the matched file was last extracted. As of June 2000, the last month was July 1999 (9907);
- MEF (Summary Earnings Record) data elements that repeat annually are denoted with ‘ccyy’ in their names (e.g., ADJccyy, ACQCccyy). The series run from 1951 to the last known year before the matched file was last extracted. As of June 2000, the last year was 2000. It is not clear what the 2000 data elements contain.
- Master file data elements that contain dates may appear as two or three data elements on the matched survey data, one each to capture the year, month, and day.

HRS and AHEAD-Matched MBR Data Elements

HRS name	MBR name	Description
V20003		Type of Claim as of 12/1991. People not in receipt status at 12/1991 are included in the file if they received a benefit at any time after 1961. Codes differ from TOC in this user’s manual.
V20004	PIA	Primary Insurance Amount
V20005 through V20364	MBP	Monthly Benefit Paid, 01/1962 Monthly Benefit Paid, 12/1991
V20365 through V20724	MBA	Monthly Benefit Amount, 01/1962 Monthly Benefit Amount, 12/1991

HRS and AHEAD-Matched SSR Data Elements

Apart from some control data elements, the SSR match file only contains data elements V22004 through V22219 with monthly SSI benefits paid from 01/1974 through 12/1991. The data elements are based on SSR data element FEDPMT,

but rounded to the nearest ten dollars to better preserve confidentiality. A value of -1 indicates a monthly benefit amount between \$1 and \$4.

HRS and AHEAD-Matched MEF (Summary Earnings Record) Data Elements

HRS name	MBR name	Description
V21003	TOTAL-EARNINGS-3750	1937-1950 Total Earnings
V21004	TOTAL-QC4750	1947-1950 Covered Earnings Quarters
V21017 through V21057	SUM-QC*	Quarters of Covered Earnings (1951) Quarters of Covered Earnings (1991).
V21058 through V21098	ERN	Annual Covered Earnings (1951). Note: the data elements are based on SER data element ERN, but rounded to the nearest \$100 to better preserve confidentiality. A code of -1 indicates earnings of between \$1 and \$49. Annual Covered Earnings (1991).

4. Overview of Data Dictionaries

In this chapter, we describe the structure of the documentation of each master file. This user's manual contains one chapter for each administrative or analytical master file. Each chapter follows the same layout. After presenting the generic data dictionary structure in this chapter, we discuss common topics that cover more than one master file, such as data formats.

4.1. Layout of Data Dictionary

Each chapter consists of three sections. The first section provides a general description of the given master file and its structure. It presents a crosswalk between data elements from the given master file and a set of relevant research topics, so that users with a given research topic can easily determine which key data elements in the master file may be of interest. It concludes with a discussion of any data usage issues, such as the need to consider multiple data elements for identifying certain groups of people.

The second section contains the formal list of data elements that are widely used for research purposes. The list presents the following main items:

Acronym and name:	Field name used in programs and full data element name that the acronym represents. This item is the field name on the master file documentation. The name may differ slightly in extract files.
Type of data element:	Notes whether the field is character, numeric or packed. In general, this item refers to how the field appears in the master file as opposed to how it appears in extracts or finders runs.
Data format:	Notes whether a special format exists for the field. The formats include date format, money format, and bit-code format. These formats are discussed below. In general, this item refers to how the field appears in the master file as opposed to how it appears in extracts or finders runs.
Repeating:	Notes whether the field may be repeated on a record. Fields may occur more than once for two reasons. First, if there is more than one person receiving benefits on an account, such as on the MBR, or people living in the same household, as in the SSR, the data elements that describe or are otherwise

related to a person will be present for each person on the record. Second, certain groups of fields repeat within a master file record because the data elements change over time and prior values are not overwritten. On the MBR, for example, a person may have multiple disability groups if the nature of his illness changes. On the SSR, a history of payments by month is maintained. The other master files have similar circumstances. This information is presented largely to help users who are requesting custom extracts or who have permission to access the master files themselves. On extract files, repeating data elements appear as a series of data elements, many of which may be irrelevant. Irrelevant data elements are typically set to a blank or the missing value.

Cleared:

Notes whether the field is cleared (set to zero or blank) when the status related to that field no longer exists.

Reset:

Notes whether the field is reset to a new value when a status or situation related to that field changes.

The difference between “cleared” and “reset” is that a cleared data element properly reflects a current value, whereas a reset data element may contain a prior value during a period in which the data element is irrelevant. For example, SSR data element DIS-ONST-JD (date of disability onset) is not cleared, but it is reset. If a person recovers from his disability, the field is not cleared and thus continues to contain the old value. If the person becomes disabled again, it is reset to the most recent date of onset. As a general rule, one should exercise caution when analyzing data elements that may not be current anymore.

Edit:

Notes whether checks are done on this field or values are corrected if necessary. In general, range checks are the most common edits done. In other cases there may be comparisons to other related data for consistency of information or checks on the type of data element. A blank value in this item tends to mean “not relevant”; however a blank can also mean that we do not know with certainty what happens to the field.

Master Record Location:	Where relevant, this item lists the part of the master file record in which the field is found. In the MBR, a record can be broken down into a series of segments such as fixed account information, fixed payments, variable payments, fixed benefits, and variable benefits. The SSR, Numident and MEF also have their own series of segments. For the CWHS, this item lists the file from which the field was drawn. We do not have information on this item from the 831. While the Master Record Location may not be relevant information for most users, those who require custom extracts from the master file may find this information useful in conversations with SSA staff to construct their data requests. In addition, users who have permission to access the master files themselves may find this information helpful.
Related Data Elements:	Other fields that may have related information or may be used in conjunction with the given field to identify particular types of cases or may be used in the creation of the given field.
OIM tags:	Alternative names for the data element, as used by the Office of Information Management (OIM).
Description and Purpose:	Provides a description of the data element and in some cases notes for what purpose the field is used.
Possible Values:	Lists the legal values found in the field and their definitions. Some codes are marked as obsolete. These codes are previously but not currently used. Obsolete codes may occur in data extracts that include old records that were not updated.
Usage Notes:	Provides additional information on the field that may help users better understand what the field represents, how it is used, known idiosyncrasies, data quality issues, and so on. The source for this information tends to be discussions with knowledgeable SSA researchers.

Each field, or data element, is described on its own page(s) and data elements are presented in alphabetical order based on their acronym. For ease of use, the page

header notes to which master file the data element description relates, and the page header lists the data element being described

The third section, where relevant, discusses the most widely used recurring extract files (and person-specific extracts available through the finder process) related to the given master file, including sample selection criteria and a list of which data elements presented in the data dictionary appear on the extracts. This section is primarily of interest for the MBR and SSR. The other files generally do not have widely used recurring extracts.

The master files contain thousands of data elements, mostly of limited or no research value. The extract files contain more than 600 data elements, not counting duplicates that appear on multiple extracts. Many of these may have been relevant during some period in history but are rarely used today. This user's manual documents over 200 distinct data elements that are currently most widely used for research. Many of these are annual data elements that appear many times, such as annual earnings for 1951 through today. Also, some are repeating data elements that appear for both the primary beneficiary and one or more auxiliary beneficiaries, or for updated information pertaining to the same individual.

Where possible, the Usage Notes section of the data element description will note if a field is known to be unreliable. While one may not view an unreliable data element as something to be "widely used for research," there are fields that by their description would appear to contain key information and thus might be requested by researchers. By including in this user's manual those fields of which we are aware, researchers may see the note on questionable quality and adjust their requests accordingly.

4.2. Data Formats

This section discusses data formats that may appear across the various master files and their extracts. The primary focus is on how the data elements appear in their given master file. The format present in the master file for a given data element may be different from that seen in extracts where more desirable formats may have been created. Where possible (and relevant), the data dictionary notes the various formats one might see for a given data element. For custom programming, requestors can specify how they want a given data element to appear in the custom extract. Those using existing extracts should consult the appropriate extract documentation for the actual data formats used in the given extract.

4.2.1. Bit-code Data Elements

Some data elements are stored on the SSA master files as bit-codes. This storage format allows several information items to be stored in a single byte, with each item represented by a single bit (0 or 1). For example, the MBR combines sex (male, female) and race (white, black, other) into a single data element (Sex/Race of Primary, SROP), with each characteristic represented by a single bit. This type of storage was formerly more common, and was typically intended to save space.

Most modern computer systems define a byte as consisting of eight bits. Early SSA computers, however, used six bits per byte. These six bits were known as “BA8421,” where the first bit is referred to as the “B bit,” the second bit is the “A bit,” the third bit is the “8 bit,” etc. For example, the combined sex/race data element SROP uses the “A bit” to indicate male, the “8 bit” for female, the “4 bit” for other race, the “2 bit” for black, and the “1 bit” for white. (The “B bit” is always turned on and should be ignored.) A black female beneficiary would thus be coded as:

B	A	8	4	2	1
1	0	1	0	1	0

When SSA converted to computer systems based on an eight-bit byte, the six-bit fields were converted. Unfortunately, there is no consistent or obvious relationship between the six-bit and eight-bit representations of these data elements. Further confusing the situation, most printed documentation continues to define these data elements as though they were still stored in six-bit bytes.

We encountered three commonly used methods for interpreting the information contained in bit-code data elements. Each of these three methods is detailed below. Which method is appropriate to use will depend on the specific extract file a researcher is using.

1. Frequently, MBR extract records are formatted in an easy-to-read “display” format. In other words, the master file data element is bit-coded, but the extract file contains values that are more easily interpreted. The conversion is made using a computer program known as LIMITS, which helps extract data from its compressed format on the actual MBR record. In creating a display format, programmers employ a LIMITS program to produce specific alphabetic characters depending on the bits set in the data element. For example, on the MBR, the data element PSC (Payment Status Code), will generate a LIMITS value of “C” if the A bit is set, an “A” if the 8 bit is set, and so forth. Where applicable, the data dictionary portion of this document specifies the LIMITS values for bit-coded fields.

2. The eight-bit byte may appear unchanged on the extract file in question. For example, on the regularly recurring one percent MBR extract file, several data elements are stored as eight-bit fields. To interpret these, use the table below to compare the EBCDIC (Extended Binary-Coded Decimal Interchange Code) character representing an eight-bit byte with its original six-bit byte format. If a researcher wants to select all cases with the “B bit” set, then a selection must be made on all character values with a “1” in the B column from the chart. This may be tedious, but there typically is no readily available alternative if the data element on the extract is stored as an unconverted bit-code.

EBCDIC Character Representation of Six-bit Data Elements

EBCDIC Character	B	A	8	4	2	1	EBCDIC Character	B	A	8	4	2	1
.	1	1	1	0	1	1	H	1	1	1	0	0	0
<	1	1	1	1	0	0	I	1	1	1	0	0	1
(1	1	1	1	0	1	}	1	0	1	0	1	0
+	1	1	1	1	1	0	J	1	0	0	0	0	1
	1	1	1	1	1	1	K	1	0	0	0	1	0
&	1	1	0	0	0	0	L	1	0	0	0	1	1
\$	1	0	1	0	1	1	M	1	0	0	1	0	0
*	1	0	1	1	0	0	N	1	0	0	1	0	1
)	1	0	1	1	0	1	O	1	0	0	1	1	0
;	1	0	1	1	1	0	P	1	0	0	1	1	1
-	1	0	1	1	1	1	Q	1	0	1	0	0	0
/	0	1	0	0	0	1	R	1	0	1	0	0	1
,	0	1	1	0	1	1	\	0	1	1	0	1	0
%	0	1	1	1	0	0	S	0	1	0	0	1	0
-	0	1	1	1	0	1	T	0	1	0	0	1	1
>	0	1	1	1	1	0	U	0	1	0	1	0	0
?	0	1	1	1	1	1	V	0	1	0	1	0	1
:	0	1	0	0	0	0	W	0	1	0	1	1	0
#	0	0	1	0	1	1	X	0	1	0	1	1	1
@	0	0	1	1	0	0	Y	0	1	1	0	0	0
'	0	0	1	1	0	1	Z	0	1	1	0	0	1
=	0	0	1	1	1	0	0	0	0	1	0	1	0
“	0	0	1	1	1	1	1	0	0	0	0	0	1
{	1	1	1	0	1	0	2	0	0	0	0	1	0
A	1	1	0	0	0	1	3	0	0	0	0	1	1
B	1	1	0	0	1	0	4	0	0	0	1	0	0
C	1	1	0	0	1	1	5	0	0	0	1	0	1
D	1	1	0	1	0	0	6	0	0	0	1	1	0
E	1	1	0	1	0	1	7	0	0	0	1	1	1
F	1	1	0	1	1	0	8	0	0	1	0	0	0
G	1	1	0	1	1	1	9	0	0	1	0	0	1

3. A researcher may request completely new data elements based on bit-coded data elements. For example, on the 10% MBR extract file, the data element BPC1 and BPC8 have been created as 1-0 flags to indicate the status of the 1-bit and 8-bit fields, respectively, for the BPC bit-coded data element. This request should be made at the time that detailed specifications for an extract are developed.

4.2.2. Packed Formats

Some data fields in the master files are stored as “packed decimal” or “packed binary.” The number of bytes depends on the field. When these data elements are extracted for analysis files, such as the recurring extracts discussed in this user’s manual, the corresponding extracted data element is no longer in packed format. The extraction programs convert the data elements to regular numeric or Alpha values.

In the data dictionaries, we present the formats associated with the master file and note when possible how the format in an extract may differ. In other words, data elements referred to as packed in the data dictionary may not be packed in recurring extracts, but only packed in the master file. As many users will never deal with the master files directly, they will never see packed format data elements. However, when ORES generates a custom extract, packed formats are not converted, unless the client requests conversion.

4.2.3. Date Formats

Dates in the master files may be stored in several ways. Relative dates do not have Y2K concerns since they count elapsed time from a point in the past. Binary format dates that did not originally carry a four-digit year value have been converted for Y2K compliance, as have character formats that used to present year as two digits. Programs that create recurring extracts have been updated to produce dates with four-digit year values.

Relative Dates

Often dates are stored as relative dates or elapsed dates, that is, they describe a given point in time relative to a fixed point in time. The three types of relative dates used in the MBR are:

1. The number of years since 1800 (e.g., 1998 is stored as 198). In the data dictionary, date values of this type are listed as “Years REL – 1800.”

2. The number of months starting with January 1800 (e.g., March 1998 is $198 \times 12 + 3 = 2379$). In the data dictionary, date values of this type are listed as “Months REL – 1800.”¹
3. The number of days starting with January 1, 1800 (e.g., 1 corresponds to January 1, 1800.) This yields a five-digit value. In the data dictionary, date values of this type are listed as “Days REL – 1800.”²

The above storage formats are frequently used on the MBR. On the SSR, some monthly dates are relative to January, 1974 when the federal Supplemental Security Income program came into effect.

In recurring extract files, relative date values are always converted to month/day/year or month/year formats such as MMCCYY (e.g., 111988), MMDDCCYY (e.g., 11051988), and CCYYMM (e.g., 198811). The data element will thus be character data instead of the original numeric. However, on custom extracts, the relative date may be passed through unchanged, unless otherwise requested.

The data dictionaries below document the master file packed relative date format. Where available, the date field description will note which month/day/year format, if any, tends to be used in recurring extracts.

On MBR master file records, the relative date fields may contain zeroes that represent null fields or no dates. (They do not mean a date of 1800). In extracts, where the relative dates have been converted to a month/day/year format, there are blanks or codes for no date.

Packed Binary Year/Alpha Month

In some cases, year values may be stored as two-byte packed binary on a master file. The corresponding month value is often listed as a 1-byte character field, using the pattern of A=January, B=February,, L=December. Again, this data format is often converted to a month/year format such as CCYYMM or MMCCYY.

Character

Dates may also appear as six or eight-digit character fields such as CCYYMM and MMDDCCYY. Sometimes year comes first, sometimes, month comes first. There are instances where dates may appear as seven-digit character files containing the year and the number of days into the year (a Julian date concept) of the form

¹ This format is readily converted into month and year. Suppose variable RELMONTH is in “Months REL - 1800” format. The corresponding month-of-year variable may be computed as $MM = \text{mod}(\text{RELMONTH}, 12)$, where mod is the modulus function, and the corresponding year may be computed as $YY = 1800 + \text{int}(\text{RELMONTH}/12)$, where int is the integer truncation function.

² Some popular statistical software packages such as SAS and Stata store dates as elapsed days since January 1, 1960. Suppose variable RELDAYS is in “Days REL - 1800” format. It may be converted into elapsed days since January 1, 1960 as $\text{DATE} = \text{RELDAYS} - 58438$.

CCYYDDDD. In this format, 001 corresponds to January 1; 002 to January 2; 032 to February 1; et cetera.

4.2.4. Dollar Amount Formats

Social Security benefit calculations always round to the nearest dimes amount. Monetary amounts are therefore often stored with dimes but not pennies. In the data dictionary, these formats appear as \$\$\$\$c for amounts with a maximum of \$9,999.90, \$\$\$\$\$c for maximum amounts of \$99,999.90, and so on. The number of \$-signs represents the maximum number of dollar digits and the single “c” indicates that cents are rounded to the nearest ten cents. These formats have one implied decimal fraction, so that a \$\$\$\$c-formatted value of 23422 corresponds to \$2342.20.

In other instances, monetary amounts are not dimes-rounded and will have formats of \$\$\$cc or \$\$\$\$\$cc, for example. These formats have two implied decimal fractions, so that users must divide the numeric field by 100 to get the proper dollar amount.

One may find in a given extract that dollar and cents amounts have been stored as a character field with the decimal point included. Users should be sure to consult the extract documentation for the appropriate format that describes the monetary fields in the given extract.

Monetary values, whether or not dimes-rounded, may additionally be stored as packed decimal or packed binary. This is usually seen for fields that could contain large dollar values. Some monetary fields can contain negative values. Again, these packed formats used to conserve space are often converted to conventional \$\$\$\$c-type formats for extracts and custom requests.

5. Master Beneficiary Record (MBR) File

5.1. The MBR Master File

5.1.1. Source of Data and File Structure

The MBR master file contains the data needed to generate Social Security benefit checks under the OASDI program. The MBR has one record for each Social Security Claim Account Number (CAN), which is the SSN of the primary beneficiary, i.e., the worker based on whose earnings the benefit entitlement exists. Each MBR record may have one or more payments, up to a limit of 20, since a given account number may have more than one beneficiary. For example, an account for a retired worker who has a retired spouse with low lifetime earnings may have two payments—one for the worker and one for the spouse. Thus, the number of people with benefit payments is greater than the number of MBR records.

Under certain conditions, an individual may appear on more than one MBR record. In a dual entitlement situation, a person is entitled to benefits as a retired or disabled worker and is also entitled as the spouse or survivor of another person. An individual may also appear on multiple MBR records if denied benefits on one account but entitled on another, since denial information is also recorded.

An MBR record is created whenever an individual applies for benefits and the application is adjudicated as an award, a disallowance, an abatement, or is withdrawn. The record will reflect the final decision about the initial claim, including any denial. Further information maintained on the MBR master file includes entitlement and payment data. MBR recurring extract files, by contrast, contain only limited payment data.

The MBR master file is stored as a direct access database with a compressed hierarchical record structure. The file is indexed by Claim Account Number (CAN), which is the SSN of the worker whose earnings history forms the basis for the claim (primary beneficiary). CAN is the primary identifier for each record or account.

Each MBR record is divided into a series of groups of data elements called entries. Some entries are referred to as fixed and exist on the record only once (Fixed Account), once per monthly payment (Fixed Payment), or once per beneficiary (Fixed Benefit). Some entries appear multiple times on a record and are referred to as variable entries. For example, there may be multiple Fixed Benefit entries on a record, corresponding to multiple beneficiaries. Fixed Benefit entry data elements are thus repeating fields. The MBR data dictionary specifies the entry to which a data element belongs and indicates whether the entry may be repeated within a record.

The Fixed Account entry includes record-level data elements such as Type of Award Code (TAC). Fixed Payment entries include information on the mailing address (state and county Code, ZIP) and whether the payment should be made in the current month (Payment Status Code, PSC). Fixed Benefit data elements include fields that explain the basis of the auxiliary person's benefits (Beneficiary Identification Code, BIC) along with demographic information (sex, date of birth). Fixed benefit data are present even if the individual is denied or terminated.

Variable data element groups, or entries, are not necessarily found on all records—they are only present on records that involve special situations. The following variable data element groups contain data elements of interest for research:

Variable Account data sections

VSOP	Vital Statistics of Primary
TLD	Totalization Data
PIH	Primary Insurance History (* see below)

Variable Payment data sections

BID	Bank Information Data
-----	-----------------------

Variable Benefit data sections

DID	Disability Investigation Data
REPD	Representative Payee Data
DRCD	Delayed Retirement Credit Data
OFD	Offset Data
SID	Security Income Data
BRD	Beneficiary Reference Data
STRD	Suspension or Termination Reason Data
DIB	Disability Data (* see below)
DED	Dual Entitlement Data
ATWH	Alien Tax Withholding History
HIST	History Data (* see below)

The Variable Account–VSOP group contains special account-level information added when the CAN person, or primary beneficiary, dies. It includes birth and death information. The Variable Account–TLD entry contains account information for persons with foreign work experience. Variable Benefit–REPD is present if a beneficiary cannot handle his own financial affairs and has his check sent to another person, the Representative Payee.

Some historic information exists on the MBR file. Normal file extracts retrieve only the most recent data, but it is possible to get past values for certain data elements. Three data entries, marked above with an asterisk (“*”), contain historic

information about an account or a beneficiary. The Primary Insurance History (PIH) entry is part of the variable account data and records data about the PIA for this account. The Disability Data (DIB) entry is the part of the variable benefits data that applies to disability benefits for an individual. The History Data (HIST) entry records the actual payments made on this account over time. As the values of the fields change new entries are added to the MBR account record. Multiple occurrences of entries can be used to track events of importance to the beneficiaries. MBR extracts in flat file format usually allow space for a sufficiently high number of entries to accommodate multiple individuals. Other instances of multiple entries of the same type require special programming.

The PIH entry records the earnings of the wage earner, the value of the PIA, the basis of the PIA computation, and the family maximum dollar amount. Data elements of this entry covered in this user's manual are: PIFC, PIA, RFCP, FMAX, IME, ELY PBP, and YOC. Changes in PIA are the result of new earnings data or corrections to old earnings data for active accounts. The PIH entry occurs on an MBR record up to 30 times. If more than 30 entries are needed, the oldest will be dropped. This information shows changes in the basis of dollar benefit amounts over time.

Information relating to disabilities is recorded in the DIB entry. Specific data elements documented in this user's manual are: DOED, DSD, DDO, DAC, EBD, and DAA. Most of these fields contain date information but DAC identifies the type of disability. Using the multiple occurrences of DIB, one can see changes in types of disabilities and the duration of such entitlements.

The HIST entry occurs up to 48 times for each beneficiary on an account and records the benefit amounts actually paid or withheld for specific months. If there is a change in the benefit amount, the reason for the change is given. Changes occur when earnings change or a suspension or termination occurs. Data elements in this entry that are further documented in this user's manual are: MBA, RFD, WIC, BPD, and MBC.

In addition to full CAN-based MBR records, there are also short so-called RIC-X records, which are created for auxiliary beneficiaries who receive benefits only through a primary, and not under their own account. RIC-X records serve as pointers to the appropriate CAN for each auxiliary beneficiary.

5.1.2. Crosswalk of Research Subject and Data Elements

Below is a list of research subjects and some key MBR data elements of interest to each topic. The list aims to provide initial researcher guidance only; it is neither an exhaustive list of research topics nor an exhaustive list of MBR data elements that relate to these topics.

Benefit and Payments		page
FMAX	Family Maximum.....	114
MBA	Monthly Benefit Amount	125
MBP	Monthly Benefit Payable.....	128
OFA	Offset Amount.....	131
PIA	Primary Insurance Amount.....	142
SAMBA	Smaller Actuarially Reduced Monthly Benefit Amount.....	166

Benefit Categories		page
BIC	Beneficiary Identification Code	66
BPD	Benefit Paid Designation.....	76
LAF	Ledger Account File.....	117
TOC	Type of Claim.....	178

Demographics		page
ACOC	Alien Country of Citizenship	61
DOB	Date of Birth of Beneficiary.....	97
DOBP	Date of Birth of Primary.....	98
DODP	Date of Death of Primary	101
SCC	State/Country Code	167
SEX	Gender of Beneficiary	168
SROP	Sex/Race of Primary.....	174
ZIP	ZIP Code.....	188
ZIPADD	ZIP Code Add-on.....	196

Disability		page
CC	Custody Code	78
DAA	Drug Addiction and Alcoholism	84
DAC	Disability Award Code and Special Action Code.....	86
DDO	Date of Disability Onset.....	89
DESC	Dual Entitlement Status Code	90
DIG	Diagnosis Code.....	92
DIG2	Diagnosis Code.....	94
DOEC	Date of Current Entitlement	102
DOED	Date of Entitlement to Disability.....	103
DOEI	Date of Initial Entitlement.....	105
DOST	Date of Suspension/Termination	108
DSD	Disability Adjudication Date.....	110
RDD	Reason for Disallowance or Denial.....	149
SIFT	Security Income File Type	169
SISC	Security Income Status Code.....	172
TOP	Type of Payee.....	183

WIC	Work Indication Code	185
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Dual Entitlement **page**

BPC	Benefit Payment Code.....	74
DESC	Dual Entitlement Status Code	90
LEMBA	Larger Excess Monthly Benefit Amount.....	123
LFMBA	Larger Full Monthly Benefit Amount	124
MBA	Monthly Benefit Amount	125
OTAN	Other Account Number	136
OTBIC	Other Beneficiary Identification Code	137
OTDOE	Other Date of Entitlement	139
OTPIA	Other Primary Insurance Amount	140
SAMBA	Smaller Actuarially Reduced Monthly Benefit Amount.....	166
TOD	Type of Dual Entitlement.....	181

Offset **page**

OED	Offset Effective Date.....	130
OFA	Offset Amount.....	131
OFC	Offset Code.....	132
OFDC	Offset Date Code	133
OFT	Offset Type Code	134
OTD	Offset Termination Date.....	138

Widows and Other Auxiliary Beneficiaries **page**

BIC	Beneficiary Identification Code	66
BPC	Benefit Payment Code.....	74
DOB	Birth Date	97
LAF	Ledger Account File.....	117
MBC	Monthly Benefit Credited.....	126
PBP	Primary Insurance history Benefit Pointer	141
PIA	Primary Insurance Amount.....	142
SIM	Survivor Increment Months.....	171
TOC	Type of Claim.....	178

5.1.3. Data Usage Issues

This section discusses some data usage issues related to types of claimants, benefits and payments, dates related to filing and eligibility, dual entitlement, and identification numbers.

Types of Claimants

In identifying a particular type of beneficiary or claimant, users may need to account for several fields. Specifically, the following fields are relevant:

BIC	Beneficiary Identification Code (page 66)
LAF	Ledger Account File (page 117)
TOC	Type of Claim (page 178)

BIC shows the benefit category for which the individual has applied (self, spouse, etc.). The presence of a beneficiary data group, including BIC, does not necessarily mean that the person was approved for the benefit or that the benefit is currently active. A BIC value of 'A' indicates primary beneficiary, i.e., the person whose earnings are the basis of the record/account. Other BIC values are for persons related to the primary. Under some circumstances, such as the death of the primary beneficiary before retirement, records may exist for dependents but not for the primary beneficiary.

LAF provides the MBR payment status (current payment, denied, suspended, etc.) for the beneficiary and can be used to determine active benefits.

TOC defines the type of claim made by the beneficiary (retirement or disability, primary or auxiliary), although as noted above it may not have been approved. TOC helps distinguish between different types of persons with BIC codes of A (primary claimant) or C (child), such as whether they are receiving benefits because of disability or because they are a school age minor child of a primary claimant.

Payment-related Data Elements

The MBR contains several fields related to the amount of benefits received on a given account. Among those of interest are:

IME	Indexed Monthly Earnings (page 116)
PIA	Primary Insurance Amount (page 142)
ARFC	Adjustment Reduction Factor Code (page 64)
CRIM-C	Cumulative Retirement Increment Months Current (page 83)
ELY	Eligibility Year (page 113)
OFA	Offset Amount (page 131)
FMAX	Family Maximum (page 114)
MBA	Monthly Benefit Amount (page 125)
MBC	Monthly Benefit Credited (page 126)
MBP	Monthly Benefit Payable (page 128)

The worker's entire history of earnings is used to calculate the monthly payment amount. First the highest thirty-five years of earnings are kept. Next, each computation year is adjusted using factors according to the year of first eligibility (usually when the individual reaches age 62). The adjusted, or indexed, annual amounts are summed and then divided by the number of months in the computation years. This produces the average indexed monthly earnings (AIME), stored in data element IME. From the IME the PIA is computed using a formula that varies for each year, determined by ELY.

The MBA is computed from the PIA with adjustments for early or delayed retirement, the earnings test, offset reductions (OFA) because of payments from other pension sources (federal employees or workmen's compensation), family maximum (FMAX) and others. The MBA also takes into account the type of claim (disability or old-age) and whether the claimant is the primary account holder or an auxiliary beneficiary. The MBC is the MBA rounded to the nearest lower dollar with a deduction for Supplemental Medical Insurance. The MBP is the actual check amount after the MBC is adjusted for overpayments and underpayments. Figure 5.1 presents a simple illustration of the computation of MBP from PIA.

Dates Related to Filing and Eligibility

Date fields are critical to determining eligibility of SSA benefits. Among those of interest are:

DOB	Date of Birth (page 97)
DODP	Date of Death of Primary (page 101)
DOF	Date of Filing (page 107)
DDO	Date of Disability Onset (page 89)
DOEC	Date of Current Entitlement (page 102)
DOED	Date of Entitlement to Disability (page 103)
DOEI	Date of Initial Entitlement (page 105)
DOST	Date of Suspension/Termination (page 108)
OED	Date of Effective Offset (page 130)
OTD	Date of Offset Termination (page 138)

Date of Birth (DOB) is used to determine early and late retirement and the amount of outside income allowed without the loss of benefits. Date of Death of Primary (DODP) determines the time at which survivor benefits are allowed to spouses and children.

Disability dates are quite complex. To determine the date one is eligible to begin receiving benefits (DOED), one must determine when the person became technically disabled (DDO), as well when the application was filed (DOF). More detail is available in the usage notes for these data elements.

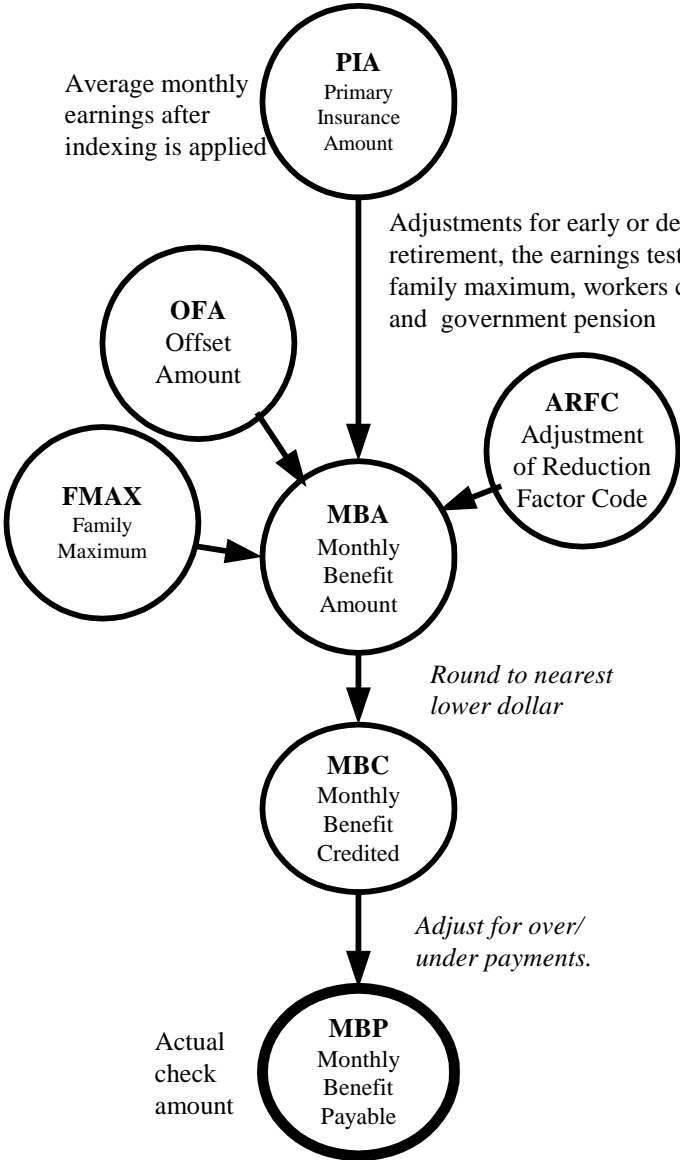


Figure 5.1. Computation of Monthly Payment

Dual Entitlement

The term dual entitlement (DE) applies to a situation where a beneficiary is eligible for benefits from more than one account. A common example is a woman who is eligible for retirement benefits based on both her own and her husband’s earnings history. The term dual entitlement is only used if the auxiliary (spousal) entitlement is greater than the primary entitlement.

Example 1. Upon retirement, a married woman is entitled to spousal benefits equal to one-half her husband's retirement benefits, regardless of her own work history and lifetime earnings. If a married woman is eligible for benefits based on her own earnings history, she is considered dually entitled if one-half her husband's benefit exceeds her own benefit. For example, Mary gets \$200 a month in SSA retirement based on her own work history. Her husband, Paul, gets \$1000 a month for his SSA benefits. Mary is entitled to spousal benefits of \$500 a month on Paul's account. Since the \$500 is more than Mary's \$200, she is dually entitled. She will receive \$200 against her own account and an additional \$300 against Paul's. If Mary's own benefit were \$600 instead of \$200 she would not be termed dually entitled. Similarly, Paul is not dually entitled on Mary's account, because he is only eligible for half her benefit (\$100), which is less than what he receives on his own account.

Example 2. It is possible to be triply entitled. Suppose Mary was previously married to Eric, and Eric passed away. Further, suppose that Eric would have been entitled to \$900. As Eric's widow, Mary is entitled to the full amount of his entitlement. Mary would receive \$200 based on her own earnings history, an additional \$300 from Paul's account, and \$400 from Eric's account for a total of \$900. Only one check is cut in this situation based on the MBP data element.

Example 3. Dual entitlement may straddle programs. Suppose Paul is disabled and receives Disability Insurance benefits. The computations in the examples above would be the same, but separate checks would be cut for payments from the DI and OASI trust funds. Following Example 1 above, Mary would receive one check for \$200 from the OASI trust fund and another check for \$300 from the DI trust fund. Correspondingly, there would be two MBP data elements, as further explained below.

For every person receiving benefits, there is a group of Fixed Benefit data elements on the MBR. If two people claim benefits from the same account, there will be two Fixed Benefits groups, one for the primary beneficiary and another for the auxiliary beneficiary. If the auxiliary beneficiary is dually entitled to benefits based on his/her own work experience, then this person will also have his/her own MBR record. The Dual Entitlement Data (DED) group holds relevant information on both records.

Consider the simplest situation in which one person receives benefits based on his own earnings history. The MBR contains one record with one Fixed Benefit data group:

Fixed Benefit 1

Now consider a married couple. The husband is entitled to benefits based on his earnings history. The wife worked very little and collected insufficient quarters of coverage, so that she is only entitled to benefits based on her husband's

earnings history. The MBR contains one record with two Fixed Benefit data groups:

Fixed Benefit 1	Fixed Benefit 2
-----------------	-----------------

Consider another married couple in which both spouses are entitled to benefits based on their own earnings histories. The spouses earned comparable amounts, so that dual entitlement is not applicable. The MBR contains two records with one Fixed Benefit data group on each.

Fixed Benefit 1
Fixed Benefit 1

Now consider a married couple in which one spouse is dually entitled, as in Example 1. The MBR contains two records; both include a DED group:

Fixed Benefit 1	DED	
Fixed Benefit 1	Fixed Benefit 2	DED

The DED group contains fields that are only used in dual entitlement. The most pertinent fields are:

DESC	Dual Entitlement Status Code (page 90)
LEMBA	Larger Excess Monthly Benefit Amount (page 123)
LFMBA	Larger Full Monthly Benefit Amount (page 124)
OTAN	Other Account Number (page 136)
OTBIC	Other Beneficiary Identification Code (page 137)
OTDOE	Other Date of Entitlement (page 139)
OTPIA	Other Primary Insurance Amount (page 140)
SAMBA	Smaller Actuarially Reduced Monthly Benefit Amount (page 166)
TOD	Type of Dual Entitlement (page 181)

Fields OTAN, OTBIC, and OTDOE give identifying information about the other, matching account. DESC and TOD describe the basis under which the dual entitlement exists. OTPIA, LEMBA, LFMBA, SFMBA and SAMBA hold dollar amounts used in the calculation of payments. The following illustrations assume that the reader has familiarity with the data element descriptions in the data dictionary.

Consider again Paul and Mary from Example 1. Paul's PIA is \$1000, and Mary's PIA is \$200. To illustrate an adjustment of monthly benefits for early retirement, suppose Mary retires before the normal retirement age. Their records contain the following fields.

Mary's record:

Fixed Benefit 1					DED				
BIC	LAF	PIA	MBA	MBP	OTPIA	SFMBA	SAMBA	LFMBA	LEMBA
A	C	200	460	460	1000	200	160	500	300

Paul's record:

Fixed Benefit 1					Fixed Benefit 2					DED				
BIC	LAF	PIA	MBA	MBP	BIC	LAF	PIA	MBA	MBP	OTPIA	SFMBA	SAMBA	LFMBA	LEMBA
A	C	1000	1000	1000	B	AD	1000	300	0	200	200	160	500	300

Note that the OTPIA field on Mary's record is equal to Paul's PIA and vice versa. DED fields SFMBA, SAMBA, LFMBA and LEMBA are equal on the two records. Mary's PIA is the basis for the Smaller Full Monthly Benefit Amount (SFMBA=200), whereas Paul's PIA is the basis for the Larger Full Monthly Benefit Amount (LFMBA=500, or one-half of \$1000). The Larger Excess Monthly Benefit Amount (LEMBA) is the difference of LFMBA and SFMBA, \$500-\$200. Mary retired before the normal retirement age, so her SFMBA of \$200 has been reduced to yield the Smaller Actuarially Reduced Monthly Benefit Amount (SAMBA=160).

The LEMBA is the amount that will be paid to Mary against Paul's account and is stored in the MBA field of Fixed Benefit 2 on his record. The LAF code of AD and an MBP of \$0 indicate that no check should be cut for this benefit. This is because one check will be cut to cover the payments from both accounts, written from Mary's record. The MBP amount on Mary's own record is the full amount she will be paid, LEMBA plus SAMBA for a total of \$460.

Figure 5.2 illustrates the computation of benefits under this dual entitlement. It shows the data elements pertaining to the "smaller" beneficiary on the left and the data elements for the "larger" on the right.

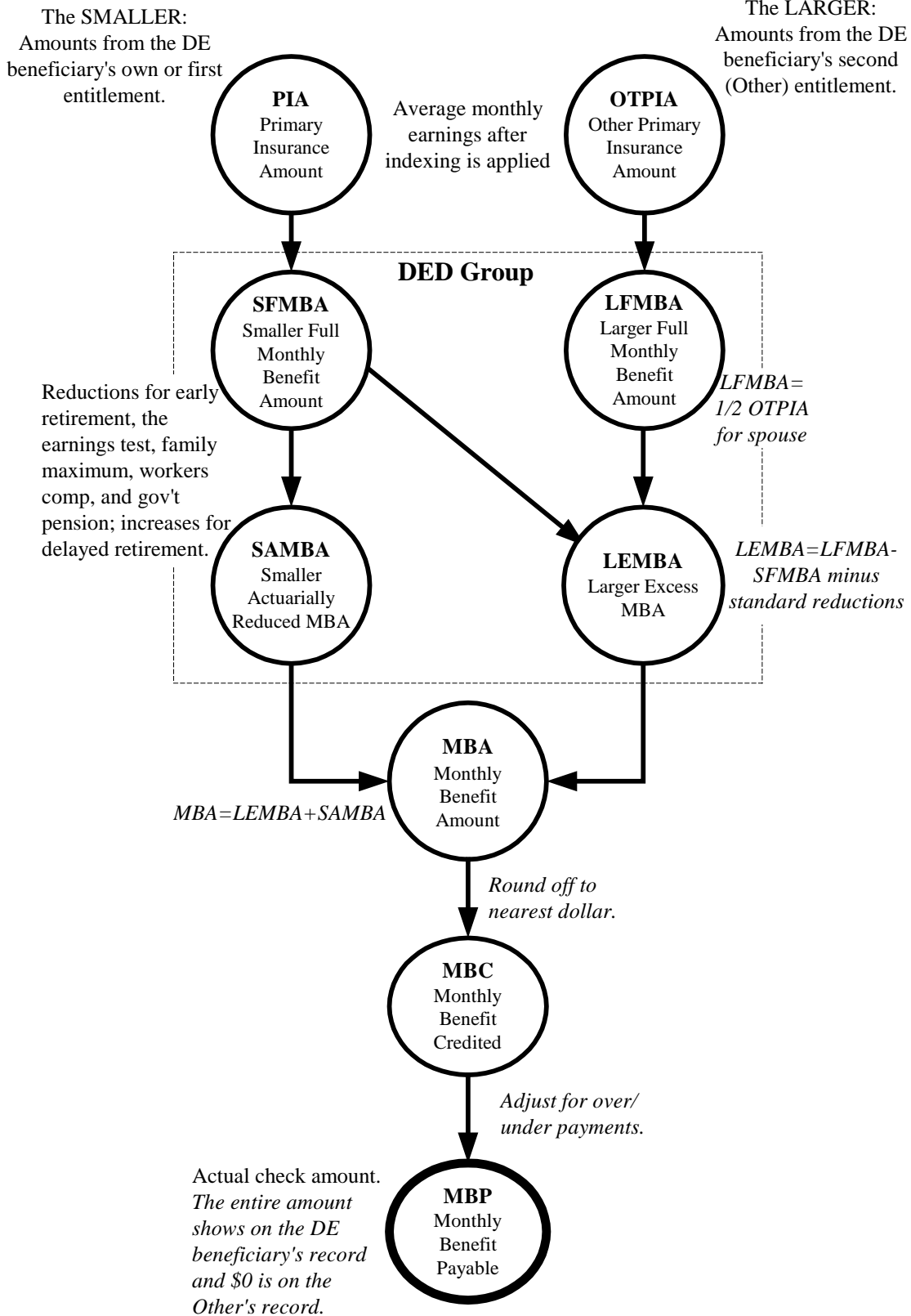


Figure 5.2. Dual Entitlement

If Paul's entitlement were based on disability instead of retirement, the benefits paid to him and to Mary, as his spouse, would come from different trust funds. In this situation two checks would be cut. The LEMBA amount would come from the DI trust fund and the SAMBA would come from the OASI trust fund. The records would only differ in the places shown below in bold typeface. Mary's MBA and MBP reflect only the portion from her account (the OASI check). On Paul's record the LAF field in the second Fixed Benefit group (Mary's) is C and the MBP is \$300 to show a check will be written for \$300 from the DI trust fund.

Mary's record:

Fixed Benefit 1					DED				
BIC	LAF	PIA	MBA	MBP	OTPIA	SFMB	SAMBA	LFMBA	LEMBA
A	C	200	160	160	1000	200	160	500	300

Paul's record:

Fixed Benefit 1					Fixed Benefit 2					DED				
BIC	LAF	PIA	MBA	MBP	BIC	LAF	PIA	MBA	MBP	OTPIA	SFMB	SAMBA	LFMBA	LEMBA
A	C	1000	1000	1000	B	C	1000	300	300	200	200	160	500	300

Figure 5.3 illustrates the computation of benefits under this dual entitlement with two trust funds.

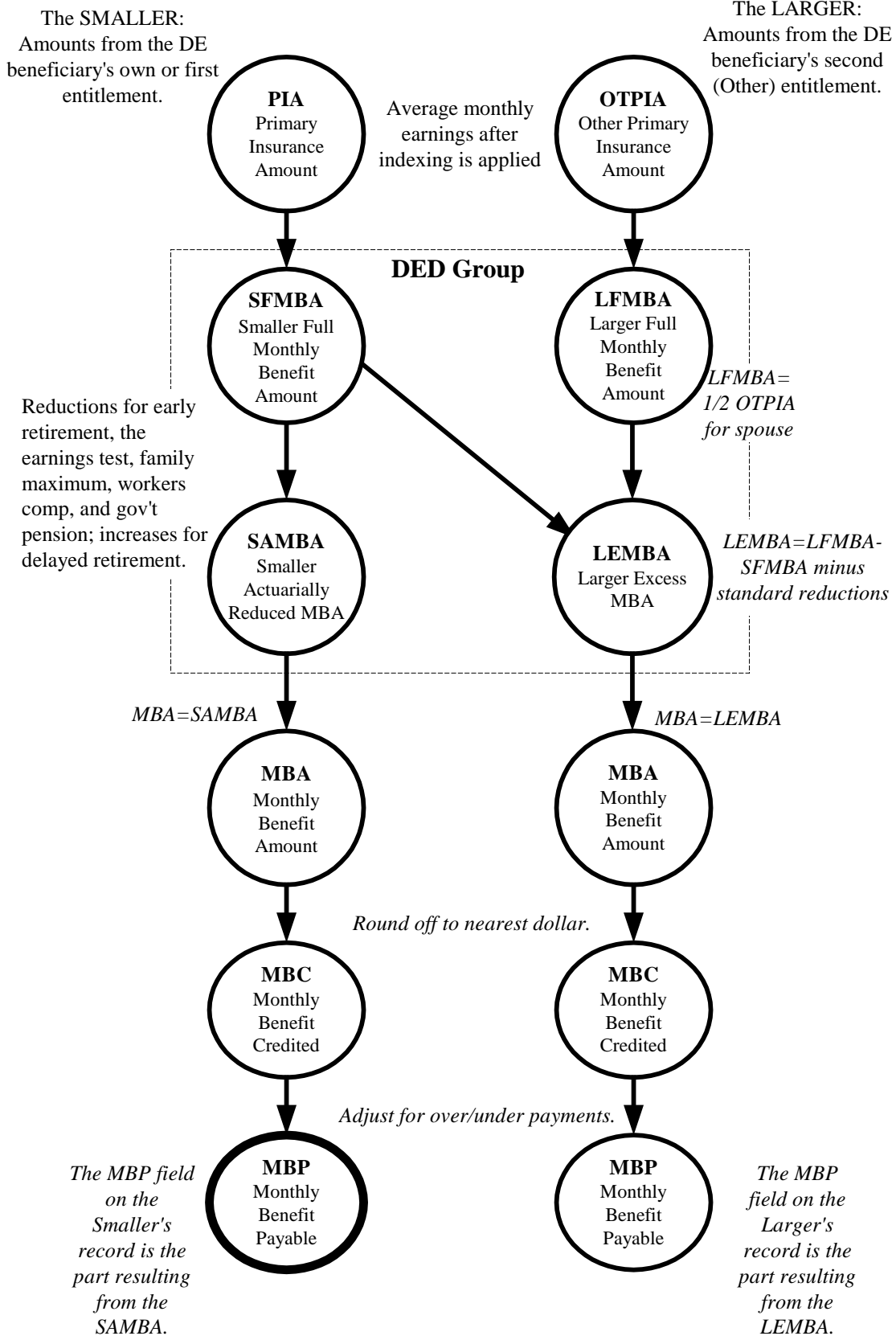


Figure 5.3. Dual Entitlement from Two Trust Funds

Identification Numbers

Most users will work with extracts that do not contain any privacy-protected identifiers such as Social Security numbers (SSN). However, in instances where access to such data is allowed, users of MBR data should be aware of the following relationships among several SSN-based data elements on the MBR:

BOAN	Beneficiary Own Account Number (page 73)
CAN	Claim Account Number (page 77)
OTAN	Other Account Number (page 136)

CAN, as noted earlier, is the claim account number for the MBR record and is the SSN of the primary beneficiary. It is the account to which the MBP is paid. BOAN is the beneficiary's own SSN. When BIC is equal to A, BOAN is the SSN of the primary beneficiary and thus BOAN equals CAN. If BIC is an A, there is a secondary (auxiliary or survivor) beneficiary associated with the claim account. The most common auxiliary beneficiary is the spouse of the primary beneficiary. When BOAN is not equal to CAN, the BOAN is the SSN of the auxiliary or survivor beneficiary.

In cases of dual entitlement, OTAN is the SSN of the other claim account from which the beneficiary can also draw benefits. There will be another MBR record that has the CAN value equal to the OTAN on the given dual entitlement case. The OTAN is never the same as CAN on a given record. OTAN could be the same as BOAN in cases where, for example, a woman is entitled to benefits from her spouse and from her own earnings. If she qualifies for dual entitlement, she will have an MBR record where her SSN is in the CAN field and another MBR record where her SSN is in a BOAN field. For example, in the Mary and Paul case illustrated above, the OTAN on the DED portion of Mary's record is Paul's SSN; the OTAN on the DED portion of Paul's MBR record is Mary's SSN.

5.2. Description of MBR Data Elements

The following pages document the MBR data elements that are widely used for research purposes. The table below lists the data elements covered in the data dictionary. The data elements are presented in alphabetical order. Page headers note which data element is being described.

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ABT Action Block Type

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Fixed Benefit
Related Data Elements:	

DESCRIPTION AND PURPOSE

Whenever a claim is filed, or whenever a payment action is taken against a claim, this field will identify the type of action that has occurred.

POSSIBLE VALUES

- D – Disallowed (no entitlement), denied, withdrawn or abated claim
- E – Delayed claim
- H – Initial award to Title XVIII (uninsured) only benefits (Health Insurance/Supplemental Medical Insurance)
- Q – Adjusted action to Title XVIII benefits (uninsured HI/SMI)
- W – Post-entitlement (PE) withdrawal for adjustment to place beneficiary in LAF A, S or T
- X – PE credit only adjustment on Title XVIII Benefits (Uninsured HI/SMI)

- 1 – Initial award to LAF C or E (current payment or RR current)
- 3 – Adjustment action to LAF C or E
- 4 – Adjustment action to LAF S (conditional payment status)
- 5 – Initial award to LAF S
- 6 – Initial award to LAF D (deferred payment status)
- 7 – Adjustment action to LAF D
- 9 – Matured deferred action (from LAF D to LAF C or E)

Obsolete codes: A, B, O, 8

USAGE NOTES

Fixed Benefit fields are present once for each person on the account record. Benefit rate increases will not reset this field. This data element relates to changes resulting from a LAF code change, an AERO increase, or changes in the MPA.

ACOC	Alien Country of Citizenship
-------------	-------------------------------------

Type:	Character 3
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	
Edited:	Yes
Master Record Location:	Variable Benefit- ATWH
Related Data Elements:	DOC, SCC, ZIP

DESCRIPTION AND PURPOSE

This data element reflects the country of which the beneficiary is a citizen. ACOC does not contain the Consular Office Code.

Used to determine if nonresident alien withholding applies.

POSSIBLE VALUES

000-197 Country of citizenship code; see below.
999 Default value or unknown

USAGE NOTES

On an MBR record, if an alien tax situation applies, this field occurs up to 40 times per beneficiary on this account.

Country Codes

Code	Country
000	Stateless/Refugee
001	United States
002	Afghanistan
003	Albania
004	Algeria
005	Andorra
006	Angola
007	Argentina
008	Australia
009	Austria
010	Bahamas
011	Bahrain
012	Bangladesh
013	Barbados
014	Belgium
015	Belize
016	Benin

Code	Country
017	Bhutan
018	Bolivia
019	Botswana
020	Brazil
021	Bulgaria
022	Burma
023	Burundi
024	Cambodia (Kampuchea)
025	Cameroon
026	Canada
027	Cape Verde
028	Central African Republic
029	Chad
030	Chile
031	China, People's Republic of
032	China, Republic of (Taiwan)
033	Columbia

Code	Country
034	Comoros
035	Congo
036	Costa Rica
037	Cuba
038	Cyprus
039	Czechoslovakia
040	Denmark
041	Djibouti
042	Dominica
043	Dominican Republic
044	Ecuador
045	Egypt
046	El Salvador
047	Equatorial Guinea
048	Ethiopia
049	Fiji
050	Finland
051	France
055	Germany, West
056	Ghana
057	Greece
058	Grenada
059	Guatemala
060	Guinea
061	Guine au-Bussau
062	Guyana
063	Haiti
064	Honduras
065	Hungary
066	Iceland
067	India
068	Indonesia
069	Iran
070	Iraq
071	Ireland
072	Israel
073	Italy
074	Ivory Coast
075	Jamaica
076	Japan
077	Jordan
078	Kenya
079	Kiribiti
080	Korea, North
081	Korea, South
082	Kuwait
083	Laos
084	Lebanon
085	Lesotho
086	Liberia
087	Libya
088	Liechtenstein
089	Luxembourg
090	Madagascar
091	Malawi

Code	Country
092	Malaysia
093	Maldives
094	Mali
095	Malta
096	Mauritana
097	Mauritius
098	Mexico
099	Monaco
100	Mongolia
101	Morocco
102	Mozambique
103	Nauru
104	Nepal
105	Netherlands
106	New Zealand
107	Nicaragua
108	Niger
109	Nigeria
110	Norway
111	Oman
112	Pakistan
113	Panama
114	Papua New Guinea
115	Paraguay
116	Peru
117	Philippines
118	Poland
119	Portugal
120	Qatar
121	Romania
122	Rwanda
123	St. Lucia
124	St. Vincent and the Grenadines
125	San Marino
126	Sao Tome and Principe
127	Saudi Arabia
128	Senegal
129	Sychelles
130	Sierra Leone
131	Singapore
132	Solomon Islands
133	Somalia
134	South Africa, including South West Africa (Namibia), Bophuthatswana, Transkei, and Venda
135	Spain
136	Sri Lanka
137	Sudan
138	Suriname
139	Swaziland
140	Sweden
141	Switzerland
142	Syria
143	Tanzania

Code	Country
144	Thailand
145	Togo
146	Tonga
147	Trinidad and Tobago
148	Tunisia
149	Turkey
150	Tuvalu
151	Uganda
152	USSR
153	United Arab Emirates
154	United Kingdom, including England, Scotland, Wales, and Northern Ireland
155	Upper Volta
156	Uruguay
157	Vanuatu (New Hebrides)
158	Vatican City State
159	Venezuela

Code	Country
160	Vietnam
161	Western Samoa
162	Yemen, People's Democratic Republic of (S. Yemen)
163	Yemen Arab Republic (N. Yemen)
164	Yugoslavia
165	Zaire
166	Zambia
167	Zimbabwe
168	Antigua
169	Barbuda
170	American Samoa
171	St. Christopher and Nevis
999	Unknown

ARFC	Adjustment of Reduction Factor Code
-------------	--

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Fixed Benefit
Related Data Elements:	CRIM-C, PIA, MBA

DESCRIPTION AND PURPOSE

Adjustment of Reduction Factors (ARF) that apply to this beneficiary.

POSSIBLE VALUES

Blank – Default Value

- A – Adjustment of Reduction Factor (ARF) given at age 62 (widow only)
- B – ARF given at Normal Retirement Age (NRA)
- C – ARF given at age 62 and Normal Retirement Age (NRA) (widow only)
- D – Aged widow (beneficiary identification code (BIC) = D) attained age 65 prior to 1/73 and ARF given in 1/73
- J – ARF must be refigured at age 62 (obsolete)
- K – ARF must be refigured at Normal Retirement Age (NRA) (obsolete)
- L – ARF must be refigured at age 62 and Normal Retirement Age (NRA) (obsolete)
- M – ARF must be refigured for aged widow (BIC = D) who attained age 65 prior to 1/73 (obsolete)

Obsolete Codes: 4, 9

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

A person who applies for early retirement benefits takes a lifetime reduction in benefits. A Reduction Factor (RF) is applied to their benefit in direct ratio to the number of months before Normal Retirement Age (NRA) they file.

If, subsequent to early filing, a person returns to work and has sufficient earnings to stop collecting retirement benefits, an adjustment will be applied to the RF. The ARF is calculated for workers at their NRA. In 1973, SSA began allowing widows to retire at age 60. Therefore, an ARF could be calculated at age 62 and then again at NRA.

Codes J, K, L, and M were used as alerts before automated ARF processing. They would have been set when a person applied for early retirement benefits.

BIC	Beneficiary Identification Code
------------	--

Type:	Character 2
Data Format:	None
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Fixed Benefit
Related Data Elements:	LAF, TOC, CEC

DESCRIPTION AND PURPOSE

This data element reflects the category of benefit for which the claimant has applied. May not have been approved or for other reasons not active.

BIC is two-digit “telescoping” field. The first digit identifies the broad type of beneficiary, and the second digit further distinguishes the beneficiary.

First Character = Type of Benefit
Second Character = Benefit Description

Common First Characters:

- A Primary Claimant/ number holder
- B Spouse of the number holder
- C Child of the number holder
- D Widow/widower of the number holder (at least 60 years old)
- E Young widow caring for a child of the number holder/worker.
Children must be under 16 or became disabled before 18 years.
- F Parents of the number holder
- J, K Prouty beneficiaries³
- M, T Health insurance only involved
- W Disabled widow/widower of number holder (at least 50 years old)

POSSIBLE VALUES

- A – Primary Claimant

³ As part of the Tax Adjustment Act of 1966, Congress provided retirement benefits, to be paid out of general revenue, for people over age 72 who were not insured for Social Security benefits. These benefits became known as Prouty benefits. A person could be entitled to this special payment even with no quarters of coverage if he or she attained age 72 before 1968. Those attaining age 72 after 1967 had to have at least 3 quarters of coverage for each calendar year after 1966 and before the year in which they attained age 72. The benefit amount was the same as for transitional benefits (Kollman, 1996). As of the year 2000, Prouty beneficiaries were almost extinct.

- B – Aged wife, age 62 or over (first claimant)
- B1 – Aged husband, age 62 or over (first claimant)
- B2 – Young wife, with child < 16 in her care (first claimant)
- B3 – Aged wife (second claimant)
- B4 – Aged husband (second claimant)
- B5 – Young wife (second claimant)
- B6 – Divorced wife, age 62 or over (first claimant)
- B7 – Young wife, (third claimant)
- B8 – Aged wife (third claimant)
- B9 – Divorced wife (second claimant)
- BA – Aged wife (fourth claimant)
- BD – Aged wife (^{fifth} claimant)
- BG – Aged husband (third claimant)
- BH – Aged husband (fourth claimant)
- BJ – Aged husband (fifth claimant)
- BK – Young wife (fourth claimant)
- BL – Young wife (fifth claimant)
- BN – Divorced wife (third claimant)
- BP – Divorced wife (fourth claimant)
- BQ – Divorced wife (fifth claimant)
- BR – Divorced husband (first claimant)
- BT – Divorced husband (second claimant)
- BW – Young husband (second claimant)
- BY – Young husband (first claimant)

Range C1 through C9 – Child (includes minor, student or disabled child)
 Range CA through CK – Child (includes minor, student or disabled child)

- D – Aged widow, age 60 or over (first claimant)
- D1 – Aged widower, age 60 or over (first claimant)
- D2 – Aged widow (second claimant)
- D3 – Aged widower (second claimant)
- D4 – Widow (remarried after attainment of age 60)
(first claimant)
- D5 – Widower (remarried after attainment of age 60)
(first claimant)
- D6 – Surviving divorced wife, age 60 or over (first claimant)
- D7 – Surviving divorced wife (second claimant)
- D8 – Aged widow (third claimant)
- D9 – Remarried widow (second claimant)
- DA – Remarried widow (third claimant)
- DC – Surviving divorced husband (first claimant)
- DD – Aged widow (fourth claimant)
- DG – Aged widow (fifth claimant)
- DH – Aged widower (third claimant)

- DJ – Aged widower (fourth claimant)
- DK – Aged widower (fifth claimant)
- DL – Remarried widow (fourth claimant)
- DM – Surviving divorced husband (second claimant)
- DN – Remarried widow (fifth claimant)
- DP – Remarried widower (second claimant)
- DQ – Remarried widower (third claimant)
- DR – Remarried widower (fourth claimant)
- DS – Surviving divorced husband (third claimant)
- DT – Remarried widower (fifth claimant)
- DV – Surviving divorced wife (third claimant)
- DW – Surviving divorced wife (fourth claimant)
- DX – Surviving divorced husband (fourth claimant)
- DY – Surviving divorced wife (fifth claimant)
- DZ – Surviving divorced husband (fifth claimant)

- E – Mother (widow) (first claimant)
- E1 – Surviving divorced mother (first claimant)
- E2 – Mother (widow) (second claimant)
- E3 – Surviving divorced mother (second claimant)
- E4 – Father (widower) (first claimant)
- E5 – Surviving divorced father (widower) (first claimant)
- E6 – Father (widower) (second claimant)
- E7 – Mother (widow) (third claimant)
- E8 – Mother (widow) (fourth claimant)
- E9 – Surviving divorced father (widower) (second claimant)
- EA – Mother (widow) (fifth claimant)
- EB – Surviving divorced mother (third claimant)
- EC – Surviving divorced mother (fourth claimant)
- ED – Surviving divorced mother (fifth claimant)
- EF – Father (widower) (third claimant)
- EG – Father (widower) (fourth claimant)
- EH – Father (widower) (fifth claimant)
- EJ – Surviving divorced father (third claimant)
- EK – Surviving divorced father (fourth claimant)
- EM – Surviving divorced father (fifth claimant)

- F1 – Father of deceased wage earner
- F2 – Mother
- F3 – Stepfather
- F4 – Stepmother
- F5 – Adopting Father
- F6 – Adopting Mother
- F7 – Second alleged father
- F8 – Second alleged mother

- J1 – Primary Prouty (see footnote 3 on page 66) entitled to Health Insurance Benefits (HIB) (Less than 3 Quarters of Coverage (QC) (General Fund). If the first character is J or K, the subscripts indicate the number of quarters of coverage.
- J2 – Primary Prouty entitled to HIB (over 2 QC) (Old-Age and Survivors Insurance (OASI) trust fund)
- J3 – Primary Prouty not entitled to HIB (less than 3 QC) (General fund)
- J4 – Primary Prouty not entitled to HIB (over 2 QC) (RSI trust fund)

- K1 – Prouty wife entitled to HIB (less than 3 QC) (General fund) (first claimant)
- K2 – Prouty wife entitled to HIB (over 2 QC) (RSI trust fund) (first claimant)
- K3 – Prouty wife not entitled to HIB (less than 3 QC) (General fund) (first claimant)
- K4 – Prouty wife not entitled to HIB (over 2 QC) (RSI trust fund) (first claimant)
- K5 – Prouty wife entitled to HIB (less than 3 QC) (General fund) (second claimant)
- K6 – Prouty wife entitled to HIB (over 2 QC) (RSI trust fund) (second claimant)
- K7 – Prouty wife not entitled to HIB (less than 3 QC) (General fund) (second claimant)
- K8 – Prouty wife not entitled to HIB (over 2 QC) (RSI trust fund) (second claimant)
- K9 – Prouty wife entitled to HIB (less than 3 QC) (General fund) (third claimant)
- KA – Prouty wife entitled to HIB (over 2 QC) (RSI trust fund) (third claimant)
- KB – Prouty wife not entitled to HIB (less than 3 QC) (General fund) (third claimant)
- KC – Prouty wife not entitled to HIB (over 2 QC) (RSI trust fund) (third claimant)
- KD – Prouty wife entitled to HIB (less than 3 QC) (General fund) (fourth claimant)
- KE – Prouty wife entitled to HIB (over 2 QC) (RSI trust fund) (fourth claimant)
- KF – Prouty wife not entitled to HIB (less than 3 QC) (General fund) (fourth claimant)
- KG – Prouty wife not entitled to HIB (over 2 QC) (RSI trust fund) (fourth claimant)
- KH – Prouty wife entitled to HIB (less than 3 QC) (General fund) (fifth claimant)
- KJ – Prouty wife entitled to HIB (over 2 QC) (RSI trust fund) (fifth claimant)

- KL – Prouty wife not entitled to HIB (less than 3 QC) (General fund) (fifth claimant)
- KM – Prouty wife not entitled to HIB (over 2 QC) (RSI trust fund) (fifth claimant)

- M – Uninsured beneficiary (not qualified for automatic HIB). Codes M and T get health insurance but no retirement benefits. M gets supplemental health only.
- M1 – Uninsured beneficiary (qualified for automatic HIB but requests only Supplemental Medical Insurance Benefits (SMIB))

- T – Uninsured beneficiary or renal disease beneficiary only. Codes M and T get health insurance but no retirement benefits. M gets supplemental health only.
- TA – Medicare Qualified Government Employment (MQGE) primary beneficiary
- TB – MQGE aged spouse (first claimant)
- TC – MQGE Disabled Adult Child (DAC) (first claimant)
- TD – MQGE aged widow(er) (first claimant)
- TE – MQGE young widow(er) (first claimant)
- TF – MQGE parent (male)
- TG – MQGE aged spouse (second claimant)
- TH – MQGE aged spouse (third claimant)
- TJ – MQGE aged spouse (fourth claimant)
- TK – MQGE aged spouse (fifth claimant)
- TL – MQGE aged widow(er) (second claimant)
- TM – MQGE aged widow(er) (third claimant)
- TN – MQGE aged widow(er) (fourth claimant)
- TP – MQGE aged widow(er) fifth claimant)
- TQ – MQGE parent (female)
- TR – MQGE young widow(er) (second claimant)
- TS – MQGE young widow(er) (third claimant)
- TT – MQGE young widow(er) (fourth claimant)
- TU – MQGE young widow(er) (fifth claimant)
- TV – MQGE disabled widow(er) (fifth claimant)
- TW – MQGE disabled widow(er) (first claimant)
- TX – MQGE disabled widow(er) (second claimant)
- TY – MQGE disabled widow(er) (third claimant)
- TZ – MQGE disabled widow(er) (fourth claimant)
- Range T2 through T9 – MQGE (DAC) (second to ninth claimant)

- W – Disabled widow, age 50 or over (first claimant)
- W1 – Disabled widower, age 50 or over (first claimant)
- W2 – Disabled widow (second claimant)
- W3 – Disabled widower (second claimant)
- W4 – Disabled widow (third claimant)

W5– Disabled widower (third claimant)
 W6– Disabled surviving divorced wife (first claimant)
 W7– Disabled surviving divorced wife (second claimant)
 W8– Disabled surviving divorced wife (third claimant)
 W9– Disabled widow (fourth claimant)
 WB – Disabled widower (fourth claimant)
 WC – Disabled surviving divorced wife (fourth claimant)
 WF – Disabled widow (fifth claimant)
 WG – Disabled widower (fifth claimant)
 WJ– Disabled surviving divorced wife (fifth claimant)
 WR – Disabled surviving divorced husband (first claimant)
 WT – Disabled surviving divorced husband (second claimant)

Obsolete Codes: 01-09, 10-12, 21, 31, 41, 51, 61, 71, 81, 91

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

The growth of the BIC field reflects the historical growth of the Social Security programs and the broad course of social trends in the United States. Examples of this include the addition of codes for up to five wives and the addition of codes for husbands.

BIC codes A and B may be old-age or disability beneficiaries. TOC may be used to differentiate. BIC codes D,E, F, and W are all survivor beneficiaries. J and K apply to a special, and rapidly disappearing, group of people who were ineligible for other benefits. M and T codes apply to people who receive no benefits but receive Medicare. The BIC M people do not receive the HIB but do receive the Supplemental Medical Insurance Benefits (SMIB).

BIC code C applies to children of beneficiaries who are themselves eligible for benefits. There are three categories of children who receive benefits:

- minor children (under 18)
- disabled children (age 18 or older)
- students (until finish high school or about 19)

They may receive benefits based on three types of workers:

- Retired Worker
- Deceased Worker
- Disabled Worker

The MBR data element TOC can be used to distinguish among the children and the source of their benefits.

TOC Codes by Category of Child and Benefit Program

	Retired Worker	Deceased Worker	Disabled Worker
Minor (<18)	1	0	5
Disabled child	4	3	7
Student	9	8	R

If others (spouse, children, etc.) are eligible for benefits based on their relationship with this account holder, then the field BIC also exists on another portion of the record.

BOAN	Beneficiary Own Account Number
-------------	---------------------------------------

Type:	Numeric 9
Data Format:	
Cleared:	No
Repeating:	Yes
Reset:	No
Edited:	Yes
Master Record Location:	Variable Benefit-BRD
Related Data Elements:	CAN, BIC, OTAN

DESCRIPTION AND PURPOSE

This data element reflects the beneficiary's own SSN as shown on the MBR or SSN file.

The BOAN is the SSN of a secondary beneficiary receiving payments from the CAN's account. For primary beneficiaries (BIC=A,M or T) there is no variable benefit-BRD section and so no BOAN field for that person.

POSSIBLE VALUES

Blank = missing value; may be a foreign beneficiary.
 Bytes 1-3 = area number
 Bytes 4-5 = group number
 Bytes 6-9 = serial number

USAGE NOTES

This data element appears once per beneficiary on an MBR record.

A person who is an auxiliary on one record may also be entitled as the primary on another. This occurs in dual entitlement situations that are explained more fully in Section 5.1.3, in particular on pages 50 and following. The same BOAN may appear on several records as an auxiliary but on only one record as the primary.

On some MBR records the BOAN is missing even though the beneficiary has an SSN.

BPC	Benefit Payment Code
------------	-----------------------------

Type:	Character 1
Data Format:	Bit-code
Repeating:	Yes
Reset:	No
Edited:	
Master Record Location:	Fixed Benefit
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element provides the only way to know whether a widow's benefits were reduced because of early retirement of the spouse (e.g., the husband elected reduced benefits). The wife cannot receive any more than the husband received per month.

POSSIBLE VALUES

Limits Value	BCDIC Bit Value	Definition
	B	1 always set
W	A	1 if widow's benefit reduced to primary benefit amount. Primary was receiving a reduced benefit at time of death (usually because of early retirement). The surviving spouse cannot receive more than the primary was receiving.
R	8	1 if R Type dual entitlement- this beneficiary is receiving two checks because two trust funds are involved
G	4	1 if beneficiary is a grandchild
A	2	1 if reduced for age for Beneficiary's Identification Codes (BIC) A, B, D, or W; for BIC K, this is set if referring to a spouse who is eligible for, but not entitled to Prouty benefits (for this situation Type of Claim (TOC=1) is more reliable). For Prouty see footnote 3 on page 66.
X	1	1 if X Type dual entitlement-benefits from two records on a combined check (wife and husband or one person as BIC A and also BIC B)

See Section 4.2.1 for details on how bit-coded data elements may be presented in the data.

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

When a dual entitlement situation comes into existence this data element will be set. Because it is sometimes set manually, it may not be set at all. It may still be set after the entitlement is terminated. (RESET = NO). For examples of R and X type dual entitlement, see Section 5.1.3, in particular pages 50 and following.

BPD	Benefit Paid Designation Indicator
------------	---

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Variable Benefit-HIST
Related Data Elements:	LAF, RFD, WIC

DESCRIPTION AND PURPOSE

This data element indicates that a benefit was paid or credited in the current month. It appears as part of the benefit history group. It is used for studying how benefit status changes over time.

POSSIBLE VALUES

- 0 - Not paid
- 1 - Benefit paid or credited

USAGE NOTES

On an MBR record, if payment history exists, this field occurs up to 48 times per beneficiary on this account. Benefit history data are recomputed, and overwritten, when new information requires changes. For more information on historic data, see Section 5.1.1.

Use LAF to determine if a person is currently being paid (LAF=C).

CAN	Claim Account Number
------------	-----------------------------

Type:	Numeric 9
Data Format:	
Repeating:	No
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Record Control
Related Data Elements:	BOAN, BIC

DESCRIPTION AND PURPOSE

This data element is the SSN of the person whose earnings are the basis of this benefit record, i.e., the primary claimant. If there is a secondary beneficiary receiving payments from the account, the beneficiary's SSN is stored in the BOAN.

POSSIBLE VALUES

Bytes 1-3 = area number
 Bytes 4-5 = group number
 Bytes 6-9 = serial number

USAGE NOTES

This data element occurs once per record on the MBR.

CC	Custody Code
-----------	---------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Variable Benefit- REPD
Related Data Elements:	TOP, GS

DESCRIPTION AND PURPOSE

This data element designates the living situation, or the person who has physical custody, of a beneficiary who has or has had a representative payee. A representative payee exists if the beneficiary cannot handle his awarded benefits. He may, however, still be able to care for himself physically.

POSSIBLE VALUES

- A – Beneficiary Direct (SEL)
- B – Spouse (SPO)
- C – Natural or Adoptive Father (FTH)
- D – Natural or Adoptive Mother (MTH)
- E – Stepfather (SFT)
- F – Stepmother (SMT)
- G – Grandparent (GPR)
- H – Natural or Adoptive Child or Stepchild (CHD)
- I – Other Relative (REL)
- J – Federal Non Mental Institution (FDO)
- K – Federal Mental Institution (FDM)
- L – State or Local Non Mental Institution (SLO)
- M – State or Local Mental Institution (SLM)
- N – Privately-Owned Non Mental Institution (PRO)
- O – Privately-Owned Mental Institution (PRM)
- P – Nonprofit Non Mental Institution (NPO)
- Q – Nonprofit Mental Institution (NPM)
- R – Financial Organization (FIN)
- S – Social Agency (AGY)
- T – Public Official (OFF)
- U – Other (OTH)
- V – In Payee's Custody (PYE)

Obsolete Codes: W, X, Y, Z, 0, 4

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

If a beneficiary begins to take care of himself both physically and financially this value is changed but not cleared. If the benefit is terminated the old information remains.

Codes A to U are only used if the beneficiary does not live with the person receiving the monthly checks. See TOP for clarification on the relationship of the representative payee and the beneficiary.

Code T refers to an agent of a state or other government entity who performs official duties as a guardian.

Privately owned institutions are operated to make a profit by an individual or corporation. Non-profit institutions are non-governmental and do not operate for a profit. Those include homes run by religious organizations and charities.

Non-mental institutions include nursing homes and extended care facilities.

See TOP for the relationship of the representative payee to the beneficiary.

CEC	Current Entitlement Code
------------	---------------------------------

Type:	Character 1
Data Format:	Bit-code
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	Yes
Master Record Location:	Fixed Benefit
Related Data Elements:	BIC, TOC, LAF

DESCRIPTION AND PURPOSE

This data element provides additional information about the beneficiary's current entitlement.

POSSIBLE VALUES

Limits Value	BCDIC Bit Value	Definition
	B	1 always set
D	A	1 if de facto spouse (this spouse thought he/she was legally married but was not)
E	8	1 if entitled only for disabled child-in-care (parent is beneficiary and has a disabled child in care)
B	4	1 if disabled beneficiary or deemed disabled beneficiary; identifies all beneficiaries entitled to DIB
S	2	1 if school child (must be <17 years and six months old; or 18-19 and still in primary or secondary school)
L	1	1 if longevity marriage (married at least 10 years)

See Section 4.2.1 for details on how bit-coded data elements may be presented in the data.

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

Can be used to distinguish different types persons with BIC code of A or C. One cannot have both bits 4 and 2 set at the same time.

The Limits value B is used to determine those who are currently entitled to disability benefits. Data elements that pertain to the disabled are not cleared if the entitlement is terminated. These fields, such as DAC, DDO, and DIG, cannot be used to determine the current status of a DIB award. For statistical projects, including the Annual Statistical Supplement, the data elements TOC, LAF and BIC are used to determine disability status.

Limits value L applies to divorced men or women who wish to receive spousal or survivor benefits on their ex-spouse's account.

When an individual's benefits are terminated because the child is no longer disabled, the CEC 8 bit will not be cleared. However, if the disabled benefits are terminated the CEC 4 bit will be cleared/canceled. If a student's benefits are terminated the 2 bit is not cleared unless the student is entitled to another benefit.

CIS1	Computation and Insured Status 1
-------------	---

Type:	Character 1
Data Format:	Bit-code
Repeating:	No
Cleared:	No
Reset:	Yes
Edited:	No
Master Record Location:	Fixed Account
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element contains flags for miscellaneous information that is needed for eligibility and to capture special events that affected this record.

POSSIBLE VALUES

Limits value	BCDIC bit value	Definition
	B	1 always set
F	A	1 if folder reference required (may be inactive or obsolete)
D	8	1 if disability freeze involved
N	4	1 if new start computation used
W	2	1 if workers compensation/MEGACAP offset has ever been involved
C	1	1 if currently insured only

See Section 4.2.1 for details on how bit-coded data elements may be presented in the data.

USAGE NOTES

This data element occurs once per record on the MBR, except for RICX records.

Limits value F refers to folder reference. This indicates that one must look at the paper folder of information to get the details of this situation. This should be obsolete.

Even when a record is terminated the flags here will remain set.

CRIM-C Cumulative Retirement Increment Months Current
--

Type:	Numeric 2
Data Format:	
Repeating:	Yes
Cleared:	Yes
Reset:	No
Edited:	Yes
Master Record Location:	Variable Benefit- DRCD
Related Data Elements:	PIA, ARFC, MBA

DESCRIPTION AND PURPOSE

This data element reflects the total number of delayed retirement increment months used in the present benefit computation.

POSSIBLE VALUES

Range 00 through 84 – Total number of delayed retirement increment months used
Zero – Default value for data purification

USAGE NOTES

This data element occurs on an MBR record once per beneficiary for whom the Delayed Retirement Credit Data applies.

The delayed retirement credit increases the benefit amount for people who did not receive benefits after reaching Normal Retirement Age (NRA). Beginning in January of the year following an individual's NRA, one delayed retirement credit is given for each month in which the individual is eligible but does not receive a benefit. This continues until the individual reaches age 70. The number of months is stored in CRIM-C. These months result in a percentage increase in the monthly PIA that is currently approximately equal to ¼ percent per month.

DAA	Drug Addiction and Alcoholism
------------	--------------------------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Benefit-DIB
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element reflects the Drug Addiction & Alcohol status of the beneficiary

POSSIBLE VALUES

- blank – the default
- A – Alcohol
- B – Both (Alcoholism and Drug Addiction)
- D – Drug Addiction
- N – Not Material
- P – Pending
- W – Not a DA & A Condition
- X – Alcoholism Involved – Not Material to Determination of Disability
- Y – Drug Addiction Involved - Not Material to Determination of Disability
- Z – Both Alcoholism and Drug Addiction Involved - Not Material to Determination of Disability

USAGE NOTES

On an MBR record, if it applies, this field occurs up to 12 times per beneficiary on this account. For more information on historic data, see Section 5.1.1.

Drug and alcohol dependency is not currently considered a basis for eligibility for disability benefits. At one time, Congress passed special legislation to entitle this segment of the population. The legislation was repealed in 1996. DAA beneficiaries could apply for continuing benefits using another basis for eligibility. If an appeal was made before July 1999 payments were continued until a decision was made or until January 1997, whichever was later. Some of the early filers are still receiving benefits

because their appeals have not been resolved. These cases are the result of an oversight or, in some cases, a class action lawsuit. If a DAA beneficiary filed an appeal after July of 1996 benefits were terminated as of January 1997. Anyone currently receiving benefits based on DAA has a DAA code of A, B, D, or P. After January of 1997 some coding errors assigned codes A, B, or D. Recent systems modifications have tightened the edits to avoid this happening.

Currently, if a person is eligible for Title II disability benefits and also has a DAA problem, a code of X, Y, or Z is set in the DAA field. This is done to enable the field office to refer the person to treatment and because a fee-for-services representative payee will be entitled to extra money for looking after the disabled person.

DAC	Disability Award Code and Special Action Code
------------	--

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Benefit–DIB
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element represents types of disabilities in terms of their effects on processing, such as the eligibility date for HI/SMI. Normal processing edits are ignored because of these codes.

POSSIBLE VALUES

blank – Default Value

- A – Health Insurance/Supplemental Medical Insurance (HI/SMI) Entitlement based upon disability on another claim number
- C – Retirement Insurance Benefit/Disability Insurance Benefit (RIB/DIB) - getting HI/SMI on DIB months
- K – Invalid code entered
- L – 1972 Blind provision
- N – Blind, 1967 Definition
- P – Blind prior to age 31, 1967 Definition
- R – Insured under special insured status provision for young disabled
- S – Blind, Original Definition
- T – Blind prior to age 31, Original Definition
- U – Short-term Disability
- X – No waiting period– if re-entitled there is no waiting period

USAGE NOTES

On an MBR record, if it applies, this field occurs up to 12 times per beneficiary on this account. For more information on historic data, see Section 5.1.1.

Example: If a child has parents who are both disabled, the child will receive benefits from the parent with the largest PIA. However, this entitlement may have a later start date than the other account. By setting

an A here, the rules about which start date will not be used and the child's eligibility can go back to the earliest start date.

DDCO	Direct Deposit Code
-------------	----------------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Variable Payment–BID
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element reflects the type of account where the benefit payment is deposited if the individual is receiving payment through direct deposit.

POSSIBLE VALUES

C – Checking
S – Savings

USAGE NOTES

On an MBR record, if it applies, this field occurs once per check from this account.

DDO	Date of Disability Onset
------------	---------------------------------

Type:	Packed, Days REL 1800
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Benefit-DIB
Related Data Elements:	DOEC, DOED, DOA, DOEI, DOF, ELY

DESCRIPTION AND PURPOSE

This data element reflects the date of disability onset (month, day, and year) that one became disabled medically and has earned disability insurance (worked 20 of the last 40 quarters). Payments usually begin six months after the Date of Disability Onset (see DOEC).

POSSIBLE VALUES

In the master file, this is the number of days since January 1800.

In recurring extract files, this is a date in the form MMDDCCYY.

USAGE NOTES

On an MBR record, if it applies, this field occurs up to 12 times per beneficiary on this account. For more information on historic data, see Section 5.1.1. For a discussion of date formats see Section 4.2.3.

Quarters of coverage are tied to earnings but are only credited over time. If enough is earned in the first quarter of the year to earn four quarters there is no requirement to earn more that year. However, for insurance purposes, the credits of quarters will not be allocated until the designated dates (1/1, 4/1, 7/1, 10/1). To be eligible for disability benefits, one must have worked 20 of the last 40 quarters. This may affect the DDO.

DESC	Dual Entitlement Status Code
-------------	-------------------------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Benefit–DED
Related Data Elements:	TOD

DESCRIPTION AND PURPOSE

This data element reflects the status of payment for primary/smaller and secondary/larger entitlements when this beneficiary is dually entitled. DE exists when a beneficiary is eligible for benefits from two or more workers' earnings. Further, for the person to qualify for DE, the secondary benefit must be greater than the primary.

For more information on dual entitlement, see Section 5.1.3, in particular pages 50 and following.

POSSIBLE VALUES

blank – Default Value

- 0 – Neither benefit in current payment status
- 1 – Smaller benefit only in current payment status
- 2 – Larger benefit only in current payment status
- 3 – Both benefits eligible for current payment status. (Checks may be combined or separate). If both payments are from the same trust fund the value of LAF on one record would be a C; on the other LAF, the value would be AD. Or, when the payments are from the different trust funds, both LAFs are C.
- 4 – Primary is working on record on which auxiliary entitlement exists
- 5 – Larger benefit is subject to full GP/WC offset (government pension/workers compensation)
- S – Dual entitlement is suspended, technical entitlement exists (Suspension occurs when some condition would prevent receipt of benefits, such as a retiree earning too much.)
- T – Dual entitlement terminated

USAGE NOTES

On an MBR record, if a dual entitlement situation applies, this field occurs up to two times per beneficiary on this account.

For Triple Entitlement Cases (TOD=4), Dual Entitlement Status Code (DESC) is based on Primary (A) and Auxiliary (B) claims. There will be a second dual entitlement record segment. See TOD for further discussion of Triple Entitlement. It is assumed that the Survivor (D) benefit is in the payment status as the primary payment status.

Benefit 1 = primary/smaller benefit (BIC-A = worker's own retirement or disability).

Benefit 2 = secondary/auxiliary/larger benefit (only exists if the other is greater than own) (the auxiliary claim)

		B1 current?	
		Yes	No
B2 current?	Yes	3	2
	No	1	0

If LAF = AD then one cannot tell why not paying LEMBA (Larger Excess Monthly Benefit Amount) because of combined payment. If there are separate payment portions for each benefit then it would be easier.

DIG	Diagnosis Code
------------	-----------------------

Type:	Character 4
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	No
Master Record Location:	Variable Benefit–DID
Related Data Elements:	PDX in 831 file; DIBDIG in SSR file

DESCRIPTION AND PURPOSE

This data element identifies the primary impairment code used in the medical determination of an individual's eligibility for disability benefits. DIG contains a four-digit impairment code devised by SSA (SSA impairment codes) or an ICD-9 code without decimal point (e.g., 3195 rather than 319.5). With few exceptions, if the DIG contains an SSA code, the fourth digit is zero.

POSSIBLE VALUES

A complete set of SSA Impairment Codes and their corresponding ICD-9 codes is given in Appendix C (page 582).

The following table groups the codes into diagnostic groups. These groupings are used in the computation of tables 5.D5, 5.D6, and 5.D41 in the Annual Statistical Supplement to the Social Security Bulletin. Only the leftmost three digits are used in most cases, as the fourth digit is generally a zero.

Impairment Codes	Diagnosis group
000	Blank
001, 649, 677-679, 999	Invalid codes
002-139	Infectious and parasitic diseases
140-239	Neoplasms
240-247, 249-279	Endocrine, nutritional, metabolic
280-289	Diseases of the blood
290-316 (except 315.2)	Mental disorders (other than mental retardation)
317-319 (except 319.5)	Mental retardation
320-389	Nervous system and sense organs
390-459	Circulatory system

Impairment Codes	Diagnosis group
460-519	Respiratory system
520-579	Digestive system
580-629	Genitourinary system
680-709	Skin and subcutaneous tissue
710-739	Musculoskeletal system
740-759	Congenital anomalies
800-998	Injuries
248, 315.2, 319.5, 630-648, 650-676, 760-799	Other

Note: Code 249 used to be grouped under “mental disorders.”

USAGE NOTES

If applicable, this data element occurs once per beneficiary on the MBR.

ICD-9 codes were used exclusively before 1985. Since that time, the DDS have been using the new SSA Impairment coding scheme. ICD-9 codes delineate specific diagnoses with four digits; the SSA codes are usually less specific three-digit codes. The DDS should use SSA Impairment Codes, but continues to use both coding systems.

The fourth digit is a subcategory of the first three digits category.

Code 3195, borderline intellectual functioning, and code 3152, learning disorder, occur frequently for disabled children.

DIG does not appear in historical data. Only information on the most recent entitlement is in the MBR. This data element is not cleared if disability is reversed or cancelled.

DIG2	Diagnosis Code—Secondary
-------------	---------------------------------

Type:	Character 4
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	No
Master Record Location:	Variable Benefit—DID
Related Data Elements:	DIG; SDX in 831 file; DIBDIG2 in SSR file

DESCRIPTION AND PURPOSE

This data element identifies the secondary impairment code used in the medical determination of an individual's eligibility for disability benefits. DIG2 contains a four-digit impairment code devised by SSA (SSA impairment codes) or an ICD-9 code without decimal point (e.g., 3195 rather than 319.5). With few exceptions, if the DIG2 contains an SSA code, the fourth digit is zero.

POSSIBLE VALUES

A complete set of SSA Impairment Codes and their corresponding ICD-9 codes is given in Appendix C (page 582). Also see data element DIG.

USAGE NOTES

See data element DIG.

DOA	Date of Action
------------	-----------------------

Type:	Character 6
Data Format:	Date-MMCCYY
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	Derived, Monthly Admin. Records Extract
Related Data Elements:	DOED

DESCRIPTION AND PURPOSE

Month and Year of Action. This data element is not on the MBR and exists only on the Monthly Administrative Records extract (MOAD), Termination Record. All daily actions (DOA) are accumulated into a monthly value for DOA.

The 'orbit' MBR is updated daily by the MUVALUE program (formerly the Daily Update Master Accounting System, DUMAS). MUVALUE adds and modifies records based on actions that have come in from the District Offices and Payment Centers. Every month, the monthly merge process, MUMBR, merges the 'orbit' MBR with the Master MBR updating the Master file with the latest information. The MUMBR runs twice each month. Twelve segments are processed in one day, and the other eight are processed in another day. Within a week another program, CSPOT, is executed and creates a set of extract files. When the Monthly Administrative Records extracts are created, the current month and the previous month Master MBRs are compared. If there is a difference between the two MBRs then actions have occurred. Actions include:

- A new account number
- A new BIC
- A new type of claim

For example, a disabled worker becomes 65 and gets converted to a retiree. This is on the Awards and the Termination Records. DOA is created during the CSPOT program run.

Approximately every two years, an MBR rewrite occurs that rebuilds the entire MBR. The rewrite is used to make structure changes to the records, and to add, delete and otherwise changes individual fields.

POSSIBLE VALUES

Number of months since January 1800 in master file.

MMCCYY date format in extracts.

USAGE NOTES

DOA should not be used as a substitute for Date of Current Entitlement (DOEC) because DOA indicates the date that the update program ran and implemented a status change. In reality, the actual change might have been effective at an earlier date, and would be captured in the data element DOEC.

DOB	Date of Birth (of beneficiary)
------------	---------------------------------------

Type:	Packed, Days REL1800
Data Format:	Date-MMDDCCYY
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Fixed Benefit
Related Data Elements:	DOBP

DESCRIPTION AND PURPOSE

This data element reflects the date of birth of the beneficiary (month, day and year).

POSSIBLE VALUES

Number of days since January 1, 1800 in master file.

MMDDCCYY date format in extracts.

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

This is a required field for all records. However, on some old and inactive records (LAF Codes T, N, or X), there may not be a value for DOB.

DOBP Date of Birth of Primary

Type:	Packed, Days REL 1800
Data Format:	
Repeating:	No
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Account- VSOP
Related Data Elements:	DOB

DESCRIPTION AND PURPOSE

This data element reflects the date of birth of primary beneficiary (month, day and year).

POSSIBLE VALUES

Number of days since January 1, 1800 in master file.

MMDDCCYY date format in extracts.

USAGE NOTES

This data element occurs once per record on the MBR, except for RICX records.

DOBP is the same as DOB (Date of birth of beneficiary) of the primary claimant on the account. If there is an auxiliary beneficiary, DOB will have the birth date of the auxiliary.

A worker could die before reaching retirement age and the initial claim on the account could be by a survivor. In this case there is no fixed benefit portion for the worker.

This data element is not present for some old records. If the primary is also a beneficiary, then this field should be the same as the value in DOB for the first Fixed Benefit group (BIC=A).

Account Data	Fixed Benefit Data-Self		Fixed Benefit-Spouse	
DOBP	BIC	DOB	BIC	DOB
5/13/25	A	5/13/25	B	2/8/27

DOC	District Office Code
------------	-----------------------------

Type:	Character 3
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes, monthly (may be entered manually without editing)
Master Record Location:	Fixed Payment
Related Data Elements:	ZIP, SCC, ACOC

DESCRIPTION AND PURPOSE

This data element contains the SSA servicing office for the address pertaining to this payment. It corresponds to the ZIP code and is created by referencing a ZIP code/DOC table.

POSSIBLE VALUES

Blank – Unknown or Questionable

Codes – See Appendix B (page 568).

USAGE NOTES

On an MBR record, if it applies, this field occurs once per check from this account.

The ZIP/DOC/SCC lookup table is updated monthly. The ZIP code applies to the payee not the beneficiary. The DOC must correspond to the same location as the ZIP. However, the State/Country Code (SCC) must represent the address of the beneficiary. So, if a benefit check is being delivered to someone else's house, the ZIP and SCC may not pertain to the same geographic location.

If the beneficiary lives abroad, the DOC should be '995' which is located at SSA headquarters. The ZIP code is a three-digit Counselor Office Code of the country in which the beneficiary resides.

Edited monthly by RETAP to ensure that ZIP and DOC are still correct.

DOCA	Date of Credit Action
-------------	------------------------------

Type:	Packed, Months REL 1800
Data Format:	Date-MMCCYY
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	Yes
Master Record Location:	Fixed Benefit
Related Data Elements:	LAF

DESCRIPTION AND PURPOSE

This data element is the date (month and year) when a benefit termination or suspension is processed.

POSSIBLE VALUES

Number of months since January 1800 in master file.

MMCCYY date format in extracts.

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

If a beneficiary has a Ledger Account File (LAF code) of T, S or X, the beneficiary should then have a DOCA. The daily DUMAS accounting cleans up when a beneficiary person returns to active 'LAF status'.

If benefits are reinstated, DOCA is cleared and no date exists. Thus, those with a date in DOCA are beneficiaries who have been terminated or suspended.

DODP	Date of Death of Primary
-------------	---------------------------------

Type:	Packed, Months REL 1800
Data Format:	Date-MMCCYY
Repeating:	No
Cleared:	Yes
Reset:	No
Edited:	Yes
Master Record Location:	Variable Acct-VSOP
Related Data Elements:	DOBP

DESCRIPTION AND PURPOSE

This data element is the date of death (month and year) of the primary claimant.

POSSIBLE VALUES

Number of months since January 1800 in master file.

MMCCYY date format in extracts.

USAGE NOTES

This data element occurs once per record on the MBR, except for RICX records.

DODP is recorded at the death of the primary. This will also appear in the variable beneficiary data (BDOD) for the primary beneficiary.

Some deaths are missed because there is no reason for anyone to report them.

False deaths do occur; that is, a date is in DODP but the primary is not dead.

Erroneous death determination will result in clearing this field (resurrection cases).

DOEC	Date of Current Entitlement
-------------	------------------------------------

Type:	Packed, Months REL 1800
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Fixed Benefit
Related Data Elements:	DOEI, DOED, DDO, DOF, CEC, TOC

DESCRIPTION AND PURPOSE

This data element reflects the date (month and year) of the most recent entitlement. This entitlement may not be active now. Entitlement means that all the requirements for eligibility have been met and a claim has been filed. For the disabled the date may be retroactive for up to 12 months.

POSSIBLE VALUES

Number of months since January 1800 in master file.

MMCCYY date format in extracts.

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

Disabled workers (TOC=5x or 6x) may begin benefits before age 62. However, at age 65 the benefits are converted to old-age/retirement benefits (TOC=1x or 2x). In this situation, DOEI will hold the date the DIB began and DOEC will hold the date old-age benefits began. Hence, if DOEC and DOEI are not the same, it can be assumed the individual was, or is, disabled.

To determine if the beneficiary is currently receiving disability benefits use CEC limit B (4-bit set).

The average earnings for disability benefits are higher than for old-age benefits because salaries used in computing the PIA are more recent.

DOED	Date of Entitlement to Disability
-------------	--

Type:	Packed, Months REL 1800
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Benefit-DIB
Related Data Elements:	DOEC, DOEI, DDO, DOF, DOA, CEC

DESCRIPTION AND PURPOSE

This data element reflects the date (month and year) on which the beneficiary became entitled or deemed entitled to disability benefits. It can be viewed as the date when benefits should have started being paid. There can be more than one DOED for the same beneficiary reflecting different periods of disability.

POSSIBLE VALUES

Number of months since January 1800 in master file.

MMCCYY date format in extracts.

USAGE NOTES

On an MBR record, if it applies, this field occurs up to 12 times per beneficiary on this account. For more information on historic data, see Section 5.1.1

This should be the same as either the DOEI or the DOEC.

DOED is set after the adjudication of the application for benefits. The person should be entitled at this date. There is a five month delay for Disability Insurance (DI) benefits that begins on the first day of the month following the Date of Disability Onset (DDO). The DOED may be set up to 12 months before the date of filing if the disability is shown to have been present earlier.

A date in DOED does not necessarily mean there is current disability insurance benefit (DIB) entitlement. To determine if the beneficiary is

currently receiving disability benefits use Current Entitlement Code (CEC) limit B (4-bit set) or a TOC code of 5 or 6.

To meet the insured status requirement for disability benefits, the beneficiary must have 20 Quarters of Coverage (QC) in the 10 years prior to becoming disabled.

If DOED same date as DOEC	currently disabled
If DOED earlier than DOEC	probably once disabled and converted to Old-age
If DOED later than DOEC	Not possible
If DOED = DOEI = DOEC	First entitlement is disabled and current

DOEI	Date of Initial Entitlement
-------------	------------------------------------

Type:	Packed, Months REL 1800
Data Format:	Date: MMCCYY
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Fixed Benefit
Related Data Elements:	DOF, DDO, DOED, CEC

DESCRIPTION AND PURPOSE

This data element reflects the initial date of entitlement to a Social Security benefit.

POSSIBLE VALUES

Number of months since January 1800 in master file.

MMCCYY date format in extracts.

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

If a worker becomes disabled before age 62, s/he receives a disability benefit and the Type of Claim (TOC) is a 5 or 6. At age 65, this will change to a TOC of 1 or 2. (See Date of Current Entitlement-DOEC).

A beneficiary who becomes disabled after age 62 but before 65 can receive either disabled benefits or old-age benefits. Most will receive a reduced retirement benefit (interim award) for the five month disability waiting period when disability benefits begin. At age 65, the worker would convert to full retirement benefits. However, there will be an actuarial reduction at 65 because of the earlier old-age entitlements.

To determine if the beneficiary is currently receiving disability benefits use CEC limit B (4-bit set) or TOC values of 5 and 6.

DOEI is not the same date of filing (DOF), but it could have the same date as DOF. Sometimes, a person files before other entitlement conditions are met. Thus, file date (DOF) could be earlier than DOEI.

If DOF is earlier than DOEI, this indicates an old-age benefit which was filed before or after age 62, but with a stipulation that the benefits should commence later (namely in the month of election).

If DOF is later than DOEI, this is a DI retroactive entitlement.

DOF	Date of Filing
------------	-----------------------

Type:	Packed, months REL 1800
Data Format:	Date: MMCCYY
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Fixed benefit
Related Data Elements:	DDO, DOEI, DOED

DESCRIPTION AND PURPOSE

This data element reflects the date of filing (month and year) of a claim for the most recent entitlement. This could apply to the primary beneficiary or a secondary beneficiary.

POSSIBLE VALUES

Number of months since January 1800 in master file.

MMCCYY date format in extracts.

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

Some claims are eligible for retroactive benefits but have time limits for the retroactivity. The DOF may then affect the number of retroactive payments which will be made.

If someone between 62 and 65 files for old-age benefits there will be no retroactive payments (going back to earliest eligibility at 62). Benefits will begin at DOF. After age 65 benefits may be retroactive for six months prior to DOF. Disability benefits may be retroactive up to 12 months.

DOST	Date of Suspension/Termination
-------------	---------------------------------------

Type:	Packed, months REL 1800
Data Format:	Date: MMCCYY
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	Yes
Master Record Location:	Fixed Benefit
Related Data Elements:	DOCA

DESCRIPTION AND PURPOSE

Date of Suspension or Termination (month and year). This date is the effective month in which benefits are suspended or terminated. But the actual posting may be delayed. If the MBR posting/annotation is delayed, the date the credit action is processed is reflected in the DOCA.

POSSIBLE VALUES

Number of months since January 1800 in master file.

MMCCYY date format in extracts.

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

If a beneficiary is no longer suspended or terminated, DOST is cleared and set back to a blank value. DOST can therefore be used to determine the status of a benefit.

If the suspension or termination is effective in September but the action is not taken until November, then DOCA and DOST will be different. This occurs when there is a delay in reporting or processing.

DQC	Domestic Quarters of Coverage
------------	--------------------------------------

Type:	Numeric 3
Data Format:	
Repeating:	No
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Acct-TLD
Related Data Elements:	IQC (Not included here)

DESCRIPTION AND PURPOSE

This data element reflects the number of quarters of coverage earned in the United States. This data element only exists if the beneficiary has some work experience in a foreign country with which we have a reciprocity agreement and has filed a totalization claim. These beneficiaries receive moneys from both countries.

POSSIBLE VALUES

0-40 Quarters of Coverage

USAGE NOTES

If it applies, this field occurs once per record on the MBR, except for RICX records.

The Master Earnings File (MEF) keeps the full count of quarters worked. For eligibility for Title II benefits, nothing over 40 matters and is not kept here.

IQC is the number of quarters of coverage earned overseas.

DSD	Disability Adjudication Date
------------	-------------------------------------

Type:	Packed, Months REL 1800
Data Format:	Date: MMCCYY
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Benefit-DIB
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element is the date (month and year) of disability adjudication date. It reflects the month and year that a disability award or denial was posted to the master beneficiary record (MBR) (adjudicated).

POSSIBLE VALUES

Number of months since January 1800 in master file.

MMCCYY date format in extracts.

USAGE NOTES

On an MBR record, if it applies, this field occurs up to 12 times per beneficiary on this account. For more information on historic data, see Section 5.1.1.

EBD	Extended Period of Eligibility Begin Date
------------	--

Type:	Packed, months REL 1800
Data Format:	Date: MMCCYY
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Benefit-DIB
Related Data Elements:	LAF

DESCRIPTION AND PURPOSE

This data element represents the start date for an extended period of eligibility for disability benefits.

POSSIBLE VALUES

Number of months since January 1800 in master file.

MMCCYY date format in extracts.

USAGE NOTES

On an MBR record, if it applies, this field occurs up to 12 times per beneficiary on this account. For more information on historic data, see Section 5.1.1.

There is a limit to the amount of money one can earn without having reductions taken from disability payments. For any month in which one's earnings exceed this limit, there will be no payment. However, for three years, the beneficiary is kept in a suspended state and may resume current pay status whenever income decreases. During this "trial work" period Medicare benefits are maintained. EBD is the first month and year of the 36-month period. After that the benefit may be terminated.

This data element is not cleared when it no longer applies. For example, a disabled person becomes a retiree automatically after age 65. Even though the beneficiary is no longer eligible for disability, the EBD field will remain filled with the earlier value.

During a trial work period with Substantial Gainful Activity (SGA), the LAF code is S7. If the individual is unable to continue work and resumes DIB benefits, the LAF code returns to C for current pay. If SGA

continues beyond 36 months and is terminated, the LAF code will become T8.

ELY	Eligibility Year
------------	-------------------------

Type:	Packed
Data Format:	Date: Years REL-1800
Repeating:	No
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Acct-PIH
Related Data Elements:	PIA, IME

DESCRIPTION AND PURPOSE

This data element is the year the worker becomes first eligible for old-age benefits, becomes disabled, or, for survivor benefits, dies. ELY is set when one applies for benefits. It applies to the CAN person only.

This value is used to select the indexing year and bend points to be used for computing the Primary Insurance Amount (PIA).

POSSIBLE VALUES

Number of years since 1800 on the master file. On extracts, it is a given year such as 1978. The date should not be more than the current year plus one.

USAGE NOTES

If it applies, this field occurs up to 30 times per record on the MBR. For more information on historic data, see Section 5.1.1.

FMAX Family Maximum

Type:	Numeric 5
Data Format:	Money-\$\$\$\$c
Repeating:	No
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Acct-PIH
Related Data Elements:	PIA

DESCRIPTION AND PURPOSE

This data element reflects the maximum monthly benefits payable for this Primary Insurance Amount (PIA) period for this claim.

POSSIBLE VALUES

USAGE NOTES

If it applies, this field occurs up to 30 times per record on the MBR, except for RICX records. For more information on historic data, see Section 5.1.1.

The family maximum usually ranges from 150 percent to 188 percent of the PIA for retirement or survivor cases. A spouse usually receives 50 percent of the PIA while the wage earner is alive. The maximum for disability cases is lower.

GS	Guardian Status
-----------	------------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Variable Benefit– REPD
Related Data Elements:	CC, TOP

DESCRIPTION AND PURPOSE

This data element describes whether this beneficiary has a court appointed guardian or not. If there is a guardian, it further distinguishes the relationship between the guardian and the beneficiary.

To have a guardian, the beneficiary must be a minor or legally declared incompetent.

POSSIBLE VALUES

- E – Beneficiary has an estate in excess of \$100,000
- L – Beneficiary has entered into a “Living Trust Agreement” with a bank or financial institution as payee
- N – No guardian has been appointed
- O – Beneficiary has a guardian, but the payee is not the guardian
- S – Guardian status no longer applicable (obsolete)
- U – Unknown (obsolete)
- Y – Payee is the guardian

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

The guardian may or may not be the Representative Payee (the one who receives the money for the beneficiary).

IME	Indexed Monthly Earnings
------------	---------------------------------

Type:	Numeric 4
Data Format:	Money-\$\$\$\$
Repeating:	No
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Acct-PIH
Related Data Elements:	ELY, PIA, MBA

DESCRIPTION AND PURPOSE

This data element reflects the wage earner's average monthly earnings, indexed for inflation, during the period of years used in the Primary Insurance Amount (PIA) computation.

It is used on the PIA computation when the date of first eligibility (ELY) is after 1978. The amount is rounded to the next lowest dollar.

POSSIBLE VALUES

Blank or dollar amounts

USAGE NOTES

If it applies, this field occurs up to 30 times per record on the MBR, except for RICX records. For more information on historic data, see Section 5.1.1.

This data element is the AIME that is discussed in the Social Security Handbook. For more information on PIA computations, see page 9.

Because the Primary Insurance Amount (PIA) is a function of IME and Monthly Benefit Amount (MBA) is a function of PIA, IME is a factor in the computation of MBA.

The IME is used to translate each year's earnings to an "indexed" amount. The indexed amounts are averaged and a formula is applied to obtain the PIA. The ELY determines the bend points to be used in the formula.

LAF	Ledger Account File (Payment Status)
------------	---

Type:	Character 2
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Fixed Benefit
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element reflects the MBR payment status for this beneficiary.

LAF is a key sample selection data element. It is one of the most used fields for this purpose.

LAF is a two-digit character field. The first character is a status code, and the second character is a “reason” code that expands on the status. Often, only the first character is used for selection purposes.

POSSIBLE VALUES

first Character = Status

second Character = Reason

- A – Withdrawal for adjustment
- AD – Adjusted for dual entitlement
- AS – Adjusted for simultaneous entitlement
- A9 – All other adjust actions
- B – Abatement status
- C – Current payment status (except railroad payment) = principal condition
- D – Deferred payment status
- DP – Deferred because of public assistance
- DW – Deferred because of Workers Compensation (WC) offset
- D1 – Deferred for foreign work test
- D2 – Deferred for annual retirement test
- D3 – Deferred as an auxiliary of a beneficiary whose status is deferred for annual retirement test (LAF = D2)
- D4 – Deferred for no child-in-care
- D5 – Deferred as an auxiliary of a beneficiary whose status is deferred for foreign work test (LAF = D1)

- D6 – Deferred to cover overpayments not covered by any of the above reasons
- D9 – Miscellaneous deferment not provided with a specific code
- E – Current payment through Railroad Board (RRB)
- N – Disallowed claim (technical disallowance)e.g., not enough Quarters of Coverage (QC)
- ND – Denied claim (medical/dental) e.g., not proven disabled
- P – Pending claim (adjudication pending) (no beneficiary in this claim is in a LAF other than B, N, P, T, U OR X) (low order LAF is equal to LAF S low order positions) used with delayed claims to show that upon final adjudication the beneficiary should be placed in LAF S with the same subscript. For example, LAF P2 would indicate that upon adjudication the LAF will become S2
- PB – Delayed claim (another beneficiary in this claim has a LAF other than B, N, P, T, U, or X). This may apply to a child who does not live with the wage earner and for whom information is not yet available.
- PT – Claim has been terminated from delayed status (LAF PB)
- S – Conditional payment status
- SD – Technical entitlement
- SF – Prouty beneficiary fails to meet residency requirement. (For Prouty see footnote 3 on page 66)
- SH – Government pension completely offsets benefits due to a spouse, widow, mother, father or special age 72 (Prouty; see footnote 3 on page 66)
- SJ – Alien suspension
- SK – Deportation
- SL – Barred payment country
- SM – Refused old-age insurance benefits to get Medicare-only coverage (prior to 1/81)
- SP – Prouty beneficiary receiving public assistance (see footnote 3 on page 66).
- SS – Non-payment to post-secondary students during summer months
- SW – WC Offset
- S0 – Pending determination of continuing disability
- S1 – Worked outside the United States (U.S.)
- S2 – Worked inside the U.S.
- S3 – Insured person worked in the U.S.
- S4 – Failure to have child-in-care
- S5 – Insured person worked outside the U.S.
- S6 – For better address
- S7 – Prisoner suspension; suspension because of extended trial work period (EPE SGA); or suspension for refusing Vocational Rehabilitation (VR) Services. (See Reason for Suspension or Termination (RFST) for why suspension occurred)
- S8 – Payee not determined

- S9 – All other suspension reason not specifically defined (miscellaneous suspension) (see RFST for why suspension occurred)
- T – Terminated (not used)
- TA – Advanced filing claim terminated before maturity
- TB – Mother, father terminated-entitled to disabled widow(er)'s benefits
- TC – Disabled widow or widower attained age 65
- TJ – Advance file claim terminated after maturity
- TL – Termination of student (post-secondary) benefits because of legislative changes in student requirements
- TP – Terminated for change of payment identification code (PIC) on post-entitlement actions
- TR – Claimed withdrawn
- TX – DIB attained 65 (also used for auxiliary beneficiaries)
- T – DIB attained 65, converted to RIB (same as TX)
- T0 – Benefits payable by some other agency
- T1 – Death of beneficiary
- T2 – Dependent status terminated because of death of primary beneficiary. This is a very ephemeral code. Most people who lose benefits because of the death of a primary beneficiary will soon convert to a survivors beneficiary.
- T3 – Divorce, marriage, remarriage. (See RFST for why suspension occurred.)
- T4 – Attainment of age 18 or 22 and not disabled; mother/father terminated based on last child's attainment of age 16. Beneficiary Identification Code (BIC) = B2 mother < 62 with child <16
- T5 – Entitled to other benefits equal or larger
- T6 – Termination of mother/father benefits because of death or marriage of last child; termination of benefits because child no longer disabled or attending school. Examination of BIC will determine the meaning of this value.
- T7 – Adoption of child; mother terminated, last entitled child adopted. Valid only if Date of Suspension or Termination (DOST) is earlier than 10/72. Probably not happening any more.
- T8 – DIB no longer disabled; mother/father terminated (BIC = B2, E), child no longer disabled
- T9 – All other termination reasons
- U – Active title XVIII status only
- W – Withdrawal before entitlement
- X – Title XVIII adjustment or termination status
- XD – Withdrawal for adjustment
- X8 – Payee not determined
- XK – Deportation
- XR – Withdrawn from Supplemental Medical Insurance Benefits (SMIB)
- X0 – Claim transferred to RRB
- X1 – Death of beneficiary

- X5 – Entitled to other benefits
- X7 – Health Insurance Benefits (HIB)/SMIB terminated
- X9 – All other reasons

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

Mother = surviving wife with child <16 in-care or disabled before 18.

The most common universe is “C “ (C-blank), current payment status. The LAF code E, like C, also pertains to current payment status. Another commonly used first character value is “T” (termination status).

LAF must often be crossed with BIC (Beneficiary Identification Code) for proper interpretation. In some cases, a single LAF code will define multiple universes, and the only way to distinguish between these universes is by examining BIC. An example of this is when LAF takes the value “T6”, meaning termination of parent because of death/marriage or termination of an individual who is no longer disabled. The BIC field will determine the applicable condition for termination of benefits.

LANG	Language Code
-------------	----------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Fixed Benefit
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element represents the language to be used for written beneficiary notices.

POSSIBLE VALUES

blank – Unknown, Presume English

- C – Chinese
- D – German
- E – English – used now
- F – French
- G – Greek
- I – Italian
- J – Japanese
- N – Norwegian
- P – Polish
- R – Russian
- S – Spanish –used now
- V – Swedish
- W – Serbo-Croatian

Obsolete codes: 2,4,7,9

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

Currently, there are only two valid values: “E” (English) and “S” (Spanish).

The new client database contains a spoken language code. This database holds elements that are common to all other systems used by SSA to capture client data.

LEMBA	Larger Excess Monthly Benefit Amount
--------------	---

Type:	Numeric 4
Data Format:	Money-\$\$\$\$
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Benefit-DED
Related Data Elements:	DESC, TOD, OTPIA, LFMBA, SAMBA

DESCRIPTION AND PURPOSE

This data element reflects the excess amount payable on the Larger Excess Monthly Benefit Amount (LEMBA).

In the case of triple entitlement, LEMBA in the first dual group contains information related to the spouse's (B) claim, and LEMBA in the second dual entitlement group is for the survivor's (D) claim.

For more information on dual entitlement, see Section 5.1.3, in particular pages 50 and following.

POSSIBLE VALUES

Four-digit monetary value.

USAGE NOTES

On an MBR record, if a dual entitlement situation applies, this field occurs up to two times per beneficiary on this account.

LFMBA	Larger Full Monthly Benefit Amount
--------------	---

Type:	Numeric 5
Data Format:	Money-\$\$\$\$c
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Benefit-DED
Related Data Elements:	DESC, TOD, OTPIA, LEMBA, SAMBA

DESCRIPTION AND PURPOSE

This data element reflects the Larger Full Monthly Benefit Amount (LFMBA) reduced for family maximum. In the case of triple entitlement, LFMBA in the first dual entitlement field is for the auxiliary (B) claim, and LFMBA in the second dual entitlement field is for the survivor (D) claim

For more information on dual entitlement, see Section 5.1.3, in particular pages 50 and following.

POSSIBLE VALUES

Five-digit monetary value with one implied decimal fraction, i.e., with dimes but not pennies.

USAGE NOTES

On an MBR record, if a dual entitlement situation applies, this field occurs up to two times per beneficiary on this account.

MBA	Monthly Benefit Amount
------------	-------------------------------

Type:	Numeric 5
Data Format:	Money-\$\$\$\$c
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Variable Benefit-HIST
Related Data Elements:	PIA, BIC, TOC, OTPIA, MBC, MBP

DESCRIPTION AND PURPOSE

This data element reflects a monthly benefit dollar amount, rounded to the nearest dime, that is computed using the Primary Insurance Amount (PIA) of the primary account holder. Adjustments are made for family maximum, age, workers compensation, government pension offset, delayed retirement, and work. Rules associated with the beneficiary's Type of Claim (TOC) and Beneficiary Identification Code (BIC) affect the computations. The MBA is computed for each beneficiary on a record.

POSSIBLE VALUES

Five-digit monetary value with one implied decimal fraction, i.e., with dimes but not pennies.

USAGE NOTES

On an MBR record, if payment history exists, this field occurs up to 48 times per beneficiary on this account. Benefit history data are recomputed, and overwritten, when new information requires changes. For more information on historic data, see Section 5.1.1.

Dimes down rounding (i.e., round down to the nearest dime) applies to months after May 1982

For dual entitlement cases, MBA computation uses the PIA and OTPIA for the account.

Delayed retirement increases MBA. All other adjustments decrease MBA. If a retired individual continues to work, the "earnings test" may temporarily reduce the MBA but will increase it later because of an ARF (see ARFC) or a DRC(see CRIM-C). It is also possible that continued work may result in a recomputation of the base PIA.

MBC	Monthly Benefit Credited
------------	---------------------------------

Type:	Numeric 5
Data Format:	Money-\$\$\$\$c
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Variable Benefit-HIST
Related Data Elements:	MBA, MBP

DESCRIPTION AND PURPOSE

This data element reflects the benefit amount due after any appropriate dollar rounding (the MBA rounded to the nearest dollar) and considering a deductible Supplemental Medical Insurance (SMI) premium, but prior to the collection of any obligations of the beneficiary (including SMI premium). It is the amount that the beneficiary is, was or would have been paid or credited.

POSSIBLE VALUES**USAGE NOTES**

On an MBR record, if payment history exists, this field occurs up to 48 times per beneficiary on this account. Benefit history data are recomputed, and overwritten, when new information requires changes. For more information on historic data, see Section 5.1.1.

This is the amount payable after reduction, if necessary, for age, family maximum, and other reasons but before deduction for SMI premiums. Effective June 1982, the final benefit payment is rounded to the next lowest \$1 (if not already a multiple of \$1) after reduction for age, family maximum, and other reasons and after any deduction for SMI premiums.

For statistical purposes this is the basic benefit amount. Other data elements (such as MBR or MPA) are affected by other factors such as over payments or deductions for Medicare.

The Annual Statistical Supplement tables of monthly benefits reflect the Monthly Benefit Credited (MBC). The amount is derived as follows:

- Subtract the SMI premium from the monthly benefit amount;
- Round the above result down to the nearest whole dollar; and

- Add back the SMI premium to the rounded result from the previous step.

The result is the MBC.

For example, if a monthly benefit amount is \$678.20, and an SMI premium of \$43.80 is deducted, the MBC is \$677.80 (calculated as follows: $\$678.20 - \$43.80 = \$634.40$ rounded down to $\$634.00 + \$43.80 = \$677.80$).

MBC was created in June, 1982 to hold the rounded benefit amount. For periods prior to June 1982, Title II Monthly Benefit Amount (MBA) and MBC are equal. The Rounding History Code (RHC) will show whether Dimes Deduction Amount Two or Three (DDA2 or DDA3) should be used in calculating MBC. RHC and the DDA fields are not included in this user's manual.

MBP	Monthly Benefit Payable
------------	--------------------------------

Type:	Numeric 5
Data Format:	Money-\$\$\$\$c
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Fixed Benefit
Related Data Elements:	MBA, MBC

DESCRIPTION AND PURPOSE

This data element reflects the actual check amount of the monthly Title II benefit because of the beneficiary after adjustment for all (or a portion) of the beneficiary's deductions or obligations. The Rounding History Code (RHC) will show whether "dimes deduction" is used in calculation of MBP.

POSSIBLE VALUES**USAGE NOTES**

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

Under and over payments result from differences in estimated earnings and actual earnings. For example, if the estimated annual earnings of a 64-year-old retiree is \$6000 more than the limit allowed (\$9600), the benefits will be reduced by \$3000 (reduced \$1 for each \$2 over the limit). Further assume the individual's MBA is \$500 per month. This will result in no benefit for the first six months of the year, MBP=\$0. After these six months, the MBP will return to the normal \$500 for the remainder of the year. However, if the actual earnings recorded at the end of the year was \$8000 over the limit, there would have been an overpayment of \$1000, which would have to be recovered in subsequent months.

This value will change and be overwritten as circumstances change. The PHUS maintains a record of all the payments made over time.

MIL	Military Service Code
------------	------------------------------

Type:	Character 1
Data Format:	
Repeating:	No
Cleared:	No
Reset:	
Edited:	Yes
Master Record Location:	Fixed Acct
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element represents the type of military service credits applicable to this account. The PIA based on earnings may be increased depending on service credits. In other cases, credits are used to provide insurance status.

POSSIBLE VALUES

- 0, blank – Military information unknown or not applicable
- A – Japanese internment credits used to provide insured status and/or to increase Primary Insurance Amount (PIA)
- B – Japanese internment credits considered but not used
- C – Service credits precluded for reasons other than federal benefits
- D – Service credits prior to 1957 not needed for insured status, but were used to increase PIA
- E – Service credits prior to 1957 used to increase PIA
- F – Service credits precluded by federal benefits
- I – Service credits after 1956 used to increase PIA
- K – Invalid code entered
- M – Service credits after 1956 used to provide insured status
- N – Service credits considered but not used
- P – Insured and service credits prior to 1957 precluded
- S – Service credits from both prior to 1957 and after 1956 used to increase PIA
- U – Service credits prior to 1957 needed for insured status
- Z – Insured and post 1956 service credit, but no pre-1957 credits, were earned
- 8 – Military service used, but insured status not established

USAGE NOTES

This data element occurs once per record on the MBR, except for RICX records.

OED	Offset Effective Date
------------	------------------------------

Type:	Packed, Months REL 1800
Data Format:	
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	Yes
Master Record Location:	Variable Benefit-OFD
Related Data Elements:	OFA, OFC

DESCRIPTION AND PURPOSE

This data element reflects the month and year of offset effective date, which is the date when reduction in Monthly Benefit Amount (MBA) because of receipt of other pension sources (e.g., federal employees, workers' compensation) began.

POSSIBLE VALUES

Number of months since January 1800 in master file.

MMCCYY date format in extracts.

USAGE NOTES

This data element will occur on an MBR record once per beneficiary for whom Offset Data applies.

An offset is a reduction in benefit because of other pension sources such as federal employees, workers' comp.

OFA	Offset Amount
------------	----------------------

Type:	Numeric 5
Data Format:	Money-\$\$\$\$c
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Variable Benefit-OFD
Related Data Elements:	OED, OFC, MBA

DESCRIPTION AND PURPOSE

This data element reflects the amount of money taken out of a benefit because of other pension sources, such as federal employee, public disability benefits, workers' compensation income, or public disability benefits.

POSSIBLE VALUES

Zeroes – Default value for data purification

USAGE NOTES

This data element will occur on an MBR record once per beneficiary for whom Offset Data applies.

The offset amount is subtracted from the PIA when calculating the MBA.

OFC	Offset Code
------------	--------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Variable Benefit-OFD
Related Data Elements:	OFA

DESCRIPTION AND PURPOSE

This data element represents the reason an offset is applied to the MBR benefit.

An offset is a reduction in benefit because of another pension source such as federal employees or workers' compensation

POSSIBLE VALUES

blank – No offset

D – Pennsylvania occupational disease offset

G – Government pension offset

N – WC and/or MEGACAP payments received but offset not applicable

W – Offset applied because of WC and/or MEGACAP payments

USAGE NOTES

This data element will occur on an MBR record once per beneficiary for whom Offset Data applies.

OFDC	Offset Date Code
-------------	-------------------------

Type:	Numeric 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	No
Master Record Location:	Variable Benefit-OFD
Related Data Elements:	OFC, OED, OTD

DESCRIPTION AND PURPOSE

This data element indicates whether the offset, a reduction in benefit because of other pension sources such as federal employees and workers' compensation, is continuing or if it has stopped. This recoded data element is found only on the MBR 10% extract; it is derived from OFC (Offset Code), OED (Offset Effective Date), and OTD (Offset Termination Date).

POSSIBLE VALUES

OFC	OED	OTD	OFDC	Description
Not blank	Numeric	Blank	1	Continuing offset
Not blank	Numeric	Current year	2	Offset stopped this year
Not blank	Numeric	Before current year	3	Offset stopped in past year
ELSE			0	No offset

USAGE NOTES

None.

OFT	Offset Type Code
------------	-------------------------

Type:	Character 2
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	Yes
Edited:	Yes
Master Record Location:	Variable Benefit-OFD
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element represents the source of workers compensation, MEGACAP, or government pension that results in an offset to MBR benefit.

POSSIBLE VALUES

- BL - Department of Labor Black Lung (BL) compensation
- FC - Federal employee's compensation (non-MEGACAP)
- FM - MEGACAP/federal only
- GP - Receiving Prouty benefits. For Prouty, see footnote 3 on page 66.
- GW - Worker's Compensation (WC) and federal MEGACAP
- HW - Harbor Workers (HW) and Longshoremen's Federal Compensation (FC)
- HX - Questionable converted Offset Type (OFT) (Potential OFT HW, BL or FC)
- ML - MEGACAP/Local
- OP - Offset postponed (High Ace)
- PE - Pending entitlement to WC benefits
- PN - Beneficiary is a spouse receiving a government pension
- RJ - Reverse jurisdiction (other plan precludes SSA offset)
- SG - MEGACAP/state
- SW- WC and state MEGACAP
- WL - WC and local MEGACAP
- WP - State WC
- WX - Questionable converted OFT (potential OFT OP or RJ)

USAGE NOTES

This data element will occur on an MBR record once per beneficiary for whom Offset Data applies.

MEGACAP is a public disability benefit that cover disabled workers who receive benefits from state or non-Title II federal programs. Excluded are VA and Title XVI disability benefits.

OTAN	Other Account Number
-------------	-----------------------------

Type:	Numeric 9
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Benefit-DED
Related Data Elements:	OTBIC, DESC, TOD

DESCRIPTION AND PURPOSE

This data element represents the Social Security Number (SSN) of another account holder through whom the beneficiary may receive benefits in addition to the beneficiary's own entitlement. It is present only when a dual entitlement situation exists.

For more information on dual entitlement, see Section 5.1.3, in particular pages 50 and following.

POSSIBLE VALUES

Bytes 1-3 = area number
 Bytes 4-5 = group number
 Bytes 6-9 = serial number

USAGE NOTES

On an MBR record, if a dual entitlement situation applies, this field occurs up to two times per beneficiary on this account.

OTBIC	Other Beneficiary Identification Code
--------------	--

Type:	Character 2
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Benefit-DED
Related Data Elements:	OTAN, DESC, TOD

DESCRIPTION AND PURPOSE

This data element represents the type of beneficiary on the other account (the person listed in OTAN). This data element only exists when a dual entitlement situation exists.

For more information on dual entitlement, see Section 5.1.3, in particular pages 50 and following.

POSSIBLE VALUES

See Beneficiary Identification Code (BIC) for possible values.

USAGE NOTES

On an MBR record, if a dual entitlement situation applies, this field occurs up to two times per beneficiary on this account.

OTD Offset Termination Date

Type:	Packed, Months REL 1800
Data Format:	Date: MMCCYY
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Variable Benefit-OFD
Related Data Elements:	OED, OFC, DOEC

DESCRIPTION AND PURPOSE

This data element reflects the month and year in which offset reduction to MBR benefit was ended. The term “offset” refers to a reduction in benefit because of other pension sources such as federal employees or workers’ compensation.

POSSIBLE VALUES

Number of months since January 1800 in master file.

MMCCYY date format in extracts.

USAGE NOTES

This data element will occur on an MBR record once per beneficiary for whom Offset Data applies.

OTDOE	Other Date of Entitlement
--------------	----------------------------------

Type:	Packed, Months REL 1800
Data Format:	Date: MMCCYY
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Benefit-DED
Related Data Elements:	OTAN, LEMBA, LFMBA, PIA, DOEC

DESCRIPTION AND PURPOSE

This data element contains the date (month and year) of most current entitlement on the other account record. This refers to the DOEC of the person in OTAN, pulled from that person's own MBR record.

For more information on dual entitlement, see Section 5.1.3, in particular pages 50 and following.

POSSIBLE VALUES

Number of months since January 1800 in master file.

MMCCYY date format in extracts.

USAGE NOTES

On an MBR record, if a dual entitlement situation applies, this field occurs up to two times per beneficiary on this account.

OTPIA	Other Primary Insurance Amount
--------------	---------------------------------------

Type:	Numeric 5
Data Format:	Money-\$\$\$\$c
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Benefit-DED
Related Data Elements:	LEMBA, LFMB, PIA

DESCRIPTION AND PURPOSE

This data element reflects the Primary Insurance Amount (PIA) of another worker, usually a spouse, which exceeds the beneficiary's own PIA and is sufficiently large to produce a larger monthly benefit amount (MBA). OTPIA is used in dual entitlement cases to calculate the monthly benefit amount.

For more information on dual entitlement, see Section 5.1.3, in particular pages 50 and following.

POSSIBLE VALUES

Blank or dollar amount

USAGE NOTES

On an MBR record, if a dual entitlement situation applies, this field occurs up to two times per beneficiary on this account.

LFMBA and LEMBA are functions of OTPIA.

PBP	Primary Insurance History Benefit Pointer
------------	--

Type:	Character 1
Data Format:	
Repeating:	No
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Acct-PIH
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element is used to reference the correct widow's PIA history

POSSIBLE VALUES

blank – Widow's indexing does not apply

- 1 – First Widow Insurance Benefit (WIB) claimant's PIA
- 2 – Second WIB claimant's PIA
- 3 – Third WIB claimant's PIA
- 4 – Fourth WIB claimant's PIA
- 5 – Fifth WIB claimant's PIA

Obsolete codes: 8

USAGE NOTES

This data element can have more than one widow on a record because of multiple marriages.

If it applies, this field occurs up to 30 times per record on the MBR, except for RICX records. For more information on historic data, see Section 5.1.1.

PIA	Primary Insurance Amount
------------	---------------------------------

Type:	Numeric 5
Data Format:	Money-\$\$\$\$C
Repeating:	No
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Acct-PIH
Related Data Elements:	PIFC, MBA, CRIM-C

DESCRIPTION AND PURPOSE

An average of monthly earnings after selecting the highest 35 years' earnings and indexing has been applied to each year's earnings. Under some circumstances, fewer than 35 years are selected.

POSSIBLE VALUES

Zeros – Default value for data purification

USAGE NOTES

If it applies, this field occurs up to 30 times per record on the MBR, except for RICX records. For more information on historic data, see Section 5.1.1.

PIA is used to compute the Monthly Benefit Amount (MBA).

Twice a year (in September and February or March), a program called Automatic Earnings Reappraisal Operation (AERO) is run to determine whether any changes should be made to the PIA or the MBA history data. AERO matches earnings records received from employer W2 forms with MBR records. For those MBR accounts that have had earnings activity over the past year (about 10 million a year), new earnings data are added to the account and a check is performed to determine whether any corrections to old data should be made. If the MBR account is active the PIA will be recomputed.

Some new earnings information may terminate an entitlement if it shows that there were not sufficient quarters of coverage. Other earnings may cause an adjustment to the MBA for previous months because of a difference between the expected income and the actual income. The PIA may go up or down depending on the nature of the corrections. The only way the PIA can be lowered is if something in the W2 takes away past

earnings. For people already of retirement age it is mathematically impossible to lower PIA as a result of current earnings.

The PIA is the monthly amount payable to a retired worker who begins to receive benefits at age 65 or to a disabled worker who has never received a retirement benefit reduced for age. This amount, which is related to the worker's average monthly wage or average indexed monthly earnings, is also the amount used as a base for computing all types of benefits payable on the basis of one individual's earnings record. See tables 2.A11, 2.A15, 2.A16, for the derivation of the PIA from the worker's average monthly wage or average indexed monthly earnings, as applicable, and the section on "Benefit Types and Levels" for the relationship (percent) of other benefit amounts to the PIA.

PIFC	Primary Insurance Factor Code
-------------	--------------------------------------

Type:	Character 1
Data Format:	
Repeating:	No
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Acct-PIH
Related Data Elements:	PIA

DESCRIPTION AND PURPOSE

This data element indicates which method to use to compute PIA. Before 1979, most values were B. Now most are L.

POSSIBLE VALUES

- blank – People who are not Title II beneficiaries (federal workers receive Medicare only).
 - A – Special age 72 (Prouty) transitionally insured (as of 6/82 or later). For Prouty see footnote 3 on page 66.
 - B – Average monthly wage
 - C – Special minimum
 - E – Death Primary Insurance Amount (PIA) average monthly wage
 - F – Death PIA special minimum
 - G – AMW life and death PIAs are equal
 - H – Life and death PIAs are equal
 - K – Prorated (totalized) PIA
 - L – Average indexed monthly earnings
 - M – Minimum PIA if greater than average indexed monthly earnings (AIME)
 - N – New start guarantee PIA
 - O – Old start guarantee PIA
 - S – Subsequent Disability Insurance Benefit (DIB) guarantee PIA
 - V – Modified old start windfall PIA
 - Z – Northern Mariana Islands (NMI) computation (for future use)
 - 5 – Modified new start windfall PIA
 - 7 – 1990 new start
 - 8 – 1990 old start
- 0,1,3 – obsolete codes

USAGE NOTES

If it applies, this field occurs up to 30 times per record on the MBR, except for RICX records. For more information on historic data, see Section 5.1.1.

PSC	Payment Status Code
------------	----------------------------

Type:	Character 1
Data Format:	Bit-code
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Fixed Payment
Related Data Elements:	ACOC

DESCRIPTION AND PURPOSE

This data element provides an indicator that payment status is current, advanced filing (not yet eligible), or a one-time lump sum. It also indicates foreign payments.

POSSIBLE VALUES

Limits Value	BCDIC Bit Value	Definition
	B	1 always set
C	A	1 if current payment status
A	8	1 if advance filing
	4	0 always clear
L	2	1 if lump sum payment
F	1	1 if foreign addressed payment

See Section 4.2.1 for details on how bit-coded data elements may be presented in the data.

USAGE NOTES

On an MBR record, if it applies, this field occurs once per check from this account.

PSC is used to check for foreign address, and for advance filing cases. Some people file early and need to be eliminated before analyzing data. The 8-bit can check for these people.

RCC	Recomputation Code
------------	---------------------------

Type:	Character 1
Data Format:	
Repeating:	No
Cleared:	
Reset:	
Edited:	
Master Record Location:	Fixed Acct
Related Data Elements:	RFCP

DESCRIPTION AND PURPOSE

This data element explains the reason a PIA recomputation was done.

POSSIBLE VALUES

Blank – Default value for data-purification

J to R – Primary Insurance Amount (PIA) is not subject to automatic recomputation because of an administrative finality decision

- 0 – Adjustment of reduction factor only
- 1 – Recomputation to include military service credits after 1956
- 2 – 1965 and/or 1967 recomputation
- 3 – Recomputation to include military service credits before 1957 and after 1956
- 4 – Redetermination of workers compensation offset
- 5 – All recomputations not described separately
- 6 – Disability freeze recalculation
- 7 – Recomputation to include military service credits
- 8 – Not used to described a recomputation/recalculation before 1957
- 9 – Adjustment not because of a recomputation

USAGE NOTES

This data element occurs once per record on the MBR, except for RICX records.

Administrative finality decisions apply to individuals who have mistakenly received benefits but will not be canceled. However, these benefit payments are not increased for COLA or any other adjustments. The various letter or symbol codes define reasons for such benefits.

PIA may change for reasons other than a recomputation.

RDD	Reason For Disallowance or Denial
------------	--

Type:	Character 3
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes, relational
Master Record Location:	Fixed Benefit
Related Data Elements:	LAF, BIC, TOC

DESCRIPTION AND PURPOSE

This data element indicates the reason an applicant was denied benefits.

POSSIBLE VALUES

blank – Default Value

Disallowance Abatement and Withdrawal Codes Services Not Covered:

- 01 – No trade or business
- 02 – No employer-employee relationship
- 03 – Minister or member of religious order and certificate of waiver not filed
- 04 – Family employment
- 05 – Government employment
- 06 – Maritime employment - foreign employer
- 07 – Nonprofit organization – income tax exempt employer – student

Wages, Earnings, Insured Status, Age Not Attained, Death Not Established:

- 10 – Wages excluded or not established
- 11 – Domestic service
- 12 – Agricultural labor
- 13 – Non-business employment
- 20 – Income excluded from net earnings from self-employment, self-employment income not established
- 26 – Disallowance because of lack of insured status (not enough quarters worked) and more specific information not available as in 10,11,12,13, and 20
- 27 – Wife or child filing – number holder not entitled
- 28 – Claimant has not attained required age – date of birth established
- 29 – Death of number holder not established

Relationship Not Established:

- 25 – Not the grandchild, stepgrandchild or great-grandchild or the number holder
- 30 – Not the spouse/widower, under state law or deemed marriage provision
- 31 – Does not meet the duration of marriage requirement
- 32 – Child illegitimate - cannot inherit - no ceremonial marriage – not deemed child
- 33 – Step relationship not within time limit
- 34 – No step relationship
- 35 – Not adopted child of number holder
- 36 – Not married at least 10 years immediately before the date of divorce

Students, Dependency, Child In Care, Age Not Established:

- 37 – Student paid by employer to attend school
- 38 – Student not attending educational institution
- 39 – Child does not meet dependency support, or living with requirement
- 40 – Child married or 18 years old or over not disabled and not a student
- 41 – Student not in full-time attendance
- 42 – Wife, widow, mother or surviving divorced mother does not have child in care
- 43 – Wife, widow, mother or surviving divorced mother disallowed because child in care not entitled on number holder SSN
- 44 – Failure to establish required age

Lump Sum:

- 45 – Application not filed within time limit including any extension for “good cause”
- 46 – Eligible living-in-same-household spouse survives
- 47 – Surviving spouse not living in same household and not eligible/entitled to benefits
- 48 – Eligible spouse survives
- 49 – Widow/er or child relationship not established
- 51 – Child not eligible or entitled to benefits
- 57 – Other is disallowance action (show in remarks)

Support Not Established (Husbands, Widowers, Parents, Divorced Wives Only):

- 58 – Not receiving 1/2 support from the number holder
- 59 – Divorcee does not meet court order, substantial contributions or 1/2 support requirement
- 61 – Proof of support not filed within time limit including any extension for “good cause”

Other Benefits, Miscellaneous:

- 62 – Entitled to receive other benefits equal to or greater than benefits payable on this number holder SSN
- 64 – Failure to establish other requirements for entitlement
- 65 – Other disallowance for monthly benefits action (show reason in “remarks”)

Abatements

- 67 – Improper applicant
- 68 – Claimant died in or before first month of entitlement and is not entitled to HI or SMI

Disability (non-medical requirements)(one of DIB requirements not met):

- 90 – DIB insured status not met at or after Alleged Onset Date (when claimant said disability began)
- 93 – Disabled Widow Beneficiary claimant AOD is after end of the prescribed period (DWB only applies between 50 and 60 years old)
- 94 – No DIB insured status – Railroad Retirement (RR) compensation not creditable
- 95 – No DIB insured status – Military Service not creditable – some military quarters are not counted as in the case of a court martial
- 98 – Other DIB disallowance (show reason in “remarks”)

Abatement – Disability:

- 96 – Death during the waiting period

Health Insurance Benefit:

- 100 – Not attained age 65 (show DOB established in remarks)
- 101 – Eligible under RRA
- 102 – Not a resident of the US
- 103 – Not a citizen of US or alien lawfully admitted for permanent residence who has resided in US continuously for five years or more
- 105 – Convicted of crime against security of the US

- 106 – Covered or could have been covered under Federal Employee Health Benefit Act of 1959
- 107 – SMI application not filed during enrollment period
- 109 – Other health insurance benefit disallowance action (show in remarks)
- 110 – Not insured for Health Insurance (HI) – use to disallow BIC=T claims.
- 111 – Disability after death claim with no prior period of disability that ended within 60 months of the beginning date of the current disability
- 112 – Claimant age 62 years and 7 months as of date of onset and no prior period within 60 months of the beginning date of the current disability for number holder, or 84 months for Disabled Widow Benefits or Disabled Adult Child claimants

Special Payment:

- 120 – Has not attained age 72 (show established DOB in remarks)
- 121 – Not a resident of the 50 states or District of Columbia
- 122 – Not a US citizen or an alien lawfully admitted for permanent residence who has resided in the US continuously for five years or more
- 123 – Other special payment disallowance action (show in remarks)
- 124 – Does not meet QC requirement for special age 72 payment

Withdrawal-Retirement Survivors Insurance:

- 200 – Claimant will continue to work (unconditional withdrawal)
- 201 – Other (unconditional withdrawal)
- 202 – Conditional withdrawal

Withdrawal – Disability:

- 203 – Claimant will continue to work (unconditional withdrawal)
- 204 – Other (unconditional withdrawal)
- 205 – Conditional withdrawal

Withdrawal – Medicare Qualified Government Employees/End Stage Renal Disease(kidney failure):

- 206 – Unconditional
- 207 – Conditional

Denial code list for DIB

If reason for denial is:	Earnings requirement last met on/after date of current decisions:	Earnings requirement last met prior date of current decision:
DIB denied: freeze estab	OA1	—
Slight impairment – med consideration alone	OF1	OF2
Capacity for SGA – customary past work	OH1	OH2
Capacity for SGA – other work	OJ1	OJ2
Engaging in SGA despite impairment	ON1	ON2
Impairment no longer severe at time of adjudication & did not last 12 months	OE1	OE2
Impairment is severe at time of adjudication but not expected to last 12 months	OE3	OE4
Failure/refusal submit to consultative exam	OL1	OL2
Claimant does not want to continue dev of claim – wants decision based on evidence in file	OM3	OM4
Insufficient evidence furnished	OM5	OM6
Claimant does not want to continue dev of claim – does not indi that decision be made on evidence in file	OM7	OM8
Claimant willfully fails to follow prescribed treatment	OK1	OK2
DIB claim filed when claimant was previously denied on substantive basis after last point at which he met DIB insured status	—	OS1
Claimant alleges disability within 5 months attainment of NRA & no earlier onset is poss.	OX1	—

If reason for denial is:	Earnings requirement last met on/after date of current decisions:	Earnings requirement last met prior date of current decision:
DDS has established onset date w/in 5 months of attainment of NRA	OX2	—
Disability denial – no other denial code applies	OX3	OX3
DAA is material to the determination of disability	OZ1	OZ2

Denial code list for DWB

If reason for denial is:	Prescribed period requirement met on/after date of current decision:	Prescribed period requirement not met on/after of current decision:
Impairment/s do not meet or equal the listing	OF1	OF2
Engaging in SGA despite impairment	ON1	ON2
Impairment no longer severe at time of adjudication & did not last 12 months	OE1	OE2
Impairment is severe at time of adjudication but not expected to last 12 months	OE3	OE4
Failure/refusal to submit to consultative exam	OL1	OL2
Claimant does not want continue development of claim – wants decision based on evidence in file	OM3	OM4
Insufficient evidence furnished	OM5	OM6
Claimant does not want continue development of claim – does not indicate that decision to be made based on evidence in file	OM7	OM8
Claimant willfully fails follow prescribed treatment	OK1	OK2
Claimant has filed DWB claim and was previously denied on substantive basis after last point at which last met prescribed period req. Prior claim was failed 01/86 or later	—	OS1
Disallowed widow denial – no other code applies	OX3	OX3
DAA is material to the determination of disability	OZ1	OZ2

Denial code list for CDB

If reason for denial is:	Use code:
Slight impairment – medical consideration alone or condition disabling but did not exist before age 22	OF1
Capacity for SGA – vocational consideration	OG1
Engaging in SGA despite impairment	ON1
Impairment no longer severe at time of adjudication and did not last 12 months	OE1
Impairment is severe at time of adjudication but not expected to last 12 months	OE3
Insufficient evidence furnished	OM5
Failure or refusal to submit to consultative exam	OM3
Claimant willfully fails to follow prescribed treatment	OK1
Disabled child denial – no other codes applies	OX3
DAA is material to the determination of disability	OZ1

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

RDD is part of the DUMAS validation process. DUMAS makes sure that RDD is present for anyone denied or disallowed, but it does not clear the element when it no longer applies.

LAF code N must have a value for RDD.

RFCP	Reason For Change in PIA
-------------	---------------------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Variable Acct-PIH
Related Data Elements:	RCC

DESCRIPTION AND PURPOSE

This data element indicates the reason for the latest change in PIA amount.

POSSIBLE VALUES

- blank - No change
- D – Decrease in PIA
- E – Japanese internment recomputation
- I – Informational only
- K – Benefit rate increase
- Q – Unknown or questionable
- 1 – Recomputation (military service credits after 1956)
- 2 – PIA recomputed under 1965, 1967 or 1978 new law provisions
- 3 – Recomputation (military service credits before 1957 and after 1956)
- 4 – Disability offset recalculation under 1967 amendments
- 5 – Upward recalculation of the initial PIA or a summary
- 6 – disability freeze recalculation
- 7 – Recomputation (military service credits before 1957)
- 9 – Change or correction not because of a recomputation

Obsolete codes: 0, 8

USAGE NOTES

This data element occurs once for every time there is a PIA change. Because there is usually an annual Cost of Living Adjustment (COLA), coded here as K, this field is added at least once per year. A COLA change does not involve a recomputation (RCC). For more information on historic data, see Section 5.1.1.

AERO updates this field but not the RCC data element.

RFD	Reason For Deduction
------------	-----------------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes, suspension and reinstatement
Edited:	Yes
Master Record Location:	Variable Benefit-HIST
Related Data Elements:	LAF, WIC, BPD

DESCRIPTION AND PURPOSE

This data element indicates the reason a payment is reduced or withheld.

POSSIBLE VALUES

- Blank— A period where payment status is current, deferred, current payment through railroad board, advanced filing for current payment or advanced filing for deferred payment . (LAF code is C, D or E)
- B,D,F,H,J,K,L,M,P,S,W,or 0-9 A period where payment status is conditional, advanced filing for conditional, or pending claim. (LAF code is Sx or Px (not PT))
- A – A period where payment status is withdrawn for adjustment (LAF=A).
- C – A period where beneficiary not paid because of retroactive ban.
- N – Beneficiary was not entitled for this period.
- P – A period where payment status is terminated from a pending or = PT.
- T – A period where payment status is terminated (LAF=T) or a period where payment status is active Title XVIII (LAF=U) or terminated Title XVIII status (LAF=X) and beneficiary identification code (BIC) is other than uninsured beneficiary or renal DI (LAF=T or N).
- Y – Indicates the beneficiary was entitled for this period on another account.

USAGE NOTES

On an MBR record, if payment history exists, this field occurs up to 48 times per beneficiary on this account. Benefit history data are recomputed, and overwritten, when new information requires changes.

If the LAF status is adjustment, deferred, pending or suspended the RFD will contain the low-order position of the LAF code (second position of LAF). The exception to this rule is LAF AD. When LAF is AD the RFD will contain an 'A'.

If the LAF status is terminated, the RFD will contain the first position of the LAF code (T or P). The LAF code of PT is used for terminating beneficiaries in delayed status. The Work Indication Code (WIC) would contain the reason for termination.

This is effectively the history of the LAF code except that only the first character of the field is kept.

RFST	Reason for Suspension or Termination
-------------	---

Type:	Character 6
Data Format:	
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	Yes
Master Record Location:	Variable Benefit- STRD
Related Data Elements:	LAF

DESCRIPTION AND PURPOSE

This data element represents the specific reason for suspension or termination benefits. It gives further definition to three Ledger Account File (LAF) codes: S7, S9, and T3.

POSSIBLE VALUESLAF S9 SUSPENSION REASONS:

- WINFAL – Windfall offset pending
- DVEARN – Development of earnings
- NOBOAN – Auxiliary claim/no BOAN (refused enumeration)
- RPINST – Payee installment cases
- WITHDR – Withdrawal of claim
- WHEREU – Whereabouts unknown
- DEVDTN – Development of verification of death
- WAIWER – Waiver of benefit payments
- CERTEL – DE potential widow (certification of election pending)
- STUDEN – Student form not returned (SSA-1386/1388)
- FORENF – Foreign enforcement report not returned (SSA-7162)
- OUTUSA – Non-responder to SSA-21 supplement to claims outside of USA
- RECONT – Non-responder to recontact form
- LESSDO – MBA is less than \$1.00
- PHILRP – Philippine representative payee cases
- DISDET – Benefits suspended pending disability determination
- RAILRD – Development for Railroad Board certification determination
- LEGISL – New legislative decision
- MISCEL – Miscellaneous (no other specific reason applies)
- DIRDEP – Refusal to provide bank account information for direct deposit
- IRSLEV – Benefits suspended because of imposition of an IRS levy

NOTLAW – Failure to be lawfully present in the US

LAF S7 SUSPENSION REASONS:

VREHAB – Refused vocational rehabilitation services

PRISON – Prisoner suspension

EPESGA – EPE SGA Suspension

MENTAL – Prisoner suspension – not guilty because of insanity

LAF T3 TERMINATION REASONS:

STEPDV – Stepchild terminate because of divorce between parent and the number holder

CMARRY – Marriage of child beneficiary

USAGE NOTES

This data element occurs on an MBR record once per beneficiary for whom Suspension or Termination applies.

LAF S7 code EPESGA refers to Extended Period of Eligibility while Substantially Gainfully Employed. This pertains to someone who is eligible for disability benefits but who is working and earning enough to put payment in suspension. These individuals are eligible to receive Medicare for three years. These cases are of interest because it is a way to get people back to work. New legislation, “ticket to work”, will extend the period of time because the disabled often quit working when they cannot get medical insurance through their jobs.

This is a recently added field.

RRC	Railroad Retirement Code
------------	---------------------------------

Type:	Character 1
Data Format:	
Repeating:	No
Cleared:	
Reset:	
Edited:	Yes
Master Record Location:	Fixed Acct
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element provides information used to identify the basis of Railroad Retirement beneficiaries.

POSSIBLE VALUES

blank – Railroad information unknown or not applicable

- A – Railroad annuitant (ANN)
- D – Railroad survivor annuitant (SUR)
- E – Eligible for but not entitled to a railroad annuity (ELG)
- H – Individual has 120 railroad service months or more (120)
- M – Residual payment; railroad election made (ELM)
- N – Railroad earnings not used (INV)
- R – Residual payment; not railroad election made (NEL)
- S – Railroad spouse annuitant (SPO)
- U – Railroad earnings used (RCU)
- Y – Railroad earnings used but did not establish insured status

Obsolete codes: 7

USAGE NOTES

This data element occurs once per record on the MBR, except for RICX records.

A non-blank value in this field indicates that the Railroad Board had some type of claim for this person or that the person at one time worked for the railroad. It tells the Title II administrators that there might be a need to look at railroad information. If individuals worked for the railroad for 120 service months, they are eligible for a railroad retirement. If there is railroad work experience and also other Social Security quarters of

coverage the beneficiary will receive one or the other benefits. A LAF code of E is for persons entitled to railroad benefits.

SAC1	Special Action Code One
-------------	--------------------------------

Type:	Character 1
Data Format:	Bit-code
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	No
Master Record Location:	Fixed Benefit
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element is a collection of flags (bit-codes) that provide information that cannot be otherwise derived from the MBR.

POSSIBLE VALUES

Limits Value	BCDIC Bit Value	Definition
	B	1 always set
I	A	1 if illegitimate child
D	8	1 if current Disability Insurance Benefits (DIB) entitlement
C	4	1 if residual child
P	2	1 if readjusted rate (partial benefit case)*
A	1	1 if termination of benefits precluded by administrative finality (no benefit increase allowable) (No HI/SMI Enrollment Allowable)**

See Section 4.2.1 for details on how bit-coded data elements may be presented in the data.

If only the B-bit is set (default) then this is a case of Old-age/ Survivor benefits.

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

*This applies to a working child whose siblings are eligible for benefits. Because of own earnings, the pool of money for children excludes this child.

**This is a case of an ineligible person who is receiving benefits because he/she was awarded benefits in the past. Although SSA cannot now revoke the benefits, it is not required to increase the amount.

SAMBA	Smaller Actuarially Reduced Monthly Benefit Amount
--------------	---

Type:	Numeric 5
Data Format:	Money-\$\$\$\$c
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Benefit-DED
Related Data Elements:	DESC, TOD, MBA, OTPIA, LEMBA, LFMBA

DESCRIPTION AND PURPOSE

This data element reflects the small monthly benefit amount reduced for maximum and age (SAMBA).

For more information on dual entitlement, see Section 5.1.3, in particular pages 50 and following.

POSSIBLE VALUES

Dollar amount with one implied decimal fraction, i.e., with dimes but not pennies.

USAGE NOTES

On an MBR record, if a dual entitlement situation applies, this field occurs up to two times per beneficiary on this account.

There is a field called SFMBA that is the computed amount for the small MBA before reduction. The reduced amount is given here in the SAMBA.

In the case of triple entitlement there are two DED groups for the beneficiary. SAMBA in the first dual entitlement group is for the Primary (A) claim, and SAMBA in the second dual entitlement group is blank.

SCC	State/County Code
------------	--------------------------

Type:	Numeric 5
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes, relational
Master Record Location:	Fixed Payment
Related Data Elements:	DOC, ZIP, ACOC, BIC

DESCRIPTION AND PURPOSE

This data element indicates the State and County Code of the beneficiary for whom this payment is intended. If the beneficiary lives abroad SCC will contain the numeric geographic country code.

POSSIBLE VALUES

Blank – if unknown or questionable

Codes – see Appendix A (page 537).

USAGE NOTES

On an MBR record, if it applies, this field occurs once per check from this account.

SCC must be completed when State and County Code (SCC) data or Beneficiary Identification Code (BIC) change.

The ZIP/DOC/SCC lookup table is updated monthly. The ZIP code applies to the payee not the beneficiary. The District Office Code (DOC) must correspond to the same geographic location as the ZIP. However, the SCC must represent the address of the beneficiary. Thus, if a benefit check is being delivered to someone else's house, the ZIP and SCC may refer to different geographic locations.

This is usually where the payment is sent but it may be altered manually to the county in which the beneficiary lives for Medicare-related involvement.

SEX	Beneficiary's Sex Code
------------	-------------------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Fixed Benefit
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element reflects the gender of the beneficiary. The bit-coded data element SROP refers to the race and sex of the account primary beneficiary.

POSSIBLE VALUES

blank – unknown

F – female

M – male

Obsolete codes: 0, 2, 4

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

SIFT	Security Income File Type
-------------	----------------------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Variable Benefit-SID
Related Data Elements:	SISC

DESCRIPTION AND PURPOSE

This data element describes the beneficiary in SSI terms. It corresponds to the Master File Type (MFT) on an SSR record and is similar to the Beneficiary Identification Code (BIC) on the MBR. Note that SSR records hold information for couples and individuals only. Children are always in their own records.

This data element only exists on the MBR if the beneficiary is also a claimant for SSI and has a record (active or inactive) on the SSR file.

POSSIBLE VALUES

- A – Aged individual
- B – Blind individual
- C – MSSICS ineligible child
- D – Disabled individual
- E – Essential person only*
- F – Aged individual with representative payee
- G – Blind individual with representative payee
- H – Disabled individual with representative payee
- P – Ineligible parent of an SSI child claimant
- R – MSSICS ineligible alien sponsor (person sponsoring an alien claimant)
- S – Ineligible spouse
- T – Essential person only (ineligible parent or spouse).*

Obsolete codes: 0,1,3-5,7-9

* In 1974, SSA took over welfare payments from the states. Some states provided assistance to people who were assisting a welfare recipient. SSA has continued to pay these service providers, so-called essential persons.

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

The income of spouses, ineligible children, and alien sponsors may be used to determine the eligibility for, and the amount of, an SSI payment. The MSSICS system creates a file of 'pending' records. These records are then used to update the SSR file. Some of the records also cause changes to the MBR file.

SIM	Survivor Increment Months
------------	----------------------------------

Type:	Numeric 2
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	
Edited:	Yes
Master Record Location:	Variable Benefit– DRCD
Related Data Elements:	CRIM-C

DESCRIPTION AND PURPOSE

This data element reflects the number of work months of the worker used in computing the survivor Delayed Retirement Credit (DRC).

POSSIBLE VALUES

Zeros – default value for data-purification.

1 to 84 – number of months (maximum of seven years)

USAGE NOTES

This data element occurs on an MBR record once per beneficiary for whom the Delayed Retirement Credit Data apply.

Example: Suppose the husband works. At age 65, he applies for retirement benefits, but continues to work and has benefits withheld because of earnings test. After two years, he quits work and gets extra MBA because of 24 months additional work (SIM = 24 months). When he dies, his survivor is entitled to higher benefits because of his additional work.

As of January 1984 increment months are stopped after age 70. Previously increments were added until age 72.

SISC	Security Income Status Code
-------------	------------------------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Variable Benefit-SID
Related Data Elements:	SIFT

DESCRIPTION AND PURPOSE

This data element represents the current eligibility status of SSI recipients.

POSSIBLE VALUES

- A – Individual eligible for SSI (and eligible for 10 percent recovery overpayment limit) and not eligible for Medicaid or third party buy-in
- B – Terminated because of excess income resulting from Title II benefit rate increase
- C – Conditional SSI payment
- D – Denied
- E – Receives federal payment
- G – SSI recipient engaging in substantial gainful activity (SGA); not eligible for special SSI payment; retains eligibility for Titles XIX and XX
- I – Ineligible spouse, ineligible parent, or essential person
- L – Denied; received payment
- M – SSI recipient engaging in SGA; eligible for special SSI payment (not earning enough to lose SSI)
- N – Terminated; erroneous state conversion (In 1974, when SSA took over SSI from the states, some people were added to SSA roles even though no longer eligible. This is a rare occurrence.)
- P – Pending SSI determination
- S – Receives state supplemental payment. (The state awards payment and reimburses SSA for the supplemental amount.)
- T – Terminated for reasons not specifically defined
- U – Terminated because of death; source of report unknown
- V – Terminated via PSTAT=T30 procedures; not reasserted. (The record was terminated because required changes could not be made on old and a new record is needed)
- W – State supplement terminated (no longer used)
- X – Terminated because of death

- Y – Terminated because of excess income
- Z – Terminated because of excess resources

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

SROP	Sex and Race of Primary Beneficiary
-------------	--

Type:	Character 1
Data Format:	Bit-code
Repeating:	No
Cleared:	No
Reset:	No
Edited:	No
Master Record Location:	Fixed Acct
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element has bit flags to give the sex and/or race of the primary beneficiary on the account. There are only two race categories.

POSSIBLE VALUES

Limits Value	BCDIC Bit Value	Definition
	B	1 always set
M	A	1 if Male Sex
F	8	1 if Female Sex
O	4	1 if Other Race
N	2	1 if Black Race
W	1	1 if White Race

See Section 4.2.1 for details on how bit-coded data elements may be presented in the data.

USAGE NOTES

This data element occurs once per record on the MBR, except for RICX records.

The “Other” classification includes Asians and Pacific Islanders, American Indians and Alaska Natives, and a subset of the total number of beneficiaries of Hispanic origin. The distribution of beneficiaries between these three groups is not available.

Race and ethnic designations are collected from the form SS-5 (Application for Social Security Card). For dependents and survivor beneficiaries, race is assumed to be the same as that shown on the SS-5 for the wage earner on whose earnings record the benefit is based. Race is not used for Social Security entitlements. There have been suggestions that it

be removed from the MBR, and that gender and race be determined from the Numident file.

For a brief description of race coding on the MBR, see footnotes to table 5.A1 in the Annual Statistical Supplement.

TAC	Type of Award Code
------------	---------------------------

Type:	Character 1
Data Format:	Bit-code
Repeating:	No
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Fixed Acct
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates the types of awards given on this number holder's account.

POSSIBLE VALUES

Limits Value	BCDIC Bit Value	Definition
	B	1 always set
L	A	1 if lump sum paid. This implies that the beneficiary is dead. The maximum amount paid out is \$255. The original intent was to cover burial expenses, and it now must be paid to a living spouse or a child. A lump sum benefit can no longer be paid to the funeral home. However, in the past funeral homes were good about reporting deaths because this insured receipt of the benefit.
	8	0 always cleared
S	4	1 if survivor award
I	2	1 if interim award. This refers to a beneficiary who collects retirement benefits at age 62 until the disability review or waiting period is complete.
D	1	1 if current Disability Insurance Benefit (DIB) award

See Section 4.2.1 for details on how bit-coded data elements may be presented in the data.

USAGE NOTES

This data element occurs once per record on the MBR, except for RICX records.

The field limits value of 'D' or bit-code of 1 indicates that the beneficiary is receiving funds from the DI Trust Fund. This does not mean that the person is disabled. It could a dependent of a disabled worker.

TOC	Type of Claim
-----	---------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Fixed Benefit
Related Data Elements:	CEC, BIC, LAF

DESCRIPTION AND PURPOSE

This data element indicates the type of claim made by this beneficiary. The TOC code combined with the BIC code defines the benefit type. For example, retired workers are BIC A, TOCs 1,2,3,4. Disabled workers are BIC A, TOCs 5 and 6.

It is generated by the Daily Update and Master Accounting System (DUMAS).

POSSIBLE VALUES

Blank – Default value

R – Disability case where beneficiary is student child

0 – Survivor case where the beneficiary is:

- a) Young child
- b) Widow(er) whose benefit is limited by primary's amount
- c) Widow(er), remarried widow, or surviving divorced wife whose benefit is not reduced for age
- d) Mother/father entitled because of young child-in-care
- e) Parent of deceased worker
- f) Widow(er), remarried widow, or surviving divorced wife whose benefit is not actuarially reduced (or actuarial reduction is involved but the benefit amount is limited by the primary's amount)

1 – Life (Retired) case where beneficiary is:

- a) – Retired primary not reduced for age or not increased by Delayed Retirement Credit (DRC) (MBR and LBI)
- b) – Aged or divorced wife/husband not reduced for age
- c) – Young wife entitled for young child-in-care
- d) – Young child
- e) – Prouty beneficiary (BIC J) or spouse of Prouty (BIC K). (For Prouty, see footnote 3 on page 66.)

2 – Life (Retired) or survivor case where beneficiary is:

- a) – Retired primary reduced for age with no DRC

- b) – Retired primary and spouse beneficiary is reduced for own age
- c) – Widow(er) reduced for age but not limited by primary's amount
- d) – Disabled widow(er)
- 3 – Life or survivor case where beneficiary is:
 - a) – Retired primary reduced for age with DRC
 - b) – Surviving Disabled child of deceased primary
 - c) – Mother/father entitled solely for Disabled Adult Child (DAC) in care
- 4 – Life (Retired) case where beneficiary is:
 - a) – Retired primary not reduced for age with DRC
 - b) – Young wife entitled solely for DAC in care
 - c) – DAC
- 5 – Disability case where beneficiary is:
 - a) – Disabled primary not reduced for age
 - b) – Aged or divorced wife/husband not reduced for age
 - c) – Young wife entitled for young child-in-care
 - d) – Young child
- 6 – Disability case where beneficiary is:
 - a) – Disabled primary reduced for age
 - b) – Aged or divorced wife/husband reduced for age
- 7 – Disability case where beneficiary is:
 - a) – Young wife entitle solely for DAC in care
 - b) – Disabled Adult Child
- 8 – Survivor case where beneficiary is student child
- 9 – Life case(retired primary) where beneficiary is student child

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

BIC code C applies to children of beneficiaries and who are themselves eligible for benefits. There are three categories of children who receive benefits:

- Minor children (under 18)
- Disabled children (age 18 or older)
- Students (until they finish high school or reach age 19).

They may receive benefits based on three types of workers:

- Retired worker
- Deceased worker
- Disabled worker

The MBR data element TOC can be used to distinguish among the children and the source of their benefits.

TOC Codes by Category of Child and Benefit Program

	Retired Worker	Deceased Worker	Disabled Worker
Minor (<18)	1	0	5
Disabled child	4	3	7
Student	9	8	R

TOC is a summary of many items. It is derived from other MBR data elements: TAC, BIC, and CEC. If TOC is in error it may be because the CEC or BPC were coded incorrectly.

TOD	Type of Dual Entitlement
------------	---------------------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Benefit-DED
Related Data Elements:	DESC

DESCRIPTION AND PURPOSE

This data element reflects the type of dual entitlement. Dual entitlement exists when a beneficiary is eligible for benefits from two or more workers' earnings. Further the secondary benefit must be greater than primary benefit.

TOD insures that all dual entitlement numbers are posted.

For more information on dual entitlement, see Section 5.1.3, in particular pages 50 and following.

POSSIBLE VALUES

blank – No data

- 1 – Primary/Auxiliary (or Survivor) = own earnings entitlement & other. The most common example is a married woman who has worked and receives benefits upon retirement. If her husband dies she becomes eligible for his full benefit. If his benefit is greater than her own, she is dually entitled and will receive her retired worker benefit, plus the difference between his full benefit and her amount. This yields TOD = 1.
- 2 – Survivor/Auxiliary = no own earnings, survivor, and other: benefit is based on someone else's earnings. For example: Suppose a widow marries a retired or disabled worker. The survivor must have been entitled to the smaller benefit, otherwise TOD would not equal 2. The second husband must have earned at least twice what the first husband earned in order of the auxiliary benefit to exceed the survivor benefit.
- 3 – Insured/Prouty = eligible for benefits. (For Prouty, see footnote 3 on page 66.)
- 4 – Triple Entitlement = Primary, survivor, auxiliary. On triple entitlement, own earnings are the smaller. Survivor benefit is greater than own earnings and auxiliary benefits are greater than

survivor benefits. Requires a second dual entitlement subrecord. The first dual entitlement record has A/D primary/survivor. The second dual entitlement record has A/B primary/auxiliary. One is the true dual entitlement and the other is a technical dual entitlement. (It could become dual entitlement if things changed.) The largest auxiliary becomes the true and the other becomes the technical dual entitlement.

USAGE NOTES

On an MBR record, if a dual entitlement situation applies, this field occurs up to two times per beneficiary on this account.

There is no automation for triple entitlement.

TOP	Type of Payee
------------	----------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Variable Benefit- REPD
Related Data Elements:	CC, GS

DESCRIPTION AND PURPOSE

This data element provides a coded description of the person to whom the benefit check is sent. If the code is any other than A, the check recipient is not the beneficiary but is instead the 'representative payee' who is handling the beneficiary's finances.

POSSIBLE VALUES

- A – Beneficiary direct (SEL)
- B – Spouse (SPO)
- C – Natural or adoptive father (FTH)
- D – Natural or adoptive mother (MTH)
- E – Stepfather (SFT)
- F – Stepmother (SMT)
- G – Grandparent (GPR)
- H – Natural or adoptive child or stepchild (CHD)
- I – Other relative (REL)
- J – Federal nonmental institution (FDO)
- K – Federal mental institution (FDM)
- L – State or local nonmental institution (SLO)
- M – State or local mental institution (SLM)
- N – Privately-owned nonmental institution (PRO)
- O – Privately-owned mental institution (PRM)
- P – Nonprofit nonmental institution (NPO)
- Q – Nonprofit mental institution (NPM)
- R – Financial organization (FIN)
- S – Social agency (AGY)
- T – Public official (OFF)
- U – Other (OTH)
- V – Payee being developed (RPD). This code represents a beneficiary who is suspended because the old representative payee is no longer

able to manage benefits and a new representative payee must be found.

- W – Unknown for minor child. This code is for old cases when representative payee was not specified for a child under 18; a parent was assumed.
- Y – Non classified. This code is for old cases when representative payee was not specified for beneficiaries 18 and over.

Obsolete codes: X, Z

USAGE NOTES

On an MBR record, if it applies, this field occurs once per beneficiary on this account.

Representative Payee data are maintained in greater detail in the Representative Payee system. Only the most current data are kept on the MBR.

If TOP is present on the record and the value is A (self), then this means that the beneficiary had a payee prior to becoming his own payee.

The criterion for determining that a representative payee group is present for a beneficiary is found in the payee legend (PNA), which will contain special words such as “of” and “for.”

WIC	Work Indication Code
------------	-----------------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Variable Benefit–HIST
Related Data Elements:	RFD, BPD

DESCRIPTION AND PURPOSE

This data element represents the type of work or contains the reason for the termination, which is housed in the low-order position of the LAF code (Second Position).

This information is valuable when working with history data elements from the variable beneficiary portion of the MBR.

POSSIBLE VALUES

Codes if LAF not equal to T (Reason for Deduction):

- 0 – No worked indicated
- 1 – Foreign work indicated
- 2 – Domestic work indicated or disabled beneficiary's substantial gainful activity (SGA) work in the extended period of entitlement (together with an RFD of 7)
- N – Noncompliance (Drug Addiction & Alcoholism Legislation)
- S – Sanction (DA&A Legislation)

Codes if LAF = T:

- 0 – Benefits payable by some other agency
- 1 – Death of beneficiary
- 2 – Dependent status terminated because of death of primary beneficiary
- 3 – Divorce, Marriage, Remarriage
- 4 – Attainment of age 18 or 22 and not disabled; mother/father terminated based on last child's attainment of age 16
- 5 – Entitled to other benefits equal or larger
- 6 – Termination of mother/father benefits because of death or marriage of last child; termination of benefits because child no longer disabled or attending school.

- 7 – Adoption of child; mother terminated, last entitled child adopted. Valid only if Date of Suspension or Termination (DOST) is earlier than 10/72.
- 8 – DIB no longer disabled; mother/father terminated, child no longer disabled
- 9 – All other termination reasons

USAGE NOTES

On an MBR record, if payment history exists, this field occurs up to 48 times per beneficiary on this account. Benefit history data are recomputed, and overwritten, when new information requires changes. For more information on historic data, see Section 5.1.1.

YOC	Years of Coverage
------------	--------------------------

Type:	Character 2
Data Format:	
Repeating:	No
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	Variable Acct-PIH
Related Data Elements:	PIA

DESCRIPTION AND PURPOSE

This data element represents the years of coverage applicable to the primary insurance amount, i.e., the years in which the number holder earns 25 percent of the yearly maximum.

POSSIBLE VALUES

Range 00 through 30.

USAGE NOTES

If it applies, this field occurs as many as 30 times per record on the MBR. For more information on historic data, see Section 5.1.1.

This is used for the special minimum PIA. People who would have very small PIA might be eligible. For every year one earned 15 percent of the yearly maximum they receive a YOC credit. The number of YOCs determines the value of the special PIA.

The Windfall Elimination Provision uses 25 percent of the yearly maximum to determine YOC. It is then used to determine the percentage for the first bin point. Fewer YOCs means a lower percentage benefit.

ZIP	ZIP Code/Consular Office Code
------------	--------------------------------------

Type:	Character 5
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes (may be entered manually without editing)
Master Record Location:	Fixed Payment
Related Data Elements:	SCC, DOC

DESCRIPTION AND PURPOSE

This data element indicates the ZIP code for the mailing address for benefit checks.

POSSIBLE VALUES

Blank – unknown or questionable

Domestic Address: See ‘national ZIP code directory’

Foreign address: two blanks in leftmost position and Consular Office Code (COC) in three right most position. (If COC is unknown, two blanks and three zeros). The table below provides COCs.

Consular Office Codes

Consular Code	Country/Place	Geographic Code
520	Wake Island	63080
530	Panama Canal Zone (closed)	57880
550	Uzbekistan (Tashkent)	58345
601	Philippines (Manila)	61000
650	Malawi (Lilongwe)	54440
651	Croatia (Zagreb)	58065
652	Aruba	57780
653	Brazil (Brasilia)	62030
655	Zaire (Bukavu) (closed)	54190
660	Swaziland (Mbabane)	54850
661	Bonaire	57780
662	Zambia (Lusaka)	54980
663	Botswana (Gaborone)	54070
664	St. Maarten	57780
666	Mauritania (Nouakchott)	54460

Consular Code	Country/Place	Geographic Code
669	Rwanda (Kigali)	54660
670	Congo (Brazzaville)	54180
671	Saba	57780
672	Nigeria (Ibadan) (closed)	54540
673	St. Eustatius	57780
674	Cameroon (Douala) (closed)	54110
676	France (Bordeaux) (Closed)	58100
678	France (Lyon) (Closed)	58100
679	France (Marseille) (Closed)	58100
680	France (Nice)	58100
681	France (Strasbourg) (Closed)	58100
682	Niger (Niamey)	54530
683	Burundi (Bujumbura)	54090
688	Burma (Mandalay) (closed)	55110
689	Burkina Faso (Ouagadougou)	54960
690	Central African Rep (Bangui)	54140
691	Chad (N'djamena)	54160
692	Benin (Cotonou)	54210
693	Gabon (Libreville)	54290
694	Mali (Bamako)	54450
697	Tanzania (Dar Es Salaam)	54870
698	Gambia (Banjul)	54300
700	Italy	58170
701	Greece (Athens)	58130
702	Germany (Berlin)	58110
702	Germany (Berlin) (Closed)	58110
703	Norway (Oslo)	58250
704	Mexico (Guadalajara)	59000
705	Portugal (Lisbon)	58270
706	Brazil (Rio De Janeiro)	62030
707	Venezuela (Caracas)	62140
708	Australia (Sydney)	60010
709	Zaire (Lubumbashi)	54190
710	Cape Verde, Republic of (Praia)	54120
711	Armenia (Yerevan)	58025
712	Germany (Bremen) (closed)	58110
713	Japan (Tokyo)	55330
714	Mexico (Hermosillo) (Closed)	59000
715	Azores (Ponta Delgada)	58270
716	Azerbaijan (Baku)	58035
718	Australia (Melbourne)	60010
719	Zaire (Kinshasa)	54190
720	Slovakia (Bratislava)	58305
722	Germany (Dusseldorf) (closed)	58110

Consular Code	Country/Place	Geographic Code
723	Japan (Fukuoka)	55330
726	Brazil (Belem) (closed)	62030
727	Byelarus (Minsk)	58055
729	Ecuador (Quito)	62070
730	Georgia (Tbilisi)	58105
731	Uzbekistan	58345
732	Germany (Frankfurt)	58110
733	Japan (Osaka-Kobe)	55330
734	Mexico (Monterrey)	59000
736	Brazil (Recife)	62030
737	Cuba (Havana) (closed)	57330
738	Australia (Perth)	60010
739	Ecuador (Guayaquil)	62070
740	Kazakhstan (Alma-Ata)	58175
742	Germany (Hamburg)	58110
744	Mexico (Matamoros) (Not taking claims) (Closed?)	59000
745	Spain (Madrid)	58300
746	Brazil (Porto Alegre) (Closed)	62030
747	Cuba (Santiago) (Closed)	57330
748	Belgium (Brussels) (Closed?)	58040
749	Egypt (Alexandria) (Closed)	54950
750	Kyrgyzstan (Bishkek)	58185
752	Germany (Munich) (Closed)	58110
753	Japan (Sapporo)	55330
754	Mexico (Nuevo Laredo) (Not taking claims) (Closed?)	59000
755	Spain (Barcelona) (Not taking claims) (Closed?)	58300
756	Brazil (Sao Paulo)	62030
757	Colombia (Bogota)	62060
758	Belgium (Antwerp) (Closed)	58040
759	Egypt (Cairo)	54950
762	Germany (Stuttgart) (Closed)	58110
765	Spain (Bilbao) (Not taking claims) (Closed?)	58300
768	Chile (Santiago)	62050
769	Egypt (Port Said) (Closed)	54950
770	Moldova (Chisinau)	58225
772	Germany (Bonn) (Closed)	58110
773	Mexico (Mexico City)	59000
774	Mexico (Merida) (Not taking claims) (Closed?)	59000
775	Spain (Seville) (Closed)	58300
777	Colombia (Cali) (Closed)	62060
779	Ethiopia (Addis Ababa)	54250

Consular Code	Country/Place	Geographic Code
782	Sweden (Stockholm)	58310
783	Mexico (Ciudad Juarez)	59000
784	Tajikistan (Dushanbe)	58325
787	Colombia (Medillin) (Closed)	62060
789	Ethiopia (Asmara) (Closed)	54250
790	Turkmenistan (Ashgabat)	58330
793	Mexico (Tijuana) (Not taking claims) (Closed?)	59000
796	Indonesia (Medan) (Closed)	55250
797	Australia (Brisbane) (Closed)	60010
798	Indonesia (Surabaya) (Closed)	55250
799	Djibouti (Djibouti)	54270
800	United Kingdom (London)	58350
801	Ukraine (Kiev)	58335
803	Iraq (Baghdad)	55290
804	Libya (Tripoli) (Closed?)	54410
805	Togo (Lome)	54890
806	Panama (Panama City)	57880
807	Syria (Damascus)	55790
808	Bahamas (Nassau)	57030
809	Fiji (Suva)	60100
810	St. Lucia	57910
811	Dominica	57350
812	St. Vincent and the Grenadine Islands	57950
813	Grenada (St. George's)	57510
815	Western Samoa (Apia)	60600
816	British Virgin Islands	57020
817	Bosnia Herzegovina	58045
818	Barbados (Bridgetown)	57080
819	Cote D'Ivoire (Ivory Coast) (Abidjan)	54360
820	Brunei (Bandar Seri Begawan)	55090
822	Honduras (Tegucigalpa)	57630
824	Malaysia (Kuala Lumpur)	55450
825	Netherlands Antilles (Curacao)	57780
826	Saudi Arabia (Dhahran)	55690
827	Turkey (Istanbul)	55870
828	Bermuda (Hamilton)	57130
829	Yemen, People's Dem. Republic (Aden) (Closed)	55780
830	Turkey (Izmir)	55870
831	India (Calcutta)	55230
832	Bahrain (Manama)	55050
833	Iran (Tehran)	55270
834	Oman (Muscat)	55490
835	Pakistan (Islamabad)	55590

Consular Code	Country/Place	Geographic Code
836	Saudi Arabia (Jeddah)	55690
837	United Arab Emirates (Abu Dhabi)	55850
838	Jamaica (Kingston)	57680
840	Slovenia	58295
842	India (Bombay)	55230
844	Morocco (Casablanca)	54490
845	Pakistan (Karachi)	55590
846	Switzerland (Bern)	58320
847	Turkey (Ankara)	55870
848	Trinidad and Tobago (Port-of-Spain)	57980
849	Yemen, Republic of (Sanaa)	55890
852	India (Madras)	55230
853	Iran (Tabriz) (Closed)	55270
854	Morocco (Rabat)	54490
855	Pakistan (Lahore)	55590
856	Former Yugoslav Republic of Macedonia	58095
857	Turkey (Adana)	55870
858	Argentina (Buenos Aires)	62010
859	Sierra Leone (Freetown)	54720
862	India (New Delhi)	55230
863	Iran (Shiraz) (Closed)	55270
864	Morocco (Tangier)	54490
865	Bangladesh (Dhaka)	55060
866	Lesotho (Maseru)	54390
867	South Africa, Republic of (Capetown)	54760
868	Falkland Islands	62110
869	Kenya (Nairobi)	54380
870	Seychelles (Victoria)	54370
872	Nepal (Kathmandu)	55510
873	Israel (Tel Aviv)	55310
874	Netherlands (Amsterdam)	58240
875	New Zealand (Wellington) (Closed)	60400
876	Comoros (Moroni)	54170
877	South Africa, Republic of (Durban)	54760
878	Martinique (Fort-de-France)	57480
879	Nigeria (Kaduna) (Closed)	54540
880	Namibia (Windhoek)	54800
881	Guadalupe	57480
882	Mozambique (Maputo)	54510
884	Netherlands (Rotterdam) (Closed)	58240
885	New Zealand (Auckland)	60400
886	Liechtenstein (Bern, Switzerland)	58190
887	South Africa, Republic of (Johannesburg)	54760
888	French Guiana	62080

Consular Code	Country/Place	Geographic Code
889	Senegal (Dakar)	54700
890	Sao Tome and Principe	54690
892	Madagascar (Antananarivo)	54430
893	Angola (Luanda)	54030
894	Guyana (Georgetown)	62085
895	Pakistan (Peshawar)	55590
896	South Africa, Republic of (Pretoria)	54760
897	Mauritius (Port Louis)	54470
898	Equatorial Guinea (Malabo)	54640
899	Mexico (Mazatlan) (Not taking claims) (Closed?)	59000
900	Ireland, Republic of (Dublin)	58160
902	Serbia and Montenegro (Belgrade)	58360
903	Bulgaria (Sofia)	58050
904	Cyprus (Nicosia)	55190
905	Greenland (Copenhagen)	56020
906	Korea, North (Closed)	55550
907	Malta (Valletta)	58220
908	Poland	58260
909	Suriname (Paramaribo)	62120
910	Guinea-Biss	54600
912	France (Paris)	58100
913	Bolivia (La Paz)	62020
914	Czech Republic (Prague)	58060
915	Guatamala (Guatamala)	57530
916	Korea, South (Seoul)	55750
917	Qatar (Doha)	55630
918	Zimbabwe (Harare)	54630
919	Taiwan (Taipei)	55810
923	Burma (Mayanmar)	55110
924	Denmark (Copenhagen)	58070
925	Haiti (Port-Au-Prince)	57580
926	Kuwait (Kuwait)	55370
927	Mongolia	55470
928	Guinea (Conakry)	54340
929	Thailand (Bangkok)	55830
932	Afghanistan (Kabul)	55030
933	Kampuchea (Cambodia)	55130
934	Dominican Republic (Santo Domingo)	57380
935	Macao	55430
936	Laos (Vientiane)	55390
937	New Caledonia	60300
938	Romania (Bucharest)	58280
942	Albania (Tirana)	58010

Consular Code	Country/Place	Geographic Code
943	Cameroon (Yaounde)	54110
944	Germany, East (Closed)	58370
945	Hong Kong	55210
947	Papua - New Guinea (Port Moresby)	60450
948	Okinawa and Ryukyu Islands, Japan (Naha)	55330
949	Tunisia (Tunis)	54910
952	Algeria (Algiers)	54010
953	Canada	56010
955	Hungary (Budapest)	58140
956	Lebanon (Beirut)	55410
957	Nicaragua (Managua)	57830
958	El Salvador (San Salvador)	57430
962	Vietnam, North (Closed?)	55770
963	Sri Lanka (Colombo)	55150
964	Finland (Helsinki)	58090
965	Iceland (Reykjavik)	58150
966	Liberia (Monrovia)	54400
967	Nigeria (Lagos)	54540
968	Singapore	55730
969	Uganda (Kampala)	54930
972	Austria (Vienna)	58030
973	China, People's Republic of	55170
975	Indonesia (Jakarta)	55250
977	Israel (Jerusalem)	55310
978	Russia (Moscow)	58285
979	Uruguay (Montevideo)	62130
983	Costa Rica (San Jose)	57280
984	Ghana (Accra)	54320
985	Jordan (Amman)	55350
986	Luxembourg (Luxembourg)	58210
987	Paraguay (Asuncion)	62090
988	Sudan (Khartoum)]	54840
989	Vietnam, South (Saigon) (Closed)	55770
991	Latvia (Riga)	58180
992	Belize (Belize City)	57180
993	Lithuania (Vilnius)	58200
994	Gibraltar	58120
995	Estonia (Tallinn)	28080
996	Manchuria (Closed)	55170
997	Peru (Lima)	62100
998	Somalia (Mogadishu)	54740
999	Marshall Islands, Republic of	60700
999	Midway Island	63070
999	Micronesia, Federated States of	60800

Consular Code	Country/Place	Geographic Code
999	Palau, Republic of	63090
999	Trust Territories of the Pacific	63090

USAGE NOTES

On an MBR record, if it applies, this field occurs once per check from this account.

The ZIP/DOC/SCC lookup table (ZIPTAB) is updated monthly. Every six months, all the addresses must be checked with Coding Assurance Support System (CASS) to validate the ZIP codes. If the post office deletes or adds a ZIP code, the ZIP code fields will be cleaned up monthly. Another software program adds the extra four digits by looking at the address and the ZIP code. It also checks the ZIP code as it runs.

The ZIP code applies to the payee not the beneficiary. The DOC must correspond to the same location as the ZIP. However, the SCC must represent the address of the beneficiary. Thus, if a benefit check is being delivered to someone else's house, the ZIP and SCC may refer to different geographic locations.

If the beneficiary lives abroad, the DOC should be '995' which is located at SSA headquarters. The ZIP code is a three-digit Counselor Office Code of the country in which the beneficiary resides.

It is edited monthly by RETAP to ensure that ZIP and DOC are still correct.

ZIPADD ZIP Code Add On

Type:	Character 4
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	Fixed Payment
Related Data Elements:	ZIP

DESCRIPTION AND PURPOSE

This data element indicates the second part of the ZIP code for U.S. addresses of payees. This is used in conjunction with ZIP.

POSSIBLE VALUES

Four-digit numerical values.

USAGE NOTES

On an MBR record, if it applies, this field occurs once per check from this account.

5.3. MBR-Based Extracts

This section discusses the most widely used recurring MBR extracts: purpose, selection criteria, file characteristics, and record format. The table on the next several pages provides an overview. It lists all data elements that are documented above and indicates in which extracts they are available. It only lists data elements that are documented in this user's manual, i.e., a subset of all data elements that the extracts contain. Each following subsection contains one extract description:

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Researchers who need information that is not available on any recurring extract may request a custom extract. Custom extracts follow the so-called 810 or 811 format. See Section 5.4 for a specification of that record format.

Data Elements in This User's Manual Across Selected MBR Extracts

Variable	Definition	MBR1%	MBR10%	MOAD	DUAL	DDD	CEN	BRA	DIS20	WCOFF	DBF	CAN	BOAN	AERO	TOTL	WIND	WIDOW	ZIP
ABT	Action Block Type	*						*										
ACOC	Alien Country of Citizenship	*						*							*			
ARFC	Adjustment of Reduction Factor Code	*																
BIC	Beneficiary Identification Code	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
BOAN	Beneficiary Own Account Number	*	*					*	*		*	*	*		*	*		*
BPC	Benefit Payment Code	*	*	*	*				*			*	*					
BPD	Benefit Paid Designation	*			*					*								
CAN	Claim Account Number	*	*	*	*		*	*	*	*	*	*	*	*	*	*	*	*
CC	Custody Code	*	*					*	*		*							
CEC	Current Entitlement Code	*			*				*									
CIS1	Computation and Insured Status 1								*	*								
CRIM-C	Cumulative Retirement Increment Months Current	*	*	*								*	*					
DAA	Drug Addiction and Alcoholism	*									*	*	*					
DAC	Disability Award Code and Special Action Code	*	*	*					*									
DDCO	Direct Deposit Code	*	*					*										*
DDO	Date of Disability Onset (became disabled)	*				*			*	*		*	*				*	
DESC	Dual Entitlement Status Code		*					*				*	*	*	*	*	*	
DIG	Diagnosis Code	*						*	*	*	*							

5.3. MBR-Based Extracts

Variable	Definition																	
		MBR1%	MBR10%	MOAD	DUAL	DDD	CEN	BRA	DIS20	WCOFF	DBF	CAN	BOAN	AERO	TOTL	WIND	WIDOW	ZIP
DOA	Date of Action			*		*												
DOB	Date of Birth of Beneficiary	*		*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
DOBP	Date of Birth of Primary	*	*			*					*	*	*	*	*	*	*	
DOC	District Office Code	*	*				*	*	*	*								*
DOCA	Date of Credit Action	*	*					*	*					*				
DODP	Date of Death of Primary	*	*			*		*			*	*					*	
DOEC	Date of Current Entitlement	*	*	*	*	*		*	*	*	*	*		*	*	*	*	
DOED	Date of Entitlement to Disability	*						*			*	*					*	
DOEI	Date of Initial Entitlement	*		*				*			*	*		*			*	
DOF	Date of Filing	*		*		*		*						*				
DOST	Date of Suspension/Termination	*			*		*	*		*		*	*	*		*	*	
DQC	Domestic Quarters of Coverage													*				
DSD	Disability Adjudication Date	*						*										
EBD	Extended Period of Eligibility Begin Date	*																
ELY	Eligibility Year	*		*							*	*			*	*		
FMAX	Family Maximum Amount	*						*	*		*	*			*			
GS	Guardian Status	*	*					*	*									
IME	Indexed Monthly Earnings	*									*	*	*		*			
LAF	Ledger Account File	*	*		*	*	*	*	*	*	*	*		*	*	*	*	
LANG	Language Code	*						*										
LEMBA	Larger Excess Monthly Benefit Amount	*	*		*						*	*			*	*		
LFMBA	Larger Full Monthly Benefit Amount	*			*						*	*				*		
MBA	Monthly Benefit Amount	*			*						*	*	*		*	*		

Variable	Definition	MBR1%	MBR10%	MOAD	DUAL	DDD	CEN	BRA	DIS20	WCOFF	DBF	CAN	BOAN	AERO	TOTL	WIND	WIDOW	ZIP
MBC	Monthly Benefit Credited	*	*	*	*		*	*	*	*	*				*			*
MBP	Monthly Benefit Payable																	
MIL	Military Service Code	*						*	*									
OED	Offset Effective Date	*								*						*		
OFA	Offset Amount	*								*						*		
OFC	Offset Code	*	*						*	*		*	*			*		
OFDC	Offset Date Code		*															
OFT	Offset Type Code	*								*		*	*					
OTAN	Other Account Number	*										*	*				*	
OTBIC	Other Beneficiary Identification Code	*	*		*							*	*			*	*	
OTD	Offset Termination Date	*								*		*	*			*		
OTDOE	Other Date of Entitlement	*			*							*	*			*		
OTPIA	Other Primary Insurance Amount	*			*							*	*			*		
PBP	Primary Insurance History Benefit Pointer	*		*													*	
PIA	Primary Insurance Amount	*	*	*	*		*	*	*	*	*	*	*	*	*	*	*	*
PIFC	Primary Insurance Factor Code	*	*	*								*	*	*	*	*	*	*
PSC	Payment Status Code	*							*									
RCC	Recomputation Code	*																
RDD	Reason for Disallowance or Denial	*				*												
RFCP	Reason For Change in PIA	*												*			*	
RFD	Reason For Deduction	*		*	*									*				
RFST	Reason For Suspension or Termination																	
RRC	Railroad Retirement Code	*										*	*					

5.3. MBR-Based Extracts

Variable	Definition	MBR1%	MBR10%	MOAD	DUAL	DDD	CEN	BRA	DIS20	WCOFF	DBF	CAN	BOAN	AERO	TOTL	WIND	WIDOW	ZIP
SAC1	Special Action Code	*							*									*
SAMBA	Smaller Actuarially Reduced Monthly Benefit Amount	*	*		*							*	*			*	*	
SCC	State/Country Code	*	*				*	*			*				*	*		*
SEX	Gender of Beneficiary	*	*	*	*	*		*	*		*				*			*
SIFT	Security Income File Type	*	*								*							
SIM	Survivor Increments Months	*		*														
SISC	Security Income Status Code	*	*						*	*	*	*	*					
SROP	Sex and Race of Primary		*		*		*				*	*	*		*			*
TAC	Type of Award Code	*	*	*					*									
TOC	Type of Claim	*	*	*	*	*		*	*	*	*	*	*	*	*	*		*
TOD	Type of Dual Entitlement	*	*		*			*										
TOP	Type of Payee	*	*					*	*		*							*
WIC	Work Indication Code	*			*													
YOC	Years of Coverage															*		
ZIP	ZIP Code/Consular Office Code	*	*	*			*	*	*	*	*							*
ZIPADD	ZIP Code Add On																	*

5.3.1. MBR One Percent Extract (MBR1%)

The MBR1% is a one percent extract of the MBR containing approximately 170 variables. It is one of the most important general-purpose MBR extracts used by ORES. The selection criteria are:

- No advanced filers (PSC 8-bit=0); and
- 1 Percent random sample based on serial digit patterns of the CAN.

The One Percent MBR extract is created monthly. It is used for tabulating data on awards, terminations, conversions, and other payment status changes by comparing cases for the current and prior month.

File Characteristics

- Record length = 545
- Blocking factor = 29862

Record Format:

	Description	Position
RIC	Record Identification Code	1
OC	Office Code	2
CAN	Claim Account Number	3-11
QCE	Quarters of Coverage Earned	12-13
QCR	Quarters of Coverage Required	14-15
TAC	Type of Award Code	16
CIS1	Computation and Status – One	17
RACE	Race of Primary (w, B, O, U)	18
RCC	Recomputation Code	19
ERC	Earnings Recomputation Cycle	20-21
MIL	Military Service Code	22
RRC	Railroad Code	23
SCIC1	Selected Claims Input Code – One	24
DOBP	Date of Birth of Primary (MMDDCCYY)	25-32
DODP	Date of Death of Primary (MMCCYY)	33-38
PIFC	Primary Insurance Factor Code	44
PIA	Governing Primary Insurance Amount (\$\$\$\$c)	45-49
RFCP	Reason for Change in PIA	50
FMAX	Family Maximum Amount (\$\$\$\$c)	51-55
TOM	Type of Maximum	56
SECAC	State Exchange Categorical Assistance Code	57
IME	Average Indexed Monthly Earnings	58-61
ELY	Eligibility Year (CCYY)	62-65

	Description	Position
PIC	Payment Identification Code	66-67
PSC	Payment Status Code	68
DOC	District Office Code	69-71
SCC	State and County Code	72-76
ZIP	Zip Code	77-81
LAP	Last Action Processed	82
DDCO	Direct Deposit Code	83
LSSC	Lump Sum Status Code	84
EBD	Extended POE Begin Date (MMCCYY)	85-90
DAA	Drug Addiction Alcoholism Code	91
BIC	Beneficiary Identification Code	92-93
LAF	Ledger Account File	94-95
DOB	Date of Birth (MMDDCCYY)	96-103
BLN	Beneficiary's Last Name	104-115
DOEI	Date of Entitlement Initial (MMCCYY)	116-121
DOEC	Current Date of Entitlement (MMCCYY)	122-127
CEC	Current Entitlement Code	128
BPC	Benefit Payment Code	135
ABN	Action Block Number	136-139
ABT	Action Block Type	140
ABS	Action Block Serial	141-143
DRD	Debit Processing Date (MMCCYY)	144-149
DOST	Date of Suspension or Termination	150-155
DOCA	Date of Credit Action (MMCCYY)	156-161
SEX	Beneficiary's Sex Code	162
ARFC	Actuarial Reduction Code	163
SAC1	Special Action Code – One	164
SAC2	Special Action Code – Two	165
SAC3	Special Action Code – Three	166
Lang	Language Code	167
RRIC	Railroad Involvement	168
RELATC	Relationship Code	169
TOC	Type of Claim	170
RDD	Reason for Disallowance or Denial	171-173
NDDF	Number of Disability Date Fields	174-175
NXREF	Number of Cross-Reference Fields	176
ACOC	Alien Country of Citizenship	177-179
DID:	Disability Investigation Data	180-219
TWPSD	Trial Work Period Start Date (MMCCYY)	180-185
PWASD	Prior Work Activity Start Date (MMCCYY)	186-191
PWAEP	Prior Work Activity End Date (MMCCYY)	192-197
CWSD	Current Work Start Date (MMCCYY)	198-203
TWPESM	Trial Work Period Elapsed Service Months	204
TWPC	Trail Work Period Code	205

	Description	Position
RDI	Reason for Disability Investigation	206
WKEYR-	Year of SGA Event Data (MMCCYY)	207-212
MDR	Medical Diary Reason	213
DPM	Disability Permanent Definition	214
IDR	Investigation of Disability Results	215
DIG	Diagnosis Code	216-219
REPD:	Representative Payee Data	220-244
DOS	Date of Selection (MMCCYY)	220-225
TOP	Type of Payee	226
CC	Custody Code	227
GS	Guardian Code	228
PBP	Primary Insurance History Benefit Pointer	229
DRSC	Delayed Retirement Selection Code	230
DRCY	Delayed Retirement Computation Year (CCYY)	231-234
LPDA	Legal Payment Deduction Amount (PD \$\$\$\$\$cc 4 POS Packed decimal)	235-238
SIM	Survivor Increment Months	239-240
CYIC	Current Year Identification Code (CCYY)	241-244
PYE3	Third Prior Year Earnings (\$\$\$\$\$)	245-249
PYE2	Second Prior Year Earnings (\$\$\$\$\$)	250-254
PYE1	First Prior Year Earnings (\$\$\$\$\$)	255-259
CYEE	Current Year Estimated Earnings (\$\$\$\$\$)	260-264
CRIMC	Cumulative Retirement Increment Months – Current	265-266
AWSC	Alien Withholding Status Code	267
AEC	Alien Exception Code	268
OFD:	Offset Data	269-296
OED	Date of Notice of Offset Effective Date (MMCCYY)	269-274
OTD	Offset Termination Date (MMCCYY)	275-280
OFC	Offset Code	281
OFA	Offset Amount (\$\$\$\$c)	282-286
ACE	Average Current Earnings (\$\$\$\$\$c)	287-292
SASP	State Abbreviation for State Payment	293-294
OFT	Offset Type	295-296
RACE	Race	297
RZIP	Residence ZIP Code	298-302
SIFT	Security Income File Type	303
SISC	Security Income Status Code	304
BOAN	Beneficiary's Own Account Number	305-313
BDOD	Beneficiary's Date of Death (MMDDCCYY)	314-321
DIB:	Disability Data	322-366
DLM	Date 20/40 Was Last Met (MMCCYY)	322-327
DOED	Date of Entitlement of DIB (MMCCYY)	328-333
DDBC	Date of Disability Benefits Cessation (MMCCYY)	334-339

	Description	Position
DSD	Disability Adjudication Date (MMCCYY)	340-345
ADC	Applicant's Disability Cessation Date (MMCCYY)	346-351
DDO	Date of Disability Onset (MMDDCCYY)	352-359
DAC	Disability Award Code	360
LOD	Level of Denial Code	361
BDC	Basis for Denial Code	362
CDR	Cessation of Disability Reason	364
CSA	Current SGA Activity	365
PRY	Probability of Recovery	366
XRTC	Cross-Reference Type Code	367
XRAN	Cross-Reference Account Number	368-376
XBIC	Cross-Reference BIC Code (BIC)	377-378
DED:	Dual Entitlement Data	379-428
TOD	Title II Type of Dual Entitlement	379
OTAN	Other Account Number	380-388
OTBIC	Other Beneficiary Identification Code (BIC)	389-390
OTDOE	Other Date of Entitlement (MMCCYY)	391-396
OTPIA	Other Primary Insurance Amount (\$\$\$\$c)	397-401
LFMBA	Larger Monthly Benefit Amount Reduced for Family Maximum, (\$\$\$\$c)	402-406
OTRIA	Other Retirement Primary Insurance Amount	407-411
LEMBA	Excess Amount Payable on Larger Benefit Amount (\$\$\$\$c)	412-416
SFMBA	Small Monthly Benefit Amount Reduced for Family Maximum (\$\$\$\$c)	417-421
SAMBA	Smaller Monthly Benefit Amount Reduced for Maximum and Age (\$\$\$\$c)	422-426
DESC	Dual Entitlement Status Code	427
OTOC	Other Office Code	428
OTBIC	Other BIC (for Triple Entitlement)	429-430
OTOC	Other Office (for Triple Entitlement)	431
LEMBA	Excess Amount Payable on Larger Benefit Amount (\$\$\$\$c) (for Triple Entitlement)	432-436
LFMBA	Larger MBA Reduce for Family Maximum (\$\$\$\$c) (for Triple Entitlement)	437-441
SFMBA	Small MBA Reduce for Family Maximum (\$\$\$\$c) (for Triple Entitlement)	442-446
SAMBA	Small MBA Reduced for Family Maximum and Age (\$\$\$\$c) (for Triple Entitlement)	447-451
EFD	Effective Date (MMCCYY)	452-457
MBA	Monthly Benefit Amount Title II (\$\$\$\$c)	458-462
HSA	Historical SMI Amount (\$\$\$c)	463-466
DDA1	Dimes Deduction Amount (One)	467
DDA2	Dimes Deduction Amount (Two)	468

	Description	Position
RHC	Rounding History Code	469
RFD	Reason for Deduction	470
BPD	Benefit Paid Designation	471
MBC	Monthly Benefit Credited (\$\$\$\$c)	472-476
WIC	Work Indication Code	477
LPWD	Legal Payment Withheld Date (MMCCYY)	478-483
ABP	Summary of MBC Amounts in NMP (\$\$\$\$c) (9405)	484-489
GSCC	Generated State and County Code (9405)	490-494
NMP	Number of Months Paid (9405)	495-496
DFP	Date for Which First Benefit Payment Made (9405) (MMCCYY)	497-502
MBAAO	MBA After Offset (\$\$\$\$c) (Delayed Retirement Credit File)	503-507
MBABO	MBA Before Offset (\$\$\$\$c) (DRC File)	508-512
MBABDR C	MBA Before Delayed Retirement Credit (\$\$\$\$c) (DRC File)	513-517
MBAAD RC	MBA After Delayed Retirement Credit (\$\$\$\$c) (DRC File)	518-522
COB	Century of Birth (SS404 Generated)	523
DOLT	Date of Last Transaction (MMCCYY) (SS404 Generated)	524-529
TC	Transaction Code (SS404 Generated)	530-531
HENC	Hospital Insurance Entitlement Code	532
SENC	Supplemental Medical Insurance Entitlement Code	533
DOEH	Date of Entitlement to Health Insurance (MMCCYY)	534-539
DOES	Date of Entitlement to Supplementary Medical Insurance	540-545

5.3.2. MBR Ten Percent Extract (MBR10%)

The MBR10% is a ten percent extract of the MBR containing approximately 50 variables. Because of the large sample size, it can be used to study small, more specialized populations. It is one of the most heavily used MBR extracts. The Annual Statistical Supplement of the Social Security Bulletin is in large part based on tabulations from this file. The selection criteria are:

- Ten percent random sample based on serial digit patterns of the CAN; and
- All current, deferred, and conditional payment status beneficiaries, active Title XVIII, and Railroad Retirement beneficiaries (LAF=C, D, E, S, U); also terminated or health insurance benefits only beneficiaries (LAF=T, X7) with a current DOC year; and
- No advanced filers (PSC 8-bit=0).

This file is produced quarterly.

File Characteristics

- Record length = 119
- Blocksize = 32725

Record Format:

	Description	Position
CAN	Claim Account Number	1-9
BIC	Beneficiary Identification Code	10-11
AGE	Age as of 12/98	12-14
DODP	Date of Death of Primary (CCYY)	15-18
DOBP	Date of Birth of Primary (CCYY)	19-22
DOEC	Date of Current Entitlement (MMCCYY)	23-28
PIA	Primary Insurance Amount (\$\$\$\$c)	29-33
MBC	Monthly Benefit Credited (\$\$\$\$c)	34-38
SIFT	Security Income File Type	39
TOP	Type of Payee	40
CC	Custody Code	41
TOD	Type of Dual Entitlement Code	42
DESC	Dual Entitlement Status Code	43
ZIP	ZIP Code	44-48
SCC	State/County Code	49-53
GSCC	Generated State/County Code	54-58
DOC	District Office Code	59-61
HENC	Hospital Insurance Entitlement Code	62
PCOC	Payment Center Office Code	63

	Description	Position
GS	Guardianship Status	64
TAC	Type of Award Code	65
SEX	Sex of Beneficiary (M, F, b)	66
SROP	Race of primary (W, B, O, U)	67
DAC	Disability Award Code	68
DDCO	Direct Deposit Code	69
DOCA	Date of Credit Action (CCYY)	70-73
PIFC	Primary Insurance Factor Code	74
SISC	Security Income Status Code	75
TOC	Type of Claim	76
OFC	Offset Code	77
SOP	Sex of Primary (M, F, U)	78
LAF	Ledger Account File	79-80
OFDC	Offset Date Code	81
CRIM-C	Cumulative Retirement Increment Months	82-84
OTOC	Other Office Code	85
LEMBA	Larger Excess MBA (\$\$\$\$c)	86-90
SAMBA	Smaller Actuarially Reduced MBA (\$\$\$\$c)	91-95
OTBIC	Other BIC	96-97
BPC	Benefit Payment Code	98
BP1	Benefit Payment Code (1-bit 0,1)	99
BP8	Benefit Payment Code (8-bit, 0,1)	100
CI4	Computation & Insured Status 1 (4-bit 0,1)	101
SA8	Special Action Code 1 (8-bit 0,1)	102
--	Blank	103
SENC	Medical Insurance Entitlement Code	104
BOAN	Beneficiary Own Account Number	105-113
AGEP	Age of Primary as of 12/98	114-116
AGEDP	Age of Primary at Death	117-119

5.3.3. Monthly Administrative Records (MOAD)

This is a 100 percent file of administrative records representing the following types of monthly actions: monthly benefit awards, terminations, credit adjustments, and lump sum death payment awards. The numbers of records for each type varies from month to month, but typically are in the following ranges:

Monthly benefit awards	190,000–390,000
Terminations	140,000–340,000
Credit adjustments	520,000–4,740,000
Lump sum death payment awards	20,000-75,000
Total	880,000–5,520,000

MOAD records are generated for a requested month.

File Characteristics

- Dates of Release: 11/96, 12/96, 01/97
- Frequency of Release: monthly

Record Format (Year 2000)

	Description	Position
CAN	Account Number	1-9
BIC	BIC	10-11
—	Filler	12
TOC	Type of Claim	13
PIA	Primary Insurance Amount (\$\$\$c)	14-18
DOB	Date of Birth (MMDDCCYY)	19-26
MBC	Monthly Benefit Credited (\$\$\$c)	27-31
DEC	Dual Entitlement Code	32
ABN	Action Block Number	33-36
SEX	Sex of Beneficiary	37
RACE	Race of Primary	38
DOEC	Current Date of Entitlement (MMCCYY)	39-44
PBC	Partial Benefit Code	45
CRIMC	Cumulative Retirement Increment Months Current	46-47
SIM	Survivor Increment Months	48-49
IAI	Interim Award Indication (Awards only)	50
RFD	Reason for Deduction (Awards only)	51
SC-AW	State Code for Award	52-53
PIFC-AW	PIA Factor Code for Award	54
—	Filler	55-60
DAC	Disability Award Code and Special Action Code	61

	Description	Position
DDOF	Disability Date of Filing (MMCCYY)	62-67
DDPB	Date of Disability Period Began (MMCCYY)	68-73
	Filler	74-75
DOF	Date of Filing (MMCCYY) (RSI only)	76-81
WDOC	Wage Earner's Date of Death (MMCCYY) (OASI only)	82-87
TAC	Type of Award Code	88
DFI	Disability Freeze Indication	89
MSC	Military Service Code	90
WCC	Workmen's Compensation Code	91
SOP	Sex of Primary	92
RRI	Railroad Indicator	93
DIB-I	DIB Indicator	94
BPC	Benefit Payment Code	95
DOA	Date of Action (MMCCYY)	96-101
PCOC	Payment Center Office Code	102
COA	Class of Action	103
ZIP	ZIP Code	104-108
DOEI	Initial Date of Entitlement (MMCCYY)	109-114
RPD	Run Processing Date (MMDD)	115-118
LAP	Last Action Processed	119
ELY	Eligibility Year(CCYY)	120-123
PBP	Primary Insurance History Benefit Pointer	124
BPP	Benefit Primary Insurance History Pointer	125

Termination Record Format and Credit Adjustments Format (Year 2000)

	Description	Position
CAN	Account Number	1-9
BIC	BIC	10-11
—	Filler	12
TOC	Type of Claim	13
PIA	Primary Insurance Amount (\$\$\$\$c)	14-18
DOB	Date of Birth (MMDDCCYY)	19-26
MBC	Monthly Benefit Credited (\$\$\$\$c)	27-31
DEC	Dual Entitlement Code	32
ABN	Action Block Number	33-36
SEX	Sex of Beneficiary	37
RACE	Race of Primary	38
DOEC	Current Date of Entitlement (MMCCYY)	39-44
PBC	Partial Benefit Code	45
CRIMC	Cumulative Retirement Increment Months Current	46-47
SIM	Survivor Increment Months	48-49

	Description	Position
PIFC-TE	PIA Factor Code for Credit Action	50
EDOT	Effective Date of Credit Action (MMCCYY)	51-56
AFWW	Account From Which Withdrawn (Credit Actions only)	57
RFCA	Reason For Credit Action (Credit Actions Only)	58
—	Filler	59-60
DAC	Disability Award Code and Special Action Code	61
DDOF	Disability Date of Filing (MMCCYY)	62-67
DDPB	Date Disability Period Began (MMCCYY)	68-73
SC-TE	State Code for Credit Action	74-75
DOF	Date of Filing (MMCCYY) (RSI only)	76-81
WDOD	Wager Earner's Date of Death (MMCCYY) (RSI only)	82-87
TAC	Type of Award Code	88
DFI	Disability Freeze Indication	89
MSC	Military Service Code	90
WCC	Workmen's Compensation Code	91
SOP	Sex of Primary	92
RRI	Railroad Indicator	93
DIB-I	DIB Indicator	94
BPC	Benefit Payment Code	95
DOA	Date of Action (MMYYY)	96-101
PCOC	Payment Center Office Code	102
COA	Class of Action	103
ZIP	ZIP Code	104-108
DOEI	Initial Date of Entitlement (MMCCYY)	109-114
RPD	Run Processing Date (MMDD)	115-118
LAP	Last Action Processed	119
ELY	Eligibility Year (CCYY)	120-123
PBP	Primary Insurance History Benefit Pointer	124
BPP	Benefit Primary Insurance History Pointer	125

Administrative Lump Sum Award Record Format (Year 2000)

	Description	Position
CAN	Social Security Number	1-9
PIC	PIC	10-11
—	Filler	12
TOC	Type of Claim	13
LSPIA	Lump Sum Primary Insurance Amount (\$\$\$\$c)	14-18
—	Filler	19-26
LSA	Lump Sum Amount (\$\$\$c)	27-31
PAYEE	Lump Sum Payee Code	32

	Description	Position
ABN	Action Block Number	33-36
PODF	Proof of Death Furnished Code	37
—	Filler	38-44
MBI	Monthly Benefit Indication	45
	Filler	46-51
SC	State Code	52-53
—	Filler	54-75
LSFD	Lump Sum Date of Filing (MMCCYY)	76-81
DODP	Wage Earner's Date of Death (MMCCYY)	82-87
TAC	Type of Award Code	88
DFI	Disability Freeze Indication	89
MIL	Military Service Code	90
COE	Class of Entitlement	91
SOWE	Sex of Wage Earner	92
—	Filler	93-94
PLS	Prior Lump Sum Indication	95
DOA	Date of Action (MMCCYY)	96-101
PCOC	Program Service Center Office Code	102
COA	Class of Action (always "7")	103
—	Filler	104-114
RPD	Run Processing Date (MMDD)	115-118
LAP	Last Action Processed	119
	Filler	120-125

5.3.4. Dual Entitlement Data (DUAL)

This extract file contains 100 percent of all dual (and triple) entitlements in force at a requested time. It is used to study multiple entitlement issues. The detailed selection criteria for the extraction of dual entitlement records are:

Number of DE data fields with DESC = T	TOD	BIC Type of Benefit	BIC subscript
1	1	A	–
1	2	D	4, 5
1	3	B-F	–
2	–	A	–

The extraction of skeleton records reflecting multiple entitlements in force at the end of December of a given year should be accomplished following the MBR update operations for January of the following year. The extraction is thus made from the MBR of January of the following year.

File Characteristics

- Record length = 108
- Retention = 730 days.

Request for Dual Entitlement Control Totals

1. Total number of dual entitlement skeleton records extracted.
2. Total number of extracted DE skeleton records by TOD.
3. Total LEMBA for all records reflecting TOD = 1.
4. Total SAMBA for all records reflecting TOD = 1.
5. Total LFMBA for all records reflecting TOD = 1.
6. Total number of extracted DE skeleton records by (first digit of) OTBIC—(nine totals).
7. Total number of records reflecting DLAF = C and TOD = 1.

Dual Entitlement Skeleton Record Format

Smaller Benefit data in positions 1-24
 Larger Benefit data in positions 25-43
 Third Benefit data in positions 44-51
 Benefit data in positions 52-78
 General Beneficiary data in positions 79-124

Note: all dates were revised in January 1999 in preparation of Y2K.

	Format	Position
BIC		1-2
DOEC	MMCCYY	3-8
PIA	\$\$\$\$c	9-13
SFMBA	\$\$\$\$c	14-18
SAMBA	\$\$\$\$c	19-23
OC ¹		24
OTBIC		25-26
OTDOE	MMCCYY	27-32
OTPIA	\$\$\$\$c	33-37
LFMBA	\$\$\$\$c	38-42
OTOC	zoned “+” if larger benefit is DI	43
Third type of benefit		44
Third subscript		45
TEMBA (Third benefit LEMBA)	\$\$\$\$c	46-50
Third OC		51
EFD ³	MMCCYY	52-57
BPD ³		*58
RFD ³		*59
MBA ³	\$\$\$\$c	*60-64
DLAF ²		*65
MBC ³	\$\$\$\$c	*66-70
RHC ³		*71
DDA1 ³		*72
DDA2 ³		*73
DDA3 ³		*74
HSA		*75-77
WIC ³		*78
TOD – Type of Dual Entitlement		79
DOB	MMDDCCYY	80-87
DESC-Dual Entitlement Status Code		88
LEMBA – Excess MBA	\$\$\$\$c	89-93
SAC		94
LAF as of extraction		95-96
BPC		97
CAN		98-106
TOC – Type of Claim		107
DOST	MMCCYY	108-113
SEX (of beneficiary)		114
SROP		115
CEC		116
CURDIB ⁴		117

	Format	Position
DISBEN ⁴		118
RDE ⁴		119
REDAGE ⁴		120
XDE ⁴		121
RHCTRI ^{3, 4}		122
RHCDUA ^{3, 4}		123
RHCSNG ^{3, 4}		124

¹ The OC code should be recoded as follows:

OC shown on MBR	Type of insurance	OC for extracted skeleton record
1	DI	A
2	DI	B
3	DI	C
4	DI	D
5	DI	E
6	DI	F
7	DI	G
8	DI	H
1-8	RSI	same as shown on MBR

² DLAF—LAF as of December of year of interest – should be derived from the history which was effective in December of that year as follows:

RFD as of December	BPD as of December	Assign DLAF as of December
T,X		T
A		A
O/T T, A, X	1	C
O/T T, A, X	0	S

³ As of December of year of interest.

⁴ Derived from the 7080 MBR bit-code designated below. Assigned the value “0” or “1” in accordance with the “0” or “1” setting of the interrogated bit.

Derived data element	7080 bit-code	Interrogated bit
CURDIB	SAC-1	8
DISBEN	CEC	4
RDE	BPC	8
REDAGE	BPC	2
XDE	BPC	1
RHCTRI	RHC	8
RHCDUA	RHC	4
RHCSNG	RHC	2

5.3.5. Centenarian File (CEN)

This is an extract from the MBR of all individuals in current pay over 100 years old. It contains approximately 20 variables including SSN, name, sex, race, age, state, county, and ZIP code. This file has been used to assist state governments in contacting individuals for a celebration. It is not commonly used for research or policy evaluation purposes. The selection criteria are:

- All current and conditional payment status beneficiaries, active Title XVIII, and Railroad Retirement beneficiaries (LAF=C, E, S, U); and
- year of birth equal to 100 years ago or earlier.

File Characteristics

- Record length = 208
- Blocksize = 32656

Record Format:

	Description	Position
CAN	Claim Account Number	1-9
BIC	Beneficiary Identification Code	10-11
SROP	Sex of Primary (M, F, b)	12
SROP	Race of Primary (W,B,O,U)	13
DOB	Date of Birth (MMDDCCYY)	14-21
LAF	Ledger Account File	22-23
SCC	State/County Code	24-28
MBC	Monthly Benefit Credited (\$\$\$c)	29-33
PIA	Primary Insurance Amount	34-38
DOC	District Office Code	39-41
DOST	Date of Suspension or Termination (MMCCYY)	42-47
ZIP	Postal ZIP Code	48-52
BGN	Beneficiary's Given Name	53-62
BMI	Beneficiary's Middle Initial	63
BLN	Beneficiary's Last Name	64-75
PNA	Payee Name and Address (PNA1-PNA6)	76-207
RPI	Representative Payee Indicator (Code 1 if involved)	208

5.3.6. ORS Foreign Beneficiary File (BRA)

This is a quarterly extract file that contain records for all foreign beneficiaries. It is used within ORES to help address ad hoc issues about Social Security beneficiaries who reside in foreign countries.

The selection criteria are:

- OC = 8; and
- LAF = C, D, PB, S, T; and
- No advanced filers (PSC 8-bit=0).

Note: If SC=54-63 or SCC=94001, 95001, 96001, RIDC= '0'; else RIDC='N'.

File Characteristics

- Record length = 339
- Blocksize = 32544

Record Format:

	Description	Position
CAN	Claim Account Number	1-9
BIC	Beneficiary Identification Code	10-11
LAF	Ledger Account File	12-13
TOC	Type of Claim	14
MIL	Military Service Credits	15
PIA	Primary Insurance Amount (\$\$\$c)	16-20
MBC	Monthly Benefit Credited (\$\$\$c)	21-25
FCC	Foreign Consular Code	26-28
SCC	State/County Code	29-33
ACOC	Alien Country of Citizenship	34-36
CAD	Change of Address Date (MMCCYY)	37-42
DOB	Date of Birth (MMDDCCYY)	43-50
DOEC	Date of Current Entitlement (MMCCYY)	51-56
DOEI	Date Initial Entitlement (MMCCYY)	57-62
DOST	Date of Suspension or Termination (MMCCYY)	63-68
BDOD	Beneficiary Date of Death (MMCCYY)	69-74
AWSC	Alien Withholding Status Code	75
AMWA	Alien Monthly W/Holding Amount (\$\$\$c)	76-80
AEC	Alien Exemption Code	81
BOAN	Beneficiary's Own Account Number	82-90
SEX	Sex of Beneficiary (M, F, U)	91

	Description	Position
RACE	Race of Beneficiary	92
TOD	Type of Dual Entitlement	93
DESC	Dual Entitlement Status Code	94
DDCO	Direct Deposit Code	95
DOS	Date of Selection (MMCCYY)	96-101
TOP	Type of Payee	102
CC	Custody Code	103
GS	Guardian Status	104
TSC	Totalization Status Code	105-106
TCC	Totalization Country Code	107-111
DRD	Debit Run Date (MMDDCCYY)	112-119
ABT	Action Block Type	120
LAP	Last Action Processed	121
DOC	District Office Code	122-124
PNA	Payment Name and Address	125-256
AGE	Age as of 12/98 (extraction date?)	257-259
DOF	Date of Filing (MMCCYY)	260-265
RTN	Routing and Transit Number	266-273
BDCD	Bank Data Change Date (MMDDCCYY)	274-281
SOC	SMI Option Code	282
BGN	Beneficiary Given Name	283-292
BMI	Beneficiary Middle Initial	293
BLN	Beneficiary Last Name	294-305
ZIP	ZIP Code	306-310
DIG	Diagnosis Code	311-314
MRED	Medical Reexamination Date (MMCCYY)	315-320
RIDC	Record ID Code ('N' or 'O')	321
OC	Office Code (PCOC)	322
DAN	Depositor Account Number	323-339

5.3.7. Twenty Percent Disability File (DIS20)

This is a 20 percent random sample of current DI recipients. It is prepared by ORES and used primarily by the Office of Disability. The selection criteria are:

- 20 percent random sample based on serial digit patterns in the CAN; and
- one of the following:
 - LAF = C, D, S, U, E, T, X, 7
 - TOB = A, B, C
 - TOC = 5-7, R

File Characteristics

- Record length = 245
- Blocksize = 32585

Record Format:

	Description	Position
CAN	Claim Account Number	1-9
BIC	Beneficiary Identification Code	10-11
PIA	Primary Insurance Amount	12-16
FMAX	Family Maximum Amount (\$\$\$\$c) (Zoned if savings clause involved)	17-21
TAC	Type of Award Code	22
CIS-1	Computation and insured Status, Code 1	23
DDO	Date of Disability Onset (MMCCYY) (Blank if no DDO on MBR)	24-29
PSC	Payment Status Code	30
SC	State Code (Recoded)	31-32
LAF	Ledger Account File	33-34
DOB	Date of Birth (MMDDCCYY)	35-42
DOEC	Date of Current Entitlement (MMCCYY)	43-48
BPC	Benefit Payment Code	49
EDOT	Effective Date of Termination (MMCCYY, Blank if LAF status in not T)	50-55
SAC1	Special Action Code One	56
DOCA	Date of Credit Action (MMCCYY)	57-62
DAC	Disability Award Code	63
MBC	Monthly Benefit Credited (\$\$\$\$c)	64-68
CEC	Current Entitlement Code	69
DOEI	Date Initial Entitlement (MMCCYY)	70-75
BOAN	Beneficiary's Own Account Number	76-84
ROP	Race of Primary (W,B,O,U)	85

	Description	Position
SOP	Sex of Primary	86
DODP	Date of Death of Primary (MMCCYY)	87-92
TOC	Type of Claim	93
—	Blank	94
DSD	Disability Adjudication Date (MMCCYY)	95-100
ZIP	Postal ZIP Code	101-105
LANG	Language Code	106
MIL	Military Service Code	107
SEWC	State Exchange Welfare Code (first 2 digits)	108-109
OFC	Offset Code	110
SISC	Security Income Status Code	111
SDS	SGA Disability Suspension Date (MMCCYY)	112-117
PRY	Pending Appeals Review	118
DPM	Permanent Disability Code	119
CDR	Cessation of Disability Reason	120
ADC	Applicant Disability Cessation Date (MMCCYY)	121-126
IVR	Indication of Vocational Rehabilitation	127
DIG	Diagnosis Code	128-131
OC	Office Code	132
—	Blank	133
SEX	Sex of Beneficiary	134
DOC	District Office	135-137
LOD	Level of Denial	138
DOF	Date of Filing (MMCCYY)	139-144
DOS	Date of Selection (MMCCYY)	145-150
TOP	Type of Payee	151
CC	Custody Code	152
GS	Guardian Status	153
YIPS	Year Initial Payee Select (CCYY)	154-157
TWPSD	Trial Work Period Start Date (MMCCYY)	158-163
TWPESM	Trial Work Period Elapsed Service Months	164
TWPDD	Trial Work Period Diary Date (MMCCYY)	165-170
TWPC	Trial Work Period Code	171
PWASD	Prior Work Activity Start Date (MMCCYY)	172-177
PWAED	Prior Work Activity Ending Date (MMCCYY)	178-183
CWSD	Current Work Start Date (MMCCYY)	184-189
DOED	Date Entitled to Disability (MMCCYY)	190-195
MRED	Medical Reexam Diary Date (MMCCYY)	196-201
MRD	Medical Diary Reason (MMCCYY)	202
CDIO	Continuing Disability Review Originating Date (MMCCYY)	203-208
CDIE	CDR Ending Date (MMCCYY)	209-214
RDI	Reason for Disability Review	215
IDR	Results of Disability Review or Mailer	216

	Description	Position
DDT	Disability Diary Type	217
SDIG	Secondary Diagnosis Type	218-221
RBC	Regulation Basis Code	222-224
APS	Appeals Decision Date (MMCCYY)	225-230
WRC	Mailer Fiscal Year Indicator	231
MMI	Mailing Method Indicator	232
DICM	Date of Initial Mailer (MMCCYY)	233-238
DFCM	Date of Follow up Mailer (MMCCYY)	239-244
CMFC	Follow up Mailer Code	245
	Fields with century added and new fields added	

5.3.8. Workers Compensation Offset File (WCOFF)

This file contains records for 100 percent of all SSNs who receive Workers Compensation. It is prepared quarterly by ORES and is used primarily by OD. The selection criteria are:

- AGE < 66 and TAC (1-bit) = 1; and
- OFC = G, N, W, or D; and
- If the above criteria are satisfied and LAF = T, U, or X7, then DOCA is in current year.

File Characteristics

- Record length = 145
- Blocksize = 32625

Output Record Format:

	Description	Position
CAN	Claim Account Number	1-9
BIC	Beneficiary Identification Code	10-11
LAF	Ledger Account File	12-13
CIS1	Computational Insured Status Code (2-Bit is 1 or 0)	14
OFC	Offset Code	15
OED	Offset Entitlement Date (MMCCYY)	16-21
OTD	Offset Termination Date (MMCCYY)	22-27
OFA	Offset Amount (\$\$\$\$c)	28-32
ACE	Average Earnings (\$\$\$\$)	33-37
OC	Payment Service Center Code	38
SASP	State Abbreviation for State Payment	39-40
SCC	State/County Code	41-45
ZIP	ZIP Code	46-50
OFT	Offset Type Code	51-52
DOB	Date of Birth (MMCCYY)	53-58
DOEC	Date of Current Entitlement (MMCCYY)	59-64
DOCA	Date of Credit Action (MMCCYY)	65-70
DOST	Date of Suspension/Termination (MMCCYY)	71-76
PIA	Primary Insurance Amount (\$\$\$\$c)	77-81
MBC	Monthly Benefit Credited (\$\$\$\$c)	82-86
FMAX	Family Maximum (\$\$\$\$c)	87-91
BLIC	Black Lung Identification Code	92-93
bled	Black Lung Entitlement Date (MMCCYY)	94-99
BLES	Black Lung Entitlement Status	100-102

	Description	Position
BLIP	Black Lung Individual Payment	103-106
TOC	Type of Claim	107
BPD	Benefit Paid Designation Indicator	108
DDO	Date of Disability Onset (MMCCYY	109-114
SISC	Security Income Status Code	115
HENC	Health Insurance Entitlement Code	116
SENC	Supplemental Medical Insurance Entitlement Code	117
TPB	Total Periodic Benefits (\$\$\$\$c)	118-122
TWCB	Total Workmen's Comp. Benefits (\$\$\$\$c)	123-127
CWCO	Current Workmen's Comp. Offset (\$\$\$\$c)	128-132
CWCD	Current Workmen's Comp. Date (\$\$\$\$c)	133-138
DIG	Diagnosis Code	139-142
AGE	Age	143-145

5.3.9. Social Security Disability Beneficiaries File (DBF)

This file is a 100 percent sample of DI recipients. It is produced semi-annually for use within ORES to answer ad hoc questions about DI that come into SSA. The selection criteria are:

- No advanced filers (PSC 8-bit=0); and
- LAF = C, D, S, U, or E; and
- One of the following:
 - TOB = A and TOC=5 or 6;
 - TOB = C and TOC=3, 4 or 7;
 - TOB = W.

File Characteristics

- Record length = 80
- Blocksize = 32720

Record Format

	Description	Position
CAN	Claim Account Number	1-9
DOC	District Office Code	10-12
SCC	State County Code	13-17
ZIP	Postal ZIP Code	18-22
BIC	Beneficiary Identification Code	23-24
LAF	Ledger Account File	25-26
DOB	Date of Birth (MMDDCCYY)	27-34
DOEC	Date of Current Entitlement (MMCCYY)	35-40
SEX	Sex of Beneficiary	41
SROP	Sex and Race of Primary	42
MBC	Monthly Benefit Credited (\$\$\$c)	43-47
TOC	Type of Claim	48
DIG	Diagnostic Code	49-52
SIFT	Security Income File Type	53
SISC	Security Income Status Code	54
HENC	Hospital Insurance Entitlement Code	55
SENC	Medical Insurance Entitlement Code	56
TOP	Type of Payee	57
CC	Custody Code	58
PIA	Primary Insurance Amount (\$\$\$c)	59-63
NDIB	Number of Disability Data Fields	64-65
NDOED	Number of Disability Entitlements	66
SDIG	Secondary Diagnosis Code	67-70

5.3. MBR-Based Extracts

	Description	Position
BOAN	Beneficiaries Own Account Number	71-79
DAA	Drug Addiction/Alcoholism Code	80

5.3.10. One Percent OACT CAN File (CAN)

This is a one percent extract of the MBR containing approximately 50 variables. It is used primarily by OACT to prepare cost estimates of legislative proposals. It is also used by ORES to answer ad hoc questions. The selection criteria are:

- One percent random sample based on serial digit patterns of the CAN; and
- No advanced filers (PSC 8-bit=0) or PIC=G.

File Characteristics

- Record length = 181
- Blocksize = 32580

Record Format

	Description	Position
CAN	Claim Account Number	1-9
PIA	Primary Insurance Amount (\$\$\$\$c) (Governing PIA)	10-14
PIFC	Primary Insurance Factor Code	15
SC	State Code	16-17
FMAX	Family Maximum (\$\$\$\$c)	18-22
TOM	Type of Maximum	23
HAS	Historical SMI Amount (\$\$\$\$c)	24-27
BIC	Beneficiary Identification Code	28-29
LAF	Ledger Account File	30-31
DOB	Date of Birth (MMDDCCYY)	32-39
DOEI	Date Initial Entitlement (MMCCYY)	40-45
DOEC	Date of Current Entitlement (MMCCYY)	46-51
DOST	Date of Suspense or Termination (MMCCYY)	52-57
SEOB	Sex of Beneficiary (M, F, b)	58
TOC	Type of Claim	59
SROP	Sex and Race of Primary	60
BRF	Beneficiary Reduction Factor	61-63
DODP	Date of Death of Primary (MMCCYY)	64-69
SISC	Security Income Status Code	70
OFC	Offset Code	71
OFT	Offset Type Code	72-73
OTD	Offset Termination Date (CCYY)	74-77
ELY	Eligibility Year (CCYY)	78-81
DDO	Date of Disability Onset (MMCCYY)	82-87
RRC	Railroad Code	88

	Description	Position
OTBIC	Other Beneficiary Identification Code	89-90
OTDOE	Other Date of Entitlement (MMCCYY)	91-96
OTPIA	Other Primary Insurance Amount (\$\$\$\$c)	87-101
LEMBA	Larger Excess Monthly Benefit Amount (\$\$\$\$c)	102-106
SAMBA	Smaller Actuarial Reduced MBA (\$\$\$\$c)	107-111
LFMBA	Larger Full Monthly Benefit Amount (\$\$\$\$c)	112-116
DESC	Dual Entitlement Status Code	117
MBA	Monthly Benefit Amount (\$\$\$\$c)	118-122
IME	Indexed Monthly Earnings (\$\$\$)	123-126
LESC	Lump Sum Entitlement Code	127
HENC	Health Insurance Entitlement Code	128
RHC	Rounding History Code	129
HOC	Health Insurance Option Code	130
SOC	Supplemental Medical Insurance Option Code	131
BOAN	Beneficiary's Own Account Number	132-140
BPC	Benefit Payment Code	141
CRIM-C	Cumulative Retirement Increment Months	142-143
LSSC	Lump Sum Status Code	144
DOBP	Date of Birth of Primary (MMCCYY)	145-150
OTAN	Other account number	151-159
DAA	Drug and Alcohol Addition	160
DOES	Date of entitlement to SMI, (MMCCYY)	161-166
PCI	Payment Cycle Indicator	167
PCCR	Payment Cycle Change Indicator	168
SMI	Premium Amount Collectable (\$\$\$\$c)	169-172
RFST	Reason for Suspense or Termination (first three positions only)	173-175
DOED	Date of entitlement to disability (MMCCYY)	176-181

5.3.11. One Percent OACT BOAN/OTAN/XRAN File (BOAN)

This is a one percent extract of the MBR that is very similar to the MBR 1% file. It is used primarily by OACT for developing actuarial estimates. The selection criteria are:

- One percent random sample based on serial digit patterns of the BOAN, OTAN or XRAN; and
- No advanced filers (PSC 8-bit=0).

File Characteristics

- Record length = 193
- Blocksize = 32617

Output Record Format

Same as One Percent OACT CAN file (Subsection 5.3.10, page 226), but with three additional fields:

Description	Position
Same as One Percent OACT CAN file	1-181
XTRC	182
XRAN	183-191
XBIC	192-193

5.3.12. OACT Totalization File (TOTL)

This is an extract from the MBR of all individuals in current pay who have lived in two or more countries. This file is used primarily for issues on benefit payment to foreign resident aliens. The selection criteria are:

- All current, deferred, and conditional payment status beneficiaries, and Railroad Retirement beneficiaries (LAF=C, D, E, S) or those whose date of termination (DOST) is greater than 1997 (year before extraction); and
- One of the following:
 - SC of either SCC or BSCC = 54-63; or
 - TSC not blank; or
 - NATWH>0.

File Characteristics

- Record length = 148
- Blocksize = 32708

Record Format

	Description	Position
CAN	Claim Account Number	1-9
SR0P	Sex and Race of Primary	10
OC	Office Code	11
DOBP	Date of Birth of Primary (MMCCYY)	12-17
TSC	Totalization Status Code	18-19
TCC	Totalization Country Code	20
DQC	Domestic QCs	21-23
IQC	International QCs	24-26
TDM	Totalization Divisor Months (numeric)	27-29
PIFC1	Primary Insurance Factor Code One (Last)	30
PIA1	Primary Insurance Amount (\$\$\$c) One (Last)	31-35
PIFC2	Primary Insurance Factor Code Two (Next to last)	36
PIA2	Primary Insurance Amount (\$\$\$c) Two(Next to last)	37-41
SCC	State/County Code	42-46
CAD	Change of address date (MMCCYY)	47-52
BIC	Beneficiary Identification Code	53-54
LAF	Ledger Account File	55-56
DOB	Date of Birth (MMDDCCYY)	57-64
DOEI	Date of Initial Entitlement (MMCCYY)	65-70
DOEC	Date of Current Entitlement (MMCCYY)	71-76

	Description	Position
DOF	Date of Filing (MMCCYY)	77-82
DOST	Date of Suspension/Termination (MMCCYY)	83-88
DOCA	Date of Credit Action (MMCCYY)	89-94
SEX	Sex of Beneficiary (M, F, U)	95
TOC	Type of Claim	96
NATWH	Number of Alien Tax Withholding Fields	97-98
BOAN	Beneficiary's Own Account Number	99-107
BSCC	Beneficiary's State/County Code	108-112
DESC	Dual Entitlement Status Code	113
ASD	Alien Start Date (MMCCYY)	114-119
ASTD	Alien Stop Date (MMCCYY)	120-125
AWSC	Alien Withholding Status Code	126
AMWA	Alien Monthly Withholding Amount (\$\$\$c)	127-131
AOWS	Alien Ongoing Withholding Status	132
AEC	Alien Exemption Code	133
ACOC	Alien Country of Citizenship	134-136
ATR	Alien Tax Withholding Rate	137-140
COR	Country of Residence	141-143
MBC	Monthly Benefit Credited (\$\$\$c)	144-148

5.3.13. OACT Windfall Elimination Provision File (WIND)

This file is a 100 percent extract of all individuals who are eligible for both federal government pensions and Social Security Retirement benefits. OACT uses this file to examine the policy for prorating SSA benefits in these situations. The selection criteria are:

- LAF=C, D, E, S or AD; and
- PIFC (of governing PIA)=5, V or NANND>0 or OFC=G.

File Characteristics

- Record length = 128
- Blocksize = 32640

Record Format

	Description	Position
CAN	Claims account number	1-9
PIA	Governing primary insurance amount	10-14
PIFC	Primary insurance factor code	15
SC	State code	16-17
FMAX	Family maximum	18-22
BIC	Beneficiary identification code	23-24
LAF	Ledger account file	25-26
DOB	Date of birth (MMDDYYYY)	27-33
DOEC	Date of current entitlement	34-37
SEOB	Sex of beneficiary	38
BRF	Beneficiary reduction factor	39-41
ELY	Eligibility year	42-43
YOC	Years of coverage	44-45
OTBIC	Other beneficiary identification code	46-47
OTDOE	Other date of entitlement	48-51
OTPIA	Other primary insurance amount	52-56
LEMBA	Larger excess monthly benefit amount	57-61
SAMBA	Smaller actuarially reduced MBA	62-66
DESC	Dual entitlement status code	67
TOC	Type of claim	68
MBA	Monthly benefit amount	69-73
BOAN	Beneficiary's own account number	74-82
OFC	Offset code	83
OFA	Offset amount	84-88
OED	Offset effective date	89-92
OTD	Offset termination date	93-96

	Description	Position
DOBP	Date of birth of the primary (MMYY)	97-101
ASCD	Annuity source code	102-103
AELD	Annuity eligibility date	104-107
AEFD	Annuity effective date	108-111
APA	Annuity pension amount	112-115
AMOW	Annuity total months of work	116-118
AMNC	Annuity months of non-coverage	119-121
NANND	Number of annuity fields	122
IME	Indexed monthly earnings	123-126
SASP	State abbreviation for state payment	127-128

5.3.14. ZIP Extract for S.G. (ZIP)

This is a 100 percent sample containing approximately 48 million records with a limited number of variables. The small record size makes this a convenient file to work in situations where a 100 percent sample is necessary. SSA decided in the first half of 2000 to discontinue this extract. It used to be extracted quarterly.

The selection criteria are:

- LAF=C, D, E, S or U; and
- No advanced filers (PSC 8-bit=0).

File Characteristics

- Record length = 57
- Blocksize = 27987

Output Record Format

	Description	Position
ZIP	Post Office ZIP Code	1-5
SCC	State and County Code	6-10
LAFS	Ledger Account File, Status	11
TOP	Type of Payee	12
SAC1	Special Action Code 1 (8-bit, 0, 1)	13
TOC	Type of Claim	14
MBC	Monthly Benefit Credited (\$\$\$c)	15-19
SEX	Sex of Beneficiary (M, F, b)	20
SROP	Race of Primary (W, B, O, U)	21
AGE	Age as of extraction month	22-24
DOC	District Office Code	25-27
DDCO	Direct Deposit Code	28
ZIPADD	ZIP Code Add On	29-32
GSCC	Generated State/County Code	33-37
CAN	Claim Account number	38-46
BIC	Beneficiary Identification Code	47-48
BOAN	Beneficiary Own Account Number	49-57

5.3.15. Administrative Disallowance/Denial Extract (DDD)

All month codes should be represented by the standard one-character month code (A-L).

	Description	Position
RIC	Record Identification Code ¹	1
CN	Claim Number	2-10
BIC	Beneficiary Identification Code ²	11-13
TOC	Type Of Claim ³	14
LOD	Level Of Denial ^{12, 13}	15
OLOD	Old LOD ^{11, 14}	16
ATC	Action Type Code	17
AJDC	Action Job/Date Code	18-20
SEX	Sex Of Beneficiary ^{4, 13}	21
RACE	Race Of Primary ⁵	22
DOB	Date Of Birth Of Beneficiary (CCYYMDD) ¹³	23-29
DOA	Date Of Action (CCYYM) ⁶	30-34
DOF	Date Of Filing (CCYYM) ¹³	35-39
DRD	Debit Processing Date (CCYYM) ¹³	40-44
LSI	Lump Sum Indication ⁷	45
OC	Office Code	46
RDD	Reason For Disallowance and Denial	47-49
ORDD	Old RDD ^{13, 14}	50-52
PDOC	Payment District Office Code	53-55
PSCC	Payment State and County Code	56-60
UPDATE-SW	Update Switch ⁸	61
DDO	Date Of Disability Onset (CCYYM) ^{12, 13}	62-66
ODDO	Old DDO (CCYYM) ^{11, 13}	67-71
DOBP	Date Of Birth of Primary (CCYYMDD)	72-78
DODP	Date Of Death of Primary (CCYYM)	79-83
BDC	Basis for Denial Code ^{12, 13}	84-85
OBDC	Old BDC ^{11, 13}	86-87
LAF	Ledger Account File ⁹	88-89
OLAF	Old LAF ^{13, 14}	90-91
PZIP	Payment Postal ZIP or consular Code	92-96
DLM	Date 20/40 Last Met (CCYYM) ¹³	97-101
QCR	Quarters of Coverage Required	102-103
QCE	Quarters of Coverage Earned	104-105
SOP	Sex Of Primary ¹⁰	106
PIC	Payment Identification Code	107-109
LSFD	Lump-Sum Filing Date (CCYYM)	110-114
LSDC	Lump-Sum Disallowance Code	115-117
PLAP	Payment Last Action Processed	118

	Description	Position
DOEC	Date Of Entitlement Current (CCYYM) ¹³	119-123
COD	Current (CMA) Operating Date (DD)	124-125
NDIB	Number of Disability Data Fields ¹³	126
ONDIB	Old NDIB ^{13, 14}	127
CLSDIS	LS Claimant Disallowed	128
OCLSDIS	Old CLSDIS (from prior MBR)	129
SSC	Search Sort Code	130
SSSC	Subsearch Sort Code	131

Footnotes

¹ RIC

<u>Code</u>	<u>Description</u>
J	Disallowance
K	Denial

² BIC

Three digit BIC
For lump-sum disallowance, the BIC is blank.

³ TOC

For lump-sum disallowance, the TOC is blank.

⁴ Sex of Beneficiary

This item is not required for minor and/or student child claims.

<u>Code</u>	<u>Description</u>
M	male
F	female
U	unknown

⁵ Race

<u>Code</u>	<u>Description</u>
W	white
B	black
O(alpha)	other race
U	race unknown

⁶ DOA

Generate the date of operation in (and for) which the record is being created. (Run month.)

⁷ LSI

<u>Code</u>	<u>Description</u>
L	Lump-sum
Blank	Not a lump-sum

⁸ UPDATE-SW

<u>Code</u>	<u>Description</u>
1	Cannot meet update blank can meet update

⁹ LAF

For all actions except lump-sum actions. This data element should contain the LAF code resulting from the action being recorded.

¹⁰ SOP

<u>Code</u>	<u>Description</u>
-------------	--------------------

M	male
---	------

F	female
---	--------

U	unknown
---	---------

¹¹ ODDO, OLOD, OBDC

Extract from last disability data field on old MBR.

¹² DDO, LOD, BDC

Extract from last disability data field on new MBR.

¹³ Not available for lump-sum disallowances.

¹⁴ ORDD, OLAF, ONDIB

Extract from fixed beneficiary data on old MBR.

5.3.16. OACT AERO File Extract (AERO)

The selection criteria are:

- One percent sample based on serial digit patterns of the CAN; and
- One of the following:
 - PIED = '0197' and TOM O/T 'D' and RFCP = (blank, 1, 2, 3, 5, 7)
 - PIED = '1296' and TOM O/T 'D'
 - EFD = '0197' and RFD O/T 'T'
 - EFD = '1296' and RFD O/T 'T'
 - TOC O/T (5-7, R)

File Characteristics

- Record length = 63
- Blocksize = 32760

Record Format

	Description	Position
CAN	Claims Account Number	1-9
BIC	Beneficiary Identification Code	10-11
DOB	Date of Birth (MMCCYY)	12-19
SEOB	Sex of Beneficiary	20
TOC	Type of Claim	21
RFCP	Reason of Change in PIA (01/97)	22
PIA	Primary Insurance Amount (\$\$\$\$c)(01/97)	23-27
PIFC	Primary Insurance Factor Code (01/97)	28
IME	Indexed Monthly Earnings (\$\$\$\$) (01/97)	29-32
PIA	Primary Insurance Amount (\$\$\$\$c) (12/96)	33-37
PIFC	Primary Insurance Factor Code (12/96)	38
IME	Indexed Monthly Earnings (\$\$\$\$)(12/96)	39-42
MBA	Monthly Benefit Amount (\$\$\$\$c)(01/97)	43-47
RFD	Reason for Deduction (01/97)	48
MBA	Monthly Benefit Amount (\$\$\$\$c)(12/96)	49-53
RFD	Reason for Deduction (12/96)	54
DOPB	Date of Birth of Primary (MMDDCCYY)	55-62
DESC	Dual Entitlement Status Code	63

5.3.17. Widow's Indexing File Extract (WIDOW)

The selection criteria are:

- First position of BIC is "D" or "W" or "A"; and
- PBP is not blank in at least one of the four most recent PIA history subrecords or BPP not blank recent PIA history subrecords or BPP not blank; and
- PSC (8-bit)=0: no advance filing

File Characteristics

- Record length = 196
- Block size = 31,948

Record Format

	Description	Position
CAN	Claim account number	1-9
BIC	Beneficiary Identification Code	10-11
DOB	Date of Birth (MMDDCCYY)	12-19
DOEC	Date of Current Entitlement (MMCCYY)	20-25
DOBP	Date of Birth of Primary (MMCCYY)	26-33
DODP	Date of Death of Primary (MMCCYY)	34-39
MBA	Monthly Benefit Amount (\$\$\$\$c)	40-44
LFMBA	Larger Full Monthly Benefit Amount (\$\$\$\$c)	45-49
SAMBA	Smaller Actuarial MBA (\$\$\$\$c)	50-54
LEMBA	Larger Excess MBA (\$\$\$\$c)	55-59
DESC	Dual Entitlement Status Code	60
First PIA History Subrecord:		
PIED	PIA Effective Date (MMCCYY)	61-66
PIFC	PIA Factor Code	67
PIA	Primary Insurance Amount (\$\$\$\$c)	68-72
AIME	Average Index Monthly Earnings (\$\$\$\$)	73-76
ELY	Eligibility Year (CCYY)	77-80
PBP	PIA Benefits Points	81
RFCP	Reason for Change in PIA Amount	82
PIFC2	PIA Factor Code 2	83-84
Second PIA History Subrecord:		
PIED	PIA Effective Date (MMCCYY)	84-89
PIFC	PIA Factor Code	90
PIA	Primary Insurance Amount (\$\$\$\$c)	91-95
AIME	Average Index Monthly Earnings (\$\$\$\$)	96-99
ELY	Eligibility Year (CCYY)	100-103
PBP	PIA Benefits Points	104

	Description	Position
RFCP	Reason for Change in PIA Amount	105
PIFC2	PIA Factor Code 2	106
Third PIA History Subrecord:		
PIED	PIA Effective Date (MMCCYY)	107-112
PIFC	PIA Factor Code	113
PIA	Primary Insurance Amount (\$\$\$\$c)	114-118
AIME	Average Index Monthly Earnings (\$\$\$\$)	119-122
ELY	Eligibility Year (CCYY)	123-126
PBP	PIA Benefits Points	127
RFCP	Reason for Change in PIA Amount	128
PIFC2	PIA Factor Code 2	129
Fourth PIA History Subrecord:		
PIED	PIA Effective Date (MMCCYY)	130-135
PIFC	PIA Factor Code	136
PIA	Primary Insurance Amount (\$\$\$\$c)	137-141
AIME	Average Index Monthly Earnings (\$\$\$\$)	142-145
ELY	Eligibility Year (CCYY)	146-149
PBP	PIA Benefits Points	150
RFCP	Reason for Change in PIA Amount	151
PIFC2	PIA Factor Code 2	152
LAF	Ledger Account File	153-154
DOEI	Date of Initial Entitlement (MMCCYY)	155-160
DOED	Date of Entitlement to Disability (MMCCYY)	161-166
DDO	Date of Disability Onset (MMCCYY)	167-172
DDBC	Date of Disability Benefits Cessation (MMCCYY)	173-178
DOST	Date of Suspension or Termination (MMCCYY)	179-184
OTAN	Other Account Number	185-193
OTBIC	Other BIC	194-195
BPP	Benefit PIH Pointer	196

Note: Columns 61-152 repeat fields from the last four PIA history subrecords.

5.4. MBR 810/811 Record Format

This section is relevant for custom extracts, which researchers may request if none of the recurring extracts contain the desired information. Custom extracts result from a finder process, which generates a large and predefined set of data elements for a specified list of SSNs. The record format of those output files is the so-called 810 or 811 format.

For technical reasons related to the finders process, ORES analysts distinguish 810 and 811 formats, but they are identical. There are two versions of the 810/811 format. The first format, documented immediately following this paragraph, does not contain beneficiary telephone number or mailing address information. The second format contains everything of the first format, plus additional fields with (very highly confidential) information on beneficiaries' telephone number and mailing address. The additional fields are described following the table below.

	Description	Position
	Finder Data	
CAN	Claim Number	1-9
JCN	Job Control Number	10-12
SSC	Search Sort Code	13
ORIG.-SSN	Recirculated Account Number	14-22
ADD-KEY	Address Request Key	23-24
INT-NUM	Internal Number	25-29
	User Data	30-80
PCOC	Office Code	81
	Blank	82
	Fixed Account Data	
TAC	Type of Award Code	83
SP	Sex of Primary	84
RP	Race of Primary	85
RCC	Recomputation Code	86
ERC	Earnings Recomputation Code	87-90
RRC	Railroad Code	91
LUM	Last Update Month	92-93
CIS1	Computation & Ins Status Code 1	94
CIS2	Computation & Ins Status Code 2	95
MIL	Military Service Code	96
	Vital Statistics Of Primary	
DOBP	Date of Birth of Primary(MMDDCCYY)	97-104
DODP	Date of Death of Primary (MMCCYY)	105-110
LSPA	Lump Sum Paid Amount (\$\$\$cc)	111-115
FIP	First Initial of Primary	116

	Description	Position
MIP	Middle Initial of Primary	117
LNP	Last Name of Primary	118-129
	Primary Insurance History (data occur 30 times with 27 characters in each occurrence)	
PIED	Primary Insurance Effective Date (MMCCYY)	130-135
PIFC	Primary Insurance Factor Code	136
PIA	Primary Insurance Amount (\$\$\$\$c)	137-141
RFCP	Reason for Change in PIA	142
FMAX	Family Maximum (\$\$\$\$c)	143-147
TOM	Type of Maximum	148
IME	Avg. Indexed Monthly Earnings (\$\$\$\$)	149-152
ELY	Eligibility Year (ccyy)	153-156
	Primary Insurance History Fields 2-30	157-939
	Fixed Payment Data	
PIC	Payment Identification Code	940-941
PNOB	Number of Beneficiaries in Payment	942-943
MPA	Monthly Payment Amount (\$\$\$\$c)	944-948
PSC	Payment Status Code	949
DOC	District Office Code	950-952
SCC	State and County Code	953-957
ZIP	ZIP Code	958-962
	Blank	963-966
CAD	Change of Address Date (MMDDCCYY)	967-974
	Bank Information Data	
RTN	Routing and Transit Number	975-982
CKDC	Check Digit Code	983
DDCO	Direct Deposit Code	984
DAN	Depositor's Account Number	985-1001
BDCD	Bank Data Change Date (MMDDCCYY)	1002-1009
	Lump Sum Payment Data	
LSSC	Lump Sum Status Code	1010
LSFD	Lump Sum Filing Date (MMCCYY)	1011-1016
LSAP	Lump Sum Amount Paid (\$\$\$\$c)	1017-1021
LSDC	Lump Sum Disallowance Code	1022-1024
	Fixed Benefit Data	
BIC	Beneficiary Identification Code	1025-1026
LAF	Ledger Account File	1027-1028
DOB	Date of Birth (MMDDCCYY)	1029-1036
BGN	Beneficiary Given Name	1037-1046
BMI	Beneficiary Middle Initial	1047
BLN	Beneficiary Last Name	1048-1059
DOEI	Date of Initial Entitlement (MMCCYY)	1060-1065
DOEC	Date of Current Entitlement (MMCCYY)	1066-1071

	Description	Position
CEC	Current Entitlement Code	1072
DOF	Date of Filing (MMCCYY)	1073-1078
BPC	Benefit Payment Code	1079
DRD	Debit Processing Date (MMDDCCYY)	1080-1087
DOST	Date of Suspension/Termination (MMCCYY)	1088-1093
DOCA	Date of Credit Action (MMCCYY)	1094-1099
SEX	Beneficiary Sex	1100
ARFC	Actuarial Reduction Factor Code	1101
RELATC	Relationship Code	1102
SAC1	Special Action Code	1103-1104
MBP	Monthly Benefit Payment (\$\$\$c)	1105-1109
TOC	Type of Claim	1110
RDD	Reason for Disallowance/Denial	1111-1113
HI-CONTS-PRD	Date of HI-Conts. Period (MMCCYY)	1114-1119
SMI-CONTS-PRD	Date of SMI-Conts.Period (MMCCYY)	1120-1125
	Widows Carryover Reduction Data	
PIART	Primary Ins. Amount Reduction Type	1126
PIARD	PIA Reduction Date of Entitlement (MMCCYY)	1127-1132
MAR2A	Reduction Amount for Method 2A (\$\$\$c)	1133-1136
WOBV	Widows/Widowers Original Benefit Amount (\$\$\$c)	1137-1141
MAR2B	Reduction Amount for Method 2B (\$\$\$c)	1142-1145
	Hospital Insurance Data (HI data reflect last occurrence)	
HI-START	Date of HI Enrollment Start(MMCCYY)	1146-1151
HI-TERM	Date of HI Termination (MMCCYY)	1152-1157
HI-BASIS	Hospital Ins. Basis Type	1158
HI-TYPE	Hospital Ins. Type	1159
HI-PERIOD	Hospital Ins. Enrollment Period Type	1160
HI-FILING	Date of HI Filing (MMCCYY)	1161-1166
HI-DELAYSN	HI Delayed Enrollment Reason Type	1167
HI-NONCOVRSN	HI Non Coverage Reason Type	1168
HI-EQRELIEF	HI Equitable Relief Status	1169
HI-NON-EQRELST	HI Non-Equitable Relief Start(MMCCYY)	1170-1175
	Hospital Insurance Third Party Data HI third party data reflect last occurrence	
HITP-START	HI Third Party Start Date (MMCCYY)	1176-1181

	Description	Position
HITP-STOP	HI-Third Party Stop Date (MMCCYY)	1182-1187
HITP-CODE	Hospital Ins. Third Party Code	1188-1190
HITP-CATEGORY	HI Third Party Category Type	1191
HITP-BRM	HI Third Party Rate Multiplier (#.##)	1192-1194
	Supplemental Medical Insurance (SMI data reflect last occurrence)	
SMI-START	Date of SMI Enrollment Start(MMCCYY)	1195-1200
SMI-TERM	Date of SMI Termination (MMCCYY)	1201-1206
SMI-BASIS	Supplemental Medical Ins. Basis Type	1207
SMI-PERIOD	SMI Enrollment Period Type	1208
SMI-FILING	Date of SMI Filing (MMCCYY)	1209-1214
SMI-DELAYRSN	SMI Delayed Enrollment Reason Type	1215
SMI-NON-COVRSN	SMI Non Coverage Reason Type	1216
SMI-EQRELIEF	SMI Equitable Relief Status	1217
SMI-NON-EQRELST	SMI Non Equitable Relief Start Date (MMCCYY)	1218-1223
	Supplemental Medical Insurance Third Party (SMI data reflect last occurrence)	
SMTP-START	SMI Third Party Start Date(MMCCYY)	1224-1229
SMTP-STOP	SMI Third Party Stop Date (MMCCYY)	1230-1235
SMTP-CODE	SMI Third Party Identification Code	1236-1238
SMTP-CATEGORY	SMI Third Party Category Type	1239
SMTP-BRM	SMI Third Party Rate Multiplier (#.##)	1240-1242
	Disability Investigation Data	
TWPSD	Trial Work Period Start Date (MMCCYY)	1243-1248
TWPESM	TWP Elapsed Service Months	1249
TWPDD	TWP Diary Date (MMCCYY)	1250-1255
TWPC	TWP Code	1256
MRED	Medical Re-examination Date (MMCCYY)	1257-1262
PWASD	Prior Work Activity Start Date(MMCCYY)	1263-1268
PWAED	Prior Activity End Date (MMCCYY)	1269-1274
CWSD	Current Work Start Date (MMCCYY)	1275-1280
CDIOD	CDI Origination Date (MMCCYY)	1281-1286
CDIED	CDI Ending Date (MMCCYY)	1287-1292
WKE	Work Events (MMCCYY)	1293-1298
IVR	Indication of Vocational Rehab	1299
RDI	Reason for Disability Investigation	1300
MDR	Medical Diary Reason	1301

	Description	Position
DPM	Disability Permanent	1302
IDR	Investigation of Disability Results	1303
DIG	Diagnosis	1304-1307
SDIG	Secondary Diagnosis Code	1308-1311
DDT	Disability Diary Type	1312
	Representative Payee Data	
DOS	Date of Selection (MMCCYY)	1313-1318
TOP	Type of Payee	1319
CC	Custody Code	1320
GS	Guardian Status	1321
YIPS	Year Initial Payee Selected (ccyy)	1322-1325
	Delay Retirement Credit Data	
DRCY	Delayed Retirement Comp Year (ccyy)	1326-1329
CRIMC	Current Cumulative Retirement Increment Months	1330-1331
PIARA	PIA Reduction Amount (\$\$\$\$c)	1332-1336
	Offset Data	
OED	Offset Effective Date (MMCCYY)	1337-1342
OTD	Offset Termination Date (MMCCYY)	1343-1348
OFC	Offset Code	1349
OFA	Offset Amount (\$\$\$\$c)	1350-1354
ACE	Average Current Earnings (\$\$\$\$c)	1355-1360
	Black Lung Data	
BLAN	Black Lung Account Number	1361-1369
BLIC	Black Lung Identification Code	1370-1371
	Security Income Data	
RZIP	Residence ZIP Code	1372-1376
	Blank	1377-1380
SIFT	Security Income File Type	1381
SIED	Security Income Entitlement Termination Date (MMCCYY)	1382-1387
SLAC	SSI Living Arrangement Code	1388
SISC	Security Income Status Code	1389
XIXEC	Title XIX Entitlement Code	1390
	Railroad Data	
RLCN	Railroad Claim Number	1391-1401
RLSC	Railroad Status Code	1402
	Beneficiary Reference Data	
BOAN	Beneficiary's Own Account No.	1403-1411
	Date Of Death Data	
BDOD	Beneficiary Date of Death (MMDDCCYY)	1412-1419
	Number Of Disability Fields	
NODF	Number of Disability Fields	1420-1421

	Description	Position
	Disability Data (may occur up to 12 times 64 characters each occurrence)	
DLM	Date 20/40 Last Met (MMCCYY)	1422-1427
DDO	Date of Disability Onset (MMDDCCYY)	1428-1435
DAC	Disability Award Code	1436
LOD	Level of Denial Code	1437
DOED	Date of Entitlement to DIB (MMCCYY)	1438-1443
DDBC	Date of Disability Benefit Cessation (MMCCYY)	1444-1449
DSD	Disability Adjudication Date (MMCCYY)	1450-1455
HDD	Hearing Decision Date (MMCCYY)	1456-1461
SDS	Substantial Gainful Activity Disability Suspension (MMCCYY)	1462-1467
ADC	Applicants Disability Cessation(MMCCYY)	1468-1473
APS	Appeals (MMCCYY)	1474-1479
BDC	Basis for Denial Code	1480-1481
CDR	Cessation of Disability Reason	1482
CSA	Current Substantial Gainful Activity	1483
PRY	Pending Appeals Review	1484
DAA	Drug Addiction/Alcoholism Code	1485
	Disability Fields 2 through 12	1486-2189
	Cross Reference Data (May occurs 9 times 13 characters each occurrence)	
XRTC	Cross Reference Type Code	2190
XRAN	Cross Reference Account Number	2191-2199
XBIC	Cross Reference BIC	2200-2201
XOC	Cross Reference Office Code	2202
	XREF Fields 2 through 9	2203-2306
	Dual Entitlement Data (Occurs two times 50 characters each occurrence)	
TOD	Type of Dual Entitlement	2307
OTAN	Other Account Number	2308-2316
OTBIC	Other BIC	2317-2318
OTDOE	Other Date of Entitlement (MMCCYY)	2319-2324
OTPIA	Other PIA (\$\$\$\$c)	2325-2329
LFMBA	Larger MBA Reduced for FMAX (\$\$\$\$c)	2330-2334
OTRIA	Other Retirement Insurance Amount (\$\$\$\$c)	2335-2339
LEMBA	Excess Amount Payable on Larger MBA (\$\$\$\$c)	2340-2344
SFMBA	Smaller MBA Reduced for FMAX (\$\$\$\$c)	2345-2349
SAMBA	Smaller MBA Reduced for Maximum and Age (\$\$\$\$c)	2350-2354
DESC	Dual Entitlement Status Code	2355
OTOC	Other Office Code	2356
	Dual Entitlement Field 2	2357-2406

	Description	Position
	Citizenship Data (reflect last occurrence)	
CTZN-START	Citizenship Start Date (MMDDCCYY)	2407-2414
CTZN-STOP	Citizenship Stop Date (MMDDCCYY)	2415-2422
CTZN-COUNTRY	Citizenship Country	2423-2424
CTZN-PROOF	Citizenship Proof	2425
	Lawful Presence Data (reflect last occurrence)	
LWPR-START	LWPR Start Date (MMDDCCYY)	2426-2433
LWPR-STOP	LWPR Stop Date (MMDDCCYY)	2434-2441
LWPR-PERM-TYPE	LWPR Presence/Lawfully Admit Perm. Address	2442
LWPR-PROOF	LWPR-Proof	2443
LWPR-STATUS	LWPR-Status	2444
	Number of History Fields	
NOHF	Number of History Fields	2445-2446
	History Data (May occur up to 48 times 27 characters each occurrence)	
EFD	Effective Date (MMCCYY)	2447-2452
MBA	Monthly Benefit Amount (\$\$\$c)	2453-2457
HSA	Historical Supplement Premium Amount (\$\$c)	2458-2461
DDA1	Dimes Deduction Amount (c)	2462
DDA2	Dimes Deduction Amount (c)	2463
DDA3	Dimes Deduction Amount (c)	2464
RHC	Rounded History Code	2465
RFD	Reason for Deduction	2466
WIC	Work Indication Code	2467
BPD	Benefit Paid Designation	2468
MBC	Monthly Benefit Credited (\$\$\$c)	2469-2473
	History Fields 2 through 48	2474-3742
	Payment History Update Fields (occurs 3 times 22 characters each occurrence)	
YEAR	PHUS Year (ccyy)	3743-3746
ENT-AMT-PD	Entitlement Amount Paid (\$\$\$\$c)	3747-3752
ENT-AMT-NTPD	Entitlement Amount Not Paid (\$\$\$\$c)	3753-3758

5.4. MBR 810/811 Record Format

	Description	Position
TOT-ENT-AMT	Total Entitlement Amount (\$\$\$\$c)	3759-3764
	Payment History Update Fields 2 and 3	3765-3808

The table above describes the first version of the MBR 810/811 record format. The second version of the MBR 810/811 record format contains additional information on beneficiaries' telephone numbers and mailing addresses.

	Description	Position
	Standard 810/811 record as defined above	1-3808
BTC1	Beneficiary's Telephone Code 1	3809
BTN	Beneficiary's Telephone Number	3810-3822
FLOA	First Line of Address	3823
LLOA	Last Line of Address	3824
	PNA Line 1	3825-3846
	PNA Line 2	3847-3868
	PNA Line 3	3869-3890
	PNA Line 4	3891-3912
	PNA Line 5	3913-3934
	PNA Line 6	3935-3956
	Filler	3957-3960

6. Supplemental Security Record (SSR) File

6.1. The SSR Master File

6.1.1. Source of Data and File Structure

The SSR master file maintains information on all persons who have ever applied for Title XVI Supplemental Security Income (SSI) and persons who, prior to the implementation of the federal SSI program in 1974, received state benefits and were converted to the SSI program. Title XVI is a needs-based program that provides cash assistance to the elderly, the blind, and the disabled.

The file contains more than 43 million records that represent units under a Housed Under Number (HUN)—the SSN of the first eligible person in the unit. One record may cover up to three persons, so that the 43 million records cover over 65 million persons who are, or who have been, eligible for SSI benefits or who are ineligible spouses or parents of a beneficiary and living in the same household. Conversely, one person may appear on multiple records. For example, if a couple splits up and both the husband and wife receive benefits, the couple's record is terminated and two new records are established. Each person on the record can be identified by his/her own SSN held in the Person Account Number (PAN) data element.

A HUN unit may contain information on the following combinations of people, corresponding to the types of household composition that may appear on an SSR record:

- Individual;
- Individual and eligible spouse;
- Individual and ineligible spouse;
- Child;
- Child and one parent;
- Child and two parents.

SSI data are collected in SSA field offices using the Modernized Social Security Income Claims System (MSSICS). MSSICS maintains a database of information with more detail than the SSR record. In addition to collecting information about those who apply for SSI, as well as information about the parents if the claimant is a minor (under age 18), MSSICS collects information on people who are ineligible to collect benefits but who are part of a family in which someone does collect SSI (e.g., ineligible spouses, children, others). Information on the value of resources is kept on MSSICS to determine eligibility. Once eligibility is determined, the appropriate resource codes are set on the SSR.

The 831 Disability file maintains the results of disability determinations. An application for SSI disability benefits often results in an 831 record. Some of the SSR record information is passed to the 831 record and, after the adjudication is complete, certain 831 data elements are copied to the SSR record. Data elements that are common to the 831 and the SSR include PDX (DIBDIG) and SDX (DIBDIG2). Other SSR fields are derived from 831 information. These include DENCDE, DENIAL-JD, PSTAT, DISPAYCDE, and all the payment-related fields.

The SSR master file is stored as a direct-access database with a compressed hierarchical record structure. Every two years, the SSR is subject to a review at which time the record may be reformatted. The file is indexed by HUN, the primary identifier for each record or account. PAN identifies each person on the account and is often used as a secondary index. The HUN is the PAN of the first person on the record.

An SSN can be on more than one record. This happens for a number of reasons, which are explained below.

- An ineligible person (who was living with an eligible spouse or child) subsequently files for benefits. The old record is terminated and a new record is added. This occurs because the original record cannot be converted to reflect the new information. The resolution of the new application (approved or denied) has no effect on this process.
- An individual marries and a new record is created that has both persons (individual and spouse).
- A parent of an eligible child joins the child's household and a new record is created.
- A record has certain space limits. If these limits are exhausted, a new record will be required.

Each HUN record is divided into a series of groups of data elements containing information about one to three persons. Person 1 is the first eligible person, Person 2 is an ineligible spouse or ineligible father of Person 1, and Person 3 is an eligible spouse or ineligible mother of Person 1. Case data are at the household level whereas person data are specific to persons 1, 2, or 3. There will always be case data on an SSR record and there will always be Person 1 person data. If Person 1 is married to an eligible person, there will be data present for Person 1 and Person 3. PAYHIST data may be present many times for each beneficiary. Hence, data groups exist on the record once, once per person, or once per monthly payment. The data groups from which data elements in this user's manual were drawn are:

Case data groups:

CASE	HUN and type of household
CASE-PL	Appeals information
CASE-COMPSTAT	Current program category

Person data groups:	
INDIV-HDR	Beneficiary ID (PAN), demographics (SEX, RACE), program category (MFT), and earnings and resources
Variable person data groups:	
VDG1-APPL	Application data (alien data, application date, decision date, and denial codes)
VDG1-DIB	Disability data
VDG1-DIRDEP	Direct deposit code
VDG2-CURPAY	Mailing address—accurate for those in current pay
VDG3-IEARN	Earned income data
VDG3-IUNEARN	Unearned income data
VDG5-REPPAY	Representative payee type and code
VDG7-APPLADDR:	Residence address data
VDG9-CNTS	Number of payments
VDG9-PAYHIST	Payment amounts and related codes
VDG9-COMPHIST-CMNTH	Monthly amount due and paid for federal and federally-administered state SSI and fields that affect the amounts

This SSR data dictionary labels each data element as belonging to one data group. For example, the data element TOA (Type of Action) belongs to the CASE data group that includes record-level data elements. INDIV-HDR data group elements pertain to an individual person and include a person’s SSN (PAN), fields that explain the basis of the person’s inclusion on the record (Master File Type, MFT), and demographic information (sex, date of birth). The VDG3 data group includes information on earned income (IEAMT) and unearned income (IUEAMT) of beneficiaries.

Certain groups of data elements are not found on all records—they are present only on records that involve special situations. These “variable” groups have names that begin with VDG (for Variable Data Group) and may be present once for each person on the record. VDG1 refers to the primary person; VDG2 refers to an ineligible spouse or father of a child recipient; and VDG3 refers to an eligible spouse or mother of a child recipient. In this document, such data elements are labeled as “repeating” fields to indicate that they may occur multiple times. Further, within some VDG groups, some data elements repeat, or occur multiple times. For example, the data group VDG9 contains COMP-HIST (Computation History) and PAY-HIST (Payment History) subgroups of data elements. These special subgroups of variables are repeated for each month of benefits and show the history of one’s benefits. Extracts files are usually fixed-length records with predefined space for each data element. Extracts taken from the SSR contain blanks for VDG data elements that are not present.

6.1.2. Crosswalk of Research Subject and Data Elements

Below are several research subjects and some key SSR data elements that are of interest to each topic. Page numbers are given for each. The list includes the page number in the data dictionary and is intended for initial researcher guidance only; it is neither an exhaustive list of research topics nor an exhaustive list of SSR data elements that relate to these topics.

Appeals

PL-CDE Appeals Code.....	344
PL-APPEAL-JD Appeals Date	343

Awards

FIRST-PAY-DATE First Pay Date.....	308
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Computational Data/SSI Eligibility Status

EINCM Chargeable Earned Income Amount	299
UINCM Chargeable Unearned Income Amount	383
FEDAMT-CUR Federal Computational Amount for the current month.....	304
FEDAMT Federal Computational Amount for the following month.....	304
LIVF-1 Federal Living Arrangement for the current month	329
LIVF Federal Living Arrangement for the following month.....	329
PSTAT-CUR Payment Status for the current month	346
PSTAT Payment Status for the following month.....	346
SUPAMT-CUR State Computational Amount for the current month.....	379
SUPAMT State Computational Amount for the following month.....	379
STCONCATM State Concurrent Eligibility Indicator.....	372
OSCD State Living Arrangement for the following month.....	333
STOPACM State Optional Payment Code.....	374

Disability

DIBDIG Primary Disability Diagnosis Code	290
DIBDIG2 Secondary Disability Diagnosis Code.....	293
DISPAYCDE Disability Payment Code	296
DIS-ONST-JD Disability Onset Date	295

Income

IEAMT Amount of Earned Income or Earned Income Exclusion.....	310
IETYP Type of Earned Income and Earned Income Exclusions.....	314

IUEAMT	Amount of Unearned Income	317
IUETYP	Type of Unearned Income	322

Initial Claims

8080-JD	8080 Date (Initial Decision Date).....	260
APPL-JD	Application Date.....	275
CLM-FIL-JD	Date Claim Filed.....	278
DENCDE	Denial Code	286
DENIAL-JD	Denial Date	289
ELG-RD	Date of Current Eligibility.....	301

Payments

DDDPC	Direct Deposit Payment Code	282
FEDPMT	Federal Payment Amount	306
NOP-9	Number of Payments	332
STATPMT	State Payment Amount.....	370

Person Related Data

ALIEN	Alien Refugee Indicator	263
ALIEN-RD	Alien Residence Date	272
AA-ZIP	Applicant's Residence ZIP Code.....	262
BIRTH-JD	Date of Birth.....	277
DEATH-JD	Date of Death	283
HUN	Housed Under Number (SSN of Person 1).....	309
PAN	Persons Social Security Number	335
PDSCC	Residence State and County Code.....	340
PDZIP	Payee's Mailing ZIP Code.....	342
RACE	Race of Applicant/Recipient.....	352
STUDM	Student Indicator	378

Program Category/Household Composition

COMP-STAT-TOA	Computations Status Type of Action.....	280
MFT	Master File Type.....	331
TOA	Type of Action.....	381

Representative Payee Data

REPCUS	Representative Payee Custody Code	356
REPPAYTYP	Type of Representative Payee	358

6.1.3. Data Usage Issues

In this section, we discuss some data usage issues related to claimants identification; eligibility; benefit determination; deeming; payment versus eligibility; and monthly accounting, including adjustments for underpayments and overpayments.

Claimant Identification

Identifying a particular beneficiary or claimant requires looking in two fields for the particular SSN. SSR records hold up to three persons per record; each is identified in a PAN data element. There may be three PAN fields on a record.

HUN	Housed Under Number – SSN of the first household member
PAN	Social Security Number of Person

Types of Claimants

In identifying a particular type of beneficiary or claimant, users may need to account for several fields. Below are some fields to be particularly aware of:

TOA	Type of Action – program category of unit
MFT	Master File Type – program category of person
PSTAT	Payment Status – eligibility status

Eligibility

As explained in Section 2.2, eligibility is determined based on citizenship, income, financial resources, and age or disability. Data elements related to income and financial resources are listed under the next subheading; for other criteria, the following fields describe or affect a person's eligibility for SSI.

BIRTH-JD	Birth Date
ALIEN	Alien/Citizenship Status
DENCDE	Denial Code
PSTAT	Payment Status Code
STCONCATM	State Program Category Eligibility Code

Benefit Determination

The SSR contains a number of fields related to the amount of benefits received on a given account. Among those of interest are the following:

ESPER	Essential Person
LAF	Payment Status on MBR
CLM-FIL-JD	Claim Filed Date
DEEMAMT	Deemed Income Amount
DISPAYCDE	Disability Payment Code
DIS-ONST-JD	Disability Onset Date
EINCM	Earned Income Chargeable Amount
UINCM	Unearned Income Chargeable Amount
PDSCC	Residence State and County
IETYP	Earned Income Type Code
IEAMT	Earned Income Amount
IUETYP	Unearned Income Type Code
IUEAMT	Unearned Income Amount
FEDAMT	Federal Money Due Amount
SUPAMT	State Money Due Amount
FEDPMT	Federal Money Paid Amount
STATPMT	State Money Paid Amount
PSTAT	Payment Status Code

Deeming

The income and resources of people responsible for the welfare of an eligible individual are considered in determining the eligibility and payment amount for an SSI recipient. This process is called “deeming” and is based on the idea that those who have a responsibility for each other share their income and resources. It is not material whether money is actually provided to an eligible individual for deeming to apply. There are four types of situations where income and resources are deemed:

- From an ineligible spouse to an eligible individual living in the same household;
- From a parent to a child under 18 living in the same household;
- From a sponsor to an alien;
- From an essential person to an eligible individual living in the same household.

Income data elements are recorded for individuals responsible for the welfare of an eligible individual, except for sponsors to an alien, even if they themselves are not eligible.

Payment Versus Eligibility

Published data on caseloads reflect actual payments made during a specific month. SSI recipients are usually eligible in the month they apply, although actual payment is not made until all eligibility factors are met and an award is made. Therefore, eligibility usually occurs before actual payment, which could make counts based on eligibility (SSR data elements FEDAMT and SUPAMT) larger or smaller than corresponding counts based on actual payments (SSR data elements FEDPMT and STATPMT) received in any given year. This situation would affect disability cases more than aged cases, because it takes longer to establish disability.

Depending on the research objective, eligibility may be more or less appropriate than actual payment. The OASDI program has always based its caseload counts on the eligibility concept; however, since OASDI benefits are not based on reporting of current income, there is seldom much difference in that program between eligibility and actual payment. However, there are often differences between eligibility and actual payment in SSI cases. (See Monthly Accounting, below.) For example, an ineligible recipient (FEDAMT=SUPAMT=0) may continue to receive actual payments (FEDPMT and/or STATPMT greater than zero) through Goldberg-Kelly during the appeals process. Therefore, the distinction between current counts as measured by eligibility versus actual payment is important, particularly while cases are moving through the appeals process.

Monthly Accounting

Two types of computations are performed routinely on active SSR records. The first, eligibility computations, use information from the current month to determine whether a person is eligible for a monthly payment. Information such as state of residence (PDSCC), income fields, and payment status (PSTAT) are used. Payment computations determine the amount of money that should be paid to individuals. For payment determination, income data over a three-month period are used: the previous month, the current month, and the next month. The income for the next month is estimated. Because the processing of monthly checks must be done weeks before the check will be sent, the “next” month referred to here corresponds to the month in which the check is actually mailed to the SSI recipient, the payment month. In other words, the computations are being performed for the payment month using that month’s estimated data and actual data from the two months preceding it.

The payment computation data and related fields are stored on the SSR for each month a record is active. The amount due and the amount actually paid are both

recorded. New information may become available that changes the amount due (FEDAMT and SUPAMT) retrospectively. When this occurs, a new computation is performed and the monthly due amounts are changed. If the previous amount was too low, an underpayment results. If the previous amount was too high, an overpayment results. The amount paid (FEDPMT and STATPMT) show the sum of the individual checks actually issued during that month. To compensate for the earlier underpayment, the amount paid may be greater than the amount due. The amount paid may be less than the amount due because funds are being withheld to recover an overpayment. Therefore, the amount paid (FEDPMT and STATPMT) may differ from the amount due (FEDAMT and SUPAMT) for the same month.

Earned and unearned income amounts are key factors in computing the amount to which a person is entitled. Income that may affect the amount of benefits is translated into one of the following categories. The letters IE and IUE often appear in acronyms related to earned and unearned income, respectively. Data element IETYP distinguishes wages and self-employment earnings. Their values, provided by the beneficiary and recorded in IEAMT, must be supported by documentation. Unearned income comes in many forms; their types are categorized in IUETYP, while their amounts are categorized in IUEAMT. It is not unusual for there to be more than one type of income. All the IEAMT fields are added, with the total countable earned income amount stored in EINCM. The same is true for unearned income categories, with the total countable stored in UINCM. Some IETYP categories are in fact not earnings types but “exclusions,” such as educational expenses. For income amounts that are exclusions, the amount in IEAMT is subtracted from the other IEAMT values present. A type D exclusion in IETYP may also be subtracted from IUEAMT.

Finally, both types of income are combined to get the total countable income. Based on this figure and the current limits and program rules, two amounts are calculated. FEDAMT is the amount of money to be paid for federal SSI benefits; SUPAMT is the amount of federally-administered state supplement to be paid. Federal benefits and state supplements are paid with one combined check.

6.2. Description of SSR Data Elements

The following pages list data elements in the SSR master file that are particularly relevant for analyses of the SSR data; the listing is followed by a data dictionary. The data elements are presented in alphabetical order. Page headers show the data element that is being described on a given page.

Data element	Description	Page
8080-JD	Initial Decision Date	260
AA-ZIP	Residence Address ZIP Code	262
ALIEN	Alien/Citizenship Status	263
ALIEN-CNTRY	Alien Country of Origin	267

Data element	Description	Page
ALIEN-RD	Alien Residency Date	272
ALIEN-SPON	Alien Sponsor	273
APPL-JD	Application Date	275
BIRTH-JD	Date of Birth	277
CLM-FIL-JD	Application Receipt Date	278
COMP-STAT-TOA	Computations Status Type of Action	280
DDDPC	Direct Deposit Payment Code	282
DEATH-JD	Date of Death	283
DEEMAMT	Deemed Income Amount	284
DENCDE	Denial Code	286
DENIAL-JD	Denial Date	289
DIBDIG	Disability Diagnosis Code--Primary	290
DIBDIG2	Disability Diagnosis Code--Secondary	293
DIS-ONST-JD	Disability Onset Date	295
DISPAYCDE	Disability Payment Code	296
DO	Servicing Field Office Code	298
EINCM	Earned Income Chargeable Amount	299
ELG-RD	Eligibility Date	301
ESPER	Essential Person-1974 Conversion Case	302
FEDAMT	Federal Money Due Amount	304
FEDPMT	Federal Money Paid Amount	306
FIRST-PAY-DATE	First Payment Date On This Record	308
HUN	Housed Under Number	309
IEAMT	Earned Income Amount	310
IEFRQ	Earned Income Frequency	312
IESTART-RD	Earned Income Start Date	313
IETYP	Earned Income Type Code	314
IUEAMT	Unearned Income Amount	317
IUEFRQ	Unearned Income Frequency	319
IUESTART-RD	Unearned Income Start Date	321
IUETYP	Unearned Income Type Code	322
LAF	Ledger Account File	325
LIVF	Living Arrangement Code for Federal Money	329
MFT	Master File Type	331
NOP-9	Number of Payments	332
OSCD	Living Arrangement Code for State Money	333
PAN	Social Security Number for Person	335
PAYFLG1	Pay Flag 1	336
PAYFLG2	Pay Flag 2	338
PDSCC	Residence State and County Code	340
PDZIP	Mailing Address ZIP Code	342
PL-APPEAL-JD	Appeals Date	343
PL-CDE	Appeals Code	344
PSTAT	Payment status for the following month	346

6.2. Description of SSR Data Elements

Data element	Description	Page
RACE	Race Code	352
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STOPACM	State Program Category Payment Code	374
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STUDM	Student Indicator	378
SUPAMT	State Money Due Amount	379
TOA	Type of Action	381
UINCM	Unearned Income Chargeable Amount	383

8080-JD Initial Decision Date

Type:	Packed 4
Data Format:	Date: CCYYDDD-Julian
Repeating:	Yes
Cleared:	No
Reset:	
Edited:	
Master Record Location:	VDG1-APPL
Related Data Elements:	APPL-JD, START-RD, FIRST-PAY-DATE, DODEC on 831 file

DESCRIPTION AND PURPOSE

This data element indicates the date of processing of the initial claim decision, regardless of whether the case was paid or denied. In cases involving a disability determination, this date may be much later than the application date (APPL-JD). The initial decision is not recorded on the SSR under certain circumstances; under those circumstances, 8080-JD contains zeros.

On files extracted in May 1998 or earlier, this data element was named DT-8080, and it is still sometimes referred to as the 8080 date. The name 8080 comes from the original paper form used to file decisions.

POSSIBLE VALUES

0000 – 8080-JD not generated
 8888 – Start date case. 8080-JD not generated
 9999 – Force pay case. 8080-JD not generated
 CCYYDDD – 8080-JD Generated for this run date

USAGE NOTES

This data element occurs once for each eligible person (PAN) on each SSR record.

For many disability cases, this value could be equal to or later than the 831 Disability file data element DODEC because 8080-JD is a processing date and could be delayed. For age claims, this is the date the field office puts the person into current pay status.

If the value is 8888, the field START-RD should be used for the initial payment date. For example:

	8080-JD	START-RD
Old claim	Oct 12, 1967	blank
New claim	8888	Nov 5, 1978

CCYYMMDD format on files selected in June 1998 or later.

AA-ZIP	Residence Address ZIP Code
---------------	-----------------------------------

Type:	Character 5
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	
Edited:	
Master Record Location:	VDG7-APPLADDR
Related Data Elements:	PDZIP, PDSCC

DESCRIPTION AND PURPOSE

This data element contains the recipient's residence ZIP Code. It is filled in only when mailing and residence addresses differ and when the recipient does not live with his/her representative payee.

POSSIBLE VALUES

It contains a 5-digit ZIP code if residence address differs from mailing address in PDZIP.

USAGE NOTES

This data element occurs once for each eligible PAN.

Data entry of this field can cause an auto fill of STSUPGP.

To receive SSI benefits one must live in the United States or the Northern Marianas or be a disabled child of overseas military personnel.

For complete mailing/residence address data, use the SSI Address File.

ALIEN	Alien/Citizenship Status
--------------	---------------------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	
Master Record Location:	VDG1-APPL
Related Data Elements:	ALIEN-CNTRY, ALIEN-RD, ALIEN- SPON

DESCRIPTION AND PURPOSE

This data element indicates citizenship status and type of lawfully resident alien.

It is also referred to as Alien Refugee Indicator.

POSSIBLE VALUES

- blank – not applicable or unknown
- A – Proven U.S. born, (citizen)
- B – Alleged U.S. born, (citizen)
- C – U.S. citizens born outside U.S. (citizen) (includes naturalized citizens)
- D – Alleged U.S. citizen, pre-1948 (citizen)
- E – Citizenship/alien status not proven, to be denied for other reason(s) pre-1970 alien (presumed legal)
- F – Section 203 (a)(7) alien (refugee/qualified)
- G – Section 212 (d)(5) alien (parolee/qualified)
- H – Silva vs Levi alien (unqualified) †
- I – Indochinese alien (refugee/qualified)
- J – Deferred status alien (unqualified) †
- K – Other legal alien (lawfully admitted/qualified)
- L – Section 208, asylum class (refugee/qualified)
- M – Residents of the Northern Marianas Islands (citizen)
- N – Identity and citizenship verified by Numident interface (citizen) (AR code was previously A, B)
- P – Pre-1948 alien (presumed legal)(unqualified) †
- Q – Alleged U.S. born, U.S. citizen –allegation corroborated by a U.S. place of birth shown on the online Numident (citizen)
- R – Legal temporary resident – status granted as a result of the immigration reform and control act of 1986 (unqualified) †

- S – Legal permanent resident status granted as a result of the immigration reform and control act of 1986 (lawfully admitted/qualified)
- T – Alien granted voluntary departure (unqualified). (Grandfathered cases only; these people were receiving benefits before 8/22/96 when the new rules went into effect.)
- U – Unknown
- V – Citizenship verification overridden by D.O. (citizen)
- W – Alien granted stay of deportation (unqualified) †
- X – Cuban / Haitian entrant (refugee/qualified)
- Y – Legalized agricultural worker pursuant to the immigration reform and control act of 1986 (lawfully admitted/qualified)
- Z – Alien whose alien status is being determined by INS (mainly used for battery cases/qualified)
Alien on whose behalf an immediate relative petition has been alleged.
- 1 – No status alleged
- 2 – Valid status alleged. Not proven – N13 being processed.
- 3 – Amerasian immigrants (treated like refugees—only eligible for seven years beginning with the date of their INS status) (New Code Effective 06/98)
- 4 – North American Indian born outside the U.S. (new code 06/98)
- * – data transmitted in error

USAGE NOTES

This data element occurs once for each eligible PAN. The most current is kept on a CER extract.

In August 1996, Welfare Reform Legislation was passed that would limit government support of immigrants. As a result, in 1997, SSA conducted a review of the ALIEN field on the SSR, cleaning up data in anticipation of new eligibility requirements. Although later law “grandfathered” those already receiving SSI, this review improved the data in this field.

Recipient is a refuge entitled to Special Assistance under federal law. Currently only Indo-Chinese refugees are covered. The federal government pays the full cost.

Sometimes, a change in alien status changes the code in ALIEN. Other times, the change requires terminating the record and beginning a new one with a new value for ALIEN.

There is another field on the SSR (ALIEN-STAT-RD) that is the date the alien received his status from INS. This date is used to determine

eligibility for SSI benefits because there are time limits for filing for certain categories of aliens.

ALIEN, ALIEN-RD, ALIEN-CNTRY and other alien data elements are entered as one string of data. Any modification to one requires redefinitions of the rest. (Anything left blank will clear the original data.)

The following individuals are U.S. citizens:

1. Any individual born in one of the 50 states or the District of Columbia who was subject to the jurisdiction of the U.S. at birth.
2. Any individual born in Puerto Rico after January 12, 1941, and subject to the jurisdiction of the U.S. at birth; or any individual born in Puerto Rico before January 13, 1941, and living in the U.S., Puerto Rico or a U.S. territory on January 13, 1941.
3. Any individual born in the Canal Zone or the Republic of Panama to at least one parent who was or is a U.S. citizen
4. Any individual born in the U.S. Virgin Islands on or after January 17, 1917 and subject to the jurisdiction of the U.S. or who was a native of the U.S. Virgin Islands and residing there on January 17, 1917, and was not a citizen or subject of a foreign country.
5. Any individual born in Guam after April 10, 1899, and subject to the jurisdiction of the U.S. or born in Guam before April 11, 1899, and who continuously resided in the U.S., Guam, or a U.S. territory until at least August 1, 1950.
6. Any individual born in the NMI Islands on or after November 4, 1986 and subject to the jurisdiction of the U.S. or born in the NMI and a citizen of the dissolved Trust Territory of the Pacific Islands (TTPI) on November 3, 1986, and who did not owe allegiance to a foreign state.
7. Any individual born in American Samoa or Swain's Island.
8. Any individual who was born outside the U.S. is a U.S. citizen if:
 - a. both parents were U.S. citizens at the time of the child's birth;
or
 - b. one parent was a U.S. citizen and the other parent was a non-citizen national at the time of the child's birth, and the U.S. citizen parent resided in the U.S. or an outlying possession for a period of at least one year before the child's birth.

9. A naturalized U.S. citizen is one who either petitions for U.S. citizenship and is naturalized in a District Court of the U.S. or one who is collectively naturalized by a Congressional Act or Presidential Proclamation. Collectively naturalized citizens are legislatively defined as such when the U.S. incorporates new territory. A child under the age of 18 derives naturalized citizenship without petition when both parents (or a divorced parent with custody or a surviving parent) receive naturalized citizenship.

The current definition of “non-citizens” includes codes: F, G, H, I, J, K, L, P, R, S, T, W, X, Y, Z, 3, and 4.

ALIEN-CNTRY Alien Country of Origin

Type:	Character 2
Data Format:	
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	
Master Record Location:	VDG1-APPL
Related Data Elements:	ALIEN

DESCRIPTION AND PURPOSE

This data element indicates the country from which the person originated. Applies to people with ALIEN codes equal to F to L, R to T, W to Z, and 3.

POSSIBLE VALUES

Africa

AG	Algeria	MA	Madagascar
AO	Angola	ME	Spanish North Africa
BC	Botswana	MF	Mayotte
BN	Benin	MI	Malawi
BS	Bassas da India	ML	Mali
BV	Bouvet Islands	MO	Morocco
BY	Burundi	MR	Mauritania
CD	Chad	MZ	Mozambique
CF	Congo	NG	Niger
CM	Cameroon	NI	Nigeria
CG	Zaire	PY	Guinea-Bissau
CN	Comoros	RE	Reunion Islands
CT	Central African Rep.	RH	Rhodesia
CV	Cape Verde Islands	RW	Rwanda
DJ	Djibouti	SE	Seychelles
FT	?	SF	South Africa
DM	Dahomey	SG	Senegal
EK	Equatorial Guinea	SH	St Helena
ER	Eritria	SL	Sierra Leone
ET	Ethiopia	SO	Somalia
EU	Europa Islands	SS	Spanish Sahara
GA	Gambia	SU	Sudan
GB	Gabon	TO	Togo
GH	Ghana	TP	Sao Tome and Principe

LI	Liberia	TS	Tunesia
LL	?	TZ	Tanzania
LY	Libya	MP	Mauritius
GO	Glorioso Islands	UG	Uganda
GV	Guinea	UV	Burkina Faso
HM	Heard/McDonald Islands	WA	Namibia
IV	Ivory Coast	WI	Western Sahara
JU	Juan de Nova Islands	WZ	Swaziland
KE	Kenya	ZA	Zambia
LT	Lesotho	ZI	Zimbabwi

North America

CA	Canada	SB	St. Pierre and Miquelon
GL	Greenland	SQ	Swan Islands

Carribbean

CU	Cuba	GP	Guadeloupe
DR	Dominican Republic	BQ	Navassa Is
HA	Haiti	RQ	Puerto Rico
JM	Jamaica	PR	Puerto Rico
JH	Jamaica	MB	Martinique
TD	Trinidad and Tobago	MH	Montserrat
AA	Aruba	NA	Netherlands Antilles
AC	Antigua/Barbuda	NT	Netherlands Antilles
AV	Anguilla	SC	St. Kitts/Nevis
BB	Barbados	ST	St Lucia
BF	Bahamas	TK	Turks and Caicos Islands
BD	Bermuda	VC	St. Vincent/Grenadines
DO	Dominica	VI	Virgin Islands (US & UK)
CJ	Cayman Islands	VQ	Virgin Islands (US & UK)
GJ	Grenada		

Central America

BH	Belize/British Honduras	NU	Nicarauga
CS	Costa Rica	IP	Clipperton Islands
ES	El Salvador	PM	Panama
GT	Guatemala	PN	Panama
HO	Honduras	PQ	Panama
MX	Mexico		

South America

AR	Argentina	GY	Guyana
BL	Bolivia	NS	Surinam
BR	Brazil	PA	Paraguay
CI	Chili	PE	Peru
EC	Ecuador	CO	Columbia
FA	Falkland Islands	UY	Uruguay
FK	Falkland Islands	VE	Venezuala
FG	French Guiana		

Middle East

EG	Egypt	JO	Jordan
LE	Lebanon	JH	Jordan
LB	Lebanon	KU	Kuwait
LN	Lebanon	MU	Oman
SY	Syria	QA	Qatar
TU	Turkey	QT	Qatar
BA	Baharain	SA	Saudi Arabia
CY	Cyprus	TC	United Arab Emirates
GZ	Gaza	WE	West Bank
IS	Israel	YE	Yemen
IU	Israel	YM	Yemen
IW	Israel	YS	Yemen
IY	Iraq-Saudi Neutral Zone		

Russia and Former Soviet Union

RU	Russia	KG	Krygyzstan
RS	Russia	KZ	Kazakhstan
UP	Ukraine	LG	Latvia
UR	Soviet Union	LH	Lithuania
AJ	Azerbaijan	MD	Moldova
AM	Armenia	TI	Tajikistan
BO	Belarus	TK	Turkmenistan
EN	Estonia	UZ	Uzbekistan
GG	Georgia		

Asia

CH	China	BG	Bangladesh
HK	Hong Kong	BT	Bhutan
KS	Korea	CE	Sri Lanka/Ceylon
KN	Korea	IO	British Indian Ocean Terr.
KO	Korea	MV	Maldives

TW	Taiwan	NP	Nepal
JA	Japan	SK	Sikkim
JP	Japan	KZ	Kazakstan
MC	Macau	MY	Maylasia
MQ	Midway Islands	CB	Cambodia/Kampuchea
YQ	Ryuku Islands Japan	KM	Cambodia/Kampuchea
TE	Tetromelin Islands	LA	Laos
AF	Afghanistan	RP	Phillipines
IN	India	PI	Phillipines
IR	Iran	VM	Vietnam
PK	Pakistan	VS	Vietnam
IZ	Iraq	VN	Vietnam
BM	Burma	BX	Brunei
ID	Indonesia	PF	Paracel Islands
PT	Timor	SN	Singapore
TH	Thailand		

Europe

PL	Poland	AU	Austria
RO	Romania	VT	Vatican
BU	Bulgaria	UK	Britain
CZ	Czechoslovakia	AW	?
EZ	Czechoslovakia	BE	Belgium
LO	Czechoslovakia	BZ	Germany
HU	Hungary	GM	Germany
HR	Croatia	GC	Germany
GR	Greece	GE	Germany
IT	Italy	WB	Germany
PO	Portugal	BW	Germany
YO	Yugoslavia	DA	Denmark
BK	Bosnia	FI	Finland
RR	?	FN	Finland
SI	Slovenia	JE	Jersey
SR	Serbia	JR	Jersey
AL	Albania	FO	Faroe Islands
AN	Andorra	EI	Ireland
GI	Gibraltar	MW	Montenegro
MK	Macedonia	NL	Netherlands
MT	Malta	NO	Norway
SM	San Marino	SW	Sweden
SP	Spain/Canary Islands	SZ	Switzerland

Oceania

AS	Australia	PG	Spratley Islands
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AT	Ashmore/Cartier Islands	NQ	Pacific Trust Territories
AY	Antarctica	PS	Pacific Trust Territories
BP	Solomon Islands	RM	RM
CK	Cocos Islands	KT	Christmas Islands
CQ	Northern Mariana Islands	LQ	Palmyra Atoll
MP	Northern Mariana Is	NC	New Caledonia
TQ	Northern Mariana Is	NE	Niue
CR	Coral Sea Islands	NF	Norfolk Islands
CW	Cook Islands	NH	Vanuatu
DQ	Jarvis Islands	NR	Nauru
EQ	Canton/Enderbury Islands	NZ	New Zealand
FJ	Fiji Islands	PC	Pitcairn Islands
FM	Micronesia	PP	Papua New Guinea
FP	French Polynesia	TL	Tokelau
FQ	Baker Islands	TN	Tonga
GN	Gilbert Islands	TV	Tuvalu
GS	Gilbert Islands	WF	Wallis/Futuna
HQ	Howland Islands	WS	Samoa
JQ	Johnston Atoll	WQ	Wake Is
KQ	Kingman Reef	SX	Georgia/Sandwich Islands

This data element occurs once for each eligible PAN.

ALIEN, ALIEN-RD, ALIEN-CNTRY, and other alien data elements are entered as one string of data. Any modification to one requires redefinitions of the rest. (Anything left blank will clear the original data.)

See PDSSC about differences between FIPS codes and SSA's coding scheme.

ALIEN-RD Alien Residency Date

Type:	Binary 2, Months Rel 01/74
Data Format:	CCYYMM
Repeating:	Yes
Cleared:	
Reset:	Yes
Edited:	
Master Record Location:	VDG1-APPL
Related Data Elements:	ALIEN

DESCRIPTION AND PURPOSE

This data element indicates the date the alien's residency in the U.S. began. It is not coded for citizens, even if they are foreign born.

POSSIBLE VALUES

CCYYMM Century, year and month

USAGE NOTES

This data element occurs once for each eligible PAN.

ALIEN, ALIEN-RD, ALIEN-CNTRY, and other alien data elements are entered as one string of data. Any modification to one requires redefinitions of the rest. (Anything left blank will clear the original data.)

The earliest date entered is January 1974, when SSI began.

CER Extract Format- YYMM (on files selected 05/98 or earlier).

ALIEN-SPON	Alien Sponsor
-------------------	----------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	Yes
Edited:	
Master Record Location:	VDG1-APPL
Related Data Elements:	ALIEN

DESCRIPTION AND PURPOSE

This data element indicates legal support liability and deeming involvement of the sponsor.

POSSIBLE VALUES

blank – not applicable or unknown

- A- No Sponsor
- B- Sponsor legally exempt from deeming. (Church, etc)
- C- Sponsor liable for support. Deeming from sponsor only.
- D- Sponsor liable for support. Regular deeming rules apply.
- E- Sponsor liable for support. SSR has a regular deemer plus the sponsor, and deeming occurs from both the spouse/parent and the sponsor.
- F- Same as C, except the sponsor has a spouse
- G- Same as D, except sponsors are identified as parents of the alien. Both are eligible for deeming.
- H- Same as E, except sponsor has a spouse on the SSR.
- J- Multiple legal sponsors exist. One or more of the sponsors may have status as a regular deemer on the SSR, or may have a spouse whose income is deemable.
- L- Sponsor is liable for support. However, recipient's disability onset date is later than the ALIEN-RD. (exemption applies)
- M- Sponsor legally liable for support. Sponsor does have status as a regular deemer on the alien's SSR and deeming occurs only from the sponsor. A new affidavit of support is in effect.
- N- Sponsor legally liable for support. Sponsor does have status as a regular deemer on the alien's SSR; however, sponsor-to-alien deeming rules apply since a new affidavit of support is in effect.
- O- Sponsor legally liable for support; SSR contains a regular deemer plus the sponsor (sponsor is not regular deemer), and

deeming occurs from both the sponsor and the spouse/parent; a new affidavit of support is in effect.

- P- Same as M, but with the addition that the sponsor has a spouse
- Q- Same as N, except sponsors are specifically identified as parents of the alien, and both parents (therefore, sponsor and sponsor's spouse) are liable for the deeming
- R- Same as O, except sponsor has a spouse, i.e., deeming has been considered from at least three potential deemers: the sponsor, the sponsor's spouse and the regular deemer (alien's own ineligible spouse or parents) on the SSR.
- S- Multiple legal sponsors exist. One or more of the legal sponsors may have other status (as a regular deemer) on the SSR; or one or more of the sponsors may have a spouse whose income is also deemable. A new affidavit of support is in effect.
- T- Sponsor no longer liable.
- U- Unknown (systems generated)
- V- Sponsor legally liable for support, and a new affidavit of support is in effect. Deeming terminated because the alien has acquired 40 work credits.
- W-

USAGE NOTES

This data element occurs once for each eligible person (PAN). The most current is kept on a CER extract.

ALIEN, ALIEN-RD, ALIEN-CTRY, and other alien data elements are entered as one string of data. Any modification to one requires redefinitions of the rest. (Anything left blank will clear the original data.)

This data element must be entered if ALIEN code is F, G, I, J, L, S, X, Z, or 3.

APPL-JD Application Date

Type:	Packed 4
Data Format:	Date: CCYYMMDD
Repeating:	Yes
Cleared:	No
Reset:	
Edited:	
Master Record Location:	VDG1-APPL
Related Data Elements:	CLM-FIL-JD, ELG-RD

DESCRIPTION AND PURPOSE

This data element indicates the effective date of filing an application. If there is a delay in getting an office appointment, the data element APPL-JD will reflect the date of first contact with SSA for eligibility. This may represent a telephone call before an application is completed. This data element should always be filled in. A change in the application date should indicate a new filing. It is used to determine the month in which eligibility begins and the period of computation.

POSSIBLE VALUES

0000 – Data not transmitted
 * – Data transmitted in error

USAGE NOTES

This data element occurs once for each eligible PAN.

This is a mandatory field.

Each application generates at least one record on the SSR. If there are two applications with the same month and year, they will be treated as one for processing. One record will have PSTAT of T50 (a false start), so it will be ignored for further processing.

On the Longitudinal Record File (LRF), application dates with zeroed-out values are found. These are records established in the early years of the SSI program (1974-1975), and it is unclear what they represent. The volume of such cases is low.

On the LRF, a person may have more than one record per application because of appeals the person might make with respect to an unfavorable

decision; any change in the unit structure or other administrative edits are made to the record. The information on these multiple application-specific records must be overlaid to correctly capture information about a specific application.

The only extracts with only one record per PAN are the 1 percent and 10 percent monthly person files in the CER or Address format.

This data element is APLDTE on extract files selected 05/98 or earlier

BIRTH-JD Date of Birth

Type:	Packed 4
Data Format:	Date: CCYYMMDD
Repeating:	Yes
Cleared:	Yes
Reset:	
Edited:	Yes
Master Record Location:	INDIV-HDR
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates the date the person was born. This data element should always be filled in. It is used to identify individuals.

POSSIBLE VALUES

CCYYMMDD Century, year, month and day

USAGE NOTES

This data element occurs once per person (up to three persons) per SSR record.

Though this field should always have valid values, this is not always the case on the LRF and other extracts. Dates of birth are sometimes zeroed-out and are even inconsistent across person records.

On new records the birth is always verified against the Numident.

This is completed for ineligible and so proof may not be asked for. When an individual later reaches 65, he/she may become eligible but require proof of date of birth.

This data element was referred to as BIRTHDTE/DOBCEN on extract files selected 05/98 or earlier.

CLM-FIL-JD	Application Receipt Date
-------------------	---------------------------------

Type:	Packed 4
Data Format:	Date: CCYYMMDD
Repeating:	Yes
Cleared:	
Reset:	
Edited:	
Master Record Location:	VDG1-APPL
Related Data Elements:	APPL-JD, ELG-RD

DESCRIPTION AND PURPOSE

This data element indicates the date that the application was received by an SSA field office and the receipt date is different from the application date (APPL-JD). The application date determines the date from which eligibility starts. The receipt date typically occurs after the application date because of protective filing. Sometimes the receipt date is before the application date because of advance filing (e.g., an application is received in the month before the person attains age 65.)

POSSIBLE VALUES

blank	same as application date (APPL-JD)
CCYYMMDD	Century, year, month, day

USAGE NOTES

This data element occurs once for each eligible PAN. The most current is kept on a CER extract.

This data element is used to keep track of elapsed processing time as opposed to the date used for benefit computations (APPL-JD).

This data element is frequently blank. This happens when the application date (APPL-JD) is the same as the receipt date.

If a person calls the toll-free number to make an appointment, the APPL-JD holds the date of the call and remains the official beginning of eligibility. CLM-FIL-JD will have the later date when the application form is completed and turned in to SSA.

In advance filing cases, the receipt occurs before eligibility begins and so CLM-FIL-JD is earlier than APPL-JD.

This data element is DCF on extract files selected 05/98 or earlier.

COMP-STAT-TOA	Computations Status Type of Action
----------------------	---

Type:	Character 2
Data Format:	
Repeating	Yes
Cleared:	No
Reset: No	
Edited:	
Original Source:	CASE-COMPSTAT
Related Data Elements:	MFT, STOPACM, TOA

DESCRIPTION AND PURPOSE

This data element provides the most recent description of the program category (aged, disabled, or blind) and living arrangement of the eligible person. It contains the same kind of information as TOA (Type of Action). This data element is only set when there has been a change since the application was filed and TOA was set.

It is used to update the Master File Type (MFT) and Type of Action (TOA) in describing SSI recipients as children, individuals, or couples.

It is also referred to as Program Category/Household Structure-Current.

POSSIBLE VALUES

Blank - no change; use TOA

- AI – Aged individual
- AX– Aged individual with ineligible spouse
- AE– Aged individual with eligible spouse
- BI – Blind individual
- BX– Blind individual with ineligible spouse
- BE – Blind individual with eligible spouse
- DE– Disabled individual with eligible spouse
- DI – Disabled individual
- DX– Disabled individual with ineligible spouse
- BC– Blind child
- BM– Blind child living with mother
- BF – Blind child living with father
- BB– Blind child living with both parents
- DC– Disabled child
- DM– Disabled child living with mother
- DF – Disabled child living with father

- DB – Disabled child living with both parents
- ES – Eligible individual awaiting spouse

- 20 – Initially denied record terminated when record is established for new application. (Records with TOA equal to 20 are not present on the One Percent or Ten Percent CER person files. However, they are present on other SSI data files.)

USAGE NOTES

If COMP-STAT-TOA is not blank it should be used instead of TOA to determine the current category of the individual. If this field is blank (89 percent of all cases), then TOA has the most current category information.

COMP-STAT-TOA will show an adult when the child reaches age 18 even though the MFT and TOA still show a child. COMP-STAT-TOA may also show an individual when the spouse dies, although the MFT and TOA still show a couple.

On the SSR, there is space for five occurrences of this data element. The first occurrence is after a change in the HUN's eligibility category or living arrangement. Instead of changing TOA, the new status is set in COMP-STAT-TOA. Subsequent changes result in additional COMP-STAT-TOA fields on the SSR. There is a maximum of five COMP-STAT-TOA fields on one SSR record. However, currently only two COMP-STAT-TOA fields are used. If a third change occurs, the record is terminated and a new one is started.

On the CER extract the most current value is used.

DDPC	Direct Deposit Payment Code
-------------	------------------------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	
Edited:	
Master Record Location:	VDG1-DIRDEP
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element shows whether an SSI check is directly deposited into a checking or savings account. If neither, it is left blank.

POSSIBLE VALUES

Blank – not deposited in either checking or savings account
 C – Checking account
 S – Savings account

USAGE NOTES

This data element occurs once for each eligible PAN. The most current is kept on a CER extract.

Some banks use the same number for checking and savings accounts.

DEATH-JD Date of Death

Type:	Packed 4
Data Format:	Date: CCYYMMDD
Repeating:	Yes
Cleared:	
Reset:	
Edited:	
Master Record Location:	INDIV-HDR
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element captures the fact of death for all persons in payment or suspense status. It is less reliable for persons who have been terminated. That information can only come from a match with the Numident File.

POSSIBLE VALUES

CCYYMMDD - year, month and day of death

USAGE NOTES

This data element occurs once per person (up to three persons) per SSR record. The most current is kept on a CER extract.

When a person dies, PSTAT is set to T01 at the same time this field is set.

If in non-pay status for at least six months data are unreliable. Termination records are only updated as a result of a transaction from MBR or IRS or Numident.

CER Extract Format- CCYYMMDD (on files selected 06/98 or later).

This data element is DTH-PD on extract files selected 05/98 or earlier.

DEEMAMT	Deemed Income Amount
----------------	-----------------------------

Type:	Packed 4
Data Format:	Money: \$\$\$\$\$cc
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	No
Master Record Location:	VDG9-COMPHIST- CMNTH
Related Data Elements:	

DESCRIPTION AND PURPOSE

Deemed income is the amount of an ineligible person's income that is counted as income of an eligible person. See page 255 for more details.

For computational periods before 3/82 (start of retrospective accounting), this held the quarterly amount of underpayment of one member that is set or adjusted by the overpayment amount of the other member for the same quarter.

This data element is set by the system or transmitted as Type B unearned income. In essential person deeming, this field shows the total income of the eligible person (EP). In spouse-to spouse deeming after 3/82, this field shows the couple's countable income when the DEEMCD is C or D and shows the INEL. Spouse's net income (if computed) when the deeming code is I. Otherwise, the field is blank.

POSSIBLE VALUES

\$0.00 - \$99999.99 monthly deemed income

USAGE NOTES

On an SSR record, this field is in the Computational Data Group and occurs up to 336 times for each eligible person. These occurrences correspond to every month since eligibility began.

When a CER extract is created, this data element gets the value for the month immediately following the SEL-DATE month.

When a child is eligible for SSI benefits, part of the parents' income may be deemed as unearned income of the child because the income is used to

support the child. This amount is derived from the parents' income amount and added to the child's countable unearned income.

DEEMAMT indicates the amount of money deemed, but it cannot be used to identify the type of deemed income (how much is earned versus unearned).

DENCDE Denial Code

Type:	Character 3
Data Format:	
Repeating:	Yes
Cleared:	Yes
Reset:	Yes, not often
Edited:	Yes
Master Record Location:	VDG1-APPL
Related Data Elements:	DENIAL-JD, PSTAT

DESCRIPTION AND PURPOSE

This data element indicates the reason the initial claim was denied. It reflects the final denial reason, not just the result of the disability determination and may be cleared if a claim is allowed on an appeal or reopening (error made on original decision and no appeal is necessary).

POSSIBLE VALUES

- Blank - An award or pending a decision. (See Notes)
- N01 – Claimant’s chargeable income exceeds both the applicable Title XVI payment and his state’s payment standard.
- N02 – Claimant is inmate of a public institution. (See N22)
- N03 – Claimant resides outside U.S.
- N04 – Claimant’s non-excludable resources exceed Title XVI limitations.
- N05 – Unable to determine if eligibility exists. (See N24, N25)
- N06 – Claimant failed to file for other benefits.
- N12 – Claimant voluntarily withdrew from program.
- N13 – Not a citizen or eligible alien. (See N23)

- N14 – Aged claim denied for age.
- N15 – Blind claim denied; applicant not blind; no visual impairment.
- N16 – Disabled claim denied; applicant not disabled.
- N17 – Failure by applicant to pursue claim.
- N18 – Failure by applicant to cooperate on development of claim.
- N22 – Claimant is an inmate of a penal institution (effective 12/97).(N02 will no longer be used for prisoners.)
- N23 – Claimant is not a resident of the United States (effective 12/97). (N13 will no longer be used for non-residents.)
- N24 – Claimant has been convicted of a felony of fraudulently misrepresenting residence to receive benefits/services (SSI, Medicaid, AFDC, Food Stamps) simultaneously in two or more

- states (effective 12/97). N05 will no longer be used for this welfare reform provision.
- N25 – Claimant is fleeing to avoid prosecution for, or custody or confinement after convictions for, a crime that is a felony (or in New Jersey, a high misdemeanor) under the laws of the place from which he/she flees, or is violating a condition of probation or parole imposed under federal or state law (effective 12/97). N05 will no longer be used for this welfare reform provision.
 - N30 – Slight impairment, medical consideration alone; no visual impairment.
 - N31 – Capacity for substantial gainful activity (SGA) – customary past work; no visual impairment
 - N32 – Capacity for SGA – other work; no visual impairment.
 - N33 – Engaging in SGA despite impairment; no visual impairment.
 - N34 – (Before 3/9/91) – Impairment no longer severe at time of adjudication and did not last 12 months; no visual impairment. (Effective 3/9/91)—child under age 18, impairment(s) disabling for a period of less than 12 months
 - N35 – Impairment is severe at time of adjudication but not expected to last 12 months, no visual impairment
 - N36 – Insufficient or no medical data furnished
 - N37 – Failure or refusal to submit to consultative examination
 - N38 – Applicant does not want to continue development of claim
 - N39 – Applicant willfully fails to follow prescribed treatment. (Effective 06/96, this code is being used for denials where drug addiction and/or alcoholism (DAA) is a material condition.)
 - N40 – (Before 3/9/91) – Impairment does not meet or equal listing (disabled child under age 18 only); no visual impairment. (Effective 3/9/91) – Child under age 18, individual functional assessment (IFA) shows impairment not of comparable severity.
 - N41 – Slight impairment – medical condition alone; visual impairment.
 - N42 – Capacity for SGA – customary past work; visual impairment.
 - N43 – Capacity for SGA – other work; visual impairment
 - N44 – (Before 3/9/91)--Engaging in SGA despite impairment, visual impairment. (Effective 3/9/91)—child under age 18, impairment not severe
 - N45 – Impairment no longer severe at time of adjudication and did not last 12 months; visual impairment.
 - N46 – Impairment is severe at time of adjudication but not expected to last 12 months; visual impairment.
 - N47 – Insufficient or no medical evidence furnished; visual impairment. (obsolete)
 - N48 – Failure or refusal to submit to consultative examination; visual impairment. (obsolete)
 - N49 – Applicant does not want to continue development of claim. visual impairment. (obsolete)

- N50 – Applicant willfully fails to follow prescribed treatment; visual impairment. (obsolete)
- N51 – (Before 3/9/91) – Impairment does not meet or equal listing (disabled child under age 18 only), visual impairment. (Effective 3/9/91) – child under 18, individual functional assessment (IFA) shows impairment not of comparable severity; visual impairment.
- N52 – Deleted from state rolls before 12/73 payment.
- N53 – Deleted from state rolls after 12/73 payment.
- N54 – District office is unable to locate applicant. (obsolete – might see this in old cases).

USAGE NOTES

This data element occurs once for each SSR PAN. The most current is kept on a CER extract.

If the case was initially denied but later approved, the DENCDE is cleared.

N01-N25 are technical denials (not disability).
N30-N51 are medical denials(disability related application).
N52-N53 are conversion cases.

DENCDE and PSTAT are often the same.

DENIAL-JD	Denial Date
------------------	--------------------

Type:	Packed 4
Data Format:	Date: CCYYMMDD
Repeating:	Yes
Cleared:	Yes
Reset:	No
Edited:	
Master Record Location:	VDG1-APPL
Related Data Elements:	DENCDE

DESCRIPTION AND PURPOSE

This data element indicates the date of the systems run that processes the final denial decision listed in DENCDE. It is blank for an award or a pending decision.

POSSIBLE VALUES

CCYYMMDD - year, month and day

USAGE NOTES

This data element occurs once for each SSR PAN

On extract files made before June of 1998, this field was called DENDTE.

DIBDIG Disability Diagnosis Code--Primary

Type:	Character 4
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	
Edited:	
Master Record Location:	VDG1-DIB
Related Data Elements:	DIBDIG2; DIG on MBR file; PDX on 831 file

DESCRIPTION AND PURPOSE

This data element identifies the primary condition on which an individual's disability or blindness is based. DIBDIG contains a four-digit impairment code devised by SSA (SSA Impairment Codes) or an ICD-9 code without decimal point (e.g., 3195 rather than 319.5).

POSSIBLE VALUES

A complete set of SSA Impairment Codes and corresponding ICD-9 codes is given in Appendix C (page 582).

The following table groups the codes into diagnostic groups. These groupings are used in the computation of tables 7.F1 and 7.F2 in the Annual Statistical Supplement to the Social Security Bulletin. Only the leftmost three digits are used in most cases, since the fourth digit is generally a zero.

Diagnostic codes	Diagnostic group
Blank, 000, 001, 136, 248, 649, 677-679, 999	Pending or denied
002-139 (except 136)	Infectious and parasitic
140-239	Neoplasms
240-279 (except 248)	Endocrine and metabolic
280-289	Blood and blood forming organs
295	Schizophrenia
290-294, 296-316 (except 315.2)	Psychoses and neuroses
317-319 (except 319.5)	Mental retardation
320-359	Central nervous system
360-379	Diseases of the eye
380-389	Diseases of the ear
390-459	Diseases of the circulatory system

Diagnostic codes	Diagnostic group
460–519	Diseases of respiratory system
520–579	Diseases of the digestive system
580–629	Diseases of genitourinary system
630–676 (except 649)	Complications of pregnancy
680–709	Skin and subcutaneous
710–739	Musculoskeletal system
740–759	Congenital anomalies
760–779	Perinatal disease
780–799, 315.2, 319.5	Other
800–998	Injury

USAGE NOTES

This data element occurs once for each SSR PAN. If the final medical decision is a denial, the field is blank.

ICD-9 codes were used exclusively before 1985. Since that time, the DDS have been using the new SSA Impairment coding scheme. ICD-9 codes delineate specific diagnoses with four digits; the SSA codes are usually less specific three-digit codes. The DDS should use SSA Impairment Codes, but continues to use both coding systems.

Codes 136, 3152, and 3195 were shown under different diagnostic groups in previous versions of the dictionary.

- Code 136 (unspecified infectious/parasitic diseases) was moved from “Infectious and Parasitic” to “missing” because its prevalence dramatically increased on the SSR between March and April 1998 because of miscoding. This problem was limited to the SSR and had no impact on cases coded 136 on the MBR and 831 Disability file.
- Code 3152 (learning disorders) was previously displayed under Psychoses and Neuroses.
- Code 3195 (borderline intellectual functioning) was previously in a separate diagnostic group by itself because the code was relatively new. Code 3195 was established in 10/97 and is usually assigned to an individual having IQ scores in the 71-84 range and for whom the diagnosis of mental retardation has been excluded. Only a small number of cases (11,000 as of December 1998) list 3195 as the primary diagnosis.

Because of a special cleanup program run in March 1998, the SSR contains primary diagnostic codes for about 80 percent of all disability cases. For published data, other sources (e.g., MBR and 100% SSI files) are matched to SSR extracts to improve the rate to 85 percent. Codes are sometimes lost when the field re-inputs cases allowed on appeal (e.g.,

DIBDIG = 0010). Sometimes, codes do not exist because the disability decision was adopted from a concurrently filed SSDI claim (e.g., DIBDIG = 0001).

Effective with the March 1998 CER extract file, there is a drop in the number of code “0001” cases because corrective action has been taken to fix these records.

Codes of 2480 and 6490 represent diagnoses that are actually DDS-coded values for diagnoses falling in the 780x-799x series (i.e., ill-defined); thus, depending on interpretation, they may be categorized in this group.

Missing diagnoses may be resolved by linking to the 831 Disability file. This process may not be straightforward, depending on the extract to which it is linked.

DIBDIG2 Disability Diagnosis Code--Secondary

Type:	Character 4
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	
Edited:	
Master Record Location:	VDG1-DIB
Related Data Elements:	DIBDIG

DESCRIPTION AND PURPOSE

This data element identifies the secondary condition on which an individual's disability or blindness is based. DIBDIG2 contains a four-digit impairment code devised by SSA (SSA Impairment Codes) or an ICD-9 code without decimal point (e.g., 3195 rather than 319.5).

POSSIBLE VALUES

A complete set of SSA Impairment Codes and corresponding ICD-9 codes is given in Appendix C (page 582).

The following table groups the codes into diagnostic groups. These groupings are used in the computation of tables 7.F1 and 7.F2 in the Annual Statistical Supplement to the Social Security Bulletin. Only the leftmost three digits are used in most cases, as the fourth digit is generally a zero.

Diagnostic codes	Diagnostic group
Blank, 000, 001, 136, 248, 649, 677-679, 999	Pending or denied
002-139 (except 136)	Infectious and parasitic
140-239	Neoplasms
240-279 (except 248)	Endocrine and metabolic
280-289	Blood and blood forming organs
295	Schizophrenia
290-294, 296-316 (except 315.2)	Psychoses and neuroses
317-319 (except 319.5)	Mental retardation
320-359	Central nervous system
360-379	Diseases of the eye
380-389	Diseases of the ear
390-459	Diseases of the circulatory system
460-519	Diseases of respiratory system
520-579	Diseases of the digestive system

Diagnostic codes	Diagnostic group
580–629	Diseases of genitourinary system
630–676 (except 649)	Complications of pregnancy
680–709	Skin and subcutaneous
710–739	Musculoskeletal system
740–759	Congenital anomalies
760–779	Perinatal disease
780–799, 315.2, 319.5	Other
800–998	Injury

USAGE NOTES

This data element occurs once for each SSR PAN. If the final medical decision is a denial, the field is blank.

ICD-9 codes were used exclusively before 1985. Since that time, the DDS have been using the new SSA Impairment coding scheme. ICD-9 codes delineate specific diagnoses with four digits; the SSA codes are usually less specific three-digit codes. The DDS should use SSA Impairment Codes, but continues to use both coding systems.

DIS-ONST-JD Disability Onset Date

Type:	Packed 4
Data Format:	Date: CCYYDDD, Julian
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	
Master Record Location:	VDG1-DIB
Related Data Elements:	8080-JD, DISPAYCDE

DESCRIPTION AND PURPOSE

This data element indicates the date of onset of disability or blindness.

POSSIBLE VALUES

* – Data transmitted in error
CCYYDDD - year and number of days.

USAGE NOTES

This data element occurs once for each SSR PAN. The most current is kept on a CER extract.

If a final decision has not been made, this is the alleged date of onset.

See 8080-JD to determine if a decision has been made. DISPAYCDE of F indicates a final medical decision has been made and so the date (DIS-ONST-JD) is established.

If the final medical decision is a denial, the alleged date remains.

This data element matches the 831 Disability file data element DDPB if the case is on the 831 Disability file. Otherwise, the MBR date of onset is used if the case is “adopted” from the MBR.

DISPAYCDE	Disability Payment Code
------------------	--------------------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	Yes
Edited:	No
Master Record Location:	VDG1-DIB
Related Data Elements:	8080-JD, DENCDE, PSTAT

DESCRIPTION AND PURPOSE

This data element shows that payments are being made based on presumptive or an allowed disability.

Income and resource research is not performed until medical approval is done.

POSSIBLE VALUES

blank – does not apply

In Pay Codes

- F – Final medical allowance as disabled or blind and met income requirements
- P – Presumptive finding by DO or DDS
- S – State determination, DIB allowance (conversion case only)
- T – Presumptive finding (conversion cases only)

Not in Pay Codes

- F – Final medical decision was an allowance but did not meet income requirements
- R – Referred to state agency (no medical determination made)
- X – Denied for reasons other than medical (income and resources)
(DENCDE=Nx)

* – Data transmitted in error

USAGE NOTES

This data element occurs once for each SSR PAN. The most current is kept on a CER extract.

There are two types of denials: technical, that is, not eligible because of income, resources, citizenship, or other non-medical reasons; and medical, that is, not disabled.

At the time of application, the DO may decide one of four things:

- The person appears to meet the technical requirements and meets the requirements for presumptive disability while waiting for DDS to make the final medical decision. Code P.
- The person is technically denied. Code X.
- The person may meet technical requirements, refer to DDS. Code R. PSTAT will be blank or an 'H'.
- The person has already been given a medical allowance on Title II. Code F.

If the Disability Determination Services (DDS) decides that the person is medically disabled, the code R or P is overwritten with the code F. If there is a medical denial, the code remains an R or P. In this case, DENCDE must be referred to.

After a medical decision allows the disability, more research is done on the person's income and resources. Whatever the income determination, this code will remain an F.

This data element cannot be used to determine if an award or denial has been decided. Instead, use DENCDE (non-blank if denied) and PSTAT (C01 means in current pay status) and DISPAYCDE = F means award given.

8080-JD will show date of award or denial.

In old, or stale, cases the codes, may be in lower case instead of upper case.

DO Servicing Field Office Code

Type:	Character 3
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	Yes
Edited:	
Master Record Location:	VDG2-CURPAY
Related Data Elements:	X-MITNG-DO

DESCRIPTION AND PURPOSE

This data element identifies the field office that services the recipient's current mailing address.

POSSIBLE VALUES

Three-character string.

USAGE NOTES

This data element occurs once for each SSR PAN.

Use X-MITNG-DO (not in this user's manual) to identify the field office that processed the SSI application.

EINCM	Earned Income Chargeable Amount
--------------	--

Type:	Packed 4
Data Format:	Money-\$\$\$\$cc only
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	No
Master Record Location:	VDG9-COMPHIST- CMNTH
Related Data Elements:	UINCM, IETYP, IEAMT

DESCRIPTION AND PURPOSE

This data element indicates the EINCM—one half of the remainder of the total amount of earned income (self-employment plus wages) after deducting earned income exclusions and the standard exclusions. EINCM is used to compute payments.

The rationale is that the chargeable earned income is retained by month for ease in reconstructing the computation

POSSIBLE VALUES

\$0.00 - \$9999.99 earned income

USAGE NOTES

On an SSR record, this field occurs up to 336 times for each eligible person. These occurrences correspond to every month since eligibility began.

When a CER extract is created, this data element gets the value for the month that is one more than the SEL-DATE month.

Earned income exclusions consist of the general exclusions described below and specific work exclusions (student child, blind work expenses, approved plan for achieving self-support, and impairment-related work expenses). See IETYP for more details.

The general earned income exclusions are:

- If only earned income, deduct \$85 plus one-half of the remaining earnings;

- If both earned and unearned income, deduct \$65 plus one-half of the remaining earnings.

$$\begin{aligned} \text{EINCM} = & \frac{1}{2} [\text{IEAMT}(\text{IETYP}=\text{S}) + \text{IEAMT}(\text{IETYP}=\text{W}) - \\ & \text{IEAMT}(\text{IETYP}=\text{B}) - \text{IEAMT}(\text{IETYP}=\text{C}) - \\ & \text{IEAMT}(\text{IETYP}=\text{D}) - \text{IEAMT}(\text{IETYP}=\text{T})] - \\ & \text{Standard Exclusion } (\$85 \text{ or } \$65) \end{aligned}$$

It is generated by the monthly and daily runs of computation history (computation history).

ELG-RD Eligibility Date

Type:	Binary 2, Months rel 01/74
Data Format:	Date: CCYYMM
Repeating:	Yes
Cleared:	
Reset:	
Edited:	
Master Record Location:	VDG1-APPL
Related Data Elements:	APPL-JD, CLM-FIL- JD, START-RD

DESCRIPTION AND PURPOSE

This data element indicates the month of first eligibility. Since eligibility tends to extend back to the point of award, the ELG-RD is usually the same as the application date (APPL-JD).

The rationale is to compute payment, especially where recipient is found disabled retroactively.

POSSIBLE VALUES

\$0.00 - \$9999.99 earned income

USAGE NOTES

This data element occurs once for each eligible person (PAN). The most current is kept on a CER extract.

The Welfare Reform legislation changed the payment rules. Effective 08/22/96, the first month of payment cannot be earlier than the second month of eligibility (ELG-RD plus one month). Before 8/22/96, ELG-RD was the earliest month benefits were payable.

If START-RD has a value then use it for the earliest computation month. Otherwise, use ELG-RD.

CER Extracts Format- YYMM (on files selected 05/98 or earlier).

ESPER Essential Person—1974 Conversion Case

Type:	Character 1
Data Format:	
Repeating:	No
Cleared:	
Reset:	
Edited:	
Master Record Location:	CASE
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element identifies essential person categories for conversion cases. Conversion cases involved people who were receiving SSI benefits from a state and who were passed on to SSA when SSA began managing the program.

The rationale is that the presence of essential person(s) affects payment amounts.

POSSIBLE VALUES

- 0 – No essential person involved
- 1 – Ineligible spouse
- 2 – Living with father
- 3 – Living with mother
- 4 – Non-relative in person 2 space on the record
- 5 – Non-relative in person 3 space on the record
- A – Ineligible spouse and another
- B – Live with father and another
- C – Live with mother and another
- D – At least 2 essential persons, one of whom is per2
- E – A least 2 essential persons, one of whom is per3
- F – Living with parent is essential person (pipeline cases only)

USAGE NOTES

Some states paid money to essential persons when they were administering SSI because they wished to keep beneficiaries out of hospitals. (California was the largest provider of these funds.) When SSA took over SSI, it kept paying these people although it did not allow any new essential persons. Up to 50 percent of the Federal Benefit Rate (FBR) is added to the beneficiary’s check to cover expenses to support the essential person.

As of June 2000, there were fewer than 5,000 essential persons. If they ever go out of pay status, they could not be reinstated.

FEDAMT Federal Money Due Amount

Type:	Packed 3
Data Format:	Money-\$\$\$cc
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	No
Master Record Location:	VDG9-COMPHIST-CMNTH
Related Data Elements:	SUPAMT, FEDPMT

DESCRIPTION AND PURPOSE

This data element indicates the actual computed monthly benefit amount for which the person is eligible. FEDPMT is taken from this. However, later FEDAMT may be recalculated to reflect changes in income or PSTAT (Payment Status) or LIVF (Living Arrangement Code for Federal Money) and result in a different value than what was paid.

It is also referred to as Federal Computational Amount.

POSSIBLE VALUES

\$1.00 - \$999.99 benefit amount

USAGE NOTES

On an SSR record, this field occurs up to 336 times for each eligible person. These occurrences correspond to every month since eligibility began.

This figure does not include back payments and is always equal to or lower than the Federal Benefit Rate (FBR).

The FEDAMT can be quite different from the Federal Money Paid Amount (FEDPMT) because it can be rewritten after the fact. If circumstances change for an individual and the benefit amount changes, previous months' FEDAMT may be corrected using the new information. This will result in a difference in what should have been paid and what was paid. There will be minimal differences when using the 1 percent or 10 percent sample files because the files are drawn monthly.

There are two reasons FEDAMT and FEDPMT may differ on monthly amounts:

- FEDAMT was recomputed after FEDPMT was set
- FEDPMT was increased to cover underpayments

For the most part, if there is an amount in FEDPMT for June, there will be an amount in FEDAMT for May. There are a few exceptions. One is where an overpayment is being collected and the rate of adjustment equals or exceeds the monthly benefit amount. The FEDAMT will show the scheduled payment, but the actual payment (FEDPMT) will be zero. Another example is where the person receives payments under Goldberg/Kelly. The FEDAMT will be zero, but the FEDPMT will have some money in it. There are some of these cases.

When a CER extract is created, this data element gets the value for the month that is one more than the SEL-DATE month. Also on the CER is a field with the name FEDAMT-CUR that shows the dollar amount due for the current month of file selection (SEL-DATE).

The longitudinal format extracts capture the federal due amount and paid amount for every month since eligibility began.

The same rules apply to the State Computational Amount (SUPAMT) and the State Payment Amount (STATPMT).

Note: For files selected 06/98 or later, compare FEDAMT-CUR to FEDPMT for the same month to make due versus paid comparisons. However, for files selected before 06/98, use FEDAMT and follow these guidelines.

FEDPMT Federal Money Paid Amount

Type:	Packed 4
Data Format:	Money-\$\$\$\$\$cc
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	No
Master Record Location:	VDG9-PAYHIST
Related Data Elements:	STATPMT, FEDAMT, PAYFLG1, PAYFLG2

DESCRIPTION AND PURPOSE

This data element indicates the actual federal money paid in a given month. Includes federal recurring amounts and back payments.

This amount will not be retroactively adjusted like FEDAMT, and, because of back pay might be much larger than any FEDAMT.

It is also referred to as the Federal Payment Amount.

POSSIBLE VALUES

Monetary value with two implied decimal fractions.

USAGE NOTES

\$0.00 - \$99999.99 money paid

The main method to count SSI recipients checks whether FEDPMT or STATPMT is greater than zero.

On an SSR record, there are up to 700 occurrences of FEDPMT for each eligible person. See PAYFLG1 and PAYFLG2 for more information.

This amount, FEDPMT, can often be quite different from Federal Money Due Amount (FEDAMT) because FEDAMT may be rewritten after FEDPMT is set. If circumstances change for an individual, the benefit amount may change also. If a change occurs that applies to past months, the computations of FEDAMT for the affected months will be recomputed using the new data. This will produce a difference in what the person was due and what was paid. There are minimal differences in the One Percent or Ten Percent sample files because the files are drawn monthly.

There are several reasons FEDAMT and FEDPMT may differ on monthly amounts (also see page 256):

- FEDAMT was recomputed after FEDPMT was set;
- FEDPMT was increased to cover underpayments (e.g., back payment for new awardees);
- FEDPMT was decreased to recover an overpayment;
- FEDPMT may be greater than FEDAMT because the person is receiving payment under Goldberg-Kelly.

For CER extracts, these occurrences are reduced to one monthly dollar amount that is the sum of federal payments issued during that month. Effective 09/99, the Longitudinal format extracts capture FEDPMT for every month since eligibility began.

FIRST-PAY-DATE	First Payment Date On This Record
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Type:	Character 8
Data Format:	Date: CCYYMMDD
Repeating:	No
Cleared:	No
Reset:	No
Edited:	No
Master Record Location:	Derived
Related Data Elements:	8080-JD, START-RD

DESCRIPTION AND PURPOSE

This data element captures first payment date on a specific SSR. (Keep in mind that a given SSI application may be covered by multiple SSRs.) This is not an SSR data element. It is derived from the PAYHST-JD data element that occurs on the SSR once for every month of payment on this account. Returned checks and presumptive disability payments are not counted. See usage notes for more detail.

POSSIBLE VALUES

CCYYMMDD - year, month and day of first payment

USAGE NOTES

To derive the FIRST-PAY-DATE scan the payment history data from the oldest occurrence and select the first PAYHIST-JD entry that meets the following conditions:

- PAYFLG1 and PAYFLG2 is equal to 1N, 2E, 3N, or 5N; and
- PAYFLG3 is not equal to J or P.

This data element is a derived data element on CER and LFR formats. It used to be called AWARD-DATE on CER extract files selected 02/98-05/98; it is not available on CER extract files selected before 02/98. As of March 1995, it is available on LFR extracts by the acronym AWARD-DATE.

Contact SSA for more information about how to use FIRST-PAY-DATE to capture award counts if you are working with the CER Original File or the Longitudinal File.

HUN	Housed Under Number
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Type:	Numeric 9
Data Format:	
Repeating:	No
Cleared:	No
Reset:	No
Edited:	
Master Record Location:	CASE
Related Data Elements:	PAN

DESCRIPTION AND PURPOSE

This data element is the Social Security Number of the first eligible person in the household unit. This is similar to the CAN field on the MBR.

POSSIBLE VALUES

Bytes 1-3 = area number
 Bytes 4-5 = group number
 Bytes 6-9 = serial number

USAGE NOTES

Persons may be found under several different HUNs if they were a spouse or a parent of an eligible recipient and live with them. The first eligible person may be an alien, but person 2 or person 3 will not include a sponsor unless the sponsor is a parent or spouse of the alien.

See Section 6.1.1 or more information.

IEAMT	Earned Income Amount
--------------	-----------------------------

Type:	Packed 4
Data Format:	Money-\$\$\$\$cc
Repeating:	Yes
Cleared:	
Reset:	Yes
Edited:	
Master Record Location:	VDG3-IEARN
Related Data Elements:	IETYP, IEFRQ, IESTART-RD, EINCM

DESCRIPTION AND PURPOSE

This data element indicates the amount of monthly earned income or earned income exclusions for types specified under Earned Income Type (IETYP).

POSSIBLE VALUES

\$0.00 - \$9999.99 monthly earned income

USAGE NOTES

This data element is part of the VDG3 group that covers earned income data. Every income source or exclusion a person has will be represented in one VDG3 group on the SSR. In each group, the field IEAMT will give a dollar amount of earnings, or exclusion, and IETYP will identify the type of earned income. A single SSR record will contain multiple VDG3 groups as needed to record different jobs and exclusions. For each eligible PAN there is space for up to 340 VDG3 groups. When this space is full, the record is terminated and a new SSR record is created.

If there is a net loss situation (IETYP=N), the amount in IEAMT will be subtracted from the money associated with a IETYP of W (wages) or unearned income (IUEIYP).

If the record is in terminated status (PSTAT=Txx), IEAMT will be changed to a value of \$0; it will not be blank. IETYP would remain the same, but IEFRQ would change to T.

A person with no job or who is self-employed will have no occurrence of the VDG3 group for those months with no income.

A wage earner who qualifies for an income exclusion (such as a student) would have at least two entries, one with earnings and the other with exclusions. For example, a student who works and received \$1000 a month in wages but who has a student exclusion of \$400 a month would have a record that looks like this:

	IETYP	IEAMT
Entry 1	W	\$1000
Entry 2	B	\$400

It is also referred to as Amount of Earned Income or Earned Income Exclusion.

On a CER extract, there is space for 6 IEAMT values for the selected month. IEAMT dollars associated with IETYP B are not included in CER extracts. Multiple incomes (IEAMT) of the same IETYP will be added together in IEAMT. The earned income group is kept for the month that matches the SEL-DATE, usually the current month.

On a CER extract, current earned income (IEAMT > 0) is captured for the following month (SEL-DATE + 1 month). See IETYP for more.

IEFRQ	Earned Income Frequency
--------------	--------------------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	Yes
Edited:	
Master Record Location:	VDG3-IEARN
Related Data Elements:	IESTART-RD, IEAMT, IETYP

DESCRIPTION AND PURPOSE

This field indicates the frequency of receipt of earned income.

POSSIBLE VALUES

C – Continuing
T – Terminated
N – One time payment

USAGE NOTES

This data element is part of the VDG3 group that covers earned income data. Every income source or exclusion a person has will be represented in one VDG3 group on the SSR. In each group the field IEAMT will give a dollar amount of earnings, or exclusion, and IETYP will identify the type of earned income. A single SSR record will contain multiple VDG3 groups as needed to record different jobs and exclusions. For each eligible PAN there is space for up to 340 VDG3 groups. When this space is full the record is terminated and a new SSR record is created.

If the record is put in terminated status (PSTAT=Txx) IEFRQ will change to a value of T and IEAMT changes to \$0 as the new 'payment amount'.

On a CER extract, there is space for six VDG3 groups corresponding to the 6 IETYP codes, excluding type B. The current earned income (IEAMT > zero) is captured for the following month (SEL-DATE + 1 month). See IETYP for more information.

IESTART-RD	Earned Income Start Date
-------------------	---------------------------------

Type:	Binary 2, Months rel 01/74
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	Yes
Edited:	
Master Record Location:	VDG3-IEARN
Related Data Elements:	IEFRQ, IETYP, IEAMT

DESCRIPTION AND PURPOSE

This data element indicates the month earned income or exclusion began. The rationale is that to compute payment, the effective date is required.

POSSIBLE VALUES

CCYYMM Year and month

USAGE NOTES

This data element is part of the VDG3 group that covers earned income data. Every income source or exclusion a person has will be represented in one VDG3 group on the SSR. In each group, the field IEAMT will give a dollar amount of earnings, or exclusion, and IETYP will identify the type of earned income. IESTART-RD will give the start data of this amount. A single SSR record will contain multiple VDG3 groups as needed to record different jobs and exclusions. For each eligible PAN there is space for up to 340 VDG3 groups. When this space is full the record is terminated and a new SSR record created.

On a CER extract, there is space for six IEAMT values for the selected month. IETYP B exclusions are not included in CER extracts. Multiple incomes or exclusions of the same IETYP will be added together in IEAMT. The earned income group is kept for the month that matches the SEL-DATE, usually the current month.

On a CER extract, the group for current earned income is captured for the month that matches the SEL-DATE + 1 month.

On CER extracts, this data element has format CCYYMM.

IETYP	Earned Income Type Code
--------------	--------------------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	Yes
Edited:	
Master Record Location:	VDG3-IEARN
Related Data Elements:	IEAMT, IUEAMT, IESTART-RD, IEFRQ

DESCRIPTION AND PURPOSE

This data element indicates the type of earned income or earned income exclusions. The presence of this data element does not indicate current pay status. If the benefit is terminated, this data element is unchanged. An IEAMT of \$0 reflects the loss of benefits.

POSSIBLE VALUES

blank – does not apply or unknown

Earned income:

S – Self-employment

W – Wages

N – Net Loss

Earned income exclusions:

B – Student education expense

C – Blind work expense

D – Program to Achieve Self Support (PASS) approved INCOME exclusion – for disabled or blind (see notes)

T – Disabled workers impairment-related work expenses (devices) (see notes)

USAGE NOTES

This data element occurs six times per month for up to 340 months per SSR PAN. Each of the six occurrences corresponds to one of the IETYP codes. The entire group is present if there are data for at least one type of earned income.

Work exclusions represent amounts of earned income that will not count toward SSI eligibility status. These exclusions are for specific amounts

that are given in the IEAMT field that corresponds to the IETYP. For instance, if a disabled SSI recipient needs a wheelchair to work, and the wheel chair is valued at \$50 a month, there will be an entry on the record with IETYP of T and IEAMT of \$50. If the same individual earns \$500 a month at his/her job, there will be another entry with IETYP of W and IEAMT of \$500. The exclusion will be subtracted from the earnings, and only \$450 will be used to determine SSI benefits.

Work exclusions are applied against the earned income amount (IETYP = W or S) before the general earned income exclusion (see EINCM).

One of the work exclusions (IETYP=D) can also offset unearned income (IUEAMT).

Student exclusions (IETYP=B) are limited to a maximum of \$400/month or \$1620/year. Eligibility is limited to full-time students who are less than 22 years old and are working. See the student indicator field, STUDM.

Formulas are used to compute the amount of work exclusions (IETYP=B, C, and T) to be subtracted from earnings before calculating benefit amounts. Work exclusion D is a dollar-for-dollar exclusion and can also be used to offset unearned income (IUEAMT). If expenses (such as tuition) equal or exceed earnings, then there will be no countable earnings deducted from benefit amounts.

If the field IETYP is on a record for a certain person, that person must have earnings or a net loss. (This only applies to self-employment.) The one exception is IETYP D with the presence of unearned income (IUEAMT).

If the person has more than one employer then the amount in IEAMT will be the combined wages with an IETYP of W. If the person has both wages and self-employment income, there will be two entries on the record—one with IETYP W and the other with IETYP S.

Net losses (IETYP=N) only exist for those who are self-employed. The amount in IEAMT will be subtracted from the money associated with a wages or unearned income. One cannot have an IETYP S and an IETYP N for the same taxable year.

A disabled person may have both a D and a T. In this situation, both amounts would be subtracted from earnings before computing SSI benefits.

D is the amount of a recipient's income that is being excluded for a PASS. The intent of PASS is to enable disabled individuals to return to work by

allowing them to continue to get SSI even though there is some income. Code D persons may not be currently employed.

A person with no wages or self-employment income will not have data for this field or IEAMT.

A non-excluded wage earner would have IETYP=S/N and IEAMT = monthly earnings.

On a CER extract, there is space for six VDG3 groups for the selected month. IETYP B exclusions are not included in CER extracts. Multiple incomes (IEAMT) of the same IETYP will be added together in IEAMT. The presence of a particular type does not indicate current activity. Check the IEAMT for each to determine if anything is currently being paid. The earned income group is kept for the month that matches the SEL-DATE, usually the current month. On a CER extract, the group is kept for the month that matches the SEL-DATE, usually the current month.

IUEAMT Unearned Income Amount

Type:	Packed 4
Data Format:	Money-\$\$\$\$cc
Repeating:	Yes
Cleared:	
Reset:	Yes
Edited:	No
Master Record Location:	VDG3-IUNEARN
Related Data Elements:	IEAMT, IUETYP, SEL-DATE, IETYP, IUEFRQ, IUESTART- RD, UINCM

DESCRIPTION AND PURPOSE

This data element indicates the amount of monthly unearned income.

POSSIBLE VALUES

\$0.00 – \$9999.99 monthly unearned income

USAGE NOTES

This data element is a part of the VDG3 group that covers unearned income data. Every unearned income source or exclusion a person has will be represented in one unearned income subgroup of the SSR. In each subgroup, the field IUEAMT will give a dollar amount of income, and IUETYP will identify the type of income. IUESTART-RD will give the start data of this income source. A single SSR record will contain multiple VDG3 unearned income subgroups as needed. For each eligible PAN there is space for up to 260 unearned income subgroups. When this space is full the record is terminated and a new SSR record is created.

When benefit computations are performed the amount in IUEAMT may be reduced by IEAMT if IETYP is D. D is a dollar-for-dollar exclusion. If expenses (such as tuition) are equal to or exceed earnings, then no earnings will affect benefit amounts. Because it is possible to have no amount for a specific type of income, it is necessary to check both the IUETYP and IUEAMT fields together.

On a CER extract, there is space for six occurrences of current unearned income subgroups for the selected month. Multiple incomes of the same IUETYP will be added together in IUEAMT. The unearned income group is kept for the month that matches the SEL-DATE, usually the current

month. If there are more than six types of unearned income present on the SSR for the selected month, the sixth IUEAMT will summarize all the remaining dollar amounts. The corresponding IUESTART-RD data elements will be included.

IUEFRQ Unearned Income Frequency

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	Yes
Edited:	No
Master Record Location:	VDG3-IUNEARN
Related Data Elements:	IUESTART-RD, IUEAMT, IUETYP

DESCRIPTION AND PURPOSE

This data element indicates the frequency of receipt of unearned income

POSSIBLE VALUES

C – Continuous monthly payments
 N – One time payment
 R – Recent OASDI filing and no eligibility decision yet
 T – Termination of continuing monthly payment
 U – Uninsured (getting Medicare only)
 blank – default

USAGE NOTES

This data element is a part of the VDG3 group that covers unearned income data. Every unearned income source a person has will be represented in one unearned income subgroup of the SSR. In each subgroup the field IUEAMT will give a dollar amount of earnings and IUETYP will identify the type of unearned income. IUESTART-RD will give the start data of this income source. A single SSR record will contain multiple VDG3 unearned income subgroups as needed to record different incomes. For each eligible PAN, there is space for up to 260 unearned income subgroups. When this space is full, the record is terminated and a new SSR record is created.

An IUETYP of D and IUEFRQ of U indicates that an RRB ID is assigned, currently Title XVIII only. Future RRB entitlement is possible; mainly Medicare – not cash.

An IUETYP of D and IUEFRQ of R indicate a recent RRB filing (applied for Title II or RRB and Title XVI at same time). The value will convert to a C or N if the claim is approved or a T if it is not.

On a CER extract, there is space for six IUEAMT values for the selected month. Multiple incomes of the same IUETYP will be added together in IUEAMT. The unearned income group is kept for the month that matches the SEL-DATE, usually the current month. If there are more than six types of unearned income present on the SSR for the selected month, the sixth IUEAMT will summarize all the remaining dollar amounts. The corresponding IUESTART-RD data elements will be included.

IUESTART-RD Unearned Income Start Date

Type:	Binary 2, months rel 01/74
Data Format:	Date: CCYYMM
Repeating:	Yes
Cleared:	
Reset:	Yes
Edited:	
Master Record Location:	VDG3-IUNEARN
Related Data Elements:	IUEFRQ, IUETYP, IUEAMT

DESCRIPTION AND PURPOSE

This data element indicates the month the income started for recurring payments and the month received for one-time payments.

The rationale is that to compute the payment, the effective date of receipt is needed.

POSSIBLE VALUES

CCYYMM - Year and month of start of payments

USAGE NOTES

This data element is a part of the VDG3 group that covers unearned income data. Every unearned income source a person has will be represented in one unearned income subgroup of the SSR. In each subgroup, the field IUEAMT will give a dollar amount of income, and IUETYP will identify the type of unearned income. IUESTART-RD will give the start data of this income source. A single SSR record will contain multiple VDG3 unearned income subgroups as needed. For each eligible PAN, there is space for up to 260 unearned income subgroups. When this space is full, the record is terminated and a new SSR record is created.

On a CER extract, there is space for six IUEAMT values for the selected month. Multiple incomes of the same IUETYP will be added together in IUEAMT. The unearned income group is kept for the month that matches the SEL-DATE, usually the current month. If there are more than six types of unearned income present on the SSR for the selected month, the sixth IUEAMT will summarize all the remaining dollar amounts. The corresponding IUESTART-RD data elements will be included.

IUETYP	Unearned Income Type Code
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Type:	Character 2
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	Yes
Edited:	
Master Record Location:	VDG3-IUNEARN
Related Data Elements:	IUEAMT, LIVF, IUESTART-RD, IUEFRQ

DESCRIPTION AND PURPOSE

This data element indicates the particular kind of unearned income. The benefit calculation is affected by the value of IUETYP.

POSSIBLE VALUES

- blank – does not apply or unknown
- A – Social security benefits (Title II)
- B – Black lung
- C – Veterans Administration compensation
- D – Railroad retirement
- E – Veterans Administration pension – needs-based
- F – Assistance based on need and counts as income e.g., TANF (used to be AFDC). (see Notes)
- G – Title XVI windfall OFFSET
- H – In-kind support(non cash) and maintenance. Value of support received because of special living arrangements (never more than one-third FBR (Federal Benefit Rate) +\$20.) LIVF=A or C
- I – Income exclusion because of a person's income allocated to children. Applies to the deemer/parent.
- J – Value of One Third Reduction (VTR) (1/3 of the FBR) (LIVF=B)
- K – Blind countable income conversion cases only (a special provision to exclude income)
- L – Military pension
- M – Civil service pensions, annuity(s) and survivors
- N – Support payments received from absent parents (recipients less than 18 years old)
- O – Income based on need from private sources (e.g., Salvation Army)
- P – Other employment-related pension (Panama Canal Zone (CZC)), state or local government retirement, private pensions.
- Q – Worker's compensation

- R – Rents, interest, dividends, royalties
- S – Other (e.g., unemployment insurance or roommate giving cash towards expenses)
- T – Alaskan annual bonus or income under a demonstration project .
- V – Field Office manually computed net deemed income (Override)
- W – Title II windfall offset (SSI benefits first, which affect OASDI)
- X – Mandatory income level (conversion cases only) (Combine federal and state grant amounts and then determine the minimum state payment)
- Y – MIL (mandatory income level) special needs reduction (conversion cases only- exclude some income)
- Z – State countable income (Vermont conversion cases only)

USAGE NOTES

This data element is a part of the VDG3 group that covers unearned income data. Every unearned income source a person has will be represented in one unearned income subgroup of the SSR. In each subgroup, the field IUEAMT will give a dollar amount of income, and IUETYP will identify the type of unearned income. IUESTART-RD will give the start data of this income source. A single SSR record will contain multiple VDG3 unearned income subgroups as needed. For each eligible PAN, there is space for up to 260 unearned income subgroups. When this space is full, the record is terminated and a new SSR record is created.

Federally subsidized program benefits do not count as income for the purposes of SSI eligibility or amount of benefits. Food Stamps do not count as income. Neither does home energy assistance, federal disaster assistance, federal housing assistance, school lunches, or other food programs.

Codes H and S can be one-time or continuous benefits. Code H includes non-cash items such as food, clothing, shelter paid for by another, and vouchers for food or hotel (if homeless). Code S includes cash given for unspecified shopping, non-domestic travel tickets, and cash not tied to basic needs.

If one lives with a brother for free then LIVF =B and IUETYP=J. If one lives with a brother and pays some money toward expenses, then LIVF=A and IUETYP=H.

F type unearned income benefit amounts are not affected by any other income or exclusion amount. Only the F amount is used. IUETYP E F, and J do not get the \$20 automatic disregard.

This is a two-character field because it was once planned to convert to a two-character coding scheme.

On a CER extract, there is space for six IUEAMT values for the selected month. Multiple incomes of the same IUETYP will be added together in IUEAMT. The unearned income group is kept for the month that matches the SEL-DATE, usually the current month. If there are more than six types of unearned income present on the SSR for the selected month, the sixth IUEAMT will summarize all the remaining dollar amounts. The corresponding IUESTART-RD data elements will be included.

On CER extracts this field has a one-digit alpha format on files selected 05/98 or earlier but a one-digit alpha on files selected after 05/98.

In summary:

A, B, C, D, G, H, L, M, P, Q, R, S, and V are used in establishing the base income for computing benefit amounts.

E, F, and J are used in establishing the unearned income but are not subject to the \$240/year general exclusion.

N is reduced by the system by one-third prior to use in establishing the unearned income base.

Codes K, T, X, Y, and Z are not used in establishing unearned income base. Codes X, Y, and Z are used for mandatory supplementation processing only.

LAF	Ledger Account File
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Type:	Character 2
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	Yes
Edited:	
Master Record Location:	INDIV-HDR
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element reflects the MBR payment status for this beneficiary. Initially, this value comes from the MBR, but it may subsequently get out of sync.

LAF is a two-digit character field. The first character is a status code, and the second character is a “reason” code that expands upon the status.

POSSIBLE VALUES

blank – unknown

Obsolete Codes

- A – Withdrawal for adjustment
- A& – Withdrawn from S or D to be placed in C
- A- – Withdrawn from C to be placed in S or D
- A0 – Withdrawn to adjust reduction factor
- A1 – Military service recomputation (Section 229) for service after 1956
- A2 – 1965 or 1967 recomputation
- A3 – Military service recomp (Section 229) for service after 1956
- A4 – Disability offset recalculation under 1967 amendments
- A5 – Withdrawal for PIA recomputation
- A6 – Disability freeze recalculation
- A7 – Military service recomp (Section 217) credit before 1957
- A8 – Transfer from DIO to an RSI program service center

Not Obsolete

- A9 – All other adjust actions
- AC – PIA correction (no recomputation)
- AD – Adjusted for dual entitlement
- AE – Adjusted for Japanese internment recomputation

- AF – Transfer from a RSI program service center to DIO
- AJ – Adjusted to compensate for workman’s comp offset
- AM – Withdraw from HIB only status
- AP – Withdrawn for PIC change or post-entitlement action or adjusted for partial withholding of overpayment not on MBR.
- AS – Adjusted for simultaneous entitlement
- AW – Withdrawn to impose workman’s comp offset
- B – Abatement status
- C – Current payment status (except railroad payment) = principal condition
- D – Deferred payment status
- DP – Deferred because of public assistance
- DW – Deferred because of Workers Compensation (WC) offset
- D1 – Deferred for foreign work test
- D2 – Deferred for annual retirement test
- D3 – Deferred as an auxiliary of a beneficiary whose status is deferred for annual retirement test (LAF = D2)
- D4 – Deferred for no child-in-care
- D5 – Deferred as an auxiliary of a beneficiary whose status is deferred for foreign work test (LAF = D1)
- D6 – Deferred to cover overpayments not covered by any of the above reasons
- D9 – Miscellaneous deferment not provided with a specific code
- E – Current payment through Railroad Board (RRB)
- N – Disallowed claim (technical disallowance)e.g., not enough Quarters of Coverage (QC)
- ND – Denied claim (medical/dental) e.g., not proven disabled
- P – Pending claim (adjudication pending) (no beneficiary in this claim is in a LAF other than B, N, P, T, U or X) (low-order LAF is equal to LAF S low-order positions) used with delayed claims to show that upon final adjudication the beneficiary should be placed in LAF S with the same subscript. For example, LAF P2 would indicate that upon adjudication the LAF will become S2
- PB – Delayed claim (another beneficiary in this claim has a LAF other than B, N, P, T, U, or X)
- PT – Claim has been terminated from delayed status (LAF PB)
- R –
- S – Conditional payment status
- SD – Technical entitlement
- SF – Prouty beneficiary fails to meet residency requirement. (For Prouty, see footnote 3 on page 66.)
- SH – Government pension completely offsets benefits due a spouse, widow, mother, father or special age 72 (Prouty) beneficiary (see notes)
- SJ – Alien suspension
- SK – Deportation

- SL – Barred payment country
- SM – Refused old-age insurance benefits to get Medicare-only coverage (prior to 1/81)
- SP – Prouty beneficiary receiving public assistance
- SS – Non payment to post-secondary students during summer months
- SW – WC Offset
- S0 – Pending determination of continuing disability
- S1 – Worked outside the United States (U.S.)
- S2 – Worked inside the U.S.
- S3 – Insured person worked in the U.S.
- S4 – Failure to have child-in-care
- S5 – Insured person worked outside the U.S.
- S6 – For better address
- S7 – Prisoner suspension; suspension because of extended trail work period (EPE SGA); or suspension for refusing Vocational Rehabilitation (VR) Services (see Reason for Suspension or Termination (RFST) on MBR record for why suspension occurred)
- S8 – Payee not determined
- S9 – All other suspension reason not specifically defined (miscellaneous suspension) (see RFST on MBR record for why suspension occurred))
- T – Terminated (not used)
- TA – Advanced filing claim terminated before maturity
- TB – Mother, Father terminated-entitled to disabled widow(er)'s benefits
- TC – Disabled widow or widower attained age 65
- TJ – Advance file claim terminated after maturity
- TL – Termination of student (post-secondary) benefits because of legislative changes in student requirements
- TP – Terminated for change of Payment Identification Code (PIC) on post-entitlement actions
- TR – Claimed withdrawn
- TX – DIB attained 65 (also used for auxiliary beneficiaries)
- T- – DIB attained 65, converted to RIB (same as TX)
- T0 – Benefits payable by some other agency
- T1 – Death of beneficiary
- T2 – Dependent status terminated because of death of primary beneficiary. This is a very ephemeral code. Most people who lose benefits because of the death of a primary beneficiary will soon convert to a survivors beneficiary.
- T3 – Divorce, marriage (see RFST on MBR record for why suspension occurred)
- T4 – Attainment of age 18 or 22 and not disabled; mother/father terminated based on last child's attainment of age 16.
- T5 – Entitled to other benefits equal or larger

- T6 – Termination of mother/father benefits because of death or marriage of last child; termination of benefits because child no longer disabled or attending school. (Examination of BIC on the MBR record will determine the meaning of this value.)
- T7 – Adoption of child; mother terminated, last entitled child adopted. Valid only if Date of Suspension or Termination (DOST) is earlier than 10/72. Probably not happening any more.
- T8 – DIB no longer disabled; mother/father terminated, child no longer disabled
- T9 – All other termination reasons
- U – Active title XVIII status only
- W – Withdrawal before entitlement
- X – Title XVIII adjustment or termination status
- XD – Withdrawal for adjustment
- X8 – Payee not determined
- XX – Deportation
- XR – Withdrawn from Supplemental Medical Insurance Benefits (SMIB)
- X0 – Claim transferred to RRB
- X1 – Death of beneficiary
- X5 – Entitled to other benefits
- X7 – Health Insurance Benefits (HIB)/SMIB terminated
- X9 – All other reasons
- ZZ –

USAGE NOTES

This data element occurs once per eligible or deeming person (up to three persons) per SSR record.

The most common universe is “C “ (C followed by a blank), or current payment status. Another commonly used first character value is “T” (termination status).

LAF must be crossed with BIC (Beneficiary Identification Code) for proper interpretation. In some cases, a single LAF code will define multiple universes and the only way to distinguish them is by examining BIC. For example, LAF='T6' may indicate a termination because of death/marriage or because an individual is no longer disabled. The researcher must cross with BIC to determine the applicable condition for termination of the mother or father of the child.

If IUETYP=A income stops, the LAF code may explain why.

LIVF	Living Arrangement Code for Federal Money
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Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	VDG9-COMPHIST- CMNTH
Related Data Elements:	IUETYP, OSCD

DESCRIPTION AND PURPOSE

This data element indicates the living arrangement code of the beneficiary used to compute the monthly federal payment.

The rationale is to know which standard payment amount to use.

POSSIBLE VALUES

- Blank – Payment status code (PSTAT) of H10, N02, N03, N22, N23 or in deferred status.
status (information not yet collected- see Notes).
- A – Living in own household or alone or “intervening”
 - B – Living in the household of another receiving support and maintenance (not C) (see Notes)
 - C – Living in parent’s own household and child less than 18 (see Notes)
 - D – Living in medical facility and Medicaid pays more than 50 percent of expenses.
 - * – Error in transmission of data.

USAGE NOTES

On an SSR record, this field occurs up to 336 times for each eligible person. These occurrences correspond to every month since eligibility began.

Own household means that the person owns the property, is liable for rent of the property, or is paying a fair share of the household expenses.

A is the default situation and includes the homeless and those in residential facilities (not medical).

The MSSICS file has much more detail about one's living arrangements.

At the time one applies for SSI disability benefits only a limited amount of information is collected. Once the medical decision that allows the benefits is decided, the applicant is asked for more information, such as living arrangements. LIVF is blank until this time. There is only a value in LIVF for eligible persons.

Benefits for persons with LIVF codes of A and C start with the Federal Benefit Rate (FBR) before benefits are reduced by countable income. Code B recipients start with two-thirds of the FBR and then have reductions taken.

Recipients with LIVF codes A and C can have IUETYP H (in-kind support and maintenance), while those with LIVF code B always have IUETYP of J and are subject to a reduction.

If a recipient lives with a brother for free, the coding will be LIVF=B and IUETYP=J. However, if a recipient lives with a brother and pays some of the expenses, the coding will be LIVF=A and IUETYP=H.

Children under age 18 can be in any category but are usually in C. LIVF of A or D indicates the child does not live with parents.

LIVF C is a situation where deeming is applicable. Deeming also applies to LIVF B if a child lives with parents in another person's household. At age 18, the LIVF would change to an A or a B depending on circumstances. Deeming may apply to adults also.

Payment for D is limited to \$30 per month. It is possible to be temporarily institutionalized with no reduction in benefits for three months.

When a CER extract is created, this data element gets the value for the month that is one more than the SEL-DATE month. Also on the CER is a field with the name LIVF-1, which shows the living arrangement status for the current month of file selection (SEL-DATE).

MFT	Master File Type
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Type:	Character 2
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	
Edited:	
Master Record Location:	INDIV-HDR
Related Data Elements:	COMP-STAT-TOA, STOPACM, TOA

DESCRIPTION AND PURPOSE

This data element identifies the person in terms of his/her program eligibility.

The rationale is that high-order position indicates whether the person is eligible or ineligible and if eligible, the type of eligibility (aged, blind, or disabled). Low-order position indicates the type of person (spouse, child, eligible individual, father, etc.)

POSSIBLE VALUES

- AI, BI, DI - Aged, blind or disabled individual
- AS, BS, DS - Aged, blind or disabled eligible spouse
(first position will be blank if Type of Action (TOA) = ES)
- BC, DC - Blind or disabled eligible child
- XF, XM, XS - Ineligible father, mother or spouse
- XP - Ineligible essential person who is not an ineligible parent or spouse. (old conversion cases).

USAGE NOTES

This is also referred to as Program Category for Individual. If COMP-STAT-TOA has a value, it will be more current than TOA and MFT and should be used instead.

This is updated in the 1% and 10% CER Original and Person extract files when they are created by using the data element STOPACM (State Program Category Payment Code) (as is TOA, Type of Action.)

NOP-9	Number of Payments
--------------	---------------------------

Type:	Binary 2
Data Format:	
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	No
Master Record Location:	VDG9-CNTS
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates the number of payments made for this record.

POSSIBLE VALUES

0 - 700

USAGE NOTES

This data element is useful because it tells you if there has been any payment on the record. If the field shows zero, there has been no payment.

On a CER extract, values before 6/98 are two-digit numeric. As of 6/98, values contain three digits.

OSCD	Living Arrangement Code for State Money
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Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	Yes
Master Record Location:	VDG9-COMPHIST- CMNTH
Related Data Elements:	PDSCC, LIVE, STSUPGP

DESCRIPTION AND PURPOSE

This data element identifies the living arrangement code for the federally-administered state supplement due for the month.

POSSIBLE VALUES

- blank – No state supplement (same as Z) or data not yet collected
- A - K Coded meanings as selected by the state
- Y – Claimant refused state supplementation
- Z – Individual does not qualify for the state supplement
- * – Error in data transmission

The codes Y and Z indicate no federally-administered state supplement is payable.

If a beneficiary moves, this field could change to a blank or a Z or a different code. (MSSICS always sets a Z if there is no supplement in the new state.)

Some states have variations by county. The code, OSCD, would be the same but the dollar amounts vary by county (Washington and New York only).

For more information about the state living arrangement codes, see the SSA publication “State Assistance Programs for SSI Recipients.” This publication gives you the combined federal/state payment level for the different living arrangements. The publication’s Payment Level Code is the OSCD code; if no code is shown, then the OSCD is Z. Use the individual’s state of residence to look up specific information. The PDSCC field (first two positions) houses the person’s state of residence as of the file’s date of selection (SEL-DATE). The STSUPGP (first two

positions) on the Longitudinal File (LRF) identifies the state of residence for each month in Comp-History.

USAGE NOTES

On an SSR record, this field occurs up to 336 times for each eligible person. These occurrences correspond to every month since eligibility began.

When a CER extract is created, this data element gets the value for the month that is one more than the SEL-DATE month.

This code is only used for states in which SSA administers optional supplementation.

PAN	Social Security Number for Person
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Type:	Numeric 9
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	
Edited:	
Master Record Location:	INDIV-HDR
Related Data Elements:	HUN

DESCRIPTION AND PURPOSE

This data element indicates the person's Social Security Number. It is similar to the BOAN field on the MBR.

POSSIBLE VALUES

Bytes 1-3 = area number
 Bytes 4-5 = group number
 Bytes 6-9 = serial number

USAGE NOTES

This data element can be used to identify all the persons in the HUN.

This data element may occur up to three times on a SSR record. There is a specific order of persons according to the living arrangement and eligibility:

- Person 1 is the first eligible individual from the household.
- Person 2 is an ineligible person living with Person 1, such as the parent of a child or an ineligible spouse of Person 1.
- Person 3 is the eligible spouse of Person 1.

SSR records for a given PAN may be found under several different HUNs. For example, consider a husband and wife who both receive SSI benefits. If this couple separates, their joint record is terminated and two new single records are created.

PAYFLG1 Pay Flag 1

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	No
Master Record Location:	VDG9-PAYHIST
Related Data Elements:	PAYFLG2, FEDPMT, STATPMT

DESCRIPTION AND PURPOSE

This data element indicates type of payment, whether the check was returned, and by whom.

The rationale for this field is that it is needed for payment computation and accounting.

POSSIBLE VALUES

- blank – Never paid
- 0 – No payment made currently
- 1 – Recurring payment dated the first of the month
- 2 – Regular daily underpayment
- 3 – Supplemental payment dated the first of the month.
- 4 – One-time payment
- 5 – Recovery (advance payment or overpayment)
- 6 – Recurring payment (type 1) on which a request for substitute payment has been sent to Treasury.
- 7 – Regular daily payment (type 2) on which a request for substitute payment has been sent to Treasury.
- 8 – Special CMA payment (type 3) on which a request for substitute payment has been sent to Treasury
- 9 – Substitute payment issued by Treasury which was late found to be a duplicate payment.
- A – Recurring payment returned by District Office and Treasury.
- J – Recurring payment returned by District Office only.
- / – Regular payment returned by Treasury only
- B – Regular daily payment returned by District Office and Treasury.
- K – Regular daily payment returned by District Office only.
- S – Regular daily payment returned by Treasury only.
- C – Special CMA payment returned by District Office and Treasury.
- L – Special CMA payment returned by District Office only.

- T – Special CMA payment returned by Treasury only.
- D – Underpayment (OTP) returned by District Office and Treasury
- M – Underpayment (OTP) returned by District Office only.
- U – Underpayment (OTP) returned by Treasury only.
- V – Recovery voided

USAGE NOTES

This data element may occur on an SSR record up to 700 times for each eligible person.

A return to District Office (DO) means that someone actually took checks to the District Office, such as when a relative has died.

Checks are returned to Treasury because of an undeliverable address.

People who get supplemental checks will get two checks – one as a PAYFLG1=1 and one as a PAYFLG1=3. These are the only checks dated the first of the month and are known as recurring payments. The supplemental payment (code 3) does not refer to federally-administered state Supplemental Income. PAYFLG1 = 3 applies to situations when an increase in benefits (either because of a new start or an adjustment) occurred after the type 1 cutoff.

This data element is derived from the payment computation process and also from other operations. A returned check may reset this field.

This field, along with PAYFLG2, is used to create the CER extract fields FEDPMT and STATPMT. All occurrences of PAYHIST-JD (on the SSR but not the CER) that match the CER month (SEL-DATE) are considered for inclusion. If PAYFLG1 and PAYFLG2 meet any of the combinations in the table below, the FEDPMT and STATPMT amounts are summed into the CER data elements of the same name. Acceptable payment codes for inclusion are:

PAYFLG1	PAYFLG2
1, 3, 6, 8, A, C, /, J, T, L	Any value
2, 7, B, S, K	E,F
4, U, D, M	N

After summing all occurrences that meet the criteria, the values should be rounded to the nearest dollar.

Similar PAYFLG1/PAYFLG2 logic is used to create the FEDPMT and STATPMT fields on the Longitudinal format extracts.

PAYFLG2 Pay Flag 2

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	Yes
Master Record Location:	VDG9-PAYHIST
Related Data Elements:	PAYFLG1, FEDPMT, STATPMT

DESCRIPTION AND PURPOSE

This data element indicates the type of payment or quarter or month of payment. Month and quarter values are present only for retroactive payments. From 1/74 to 3/82, these postings were quarterly. Later postings were monthly. Whenever there is a date value for PAYFLAG2 it is part of a composite entry meaning that there is more than one PAYFLG2 field. One of them will contain the type of payment code.

POSSIBLE VALUES

Types of Payment

blank – no payment

E – Payment amount from adding underpayments due

F – Forced payments

N – Forced payments not applicable or the total of OTP (one-time payments).

S – Forced stop payment

T – Forced termination

U – Used in conjunction with a 4 in PAYFLG1 for cases prior to 3/82. Formerly used to designate an OTP quarterly entry (cases before 3/82)

Values associated with Retroactive Payments

3, 6, 9, or 12 - Quarters (for 1/74 to 3/82)

0-12 - Relative Months (data since 3/82).

USAGE NOTES

This data element may occur on an SSR record up to 700 times for each eligible person.

This is derived from the payment computation process and also from other operations. A returned check may reset this field.

Note, if PAYFLG1 = S,C,T,D, or U, then PAYFLG2 will have the relative month of cancellation instead of the quarterly designation or alphabetical code.

This field, along with PAYFLG1, is used to create the CER extract fields FEDPMT and STATPMT. All occurrences of PAYHIST (on the SSR but not the CER) that match the CER month (SEL-DATE) are considered for inclusion. If PAYFLG1 and PAYFLG2 meet any of the combinations in the table below the FEDPMT and STATPMT amounts are summed into the CER data elements of the same name. Acceptable payment codes for inclusion are:

PAYFLG1	PAYFLG2
1, 3, 6, 8, A, C, /, J, T, L	Any value
2, 7, B, S, K	E,F
4, U, D, M	N

After summing all occurrences that meet the criteria, the values should be rounded to the nearest dollar.

Prior to 1982, checks were monthly but computation history on the SSR was quarterly. Since then, the computation history is monthly.

Similar PAYFLG1/PAYFLG2 logic is used to create the FEDPMT and STATPMT fields on the Longitudinal format extracts.

PDSCC Residence State and County Code

Type:	Character 6
Data Format:	SSCCCD
Repeating:	Yes
Cleared:	
Reset:	
Edited:	
Master Record Location:	VDG2-CURPAY
Related Data Elements:	STSUPGP, PAYSC

DESCRIPTION AND PURPOSE

This data element indicates the current state and county of residence and/or supplementation (SSCCCD). The first two positions are the state code and the next three positions are the county code. Ignore the last digit, which deals with the local field office. This code is always filled in once payments start. However, some newly filed applications do not have the code.

To receive SSI, a person must be living in the United States or the Northern Mariana Islands or be the disabled child of overseas military personnel.

Also identifies DO when more than one DO services that county.

The rationale for this field is that it is needed for supplementation and Medicaid determination.

POSSIBLE VALUES

01	Alabama	18	Kentucky
02	Alaska	19	Louisiana
03	Arizona	20	Maine
04	Arkansas	21	Maryland
05	California	22	Massachusetts
06	Colorado	23	Michigan
07	Connecticut	24	Minnesota
08	Delaware	25	Mississippi
09	District of Columbia	26	Missouri
10	Florida	27	Montana
11	Georgia	28	Nebraska
12	Hawaii	29	Nevada
13	Idaho	30	New Hampshire
14	Illinois	31	New Jersey

15	Indiana	32	New Mexico
16	Iowa	33	New York
17	Kansas	34	North Carolina
35	North Dakota	46	Utah
36	Ohio	47	Vermont
37	Oklahoma	48	Virgin Islands
38	Oregon	49	Virginia
39	Pennsylvania	50	Washington
40	Puerto Rico	51	West Virginia
41	Rhode Island	52	Wisconsin
42	South Carolina	53	Wyoming
43	South Dakota	97	Northern Mariana Islands
44	Tennessee	98	Guam
45	Texas	99	American Samoa

USAGE NOTES

This data element occurs once for each eligible PAN.

When a CER extract is created, this data element gets the value for the month that is one more than the SEL-DATE month. The value here is the same as the field STSUPGP on CER extracts.

The PDSCC state/county coding scheme does not match the FIPS codes commonly used elsewhere. SSA has translator programs available for translating between the two.

Two SSR fields are used by SSA for state statistics and distributions. PDSCC is used to identify SSI recipients by their geographic residence. However, the Payment State Code (PAYSC) is used for tracking people with SSI payments.

There are two codes for the Mariana Islands. PDSCC uses 97 and PAYSC uses 63.

For a list of state and county codes, see Appendix A (page 537).

PDZIP	Mailing Address ZIP Code
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Type:	Character 5
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	
Edited:	
Master Record Location:	VDG2-CURPAY
Related Data Elements:	AA-ZIP

DESCRIPTION AND PURPOSE

This data element indicates the mailing address ZIP code. If AA-ZIP is blank, then PDZIP is both the mailing and the residence ZIP code. It is filled in all the time.

It is also referred to as the Payee's ZIP Code.

POSSIBLE VALUES

* – Address wrong. Return to District Office.
XXXXX – ZIP code.

USAGE NOTES

This data element occurs once for each eligible SSR PAN. The most recent information is kept on the CER extract.

For complete mailing/residence address data, use the SSI Address File.

PL-APPEAL-JD Appeals Date

Type:	Packed 4, months rel 01/74
Data Format:	Date: CCYYMMDD
Repeating:	No
Cleared:	
Reset:	Yes
Edited:	No
Master Record Location:	CASE-PL
Related Data Elements:	PL-CDE

DESCRIPTION AND PURPOSE

This data element indicates the date of action noted by the appeals code (PL-CDE). The action may be determined by looking at PL-CDE. For example, if PL-CDE is A, then this is the day the appeal was filed. If PL-CDE is B, C, or D, then this will have the day the decision was made.

POSSIBLE VALUES

CCYYMMDD – Year, month and day of appeal

USAGE NOTES

CER Format- CCYYMMDD (on files selected 06/98 or later)

This is reliable if the outcome is an award. However, this is frequently not updated even to show an appeal was filed.

This data element is PL-DTE on extract files selected 05/98 or earlier.

PL-CDE Appeals Code

Type:	Character 1
Data Format:	
Repeating:	No
Cleared:	
Reset:	Yes
Edited:	Yes
Master Record Location:	CASE-PL
Related Data Elements:	PL-APPEAL-JD

DESCRIPTION AND PURPOSE

This data element identifies the type of appeal for last appeal that was made. Generally speaking, the appeals code on the SSR is useful only to determine whether an appeal has been filed and whether the person has been placed in payment status as a result of an appeal. This data element is not necessarily updated once an appeal has been finalized.

POSSIBLE VALUES

- A – Appeal request filed (reconsideration)
- B – Appeal request dismissed or withdrawn (reconsideration)
- C – Affirmation of prior decision (reconsideration)
- D – Reversal or modification of prior decision (reconsideration)
- K – Court activity pending
- L – Court order or decision unfavorable to claimant and not appealed by SSA.
- M – Court order or decision favorable to claimant and not appealed by SSA.
- N – Court order or decision favorable to claimant and appealed by SSA.
- O – Class action suit
- P – Appeal request filed (hearing)
- Q – Appeal request dismissed or withdrawn (hearing)
- R – Affirmation of prior decision (hearing)
- S – Reversal or modification of prior decision (hearing)
- W – Appeal request filed (appeals council)
- X – Appeal request dismissed or withdrawn (appeals council)
- Y – Affirmation of prior decision (appeals council)
- Z – Reversal or modification of prior decision (appeals council)

USAGE NOTES

This data element is being revised and will be replaced with a group of data elements.

The SSR contains limited appeals data, although the codes listed above imply otherwise. Filing for reconsiderations should be valid, as should any code denoting a favorable appeal decision (reversal). Filings and affirmations are not always posted onto the SSR by the field offices.

It would be common to begin with an A and then have it overwritten with a B, C, or D. If there is a code C and there is a further appeal, PL-CDE is changed to P, which will later be overwritten with Q, R, or S.

PSTAT	Payment status for the following month
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Type:	Character 3
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	VDG9-COMPHIST- CMNTH
Related Data Elements:	TOA, DENCDE, START-RD, LIVF

DESCRIPTION AND PURPOSE

This data element shows eligibility status for SSI benefits. It provides a clue about why a person leaves current pay status.

POSSIBLE VALUES

- Blank case has not been computed but not on hold
- C01 – Current pay (eligible for a payment)
- E01 – Eligible for federal and/or state benefits based on eligibility computation, but no payment is due based on the payment computation (like a suspense)
- E02 – First month of eligibility; eligible but not payable (effective 08/22/96). Under welfare reform, the second month of eligibility is the earliest month payment may begin (like a suspense).
- H10 – Hold living arrangements change in process †
- H20 – Hold marital status change in process
- H30 – Hold resource change in process
- H40 – Hold student status change in process
- H50 – Hold head of household change in process
- H60 – Hold pending receipt of date of death
- H70 – Hold pending posting of payment made outside the system
- H80 – Hold early input case– waiting on a disability determination
- H90 – Hold systems limitation about computation, field office must manually compute and input payment amounts.
- M01 – Force payment case. Recipient may be in current pay or non-pay status depending on payment history. If in current pay, it is a manual payment. (See notes.)
- M02 – Force due case - field office has taken control. Recipient may be in current pay or non-pay status, depending on the payment history. This is a temporary situation. The benefit amount is manually computed, but offsets from computation history may be

- computed and sent to the field office for possible adjustments.
(effective 08/99) (See notes.)
- N01 – Non-pay recipient’s chargeable income exceeds both the applicable Title XVI payment and his state’s payment standard.
 - N02 – Non-pay recipient is inmate of public institution[†]
 - N03 – Non-pay recipient is outside U.S. [†]
 - N04 – Non-pay recipient’s non-excludable resources exceed Title XVI limitations
 - N05 – Non-pay field office unable to determine eligibility exists
 - N06 – Non-pay recipient failed to file for other benefits.
 - N07 – Non-pay cessation of recipient’s disability
 - N08 – Non-pay cessation of recipient’s blindness
 - N09 – Non-pay recipient refused vocational rehabilitation without good cause
 - N10 – Non-pay recipient refused treatment for drug addiction – not legitimate but not yet obsolete
 - N11 – Non-pay recipient refused treatment for alcoholism – not legitimate but not yet obsolete
 - N12 – Non-pay recipient voluntarily withdrew from program
 - N13 – Non-pay not a citizen or eligible alien
 - N14 – Non-pay aged claim denied for age
 - N15 – Non-pay blind claim denied. Applicant not blind. No visual impairment.
 - N16 – Non-pay disability claim denied. Applicant not disabled
 - N17 – Non-pay failure to pursue claim by applicant
 - N18 – Non-pay failure by applicant to cooperate on development of claim
 - N19 – Recipient voluntarily terminates participation in SSI program
 - N20 – Recipient fails to furnish a required report of evidence
 - N22 – Non-pay recipient is an inmate of a penal institution (effective 12/97). N02 will no longer be used for prisoners. [†]
 - N23 – Non-pay recipient is not a resident of the United States (effective 12/97). N13 will no longer be used for non-residents. [†]
 - N24 – Non-pay recipient has been convicted of a felony of fraudulently misrepresenting residence to receive benefits/services (SSI, Medicaid, AFDC, Food Stamps) simultaneously in two or more states (effective 12/97). N05 will no longer be used for this welfare reform provision.
 - N25 – Non-pay recipient is fleeing to avoid prosecution for, or custody or confinement after convictions for, a crime which is a felony or in new jersey, a high misdemeanor) under the laws of the place from which he/she flees, or is violating a condition of probation or parole imposed under federal or state law (effective 12/97). N05 will no longer be used for this welfare reform provision.
 - N27 – Termination of disability because of SGA status

- N30 – Non-pay slight impairment – medical consideration alone. no visual impairment.
- N31 – Non-pay capacity for substantial gainful activity (SGA) – customary past work. no visual impairment
- N32 – Non-pay capacity for SGA—other work. No visual impairment.
- N33 – Non-pay engaging in SGA despite impairment, No visual impairment.
- N34 – Non-pay (Before 3/9/91)--impairment no longer severe at time of adjudication and did not last 12 months no visual impairment. (effective 3/9/91)—child under age 18, impairment(s) disabling for a period of less than 12 months
- N35 – Non-pay impairment is severe at time of adjudication but not expected to last 12 months. no visual impairment.
- N36 – Non-pay insufficient or no medical data furnished
- N37 – Non-pay failure or refusal to submit to consultative examination
- N38 – Non-pay applicant does not want to continue development of claim
- N39 – Non-pay applicant willfully fails to follow prescribed treatment. (Effective 06/96, this code is being used for denials where drug addiction and/or alcoholism (DAA) is a material condition.)
- N40 – Non-pay (Before 3/9/91)--impairment(s) does not meet or equal listing (disabled child under age 18 only), no visual impairment. (effective 3/9/91)—child under age 18, individual functional assessment (IFA) shows impairment not of comparable severity.
- N41 – Non-pay slight impairment – medical condition alone, visual impairment.
- N42 – Non-pay capacity for SGA –customary past work, visual impairment
- N43 – Non-pay capacity for SGA –other work, visual impairment
- N44 – Non-pay (Before 3/9/91)--engaging in SGA despite impairment, visual impairment (effective 3/9/91)—child under age 18, impairment not severe
- N45 – Non-pay impairment no longer severe at time adjudication and did not last 12 months, visual impairment
- N46 – Non-pay impairment is severe at time of adjudication but not expected to last 12 months. visual impairment
- N47 – Non-pay insufficient. or no medical evidence furnished. Visual impairment. (obsolete)
- N48 – Non-pay failure or refusal to submit to consultative examination. Visual impairment. (obsolete)
- N49 – Non-pay applicant does not want to continue development of claim. Visual impairment. (obsolete)
- N50 – Non-pay applicant willfully fails to follow prescribed treatment. visual impairment. (obsolete)
- N51 – Non-pay (Before 3/9/91)--impairment does not meet or equal listing (disabled child under age 18 only), visual impairment.

- (effective 3/9/91)—child under 18. Individual functional assessment (IFA) shows impairment not of comparable severity, visual impairment.
- N52 – Non-pay deleted from state rolls prior to 12/73 payment.
 - N53 – Non-pay deleted from state rolls after 12/73 payment.
 - N54 – Non-pay District Office unable to locate applicant. (obsolete)
 - P01 – Suspension of disability payments because of SGA
 - S01 – Suspended because of report of death by treasury. Potential automated death case
 - S04 – Suspension system is awaiting disability determination (system generated)
 - S05 – System unable to determine prerequisite month for 1619(a) eligibility
 - S06 – Suspended Recipient's address unknown
 - S07 – Suspended. Returned checks for other than address, payee change, or death of payee
 - S08 – Suspended. Representative payee development pending
 - S09 – Suspended. Recipient refuses to cooperate.
 - S10 – Adjudicative suspense (system generated)
 - S20 – Suspended the recipient is subject to rollback, that is rollback code is 1 or 3.
 - S21 – Suspended the recipient is presumptively disabled and has received six months payments
 - T01 – Terminated death of recipient
 - T20 – Terminated received a duplicate payment based on 2 different numbers.(clerical or D.O.)
 - T22 – Terminated received a duplicate payment based on the same number twice or 2 different numbers (electronic termination).
 - T30 – Terminated received payments, but record must be reestablished to correct SSR (such as when the individual gets married)
 - T31 – Terminated recipient met denial or non-pay termination criteria. Payment received. (electronic termination) (may have been a presumptive disability which was denied)
 - T32 - Terminated electronic termination of a paid record that has exceeded certain size limitations.
 - T33 - Terminated manually through MSSICS. Received payments but record must be reestablished to correct SSR.
 - T50 – Terminated no payment received, but record must be reestablished to correct SSR (false start).
 - T51 – Terminated recipient met denial or non-pay termination criteria. Payment not received (electronic termination).
 - * – Error on data transmission

USAGE NOTES

† Does not require a LIVF code because the person is not eligible for benefits or is on hold.

On an SSR record, this field occurs up to 336 times for each eligible person. These occurrences correspond to every month since eligibility began.

When a CER extract is created, this data element gets the value for the month that is one more than the SEL-DATE month. Also on the CER is a field with the name PSTAT-CUR that shows the eligibility status for the current month of file selection (SEL-DATE).

Produces counts that are smaller than those obtained using FEDPMT and STATPMT

If DENCDE is blank but PSTAT is N_, then it is a suspension case and will terminate in 12 months.

T codes refer to the status of the record as opposed to the recipient.

If TOA is 20, there will be a PSTAT and a DENCDE that begins with N (non-pay) and is a terminated situation. The TOA=20 record was automatically terminated when a new SSR was established for a new claim.

The N code is set instead of T because on appeal, the benefits may be granted. If no appeal succeeds, the N will convert to a T31 or a T51. However, the DENCDE remains N.

Medical denials stay as N for 12 months. Others have less time.

M coded records will never go back to a code of C01. They are on their way to being terminated. Usually, only a temporary situation until the system can accurately compute the due and payment amount. Then the record is terminated and a new one is started.

H-coded records are hold conditions.

Typically, when one applies for benefits, a blank or and H is in PSTAT. While the decision is being made, it will remain the same. If there is an award PSTAT is set to C01. If there is a denial, PSTAT and DENCDE are set to N. If PSTAT is set to C01 before the ELG-RD date, it is a presumptive case.

Each application generates at least one record on the SSR. If there are two applications with the same month and year, they will be treated as one for processing. One record will have PSTAT of T50 (a false start) so it will be ignored for further processing.

To find the effective starting date for a person in current pay (PSTAT=C01, M01, or M02), it is necessary to look through previous COMP-HIST months for the month, which has a different PSTAT code.

N10 and N11 are no longer valid reasons for medical disability.

RACE	Race Code
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Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	No
Master Record Location:	INDIV-HDR
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates the race of applicant/recipient.

POSSIBLE VALUES

- A – Asian American, Pacific Islander (new as of 01/81)
- B – Black (not Hispanic)
- H – Hispanic (new as of 01/81)
- I – North American Indian or Alaskan native (new as of 01/81)
- N – Negro
- W – White (not Hispanic)
- O – Other
- U – Unknown

USAGE NOTES

This data element occurs once per person (up to three persons) per SSR record.

It is strongly suggested that researchers do not use the SSR to determine the race of beneficiaries for several reasons:

1. This coding scheme has been used when capturing data from Social Security applications since January 1981. Prior to that time, there was no code for Asian (A), Hispanic (H), or Indian (I). No recoding has been done to make the old and new data compatible.
2. Also about 15 percent of persons on the SSR are coded U (Unknown). Because states do not pass SSA race data under the enumeration at birth process, about 10 percent of children age 9 through 17 and 59 percent of children under age 9 are coded U (unknown).

3. Older persons receiving SSI on or before 1979, the SSR race code is U because the data was lost when the Numident file was computerized. The MEF has race data for these persons.
4. When an SSR record is created, the Numident race code is posted to the SSR. It is not updated after that time.

RCD-EST-JD	Record Establishment Date
-------------------	----------------------------------

Type:	Packed 4
Data Format:	Date: CCYYMMDD
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	
Master Record Location:	INDIV-HDR
Related Data Elements:	EST-PD

DESCRIPTION AND PURPOSE

This data element indicates the date the record was established on the SSR. Whenever certain events occur affecting household unit structure (e.g., marriage), a new record is generated. Therefore, there may be several SSR records for each application. Subsequent applications result in still more SSR records. Generating new records for a given application was quite common, but with increased computer capability is now more rare. The RCD-EST-JD is always present.

POSSIBLE VALUES

CCYYMMDD - Year, month and day of record establishment

USAGE NOTES

There are four circumstances that result in a new record on the SSR.

- When an ineligible person (as person 2 or 3 in the HUN) subsequently files and is approved (or denied) benefits the old record is terminated and a new one created. (This is because the record cannot be converted.)
- When two people get married, a new record will be added that includes both.
- When a parent joins an eligible child's household.
- When record limits are reached and more space is needed (e.g., comp history data are full), a new record is created as a continuation.

CER Format- CCYYMMDD (on files selected 06/98 or later).

This data element is EST-PD on extract files selected 05/98 or earlier.

This data element is often the same as APPL-JD.

Each record has a RCD-EST-JD.

It is used as a key identifier along with HUN and PAN.

REPCUS Representative Payee Custody Code

Type:	Character 3
Data Format:	
Repeating:	Yes
Cleared:	
Reset:	
Edited:	
Master Record Location:	VDG5-REPPAY
Related Data Elements:	REPPAYTYP

DESCRIPTION AND PURPOSE

This data element shows who has custody of the recipient. If the payee has custody, then 'REPPAYTYP' = 'PYE'.

POSSIBLE VALUES

See REPPAYTYP for codes

USAGE NOTES

This data element occurs once for each SSR PAN who has a representative payee. On a CER extract, the most recent data are kept.

REPGC	Representative Payee Guardian/Competency Code
--------------	--

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	
Master Record Location:	VDG5-REPPAY
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates a combination of presence of a guardian and payee's competency status.

POSSIBLE VALUES

- A Recipient is competent and payee is legal guardian.
- B Recipient is competent and there is no legal guardian.
- C Recipient is competent and legal guardian is someone other than the payee.
- D Recipient is incompetent and payee is legal guardian.
- E Recipient is incompetent and there is no legal guardian.
- F Recipient is incompetent and legal guardian is someone other than the payee.

USAGE NOTES

This data element occurs once for each SSR PAN who has a representative payee. On a CER extract, the most recent data are kept.

REPPAYTYP	Representative Payee Type
------------------	----------------------------------

Type:	Character 3
Data Format:	
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	
Master Record Location:	VDG5-REPPAY
Related Data Elements:	REPCUS

DESCRIPTION AND PURPOSE

This data element shows who the representative payee is where one exists. If one does not exist, code will be SEL or blank.

The rationale for this field is the need for periodic reviews of payees.

POSSIBLE VALUES

blank – not applicable or unknown
 SEL – Beneficiary is his own payee (self)
 SPO – Spouse
 FTH – Natural or adoptive father
 MTH – Natural or adoptive mother
 SFT – Stepfather
 SMT – Stepmother
 GPR – Grandparent
 CHD – Natural or adoptive child or stepchild
 ESP – Essential person
 INP – Legally incompetent, but no representative payee
 (conversion cases, only)
 REL – Other relative
 FDO – Federal non-mental institution
 FDM – Federal mental institution
 SLO – State/local non-mental institution
 SLM – State/local mental institution
 PRO – Proprietary non-mental institution
 PRM – Proprietary mental institution
 NPO – Non-profit non-mental institution
 NPM – Non-profit mental institution
 FIN – Financial organization
 AGY – Social agency
 OFF – Public official
 OTH – Other

RPD – Payee being developed
PYE – Payee has custody

USAGE NOTES

This data element occurs once for each SSR PAN who has a representative payee.

Persons with blank, 'SEL' or 'RPD' in this field do not have a payee representative payee.

On a CER extract, the most recent data are kept.

RESCAR Resource-Car

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	
Master Record Location:	INDIV-HDR
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates whether the recipient owns a vehicle.

POSSIBLE VALUES

- Blank – Not determined
- B – Recipient owns motor vehicle not to be disposed of
- K – Car to be disposed of
- Z – None
- G – Unverified resource

USAGE NOTES

Usually, a car is excluded if it is used for transportation to work, for transporting a handicapped individual, used for getting medical treatment, or for distance, climate, or terrain, which affect necessary daily activities. Otherwise, an auto is excluded up to a current market value of \$4,500.

Resource data elements on the SSR indicate the presence of resources but not their value. Without dollar values, it is not possible to know whether these assets are excluded from qualification for SSI benefits. The MSSICS system maintains the values and further details on resources and is used to determine eligibility for SSI.

This data element is present on the REMICS extract file.

RESHOU Resource-House

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	
Master Record Location:	INDIV-HDR
Related Data Elements:	LIVF

DESCRIPTION AND PURPOSE

This data element indicates whether the recipient owns a house.

POSSIBLE VALUES

Blank – not determined

A – Recipient owns and lives in a house that is not to be disposed of

F – Unverified value– (obsolete)

J – Recipient owns house to be disposed of- (obsolete)

S – Equity in property

T – House and equity in property

Z – None

* – Initial claims exception

USAGE NOTES

Type A, own house, is excluded for SSI eligibility purposes.

This data element is used to verify the value of the home to be excluded. Currently the house may be of any value.

Type S applies to a non-excluded property that is expected to increase in value.

A house that must be sold to be eligible for SSI must be sold within nine months. During this time, one may receive conditional benefits.

Resource data elements on the SSR indicate the presence of resources but not their value. Without dollar values, it is not possible to know whether these assets are excluded from qualification for SSI benefits. The MSSICS system maintains the values and further details on resources and is used to determine eligibility for SSI.

This data element is present on the REMICS extract file.

RESINC	Resource-Income Producing Property
---------------	---

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	
Master Record Location:	INDIV-HDR
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates whether the recipient owns income-producing property.

POSSIBLE VALUES

M – Recipient owns income-producing property to be disposed of
 O – Under/over limit
 D – Income producing property not to be disposed of
 Z – None

USAGE NOTES

This includes real or personal property. Usually, it is property used in a trade or business such as a computer, plumbers tools, or a farm and farm equipment. It is considered essential to self-support.

This is not subject to any limit on the value of the exclusion.

A plot for growing vegetables or livestock for personal consumption is excluded up to \$6000 equity value. Rental property must be producing at least a 6 percent rate of return to be excluded and is limited to \$6,000 in value.

Resource data elements on the SSR indicate the presence of resources but not their value. Without dollar values, it is not possible to know whether these assets are excluded from qualification for SSI benefits. The MSSICS system maintains the values and further details on resources and is used to determine eligibility for SSI.

This data element is present on the REMICS extract file.

RESINS	Resource-Insurance
---------------	---------------------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	
Master Record Location:	INDIV-HDR
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates whether the recipient owns life insurance.

POSSIBLE VALUES

Blank – Not determined
 C – Recipient owns life insurance not to be disposed of
 L – Life insurance to be disposed of
 Z – None
 H – Unverified resource – alleged value to be determined

USAGE NOTES

The face value of all insurance policies is excluded to a limit of \$1500.

Employer provided and other term insurance is generally not counted because there is no face value.

Resource data elements on the SSR indicate the presence of resources but not their value. Without dollar values, it is not possible to know whether these assets are excluded from qualification for SSI benefits. The MSSICS system maintains the values and further details on resources and is used to determine eligibility for SSI.

This data element is present on the REMICS extract file.

RESOTH Resource-Other

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	
Master Record Location:	INDIV-HDR
Related Data Elements:	PSTAT

DESCRIPTION AND PURPOSE

This data element indicates whether the recipient owns other resources.

POSSIBLE VALUES

- Blank – not determined
- E – Recipient owns other resources over the allowable limits. Must be accompanied by a denial code (DENCDE=N04 or DENCDE=blank and PSTAT=N04).
- N – Agreement to dispose of other resources that are over the allowable limits
- Z – None

USAGE NOTES

Other income includes bank accounts, savings bonds, countable personal property (e.g., jewelry, a piano, stamp collection), non-home real property, or a second car.

Resource data elements on the SSR indicate the presence of resources but not their value. Without dollar values, it is not possible to know whether these assets are excluded from qualification for SSI benefits. The MSSICS system maintains the values and further details on resources and is used to determine eligibility for SSI.

This data element is present on the REMICS extract file.

SEL-DATE File Selection Date

Type:	Character 8
Data Format:	Date: CCYYMMDD
Repeating:	No
Cleared:	No
Reset:	No
Edited:	No
Master Record Location:	Derived
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates the date the extract file was drawn from the SSR master file. This is not an SSR data element.

When computing ages, use date of birth (from the Numident) and SEL-DATE to derive the ages for individuals. This date is also important both as a check of how the file was put together so that you can determine the month that applies to the payment due fields (FEDAMT/SUPAMT and FEDAMT-CUR/SUPAMT-CUR) and of the actual payment fields (FEDPMT/STATPMT).

POSSIBLE VALUES

CCYYMMDD - Year, month and day of extract

USAGE NOTES

This data element is present on the CER, REMICS, Longitudinal, and Address extracts.

CER Format- YYMM (on files selected 05/98 or earlier).

SEX	Sex Code
------------	-----------------

Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	
Master Record Location:	INDIV-HDR
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates the gender of beneficiary.

The rationale of this field is that it facilitates positive identification of the individual. This is required for matching records in data exchange activities and for policy evaluation profiles.

POSSIBLE VALUES

U – Unknown
 F – Female
 M – Male
 * – Data transmitted in error

USAGE NOTES

This data element occurs once per person (up to three persons) per SSR record.

STALE-RCD-IND	Inactive Record Indicator
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Type:	Character 1
Data Format:	
Repeating:	No
Cleared:	No
Reset:	Yes
Edited:	
Master Record Location:	CASE
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element is used to indicate the presence of a stale file record in the active SSI master file.

POSSIBLE VALUES

- 0 – Current record
- 1 – Stale file record sometimes paid
- 2 – Stale file record never paid

USAGE NOTES

After three, six, or 12 months of no activity this field is set to '1' or '2'.

The REMICS extract file takes no stale records.

At one time, there was a 'stale' file and an 'active' file. Now, there is only one file and this field flags the stale records. Stale means that the account has not been paid for a certain period of time and has been terminated. (PSTAT=T31 or T51).

START-RD Earliest Computation Date

Type:	Binary 2, months rel 01/74
Data Format:	CCYYMM
Repeating:	No
Cleared:	No
Reset:	
Edited:	
Master Record Location:	CASE
Related Data Elements:	ELG-RD, PSTAT

DESCRIPTION AND PURPOSE

This data element indicates the effective month and year of beginning of payments using this record.

The rationale for this field is that the system must be able to start computation using a date different than date of eligibility.

POSSIBLE VALUES

CCYYMM – Year and month of payments

USAGE NOTES

If there is no value in START-RD then ELG-RD is the earliest computation month.

This is set by the field office independently of the information held in ELG-RD.

This data element only has data if an earlier record exists for the HUN. Typically, this is added to the record when there is a change in personal circumstances such as marriage or separation of a couple.

This is usually present on second records when the old record is a PSTAT of T30 or T33 and a new record has been created. The purpose is to block system-generated payments on months before this date. It is important when there is more than one record for a beneficiary, an old and a new. Benefits before this date were paid on the old record so payments on the new record should begin with START-RD.

STATPMT State Money Paid Amount

Type:	Packed 4
Data Format:	Money-\$\$\$\$cc only
Repeating:	Yes
Cleared:	No
Reset:	No
Edited:	No
Master Record Location:	VDG9-PAYHIST
Related Data Elements:	FEDPMT, SUPAMT, PAYFLG1, PAYFLG2

DESCRIPTION AND PURPOSE

This data element indicates the amount of federally-administered state money paid in a given month. This applies to persons who receive extra funds in addition to their SSI benefits because the state in which they live has allocated resources to assist the poor and/or disabled beyond that provided by the federal government. The additional funds are paid by SSA but are reimbursed by the states that authorized the payments.

STATPMT is the main source for counting state SSI recipients and to show payments.

It is also referred to as State Payment Amount.

POSSIBLE VALUES

\$0.00 - \$99999.99 monthly payment

USAGE NOTES

The main source for counting SSI recipients is FEDPMT and STATPMT. If either is greater than zero, the person is a recipient.

On an SSR record, there are up to 700 occurrences of STATPMT for each eligible person. These occurrences are reduced to one monthly dollar amount (\$\$\$\$) for CER extracts; the amount is the sum of state payments issued during that month. See PAYFLG1 and PAYFLG2 for more information.

Payment amounts in a record will not change in contrast to SUPAMT, which can change if new information is reported.

There are several reasons SUPAMT and STATPMT may differ on monthly amounts (also see page 256):

- SUPAMT was recomputed after STATPMT was set;
- STATPMT was decreased to recover an overpayment;
- STATPMT greater than SUPAMT because person is receiving payments under Goldberg/Kelly; or
- STATPMT was increased to cover underpayments (e.g., backpayments for new awardees).

Effective 09/99, the Longitudinal format captures STATPMT for every month since eligibility began.

STCONCATM State Concurrent Eligibility Indicator

Type:	Character 1
Data Format:	
Repeating:	Yes, monthly from 01/1974
Cleared:	Yes
Reset:	Yes
Edited:	Yes
Master Record Location:	VDG9-COMPHIST- CMNTH
Related Data Elements:	STOPACM

DESCRIPTION AND PURPOSE

The numeric values in this field identify state program categories for which the SSI recipient is eligible. The alpha values identify eligibility status for section 1619(a) payments. Section 1611 payments are due under regular SSI rules. Section 1619(a) payments are due under special rules for recipients who engage in substantial gainful activity (SGA).

POSSIBLE VALUES

- blank – not applicable
- 1 – Aged category of eligibility
- 2 – Blind category of eligibility
- 3 – Aged and blind categories of eligibility
- 4 – Disabled category of eligibility
- 5 – Aged and disabled categories of eligibility
- 6 – Blind and disabled categories of eligibility
- 7 – Aged, blind, and disabled categories of eligibility
- D – Disabled, special section 1619(a) payment due
- E – Aged and disabled, special 1619(a) payment due
- F – Blind and disabled, special 1619(a) payment due
- G – Aged, blind, and disabled, special 1619(a) payment due
- H – Disabled and SGA involvement, no 1619(a) payment due
- J – Aged, disabled and SGA involvement, no payment due under 1619(a)
- K – Blind, disabled and SGA involvement, no payment due under 1619(a)
- L – Aged, blind, and disabled and SGA involvement
- M – Disabled, prerequisite month for 1619(a) eligibility (1611 payment due)
- N – Aged and disabled, prerequisite month for 1619(a) eligibility (1611 payment due)

- O – Blind and disabled, prerequisite month for 1619(a) eligibility (1611 payment due)
- P – Aged, blind, and disabled, prerequisite month for 1619(a) eligibility (1611 payment due)
- Q – Disabled, prerequisite 1611 payment not made
- R – Aged and disabled, prerequisite 1611 payment not made
- S – Blind and disabled, prerequisite 1611 payment not made
- W – Disabled, PSTAT S05 applies, SGA decision required
- X – Aged and disabled, PSTAT S05 applies, SGA decision required.
- Y – Blind and disabled, PSTAT S05 applies, SGA decision required.
- Z – Aged, blind and disabled, PSTAT S05 applies, SGA decision required.

USAGE NOTES

On an SSR record, this field occurs up to 336 times for each eligible person. These occurrences correspond to every month since eligibility began.

A STCONCATM code of D, E, F, or G and a PSTAT = C01/M01/M02 indicate that the person is eligible for payments for the next month under section 1619(a). Section 1611 beneficiaries receive general SSI benefits. Section 1619(a) recipients have Substantial Gainful Activity (SGA) but still get SSI benefits.

Persons are eligible for supplemental payments because of old age and/or disabilities. Whichever qualification provides the higher benefit is selected and given in STOPACM. This is for federally-administered state supplement as supplied from State Data Exchange information.

When a CER extract is created, this data element gets the value for the month that is one more than the SEL-DATE month.

STOPACM State Program Category Payment Code

Type:	Character 1
Data Format:	
Repeating:	Yes, monthly
Cleared:	Yes
Reset:	Yes
Edited:	Yes
Master Record Location:	VDG9-COMPHIST- CMNTH
Related Data Elements:	TOA, MFT, COMP- STAT-TOA, STCONCATM

DESCRIPTION AND PURPOSE

If the person is eligible for more than one program category under federally-administered state supplement, this field tells you what category the person was paid (i.e., the category that paid the higher state supplement from State Data Exchange information).

It is also referred to as State Optional Payment Code.

POSSIBLE VALUES

- blank – Not applicable
- 0 - No supplementation paid
- 1 - Paid in aged category
- 2 - Paid in blind category
- 4 - Paid in disabled category
- 8 - California couple, only one member blind (optional supplement)
- 9 - Mandatory supplement paid
- A - Optional supplement plus food stamp payment (paid as aged individual)
- B - Optional supplement plus food stamp payment (paid as blind individual)
- D - Optional supplement plus food stamp payment (paid as disabled individual)

USAGE NOTES

On an SSR record, this field occurs up to 336 times for each eligible person. These occurrences correspond to every month since eligibility began.

For residents of:	
California (first two positions of PDSCC, STSUPGP or STCONV = 05)	If STOPACM = 2 (paid in blind category), move “B” to first position of MFT and TOA.
Iowa (first two positions of PDSCC, STSUPGP or STCONV = 16)	Same as California.
Massachusetts (first two positions of PDSCC, STSUPGP or STCONV = 22)	If STOPACM = 2 (paid in blind category), move “B” to first position of MFT and TOA. If STOPACM = 1 (paid in aged category), move “A” to first position of MFT and TOA.
Nevada (first two positions of PDSCC, STSUPGP or STCONV = 29)	Same as Massachusetts.

Note: If you are working with any other SSI data file, you should follow the same logic to have STOPACM override the MFT and TOA codes.

When a CER extract is created, this data element gets the value for the month that is one more than the SEL-DATE month. On 1% and 10% CER files, the first position of MFT and TOA has already been changed to agree with STOPACM if the case meets the criteria above.

STSUPGP Residence State & County Code (monthly)

Type:	Numeric 5
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	Yes
Master Record Location:	VDG9-COMPHIST-CMNTH
Related Data Elements:	PDSCC

DESCRIPTION AND PURPOSE

This data element indicates the state and county code of residence. The first two digits are state code and the next three digits are county code. This data element is part of the comp history data group and is therefore repeated for every month. Should a person’s residence change, benefits may be affected.

POSSIBLE VALUES

The following is a list of the state codes:

Blank	Data not collected	29	Nevada
01	Alabama	30	New Hampshire
02	Alaska	31	New Jersey
03	Arizona	32	New Mexico
04	Arkansas	33	New York
05	California	34	North Carolina
06	Colorado	35	North Dakota
07	Connecticut	36	Ohio
08	Delaware	37	Oklahoma
09	District of Columbia	38	Oregon
10	Florida	39	Pennsylvania
11	Georgia	40	Puerto Rico
12	Hawaii	41	Rhode Island
13	Idaho	42	South Carolina
14	Illinois	43	South Dakota
15	Indiana	44	Tennessee
16	Iowa	45	Texas
17	Kansas	46	Utah
18	Kentucky	47	Vermont
19	Louisiana	48	Virgin Islands
20	Maine	49	Virginia

21	Maryland	50	Washington
22	Massachusetts	51	West Virginia
23	Michigan	52	Wisconsin
24	Minnesota	53	Wyoming
25	Mississippi	97	Northern Mariana Islands
26	Missouri	98	Guam
27	Montana	99	American Samoa
28	Nebraska		

USAGE NOTES

On an SSR record, this field occurs up to 336 times for each eligible person. These occurrences correspond to every month since eligibility began.

This is a composite of two fields. STSUP is a two-digit state code and COSUP is a three-digit county code.

When a CER extract is created, this data element gets the value for the month that is one more than the SEL-DATE month.

This value may be different across the months of data. PDSCC is overwritten when changes are made and only holds the current month's state residence.

The value here is the same as the data element PDSCC on CER extracts. It is not in longitudinal extracts. See PDSCC for more details.

For a list of state and county codes, see Appendix A (page 537).

STUDM	Student Indicator
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Type:	Character 1
Data Format:	
Repeating:	Yes
Cleared:	No
Reset:	Yes
Edited:	
Master Record Location:	VDG9-COMPHIST- CMNTH
Related Data Elements:	IETYP

DESCRIPTION AND PURPOSE

This data element indicates whether a recipient who is under age 22 is a student.

POSSIBLE VALUES

Y – Is a student
N – Not a student

USAGE NOTES

On an SSR record, this field occurs up to 336 times for each eligible person. These occurrences correspond to every month since eligibility began.

A special earned income exclusion (IETYP=B) applies to students who work through the month they attain age 22.

When a CER extract is created, this data element gets the value for the month that is one more than the SEL-DATE month.

SUPAMT State Money Due Amount

Type:	Packed 3
Data Format:	Money-\$\$\$cc
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	No
Master Record Location:	VDG9-COMPHIST- CMNTH
Related Data Elements:	FEDAMT, STATPMT

DESCRIPTION AND PURPOSE

This data element indicates the actual computed monthly amount of federally-administered state money the person is eligible to receive. It does not include back payments. It is always equal to or lower than the maximum state rate of supplementation. STATPMT is taken from this field.

This applies to persons who receive extra funds in addition to their SSI benefits because the state in which they live has allocated resources to assist the poor and/or disabled beyond that provided by the federal government. The additional funds are paid by SSA but are reimbursed by the states that authorized the payments. This only applies for states with federally-administered state supplementation.

It is also referred to as State Computational Amount.

POSSIBLE VALUES

\$0.00 - \$999.99 monthly state money paid

USAGE NOTES

On an SSR record, this field occurs up to 336 times for each eligible person. These occurrences correspond to every month since eligibility began.

There are several reasons SUPAMT and STATPMT may differ on monthly amounts:

- SUPAMT was recomputed after STATPMT was set
- STATPMT was decreased to recover an overpayment;

- STATPMT greater than SUPAMT because person is receiving payments under Goldberg-Kelly
- STATPMT was increased to cover underpayments (e.g., backpayments for new awardees)

When extract files, except the longitudinal, are created, this data element gets the value for the month that is one more than the SEL-DATE month. The longitudinal format extracts capture the State amount due and paid for every month since eligibility began. The CER extract also has a field with the name SUPAMT-CUR that shows the dollar amount due for the current month of file selection (SEL-DATE).

TOA	Type of Action
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Type:	Character 2
Data Format:	
Repeating:	Yes, up to 5 cases
Cleared:	No
Reset:	No
Edited:	
Master Record Location:	CASE
Related Data Elements:	COMP-STAT-TOA, MFT, STOPACM, PSTAT, RCD-EST-JD

DESCRIPTION AND PURPOSE

This data element describes the initial program category (aged, disabled, or blind) and the household composition of the eligible person at the time the record was established (RCD-EST-JD).

Also referred to as Program Category/Household Structure.

POSSIBLE VALUES

Blank - original application, use TOA

- AI – Aged individual
- AX– Aged individual with ineligible spouse
- AE– Aged individual with eligible spouse
- BI – Blind individual
- BX– Blind individual with ineligible spouse
- BE – Blind individual with eligible spouse
- DE– Disabled individual with eligible spouse
- DI – Disabled individual
- DX– Disabled individual with ineligible spouse
- BC – Blind child
- BM– Blind child living with mother
- BF – Blind child living with father
- BB – Blind child living with both parents
- DC– Disabled child
- DM– Disabled child living with mother
- DF – Disabled child living with father
- DB– Disabled child living with both parents
- ES – Eligible individual awaiting spouse

- 20 – Initially denied record terminated when record is established for new application. (Record with TOA equal to 20 are not present on the One Percent or Ten Percent CER person files, but they may be on other SSI data files.)

See Possible Values in DSMA50 01-02

USAGE NOTES

TOA correctly describes the recipient category if COMP-STAT-TOA is blank. If COMP-STAT-TOA has a value, it will be more current than TOA and should be used instead. However, when the 1 percent and 10 percent original and person files are created, the TOA is updated using STOPACM.

If TOA is a child category, then the application for benefits was filed when the eligible person was under 18. When the eligible person turns 18 the program category will change to an equivalent adult code (e.g., DC changes to DI or BC changes to BI). At this time, COMP-STAT-TOA will be set and will show an adult code, even though the TOA will still show a child. MFT will also hold an old code.

COMP-STAT-TOA will also be set when a married person dies. COMP-STAT-TOA will have a code for an individual, and MFT and TOA will still show a couple.

If the TOA is equal to 20, this is a terminated record, and both DENCDE and PSTAT will have a code that begins with an N.

UINCM	Unearned Income Chargeable Amount
--------------	--

Type:	Packed 4
Data Format:	Money-\$\$\$\$cc
Repeating:	Yes
Cleared:	Yes
Reset:	Yes
Edited:	No
Master Record Location:	VDG9-COMPHIST-CMNT
Related Data Elements:	EINCM, IUETYP, IUEAMT

DESCRIPTION AND PURPOSE

This data element indicates the amount of unearned income chargeable for the next month after deducting the \$20 disregard. UINCM is used to compute payments.

The rationale for this field is that the chargeable unearned income is retained by month for ease in reconstructing the computation

POSSIBLE VALUES

\$0.00 - \$9999.99 monthly dollar amount

USAGE NOTES

On an SSR record, this field occurs up to 336 times for each eligible person. These occurrences correspond to every month since eligibility began.

When a CER extract is created, this data element gets the value for the month that is one more than the SEL-DATE month. The value is whole dollars only.

The standard exclusions are:

- If only unearned income, take \$20 from the unearned;
- If also earned income, take \$20 from the unearned and \$65 from the earned.

6.3. SSR-Based Extracts

This section discusses the most widely used recurring SSR extracts: purpose, selection criteria, file characteristics, and record format. The following extract files are documented:

	Extract	Page
6.3.1	One Percent CER Person File	385
6.3.2	Ten Percent CER Person File.....	385
6.3.3	One Percent CER Original File.....	385
6.3.4	Ten Percent CER Original File	385
6.3.5	One Percent Full SSR File	386
6.3.6	Ten Percent Full SSR File.....	386
6.3.7	One Percent Longitudinal File	386
6.3.8	100 Percent Universe File	386
6.3.9	REMICS File.....	387

Most extracts follow one of two record formats: the Characteristic Extract Record (CER) or the Longitudinal Record Format (LRF). There is a third format, the Household Address Format. It contains a different subset of data elements and may be obtained using a finders file; it is not used in any recurring extract. The extract descriptions indicate each extract's record format. These record formats imply certain subsets of data elements; see Section 6.4.

Researchers who need information that is not available on any recurring extract may request a custom extract. Custom extracts may be CER- or LRF-formatted; see Section 6.4 for a specification of those record formats.

Introduction

The SSR file of data on SSI beneficiaries may have one, two, or three individuals on a single record. If there is more than one person, the other person(s) must be the husband/wife, parent of a child, or an essential person. When an extract is made from the SSR, these persons are usually separated onto person-level records. The SSN of the individual, the PAN, and the SSN of the primary beneficiary, the HUN, are kept from the original record. If the PAN is equal to the HUN, then this person was the primary of the original record.

There may also be multiple records for a given SSN. This may be the result of many causes, including marriage, divorce, and other changes in living arrangements. Such changes induce the termination of prior records and the creation of new records with information on the same individual(s). The only extracts limited to one record per PAN are the One Percent or Ten Percent Monthly Person files in the CER and Address format. For all other extracts,

including the LRF, a person may have more than one record. In the future it will be possible to obtain extracts in the LRF format that contain (a) one record per application or (b) one record per PAN.

Because of the hierarchical nature of the SSR file, certain data elements have somewhat different meanings on person-level extracts. Many of these differences are explained throughout this chapter as in usage notes for the affected data elements. For example, the data element TOA describes the eligibility basis for the first eligible individual on the record and that individual's household composition. Possible selections are aged individual with ineligible spouse, blind individual with eligible spouse, etc. When a CER-formatted file is created, the data element TOA is given to all persons from the HUN unit. In other words, the blind person and his eligible spouse will both have the same value in their records for the TOA field, even though the spouse may be eligible because of another type of disability or because of old age.

6.3.1. One Percent CER Person File

This monthly file is useful for obtaining large counts but it is not a good tool for doing estimates on a State basis. It is formatted in CER format; see Subsection 6.4.1 (page 395).

6.3.2. Ten Percent CER Person File

This monthly file is similar to the One Percent CER Person File, but contains ten times as many records. It is therefore better suited for analyses by geographical unit, such as state-level analyses. All PANs in the One Percent CER Person File are included on the Ten Percent file. It is formatted in CER format; see Subsection 6.4.1 (page 395).

6.3.3. One Percent CER Original File

This monthly file includes all records, including terminated ones, for people in the HUN unit where the unit contains a person who meets the one percent PAN selection criteria. Therefore, this file contains one percent of couples and one percent of individuals. The file is sorted in PAN sequence. It is formatted in CER format; see Subsection 6.4.1 (page 395).

6.3.4. Ten Percent CER Original File

This monthly file is similar to the One Percent CER Original File, but contains ten times as many records. It is therefore better suited for analyses by geographical

unit, such as state-level analyses. All PANs in the One Percent CER Original File are included on the Ten Percent file. It is formatted in CER format; see Subsection 6.4.1 (page 395)..

6.3.5. One Percent Full SSR File

This monthly file is the same as the 1% CER Original file except that this file contains all data elements on the SSR for each record, sorted in PAN sequence.

6.3.6. Ten Percent Full SSR File

This monthly file is similar to the One Percent Full SSR File, but contains ten times as many records. It is therefore better suited for analyses by geographical unit, such as state-level analyses. All PANs in the One Percent Full SSR File are included on the Ten Percent file.

6.3.7. One Percent Longitudinal File

This file contains all records for persons who meet the 1% PAN selection criteria. It is extracted twice per year, in June and December. It is sorted in PAN sequence. This file is formatted in LRF format; see Subsection 6.4.2, page 401.

6.3.8. 100 Percent Universe File

This semi-annual file contains a limited number of data elements for all records on the SSR, is selected in June and December, and is sorted in PAN sequence. This file is particularly useful as a first step of custom extraction. It allows identifying all cases of a particular type (e.g., SSI recipients with a representative payee). Then the finder process may be used to pull the selected SSR records in a CER or LRF format.

	Description	Format	Loc'n	Size
HUN	Housed Under Number		1	9
PAN	Social Security Number		10	9
TOA	Transaction Code		19	2
COMP-STAT-TOA	Computation Status-TOA		21	2
MFT	Master File Type		23	2
RCD-EST-JD	Record Establishment Date	CCYYMMDD	25	8
BIRTH-JD	Date of Birth	CCYYMMDD	33	8
DEATH-JD	Date of Death	CCYYMMDD	41	8
LAF	MBR Ledger Account File Code		49	2

	Description	Format	Loc'n	Size
SEX	Sex		51	1
APPL-JD	Application Date	CCYYMMDD	52	8
DIBDIG	Primary Disability Diagnosis Code		60	4
PDSCC	Residence State/County/DO Code	SSCCCD	64	6
ZIP*	ZIP Code		70	5
DO	District Office Code		75	3
REP-PAY-JD	Rep. Pay date of Selection/Change	CCYYMMDD	78	8
REPPAYTYP	Type of Payee		86	3
REP-GC	Guardian/Competency Code		89	1
REPCUS	Custody Code		90	3
STALE-RCD-IND	Stale Record Indicator		93	1
NOP-9	Number of Payment Entries		94	3
FEDPMT**	Federal Money Account	\$\$\$\$	97	5
STATPMT**	State Supplementation Amount	\$\$\$\$	102	5
PSTAT***	Payment Status Code		107	3
DRUGM***	Drug Addict or Alcoholic Ind.		110	1
FEDAMT***	Federal Assistance Amount	\$\$\$	111	3
SUPAMT***	Current Amount of State Supplement	\$\$\$	114	3
ELG-RD	Date of Eligibility Current	CCYYMM	117	6
ALIEN	Alien Refugee Indicator		123	1
ALIEN-RD	Alien's Residency Began	CCYYMM	124	6
ALIEN-CNTRY	Alien Country		130	2

6.3.9. REMICS File

Two monthly extract files are created in the REMICS format. The first, REMICS Merge File, contains all active SSR records (STALE-RCD-IND = 0 and PSTAT not Tx). The second REMICS format file, REMICS Current Pay File, is a subset of the former, containing only records with PSTAT=C01. This second file is used for several publications produced by ORES/DSSA. Both files are in PAN sequence and do not include records that have been terminated, records on which no payments were ever made, or ineligible persons.

Record layout for files extracted in or after December 1999:

	Description	Format	Loc'n	Size
ADVPAY	Advance Payment Amount	\$\$\$cc	1	5
ALIEN	Alien Refuge Indicator		6	1
ALIEN-RD	Alien Relative Data	relative date	7	3
ALIEN-SPON	Alien Sponsor		10	1
BIRTH-JD	Date of Birth	CCYYDDD	11	7

	Description	Format	Loc'n	Size
BMF	Budget Month Flag		18	1
DDDFPC	Direct Deposit Payment Code		19	1
DEEMCD	Deemed Indicator		20	1
DENCDE	Denial Code		21	3
DENIAL-JD	Denial Date	CCYYDDD	24	7
DIB-DIG	Primary Disability Diagnosis Code		31	4
DOBCEN	Date of Birth Century		35	1
EINCM-curr	Chargeable Earned Inc. Amt.	\$\$\$\$\$cc	36	6
ELG-RD	Date of Eligibility (current)	relative date	42	3
ESPER	Essential Person Indicator		45	1
FCI-CURR	Fed Countable Income	\$\$\$\$\$cc	46	7
FEDAMT-prior	Fed Assistance Amt.	\$\$\$cc	53	5
FEDAMT-curr	Fed Assistance Amt.	\$\$\$cc	58	5
FEDAMT-next	Fed Assistance Amt.	\$\$\$cc	63	5
FEDPMT-prior	Federal Money Amt.	\$\$\$\$\$\$\$\$cc	68	9
FEDPMT-curr	Federal Money Amt.	\$\$\$\$\$\$\$\$cc	77	9
FOR-LANG-NTC	Foreign Language Notice Ind.		86	1
FS-RECIPIENT	Food Stamps Recipient		87	1
FS-REQUEST	Food Stamps Request		88	1
IETYP	Earned Inc. Type (occurs 6 times)		89	1
	(Remaining 5 occurrences)		90	5
IEAMT	Earned Inc. Amt. (occurs 6 times)	\$\$\$\$\$\$\$\$ccc	95	10
	(Remaining 5 occurrences)		105	50
IUETYP	Unearned Inc. Type (occurs 17 times)		155	1
	(Remaining 16 occurrences)		156	16
IUEAMT	Unearned Inc. Amt. (occurs 17 times)	\$\$\$\$\$\$\$\$ccc	172	10
	(Remaining 16 occurrences)		182	160
LIVF-curr	Living Arrangements Code		342	1
MEDIC	Medicaid Eligibility Code		343	1
MEDTEST-curr	Medical & Soc. Serv. Inc Test		344	1
MFT	Master File Type		345	2
MILITARY	Military Service Indicator		347	1
MINL	Mandatory Supp. Amt.	\$\$\$cc	348	5
MSM	MS Indicator		353	1
OSCD	Optional State Supp. Code		354	1
PAN	Social Security Number		355	9

	Description	Format	Loc'n	Size
PAN-IS	Social Security Number - Inelg. Spouse		364	9
PAN-S	Social Security Number – Spouse		373	9
PAYHST-JD	Date of Payment	CCYYDDD	382	7
PAYFLG1	Pay Flag 1		389	1
PAYFLG2	Pay Flag 2		390	1
PAYSC	Payment State Code		391	2
Filler	Filler		393	3
PL-CDE	Appeals Code		396	1
PL-APPEAL-JD	Appeals Date	CCYYDDD	397	7
PSTAT-prior	Payment Status Code		404	3
PSTAT-curr	Payment Status Code		407	3
RACE	Race		410	1
RCD-SOURCE	Record Source Code		411	1
RESCAR	Resource - Car		412	1
RESHOU	Resource - House		413	1
RESINC	Resource - Income		414	1
RESINS	Resource - Insurance		415	1
RESOTH	Resource - Other		416	1
SEX	Sex		417	1
START-RD	Earliest Computation Date	relative date	418	3
STATPMT-prior	State Supp Amt.	\$\$\$\$\$\$cc	421	9
STATPMT-curr	State Supp Amt.	\$\$\$\$\$\$cc	430	9
STCOCNV	State & County Conversion Code	SSCCC	439	5
STCONCAT M	State Concurrent Elig. Ind.		444	1
STOPACM	State Optional Payment Code		445	1
SUPAMT-prior	Current Amt. of State Supp.	\$\$\$cc	446	5
SUPAMT-curr	Current Amt. of State Supp.	\$\$\$cc	451	5
SUPAMT-next	Current Amt. of State Supp.	\$\$\$cc	456	5
TOA	Transaction Code		461	2
UINCM-curr	Chargeable Unearned Inc. Amt.	\$\$\$\$cc	463	6
VR-CODE	Vocational Rehabilitation Code		469	1
WELF	Welfare Ind.		470	1
NOP-9	Number of Payment Entries Present		471	3
REM-TYP	REM - Type		474	1
REM-RS	REM - Race / Sex		475	3
REM-AGE	REM - Age		478	3

	Description	Format	Loc'n	Size
REGCDE	REM - Code		481	2
REM-COUP-IND	REM - Couple Ind		483	1
C-EINCM-O	Couple Chargeable Earned Inc Amt.	\$\$\$\$\$\$cc	484	9
C-UINCM-O	Couple Chargeable Unearn Inc Amt.	\$\$\$\$\$\$cc	493	9
C-IEAMT-O	Couple Earned Inc Amt. (occurs 6 times)	\$\$\$\$\$\$ccc	502	10
	(Remaining 5 occurrences)		512	50
C-IUEAMT-O	Couple Unearned Inc Amt. (occurs 17 times)	\$\$\$\$\$\$ccc	562	10
	(Remaining 16 occurrences)		572	160
C-FEDAMT-O	Couple Fed. Assistance Amt.	\$\$\$cc	732	5
C-FEDPMT-O	Couple Fed. Money Amt.	\$\$\$\$\$\$cc	737	9
C-STATPMT-O	Couple State Supp Amt.	\$\$\$\$\$\$cc	746	9
C-SUPAMT-O	Couple Current Amt. State Supp	\$\$\$cc	755	5
CPARAGE-O	Couple Parent Age - oldest		760	3
HUN	Housed Under Number		763	9
APPL-JD	Application Date	CCYYMMDD	772	8
RCD-EST-JD	Record Establishment Date	CCYYMMDD	780	8
CLM-FIL-JD	Date Claim Filed	CCYYMMDD	788	8
PSTAT-next	Payment Status Code		796	3
DO	District Office Code		799	3
X-MITNG-DO	Transmitting DO Code		802	3
REPPAYTYP	Type of Payee		805	3
AAZIP	Applicant's Address ZIP Code		808	5
AAZIP6-9	Applicant's Address ZIP Code Suffix		813	4
PDZIP	Payee's ZIP Code		817	5
PDZIP6-9	Payee's ZIP Code Suffix		822	4
SEL-DATE	Date of Selection	CCYYMM	826	6
PDSCC	Residence State & County & Serv. DO	SSCCCD	832	6
-	Filler		838	15

Notes:

- prior, curr, next refer to month;
- SEL-DATE is the date of the file, and the 'CURR' month;
- Relative date is number of months from January 1974.

6.4. SSR-Based Record Formats

The creation of most SSA extracts begins with a finder process, which returns the full SSR record for a fixed set of SSNs. The full SSR record, also known as the “spread record,” is complex and rarely used directly. Instead, extracts are made in one of three more user-friendly formats: the Characteristic Extract Record (CER), the Longitudinal Record Format (LRF), or the Household Address Format. Each format has its own strengths and its usefulness depends on the research objective. Their contents are subsets of the spread record data elements organized in a more accessible manner. Each of the finders-based extracts is discussed below.

SSR Characteristic Extract Record Format. The CER provides a cross-sectional, current image of the SSR at the time of extraction. See Section 6.4.1 for the full record layout.

SSR Longitudinal Record Format. The longitudinal format contains monthly eligibility and payment history for each record, back as far as the beginning of the SSI program. Therefore, the format provides a retrospective look at the recipient’s benefit amounts. The file’s history data can be used to determine length of stay on SSI. This format has several drawbacks. First, eligibility history can be overlaid making it difficult to reconstruct specific events. Also, the longitudinal file would not be useful for identifying new awards prior to and after a specific point in time. See Section 6.4.2 for the full record layout.

SSR Household Address Format. The address format includes mailing and residence address data. See Section 6.4.3 for the full record layout.

The REMICS files are also subsets of the SSR data elements but are generated independently from the finder process. There are several SSR data elements included in this user’s manual that are not found on any recurring extracts except the REMICS. The REMICS contains more data elements from the SSR than any other extract.

The table below replicates the list of SSR data elements listed in the SSR data dictionary above and shows in which of the selected SSR record formats and extracts the elements appear.

SSR Data Elements by Record Formats

	Description	CER	LRF	Address	REMICS
8080-JD	8080 Date	*	*	*	*
AA-ZIP	Applicants Address ZIP Code	*	*	*	*
ALIEN	Alien Data Citizenship/Alien Code	*	*		*
ALIEN-CNTRY	Alien Country	*			*
ALIEN-RD	Alien Relative Date	*	*		*
ALIEN-SPON	Alien Sponsor	*			*
APPL-JD	Application Date	*	*	*	*
CLM-FIL-JD	Date Claim Filed	*	*	*	*
COMP-STAT-TOA	Comp. Status Type of Action	*	*	*	*
DDDPC	Direct Deposit Payment Code				*
DEEMAMT	Deemed Income Amount for the Quarter	*			*
DENCDE	Denial Code	*	*		*
DENIAL-JD	Date of Denial	*	*	*	*
DIBDIG	Primary Disability Diagnostic Code	*	*		*
DIBDIG2	Secondary Disability Diagnostic Code	*	*		*
DIS-ONST-JD	Disability Onset Date	*			*
DISPAYCDE	Disability Payment Code	*	*		*
DO	District Office Code	*	*	*	*
DOB	Date of Birth	*	*	*	*
DOD	Date of Death of Primary	*	*	*	*
EINCM	Chargeable Earned Income Amount	*	*		*
ELG-RD	Date of Current Eligibility	*	*	*	*
ESPER	Essential Person Indicator	*			*
FEDAMT	Federal Assistance Amount	*	*	*	*
FEDPMT	Federal Money Amount	*	*		*
FIRST-PAY-DATE	First Payment Date	*	*		
HUN	Account Number	*	*	*	*
IEAMT	Earned Income Amount	*			*
IEFRQ	Earned Income Frequency	*			*
IESTART-RD	Earned Income Start Date	*			*
IETYP	Earned Income Type Code	*			*
IUEAMT	Unearned Income Amount	*		*	*
IUEFRQ	Unearned Income Frequency	*			*

6.4. SSR-Based Record Formats

	Description	CER	LRF	Address	REMICS
IUESTART-RD	Unearned Income Start Date	*			*
IUETYP	Unearned Income Type Code	*		*	*
LAF	Ledger Account File	*	*	*	*
LIVF	Living Arrangements Code	*	*	*	*
MFT	Master File Type Code	*	*	*	*
NOP-9	Number of Payment Entries Present	*	*	*	*
OSCD	Optional State Supplementation Code	*	*	*	*
PAN	Person Account Number	*	*	*	*
PAYFLG1	Pay Flag 1				
PAYFLG2	Pay Flag 2				
PDSCC	Residence State & County & DO Code	*	*		*
PDZIP	Payee's ZIP Code	*	*		*
PL-APPEAL-JD	Appeals Date	*	*		*
PL-CDE	Appeals Code	*	*		*
PSTAT	Payment Status Code	*	*	*	*
RACE	Race of Account Number Holder	*	*	*	*
RCD-EST-JD	Record Establishment Date	*	*	*	*
REPCUS	Custody Code	*	*	*	*
REPGC	Guardian/Competency Code	*	*	*	*
REPPAYTYP	Type of Payee Code	*	*	*	*
RESCAR	Resource- CAR				*
RESHOU	Resource-House				*
RESINC	Resource-Income				*
RESINS	Resource-Insurance				*
RESOTH	Resource-Other				*
SEL-DATE	Date of File Selection (cut-off date)	*	*	*	*
SEX	Sex of Primary	*	*	*	*
STALE-RCD-IND	Stale Record Indicator	*	*	*	*
START-RD	Earliest Computation Date	*	*		*
STATPMT	State Supplementation Amount	*	*		*
STCONCATM	State Concurrent Eligibility Indicator	*	*		*
STOPACM	State Optional Payment Code	*			*
STSUPGP	State/County Supplementation Code	*			*
STUDM	Student Indicator	*			*

	Description	CER	LRF	Address	REMICS
SUPAMT	Current Amount of State Supplemental	*	*	*	*
TOA	Transaction Code	*	*	*	*
UINCM	Chargeable Unearned Income Amount	*	*		*

6.4.1. SSR Characteristic Extract Record (CER)

The record length is 936 characters.

	Description	Format	Loc'n	Size
RIC	Record Identification Code		1	1
TOA	Transaction Code (Type Of Action)		2	2
MFT	Master File Type Code		4	2
HUN	Housed Under Number		6	9
PAN	Social Security Number		15	9
PMTIND	Case Payment Indicator		24	1
MILI	MIL (min. income level) Indicator		25	1
ESPER	Essential Persons Indicator		26	1
STALE- RCD-IND	Stale Record Indicator (previously STALE-RCD-DT)		27	1
START-RD	Earliest Computation Date	CCYYMM	28	6
PL-CDE	Appeals Code		34	1
PL-APPEAL- JD	Appeals Date	CCYYMMDD	35	8
WIN- INTRFCE	Windfall Flag		43	1
AP-TYPE	Application Type		44	1
RED-NOE	No. of Redetermination Entries		45	2
COMP- STAT-TOA	Comp. Status Type Of Action (most current)		47	2
RED- LOWPROB	Redetermination Low Probability		49	1
PROFILE	Redetermination Profile		50	1
REDTYPE	Redetermination Type		51	1
RED-INIT- RD	Redetermination Initiation Date	CCYYMM	52	6
RED- COMPL-RD	Redetermination Completion Date	CCYYMM	58	6
PROF-SRCE	Redetermination Profile Source Code		64	2
RED- REGION	Redetermination Region Code		66	1
RED-AREA	Redetermination Area Office Code		67	1
CCHAR	Case Characteristics (Occurs 10 times)		68	4
	(Remaining 9 occurrences)		72	36
RCD-EST-JD	Record Establishment Date	CCYYMMDD	108	8
BIRTH-JD	Date Of Birth	CCYYMMDD	116	8
DEATH-JD	Date Of Death	CCYYMMDD	124	8
LAF	MBR - Ledger Account File Code		132	2
SEX	Sex		134	1

	Description	Format	Loc'n	Size
RACE	Race		135	1
APLNAM	Name Of Individual			
	First Name		136	10
	Middle Initial		146	1
	Last Name		147	19
IIR	Interface Income Recovery		166	1
IIRVA	Veterans Adm. Income Recovery Indicator		167	1
IIRCS	Civil Service Income Recovery Indicator		168	1
ELG-RD	Date Of Current Eligibility	CCYYMM	169	6
APPL-JD	Application Date (current)	CCYYMMDD	175	8
8080-JD	8080 Date	CCYYMMDD	183	8
X-MITTING-DO	Transmitting District Office Code		191	3
ALIEN-RD	Alien Relative Date	CCYYMM	194	6
ALIEN	Alien Data Citizenship/Alien Code		200	1
ALIEN-CNTRY	Alien Country		201	2
ALIEN-SPON	Alien Sponsor		203	1
DENCDE	Denial Code		204	3
DENIAL-JD	Date Of Denial	CCYYMMDD	207	8
FS-INTERVIEW-RD	Food Stamps Interview Date	CCYYMM	215	6
FS-RECIPIENT	Food Stamps Recipient		221	1
FS-REQUEST	Food Stamps Request		222	1
FOR-LANG-NTC	Foreign Language Notice Indicator		223	1
CLM-FIL-JD	Date Claim Filed	CCYYMMDD	224	8
FOR-LNG-CDE	Foreign Language Code		232	2
ALIEN-STAT-RD	Alien Status Attained Date	CCYYMM	234	6
ALIEN-EXC	Alien Eligibility Reason		240	1
ALIEN-EXC-START-RD	Alien Eligibility Start Date	CCYYMM	241	6
DISPAYCDE	Disability Payment Code		247	1
DIS-ONST-JD	Date Of Onset of Disability or Blindness	CCYYMMDD	248	8

6.4. SSR-Based Record Formats

	Description	Format	Loc'n	Size
DRUG-TREAT	Alcoholic - Drug Treatment Status		256	1
TWP-WRK-RD	Trial Work Period Months (occurs 9 times)	CCYYMM	257	6
	Remaining 8 occurrences		263	48
TWP-CDE	Trial Work Period Code		311	1
VR-CODE	Vocational Rehabilitation Code		312	1
EXTWPEND-RD	Ending Date Of Extended Trial Work Period	CCYYMM	313	6
MEDSERV-RD	Eligibility Status Effective Date	CCYYMM	319	6
MEDSERV-CDE	Medical And Social Services Eligibility		325	1
DIBDIG	Primary Disability Diagnostic Code		326	4
DIBDIG2	Secondary Disability Diagnostic Code		330	4
DIB-MDR	Medical Diary Reason		334	1
DIB-DPM	Permanent Disability Indicator		335	1
ZEBIND	Zebley Indicator		336	1
STCOCNV	State & County Code at Conversion		337	5
DDDPC	Direct Deposit Payment Code		342	1
PDSCC	Residence State & County & Servicing DO Code		343	6
PDZIP	Payee's ZIP Code		349	5
PDZIP6-9	Payee's ZIP Code Suffix		354	4
DO	District Office Code		358	3
	Current Earned Income (comp month data). Occurs 6 times for types S, W, C, D, T, N respectively. Multiple occurrences of any single type are summed into a single occurrence			
IETYP	Earned Income Type Code - S		361	1
IESTART-RD	Earned Income Start Date	CCYYMM	362	6
IEAMT	Earned Income Amount	\$\$\$\$cc	368	6
IEFRQ	Earned Income Frequency - occurs 6 times		374	1
	Remaining 5 occurrences		375	70
	Current Unearned Income (comp month data). Occurs 6 times for the first six types of unearned types encountered. If more than 6 types, the 6th occurrence is used to summarize the 6th through the nth type.			

	Description	Format	Loc'n	Size
IUETYP	Unearned Income Type Code		445	2
IUESTART-RD	Unearned Income Start Date	CCYYMM	447	6
IUEAMT	Unearned Income Amount	\$\$\$\$cc	453	6
IUEFRQ	Unearned Income Frequency		459	1
	Remaining 5 occurrences		460	75
IUEIDNO-A	Unearned Income Claim Or ID Number Type 'A'		535	12
IUEIDNO-H	Unearned Income Claim Or ID Number Type 'H'		547	12
DIACDE	Diary Code (Last 7 occurs)		559	2
	Remaining 6 occurrences		561	12
DIARY-JD	Diary Date (Last 7 occurs)	CCYYMMDD	573	8
	Remaining 6 occurrences		581	48
FOLUP	Diary Follow-up Indicator (Last 7 occurs)		629	1
	Remaining 6 occurrences		630	6
REPPAYTY P	Type Of Payee Code		636	3
REPGC	Guardian/Competency Code		639	1
REPCUS	Custody Code		640	3
AAZIP	Applicant's Address ZIP Code		643	5
AAZIP6-9	Applicant's Address ZIP Code Suffix		648	4
ATHRZD-REP-T2-FEE	Title II Authorized Representative Fee	\$\$\$\$	652	4
ATHRZD-REP-T16-FEE	Title XVI Maximum Authorized Representative Fee	\$\$\$\$	656	4
NOP-9	No. of Payment Entries Present		660	3
ADVPAY	Advance Payment Amount	\$\$\$\$\$	663	5
UNRESOLVED	Amount of Unresolved Overpayment	\$\$\$\$\$\$	668	6
EXCESDEC	Excess Decisions on Overpayment	\$\$\$\$\$\$	674	6
OUTSTAND	Overpayment Balance (current)	\$\$\$\$\$\$	680	6
RATEREC	Overpayment Rate of Recovery	\$\$\$\$\$\$	686	6
OP-COLL	Amount of Overpayment Collected	\$\$\$\$\$\$	692	6
TENPER	Ten Percent Rate of Recovery Indicator		698	1
EXCPMTDET	Excessive Retroactive Payment Amount	\$\$\$\$\$	699	5
EXCPMTVER	Excessive Retroactive Payment Verification Code		704	1
WSTRT-RD	Windfall Start Date	CCYYMM	705	6
WEND-RD	Windfall End Date	CCYYMM	711	6
WIN	Windfall Indicator		717	1

6.4. SSR-Based Record Formats

	Description	Format	Loc'n	Size
WNCI	Windfall Non-Countable Income	\$\$\$\$\$	718	5
FEDPMT	Federal Money Amount (payment)	\$\$\$\$\$	723	5
STATPMT	State Supplementation Amount (payment)	\$\$\$\$\$	728	5
PAYSC	Payment State Code		733	2
UCI	Unnegotiated Check Indicator		735	1
RW-BHRT	Overpayment - Refund	\$\$\$\$\$	736	5
RW-C	Overpayment - Unverified	\$\$\$\$\$	741	5
RW-D	Overpayment - Adjustment	\$\$\$\$\$	746	5
RW-G	Overpayment - Installment	\$\$\$\$\$	751	5
RW-K	Overpayment - Title Ii	\$\$\$\$\$	756	5
RW-N	Overpayment - Uncollectable	\$\$\$\$\$	761	5
RW-P	Overpayment - Do	\$\$\$\$\$	766	5
RW-PT	Overpayment - Penalty	\$\$\$\$\$	771	5
RW-PT-NOE	Overpayment - Penalty Number	\$\$\$\$\$	776	2
RW-Q	Overpayment - Cancellation - Q	\$\$\$\$\$	778	5
RW-W	Overpayment - Waiver	\$\$\$\$\$	783	5
RW-Z	Overpayment - Cancellation	\$\$\$\$\$	788	5
Computational Data				
BMF	Budget Month Flag		793	1
PSTAT-CUR	Payment Status Code - (current month)		794	1
PSTAT	Payment Status Code		797	3
LIVF	Living Arrangements Code		800	3
LIVF-1	Living Arrangements Code - (current month)		801	1
LIVF-2	Living Arrangements Code - (prior month)		802	1
OSCD	Optional State Supplementation Code		803	1
STSUPGP	State & County Code for Supplementation		804	5
STCONCAT M	State Concurrent Eligibility Indicator		809	1
STOPACM	State Optional Payment Code		810	1
MEDIC	Medicaid Eligibility Code		811	1
STUDM	Student Indicator		812	1
DRUGM	Drug Addict or Alcoholic Identification		813	1
EINCM	Chargeable Earned Income Amount	\$\$\$\$	814	4
UINCM	Chargeable Unearned Income Amount	\$\$\$\$	818	4
FEDAMT- CUR	Federal Assistance Amount (current month)	\$\$\$	822	3
SUPAMT- CUR	Current Amount of State Supp. - (current month)	\$\$\$	825	3
FEDAMT	Federal Assistance Amount	\$\$\$	828	3
SUPAMT	Current Amount of State Supp.	\$\$\$	831	3

	Description	Format	Loc'n	Size
CONDPAY	Conditional Payment Indicator		834	1
MEDTEST	Medical and Social Services Income Test		835	1
OPDET	Total Amount of Overpayment Detected	\$\$\$\$\$	836	5
DEEAMT	Deemed Income Amount for the Quarter	\$\$\$\$\$	841	5
DEEMCD	Deeming Indicator		846	1
WIN-FED	Windfall Offset Federal Amount	\$\$\$	847	3
WIN-STAT	Windfall Offset State Amount	\$\$\$	850	3
Additional Derived Data				
SEL-DATE	Date of File Selection (cutoff date)	CCYYMMDD	853	8
SPEC-ID	Special Indicator Field		861	3
RFRQ	Unearned Type "A"/Frequency "R" Indicator	R / space	864	1
NEWARD	New Award Indicator	Y / space	865	1
FIRST-PAY-DATE	First Payment Date (this record)	CCYYMMDD	866	8
PSTAT-LST	PSTAT After Last C01		874	3
PSTAT-LST-DATE	PSTAT After Last C01 Date	CCYYMM	877	6
—	Filler		883-936	54

6.4.2. SSR Longitudinal Record Format (LRF)

Record layout for files extracted in or after September 1999.

Acronym	Description	Action	Format	Loc	Size
HUN	Housed Under Number			1	9
PAN	Social Security Number			10	9
TOA	Transaction Code (Type of Action)	F		19	2
COMP-STAT-TOA	Comp. Status Type of Action (most current)	F		21	2
MFT	Master File Type Code			23	2
START-RD	Earliest Computation Date		CCYYMM	25	6
PL-CDE	Appeals Code			31	1
PL-APPEAL-JD	Appeals Date		CCYYMMDD	32	8
AP-TYPE	Application Type			40	1
RCD-EST-JD	Record Establishment Date		CCYYMMDD	41	8
BIRTH-JD	Date of Birth		CCYYMMDD	49	8
DEATH-JD	Date of Death		CCYYMMDD	57	8
LAF	MBR Ledger Account File Code			65	2
CURSTAT	Current Payment Status			67	3
SEX	Sex			70	1
RACE	Race			71	1
ELG-RD	Date of Current Eligibility		CCYYMM	72	6
APPL-JD	Application Date (current)		CCYYMMDD	78	8
8080-JD	8080 Date		CCYYMMDD	86	8
X-MITNG-DO	Transmitting District Office Code			94	3
ALIEN	Alien Data Citizenship/Alien Code	F		97	1
DENCDE	Denial Code			98	3
DENIAL-JD	Date of Denial	F	CCYYMMDD	101	8
FS-RECIPIENT	Food Stamps Recipient			109	1
FS-REQUEST	Food Stamps Request			110	1
FOR-LANG-NTC	Foreign Language Notice Ind.			111	1
CLM-FIL-JD	Date Claim Filed		CCYYMMDD	112	8

Acronym	Description	Action	Format	Loc	Size
DISPAYCD E	Disability Payment Code			120	1
STAG-FLD- JD	Date Forward to Dis. Detr. Unit		CCYYMMDD	121	8
DRUG- TREAT	Alcohol-Drug Treatment Status			129	1
START- PREDIB-RD	Presumptive DIB Payment Start Date		CCYYMM	130	6
DIB-DIG	Primary Disability Diagnostic Code			136	4
DIB-DIG2	Secondary Disability Diagnostic Code			140	4
DIB-MDR	Medical Diary Reason			144	1
ZEBIND	Zebley Indicator			145	1
PDSCC	Residence State/County/DO Code		SSCCCD	146	6
PDZIP	Payee's ZIP Code	FMX		152	5
PDZIP6-9	Payee's ZIP Code Suffix	FMX		157	4
DO	District Office Code			161	3
REP-PAY- JD	Rep. Payee Date of Selection / Change	F	CCYYMMDD	164	8
REPPAYTY P	Type of Payee Code			172	3
REPCUS	Custody Code			175	3
AAZIP	Applicant's Address ZIP Code	FMX		178	5
AAZIP6-9	Applicant's Address ZIP Code Suffix	FMX		183	4
STALE- RCD-IND	Stale Record Indicator			187	1
NOP-9	Number of Payment Entries Present			188	3
SEL-DATE	Date of Selection	*	CCYYMM	191	6
FIRST- PAY-DTE	First Payment Date (this record)	FX*	CCYYMMDD	197	8
STCOCNV	State & County Conversion Code		SSCCC	205	5
REP-GC	Guardian / Competency Code			210	1
ALIEN-RD	Alien Relative Date		CCYYMM	211	6
STOP-RD	Stop Date	N	CCYYMM	217	6
FOR-LNG- CDE	Foreign Language Code	N		223	2
—	Filler			225	18

Computation history data occur 325 times, sequentially representing all months from January 1974 through January 2001, beginning with January 1974:

1974/01	243 to 274 (shown below)
1974/02	275 to 306
2001/01	16,611 to 16,642

Acronym	Description	Action	Loc	Size	Format
PSTAT	Payment Status Code	C	243	3	
LIVF	Living Arrangement Code	C	246	1	
OSCD	Optional State Suppl. Code	C	247	1	
STCONCATM	State Concurrent Eligibility Ind.	C	248	1	
DRUGM	Drug Addict or Alcoholic Ind.	C	249	1	
EINCM	Chargeable Earned Income Amt.	C	250	4	\$\$\$\$
UINCM	Chargeable Unearned Income Amt.	C	254	4	\$\$\$\$
FEDAMT	Federal Assistance Amount	C	258	3	\$\$\$
SUPAMT	Current Amount of State Supp.	C	261	3	\$\$\$
MEDTEST	Medical and Soc. Serv. Income Test	C	264	1	
FEDPMT	Federal Money Amount (payment)	N	265	5	\$\$\$\$\$
STATPMT	State Supplementation Amount (payment)	N	270	5	\$\$\$\$\$
	Remaining 324 occurrences		275	10,368	

Action codes:

- * Not directly derived from SSR
- C Computation data fields
- F Field name change, this record.
Several minor changes
First Payment Date was Award Date
- M Fields modified - this record.
PDZIP, AAZIP
- N New field to this record
- X Acronym name change, this record.
PDZIP, AAZIP
FIRST-PAY-DATE was AWARD-DATE

6.4.3. SSR Household Address Format File (ADDRESS)

	Description	Format	Loc'n	Size
TOA	Transaction code		1	2
HUN	Housed Under Number		3	9
STALE- RCD-IND	Stale Record Indicator		12	1
COMP- STAT-TOA	Computation Status – TOA		13	2
PAN	Social Security Number		15	9
MFT	Master File Type		24	2
RCD-EST-JD	Record Establishment Date	CCYYMMDD	26	8
BIRTH-JD	Date of Birth	CCYYMMDD	34	8
DEATH-JD	Date of Death	CCYYMMDD	42	8
LAF	MBR-Ledger Account File Code		50	2
SEX	Sex		52	1
RACE	Race		53	1
APLNAM	Name of Individual: First Name 1-10 Middle Initial 11 Surname 12-30		54	30
ELG-RD	Date of Current Eligibility	CCYYMM	84	6
APPL-JD	Application Date	CCYYMMDD	90	8
TELENO	Recipient's Telephone Number		98	10
8080-JD	8080 Data	CCYYMMDD	108	8
DENIAL	Denial Code		116	3
DENIAL-JD	Date of Denial	CCYYMMDD	119	8
CLM-FIL-JD	Date Claim Filed	CCYYMMDD	127	8
PDSCC	Residence State/County/DO Code	SSCCCD	135	6
PDZIP	Payee's ZIP Code		141	14
ADDR-CHG- JD	Address Change Date	CCYYMMDD	155	8
DO	District Office Code		163	3
PD-CITY	Payee City		166	22
PD-STATE	Payee State		188	2
FLON	First Line of Recipient's Name		190	1
PDFLOA	First Line of Address Code		191	1
PDNUM	Number of Lines for Address		192	1
PDNAMAD R	Payee Name & Mailing Address (6 lines of 35 characters)		193	210
IUETYP-A	Unearned Income Type Code – Type A		403	2
IUEAMT-A	Unearned Income Amount – Type A	\$\$\$\$cc	405	7
IUEFRQ-A	Unearned Income Frequency – Type A		412	1
IUETYP-H	Unearned Income Type Code – Type H		413	2

6.4. SSR-Based Record Formats

	Description	Format	Loc'n	Size
IUEAMT-H	Unearned Income Amount – Type H	\$\$\$\$cc	415	7
IUEFRQ-H	Unearned Income Frequency – Type H		422	1
REPCTL1	Representative Payee Control Flag		423	1
REP-PAY-JD	Representative Payee Date of Selection/Change	CCYYMMDD	424	8
REPPAYTY P	Type of Payee Code		432	3
REP-GC	Guardian/Competency Code		435	1
REPCUS	Custody Code		436	3
REP-ACCT- JD	Representative Payee Negotiated Acct. Date	CCYYMMDD	439	8
AAZIP	Applicant's Address ZIP Code		447	14
AA-CITY	Applicant's City		461	22
AA- STNAME	Applicant's State		483	2
AALNG	Number of Lines for Address		485	1
AA-ADDR	Applicant's Address		486	175
	5 lines of 35 characters			
NOP-9	Number of Payment Entries Present		661	3
PSTAT	Payment Status Code		664	3
LIVF	Living Arrangements Code		667	1
OSCD	Optional State Supp. Code		668	1
FEDAMT	Monthly Federal Assistance Amount	\$\$\$\$cc	669	5
SUPAMT	Current Amount of State Supp.	\$\$\$\$cc	674	5
SEL-DATE	Date of File Selection (not SSR SDMA field)	YYMM	679	4
—	Filler		683	18
			-	
			700	

7. Numident File

7.1. The Numident Master File

Until the early 1970s, data on SSA clients were kept only in hardcopy form. In the early 1970s, SSA began to create a central database for client information called the Numident. The Numident is a numerically-ordered master file of assigned Social Security Numbers (SSNs). The official death master file for the United States government is the Death Master File (DMF), stored on Numident and based on the data received from the DACUS operation. The Numident file receives information from SSA and other sources including all State and Territorial Bureaus of Vital Statistics and the Veteran's Administration. The Numident became fully electronic in the 1980s.

Researchers tend to use the Numident to obtain demographic data for survey participants. The Numident is also used for mortality studies, since it carries birth and death dates.

Alpha-Index Master File (Alphident)

The Alpha Index Master File is an alternative version of the Numident. This file was created to search for an SSN when only minimum client-information is available and the SSN is unknown. The extract includes client first, middle, and last name, DOB, sex, mother's first and last name, father's first and last name, and SSN. Field office and central office staff can access this file.

Because the Alpha Index Master File is a subset of the Numident, this chapter focuses only on the Numident.

7.1.1. Source of Data and File Structure

There is one Numident record for each SSN ever issued. The record houses the identifying information given by the applicant for an SSN ordered by the date of the application. Each Numident record can have up to 300 sections or "entries." Each entry represents an addition or change to the information pertaining to that SSN. For example, a name change results in reissuing a new Social Security card with the new name and the old SSN. A new entry, rather than a new record, is added to record that information.

Entries are of fixed length, and the length of Numident records depends on the number of entries. In 1999, there were approximately 400 million records and approximately 725 million entries on the Numident.

There are five different types of entries, corresponding to their informational source. The names of the entry types as defined in the Numident data dictionary

are NSS5, NCLM, NCOR, NDTH, and NESI. These names are mnemonic words rather than abbreviations and refer to SS-5 forms (NSS5), claims (NCLM), correspondence (NCOR), death (NDTH), and enumeration special indicators (NESI). With the exception of NDTH entries, entries are not overwritten and individual entry fields are never deleted, except in rare circumstances. Instead, new entries are created with updated information. This implies that multiple entries may need to be searched for the most recent information.

NSS5: Client Identification Information

NSS5 entries include, for example, initial SSN assignments, name changes, and DOB changes. The majority of entries on the Numident are NSS5 entries. NSS5 entries are built from data collected in field offices and from data provided from State vital statistics through enumeration at birth. The Modernized Enumeration System (MES) is one of the SSA systems used in field offices to enter NSS5 data. The MES writes to the Integrated Client Database (ICDB). ICDB is an attempt to create a single view of critical elements that identify clients. Critical elements include names, date and location of birth, gender, date of death, and parent names. This allows standardization of client-identifying information across systems that are used to pay benefits for SSA-administered programs.

NCLM: Information on Title II Claims

NCLM entries were built when SSA moved from paper to computerized systems. Prior to the Numident, only the most recent paperwork was maintained in paper files for each record. Consequently, when the Numident was created, the only data available for many records was from claims paperwork. NCLM entries are no longer created. NCLM entries on the Numident contain minimal data and carry information on individuals who are mostly deceased. It is sometimes considered a “catch-all” entry; for example, in a small percentage of records the date of death can only be found in the NCLM entry. In fewer than 1 percent of the records, NCLM data may not be reliable because of how older claims were processed. For example, a widow’s identification may have been put in the wage-earner’s identification fields because the widow may never have applied for her own SSN.

NCOR: Client Correspondence Information

NCOR entries are built when a client writes to SSA about any problem.

NDTH: Information on Client Death, Including Date of Death

Only one NDTH entry is allowed for each SSN. Unlike with other entries, updated information for these entries may be rewritten or deleted. Processing of death data began with DACUS in 1988; death information for the majority of

people who died prior to this date may not be posted. Data for the NDTH entry come from many sources, including the Veteran's Administration, State and Territorial Bureaus of Vital Statistics, SSA field offices, other SSA administrative files, etc. The vast majority of entries come from vital statistics; this reporting began in February 1988. NDTH entries are built through the DACUS system. Entries with death information are created from incoming sources and sent to DACUS. DACUS runs every night after all other computer processes. DACUS compares alleged date of death against the MBR to determine whether the client was paid after the alleged date of death. If there are no discrepancies with the MBR data the information goes to the Numident for posting. Since only one death entry is allowed, a complex prioritization scheme exists to compare information coming through DACUS with any existing NDTH entry on the Numident. If discrepancies are found between incoming data and existing data, an investigation will be conducted to reconcile the discrepancies. If incoming data are considered better, the system will overwrite the existing data with the new data. If an NDTH entry is built for a client who is later determined to be living, the NDTH entry is removed.

NESI: Special indicator entries

NESI entries include information for records that are flagged for an investigation of some sort, such as potential fraud cases. These records are added to existing Numident records to indicate, for example, fraudulent identity or illegal alien status.

7.1.2. Crosswalk of Research Subject and Data Elements

The Numident by itself is generally used to verify SSN, name, and date of birth or death for demographic studies involving births and mortality. For analyses based on other SSA master files, the Numident provides the most reliable source for individual birth dates, death dates, gender, location of birth, and ethnicity, although ethnicity is supplied by the applicant and is not a mandatory item to be filled out. Thus, researchers should request that items such as birth date, gender, and death date be pulled from the Numident if they are not requesting an extract that already pulls that information from the Numident.

7.1.3. Data Usage Issues

Multiple SSNs

SSA currently uses matching procedures to help ensure that clients are not assigned multiple SSNs. However, in past years, some clients were assigned multiple SSNs. For instance, clients would apply for a new SSN with each job

change. SSA maintains a file called the MULTX, which contains a table of multiple numbers allegedly assigned to the same client. This file is independent of the Numident.

Data Output from Numident

Output data may come from one or more entries or entry types on the Numident. When SSNs are run against the Numident, the finders program (which looks up specified SSNs) delivers the complete data for the SSN, i.e., every entry. When SSA Numident analysts are looking for data, they generally follow a hierarchy based on the type of information requested and entry type. In checking for all but death data on the Numident, the NSS5 entry is always used first. Analysts will select data from the most recent cycle date possible. If the information does not exist in NSS5 entries, the analysts will look at the NCLM entry. For death data, the NDTH entry is always checked first, followed by the NCLM entry.

7.2. Description of Numident Data Elements

The following pages contain the list of data elements, or fields, in the Numident master file that have been viewed as the most useful to the research community. Several elements will occur in multiple types of entries. Elements are always located in the same position across the different entry types.

The table below lists the data elements covered in the data dictionary. They are presented in alphabetical order. The page headers note the data element that is being described on a given page.

Data element	Description	Page
CITIZEN	Citizenship Code	410
CYCDTE	Enumeration Cycle Date	411
DOB	Date of Birth	412
DOD	Date of Death	413
ENTCD	Entry Code	415
POB	City and/or County of Birth	417
POBFORID	Foreign Place of Birth Indicator	418
POB-STATE-COUNTRY	State or Country of Birth	419
RACE	Ethnic Description	420
SEX	Sex Code	421
SSN	Social Security Number	422

CITIZEN	Citizenship Code
----------------	-------------------------

Type:	Character 1
Data Format:	
Cleared:	No
Repeating:	Yes
Reset:	No
Edited:	
Master Record Location:	NSS5
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates the SSN holder's U.S. citizenship or alien status.

Since May 1981, it identifies the number holder's status as a U.S. citizen or work status for non-citizens.

POSSIBLE VALUES

- A – U.S. citizen
- B – Legal alien, authorized to work
- C – Legal alien, not authorized to work
- D – Other
- E – Alien student—restricted work authorized
- F – Conditionally legalized alien

USAGE NOTES

Effective 8/97, the Modernized Enumeration System (MES) no longer permits the codes of 'E' or 'F' to be added to Numident. 'E' and 'F' categories now fall under 'D.'

Category C supports administrative uses, such as drivers' licenses, which require an SSN for identification purposes. Some aliens are not able to work but may still hold a permit to drive. Otherwise, this field is used to determine work eligibility.

CYCDTE Enumeration Cycle Date

Type:	Character 8
Data Format:	Date: CCYYMMDD
Cleared:	Yes, on NDTH entry
Repeating:	Yes
Reset:	Yes, on NDTH entry
Edited:	
Master Record Location:	NCLM, NCOR, NDTH, NESI, NSS5
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates the date an enumeration entry was added to the Numident.

It identifies when the data for the particular entry was posted to the Numident. For records prior to the mid-1970s, this represents the date written on the SS-5 form.

POSSIBLE VALUES

Blank - Default

XXXXXXXX - Complete date is unknown

CCYYMMXX - Acceptable for unknown day (DD)

CCYYMMDD - Correct entry

USAGE NOTES

This data element is stored in CCYYMMDD format for entry-sorting purposes.

It is displayed in MMDDCCYY format on queries

Only dates from NDTH are cleared or reset.

Entries are sorted within record by CYCDTE.

This data element is used to determine the most current data.

DOB	Date of Birth
------------	----------------------

Type:	Character 8
Data Format:	Date–MMDDCCYY
Cleared:	Yes, on NDTH entry
Repeating:	Yes
Reset:	Yes, on NDTH entry
Edited:	
Master Record Location:	NCLM, NDTH, NESI, NSS5
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates the month, day, century, and year an individual was born.

It is used to help identify an individual and may be used in searching for his/her SSN on the related Alpha-Index (Alphident) file.

POSSIBLE VALUES

Blank - Default Value
 XXXXXXXX - Complete date is unknown
 MMXXCCYY - Acceptable for unknown day (DD)
 CCYYMMDD - Correct entry

USAGE NOTES

Only dates from NDTH are cleared or reset.

DOD	Date of Death
------------	----------------------

Type:	Character 8
Data Format:	Date–MMDDCCYY
Cleared:	Yes, on NDTH entry
Repeating:	Yes (see Usage Notes)
Reset:	Yes, on NDTH entry
Edited:	
Master Record Location:	NCLM, NDTH
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates the client's date of death as reported to SSA.

The death entry is the official SSA record of death; therefore, this is the client's official date of death.

POSSIBLE VALUES

Blank - Default Value

XXXXXXXX - Complete date is unknown

MMXXCCYY - Acceptable for unknown day (DD)

MMDDCCYY – Correct format

USAGE NOTES

Only dates from NDTH are cleared or reset.

Only one date of death allowed on file; previous dates are overwritten.

As per agreement, states need only provide month and year of death. However, some states also provide day.

Both the NDTH and NCLM entries have a DOD field. Therefore, theoretically, DOD may occur on the Numident record more than once. However, in reality, DOD will most likely exist on only one of these entries (usually the NDTH) and will not be repeated within the entry. Changes to the NDTH entry will overwrite the old NDTH data.

If trying to determine the official Date of Death, search engines first look at the NDTH entry. If that entry has no data, then the NCLM entry is reviewed.

Most information will come from NDTH entry.

There are 3.3 million Numident records with DOD on both the NCLM and NDTH entries.

ENTCD Entry Code

Type:	Character 1
Data Format:	
Cleared:	Yes, on NDTH entry
Repeating:	Yes
Reset:	Yes, on NDTH entry
Edited:	
Master Record Location:	NCLM, NCOR, NDTH, NESI, NSS5
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element identifies the type of entry, e.g., NSS5 and NDTH. Further, the specific code tells the source of the data.

POSSIBLE VALUESNSS5 Entry:

- G – SS5 generated by using the MEF cross-reference (MULTX), or MBR files (no longer used)
- J – New account established prior to central office issuance of SSNs
- K – Duplicate name change
- P – Change in individual’s identifying information (replaced by “2” below)
- S – Supporting application for SSN card
- V – Voided SSN
- W – Welfare request (no longer used)
- 0 – Original application for SSN card
- 1 – Date of birth correction
- 2 – Name change, duplicate SSN, replacement card issued, or correction of misspelled name
- 4 – Reestablish voided SSN
- 5 – Sex correction
- 9 – Internal correction

NCLM Entry:

- D – Death claim (claim for benefits made by a survivor)
- L – Life Claim (claim for benefits made by a living client)

NCOR Entry:

Q – Correspondence

NESI Entry:

E – ESI entry

NDTH Entry:

T – Death entry

USAGE NOTES

Only codes from NDTH are cleared or reset.

As of 1999, approximately 47 percent of all entries were '0', approximately 35 percent were '2'

'G' and 'W' are no longer added, and 'P' is replaced by '2'.

'G' – part of 1986 study where SS5 entries were generated based on data search of other Administrative files.

'J' – values assigned in “emergencies” (e.g., welfare cases needing immediate SSN).

'S' – not all information could fit on SS5 form; additional paperwork is stapled to SS5.

POB	City and/or County of Birth
------------	------------------------------------

Type:	Character 13
Data Format:	
Cleared:	No
Repeating:	Yes
Reset:	No
Edited:	
Master Record Location:	NCLM, NSS5
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates the name of the city and/or county where an individual was born.

It is used to help identify an individual.

POSSIBLE VALUES

Actual county/city name.

Valid data appears in positions 1-12; position 13 is set to set to '*' as an overflow indicator.

USAGE NOTES

In general county name is seen when an individual is not born in a city. In some instances, this data element has both city and county.

POBFORID	Foreign Place of Birth Indicator
-----------------	---

Type:	Character 1
Data Format:	
Cleared:	No
Repeating:	Yes
Reset:	No
Edited:	
Master Record Location:	NCLM, NSS5
Related Data Elements:	POB-STATE- COUNTRY

DESCRIPTION AND PURPOSE

This data element provides a simple indicator used to identify persons born outside the United States.

POSSIBLE VALUES

* - Born outside the United States
Blank – Default value

USAGE NOTES

This data element must be used with the POB-State-Country data element to determine state and country of birth.

POB-STATE-COUNTRY State or Country of Birth
--

Type:	Character 2
Data Format:	
Cleared:	No
Repeating:	Yes
Reset:	Yes
Edited:	
Master Record Location:	NCLM, NSS5
Related Data Elements:	POBFORID

DESCRIPTION AND PURPOSE

This data element indicates the U.S. state, U.S. territory, or foreign country where an individual was born.

It is used to help identify an individual and may be used in search for his/her SSN on the related Alpha Index file.

POSSIBLE VALUES

Blank–Default Value

Valid abbreviations for states and countries can be found in POMS RM 0029.220

USAGE NOTES

Some codes have been changed (e.g., PR has been replaced by RQ, all territories now have the letter Q in the second character, and pre- and post-war Germany have two separate codes).

Codes may not be unique. For example, code CA represents both California and Canada. One therefore needs to use the Foreign Country Indicator to confirm country.

Some foreign country codes for records established prior to 1997 may be incorrect because edits were not applied prior to 8/97.

RACE	Ethnic Description
-------------	---------------------------

Type:	Character 1
Data Format:	
Cleared:	No
Repeating:	Yes
Reset:	No
Edited:	
Master Record Location:	NSS5
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates ethnicity, as voluntarily provided by the applicant.

Officially, this information is collected for statistical purposes only and for studies of demographics by agencies such as the Bureau of the Census.

POSSIBLE VALUES

- 0 – Unknown
- 1 – White
- 2 – African American or African origin
- 3 – Other
- 4 – Asian or Pacific Islander
- 5 – Hispanic (obsolete)
- 6 – North American Indian or Eskimo (obsolete)

USAGE NOTES

This field is optional on input by the applicant.

The input screen in the field offices where this information is generally entered lists different values.

Prior to 1982, ethnicity data were not collected. From 1982 through 1988, collection was mandatory. In 1989, it became optional.

SEX	Sex Code
------------	-----------------

Type:	Character 1
Data Format:	
Cleared:	Yes, on NDTH entry
Repeating:	Yes
Reset:	Yes, on NDTH entry
Edited:	
Master Record Location:	NCLM, NDTH, NSS5
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates the gender of the number holder. It is used to help identify an individual and may be used in searching for his/her SSN on the related Alpha Index File.

POSSIBLE VALUESOn the Numident:

- 0 – Unknown
- 1 – Male
- 2 – Female

On the Alpha Index:

- U – Unknown
- M – Male
- F – Female

USAGE NOTES

Only codes from NDTH are cleared or reset.

Although this data element has always existed on the file, it has not always been mandatory to collect this information; thus, this data element may be listed as unknown.

Unknowns also arise because, at time of data transfer from hardcopy, information may have been illegible.

SSN	Social Security Number
------------	-------------------------------

Type:	Character 9
Data Format:	
Cleared:	Yes, on NDTH entry
Repeating:	Yes
Reset:	Yes, on NDTH entry
Edited:	Yes
Master Record Location:	NCLM, NCOR, NDTH, NESI, NSS5
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element provides a number assigned by SSA to uniquely identify a client.

Within SSA, the SSN is to control:

- The record of all earnings the client has in FICA-covered or MQGE-covered employment.
- Claims for social security benefits.
- Claims for supplemental security benefits.
- Claims for Medicare benefits.

POSSIBLE VALUES

XXX-YY-ZZZZ

Area: XXX (001-999). Assigned based on geographic area of the U.S.

Exceptions include:

700s - Railroad Retirement beneficiaries. Some of the 700s are now being released to states that have used up their initial allocation.

800s – Not yet used for SSNs.

900s – Not yet used for SSNs. Some numbers in this series were “pseudo numbers” given to welfare benefits (1974-early 1990s). These are not included in the Numident file but exist on other administrative files.

Group: YY (01-99). Time of issue: Even numbers are assigned first (02-98), followed by odd numbers (01-99).

Serial: ZZZZ (0001-9999). Serial number.

USAGE NOTES

Only SSNs from NDTH are cleared or reset.

Non-program users of the SSN for record control include:

- State Unemployment Insurance Agencies;
- The Office of Personnel Management;
- The Internal Revenue Service;
- Schools;
- Public Assistance Agencies;
- The Department of Defense;
- The Department of Veteran's Affairs;
- The Indian Health Service;
- The Parent Locator Service;
- Motor Vehicle Bureau.

SSN were not created to be a universal identifier, but they are increasingly being used by the private sector as an identification tool.

7.3. Numident-Based Extracts

The Numident has no associated recurring extracts. The Alpha Index Master File (sometimes called Alphident) contains a subset of Numident data. The access key is a combination of SOUNDEX key, client's name, and date of birth. It is not accessible to the research community.

8. Master Earnings File (MEF)

8.1. The MEF Master File

The Master Earnings File (MEF) is SSA's primary repository of earnings data for the US population. It contains annual summaries of all FICA earnings received by an individual and detailed information on all FICA earnings processed since 1977.

8.1.1. Source of Data and File Structure

A MEF record is created when the corresponding Numident record is created. Identifying information, such as SSN, name, race, sex, and date of birth are taken from the Numident. The primary source of information for the MEF is the W-2 form, which is sent directly to SSA. W-2 forms arrive at SSA continuously. The MEF is updated with this new W-2 information on a weekly basis.

The MEF is stored in a compressed record structure. Each record is divided into nine sections or segments with different types of information. The segments are as follows:

- **Header Segment**– This is the only mandatory segment. The header contains SSN, name, sex, race, date of birth, and date of death from the Numident and a count of the number of entries found on the remainder of the record.
- **Summary Segment** – This segment contains annual FICA earnings from 1937 to present. It also contains annual information on MQGE/MQFE earnings, quarters of coverage, self-employment earnings, and military earnings indicators. The record has space for earnings through 2025.
- **Posted Earnings Detail Segment** – This segment contains W-2-level data for all FICA and MQGE related earnings since 1978. This is the “detailed” information that is summarized on the Summary segment. This is the historical record of additions to the MEF. There is space for 1750 entries.
Note: “Posted” refers to FICA-eligible income which is posted or summarized to the Summary Segment.
- **Unposted Detail Segment** – This segment contain detailed non-FICA-related earnings, e.g., earnings not subject to FICA tax, such as deferred earnings paid into 401(k) retirement plans. “Unposted” refers to the fact that this income is not summarized on the Summary Segment.
- **Discrepancy Detail Segment** – This segment is created when earnings discrepancies are identified. This indicates that the case is being investigated.
- **Employer Special Payment Segment** – This segment contains special employer payments not considered to be detail items, such as sick pay. This

segment is not maintained and the information is now housed in the Unposted Detail Segment.

- Railroad Main Segment – This segment contains data from the Railroad Retirement Master File.
- Railroad Film Segment – This segment contains data keyed in from Railroad Retirement microfilm.
- Old Start Quarterly Segment – This segment contains a quarterly summary of earnings for the period 1937-1955. This data has been keyed-in from microfilm.

Data on the MEF are sorted by year, and there are other sub-sorts within each year.

8.1.2. Crosswalk of Research Subject and Data Elements

The MEF is primarily used for studies of income and income distribution.

8.1.3. Data Usage Issues

This subsection discusses some data usage issues related to the distinction between Summary and Posted Detail Segments.

Using the Summary and Posted Detail Segments

The MEF Summary Segment summarizes all earnings information found on the Posted Detail Segment. It represents annual “net” amounts of all reported W-2 earnings for all employers, by tax year. Thus, the summary record would contain the same value for total FICA earnings if a worker earned \$30,000 from a single job, or \$30,000 from three separate \$10,000 jobs. Researchers interested in earnings summarized to the annual level may use data elements from the Summary Segment.

The Posted Detail Segment contains individual entries for every W-2 form reported for a worker. In the above example, the Detail Segment would contain three separate entries for each \$10,000 job. Researchers interested in earnings at the employer level, or who want to reconstruct earnings histories in a given year, will need to use the information from the Detail Segment.

The main way to access the MEF is to submit a file of SSNs through the New Summary Earnings Finder Process, which produces two output files based on the Summary Segment. The first data file includes only FICA-covered wages; yearly earnings are capped at the FICA maximum. The second data file contains total

earnings, including Medicare Taxable Earnings; for recent years, those annual earnings are not capped at the FICA maximum. Authorized researchers within SSA can access earnings from the Detail Segment through a separate finder process.

Working with Entries on the MEF Posted Detail Segment

The Posted Detail Segment can contain up to 1750 repeating blocks of data elements, each representing a single entry. Entries are never updated. Each subsequent W-2 posting generates an entirely new entry. The Posted Detail Segment is intended to provide a complete audit trail of a worker's FICA earnings history.

Each Posted Detail entry represents an individual W-2 form received from an employer. Unlike the Summary Segment, a Detail Segment entry contains a single multi-purpose money field that can contain different types of earnings, as appropriate. Examples include regular wage and salary earnings, military earnings, delinquent reports, and adjustments to previous postings. Researchers who want to reconstruct annual earnings will need to distinguish among the multiple entries on the MEF Detail Segment. To do this, the data elements Earnings Report Type (ERT) and Earnings Type of Employment (EET) must be examined. The two data elements identify the precise type of entry. The following table summarizes the relationship between ERT and EET.

ERT	EET	Description
A	A	W-2 FICA Regular
A	E	W-2 FICA Military
A	G	W-2 State and Local Government
A	M	W-2 Agricultural
A	N	W-2 Non-Profit
A	O	MGFE Tips
A	P	W-2 FICA Household
A	Q	W-2 MQFE
A	S	W-2 FICA State and Local Tips
A	T	W-2 FICA Tips
A	V	W-2 FICA State and Local Limit of Liability
A	W	W-2 FICA State and Local Agricultural
A	X	W-2 FICA State and Local Agricultural Limit of Liability
I	A	Delinquent W-2 FICA Regular
I	E	Delinquent W-2 FICA Military
I	G	Delinquent W-2 FICA State and Local
I	M	Delinquent W-2 FICA Agricultural
I	N	Delinquent W-2 FICA Nonprofit
I	O	Delinquent MGFE Tips
I	P	Delinquent W-2 FICA Household

ERT	EET	Description
I	Q	Delinquent W-2 MQFE
I	S	Delinquent W-2 FICA State and Local Tips
I	T	Delinquent W-2 FICA Tips
I	V	Delinquent W-2 FICA State and Local Limit of Liability
I	W	Delinquent W-2 FICA State and Local Agricultural
I	X	Delinquent W-2 FICA State and Local Agricultural Limit of Liability
E	A	Corrected W-2 FICA Regular
E	E	Corrected W-2 FICA Military
E	G	Corrected W-2 FICA State and Local
E	M	Corrected W-2 FICA Agricultural
E	N	Corrected W-2 FICA Nonprofit
E	O	Corrected MGFE Tips
E	P	Corrected W-2 FICA Household
E	Q	Corrected W-2 MQFE
E	S	Corrected W-2 FICA State and Local Tips
E	T	Corrected W-2 FICA Tips
E	V	Corrected W-2 FICA State and Local Limit of Liability
E	W	Corrected W-2 FICA State and Local Agricultural
E	W	Corrected W-2 FICA State and Local Agricultural Limit of Liability
D	A	W-2 Non-FICA Regular
D	G	W-2 Non-FICA State and Local
D	B	W-2 Non-FICA Special Wage Payment
D	J	W-2 Non-FICA Deferred Compensation
D	K	W-2 Non-FICA 457 Distributions
D	M	W-2 Non-FICA Agricultural
D	P	W-2 Non-FICA Railroad
F	A	Corrected W-2 Non-FICA Regular
F	G	Corrected W-2 Non-FICA State and Local
F	M	Corrected W-2 Non-FICA Agricultural
F	P	Corrected W-2 Non-FICA Household
F	R	W-2 Non-FICA Railroad
L	A	Delinquent Corrected W-2 FICA Regular
L	E	Delinquent Corrected W-2 FICA Military
L	G	Delinquent Corrected W-2 FICA State and Local
L	M	Delinquent Corrected W-2 FICA Agricultural
L	N	Delinquent Corrected W-2 FICA Nonprofit
L	O	Delinquent Corrected MGFE Tips
L	P	Delinquent Corrected W-2 FICA Household
L	Q	Delinquent Corrected W-2 MGFE
L	S	Delinquent Corrected W-2 FICA State and Local Tips
L	T	Delinquent Corrected W-2 FICA Tips
L	V	Delinquent Corrected W-2 FICA State and Local Limit of Liability
L	W	Delinquent Corrected W-2 FICA Agricultural

ERT	EET	Description
L	X	Delinquent Corrected W-2 FICA State and Local Agricultural Limit of Liability
W	A	Quarterly Regular
W	E	Quarterly Military
W	G	Quarterly State and Local
W	M	Quarterly Agricultural
W	N	Quarterly Nonprofit
W	P	Quarterly Household
W	S	Quarterly State and Local Tips
W	T	Quarterly Tips
W	V	Quarterly State and Local Limit of Liability
W	W	Quarterly Agricultural
W	X	Quarterly State and Local Agricultural Limit of Liability
X	A	Quarterly Delinquent Regular
X	E	Quarterly Delinquent Military
X	G	Quarterly Delinquent State and Local
X	M	Quarterly Delinquent Agricultural
X	P	Quarterly Delinquent Household
X	S	Quarterly Delinquent State and Local Tips
X	T	Quarterly Delinquent Tips
X	V	Quarterly Delinquent State and Local Limit of Liability
X	W	Quarterly Delinquent Agricultural
X	X	Quarterly Delinquent State and Local Agricultural Limit of Liability
P	A	7010 Annual Regular
P	E	7010 Annual Military
P	M	7010 Annual Agricultural
P	N	7010 Annual Non-Profit
P	P	7010 Annual Household
P	Q	7010 Annual MQFE
P	T	7010 Annual Tips
Q	A	7010 Quarterly Regular
Q	E	7010 Quarterly Military
Q	M	7010 Quarterly Agricultural
Q	P	7010 Quarterly Household
Q	T	7010 Quarterly Tips
R	T	Quarterly Unreported Tips
S	A	External Adjustment Quarterly Regular
S	E	External Adjustment Quarterly Military
S	G	External Adjustment Quarterly State and Local
S	M	External Adjustment Quarterly Agricultural
S	P	External Adjustment Quarterly Household
S	S	External Adjustment Quarterly State and Local Tips
S	T	External Adjustment Quarterly Tips
S	V	External Adjustment Quarterly State and Local Limit of Liability

ERT	EET	Description
S	W	External Adjustment Quarterly Agricultural
S	X	External Adjustment Quarterly State and Local Agricultural Limit of Liability
T	T	Annual Unreported Tips
U	A	External Adjustment Annual Regular
U	E	External Adjustment Annual Military
U	G	External Adjustment Annual State and Local
U	I	External Adjustment Annual Self-Employed
U	M	External Adjustment Annual Agricultural
U	N	External Adjustment Annual Non-Profit
U	O	External Adjustment Annual MGFE Tips
U	P	External Adjustment Annual Household
U	Q	External Adjustment Annual MQFE
U	S	External Adjustment Annual State and Local Tips
U	T	External Adjustment Annual Tips
U	V	External Adjustment Annual State and Local Limit of Liability
U	W	External Adjustment Annual State and Local Agricultural
U	X	External Adjustment Annual State and Local Agricultural Limit of Liability
Y	A	Internal Adjustment Quarterly Regular
Y	E	Internal Adjustment Quarterly Military
Y	G	Internal Adjustment Quarterly State and Local
Y	M	Internal Adjustment Quarterly Agricultural
Y	P	Internal Adjustment Quarterly Household
Y	S	Internal Adjustment Quarterly State and Local Tips
Y	T	Internal Adjustment Quarterly Tips
Y	W	Internal Adjustment Quarterly State and Local Agricultural
Y	X	Internal Adjustment Quarterly State and Local Agricultural Limit of Liability
Z	A	Internal Adjustment Annual Regular
Z	E	Internal Adjustment Annual Military
Z	G	Internal Adjustment Annual State and Local
Z	I	Internal Adjustment Annual Self-Employed
Z	M	Internal Adjustment Annual Agricultural
Z	N	Internal Adjustment Annual Non-Profit
Z	O	Internal Adjustment Annual MGFE Tips
Z	P	Internal Adjustment Annual Household
Z	Q	Internal Adjustment Annual MQFE
Z	S	Internal Adjustment Annual State and Local Tips
Z	T	Internal Adjustment Annual Tips
Z	V	Internal Adjustment Annual State and Local Limit of Liability
Z	W	Internal Adjustment Annual State and Local Agricultural
Z	X	Internal Adjustment Annual State and Local Agricultural Limit of Liability

ERT	EET	Description
O	I	Tape Self-Employed from IRS
D	E	Accepted and Changed to DA

Entries also contain information on the relevant tax year to which the entry applies, as well as the Employer Identification Number (EIN).

8.2. Description of MEF Data Elements

The following pages contain the list of data elements in the MEF master file that are particularly relevant for research. They have been divided into two sections: (1) data elements from the Summary Segment, and (2) data elements from the Posted Detail Segment. Within each section, the data elements are presented in alphabetical order. The page headers note the data element that is being described on a given page.

MEF Data Elements from the Summary Segment

Data element	Description	Page
DOB	Date of Birth of Primary	433
DOD	Date of Death	434
ERN	FICA Earnings Annually 1951-present	435
FST-YR-EARNINGS	First Year of FICA Earnings After 1950	436
LST-YR-EARNINGS	Last Year of FICA Earnings	437
RACE	Race of Account Number Holder	438
SEX	Sex of Account Number Holder	439
SSN	Account Number (SSN or CAN)	440
SUM-QC	Quarters of Coverage Annually for 1951-1977	441
TOTAL-EARNINGS-3750	Cumulative Earnings 1937 to 1950	442
TOTAL-EARNINGS-5177	Cumulative Earnings 1951 to 1977	443
TOTAL-EARNINGS-78TD	Cumulative Earnings 1978 to Date	444
TOTAL-QC4750	Cumulative Quarters of Coverage 1947 to 1950	445
TOTAL-QC5177	Cumulative Quarters of Coverage 1951 to 1977	446

MEF Data Elements from the Posted Detail Segment

Data element	Description	Page
EET	Earnings Type of Employment	447
EIN	Employer Identification Number	448
ERT	Earnings Report Type	449
ERY	Earnings Report Year	451
ETC	Earnings Total Compensation	452
TRN	Total FICA Earnings	453

DOB	Date of Birth of Primary
------------	---------------------------------

Type:	Character 6
Data Format:	MMCCYY
Cleared:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	Numident
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element provides the month and year of birth for the individual represented by this SSN.

POSSIBLE VALUES

The format is MMCCYY

MM – month
 CC – century
 YY – year

USAGE NOTES

This data element is not completely reliable, especially for historical years. There were periods when DOB updates to MEF from the MBR were not made. DOB is much more reliable for recent years. Researchers who need the most accurate DOB information should obtain this from the MBR or Numident.

DOD Date of Death

Type:	Character 6
Data Format:	MMCCYY
Cleared:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	Numident
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element provides the month and year of death for the individual represented by this SSN

POSSIBLE VALUES

The format is MMCCYY.

USAGE NOTES

As per agreement, states need only provide month and year of death. However, some states also provide the day of death.

There are cases where DOD information exists on the MEF, but not appear on the MBR. This occurs when a death was reported by a funeral home for an individual who had never worked; hence, for these individuals, no MBR record was ever created.

After 1978 this field on the MEF is considered unreliable. The MBR or Numident are preferred sources for establishing DOD.

ERN	FICA Earnings Annually 1951-present
------------	--

Type:	Packed
Data Format:	\$\$\$\$\$\$\$\$\$cc
Cleared:	
Repeating:	annually
Reset:	
Edited:	when new W-2 data are posted
Master Record Location:	Summary Segment
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates FICA earnings by year. It is located on the Summary Segment.

POSSIBLE VALUES

Money format - \$\$\$\$\$\$\$\$\$cc.

USAGE NOTES

This data element series is not available prior to 1951.

This data element is capped at the FICA taxable maximum for the corresponding year. Uncapped income is available in data element ETC (Earnings Total Compensation) on the Detail Segment.

This data element sums all FICA postings to the detail segment, creating an annual “net” value.

FST-YR-EARNINGS	First Year of FICA Earnings After 1950
------------------------	---

Type:	Character 4
Data Format:	CCYY
Cleared:	
Repeating:	
Reset:	No
Edited:	
Master Record Location:	Summary segment
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element represents the first year of earnings data for this earner on the MEF summary segment.

POSSIBLE VALUES

0000 - Inactive
 1950 - Indicates a partial record
 1951 and on - Indicates an active record

USAGE NOTES

This data element is set the first time an individual reports FICA earnings on a W-2 form posted to the MEF detail segment. However, it is *not* subsequently adjusted if another W-2 form later cancels out the initially reported earnings. To calculate this data element accurately, it is necessary to check the summary record annual FICA earnings fields to determine the actual first year of FICA earnings.

LST-YR-EARNINGS	Last Year of FICA Earnings
------------------------	-----------------------------------

Type:	Character 4
Data Format:	Date: CCYY
Cleared:	
Repeating:	
Reset:	No
Edited:	
Master Record Location:	Summary segment
Related Data Elements:	

DESCRIPTION AND PURPOSE

This field represents the last year of earnings data for this earner on the summary segment of the MEF.

POSSIBLE VALUES

0000 - Inactive
 1950 - Partial Record, all earnings prior to 1950
 1951 and on - Ending year of earnings

USAGE NOTES

To calculate this data element accurately, it is necessary to check the summary record annual FICA earnings fields to determine the actual last year of FICA earnings.

RACE	Race of Account Number Holder
-------------	--------------------------------------

Type:	Character 1
Data Format:	
Cleared:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	Numident
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates the race of the worker represented on this MEF record.

POSSIBLE VALUES

A = Asian or Pacific Islander
 B = Black
 H = Hispanic
 I = North American Indian or Eskimo
 O = Other
 U = White

USAGE NOTES

This field is optional on input by the applicant.

Prior to 1982 ethnic data were not collected. From 1982 through 1988, collection was mandatory. In 1989 it became optional.

Hispanic (H) and North American Indian or Eskimo (I) codes are no longer in use.

This data element is not completely reliable, especially for historical years. Researchers who need the most accurate information should obtain this from the MBR or Numident.

SEX Sex of Account Number Holder

Type:	Character 1
Data Format:	
Cleared:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	Numident
Related Data Elements:	

DESCRIPTION AND PURPOSE

This field indicates the sex of the worker represented on this MEF record.

POSSIBLE VALUES

F = Female
M = Male
U = Unknown

USAGE NOTES

Although this data element has always existed on the file, it has not always been mandatory to collect this information; thus, the data element may be unknown.

Unknowns also arise because, at time of data transfer from hardcopy, information may have been illegible

This data element is not completely reliable, especially for historical years. Researchers who need the most accurate information should obtain this from the MBR or Numident.

SSN	Account Number (SSN or CAN)
------------	------------------------------------

Type:	Numeric 9
Data Format:	
Cleared:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	W-2, Numident
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element contains the SSN of the worker represented on this record.

POSSIBLE VALUES

XXX-YY-ZZZZ

Area: xxx (001-999). Assigned based on geographic area of the U.S.

Exceptions include:

700s - Railroad Retirement beneficiaries. Some of the 700s are now being released to states that have used up their initial allocation.

800s – Not yet used for SSNs.

900s – Not yet used for SSNs. Some numbers in this series were “pseudo numbers” given to welfare benefits (1974-early 1990s). These are not included in the Numident file, but they exist on other administrative files.

Group: YY (01-99). Time of issue: Even numbers are assigned first (02-98), followed by odd numbers (01-99).

Serial: ZZZZ (0001-9999). Serial number.

USAGE NOTES

New data are added to the MEF whenever SSA receives W-2 information for this SSN. In general, an MEF record is created when the corresponding Numident record is created. However, if there is no existing record for this SSN, a new record is created after checking the SSN against the Numident file.

SUM-QC	Quarters of Coverage Annually for 1951-1977
---------------	--

Type:	Numeric 1
Data Format:	
Cleared:	
Repeating:	Yes
Reset:	
Edited:	as new W-2 data are posted
Master Record Location:	Summary segment
Related Data Elements:	

DESCRIPTION AND PURPOSE

This field indicates quarters of FICA coverage by year for the earner represented on this MEF record.

POSSIBLE VALUES

Range 0 to 4

0 – No quarters of coverage

1-4 – 1-4 quarters of coverage

USAGE NOTES

This data element series exists for years 1951-1977 (i.e., SUM-QC51, SUM-QC52...SUM-QC77). It is not available after 1977 because of the switch to annual reporting of FICA earnings. However, summary data elements aggregating a range of years are available, including TOTAL-QC-4750 for the period preceding 1951.

SUM-QC data elements are derived from records of quarters of coverage from non-agricultural wages, agriculture wages, and self-employment have been summed and top-coded at 4 quarters per year.

TOTAL-EARNINGS-3750	Cumulative Earnings 1937 to 1950
----------------------------	---

Type:	Packed 7
Data Format:	Money: \$\$\$\$\$\$\$\$\$cc
Cleared:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	Summary segment
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element contains a summary of total earnings for 1937-1950.

POSSIBLE VALUES

Money format - \$\$\$\$\$\$\$\$\$cc

USAGE NOTES

“Total Earnings” are defined as Wage and Salary FICA -covered earnings plus Self-Employment SECA-covered earnings; in other words, this field represents all FICA earnings. Its components are thus capped at the FICA maximum.

TOTAL-EARNINGS-5177	Cumulative Earnings 1951 to 1977
----------------------------	---

Type:	Packed 7
Data Format:	Money: \$\$\$\$\$\$\$\$\$cc
Cleared:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	Summary segment
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element contains a summary of total earnings for 1951-1977.

POSSIBLE VALUES

Money Format - \$\$\$\$\$\$\$\$\$cc

USAGE NOTES

“Total Earnings” are defined as Wage and Salary FICA-covered earnings plus Self-Employment SECA-covered earnings; in other words, this field represents all FICA earnings.

TOTAL-EARNINGS-78TD Cumulative Earnings 1978 to Date

Type:	Packed 7
Data Format:	Money: \$\$\$\$\$\$\$\$\$cc
Cleared:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	Summary segment
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element contains a summary of total earnings for 1978-to date.

POSSIBLE VALUES

Money Format - \$\$\$\$\$\$\$\$\$cc

USAGE NOTES

“Total Earnings” are defined as Wage and Salary FICA-covered earnings plus Self-Employment SECA-covered earnings; in other words, this field represents all FICA earnings.

TOTAL-QC4750 Cumulative Quarters of Coverage 1947 to 1950

Type:	Numeric 2
Data Format:	
Cleared:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	Summary segment
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element contains a summary of total quarters of coverage from 1947-1950.

POSSIBLE VALUES

Range 00 through 16
0 – No quarters of coverage
1-16 – 1-16 quarters of coverage

USAGE NOTES

None.

TOTAL-QC5177 Cumulative Quarters of Coverage 1951 to 1977

Type:	Numeric 2
Data Format:	
Cleared:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	Summary segment
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element contains a summary of total quarters of coverage from 1951-1977.

POSSIBLE VALUES

Range 0 to 68

0	–	No quarters of coverage
1-68	–	1-68 quarters of coverage

USAGE NOTES

None.

EET	Earnings Type of Employment
------------	------------------------------------

Type:	Character 1
Data Format:	
Cleared:	
Repeating:	Yes
Reset:	No
Edited:	
Master Record Location:	Posted Detail segment
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element describes the type of employment that generated the income reported on this entry (W-2).

POSSIBLE VALUES

A – Regular
 C – Postable self-employment Medicare
 E – Military
 G – State and local
 H – Medicare in excess of FICA
 I – Self employment
 M – Agricultural
 N – Nonprofit
 O – MQGE Tips
 P – Household
 Q – Medicare Qualified Government Employee
 R – Railroad
 S – State and local tips
 T – Tips
 V – Limit of liability (state and local)
 W – State and local (agricultural)
 X – State and local agricultural (limit of liability)

USAGE NOTES

When used in conjunction with Earnings Report Type (ERT), this data element will permit identifying the precise type of W-2 form represented by this entry.

EIN	Employer Identification Number
------------	---------------------------------------

Type:	Alphanumeric 9
Data Format:	
Cleared:	
Repeating:	Yes
Reset:	
Edited:	
Master Record Location:	Posted Detail segment
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element provides a unique employer ID.

POSSIBLE VALUES

Nine-character string.

USAGE NOTES

All Earnings items except self-employment contain a nine-digit EIN. Self-employment items contain nine digits of information, consisting of a two-digit Internal Revenue District (IRD), a one-digit self-employment urban option field, a one-digit tab rate year, and five digits whose content depends on the year and type of the self-employment report.

If the self-employment report is for a year prior to 1978 or for fiscal 1978, the fifth position carries the number of credited quarters of coverage and positions 6-9 describe the quarterly coverage pattern. If the self-employment report is for an accounting year after 1977 or a fiscal year after 1978, 5-9 always contain zeros.

This data element allows aggregation of Detail Entries to the employer level.

ERT	Earnings Report Type
------------	-----------------------------

Type:	Character 1
Data Format:	
Cleared:	
Repeating:	Yes
Reset:	
Edited:	
Master Record Location:	Posted Detail segment
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element describes the type of employment or action represented by this entry.

POSSIBLE VALUES

- A – Current FICA
- C – Current W-2P
- D – Current non-FICA
- E – Current corrected FICA
- F – Current corrected non-FICA
- G – Current corrected W-2P
- I – Delinquent FICA
- H – Scouting detail with zero FICA
- L – Delinquent corrected FICA
- M – Pre-annual reporting quarterly from suspense
- N – Pre-annual reporting self-employment from suspense
- O – Tape self-employment
- P – 7010 annual
- Q – 7010 quarterly
- R – Unreported tips quarterly
- S – External adjustment quarterly
- T – Unreported tips annual
- U – External adjustment annual
- W – Quarterly current
- X – Quarterly delinquent
- Y – Internal adjustment quarterly
- Z – Internal adjustment annual
- 1 – Posting adjustment
- 2 – Posting adjustment from GERM process

USAGE NOTES

When used in conjunction with Earnings Type of Employment (EET), this data element will permit identifying the precise type of W-2 form represented by this entry.

ERY Earnings Report Year

Type:	Numeric 4
Data Format:	Date-CCYY
Cleared:	
Repeating:	Yes
Reset:	
Edited:	
Master Record Location:	Posted Detail segment
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element on a Posted Detail entry contains the tax year to which this entry applies.

POSSIBLE VALUES

Four-digit number.

USAGE NOTES

None.

ETC	Earnings Total Compensation
------------	------------------------------------

Type:	Packed 2
Data Format:	money-\$\$\$\$\$\$\$\$\$\$cc
Cleared:	
Repeating:	Yes
Reset:	
Edited:	
Master Record Location:	Posted Detail segment
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element is part of the Posted Detail Segment. It represents total taxable wages. It is not capped at the FICA maximum. However, it does not include any pre-tax payments to IRAs, retirement annuities, independent child care expense accounts, or other deferred compensation.

POSSIBLE VALUES

Monetary value with two implied decimal fractions.

USAGE NOTES

This data element corresponds to Box 1 on the W-2 form.

TRN	Total FICA Earnings
------------	----------------------------

Type:	Packed 6
Data Format:	money-\$\$\$\$\$\$\$\$\$\$cc
Cleared:	
Repeating:	Yes
Reset:	
Edited:	
Master Record Location:	Posted Detail segment
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element represents earnings subject to the FICA tax for this entry. It is capped at the FICA limit for the relevant tax year.

POSSIBLE VALUES

Monetary value with two implied decimal fractions.

USAGE NOTES

These Detail Segment entries correspond to earnings amounts appearing in Boxes 3 (FICA wages) and 7 (FICA tips) on the W-2 form. (They are part of a repeating entry and appear once for Box 3 and once for Box 7.)

A negative value represents a credit.

8.3. MEF-Based Extracts

The MEF has no widely used recurring extracts. The CWHS, which is built from the MEF and other data sources, is described in Chapter 10.

9. 831 Disability File

9.1. The 831 Disability Master File

The Disability Determination Services (DDSs) render the initial medical determination for individuals applying for disability benefits under Title II (Social Security) and Title XVI (Supplemental Security Income–SSI). The DDSs also make the reconsideration decision, which is the first level of appeal for medical denials. The 831 Disability file contains data from these DDS decisions. The 831 Disability file stores minimal data about subsequent appeals, such as decisions made by the SSA’s Office of Hearings and Appeals. Data on subsequent appeals, even if present, are usually incomplete.

9.1.1. Source of Data and File Structure

The 831 Disability files are maintained by the Office of Disability (OD) at SSA. An 831 record is established as soon as the DDS makes its decision. Subsequent decisions or corrections to earlier decisions result in additional records with the same identifiers but with new values and dates. The key identifying fields, SSN and BIC, identify the individual and FLD identifies the filing date of an application. When there is more than one record for a given application, the field AL identifies the “level” of decision within a progression of appeals (initial, reconsideration, etc.). DODEC holds the date of decision and can be used to identify the most current outcome.

Every Saturday, new 831 records are added to a “grow” file⁴. The grow file is archived into a yearly file (e.g., 1987 file of 831) that holds all decisions rendered in a calendar year. Yearly files may not be completed until April of the following

⁴ During the weekly update to the 831 Disability file, OD looks for records that pertain to the same initial application. If duplicate records are found the “best” data are kept. The OD criteria for a duplicate record is that the following variables be the same: SSN, RID, TOC, AL, BIC, DODEC, RDT, ND, DDS. Key variables are sorted in ascending order with the exception of RDT and ND which are in decending order. In 90-95 percent of all cases, SSN, FLD, and DODEC will give the latest record for an application. The exceptions result from reconsiderations when FLD mistakenly contains the date of appeal instead of the date of original application. After grouping records that appear to apply to the same application and adjudication level, OD evaluates the data elements that differ. If a field, such as the impairment code, is present in one record and not the other, the data are retained, regardless of the order of the records. However, if there are different impairment codes in the otherwise duplicate records, the information with the most recent time stamp is kept. Variable ND (not documented in this dictionary) identifies the source of the data and will be either NDDSS, the normal system for DDS decisions, or WBDOT (Wilkesberry Data Operational Center), a clerical data entry system that processes OHA decisions. Usually WBDOT data are recorded on the 832 and 833 files, not the 831, because OHA handles reopenings. (A reopening of an 831 decision replaces everything that happened before.) The next decision after a reopening is handled by OHA, but in some cases the OHA requests that the DDS re-examine its earlier decision (and informal remand).

year because of processing delays in receiving the decisions. Consequently, the 1999 grow file may still be adding records at the same time that the 2000 grow file has begun.

Yearly 831 Disability files are available back to 1988 and have uniform record layouts beginning with 1989. A person may have several 831 records in the same and/or different yearly files. Multiple 831 records are present if a person filed concurrent Title II and Title XVI claims, if an initial claim was denied and was then appealed, or if more than one application was filed. Each 831 yearly file is in SSN sequence. For Title II cases, the 831 SSN = CAN on the Master Benefit Record (MBR) and for Title XVI cases, the 831 SSN = PAN on the Supplemental Security Record (SSR).

9.1.2. Crosswalk of Research Subject and Data Elements

The 831 Disability file is primarily used for research on disability diagnoses.

9.1.3. Data Usage Issues

This subsection discusses one data usage issue, related to diagnosis coding.

Diagnosis Coding

The 831 primary impairment code (PDX) and the secondary impairment code (SDX) identify the medical conditions considered in the medical decision about disability or blindness. Prior to 1985, the diagnosis codes used were from the International Classification of Diseases, 9th Edition (ICD-9 Codes). Starting in 1985, impairment codes assigned are SSA-developed Impairment Codes, also known as Fussell codes. On occasion, the PDX and SDX will still contain ICD-9 codes set by the examining doctor. The 831 Disability file also contains a recoded primary impairment code (RPDX) and a recoded secondary impairment code (RSDX) that contain only SSA codes for the medical conditions. These are derived fields that look at the PDX and SDX and, if necessary, convert ICD-9 codes to their SSA equivalent. The Impairment Translation Table (of 1997) matches the two coding schemes and is included in Appendix C (page 582).

The National Disability Determination Services System (NDDSS) propagates the impairment codes to the 831 Disability file data elements PDX and SDX, the MBR data elements DIG and SDIG; and the SSR data elements DIBDIG and DIBDIG2. Because program files are getting the original diagnosis codes, not the recoded values, care must be taken when using the disability fields in the program files.

9.1.4. Linking 831 Data to the MBR and SSR

Analysts may want to link 831 decision data with claim records in SSA files. The 831 Disability file can provide more detailed information on the medical conditions and severity of illness associated with a beneficiary in the Title II Master Benefit Record (MBR) or Title XVI Supplemental Security Record data for SSI benefits (SSR).

To link 831 data to MBR records, the 831 records that apply to MBR cases must be selected by finding records with a RID value of '2'. Next, the 831 records should be sorted into ascending SSN/BIC/FLD sequence, so that the MBR CAN/BIC may be matched with the 831 SSN/BIC/DOF. Persons claiming Title II disability benefits on their own account have their own SSN in data element CAN. However, widows/widowers and disabled adult children have the SSN of a spouse or parent in the CAN. The MBR data element DOF will not always exactly match the 831 data element FLD for the same application. To match these records, a tolerance of up to plus-or-minus three months must be used. In some cases, it may be necessary to use the MBR data elements DOEC or DOEI instead of DOF to match with FLD.

To match 831 data with Title XVI data, Title XVI records must be selected from the 831 Disability file using the data element RID (value of 'R'). Next, the 831 records must be sorted into ascending SSN/FLD sequence so that the SSR PAN/APPL-JD may be matched with the 831 SSN. Matching these records may require a plus-or-minus one-month tolerance of difference in dates.

To link 831s (which are application-specific records) to specific applications from other SSA administrative data (e.g., the LRF–Longitudinal Record Format), the researcher needs to use the SSN and filing date from the 831 record. Depending on the extract being linked to, either the application date or the filing date should be used. If the SSR application date is used, it is recommended that the researcher use a one-month fuzz factor. That is, since the dates may not always yield exact matches, it is necessary to consider records matching if there is a plus-or-minus one month difference in these dates.

9.2. Description of 831 Disability File Data Elements

The following pages document the data elements in the 831 Disability file that are particularly relevant for research. The table below lists those data elements. They are presented in alphabetical order. Page headers note the data element being described on a given page.

Data element	Description	Page
AL	Adjudicative Level	459
BIC	Beneficiary Identification Code	461
BS	Body System Codes	462
DAA	Drug or Alcohol Addiction	463
DDPB	Date Disability Period Began	465
DODEC	Date of DDS or SSA Decision	466
DPM	Permanent Disability Code	467
ED	Education Years	468
FLD	Filing Date	469
PD	Presumptive Disability Decision	471
PDD	Presumptive Decision Date	472
PDX	Primary Impairment Code	473
RB	Regulation Basis Code	475
RDT	Result of Determination	479
RID	Record Identification	480
RPDX	Recoded Primary Impairment Code	481
RSDX	Recoded Secondary Impairment Code	482
SDX	Secondary Impairment Code	483
SSN	Social Security Number	484
ST	State Of Claimant Residence	485
STNUM	State Code (Numerical)	486
STRDATE	State Receipt Date	488
TOC	Type of Claim	489
ZIP	ZIP Code of Claimant	491

AL	Adjudicative Level
-----------	---------------------------

Type:	Character 1
Data Format:	
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	RID, FLD, DODEC

DESCRIPTION AND PURPOSE

Awards for Title II and Title XVI program eligibility are made at several different levels in the adjudication process depending on the number of appeals made as a result of being denied eligibility. This data element identifies the level in the adjudication process for a decision (RDT). AL codes 'A' through 'F' denote the sequence of ever-higher levels of decisions in the adjudicative process.

POSSIBLE VALUES

- A - Initial DDS (Disability Determination Services) decision
- B - Reconsideration DDS decision
- C - Recon DHU (Disability Hearing Unit) in DDS
- D - ALJ (Administrative Law Judge) in Office of Hearing & Appeals
- E - Appeals Council of OHA
- F - Federal District Court final level of appeal
- G - Reopening – usually new evidence
- I - Informal Remand being reviewed at higher level and sent back to lower level for reviewing again.
- Z - Unknown

USAGE NOTES

The AL code 'A' is used to identify the first decision made on an application. If an appeal is filed, a new 831 record is created with an AL code of 'B'. An AL code of 'G' or 'I' refers to reopening or reexamining a decision at a later date.

The code 'C' is used to identify decisions made by a special group within DDS. This group is primarily responsible for reviewing cases that have been identified for termination of benefits by the CDR (Continuing Disability Review) process. For this reason, these decisions would be found on the 832 or 833 file and not on the 831. However, on rare

occasions, a special group of persons will have their initial applications reviewed by the DHU and these 'C' decisions would show on the 831.

Initial (AL='A') and reconsideration (AL= 'B' or 'C') decisions are made by the Disability Determination Services (DDS) which are State organizations funded by SSA. Other decisions or reopenings occur in the Office of Hearing and Appeals (OHA). When decisions are made in OHA the hearing results are put in the OHA case control system. This information is sometimes, but rarely, typed into a file for updating the 831. However, even when present, this data may be in error and incomplete. When an OHA decision is made, the MBR and SSR program files are updated by the field offices, which are notified of the decision.

In the case of an informal remand (AL = 'I'), an appeal has been lodged with the OHA where it is referred back to the level of last decision. If OHA decides instead to consider the appeal itself, the case is considered reopened (AL = 'G').

In addition to the appeal process, multiple 831 records may be created if a person files multiple applications for Title II (Social Security) and/or Title XVI (Supplemental Security Income-SSI). There should be at most one 831 record for any adjudication level for a particular application. There are exceptions that are explained in Section 9.1.1.

When there are multiple 831 records for a single applicant, data elements other than SSN must then be used to distinguish these cases.

Reason for multiple records	Selection data element
Applies for Title II and Title XVI	RID
Appeals a decision, reopening, or remand	AL
Reapplies within one program	FLD

To identify the most current 831 record associated with a particular person's application by adjudication the file should be sorted (all in ascending order) by SSN, BIC (if RID='2'), FLD, AL, and DODEC. The last 831 for each level is the most current for that level of adjudication. However, to find the most current status of a person's specific application, the sort should not include the field AL.

OHA is under SSA but operates independently.

A & B are the most common values. Currently, there is little information on the 831 Disability files about appeals above the reconsideration level. This explains the infrequency of codes D, E, and F.

BIC	Beneficiary Identification Code
------------	--

Type:	Character 2
Data Format:	
Repeating:	No
Reset:	No
Edited:	Yes
Master Record Location:	N/A
Related Data Elements:	SSN, RID

DESCRIPTION AND PURPOSE

This data element is the beneficiary identification code from the MBR for Title II applicants.

POSSIBLE VALUES

A - Primary
Cx - Child
Dx - Widow/widower
Ex - Widow/widower
Mx- Uninsured
RA- Assistance requested/litigation case
T - Uninsured or renal
Tx - Medicare qualified federal employee's auxiliary
Wx- Widow/widower
ZZ - Unknown

Note: x means anything other than blank

USAGE NOTES

All SSI cases are set to an 'A' in this field because in Title XVI the SSN always holds the SSN of the applicant. However, for Title II applications, the SSN may be that of someone other than the beneficiary, such as a spouse or child. Therefore, BIC is needed to distinguish individuals. See RID to determine if this is a Title II or a Title XVI applicant.

The code 'RA' is from neither the MBR nor the SSR. This code is used when the DDS has requested the assistance of another DDS to pursue medical evidence. It is also used to identify litigation cases. BIC code of 'RA' is rare and may account for duplicate records.

BS	Body System Codes
-----------	--------------------------

Type:	Character 2
Data Format:	
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	RB, PDX, SDX

DESCRIPTION AND PURPOSE

This data element indicates the diagnostic category under which the PDX falls.

POSSIBLE VALUES

- 01 - Musculoskeletal system
- 02 - Special senses and speech
- 03 - Respiratory system
- 04 - Cardiovascular system
- 05 - Digestive system
- 06 - Genito-urinary system
- 07 - Hemic and lymphatic-system
- 08 - Skin
- 09 - Endocrine system
- 10 - Multiple body systems
- 11 - Neurological
- 12 - Mental disorders
- 13 - Neoplastic diseases, malignant
- 14 - Immune deficiency
- 19 - Growth impairment
- 20 - Other/unknown code
- 99 - Invalid code used
- Blank - Not available

USAGE NOTES

The data in this field are very reliable.

These codes come from the listing of impairments in the Code of Federal Regulations (CFR) 404 subpart P, appendix 1 to subpart P, part A.

DAA	Drug or Alcohol Addiction
------------	----------------------------------

Type:	Character 1
Data Format:	
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates the role of alcohol and drugs in this case.

POSSIBLE VALUESAPPLICATIONS FILED BEFORE 3/29/96

UNFAVORABLE CASES:

- A - Alcohol does contribute to findings
- B - DAA both contribute to findings
- D - Drugs do contribute to findings

ALL CASES:

- I - Drug addiction or alcoholism is not a condition or not involved
 - N - DAA does not contribute to findings
- blank = unknown/invalid code

APPLICATIONS FILED AFTER 3/29/96

UNFAVORABLE CASES:

- J - Alcoholism contributing factor/material
- K - Drug addiction contributing factor/material
- L - Both drug and alcohol contributing factors/material

FAVORABLE CASES:

- W - No medical evidence of DAA
- X - Alcoholism is not material to findings
- Y - Drug addiction is not material to findings
- Z - Neither drugs nor alcohol contribute to findings

- # - Invalid code
- blank - Not coded

USAGE NOTES

Effective January 1997, these data are no longer the basis for SSI or SSDI eligibility.

Beginning in March 1996, claims based on DAA were denied. However, some people who were already receiving benefits had their benefits continued until January 1997. If in that interval they qualified for another category of disability, their benefits continued.

This data element is contained in the SSR master file under the acronym DRUGM and in the MBR master file as DAA.

Codes J, K, L, W, X, Y, and Z were effective as of March 1996 with DAA legislation, but coding was not input by DDS centers until May 1996.

DDPB	Date Disability Period Began
-------------	-------------------------------------

Type:	Character 8
Data Format:	Date: CCYYMMDD
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates the date of onset of disability.

POSSIBLE VALUES

CCYYMMDD	Century, Year, Month and Day of onset
CCYYMM15	- Century, Year, Month of onset - Unknown Day
CCYY8888	- Century and Year of onset - Unknown Month/Day
77777777	- Invalid Characters
99999999	- Unknown/Not Available

USAGE NOTES

All allowances have valid data.

DODEC	Date of DDS or SSA Decision
--------------	------------------------------------

Type:	Character 8
Data Format:	Date: CCYYMMDD
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	SSN, FLD, AL

DESCRIPTION AND PURPOSE

This data element identifies the date the decision was made with respect to a particular application for Title II or Title XVI at a particular level in the adjudicative process.

POSSIBLE VALUES

CCYYMMDD	Century, Year, Month and Day of decision
CCYYMM15	- Century, Year, Month of decision - Unknown Day
CCYY8888	- Century/Year of decision - Unknown Month/Day
77777777	- Invalid Characters
99999999	- Unknown/Not Available

USAGE NOTES

In most cases, a new decision results in a new record being added to the 831 with the decision date in DODEC. Usually multiple records with the same SSN/BIC/FLD will have different values for AL and DODEC. However, if there are multiple 831 records for a given SSN/BIC/FLD, the record having the most current DODEC should be used to determine the final outcome. When there are two decisions at the same AL, one should have been marked as a reopening, but this will not always be consistent.

Not all decisions are recorded in the 831 Disability file. For example, some SSI awards are adopted from a prior Title II award and no further DDS decision is required. Moreover, the 831 Disability file stores minimal data about appeals above the reconsideration level. The latest 831 could show an RDT of 'D' (a denial) even if the denial decision had been reversed by SSA's Office of Hearings and Appeals.

DPM	Permanent Disability Code
------------	----------------------------------

Type:	Character 1
Data Format:	
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element provides a measure of severity of disability.

POSSIBLE VALUES

Blank	-	Not coded
N	-	Not a permanent disability
P	-	Permanent disability
0 (Zero)	-	Denial or cessation decision
Z	-	Unknown

USAGE NOTES

The data in this field are not reliable.

ED Education Years

Type:	Character 2
Data Format:	
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates the number of years of schooling completed.

POSSIBLE VALUES

00-26 - Years of schooling

99 - Unknown

ZZ - Unknown

Blank - Not available

USAGE NOTES

The data in this field are not very reliable. They should be better from 1992 on.

Some sources of this field use 99 for unknown or illegal value and other sources use ZZ or blank.

FLD	Filing Date
------------	--------------------

Type:	Character 8
Data Format:	Date: CCYYMMDD
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	DODEC, RID, AL

DESCRIPTION AND PURPOSE

This data element identifies the date that the application for Title II or Title XVI disability was filed.

POSSIBLE VALUES

CCYYMMDD	Century, Year, Month and Day of filing
CCYYMM15	- Century, Year, Month of filing - Unknown Day
CCYY8888	- Century and Year of filing - Unknown Month/Day
77777777	- Invalid Characters
99999999	- Unknown/Not Available

USAGE NOTES

The filing date should be the same for all 831 records pertaining to the same original application. However, a reconsideration occurring 2-3 months after the initial decision may, mistakenly, be given a new filing date.

Filing date identifies the date an application was received (i.e., filed) and may differ from the application date on the original program file (MBR and SSR). For example, the SSI application process considers the application date to be the day a person makes an initial inquiry, which could have been a phone call. Although the paper work is processed later, the date of the call is treated as the official filing date. However, when the 831 record is created, it may take the date of the paper filing as its FLD and thus differ from the APPL-JD on the SSR. Differences may also arise because the 831 filing date data element is an unedited data element and the MBR and SSR data elements are edited.

There may be multiple 831 records for a single applicant. Data elements other than SSN must then be used to distinguish these cases.

Reason for multiple records	Selection data element
Applies for Title II and Title XVI	RID
Appeals a decision, reopening, or remand	AL
Reapplies within one program	FLD

In 831 Disability files for the years 1988-1990, the year and month are in the FLD data element (e.g., FLD=19880500).

PD	Presumptive Disability Decision
-----------	--

Type:	Character 1
Data Format:	
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	RDT, PDD

DESCRIPTION AND PURPOSE

This data element indicates that a presumptive disability has been awarded before the DDS has made a final determination. A beneficiary may receive up to six months of paid benefits while waiting for a decision.

POSSIBLE VALUES

- 1 - DO decision (District Office)
- 2 - DDS decision (Disability Determination Services)
- Z - Unknown
- Blank - Not Coded/Not Applicable

USAGE NOTES

The 831 rarely contains cases with a '1' in this field because they are considered temporary benefit awards rather than decisions. Consequently, the first decision by DDS has an AL code of 'A' and ignores the PD.

PD is a NDDSS (National Disability Determination Services System) element only. The NDDSS provides the DDS offices with automated control data. NDDSS is a database of decisions and pending decisions made by DDS. OD uses it to update the 831 Disability file.

PD only applies to SSI (Title XVI) cases. On the SSR file, the data element DPC has a P if this is '1' or '2'.

If the final decision is a medical denial, benefits received under PD need not be repaid.

PDD	Presumptive Decision Date
------------	----------------------------------

Type:	Character 6
Data Format:	Date: CCYYMM
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	PD, DODEC

DESCRIPTION AND PURPOSE

This data element indicates the date that the decision was made to begin PD payments. There is a limit of six months on PD payments.

POSSIBLE VALUES

CCYYMM	Century, Year, Month of decision
CCYY88	Century and Year of decision - Unknown Month
777777	Invalid Characters
999999	Unknown/Not Available

USAGE NOTES

None.

PDX	Primary Impairment Code
------------	--------------------------------

Type:	Character 4
Data Format:	
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	BS, SDX, RPDX, RSDX; DIG in MBR file; DIBDIG in SSR file

DESCRIPTION AND PURPOSE

This data element identifies the primary impairment code used in the medical determination of an individual's eligibility for disability benefits under Title II or Title XVI. PDX contains a four-digit impairment code devised by SSA (SSA Impairment Code) or an ICD-9 code without decimal point (e.g., 3195 rather than 319.5). With few exceptions, if the PDX contains an SSA code, the fourth position will be a zero

POSSIBLE VALUES

A complete set of SSA Impairment Codes and corresponding ICD-9 codes is given in Appendix C (page 582).

The following table groups the codes into diagnostic groups. These groupings are used in the computation of table 6.C3 in the Annual Statistical Supplement to the Social Security Bulletin. Only the leftmost three digits are used in most cases, as the fourth digit is generally a zero.

Impairment Codes	Diagnosis group
000	Blank
001, 649, 677-679, 999	Invalid codes
002-139	Infectious and parasitic diseases
140-239	Neoplasms
240-247, 249-279	Endocrine, nutritional, metabolic
280-289	Diseases of the blood
290-316 (except 315.2)	Mental disorders (other than mental retardation)
317-319 (except 319.5)	Mental retardation
320-389	Nervous system and sense organs
390-459	Circulatory system

Impairment Codes	Diagnosis group
460-519	Respiratory system
520-579	Digestive system
580-629	Genitourinary system
680-709	Skin and subcutaneous tissue
710-739	Musculoskeletal system
740-759	Congenital anomalies
800-998	Injuries
248, 315.2, 319.5, 630-648, 650-676, 760-799	Other

Note: Code 249 used to be grouped under “mental disorders.”

USAGE NOTES

ICD-9 codes were used exclusively before 1985. Since that time, the DDS have been using the new SSA Impairment coding scheme. ICD-9 codes delineate specific diagnoses with four digits; the SSA codes are usually less specific three-digit codes. The DDS should use SSA Impairment Codes, but continues to use both coding systems.

Primary impairment codes are frequently missing. Certain values denote ‘missing’ values including all blank, ‘0000’, ‘0001’, ‘999x’ series and any non-numeric values. Values of ‘2480’ and ‘6490’ are used by the DDS to identify diagnoses that they cannot classify accurately or do not have complete information on. The ‘2480’ and ‘6490’ codes are the DDS’s counterpart of the ‘780x’—‘799x’ ICD-9 series, which classifies all diagnoses that fall under the classification of ‘symptoms, signs, and ill-defined conditions’. Code ‘2840’ covers “other” situations when there is medical evidence of disability but no listing impairment code that represents the illness.

RB	Regulation Basis Code
-----------	------------------------------

Type:	Character 2
Data Format:	
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	BS

DESCRIPTION AND PURPOSE

This data element identifies the basis for meeting or not meeting the specific program regulations of Title II and Title XVI disability programs. For allowances, this data element captures whether the person meets or equals the criteria (either medically or functionally) or has been allowed through an IFA (Independent Functional Assessment). (As of August 1996, under the Welfare Reform Legislation P.L. 104-193, IFA is no longer used.) RB also captures the reason that a person's application has been denied. This includes such things as whether the person engages in SGA (Substantial Gainful Employment), whether the impairment is not deemed severe or s/he does not meet or equal the criteria (medically or functionally), whether insufficient evidence was furnished, or whether the person failed to cooperate or follow prescribed treatment.

POSSIBLE VALUES

Codes A1-X3 are related to Title II.
 Codes 30-48, 51, and 61-67 are related to Title XVI.
 The code CE could be either Title II or Title XVI.

Title XVI Codes

Allowed:

62	Impairment equals listings
63	Medical vocational considerations
64	Medical vocational – arduous unskilled work
65	Medically equals listings
66	Impairment functionally equals listing requirements
67	IFA – impairment of comparable severity
CE	Collateral estoppel

Denied:

30	Impairment not severe - no visual allegation
31	Capacity for SGA - past relevant work - no visual allegation
32	Capacity for SGA - other work - no visual allegation
33	Engaging in SGA - with/without visual allegation
34	Impairment prevented SGA < 12 months - no visual allegation
35	Impairment not expected to last 12 months – no visual allegation
36	Insufficient evidence - with/without visual allegation
37	Failure/refusal to submit to CE - with/without visual allegation
38	Does not want to continue development of claim - with/without visual allegation
39	Failure to follow prescribed treatment - with/without visual allegation
40	IFA shows impairment not comparable severity - no visual allegation
41	Impairment not severe - visual allegation
42	Capacity for SGA - past relevant work – visual allegation
43	Capacity for SGA - other work - visual allegation
44	Impairment not severe - with/without visual allegation
45	Impairment prevented SGA < 12 months – visual allegation
46	Impairment not expected to last 12 months – visual allegation
51	IFA shows impairment not of comparable severity – visual allegation

Title II Codes

Allowed:

A1	Impairment meets the listings
B1	Impairment equals the listings
C1	Medical vocational considerations
D1	Medical vocational – arduous unskilled work
CE	Collateral estoppel

Denied:

E1	Impairment prevented SGA < 12 months – ER/PP/reent period met
----	---

E2	Impairment prevented SGA < 12 months – ER/PP/reent period not met
E3	Impairment not expected to last 12 months – ER/PP/reent period met
E4	Impairment not expected to last 12 months – ER/PP/reent period not met
F1	Impairment not severe - ER/PP/Reent period met
F2	Impairment not severe - ER/PP/Reent period not met
G1	Capacity for SGA, vocational consider - reent period met
G2	Capacity for SGA, vocational consider - reent period not met
H1	Capacity for SGA, past relevant work - ER/PP met
H2	Capacity for SGA, past relevant work - ER/PP not met
J1	Capacity for SGA, other work - ER/PP met
J2	Capacity for SGA, other work - ER/PP not met
K1	Failure to follow prescribed treatment - ER/PP/reent period met
K2	Failure to follow prescribed treatment - ER/PP/reent period not met
L1	Failure/refusal to submit to CE - ER/PP/reent period met
L2	Failure/refusal to submit to CE - ER/PP/reent period not met
M3	Does not want to continue development of claim - use evidence in file - ER/PP/reent period met
M4	Does not want to continue development of claim - use evidence in file - ER/PP/reent period not met
M5	Insufficient evidence - ER/PP/reent period met
M6	Insufficient evidence - ER/PP/reent period not met
M7	Does not want to continue development of claim - do not use evidence in file - ER/PP/reent period met
M8	Does not want to continue development of claim - do not use evidence in file - ER/PP/reent period not met
N1	Engaging in SGA - ER/PP met
N2	Engaging in SGA - ER/PP not met
S1	Res judicata - ER/PP not met
X3	Medicare only case - AOD on or after age 62 years 7 months/or not disabled, whereabouts unknown
Z1	DAA is material to the determination of disability-- ER/PP/reent period met
Z2	DAA is material to determination of disability-- ER/PP/reent period not met

USAGE NOTES

This data element is not carried on the SSR or MBR files.

It is a good measure of the severity of the disability.

Medical vocational refers to persons who do not meet the list of medical impairments but are nevertheless unable to work.

Code 66 was added for Title XVI children.

RDT	Result of Determination
------------	--------------------------------

Type:	Character 1
Data Format:	
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	PD, RB

DESCRIPTION AND PURPOSE

This data element gives the result of the determination for an application for disability benefits at a particular level in the adjudicative process. Results are generally either an allowance or a denial. In some cases the period of disability will be closed and there will be no further payments.

POSSIBLE VALUES

- A - Allowance
- D - Denial
- C - Closed period

USAGE NOTES

A code of C occurs when the final determination is sufficiently delayed such that it is decided that the applicant was disabled at the time of application and for some interval since, but is no longer disabled. A one-time check will be paid to cover the period of disability. A C code could also apply to a diagnosis that is only temporarily disabling. C is considered an allowance even though it may be for a limited period of time.

On the SSR file this will have a PSTAT of C01 for one month or more followed by a PSTAT code of N07.

RID Record Identification

Type:	Character 1
Data Format:	
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	SSN, BIC, AL

DESCRIPTION AND PURPOSE

This data element identifies the program (Title II or Title XVI) with which the 831 record is associated.

POSSIBLE VALUES

- 2 Title II application source
- R Title XVI application source

USAGE NOTES

This data element must have a legal value. No blanks are allowed.

There may be multiple 831 records for a single applicant. Data elements other than SSN must then be used to distinguish these cases.

Reason for multiple record	Selection data element
Applies for Title II and Title XVI	RID
Appeals a decision, reopening, or remand	AL
Reapplies within one program	FLD

RPDX	Recoded Primary Impairment Code
-------------	--

Type:	Character 4
Data Format:	
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	PDX, SDX, RSDX

DESCRIPTION AND PURPOSE

This data element identifies the primary impairment code used in the medical determination for an individual's eligibility for Title II or Title XVI disability benefits. It is derived from the PDX and is always a four-digit SSA Impairment Code.

POSSIBLE VALUES

See data element PDX and Appendix C (page 582).

USAGE NOTES

None.

RSDX Recoded Secondary Impairment Code

Type:	Character 4
Data Format:	
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	SDX, PDX, RPD

DESCRIPTION AND PURPOSE

This data element identifies the secondary impairment code used in the medical determination for an individual's eligibility for Title II or Title XVI disability benefits. It is derived from the SDX is always a four-digit SSA Impairment Code.

POSSIBLE VALUES

See PDX.

USAGE NOTES

None.

SDX	Secondary Impairment Code
------------	----------------------------------

Type:	Character 4
Data Format:	
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	PDX, RSDX, RPDY

DESCRIPTION AND PURPOSE

This data element identifies the secondary impairment code used in the medical determination for an individual's eligibility for Title II or Title XVI disability benefits. SDX is a four-digit SSA Impairment Code or an ICD-9 code without decimal points (e.g., 3195 rather than 319.5).

POSSIBLE VALUES

See PDX.

USAGE NOTES

This data element exists on the SSR Master file under the acronym DIBDIG2 and on the MBR Master file as SDIG.

The secondary impairment code was added to the SSR in January 1995 and to the MBR in August 1995. It should be present for allowed claims filed in 1996 and later. The SSR/MBR coding comes from the 831 SDX.

SSN	Social Security Number
------------	-------------------------------

Type:	Character 9
Data Format:	
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	BIC, RID, FLD, DODEC

DESCRIPTION AND PURPOSE

This data element provides the account number under which this disability award may be determined.

POSSIBLE VALUES

AAAGGSSSS	The area number (AAA), the group number (GG), and a serial number (SSSS)
999999999	Unknown SSN

USAGE NOTES

For Title II cases, the 831 SSN is equal to the CAN on the MBR; for Title XVI cases, the 831 SSN is equal to the PAN on the SSR. See BIC for more information on Title II identifiers. Use RID to determine whether this is a Title II or Title XVI application.

The 831 Disability file is in SSN sequence.

On Title XVI, the SSN always holds the SSN of the applicant. However, for Title II applications, the 831 SSN may not be that of the applicant. Use SSN and BIC to uniquely identify a Title II applicant in the 831 Disability file. See BIC for more information.

There may be multiple 831 records for a single applicant. Data elements other than SSN must then be used to distinguish these cases.

Reason for multiple records	Selection data element
Applies for Title II and Title XVI	RID
Appeals a decision, reopening, or remand	AL
Reapplies within one program	FLD

ST State Of Claimant Residence

Type:	Character 2
Data Format:	
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	STNUM, ZIP

DESCRIPTION AND PURPOSE

This data element indicates the state of residence of the claimant.

POSSIBLE VALUES

SS Postal Service State alpha codes (AL-WY)

USAGE NOTES

This data element is decoded from ZIP code.

STNUM	State Code (Numerical)
--------------	-------------------------------

Type:	Numeric 2
Data Format:	
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	ST, ZIP

DESCRIPTION AND PURPOSE

This data element provides a numeric state code.

POSSIBLE VALUES

01	Alabama	18	Kentucky
02	Alaska	19	Louisiana
03	Arizona	20	Maine
04	Arkansas	21	Maryland
05	California	22	Massachusetts
06	Colorado	23	Michigan
07	Connecticut	24	Minnesota
08	Delaware	25	Mississippi
09	District of Columbia	26	Missouri
10	Florida	27	Montana
11	Georgia	28	Nebraska
12	Hawaii	29	Nevada
13	Idaho	30	New Hampshire
14	Illinois	31	New Jersey
15	Indiana	32	New Mexico
16	Iowa	33	New York
17	Kansas	34	North Carolina
35	North Dakota	46	Utah
36	Ohio	47	Vermont
37	Oklahoma	48	Virgin Islands
38	Oregon	49	Virginia
39	Pennsylvania	50	Washington
40	Puerto Rico	51	West Virginia
41	Rhode Island	52	Wisconsin
42	South Carolina	53	Wyoming
43	South Dakota	97	Northern Mariana Islands
44	Tennessee	98	Guam
45	Texas	99	American Samoa

USAGE NOTES

None.

STRDATE State Receipt Date

Type:	Character 8
Data Format:	Date: CCYYMMDD
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	DODEC

DESCRIPTION AND PURPOSE

This data element provides the date DDS received the claim for adjudication.

POSSIBLE VALUES

CCYYMMDD Century, year, month and day of receipt
 CCYYMM15 - Century, year, month of receipt - unknown day
 CCYY8888 - Century and year of receipt - unknown month/day
 77777777 - Invalid characters
 99999999 - Unknown/not available

USAGE NOTES

If DODEC is after 1990, there will be a STRDATE.

TOC	Type of Claim
-----	---------------

Type:	Character 1
Data Format:	
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element identifies the type of disability for which the applicant is claiming benefits.

POSSIBLE VALUESTitle II

- 1 - DIB (disabled worker)
- 2 - FZ (blind worker)
- 3 - DWB (disabled widow/widower)
- 4 - CDBR (disabled child benefits RSI)
- 5 - CDBD (disabled child benefits DIB)
- 6 - RDR (end-stage renal--retirement)
- 7 - RDD (end-stage-renal--disability)
- 8 - RD (end-stage renal--on own SSN)
- 9 - PR (child - grandparent--retirement)
- P - PD (child - grandparent--disability)
- M - MQFE (Medically Qualified Federal Employee)

Title XVI

- A - DI (disabled worker)
- B - DS (disabled spouse)
- C - DC (disabled child)
- D - BI (blind individual)
- E - BS (blind spouse)
- F - BC (blind child)
- G - AI (aged individual) effective 7/98
- H - AS (aged spouse) effective 7/98

- Z - Unknown
- Blank - Not available

USAGE NOTES

In general, the value of TOC on the 831 Disability file matches the SSR element MFT for the same application.

A non-citizen over 65 cannot receive benefits based solely on age. The individual must also be disabled.

ZIP ZIP Code of Claimant

Type:	Character 9
Data Format:	
Repeating:	No
Reset:	No
Edited:	No
Master Record Location:	N/A
Related Data Elements:	

DESCRIPTION AND PURPOSE

This data element indicates the ZIP code of the claimant.

POSSIBLE VALUES

See National ZIP Code Directory.

USAGE NOTES

None.

9.3. 831 Disability File Extracts

There are no recurring extracts based on the 831 Disability data file.

10. Continuous Work History Sample (CWHS) Data Dictionary

10.1. The CWHS Master File

10.1.1. Source of Data and File Structure

The term Continuous Work History Sample (CWHS) is somewhat ambiguous. At first (1939), the CWHS had an SSN-based sample design, which was used to extract various size samples from SSA's program records. Today, when SSA analysts use the term CWHS, they usually refer to a series of annual data files containing, but not limited to, earnings and employment data from SSA program records.

Unlike the MBR, SSR, Numident, and MEF, the CWHS is an analytical master file. It is created from several administrative master files and its primary purpose is to support research and statistical analysis rather than to administer specific SSA programs. However, the CWHS is one of the primary resources for data on earnings and employment within ORES and is one of the main ways in which ORES analysts encounter the earnings data of the MEF.

Most of the CWHS files are currently based on one percent samples of the MBR and MEF master files. Some of the CWHS files are longitudinal and cover data from the beginning of SSA programs, while some are confined to in-depth views of aspects of single calendar years' earnings or employment. The sample records are selected based on certain serial digits of the SSN. The same digits are used each year, which increases the continuity of the data. In many situations, the sample can be treated as a random sample, but subsampling can be complicated and should be discussed with SSA analysts.

The CWHS is updated annually. The annual cycle adds data from newly issued SSNs from the Numident file. Records for deceased workers are not purged from the files, so birth cohorts can be studied over time. The MBR provides certain selected claims and benefits data, and the MEF is used to add the latest year of earnings, or in the case of one percent 1937-to-date CWHS longitudinal files all years earnings data are updated for adjustments and corrections to the MEF. In addition to the above data, which are derived from SSA master files, the CWHS files access items from Internal Revenue Service (IRS) Schedule SE of Form 1040, some basic employer coverage, industry, and geographic information from the employer's Application for Identification Number (Form SS-4), and worker residence (state and county) from the processing of W-2 data at SSA.

It is important to note the timing of arrival of new earnings, claims, and benefits data. The update sequence has traditionally been controlled by the posting cycle

for earnings data. The point at which earnings data are considered complete for statistical purposes is February, fourteen months after the end of a calendar tax year. This means that for year 2000 earnings data, the extraction from the MEF will occur in February 2002 and the CWHS files will be generated over the next seven to eight months. Therefore, the CWHS files for year 2000 will become available in September or October 2002. The CWHS files are designed to capture an accounting picture of the target data year. This means that claims and benefit data for the year 2000 CWHS files concern entitled benefits and insured status for the year 2000 as opposed to the actual date of payment of benefit checks or processing of claims forms. To achieve this time frame, the 2000 CWHS files use a December 2000 and a June 2001 MBR extract to determine entitlements for year 2000.

There are two versions of the CWHS—an active one and an inactive one. The active file provides a longitudinal work history of covered and noncovered earnings (including from self-employment), demographic information, and an annual picture of claims and benefit information for each SSN. The term “active” refers to any worker with earnings from *any* employment, regardless of whether those earnings are covered under Social Security. Prior to 1978, the CWHS tracked only Social Security-covered earnings; starting in 1978, the CWHS was extended to include uncovered earnings. The inactive file provides a longitudinal history, including demographic, claims, and benefit information, for individuals who have never worked and, thus, have no earnings, covered or uncovered. The active file is used far more frequently than the inactive file. For both files, the unit of observation is an SSN.

Files Produced in CWHS System

- **One-percent 1937-to-Date CWHS File.** A one percent sample of SSNs issued through the cut-off date of the file reflecting the individual’s entire work experience in covered employment. Basic data elements include: (1) demographic characteristics—year of birth, sex, and race; (2) employment—number of years employed, first and last years employed, and number of quarters of coverage from 1937 to date; (3) type of work—farm or non-farm, wage, or self-employment; (4) taxable earnings each year from 1951-to-date; (5) self-employment taxable income each year from 1951 to the year prior to current year; (6) self-employment net earnings, for year prior to current year; (7) insurance status; (8) benefit status and dates of entitlement; (9) Medicare taxable earnings, including earnings over the Social Security annual taxable maximum beginning in 1991, and Medicare-only earnings (wages 1983-to-date and self-employment 1991-to-date); and (10) total compensation from the W-2 form (1978 and later).
- **One-percent Annual Employee-Employer (EE-ER) File.** This is a one percent sample of SSNs for which wage and salary employment was reported for the reference year. One record exists for each employer-employee

combination. Basic data elements include: (1) personal characteristics – year of birth, sex, and race; (2) wage-annual taxable, total estimated wages (prior to 1978), and total compensation (1978 and later); (3) employer-state and county, industry, and coverage group (such as farm, household, and federal civilian; and (4) Medicare earnings (1983 and later). A major distinction of the EE-ER from the CWHS is that earnings are reported at the employer level, from the “detail” portion of the MEF. There is a record for each employer a worker is employed by in the year. They are not summarized to an annual total.

There are two versions of this file, a Social Security (FICA) and Social Security-covered and non-covered (FICA/non-FICA) version. Both files contain information about Social Security covered employment. The FICA/non-FICA file contains additional information about earnings over the Social Security annual taxable maximum, employment and earnings covered only by Medicare, and employment and earnings not covered by Social Security or Medicare.

- **One-percent 1957-1994 Longitudinal Employee-Employer Data (LEED) File.** This is a longitudinal version of the EE-ER file, sorted by SSN, year, and EIN (Employer Identification Number) combination. The basic data elements are the same as for the Annual EE-ER file for the corresponding years. This file is used by other federal agencies for studies of workers in different geographic regions and different industries over time.
- **One-percent Annual Self-Employed (SE) File.** This is a 1-percent sample of SSNs for which self-employment earnings subject to Social Security and/or Medicare taxation were reported in the reference year. From 1991 to 1993, self-employment income was taxable for Medicare beyond the Social Security annual taxable maximum up to the higher Medicare maximum. In 1994, the Medicare annual taxable maximum was eliminated. For workers with Social Security wages at the annual taxable maximum any self-employment they had was subject to Medicare taxation up to the Medicare annual taxable maximum from 1991 to 1993, and with no limit from 1994 on. This is referred to as Medicare-only self-employment income, and is included in this file beginning with 1996. Medicare-only self-employment income from 1991 on is found in the CWHS file.

10.1.2. Data Usage Issues

There are two special data fields created in the CWHS update process that are not part of the parent administrative record systems: insured status and benefit status. Insured status measures an individual’s projected eligibility for retirement and entitlement to survivor benefits based on the number of quarters of coverage accrued thus far in his or her work history. The codes in this field indicate if

sufficient quarters of coverage have been earned to guarantee benefit eligibility or for younger workers if quarters of coverage are being earned at a rate that will result in guaranteed benefit eligibility at the attainment of age 65. The benefit status field shows whether benefits are being received under the sample SSN. The benefit status code also identifies the type of benefits being paid—retirement, survivors, or disability benefits. Both codes are available for each calendar year, which permits analysis of change of status over time.

10.2. Description of CWHS Data Elements

The following pages document the data elements in the CWHS master file that are particularly relevant for research. Note that some data elements contain references to Office of Information Management (OIM) data element names that represent the same data.

The table below presents the data elements covered in the data dictionary. All CWHS variables documented here come from the Active File. The data elements are presented in alphabetical order. The page headers note the data element that is being described on a given page.

Data element	Description	Page
AMTFBF	Amount of Family Benefit in Force	498
SSN	CAN/HUN of Sampled Individual	499
CTE37TD	Cumulative Taxable Earnings 1937-to-Date	500
DENT	Dual Entitlement Indication	502
DIBYR	Disability Beginning Year	503
DOB	Date of Birth of Primary	504
DOD	Date of Death	505
DODIB	Month and Year of First Entitlement to DIB	506
DODSR	Date of Death Source	507
DOENT	Month and Year of Entitlement or Death	508
DOTERM	Month and Year of Termination (Cessation) of Disability	510
FICA	Social Security Earnings	511
FIYREM	First Year Employed	512
E10	Alternate Insurance Status	514
LYEPCY	Last Year Employed Prior to Current Year	516
MQFYE	Medicare First Year Employed	517
MQLYE	Medicare Last Year Employed	518
MQTW	Medicare Taxable Earnings for Years 1983-to-Date	519
MTSEI	Medicare Taxable Self-employment Income	521
NYE37TD	Number of Years Employed 37-to-Date	522
NYE51DT	Number of Years Employed 51-to-Date	523
PIA	Primary Insurance Amount in Force	524
RACE	Race of Primary	525

Data element	Description	Page
SEI	Self-Employment Taxable Earnings	526
SEX	Sex of Primary	527
TC	Total Compensation for 1978 through Current Year	528
TOAB	Termination of Old-Age Benefits	529

AMTFBF Amount of Family Benefit in Force

Type:	numeric
Data Format:	binary
Repeating:	
Reset:	annually from MBR data
Edited:	annually from MBR data
Master Record Location:	MBR (MBA) Monthly Benefit Amount
Related Data Elements:	
OIM Tags:	AMTFBFPY, CFBF, CFBFPR

DESCRIPTION AND PURPOSE

This data element indicates the actual total family benefit amount for current year.

POSSIBLE VALUES

Monetary value with one implied decimal fraction, i.e., with dimes but not pennies.

USAGE NOTES

To reflect the last full calendar year and obtain the family benefit amount in force as of December 31 of the data year, the CWHS uses two MBR write-offs for each data year — in late December of the data year and in the following June.

SSN	CAN/HUN of Sampled Individual
------------	--------------------------------------

Type:	Numeric
Data Format:	Binary
Repeating:	
Reset:	
Edited:	
Master Record Location:	MEF, Numident, previous CWHS
Related Data Elements:	
OIM Tags:	

DESCRIPTION AND PURPOSE

This data element provides the SSN of the sampled individual.

The CWHS is a 1 percent sample of stratified cluster design based on serial digit patterns of the SSN. For practical purposes, it may be considered to be random. As new people enter the Social Security system (e.g., by applying for an SSN), they are eligible to become part of the CWHS sample if they meet the sampling criteria. Records are never dropped from the CWHS; as individuals die, they are retained in the sample. Because the sample is SSN-based, the CWHS will include both active records (with earnings), and inactive records (no earnings).

POSSIBLE VALUES

XXX-YY-ZZZZ

Area: XXX (001-999). Assigned based on geographic area of the U.S.

Exceptions include:

700s - Railroad Retirement beneficiaries. Some 700s are now being released to states that have used up their initial allocation. – Not yet used for SSNs.

900s – Not yet used for SSNs. Some numbers in this series were “pseudo numbers” given to welfare benefits (1974-early 1990s). These are not included in the Numident file but exist on other administrative files

Group: YY (01-99). Time of issue: Even numbers are assigned first (02-98), followed by odd numbers (01-99).

Serial: ZZZZ (0001-9999). Serial number.

USAGE NOTES

None.

CTE37TD Cumulative Taxable Earnings 1937-to-Date

Type:	numeric
Data Format:	binary
Repeating:	
Reset:	annually updated from MEF
Edited:	annually updated from MEF
Master Record Location:	MEF/previous CWHS
Related Data Elements:	
OIM Tags:	CEACR37DT

DESCRIPTION AND PURPOSE

This data element is the sum of all Social Security taxable earnings for the period 1937 to present for the person represented on this record.

POSSIBLE VALUES

In general, earnings in Social Security covered employment are taxable to both the employee and employer up to the annual maximum taxable amount. In the case where a worker has earnings from more than one employer and the worker's total earnings from the various employers exceed the taxable maximum, the worker pays Social Security taxes on the earnings up to the annual taxable maximum. However, each employer also pays up to the taxable maximum, so the combined employers share of the taxes is paid on the entire amount of earnings in Social Security-covered employment, regardless of the annual taxable maximum amount. This means that the total amount of earnings in Social Security covered employment that is considered taxable to employees is the sum of all taxable earnings up to the annual taxable maximum. However, the total amount of earnings taxable to employers is slightly higher because of the instance described above where an employee has multiple employers and exceeds the annual taxable maximum.

The annual taxable dollar maximums are as follows:

Year	Maximum
1937-50	3,000
1951-54	3,600
1955-58	4,200
1959-65	4,800
1966-67	6,600
1968-71	7,800

Year	Maximum
1972	9,000
1973	10,000
1974	13,200
1975	14,100
1976	15,300
1977	16,500
1978	17,700
1979	22,900
1980	25,900
1981	29,700
1982	32,400
1983	35,700
1984	37,800
1985	39,600
1986	42,000
1987	43,800
1988	45,000
1989	48,000
1990	51,300
1991	53,400
1992	55,500
1993	57,600
1994	60,600
1995	61,200
1996	62,700
1997	65,400
1998	68,400
1999	72,600
2000	76,200

USAGE NOTES

Earnings below \$1.00 are posted as \$1.00. No deeming is done for any year.

This data element sums earnings from multiple employers in a given year. Although earnings from any one employer are capped at the taxable maximum, it is possible for earnings from multiple jobs to exceed the taxable maximum.

DENT	Dual Entitlement Indication
-------------	------------------------------------

Type:	Numeric 1
Data Format:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	MBR
Related Data Elements:	
OIM Tags:	CDENT

DESCRIPTION AND PURPOSE

This data element describes the dual entitlement status of the person represented on this record.

POSSIBLE VALUES

Benefit-in-force (BIF) survivors only

- 0 - No dual entitlement
- 2 - At least one survivor dually entitled
- 4 - All survivors dually entitled

BIF Primaries

- 1 - No dual entitlement
- 3 - Auxiliary but not primary dually entitled
- 5 - At least primary dually entitled

O/T Above

- 6 - No benefits in force as of current CW year

USAGE NOTES

None.

DIBYR	Disability Beginning Year
--------------	----------------------------------

Type:	Character
Data Format:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	MBR (Date of Disability Onset)
Related Data Elements:	
OIM Tags:	CDIMOB, CDIYRBE

DESCRIPTION AND PURPOSE

This data element reflects the month and year of the first or only “onset of disability.” Onset of disability means that the disability condition was established by medical documentation for either approval or disapproval. To determine whether the “onset of disability” for an individual was approved, the benefit status “6” must be verified and a check must be done to ensure that there was no date of cessation (termination) of benefit. Once the onset of disability has been approved, there will be a gap of five full calendar months before the individual will be entitled to a benefit.

POSSIBLE VALUES

Alpha/Numeric

MONTH:

Blank - None or Unknown

A through L - January through December

Z - Scouted but not available. (Code not used after 1977.)

YEAR:

0000 - None or unknown

1941 - Through current year

USAGE NOTES

In DIBYR, date of onset means date when applied only—The person may not have been approved, so DIBYR cannot be used alone to define disability status. Once established in the CWHS this field will never change. However, in the MBR, there are other onset-fields to indicate other possible periods of disability.

DOB	Date of Birth of Primary
------------	---------------------------------

Type:	Numeric 5
Data Format:	Date–MMYYYY
Repeating:	
Reset:	
Edited:	
Master Record Location:	Numident, MEF Accretion, Prior CWHS, MBR
Related Data Elements:	
OIM Tags:	CDOB, CMBR, CYRBR

DESCRIPTION AND PURPOSE

This data element indicates the date of birth of the person represented on this record.

POSSIBLE VALUES

MMYYYY Numeric

Month of Birth: 01 through 12 - January through December
 Year of Birth: Century (1 Digit) and year (2 Digit)
 Unknown month and year is coded 90744
 Unknown month is coded 00YYY

USAGE NOTES

Initially the DOB comes from the Numident and is posted in the CWHS. If a MBR Claim is filed on the account then the claim's record will always take precedence over the prior CWHS.

DOD	Date of Death
------------	----------------------

Type:	Character
Data Format:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	MEF
Related Data Elements:	DODSR
OIM Tags:	CTDTH

DESCRIPTION AND PURPOSE

This data element indicates the date of death of the person represented on this record.

POSSIBLE VALUESMonth:

Blank - None or Unknown
 A through L - January through December

Year:

0000 - None or Unknown
 Units and tens position of the year of death

USAGE NOTES

The MEF discontinued collecting these data after 1978. Early years are questionable. This data element should be used with caution.

DODIB	Month and Year of First Entitlement to DIB
--------------	---

Type:	Character 5
Data Format:	Date-MYYYY
Repeating:	
Reset:	
Edited:	
Master Record Location:	MBR (DOEC)
Related Data Elements:	
OIM Tags:	CMODIB, CYRDIB

DESCRIPTION AND PURPOSE

This data element indicates the month and year the primary beneficiary became entitled to first or only period of disability benefits.

POSSIBLE VALUESMONTH:

Blank - None or unknown
 A through L - January through December
 Z - Scouted but not available. (Code not used after 1971.)

YEAR:

0000 – None
 1957 to current

USAGE NOTES

Once established in the CWHS, this date will never change.

DODSR	Date of Death Source
--------------	-----------------------------

Type:	Character 1
Data Format:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	MEF
Related Data Elements:	DOD
OIM Tags:	CUSDODS

DESCRIPTION AND PURPOSE

This data element indicates the source of date of death information for the person represented on this record.

POSSIBLE VALUES

- 0 - Old Beneficiary-in-Force (BIF) tape
- 2 - Claims Termination File (CT1) (old statistical file of termination prior to 1962)
- 3 - Payment center holding file
- 4 - SCIP
- 6 - Disabled survivor
- 7 - Proof of death (undertaker report)
- 8 - Survivors claim
- 9 - MBR
- E - Numident via earnings after death transaction
- Q - Master Benefit Record (DIB termination)
- R - Current Master Benefit Record (BIF tape) (DIB termination)
- X - Railroad Board (RRB)
- 1 - SSI death
- 5 - Black Lung file
- Blank -Reason not used or codeable

USAGE NOTES

The MEF discontinued collecting these data after 1978 since the dates of death were not reliable.

DOENT	Month and Year of Entitlement or Death
--------------	---

Type:	Character X
Data Format:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	MBR, MEF
Related Data Elements:	
OIM Tags:	CMOENT, CYRENT

DESCRIPTION AND PURPOSE

This is a dual-purpose field containing either the date of death or date of current entitlement of the primary beneficiary. If the beneficiary is alive and receiving benefits, the field contains the date of entitlement. If the beneficiary is dead, it contains the date of death. To distinguish these two possibilities, it is necessary to check the Benefit Status Code data element. (See below.)

When a survivor begins to draw from an account with unknown year of death, the year of entitlement will be changed to the earlier of the following: year of discovery of death or year of survivor entitlement.

POSSIBLE VALUESMONTH:

Blank - None or unknown
 A through L - January through December
 Z - Scouted, but not available

YEAR:

0000 - No death or current entitlement
 0001 - Unknown Year of death
 0002 - Unknown Year of death

4 Digit Year - 1937 through current CWHS year

USAGE NOTES

If the Benefit Status Code is 0, 1, 6, T, P, or X (living primary), this field represents the month and year of entitlement.

If Benefit Status Code is 2 (deceased primary), this field represents the latest entitlement before death.

If Benefit Status Code is Y, 3, 4, 5, 7, 8, or 9, this field represents the date of death of the SSN holder or date of entitlement for survivor benefits on the SSN.

If other than the above, this field represents the month and year of death.

DOTERM Month and Year of Termination (Cessation) of Disability

Type:	Character X
Data Format:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	MBR (DOCA) Data of Credit Action
Related Data Elements:	
OIM Tags:	CDIMOCE, CDIYRCE

DESCRIPTION AND PURPOSE

This data element indicates the date of last removal from disability status.

If applicable, this field reflects the latest data of cessation of disability benefits.

POSSIBLE VALUES

MONTH:

Blank None or unknown
 A-L January through December
 Z Scouted but not available

YEAR:

Units and tens position of the last removal from disability status.

0000 - Not currently in termination status from disability
 1941 to Current Year - The last termination of disability (recovery, old-age benefit, death or other)

USAGE NOTES

The current MBR record always overrides the prior CWHS record.

The data collection source is the claims form.

FICA	Social Security Earnings
-------------	---------------------------------

Type:	Numeric
Data Format:	Binary
Repeating:	
Reset:	
Edited:	Annually updated from MEF
Master Record Location:	MEF
Related Data Elements:	ERN on MEF file
OIM Tags:	CEA

DESCRIPTION AND PURPOSE

This data element indicates total Social Security-covered earnings for the year, including wages from all employers and earnings from self-employment. Total earnings can exceed the annual taxable maximum if an individual has more than one job.

POSSIBLE VALUES

Numeric (Binary) - Actual Dollars

This data element can have negative values.

USAGE NOTES

Amounts of less than \$1.00 are recorded as \$1.00. Cents are truncated. This variable corresponds to ERN from the Summary Segment of the MEF.

FIYREM First Year Employed

Type:	Numeric
Data Format:	Binary
Repeating:	
Reset:	
Edited:	
Master Record Location:	MEF
Related Data Elements:	
OIM Tags:	CFIYREM

DESCRIPTION AND PURPOSE

This date element indicates the first year of employment with Social Security taxable earnings for this person.

POSSIBLE VALUES

Four-digit Numeric (Binary)

Year - 1937 to current year

0001 -Unknown

0000 - Inactive

USAGE NOTES

This data element does not consider MQGE/non-covered employment. Years with first year employed found prior to 1951 were scouted during manual operations and put into the CWHS file. This is no longer done.

This data element is based on MEF Social Security (FICA) taxable earnings only. OIM performs scanning operations in the yearly MEF FICA fields to determine the first year employed.

The logic for determining the First Year Employed is as follows:

Can be obtained from prior CW because:

1. Missing MEF
2. CW has earnings prior to 1951.
 - a. If MEF shows 51-TD activity only, delete 1937-50 activity in current CW, recode first year employed and indicate code 2 in missing or deleted 1937-50 earnings indication.
 - b. If MEF shows earnings, retain prior CW first year employed.

Then, check first year employed in MEF:

1. Obtain from MEF, as is, if later than 50.
2. If equal to 50, and MEF last year employed > 50 with earnings in 1937-50, and CW first year employed is 00, code as 01 for unknown.

If prior CW is missing the year of first employed, obtain from the MEF. If the MEF year is coded '50' then code as '01' for unknown.

Note: Since the MEF does not carry individual Social Security (FICA) earnings prior to 1951, the MEF 1937-50 Cumulative Earnings was checked for earnings. If earnings were found, the MEF coded the 'First Year Employed' as '50'. The CWHS process recodes the field to '01'.

E10	Alternate Insurance Status
------------	-----------------------------------

Type:	Numeric 1
Data Format:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	
Related Data Elements:	INST
OIM Tags:	

DESCRIPTION AND PURPOSE

This field identifies an individual's alternate insurance status. Starting in 1961, this data element replaced INST.

An individual's insured status is determined by the quarters of coverage. An individual may fit one or more of the following "insured" categories:

- Currently insured (A) - six or more quarters of coverage within the last three years.
- Fully Insured (B) - The total number of quarters of coverage - 25 percent or more of the number of elapsed quarters, but not fewer than six quarters of coverage.
- Permanently Insured (C) - 40 quarters of coverage or (in the case of older workers) a total number of quarters of coverage that is 25 percent or more of the number of quarters elapsed up to the time the worker attains the age of 65, but not fewer than six quarters of coverage.

POSSIBLE VALUES

- 1 - Fully and currently insured - condition A and B
- 2 - Currently but not fully insured - condition A, but not B
- 3 - Fully but not currently insured - condition B, but not A
- 4 - Uninsured - neither A or B
- 5 - Permanently and currently insured - condition A and C
- 6 - Permanently but not currently insured - condition C but not A
- 7 - Entitled deaths - accounts with Benefit Status Codes 2-5 & 9
- 8 - Transitionally insured - starting in 1965 for workers age 72 and over, having one quarter of coverage for each year elapsed after 1950 up to age 65 (62 for female) with a minimum requirement of three quarters of coverage, a basic benefit is payable.

Codes 0-7 are possible for all years; '8' is possible beginning 1/1/66.

Special Provision Codes are:

- 1 – 1956 special provisions were used to improve the insurance status.
1956 special provisions not needed to improve the insurance status

Note: Effective 1/1/67, insured status 4 is used for people who are receiving benefits under the Prouty Amendments (see footnote 3 on page 66).

USAGE NOTES

Alternative Insurance Status was used to maximize the number of quarters of coverage an individual could have. If there was not enough quarters of coverage for years 1951 to current year, then quarters of coverage were checked prior to year 1951 to improve the individual's insurance status. This was used for older workers with earnings prior to 1951.

LYEPCY Last Year Employed Prior to Current Year

Type:	Numeric
Data Format:	Binary
Repeating:	
Reset:	
Edited:	
Master Record Location:	
Related Data Elements:	
OIM Tags:	CLAYRPR

DESCRIPTION AND PURPOSE

This data element indicates the last year of employment with Social Security taxable earnings for this person.

POSSIBLE VALUES

Four-digit Numeric (Binary)

year 1937 to current year

0001 - unknown

0000 - inactive

USAGE NOTES

This data element does not consider MQGE/non-covered employment.

MEF does not carry individual years of Social Security (FICA) earnings prior to 1951; therefore, if prior to 1951, the 'Last Year of Employed' cannot be determined from the MEF. During a manual operation, prior years were scouted and put into the CWHS File. The manual operation is no longer done.

The data element is based on MEF Social Security (FICA) taxable earnings. If there are no earnings after 1950, obtain the information from Current Last Year Employed.

If earnings from 1951 through PY (Prior Year), obtain in current CW mainline processing routines. Refer to 'LAYRE, page E20.'

MQFYE Medicare First Year Employed

Type:	Numeric
Data Format:	Binary
Repeating:	
Reset:	
Edited:	
Master Record Location:	MEF
Related Data Elements:	
OIM Tags:	CMQFYE, MQGE

DESCRIPTION AND PURPOSE

This field indicates the Medicare first year employed. The definition varies by year as follows:

1983-1990: First year of employment in a federal, state, or local government job that was only covered by Medicare (exempt from Social Security)

1991-present: First year of employment any job covered by , including all Social Security-covered employment plus all Medicare-only employment.

POSSIBLE VALUES

Four-digit Numeric (Binary)

1983 - present date
0000 - Inactive

USAGE NOTES

Prior to April 1, 1986 this field only applied to federal government workers (MQFE-Medicare Qualified Federal Employee). After this date state and local government workers (MQGE-Medicare Qualified Government Employee) were included in this field.

This data element is based on the first year that Medicare earnings are greater than zero.

MQLYE Medicare Last Year Employed

Type:
 Data Format:
 Repeating:
 Reset:
 Edited:
 Master Record Location: MEF
 Related Data Elements:
 OIM Tags: MQGE, CMQLYE

DESCRIPTION AND PURPOSE

This data element indicates the last year that a federal, state, or local government worker was employed in a job covered only by Medicare, exempt from Social Security.

POSSIBLE VALUES

Four-digit Numeric (Binary)

1983	-	present day
0000	-	Inactive

USAGE NOTES

Prior to April 1, 1986 this field only applied to federal government workers (MQFE-Medicare Qualified Federal Employee). After this date state and local government workers (MQGE-Medicare Qualified Government Employee) were included in this field. After 1990 all workers under Social Security and Medicare are included in this field.

If Medicare Last Year Employed from the MEF is greater than the current year, code it as current year.

MQTW Medicare Taxable Earnings for Years 1983-to-Date
--

Type:	Numeric
Data Format:	Binary
Repeating:	
Reset:	
Edited:	
Master Record Location:	MEF Summary Portion
Related Data Elements:	
OIM Tags:	MQFE, MQGE, CMQERN

DESCRIPTION AND PURPOSE

This data element indicates Medicare-taxable earnings for the years 1983 to date.

From 1983-to-March 1986 this field contains wages for MQFEs (Medicare Qualified Federal Employee). From April 1986-1990 it also contains wages for MQSLEs (Medicare Qualified State and Local Government Employee). From 1991 on, it contains Medicare earnings for all employees.

Medicare Taxable Earnings includes all taxable earnings in Medicare-covered employment.

POSSIBLE VALUES

Numeric (Binary) - Actual Dollars

USAGE NOTES

This data element is capped for years 1991-1993 at the following limits. After 1993 there is no cap.

YEAR Annual Taxable Maximum Amount

1991	125,000
1992	130,200
1993	135,000
1994-CY	Annual Taxable Maximum for Medicare has been eliminated. All 1994 earnings are subject to Medicare taxation.

Earnings for each year 1991-1993 may exceed the annual taxable maximum because of multiple employers.

Data collected in 1983 may be inaccurate because of partial or double reporting.

MTSEI	Medicare Taxable Self-employment Income
--------------	--

Type:	Numeric
Data Format:	Binary
Repeating:	
Reset:	
Edited:	
Master Record Location:	
Related Data Elements:	MQW
OIM Tags:	CMQSEI

DESCRIPTION AND PURPOSE

This data element indicates Medicare-taxable self-employment income for years 1991 to date.

POSSIBLE VALUES**USAGE NOTES**

This data element is capped at the same levels as MQW for years 1991-1993. After 1993, there is no cap.

YEAR Annual Maximum Taxable Amount

1991	125,000
1992	130,200
1993	135,000
1994-CY	Annual maximum taxable amount for Medicare taxation has been eliminated. All 1994 earnings are subject to Medicare Tax.

Note that MTSEI is included in MQW.

Earnings for each year may exceed the annual maximum taxable amount because of multiple employers

Data collected in 1983 may be inaccurate because of partial or double reporting.

NYE37TD Number of Years Employed 37-to-Date

Type:	
Data Format:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	MEF
Related Data Elements:	
OIM Tags:	CYREM37DT

DESCRIPTION AND PURPOSE

This data element indicates the total number of years employed with Social Security taxable earnings, 1937 to present.

POSSIBLE VALUES

Two-digit Numeric (Binary)
Actual Number of Years

USAGE NOTES

This data element does not consider MQGE (Medicare Qualified Government Earnings or non-covered Earnings).

NYE51DT Number of Years Employed 51-to-Date

Type:	
Data Format:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	MEF
Related Data Elements:	
OIM Tags:	CYREM51DT

DESCRIPTION AND PURPOSE

This data element indicates the total number of years employed with Social Security taxable earnings, 1951 to present.

POSSIBLE VALUES

Two-digit Numeric (Binary)

Actual Number of Years from 1951 to Date

USAGE NOTES

This data element does not consider MQGE (Medicare Qualified Government Earnings or non-covered earnings).

PIA	Primary Insurance Amount in Force
------------	--

Type:	Numeric 5
Data Format:	Money: \$\$\$\$c
Repeating:	
Reset:	
Edited:	
Master Record Location:	MBR (PIA)
Related Data Elements:	AMTFBF
OIM Tags:	PIAPY CPIA,CPIAPR

DESCRIPTION AND PURPOSE

This data element indicates the base amount from which monthly benefit amounts payable to the worker's family members or survivors are determined. The PIA is derived from the worker's annual taxable earnings, averaged over a time period that encompasses most of the worker's adult years and the worker's age at retirement. After 1979, eligible workers' benefits were based on the Average Indexed Monthly Earnings (AIME), replacing the Average Monthly Wage (AMW). The AIME reflects the general earnings level in the indexing year. Beginning with the year of first eligibility, the PIA is increased by cost-of-living adjustments (COLAs)

POSSIBLE VALUES

Numeric - Actual dollars and tens position of PIA for current year

USAGE NOTES

See Amount of Family Benefit in Force (AMTFBF) for the current year or prior year.

RACE	Race of Primary
-------------	------------------------

Type:	Numeric 1
Data Format:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	Numident, Prior CWHS
Related Data Elements:	
OIM Tags:	CRACE

DESCRIPTION AND PURPOSE

This data element indicates the race of the primary.

POSSIBLE VALUES

Code	
1	- White
2	- Black
3	- Other
4	- Asian, Pacific Islander
5	- Hispanic
6	- North American Indian or Eskimo
7	- Unknown

USAGE NOTES

RACE comes from the Numident and is posted in the CWHS.

Prior to 1980 race was coded 1=white, 2=black, 3=other, and 4=unknown.

From 1981 on, race is coded 1=white, non-Hispanic, 2=black, non-Hispanic, 3=other, 4=Asian, Pacific Islander, 5=Hispanic, 6=North American Indian or Eskimo, and 7=Unknown.

SEI	Self-Employment Taxable Earnings
------------	---

Type:	
Data Format:	
Repeating:	repeats, 1951 to current year
Reset:	
Edited:	
Master Record Location:	
Related Data Elements:	
OIM Tags:	CSEI

DESCRIPTION AND PURPOSE

This data element indicates the self-employment earnings credited for the year.

POSSIBLE VALUES

This data element can be negative. Negative values represent credit self-employment income. A zero amount with self-employment coverage indicates missing self-employment income.

USAGE NOTES

Self-employment earnings are included in total Social Security earnings (FICA).

SEX Sex of Primary

Type:	Numeric 1
Data Format:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	Numident, Prior CWHS, MEF
Related Data Elements:	
OIM Tags:	CSEX

DESCRIPTION AND PURPOSE

This data element indicates the sex of the primary.

POSSIBLE VALUES

- 1 - Male
- 2 - Female
- 3 - Unknown

USAGE NOTES

Initially SEX comes from the Numident file and is posted in the CWHS.

TC	Total Compensation for 1978 through Current Year
-----------	---

Type:	numeric
Data Format:	binary
Repeating:	1978 to current year
Reset:	No
Edited:	No
Master Record Location:	Direct move of summary portion of MEF for current processing year.
Related Data Elements:	
OIM Tags:	CTOTCOMP

DESCRIPTION AND PURPOSE

This data element contains total wages from all jobs, including employer-reported tips and other compensation as reported on Form W-2. Total compensation does not include deferred compensation or self-employment earnings but it does include any non-covered earnings. (Deferred compensation is not included because it is not subject to federal taxes (e.g., funds contributed to a 401(k) retirement plan). Deferred compensation is taxable by Social Security and Medicare.

POSSIBLE VALUES

Numeric - Actual dollars

USAGE NOTES

Caution should be exercised when using this field for years prior to the current CWHS year. Unlike other summary MEF earnings fields that are included in the CWHS, there are no adjustments for delinquent or correction postings made after the close of the initial posting year.

TOAB	Termination of Old-Age Benefits
-------------	--

Type:	Character 1
Data Format:	
Repeating:	
Reset:	
Edited:	
Master Record Location:	Recode from MBR
Related Data Elements:	
OIM Tags:	CTERMND

DESCRIPTION AND PURPOSE

This data element indicates termination of old-age benefits and is used to show the reason for the latest termination of old-age benefits.

POSSIBLE VALUES

Blank – Never terminated (not used)

- 1 - Death of OAB while entitled to a regular old-age benefit
- 3 - Unknown

- A - OAB delete - award from another resource or withdrawn
- B - OAB changed to DIB
- C - Entitlement deleted - erroneous award or advanced file case terminated
- D - Special (Prouty) or transitional OAB terminated to benefit status 'X' - award from another source. For Prouty, see footnote 3 on page 66.
- E - OAB award with termination reason 'TS' (entitled to other benefits) with no DIB award
- F - Special (Prouty) or transitional - OAB termination to regular OAB, or special (Prouty) termination to transitional
- G - Termination of benefits awarded from another source to special (Prouty) terminated to transitional
- P - Death of OAB while entitled to special (Prouty) benefits
- T - Death of OAB while entitled to OAB
- X - Termination of transition or Prouty when they are receiving benefits from another source.(same as benefit status X)
- Y - Death of someone in X status. (same as benefit status Y)

USAGE NOTES

None.

10.3. CWHS-Related Files

The CWHS has no recurring extracts. However, as discussed earlier in this chapter, the CWHS family consists of multiple files. Below is the record layout of the most widely used file, the One Percent Annual Employee-Employer (EE-ER) File.

10.3.1. One Percent Annual Employee-Employer (EE-ER) File

	Description	Codes	Position
SSN	Social Security Number		1-9
EIN	Employer Identification Number		10-18
YR	Report Year		19-22
SEX	Sex	1-Male 2-Female 3-Unknown	23
RACE	Race	1-White (Not Hispanic) 2- Black (Not Hispanic) 3-Other 4-Asian, Pacific Islander 5-Hispanic 6-N.A. Indian/Eskimo 7-Unknown	24
MOB	Month of Birth	00-Unknown; Numeric	25-26
YOB	Year of Birth	1744-Unknown	27-30
TOE	Type of Employment	A-Regular D-Deferred Comp E-Military G-State & Local M-Agriculture O-MQGE Tips R-Railroad T-Tips	31
MC	Match Code	A-Autocoded Military or Reserve C-Match to NERP G-No match to either ERP or NERP I-Match to NERP w/ '00' State/Industry	32
ERIND	Employer Indication	S-Single M-Multi	33
TOER	Type of Employer	A-Individual S&L Government Official*	34

Description	Codes	Position
	<p>B-State Government*</p> <p>C-County Government*</p> <p>D-Local Government*</p> <p>F-Federal Government (Civilian Employees)</p> <p>G-Federal Government (Military, Reserves, or National Guard)**</p> <p>H-Household</p> <p>M-Multiple EIN Entity</p> <p>N-Nonprofit under IRC 501(c) (3)*</p> <p>R-Church/Church Controlled Organizations</p> <p>S-State and Local Government</p> <p>W-National/Air National Guard</p> <p>X-Military</p> <p>Y-Reserves</p> <p>1-Individual</p> <p>2-Corporation (Except Limited)</p> <p>3-Partnership (Except Limited)</p> <p>4-Association, Limited Corporation or Partnership, estate or other type of legal entity</p> <p>5-Blank or Unknown</p> <p>*Effective 1987, these codes are no longer being assigned to new entities.</p> <p>**Effective with 1991 EE- ER, this code was converted to F, W, X, or Y.</p>	
COV	Coverage Code	35
	<p>0-Other</p> <p>1-Agriculture</p> <p>2, B-State & Local</p> <p>3, C-Non-Profit (Form 8274)</p> <p>5, E-Non-Profit(Form 941)</p> <p>6, F-Federal civilian</p>	

	Description	Codes	Position
		7-Self-reported tips 8, H-Household M,4-Military R,9-Reserves W-National Guard T-Reported tips (Alpha or numeric equivalent indicates tips.)	
IND	Industry Code 36-37 Major Group (MG) 38-39 Industry Group	See 1987 Industrial Classification Manual and SSA Foldback Codes 0300-Military 0400-Reserves 0000-Unclassified	36-39
	Residence/Employer Based Geographic Code (GEO) 40-41 Residence/Employer State Code (ST) 42-44 Residence/Employer County Code	See Employer-Worker Geographic Code Manual 03999-Military 04999-Reserves 96000-Unclassified Multi-Unit Employer 97000-Nationwide 98000-Foreign 99990-Ships at Sea 00000-Unclassified	40-44
ERGEO	Employer Based Geographic Code 45-46 Employer State Code (ERST) 47-49 Employer County Code	Refer to Rec. Pos. 40-44 above.	45-49
GEOSRCE	Source of Residence/Employer Geographic Code	1-Residence; 2-Employer	50
EINFICA	Social Security (FICA) Taxable Wages	Includes Cents; EIN Level	51-60
EINTC	Total Compensation	Includes cents; wages, ER reported tips, & other compensation; EIN level (does not include deferred compensation) Includes MQGE (non-FICA only)	61-70
EINMTW	Medicare Taxable Wages	Includes cents; EIN level	71-80

	Description	Codes	Position
EINDC	Deferred Compensation	EIN level (only for TOE=D)	81-90

*Effective 1987, these codes are no longer being assigned to new entities.

**Effective with 1991 EE-ER, this code was converted to F, W, X, or Y.

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Appendices

A. State and County Codes

Code City	State	Code City	State
1000 Autauga	Alabama	1520 Perry	Alabama
1010 Baldwin	Alabama	1530 Pickens	Alabama
1020 Barbour	Alabama	1540 Pike	Alabama
1030 Bibb	Alabama	1550 Randolph	Alabama
1040 Blount	Alabama	1560 Russell	Alabama
1050 Bullock	Alabama	1570 St. Clair	Alabama
1060 Butler	Alabama	1580 Shelby	Alabama
1070 Calhoun	Alabama	1590 Sumter	Alabama
1080 Chambers	Alabama	1600 Talladega	Alabama
1090 Cherokee	Alabama	1610 Tallapoosa	Alabama
1100 Chilton	Alabama	1620 Tuscaloosa	Alabama
1110 Choctaw	Alabama	1630 Walker	Alabama
1120 Clarke	Alabama	1640 Washington	Alabama
1130 Clay	Alabama	1650 Wilcox	Alabama
1140 Cleburne	Alabama	1660 Winston	Alabama
1150 Coffee	Alabama	1999 Unknown	Alabama
1160 Colbert	Alabama	2010 Aleutian Islands	Alaska
1170 Conecuh	Alabama	2020 Anchorage	Alaska
1180 Coosa	Alabama	2030 Angoon	Alaska
1190 Covington	Alabama	2040 Barrow-North Slope	Alaska
1200 Crenshaw	Alabama	2050 Bethel	Alaska
1210 Cullman	Alabama	2060 Bristol Bay Borough	Alaska
1220 Dale	Alabama	2070 Bristol Bay	Alaska
1230 Dallas	Alabama	2080 Cordova-McCarthy	Alaska
1240 De Kalb	Alabama	2090 Fairbanks North Star	Alaska
1250 Elmore	Alabama	2100 Haines	Alaska
1260 Escambia	Alabama	2110 Juneau	Alaska
1270 Etowah	Alabama	2120 Kenai-Cook Inlet	Alaska
1280 Fayette	Alabama	2130 Ketchikan Gateway	Alaska
1290 Franklin	Alabama	2140 Kobuk	Alaska
1300 Geneva	Alabama	2150 Kodiak Island	Alaska
1310 Greene	Alabama	2160 Kuskokwim	Alaska
1320 Hale	Alabama	2170 Matanuska-Susitna	Alaska
1330 Henry	Alabama	2180 Nome	Alaska
1340 Houston	Alabama	2190 Outer Ketchikan	Alaska
1350 Jackson	Alabama	2200 Prince Of Wales	Alaska
1360 Jefferson	Alabama	2210 Seward	Alaska
1370 Lamar	Alabama	2220 Sitka	Alaska
1380 Lauderdale	Alabama	2230 Skagway-Yakutat	Alaska
1390 Lawrence	Alabama	2240 Southeast Fairbanks	Alaska
1400 Lee	Alabama	2250 Upper Yukon	Alaska
1410 Limestone	Alabama	2260 Valdez-Chitina-Whittier	Alaska
1420 Lowndes	Alabama	2270 Wade Hampton	Alaska
1430 Macon	Alabama	2280 Wrangell-Petersburg	Alaska
1440 Madison	Alabama	2290 Yukon-Koyukuk	Alaska
1450 Marengo	Alabama	2999 Unknown	Alaska
1460 Marion	Alabama	3000 Apache	Arizona
1470 Marshall	Alabama	3010 Cochise	Arizona
1480 Mobile	Alabama	3020 Coconino	Arizona
1490 Monroe	Alabama	3030 Gila	Arizona
1500 Montgomery	Alabama	3040 Graham	Arizona
1510 Morgan	Alabama		

Code City	State	Code City	State
3050 Greenlee	Arizona	4440 Marion	Arkansas
3055 La Paz	Arizona	4450 Miller	Arkansas
3060 Maricopa	Arizona	4460 Mississippi	Arkansas
3070 Mohave	Arizona	4470 Monroe	Arkansas
3080 Navajo	Arizona	4480 Montgomery	Arkansas
3090 Pima	Arizona	4490 Nevada	Arkansas
3100 Pinal	Arizona	4500 Newton	Arkansas
3110 Santa Cruz	Arizona	4510 Ouachita	Arkansas
3120 Yavapai	Arizona	4520 Perry	Arkansas
3130 Yuma	Arizona	4530 Phillips	Arkansas
3999 Unknown	Arizona	4540 Pike	Arkansas
4000 Arkansas	Arkansas	4550 Poinsett	Arkansas
4010 Ashley	Arkansas	4560 Polk	Arkansas
4020 Baxter	Arkansas	4570 Pope	Arkansas
4030 Benton	Arkansas	4580 Prairie	Arkansas
4040 Boone	Arkansas	4590 Pulaski	Arkansas
4050 Bradley	Arkansas	4600 Randolph	Arkansas
4060 Calhoun	Arkansas	4610 St. Francis	Arkansas
4070 Carroll	Arkansas	4620 Saline	Arkansas
4080 Chicot	Arkansas	4630 Scott	Arkansas
4090 Clark	Arkansas	4640 Searcy	Arkansas
4100 Clay	Arkansas	4650 Sebastian	Arkansas
4110 Cleburne	Arkansas	4660 Sevier	Arkansas
4120 Cleveland	Arkansas	4670 Sharp	Arkansas
4130 Columbia	Arkansas	4680 Stone	Arkansas
4140 Conway	Arkansas	4690 Union	Arkansas
4150 Craighead	Arkansas	4700 Van Buren	Arkansas
4160 Crawford	Arkansas	4710 Washington	Arkansas
4170 Crittenden	Arkansas	4720 White	Arkansas
4180 Cross	Arkansas	4730 Woodruff	Arkansas
4190 Dallas	Arkansas	4740 Yell	Arkansas
4200 Desha	Arkansas	4999 Unknown	Arkansas
4210 Drew	Arkansas	5000 Alameda	California
4220 Faulkner	Arkansas	5010 Alpine	California
4230 Franklin	Arkansas	5020 Amador	California
4240 Fulton	Arkansas	5030 Butte	California
4250 Garland	Arkansas	5040 Calaveras	California
4260 Grant	Arkansas	5050 Colusa	California
4270 Greene	Arkansas	5060 Contra Costa	California
4280 Hempstead	Arkansas	5070 Del Norte	California
4290 Hot Spring	Arkansas	5080 Eldorado	California
4300 Howard	Arkansas	5090 Fresno	California
4310 Independence	Arkansas	5100 Glenn	California
4320 Izard	Arkansas	5110 Humboldt	California
4330 Jackson	Arkansas	5120 Imperial	California
4340 Jefferson	Arkansas	5130 Inyo	California
4350 Johnson	Arkansas	5140 Kern	California
4360 Lafayette	Arkansas	5150 Kings	California
4370 Lawrence	Arkansas	5160 Lake	California
4380 Lee	Arkansas	5170 Lassen	California
4390 Lincoln	Arkansas	5200 Los Angeles	California
4400 Little River	Arkansas	5300 Madera	California
4410 Logan	Arkansas	5310 Marin	California
4420 Lonoke	Arkansas	5320 Mariposa	California
4430 Madison	Arkansas	5330 Mendocino	California

A. State and County Codes

Code City	State	Code City	State
5340 Merced	California	6190 Elbert	Colorado
5350 Modoc	California	6200 El Paso	Colorado
5360 Mono	California	6210 Fremont	Colorado
5370 Monterey	California	6220 Garfield	Colorado
5380 Napa	California	6230 Gilpin	Colorado
5390 Nevada	California	6240 Grand	Colorado
5400 Orange	California	6250 Gunnison	Colorado
5410 Placer	California	6260 Hinsdale	Colorado
5420 Plumas	California	6270 Huerfano	Colorado
5430 Riverside	California	6280 Jackson	Colorado
5440 Sacramento	California	6290 Jefferson	Colorado
5450 San Benito	California	6300 Kiowa	Colorado
5460 San Bernardino	California	6310 Kit Carson	Colorado
5470 San Diego	California	6320 Lake	Colorado
5480 San Francisco	California	6330 La Plata	Colorado
5490 San Joaquin	California	6340 Larimer	Colorado
5500 San Luis Obispo	California	6350 Las Animas	Colorado
5510 San Mateo	California	6360 Lincoln	Colorado
5520 Santa Barbara	California	6370 Logan	Colorado
5530 Santa Clara	California	6380 Mesa	Colorado
5540 Santa Cruz	California	6390 Mineral	Colorado
5550 Shasta	California	6400 Moffat	Colorado
5560 Sierra	California	6410 Montezuma	Colorado
5570 Siskiyou	California	6420 Montrose	Colorado
5580 Solano	California	6430 Morgan	Colorado
5590 Sonoma	California	6440 Otero	Colorado
5600 Stanislaus	California	6450 Ouray	Colorado
5610 Sutter	California	6460 Park	Colorado
5620 Tehama	California	6470 Phillips	Colorado
5630 Trinity	California	6480 Pitkin	Colorado
5640 Tulare	California	6490 Prowers	Colorado
5650 Tuolumne	California	6500 Pueblo	Colorado
5660 Ventura	California	6510 Rio Blanco	Colorado
5670 Yolo	California	6520 Rio Grande	Colorado
5680 Yuba	California	6530 Routt	Colorado
5999 Unknown	California	6540 Saguache	Colorado
6000 Adams	Colorado	6550 San Juan	Colorado
6010 Alamosa	Colorado	6560 San Miguel	Colorado
6020 Arapahoe	Colorado	6570 Sedgwick	Colorado
6030 Archuleta	Colorado	6580 Summit	Colorado
6040 Baca	Colorado	6590 Teller	Colorado
6050 Bent	Colorado	6600 Washington	Colorado
6060 Boulder	Colorado	6610 Weld	Colorado
6070 Chaffee	Colorado	6620 Yuma	Colorado
6080 Cheyenne	Colorado	6999 Unknown	Colorado
6090 Clear Creek	Colorado	7000 Fairfield	Connecticut
6100 Conejos	Colorado	7010 Hartford	Connecticut
6110 Costilla	Colorado	7020 Litchfield	Connecticut
6120 Crowley	Colorado	7030 Middlesex	Connecticut
6130 Custer	Colorado	7040 New Haven	Connecticut
6140 Delta	Colorado	7050 New London	Connecticut
6150 Denver	Colorado	7060 Tolland	Connecticut
6160 Dolores	Colorado	7070 Windham	Connecticut
6170 Douglas	Colorado	7999 Unknown	Connecticut
6180 Eagle	Colorado	8000 Kent	Delaware

Code City	State	Code City	State
8010 New Castle	Delaware	10480 Osceola	Florida
8020 Sussex	Delaware	10490 Palm Beach	Florida
8999 Unknown	Delaware	10500 Pasco	Florida
9000 District Of Columbia	District of Columbia	10510 Pinellas	Florida
9999 Unknown	District of Columbia	10520 Polk	Florida
10000 Alachua	Florida	10530 Putnam	Florida
10010 Baker	Florida	10540 St. Johns	Florida
10020 Bay	Florida	10550 St. Lucie	Florida
10030 Bradford	Florida	10560 Santa Rosa	Florida
10040 Brevard	Florida	10570 Sarasota	Florida
10050 Broward	Florida	10580 Seminole	Florida
10060 Calhoun	Florida	10590 Sumter	Florida
10070 Charlotte	Florida	10600 Suwannee	Florida
10080 Citrus	Florida	10610 Taylor	Florida
10090 Clay	Florida	10620 Union	Florida
10100 Collier	Florida	10630 Volusia	Florida
10110 Columbia	Florida	10640 Wakulla	Florida
10120 Dade	Florida	10650 Walton	Florida
10130 De Soto	Florida	10660 Washington	Florida
10140 Dixie	Florida	10999 Unknown	Florida
10150 Duval	Florida	11000 Appling	Georgia
10160 Escambia	Florida	11010 Atkinson	Georgia
10170 Flagler	Florida	11011 Bacon	Georgia
10180 Franklin	Florida	11020 Baker	Georgia
10190 Gadsden	Florida	11030 Baldwin	Georgia
10200 Gilchrist	Florida	11040 Banks	Georgia
10210 Glades	Florida	11050 Barrow	Georgia
10220 Gulf	Florida	11060 Bartow	Georgia
10230 Hamilton	Florida	11070 Ben Hill	Georgia
10240 Hardee	Florida	11080 Berrien	Georgia
10250 Hendry	Florida	11090 Bibb	Georgia
10260 Hernando	Florida	11100 Bleckley	Georgia
10270 Highlands	Florida	11110 Brantley	Georgia
10280 Hillsborough	Florida	11120 Brooks	Georgia
10290 Holmes	Florida	11130 Bryan	Georgia
10300 Indian River	Florida	11140 Bulloch	Georgia
10310 Jackson	Florida	11150 Burke	Georgia
10320 Jefferson	Florida	11160 Butts	Georgia
10330 Lafayette	Florida	11161 Calhoun	Georgia
10340 Lake	Florida	11170 Camden	Georgia
10350 Lee	Florida	11180 Candler	Georgia
10360 Leon	Florida	11190 Carroll	Georgia
10370 Levy	Florida	11200 Catoosa	Georgia
10380 Liberty	Florida	11210 Charlton	Georgia
10390 Madison	Florida	11220 Chatham	Georgia
10400 Manatee	Florida	11230 Chattahoochee	Georgia
10410 Marion	Florida	11240 Chattooga	Georgia
10420 Martin	Florida	11250 Cherokee	Georgia
10430 Monroe	Florida	11260 Clarke	Georgia
10440 Nassau	Florida	11270 Clay	Georgia
10450 Okaloosa	Florida	11280 Clayton	Georgia
10460 Okeechobee	Florida	11281 Clinch	Georgia
10470 Orange	Florida	11290 Cobb	Georgia
		11291 Coffee	Georgia
		11300 Colquitt	Georgia

A. State and County Codes

Code City	State	Code City	State
11310 Columbia	Georgia	11691 Long	Georgia
11311 Cook	Georgia	11700 Lowndes	Georgia
11320 Coweta	Georgia	11701 Lumpkin	Georgia
11330 Crawford	Georgia	11702 McDuffie	Georgia
11340 Crisp	Georgia	11703 McIntosh	Georgia
11341 Dade	Georgia	11710 Macon	Georgia
11350 Dawson	Georgia	11720 Madison	Georgia
11360 Decatur	Georgia	11730 Marion	Georgia
11370 De Kalb	Georgia	11740 Meriwether	Georgia
11380 Dodge	Georgia	11741 Miller	Georgia
11381 Dooly	Georgia	11750 Mitchell	Georgia
11390 Dougherty	Georgia	11760 Monroe	Georgia
11400 Douglas	Georgia	11770 Montgomery	Georgia
11410 Early	Georgia	11771 Morgan	Georgia
11420 Echols	Georgia	11772 Murray	Georgia
11421 Effingham	Georgia	11780 Muscogee	Georgia
11430 Elbert	Georgia	11790 Newton	Georgia
11440 Emanuel	Georgia	11800 Oconee	Georgia
11441 Evans	Georgia	11801 Oglethorpe	Georgia
11450 Fannin	Georgia	11810 Paulding	Georgia
11451 Fayette	Georgia	11811 Peach	Georgia
11460 Floyd	Georgia	11812 Pickens	Georgia
11461 Forsyth	Georgia	11820 Pierce	Georgia
11462 Franklin	Georgia	11821 Pike	Georgia
11470 Fulton	Georgia	11830 Polk	Georgia
11471 Gilmer	Georgia	11831 Pulaski	Georgia
11480 Glascock	Georgia	11832 Putnam	Georgia
11490 Glynn	Georgia	11833 Quitman	Georgia
11500 Gordon	Georgia	11834 Rabun	Georgia
11510 Grady	Georgia	11835 Randolph	Georgia
11520 Greene	Georgia	11840 Richmond	Georgia
11530 Gwinnett	Georgia	11841 Rockdale	Georgia
11540 Habersham	Georgia	11842 Schley	Georgia
11550 Hall	Georgia	11850 Screven	Georgia
11560 Hancock	Georgia	11851 Seminole	Georgia
11570 Haralson	Georgia	11860 Spalding	Georgia
11580 Harris	Georgia	11861 Stephens	Georgia
11581 Hart	Georgia	11862 Stewart	Georgia
11590 Heard	Georgia	11870 Sumter	Georgia
11591 Henry	Georgia	11880 Talbot	Georgia
11600 Houston	Georgia	11881 Taliaferro	Georgia
11601 Irwin	Georgia	11882 Tattnall	Georgia
11610 Jackson	Georgia	11883 Taylor	Georgia
11611 Jasper	Georgia	11884 Telfair	Georgia
11612 Jeff Davis	Georgia	11885 Terrell	Georgia
11620 Jefferson	Georgia	11890 Thomas	Georgia
11630 Jenkins	Georgia	11900 Tift	Georgia
11640 Johnson	Georgia	11901 Toombs	Georgia
11650 Jones	Georgia	11902 Towns	Georgia
11651 Lamar	Georgia	11903 Treutlen	Georgia
11652 Lanier	Georgia	11910 Troup	Georgia
11660 Laurens	Georgia	11911 Turner	Georgia
11670 Lee	Georgia	11912 Twiggs	Georgia
11680 Liberty	Georgia	11913 Union	Georgia
11690 Lincoln	Georgia	11920 Upson	Georgia

Code City	State	Code City	State
11921 Walker	Georgia	13340 Nez Perce	Idaho
11930 Walton	Georgia	13350 Oneida	Idaho
11940 Ware	Georgia	13360 Owyhee	Idaho
11941 Warren	Georgia	13370 Payette	Idaho
11950 Washington	Georgia	13380 Power	Idaho
11960 Wayne	Georgia	13390 Shoshone	Idaho
11961 Webster	Georgia	13400 Teton	Idaho
11962 Wheeler	Georgia	13410 Twin Falls	Idaho
11963 White	Georgia	13420 Valley	Idaho
11970 Whitfield	Georgia	13430 Washington	Idaho
11971 Wilcox	Georgia	13999 Unknown	Idaho
11972 Wilkes	Georgia	14000 Adams	Illinois
11973 Wilkinson	Georgia	14010 Alexander	Illinois
11980 Worth	Georgia	14020 Bond	Illinois
11999 Unknown	Georgia	14030 Boone	Illinois
12010 Hawaii	Hawaii	14040 Brown	Illinois
12020 Honolulu	Hawaii	14050 Bureau	Illinois
12030 Kalawao	Hawaii	14060 Calhoun	Illinois
12040 Kauai	Hawaii	14070 Carroll	Illinois
12050 Maui	Hawaii	14080 Cass	Illinois
12999 Unknown	Hawaii	14090 Champaign	Illinois
13000 Ada	Idaho	14100 Christian	Illinois
13010 Adams	Idaho	14110 Clark	Illinois
13020 Bannock	Idaho	14120 Clay	Illinois
13030 Bear Lake	Idaho	14130 Clinton	Illinois
13040 Benewah	Idaho	14140 Coles	Illinois
13050 Bingham	Idaho	14141 Cook	Illinois
13060 Blaine	Idaho	14150 Crawford	Illinois
13070 Boise	Idaho	14160 Cumberland	Illinois
13080 Bonner	Idaho	14170 De Kalb	Illinois
13090 Bonneville	Idaho	14180 De Witt	Illinois
13100 Boundary	Idaho	14190 Douglas	Illinois
13110 Butte	Idaho	14250 Du Page	Illinois
13120 Camas	Idaho	14310 Edgar	Illinois
13130 Canyon	Idaho	14320 Edwards	Illinois
13140 Caribou	Idaho	14330 Effingham	Illinois
13150 Cassia	Idaho	14340 Fayette	Illinois
13160 Clark	Idaho	14350 Ford	Illinois
13170 Clearwater	Idaho	14360 Franklin	Illinois
13180 Custer	Idaho	14370 Fulton	Illinois
13190 Elmore	Idaho	14380 Gallatin	Illinois
13200 Franklin	Idaho	14390 Greene	Illinois
13210 Fremont	Idaho	14400 Grundy	Illinois
13220 Gem	Idaho	14410 Hamilton	Illinois
13230 Gooding	Idaho	14420 Hancock	Illinois
13240 Idaho	Idaho	14421 Hardin	Illinois
13250 Jefferson	Idaho	14440 Henderson	Illinois
13260 Jerome	Idaho	14450 Henry	Illinois
13270 Kootenai	Idaho	14460 Iroquois	Illinois
13280 Latah	Idaho	14470 Jackson	Illinois
13290 Lemhi	Idaho	14480 Jasper	Illinois
13300 Lewis	Idaho	14490 Jefferson	Illinois
13310 Lincoln	Idaho	14500 Jersey	Illinois
13320 Madison	Idaho	14510 Jo Daviess	Illinois
13330 Minidoka	Idaho	14520 Johnson	Illinois

A. State and County Codes

Code City	State	Code City	State
14530 Kane	Illinois	14990 Williamson	Illinois
14540 Kankakee	Illinois	14991 Winnebago	Illinois
14550 Kendall	Illinois	14992 Woodford	Illinois
14560 Knox	Illinois	14999 Unknown	Illinois
14570 Lake	Illinois	15000 Adams	Indiana
14580 La Salle	Illinois	15010 Allen	Indiana
14590 Lawrence	Illinois	15020 Bartholomew	Indiana
14600 Lee	Illinois	15030 Benton	Indiana
14610 Livingston	Illinois	15040 Blackford	Indiana
14620 Logan	Illinois	15050 Boone	Indiana
14630 McDonough	Illinois	15060 Brown	Indiana
14640 McHenry	Illinois	15070 Carroll	Indiana
14650 McLean	Illinois	15080 Cass	Indiana
14660 Macon	Illinois	15090 Clark	Indiana
14670 Macoupin	Illinois	15100 Clay	Indiana
14680 Madison	Illinois	15110 Clinton	Indiana
14690 Marion	Illinois	15120 Crawford	Indiana
14700 Marshall	Illinois	15130 Daviess	Indiana
14710 Mason	Illinois	15140 Dearborn	Indiana
14720 Massac	Illinois	15150 Decatur	Indiana
14730 Menard	Illinois	15160 De Kalb	Indiana
14740 Mercer	Illinois	15170 Delaware	Indiana
14750 Monroe	Illinois	15180 Dubois	Indiana
14760 Montgomery	Illinois	15190 Elkhart	Indiana
14770 Morgan	Illinois	15200 Fayette	Indiana
14780 Moultrie	Illinois	15210 Floyd	Indiana
14790 Ogle	Illinois	15220 Fountain	Indiana
14800 Peoria	Illinois	15230 Franklin	Indiana
14810 Perry	Illinois	15240 Fulton	Indiana
14820 Piatt	Illinois	15250 Gibson	Indiana
14830 Pike	Illinois	15260 Grant	Indiana
14831 Pope	Illinois	15270 Greene	Indiana
14850 Pulaski	Illinois	15280 Hamilton	Indiana
14860 Putnam	Illinois	15290 Hancock	Indiana
14870 Randolph	Illinois	15300 Harrison	Indiana
14880 Richland	Illinois	15310 Hendricks	Indiana
14890 Rock Island	Illinois	15320 Henry	Indiana
14900 St. Clair	Illinois	15330 Howard	Indiana
14910 Saline	Illinois	15340 Huntington	Indiana
14920 Sangamon	Illinois	15350 Jackson	Indiana
14921 Schuyler	Illinois	15360 Jasper	Indiana
14940 Scott	Illinois	15370 Jay	Indiana
14950 Shelby	Illinois	15380 Jefferson	Indiana
14960 Stark	Illinois	15390 Jennings	Indiana
14970 Stephenson	Illinois	15400 Johnson	Indiana
14980 Tazewell	Illinois	15410 Knox	Indiana
14981 Union	Illinois	15420 Kosciusko	Indiana
14982 Vermilion	Illinois	15430 Lagrange	Indiana
14983 Wabash	Illinois	15440 Lake	Indiana
14984 Warren	Illinois	15450 La Porte	Indiana
14985 Washington	Illinois	15460 Lawrence	Indiana
14986 Wayne	Illinois	15470 Madison	Indiana
14987 White	Illinois	15480 Marion	Indiana
14988 Whiteside	Illinois	15490 Marshall	Indiana
14989 Will	Illinois	15500 Martin	Indiana

Code City	State	Code City	State
15510 Miami	Indiana	16130 Carroll	Iowa
15520 Monroe	Indiana	16140 Cass	Iowa
15530 Montgomery	Indiana	16150 Cedar	Iowa
15540 Morgan	Indiana	16160 Cerro Gordo	Iowa
15550 Newton	Indiana	16170 Cherokee	Iowa
15560 Noble	Indiana	16180 Chickasaw	Iowa
15570 Ohio	Indiana	16190 Clarke	Iowa
15580 Orange	Indiana	16200 Clay	Iowa
15590 Owen	Indiana	16210 Clayton	Iowa
15600 Parke	Indiana	16220 Clinton	Iowa
15610 Perry	Indiana	16230 Crawford	Iowa
15620 Pike	Indiana	16240 Dallas	Iowa
15630 Porter	Indiana	16250 Davis	Iowa
15640 Posey	Indiana	16260 Decatur	Iowa
15650 Pulaski	Indiana	16270 Delaware	Iowa
15660 Putnam	Indiana	16280 Des Moines	Iowa
15670 Randolph	Indiana	16290 Dickinson	Iowa
15680 Ripley	Indiana	16300 Dubuque	Iowa
15690 Rush	Indiana	16310 Emmet	Iowa
15700 St. Joseph	Indiana	16320 Fayette	Iowa
15710 Scott	Indiana	16330 Floyd	Iowa
15720 Shelby	Indiana	16340 Franklin	Iowa
15730 Spencer	Indiana	16350 Fremont	Iowa
15740 Starke	Indiana	16360 Greene	Iowa
15750 Steuben	Indiana	16370 Grundy	Iowa
15760 Sullivan	Indiana	16380 Guthrie	Iowa
15770 Switzerland	Indiana	16390 Hamilton	Iowa
15780 Tippecanoe	Indiana	16400 Hancock	Iowa
15790 Tipton	Indiana	16410 Hardin	Iowa
15800 Union	Indiana	16420 Harrison	Iowa
15810 Vanderburgh	Indiana	16430 Henry	Iowa
15820 Vermillion	Indiana	16440 Howard	Iowa
15830 Vigo	Indiana	16450 Humboldt	Iowa
15840 Wabash	Indiana	16460 Ida	Iowa
15850 Warren	Indiana	16470 Iowa	Iowa
15860 Warrick	Indiana	16480 Jackson	Iowa
15870 Washington	Indiana	16490 Jasper	Iowa
15880 Wayne	Indiana	16500 Jefferson	Iowa
15890 Wells	Indiana	16510 Johnson	Iowa
15900 White	Indiana	16520 Jones	Iowa
15910 Whitley	Indiana	16530 Keokuk	Iowa
15999 Unknown	Indiana	16540 Kossuth	Iowa
16000 Adair	Iowa	16550 Lee	Iowa
16010 Adams	Iowa	16560 Linn	Iowa
16020 Allamakee	Iowa	16570 Louisa	Iowa
16030 Appanoose	Iowa	16580 Lucas	Iowa
16040 Audubon	Iowa	16590 Lyon	Iowa
16050 Benton	Iowa	16600 Madison	Iowa
16060 Black Hawk	Iowa	16610 Mahaska	Iowa
16070 Boone	Iowa	16620 Marion	Iowa
16080 Bremer	Iowa	16630 Marshall	Iowa
16090 Buchanan	Iowa	16640 Mills	Iowa
16100 Buena Vista	Iowa	16650 Mitchell	Iowa
16110 Butler	Iowa	16660 Monona	Iowa
16120 Calhoun	Iowa	16670 Monroe	Iowa

A. State and County Codes

Code City	State	Code City	State
16680 Montgomery	Iowa	17230 Edwards	Kansas
16690 Muscatine	Iowa	17240 Elk	Kansas
16700 O'Brien	Iowa	17250 Ellis	Kansas
16710 Osceola	Iowa	17260 Ellsworth	Kansas
16720 Page	Iowa	17270 Finney	Kansas
16730 Palo Alto	Iowa	17280 Ford	Kansas
16740 Plymouth	Iowa	17290 Franklin	Kansas
16750 Pocahontas	Iowa	17300 Geary	Kansas
16760 Polk	Iowa	17310 Gove	Kansas
16770 Pottawattamie	Iowa	17320 Graham	Kansas
16780 Poweshiek	Iowa	17330 Grant	Kansas
16790 Ringgold	Iowa	17340 Gray	Kansas
16800 Sac	Iowa	17350 Greeley	Kansas
16810 Scott	Iowa	17360 Greenwood	Kansas
16820 Shelby	Iowa	17370 Hamilton	Kansas
16830 Sioux	Iowa	17380 Harper	Kansas
16840 Story	Iowa	17390 Harvey	Kansas
16850 Tama	Iowa	17391 Haskell	Kansas
16860 Taylor	Iowa	17410 Hodgeman	Kansas
16870 Union	Iowa	17420 Jackson	Kansas
16880 Van Buren	Iowa	17430 Jefferson	Kansas
16890 Wapello	Iowa	17440 Jewell	Kansas
16900 Warren	Iowa	17450 Johnson	Kansas
16910 Washington	Iowa	17451 Kearny	Kansas
16920 Wayne	Iowa	17470 Kingman	Kansas
16930 Webster	Iowa	17480 Kiowa	Kansas
16940 Winnebago	Iowa	17490 Labette	Kansas
16950 Winneshiek	Iowa	17500 Lane	Kansas
16960 Woodbury	Iowa	17510 Leavenworth	Kansas
16970 Worth	Iowa	17520 Lincoln	Kansas
16980 Wright	Iowa	17530 Linn	Kansas
16999 Unknown	Iowa	17540 Logan	Kansas
17000 Allen	Kansas	17550 Lyon	Kansas
17010 Anderson	Kansas	17560 Mc Pherson	Kansas
17020 Atchison	Kansas	17570 Marion	Kansas
17030 Barber	Kansas	17580 Marshall	Kansas
17040 Barton	Kansas	17590 Meade	Kansas
17050 Bourbon	Kansas	17600 Miami	Kansas
17060 Brown	Kansas	17610 Mitchell	Kansas
17070 Butler	Kansas	17620 Montgomery	Kansas
17080 Chase	Kansas	17630 Morris	Kansas
17090 Chautauqua	Kansas	17640 Morton	Kansas
17100 Cherokee	Kansas	17650 Nemaha	Kansas
17110 Cheyenne	Kansas	17660 Neosho	Kansas
17120 Clark	Kansas	17670 Ness	Kansas
17130 Clay	Kansas	17680 Norton	Kansas
17140 Cloud	Kansas	17690 Osage	Kansas
17150 Coffey	Kansas	17700 Osborne	Kansas
17160 Comanche	Kansas	17710 Ottawa	Kansas
17170 Cowley	Kansas	17720 Pawnee	Kansas
17180 Crawford	Kansas	17730 Phillips	Kansas
17190 Decatur	Kansas	17740 Pottawatomie	Kansas
17200 Dickinson	Kansas	17750 Pratt	Kansas
17210 Doniphan	Kansas	17760 Rawlins	Kansas
17220 Douglas	Kansas	17770 Reno	Kansas

Code City	State	Code City	State
17780 Republic	Kansas	18270 Crittenden	Kentucky
17790 Rice	Kansas	18271 Cumberland	Kentucky
17800 Riley	Kansas	18290 Daviess	Kentucky
17810 Rooks	Kansas	18291 Edmonson	Kentucky
17820 Rush	Kansas	18310 Elliott	Kentucky
17830 Russell	Kansas	18320 Estill	Kentucky
17840 Saline	Kansas	18330 Fayette	Kentucky
17841 Scott	Kansas	18340 Fleming	Kentucky
17860 Sedgwick	Kansas	18350 Floyd	Kentucky
17870 Seward	Kansas	18360 Franklin	Kentucky
17880 Shawnee	Kansas	18361 Fulton	Kentucky
17890 Sheridan	Kansas	18362 Gallatin	Kentucky
17900 Sherman	Kansas	18390 Garrard	Kentucky
17910 Smith	Kansas	18400 Grant	Kentucky
17920 Stafford	Kansas	18410 Graves	Kentucky
17921 Stanton	Kansas	18420 Grayson	Kentucky
17940 Stevens	Kansas	18421 Green	Kentucky
17950 Sumner	Kansas	18440 Greenup	Kentucky
17960 Thomas	Kansas	18450 Hancock	Kentucky
17970 Trego	Kansas	18460 Hardin	Kentucky
17980 Wabaunsee	Kansas	18470 Harlan	Kentucky
17981 Wallace	Kansas	18480 Harrison	Kentucky
17982 Washington	Kansas	18490 Hart	Kentucky
17983 Wichita	Kansas	18500 Henderson	Kentucky
17984 Wilson	Kansas	18510 Henry	Kentucky
17985 Woodson	Kansas	18511 Hickman	Kentucky
17986 Wyandotte	Kansas	18530 Hopkins	Kentucky
17999 Unknown	Kansas	18540 Jackson	Kentucky
18000 Adair	Kentucky	18550 Jefferson	Kentucky
18010 Allen	Kentucky	18560 Jessamine	Kentucky
18020 Anderson	Kentucky	18570 Johnson	Kentucky
18030 Ballard	Kentucky	18580 Kenton	Kentucky
18040 Barren	Kentucky	18590 Knott	Kentucky
18050 Bath	Kentucky	18600 Knox	Kentucky
18060 Bell	Kentucky	18610 Larue	Kentucky
18070 Boone	Kentucky	18620 Laurel	Kentucky
18080 Bourbon	Kentucky	18630 Lawrence	Kentucky
18090 Boyd	Kentucky	18640 Lee	Kentucky
18100 Boyle	Kentucky	18650 Leslie	Kentucky
18110 Bracken	Kentucky	18660 Letcher	Kentucky
18120 Breathitt	Kentucky	18670 Lewis	Kentucky
18130 Breckinridge	Kentucky	18680 Lincoln	Kentucky
18140 Bullitt	Kentucky	18690 Livingston	Kentucky
18150 Butler	Kentucky	18700 Logan	Kentucky
18160 Caldwell	Kentucky	18710 Lyon	Kentucky
18170 Calloway	Kentucky	18720 McCracken	Kentucky
18180 Campbell	Kentucky	18730 McCreary	Kentucky
18190 Carlisle	Kentucky	18740 McLean	Kentucky
18191 Carroll	Kentucky	18750 Madison	Kentucky
18210 Carter	Kentucky	18760 Magoffin	Kentucky
18220 Casey	Kentucky	18770 Marion	Kentucky
18230 Christian	Kentucky	18780 Marshall	Kentucky
18240 Clark	Kentucky	18790 Martin	Kentucky
18250 Clay	Kentucky	18800 Mason	Kentucky
18260 Clinton	Kentucky	18801 Meade	Kentucky

A. State and County Codes

Code City	State	Code City	State
18802 Menifee	Kentucky	19160 East Baton Rouge	Louisiana
18830 Mercer	Kentucky	19170 East Carroll	Louisiana
18831 Metcalfe	Kentucky	19180 East Feliciana	Louisiana
18850 Monroe	Kentucky	19190 Evangeline	Louisiana
18860 Montgomery	Kentucky	19200 Franklin	Louisiana
18861 Morgan	Kentucky	19210 Grant	Louisiana
18880 Muhlenberg	Kentucky	19220 Iberia	Louisiana
18890 Nelson	Kentucky	19230 Iberville	Louisiana
18900 Nicholas	Kentucky	19240 Jackson	Louisiana
18910 Ohio	Kentucky	19250 Jefferson	Louisiana
18920 Oldham	Kentucky	19260 Jefferson Davis	Louisiana
18930 Owen	Kentucky	19270 Lafayette	Louisiana
18931 Owsley	Kentucky	19280 Lafourche	Louisiana
18932 Pendleton	Kentucky	19290 La Salle	Louisiana
18960 Perry	Kentucky	19300 Lincoln	Louisiana
18970 Pike	Kentucky	19310 Livingston	Louisiana
18971 Powell	Kentucky	19320 Madison	Louisiana
18972 Pulaski	Kentucky	19330 Morehouse	Louisiana
18973 Robertson	Kentucky	19340 Natchitoches	Louisiana
18974 Rockcastle	Kentucky	19350 Orleans	Louisiana
18975 Rowan	Kentucky	19360 Ouachita	Louisiana
18976 Russell	Kentucky	19370 Plaquemines	Louisiana
18977 Scott	Kentucky	19380 Pointe Coupee	Louisiana
18978 Shelby	Kentucky	19390 Rapides	Louisiana
18979 Simpson	Kentucky	19400 Red River	Louisiana
18980 Spencer	Kentucky	19410 Richland	Louisiana
18981 Taylor	Kentucky	19420 Sabine	Louisiana
18982 Todd	Kentucky	19430 St. Bernard	Louisiana
18983 Trigg	Kentucky	19440 St. Charles	Louisiana
18984 Trimble	Kentucky	19450 St. Helena	Louisiana
18985 Union	Kentucky	19460 St. James	Louisiana
18986 Warren	Kentucky	19470 St. John The Baptist	Louisiana
18987 Washington	Kentucky	19480 St. Landry	Louisiana
18988 Wayne	Kentucky	19490 St. Martin	Louisiana
18989 Webster	Kentucky	19500 St. Mary	Louisiana
18990 Whitley	Kentucky	19510 St. Tammany	Louisiana
18991 Wolfe	Kentucky	19520 Tangipahoa	Louisiana
18992 Woodford	Kentucky	19530 Tensas	Louisiana
18999 Unknown	Kentucky	19540 Terrebonne	Louisiana
19000 Acadia	Louisiana	19550 Union	Louisiana
19010 Allen	Louisiana	19560 Vermilion	Louisiana
19020 Ascension	Louisiana	19570 Vernon	Louisiana
19030 Assumption	Louisiana	19580 Washington	Louisiana
19040 Avoyelles	Louisiana	19590 Webster	Louisiana
19050 Beauregard	Louisiana	19600 West Baton Rouge	Louisiana
19060 Bienville	Louisiana	19610 West Carroll	Louisiana
19070 Bossier	Louisiana	19620 West Feliciana	Louisiana
19080 Caddo	Louisiana	19630 Winn	Louisiana
19090 Calcasieu	Louisiana	19999 Unknown	Louisiana
19100 Caldwell	Louisiana	20000 Androscoggin	Maine
19110 Cameron	Louisiana	20010 Aroostook	Maine
19120 Catahoula	Louisiana	20020 Cumberland	Maine
19130 Claiborne	Louisiana	20030 Franklin	Maine
19140 Concordia	Louisiana	20040 Hancock	Maine
19150 De Soto	Louisiana	20050 Kennebec	Maine

Code City	State	Code City	State
20060 Knox	Maine	23040 Antrim	Michigan
20070 Lincoln	Maine	23050 Arenac	Michigan
20080 Oxford	Maine	23060 Baraga	Michigan
20090 Penobscot	Maine	23070 Barry	Michigan
20100 Piscataquis	Maine	23080 Bay	Michigan
20110 Sagadahoc	Maine	23090 Benzie	Michigan
20120 Somerset	Maine	23100 Berrien	Michigan
20130 Waldo	Maine	23110 Branch	Michigan
20140 Washington	Maine	23120 Calhoun	Michigan
20150 York	Maine	23130 Cass	Michigan
20999 Unknown	Maine	23140 Charlevoix	Michigan
21000 Allegany	Maryland	23150 Cheboygan	Michigan
21010 Anne Arundel	Maryland	23160 Chippewa	Michigan
21020 Baltimore	Maryland	23170 Clare	Michigan
21030 Baltimore City	Maryland	23180 Clinton	Michigan
21040 Calvert	Maryland	23190 Crawford	Michigan
21050 Caroline	Maryland	23200 Delta	Michigan
21060 Carroll	Maryland	23210 Oickinson	Michigan
21070 Cecil	Maryland	23220 Eaton	Michigan
21080 Charles	Maryland	23230 Emmet	Michigan
21090 Dorchester	Maryland	23240 Genesee	Michigan
21100 Frederick	Maryland	23250 Gladwin	Michigan
21110 Garrett	Maryland	23260 Gogebic	Michigan
21120 Harford	Maryland	23270 Grand Traverse	Michigan
21130 Howard	Maryland	23280 Gratiot	Michigan
21140 Kent	Maryland	23290 Hillsdale	Michigan
21150 Montgomery	Maryland	23300 Houghton	Michigan
21160 Prince George's	Maryland	23310 Huron	Michigan
21170 Queen Anne's	Maryland	23320 Ingham	Michigan
21180 St. Mary's	Maryland	23330 Ionia	Michigan
21190 Somerset	Maryland	23340 Iosco	Michigan
21200 Talbot	Maryland	23350 Iron	Michigan
21210 Washington	Maryland	23360 Isabella	Michigan
21220 Wicomico	Maryland	23370 Jackson	Michigan
21230 Worcester	Maryland	23380 Kalamazoo	Michigan
21999 Unknown	Maryland	23390 Kalkaska	Michigan
22000 Barnstable	Massachusetts	23400 Kent	Michigan
22010 Berkshire	Massachusetts	23410 Keweenaw	Michigan
22020 Bristol	Massachusetts	23420 Lake	Michigan
22030 Dukes	Massachusetts	23430 Lapeer	Michigan
22040 Essex	Massachusetts	23440 Leelanau	Michigan
22060 Franklin	Massachusetts	23450 Lenawee	Michigan
22070 Hampden	Massachusetts	23460 Livingston	Michigan
22080 Hampshire	Massachusetts	23470 Luce	Michigan
22090 Middlesex	Massachusetts	23480 Mackinac	Michigan
22120 Nantucket	Massachusetts	23490 Macomb	Michigan
22130 Norfolk	Massachusetts	23500 Manistee	Michigan
22150 Plymouth	Massachusetts	23510 Marquette	Michigan
22160 Suffolk	Massachusetts	23520 Mason	Michigan
22170 Worcester	Massachusetts	23530 Mecosta	Michigan
22999 Unknown	Massachusetts	23540 Menominee	Michigan
23000 Alcona	Michigan	23550 Midland	Michigan
23010 Alger	Michigan	23560 Missaukee	Michigan
23020 Allegan	Michigan	23570 Monroe	Michigan
23030 Alpena	Michigan	23580 Montcalm	Michigan

A. State and County Codes

Code City	State	Code City	State
23590 Montmorency	Michigan	24300 Itasca	Minnesota
23600 Muskegon	Michigan	24310 Jackson	Minnesota
23610 Newaygo	Michigan	24320 Kanabec	Minnesota
23620 Oakland	Michigan	24330 Kandiyohi	Minnesota
23630 Oceana	Michigan	24340 Kittson	Minnesota
23640 Ogemaw	Michigan	24350 Koochiching	Minnesota
23650 Ontonagon	Michigan	24360 Lac Qui Parle	Minnesota
23660 Osceola	Michigan	24370 Lake	Minnesota
23670 Oscoda	Michigan	24380 Lake Of The Woods	Minnesota
23680 Otsego	Michigan	24390 Le Sueur	Minnesota
23690 Ottawa	Michigan	24400 Lincoln	Minnesota
23700 Presque Isle	Michigan	24410 Lyon	Minnesota
23710 Roscommon	Michigan	24420 McLeod	Minnesota
23720 Saginaw	Michigan	24430 Mahnomen	Minnesota
23730 St. Clair	Michigan	24440 Marshall	Minnesota
23740 St. Joseph	Michigan	24450 Martin	Minnesota
23750 Sanilac	Michigan	24460 Meeker	Minnesota
23760 Schoolcraft	Michigan	24470 Mille Lacs	Minnesota
23770 Shiawassee	Michigan	24480 Morrison	Minnesota
23780 Tuscola	Michigan	24490 Mower	Minnesota
23790 Van Buren	Michigan	24500 Murray	Minnesota
23800 Washtenaw	Michigan	24510 Nicollet	Minnesota
23810 Wayne	Michigan	24520 Nobles	Minnesota
23830 Wexford	Michigan	24530 Norman	Minnesota
23999 Unknown	Michigan	24540 Olmsted	Minnesota
24000 Aitkin	Minnesota	24550 Otter Tail	Minnesota
24010 Anoka	Minnesota	24560 Pennington	Minnesota
24020 Becker	Minnesota	24570 Pine	Minnesota
24030 Beltrami	Minnesota	24580 Pipestone	Minnesota
24040 Benton	Minnesota	24590 Polk	Minnesota
24050 Big Stone	Minnesota	24600 Pope	Minnesota
24060 Blue Earth	Minnesota	24610 Ramsey	Minnesota
24070 Brown	Minnesota	24620 Red Lake	Minnesota
24080 Carlton	Minnesota	24630 Redwood	Minnesota
24090 Carver	Minnesota	24640 Renville	Minnesota
24100 Cass	Minnesota	24650 Rice	Minnesota
24110 Chippewa	Minnesota	24660 Rock	Minnesota
24120 Chisago	Minnesota	24670 Roseau	Minnesota
24130 Clay	Minnesota	24680 St. Louis	Minnesota
24140 Clearwater	Minnesota	24690 Scott	Minnesota
24150 Cook	Minnesota	24700 Sherburne	Minnesota
24160 Cottonwood	Minnesota	24710 Sibley	Minnesota
24170 Crow Wing	Minnesota	24720 Stearns	Minnesota
24180 Dakota	Minnesota	24730 Steele	Minnesota
24190 Dodge	Minnesota	24740 Stevens	Minnesota
24200 Douglas	Minnesota	24750 Swift	Minnesota
24210 Faribault	Minnesota	24760 Todd	Minnesota
24220 Fillmore	Minnesota	24770 Traverse	Minnesota
24230 Freeborn	Minnesota	24780 Wabasha	Minnesota
24240 Goodhue	Minnesota	24790 Wadena	Minnesota
24250 Grant	Minnesota	24800 Waseca	Minnesota
24260 Hennepin	Minnesota	24810 Washington	Minnesota
24270 Houston	Minnesota	24820 Watonwan	Minnesota
24280 Hubbard	Minnesota	24830 Wilkin	Minnesota
24290 Isanti	Minnesota	24840 Winona	Minnesota

Code City	State	Code City	State
24850 Wright	Minnesota	25520 Oktibbeha	Mississippi
24860 Yellow Medicine	Minnesota	25530 Panola	Mississippi
24999 Unknown	Minnesota	25540 Pearl River	Mississippi
25000 Adams	Mississippi	25550 Perry	Mississippi
25010 Alcorn	Mississippi	25560 Pike	Mississippi
25020 Amite	Mississippi	25570 Pontotoc	Mississippi
25030 Attala	Mississippi	25580 Prentiss	Mississippi
25040 Benton	Mississippi	25590 Quitman	Mississippi
25050 Bolivar	Mississippi	25600 Rankin	Mississippi
25060 Calhoun	Mississippi	25610 Scott	Mississippi
25070 Carroll	Mississippi	25620 Sharkey	Mississippi
25080 Chickasaw	Mississippi	25630 Simpson	Mississippi
25090 Choctaw	Mississippi	25640 Smith	Mississippi
25100 Claiborne	Mississippi	25650 Stone	Mississippi
25110 Clarke	Mississippi	25660 Sunflower	Mississippi
25120 Clay	Mississippi	25670 Tallahatchie	Mississippi
25130 Coahoma	Mississippi	25680 Tate	Mississippi
25140 Copiah	Mississippi	25690 Tippah	Mississippi
25150 Covington	Mississippi	25700 Tishomingo	Mississippi
25160 De Soto	Mississippi	25710 Tunica	Mississippi
25170 Forrest	Mississippi	25720 Union	Mississippi
25180 Franklin	Mississippi	25730 Walthall	Mississippi
25190 George	Mississippi	25740 Warren	Mississippi
25200 Greene	Mississippi	25750 Washington	Mississippi
25210 Grenada	Mississippi	25760 Wayne	Mississippi
25220 Hancock	Mississippi	25770 Webster	Mississippi
25230 Harrison	Mississippi	25780 Wilkinson	Mississippi
25240 Hinds	Mississippi	25790 Winston	Mississippi
25250 Holmes	Mississippi	25800 Yalobusha	Mississippi
25260 Humphreys	Mississippi	25810 Yazoo	Mississippi
25270 Issaquena	Mississippi	25999 Unknown	Mississippi
25280 Itawamba	Mississippi	26000 Adair	Missouri
25290 Jackson	Mississippi	26010 Andrew	Missouri
25300 Jasper	Mississippi	26020 Atchison	Missouri
25310 Jefferson	Mississippi	26030 Audrain	Missouri
25320 Jefferson Davis	Mississippi	26040 Barry	Missouri
25330 Jones	Mississippi	26050 Barton	Missouri
25340 Kemper	Mississippi	26060 Bates	Missouri
25350 Lafayette	Mississippi	26070 Benton	Missouri
25360 Lamar	Mississippi	26080 Bollinger	Missouri
25370 Lauderdale	Mississippi	26090 Boone	Missouri
25380 Lawrence	Mississippi	26100 Buchanan	Missouri
25390 Leake	Mississippi	26110 Butler	Missouri
25400 Lee	Mississippi	26120 Caldwell	Missouri
25410 Leflore	Mississippi	26130 Callaway	Missouri
25420 Lincoln	Mississippi	26140 Camden	Missouri
25430 Lowndes	Mississippi	26150 Cape Girardeau	Missouri
25440 Madison	Mississippi	26160 Carroll	Missouri
25450 Marion	Mississippi	26170 Carter	Missouri
25460 Marshall	Mississippi	26180 Cass	Missouri
25470 Monroe	Mississippi	26190 Cedar	Missouri
25480 Montgomery	Mississippi	26200 Chariton	Missouri
25490 Neshoba	Mississippi	26210 Christian	Missouri
25500 Newton	Mississippi	26220 Clark	Missouri
25510 Noxubee	Mississippi	26230 Clay	Missouri

A. State and County Codes

Code City	State	Code City	State
26240 Clinton	Missouri	26790 Pettis	Missouri
26250 Cole	Missouri	26800 Phelps	Missouri
26260 Cooper	Missouri	26810 Pike	Missouri
26270 Crawford	Missouri	26820 Platte	Missouri
26280 Dade	Missouri	26821 Polk	Missouri
26290 Dallas	Missouri	26840 Pulaski	Missouri
26300 Daviess	Missouri	26850 Putnam	Missouri
26310 De Kalb	Missouri	26860 Ralls	Missouri
26320 Dent	Missouri	26870 Randolph	Missouri
26330 Douglas	Missouri	26880 Ray	Missouri
26340 Dunklin	Missouri	26881 Reynolds	Missouri
26350 Franklin	Missouri	26900 Ripley	Missouri
26360 Gasconade	Missouri	26910 St. Charles	Missouri
26370 Gentry	Missouri	26911 St. Clair	Missouri
26380 Greene	Missouri	26930 St. Francois	Missouri
26390 Grundy	Missouri	26940 St. Louis	Missouri
26400 Harrison	Missouri	26950 St. Louis City	Missouri
26410 Henry	Missouri	26960 Ste. Genevieve	Missouri
26411 Hickory	Missouri	26970 Saline	Missouri
26412 Holt	Missouri	26980 Schuyler	Missouri
26440 Howard	Missouri	26981 Scotland	Missouri
26450 Howell	Missouri	26982 Scott	Missouri
26460 Iron	Missouri	26983 Shannon	Missouri
26470 Jackson	Missouri	26984 Shelby	Missouri
26480 Jasper	Missouri	26985 Stoddard	Missouri
26490 Jefferson	Missouri	26986 Stone	Missouri
26500 Johnson	Missouri	26987 Sullivan	Missouri
26510 Knox	Missouri	26988 Taney	Missouri
26520 Laclede	Missouri	26989 Texas	Missouri
26530 Lafayette	Missouri	26990 Vernon	Missouri
26540 Lawrence	Missouri	26991 Warren	Missouri
26541 Lewis	Missouri	26992 Washington	Missouri
26560 Lincoln	Missouri	26993 Wayne	Missouri
26570 Linn	Missouri	26994 Webster	Missouri
26580 Livingston	Missouri	26995 Worth	Missouri
26590 McDonald	Missouri	26996 Wright	Missouri
26600 Macon	Missouri	26999 Unknown	Missouri
26601 Madison	Missouri	27000 Beaverhead	Montana
26620 Maries	Missouri	27010 Big Horn	Montana
26630 Marion	Missouri	27020 Blaine	Montana
26631 Mercer	Missouri	27030 Broadwater	Montana
26650 Miller	Missouri	27040 Carbon	Montana
26660 Mississippi	Missouri	27050 Carter	Montana
26670 Moniteau	Missouri	27060 Cascade	Montana
26680 Monroe	Missouri	27070 Chouteau	Montana
26690 Montgomery	Missouri	27080 Custer	Montana
26700 Morgan	Missouri	27090 Daniels	Montana
26710 New Madrid	Missouri	27100 Dawson	Montana
26720 Newton	Missouri	27110 Deer Lodge	Montana
26730 Nodaway	Missouri	27120 Fallon	Montana
26740 Oregon	Missouri	27130 Fergus	Montana
26750 Osage	Missouri	27140 Flathead	Montana
26751 Ozark	Missouri	27150 Gallatin	Montana
26770 Pemiscot	Missouri	27160 Garfield	Montana
26780 Perry	Missouri	27170 Glacier	Montana

Code City	State	Code City	State
27180 Golden Valley	Montana	28160 Cheyenne	Nebraska
27190 Granite	Montana	28170 Clay	Nebraska
27200 Hill	Montana	28180 Colfax	Nebraska
27210 Jefferson	Montana	28190 Cuming	Nebraska
27220 Judith Basin	Montana	28200 Custer	Nebraska
27230 Lake	Montana	28210 Dakota	Nebraska
27240 Lewis And Clark	Montana	28220 Dawes	Nebraska
27250 Liberty	Montana	28230 Dawson	Nebraska
27260 Lincoln	Montana	28240 Deuel	Nebraska
27270 McCone	Montana	28250 Dixon	Nebraska
27280 Madison	Montana	28260 Dodge	Nebraska
27290 Meagher	Montana	28270 Douglas	Nebraska
27300 Mineral	Montana	28280 Dundy	Nebraska
27310 Missoula	Montana	28290 Fillmore	Nebraska
27320 Musselshell	Montana	28300 Franklin	Nebraska
27330 Park	Montana	28310 Frontier	Nebraska
27340 Petroleum	Montana	28320 Furnas	Nebraska
27350 Phillips	Montana	28330 Gage	Nebraska
27360 Pondera	Montana	28340 Garden	Nebraska
27370 Powder River	Montana	28350 Garfield	Nebraska
27380 Powell	Montana	28360 Gosper	Nebraska
27390 Prairie	Montana	28370 Grant	Nebraska
27400 Ravalli	Montana	28380 Greeley	Nebraska
27410 Richland	Montana	28390 Hall	Nebraska
27420 Roosevelt	Montana	28400 Hamilton	Nebraska
27430 Rosebud	Montana	28410 Harlan	Nebraska
27440 Sanders	Montana	28420 Hayes	Nebraska
27450 Sheridan	Montana	28430 Hitchcock	Nebraska
27460 Silver Bow	Montana	28440 Holt	Nebraska
27470 Stillwater	Montana	28450 Hooker	Nebraska
27480 Sweet Grass	Montana	28460 Howard	Nebraska
27490 Teton	Montana	28470 Jefferson	Nebraska
27500 Toole	Montana	28480 Johnson	Nebraska
27510 Treasure	Montana	28490 Kearney	Nebraska
27520 Valley	Montana	28500 Keith	Nebraska
27530 Wheatland	Montana	28510 Keya Paha	Nebraska
27540 Wibaux	Montana	28520 Kimball	Nebraska
27550 Yellowstone	Montana	28530 Knox	Nebraska
27999 Unknown	Montana	28540 Lancaster	Nebraska
28000 Adams	Nebraska	28550 Lincoln	Nebraska
28010 Antelope	Nebraska	28560 Logan	Nebraska
28020 Arthur	Nebraska	28570 Loup	Nebraska
28030 Banner	Nebraska	28580 McPherson	Nebraska
28040 Blaine	Nebraska	28590 Madison	Nebraska
28050 Boone	Nebraska	28600 Merrick	Nebraska
28060 Box Butte	Nebraska	28610 Morrill	Nebraska
28070 Boyd	Nebraska	28620 Nance	Nebraska
28080 Brown	Nebraska	28630 Nemaha	Nebraska
28090 Buffalo	Nebraska	28640 Nuckolls	Nebraska
28100 Burt	Nebraska	28650 Otoe	Nebraska
28110 Butler	Nebraska	28660 Pawnee	Nebraska
28120 Cass	Nebraska	28670 Perkins	Nebraska
28130 Cedar	Nebraska	28680 Phelps	Nebraska
28140 Chase	Nebraska	28690 Pierce	Nebraska
28150 Cherry	Nebraska	28700 Platte	Nebraska

A. State and County Codes

Code City	State	Code City	State
28710 Polk	Nebraska	30070 Rockingham	New Hampshire
28720 Red Willow	Nebraska	30080 Strafford	New Hampshire
28730 Richardson	Nebraska	30090 Sullivan	New Hampshire
28740 Rock	Nebraska	30999 Unknown	New Hampshire
28750 Saline	Nebraska	31000 Atlantic	New Jersey
28760 Sarpy	Nebraska	31100 Bergen	New Jersey
28770 Saunders	Nebraska	31150 Burlington	New Jersey
28780 Scotts Bluff	Nebraska	31160 Camden	New Jersey
28790 Seward	Nebraska	31180 Cape May	New Jersey
28800 Sheridan	Nebraska	31190 Cumberland	New Jersey
28810 Sherman	Nebraska	31200 Essex	New Jersey
28820 Sioux	Nebraska	31220 Gloucester	New Jersey
28830 Stanton	Nebraska	31230 Hudson	New Jersey
28840 Thayer	Nebraska	31250 Hunterdon	New Jersey
28850 Thomas	Nebraska	31260 Mercer	New Jersey
28860 Thurston	Nebraska	31270 Middlesex	New Jersey
28870 Valley	Nebraska	31290 Monmouth	New Jersey
28880 Washington	Nebraska	31300 Morris	New Jersey
28890 Wayne	Nebraska	31310 Ocean	New Jersey
28900 Webster	Nebraska	31320 Passaic	New Jersey
28910 Wheeler	Nebraska	31340 Salem	New Jersey
28920 York	Nebraska	31350 Somerset	New Jersey
28999 Unknown	Nebraska	31360 Sussex	New Jersey
29000 Churchill	Nevada	31370 Union	New Jersey
29010 Clark	Nevada	31390 Warren	New Jersey
29020 Douglas	Nevada	31999 Unknown	New Jersey
29030 Elko	Nevada	32000 Bernalillo	New Mexico
29040 Esmeralda	Nevada	32010 Catron	New Mexico
29050 Eureka	Nevada	32020 Chaves	New Mexico
29060 Humboldt	Nevada	32025 Cibola	New Mexico
29070 Lander	Nevada	32030 Colfax	New Mexico
29080 Lincoln	Nevada	32040 Curry	New Mexico
29090 Lyon	Nevada	32050 De Baca	New Mexico
29100 Mineral	Nevada	32060 Dona Ana	New Mexico
29110 Nye	Nevada	32070 Eddy	New Mexico
29120 Carson City	Nevada	32080 Grant	New Mexico
29130 Pershing	Nevada	32090 Guadalupe	New Mexico
29140 Storey	Nevada	32100 Harding	New Mexico
29150 Washoe	Nevada	32110 Hidalgo	New Mexico
29160 White Pine	Nevada	32120 Lea	New Mexico
29999 Unknown	Nevada	32130 Lincoln	New Mexico
30000 Belknap	New Hampshire	32131 Los Alamos	New Mexico
30010 Carroll	New Hampshire	32140 Luna	New Mexico
30020 Cheshire	New Hampshire	32150 McKinley	New Mexico
30030 Coos	New Hampshire	32160 Mora	New Mexico
30040 Grafton	New Hampshire	32170 Otero	New Mexico
30050 Hillsboro	New Hampshire	32180 Quay	New Mexico
30060 Merrimack	New Hampshire	32190 Rio Arriba	New Mexico
		32200 Roosevelt	New Mexico
		32210 Sandoval	New Mexico
		32220 San Jaun	New Mexico

Code City	State	Code City	State
32230 San Miguel	New Mexico	33650 Schnectady	New York
32240 Santa Fe	New Mexico	33660 Schoharie	New York
32250 Sierra	New Mexico	33670 Schuyler	New York
32260 Socorro	New Mexico	33680 Seneca	New York
32270 Taos	New Mexico	33690 Steuben	New York
32280 Torrance	New Mexico	33700 Suffolk	New York
32290 Union	New Mexico	33710 Sullivan	New York
32300 Valencia	New Mexico	33720 Tioga	New York
32999 Unknown	New Mexico	33730 Tompkins	New York
33000 Albany	New York	33740 Ulster	New York
33010 Allegany	New York	33750 Warren	New York
33020 Bronx	New York	33760 Washington	New York
33030 Broome	New York	33770 Wayne	New York
33040 Cattaraugus	New York	33800 Westchester	New York
33050 Cayuga	New York	33900 Wyoming	New York
33060 Chautauqua	New York	33910 Yates	New York
33070 Chemung	New York	33999 Unknown	New York
33080 Chenango	New York	34000 Alamance	North Carolina
33090 Clinton	New York	34010 Alexander	North Carolina
33200 Columbia	New York	34020 Alleghany	North Carolina
33210 Cortland	New York	34030 Anson	North Carolina
33220 Delaware	New York	34040 Ashe	North Carolina
33230 Dutchess	New York	34050 Avery	North Carolina
33240 Erie	New York	34060 Beaufort	North Carolina
33260 Essex	New York	34070 Bertie	North Carolina
33270 Franklin	New York	34080 Bladen	North Carolina
33280 Fulton	New York	34090 Brunswick	North Carolina
33290 Genesee	New York	34100 Buncombe	North Carolina
33300 Greene	New York	34110 Burke	North Carolina
33310 Hamilton	New York	34120 Cabarrus	North Carolina
33320 Herkimer	New York	34130 Caldwell	North Carolina
33330 Jefferson	New York	34140 Camden	North Carolina
33331 Kings	New York	34150 Carteret	North Carolina
33340 Lewis	New York	34160 Caswell	North Carolina
33350 Livingston	New York	34170 Catawba	North Carolina
33360 Madison	New York	34180 Chatham	North Carolina
33370 Monroe	New York	34190 Cherokee	North Carolina
33380 Montgomery	New York	34200 Chowan	North Carolina
33400 Nassau	New York	34210 Clay	North Carolina
33420 New York	New York	34220 Cleveland	North Carolina
33500 Niagara	New York	34230 Columbus	North Carolina
33510 Oneida	New York	34240 Craven	North Carolina
33520 Onondaga	New York	34250 Cumberland	North Carolina
33530 Ontario	New York	34251 Currituck	North Carolina
33540 Orange	New York	34270 Dare	North Carolina
33550 Orleans	New York	34280 Davidson	North Carolina
33560 Oswego	New York	34290 Davie	North Carolina
33570 Otsego	New York	34300 Duplin	North Carolina
33580 Putnam	New York	34310 Durham	North Carolina
33590 Queens	New York	34320 Edgecombe	North Carolina
33600 Rensselaer	New York	34330 Forsyth	North Carolina
33610 Richmond	New York	34340 Franklin	North Carolina
33620 Rockland	New York	34350 Gaston	North Carolina
33630 St. Lawrence	New York	34360 Gates	North Carolina
33640 Saratoga	New York	34370 Graham	North Carolina

A. State and County Codes

Code City	State	Code City	State
34380 Granville	North Carolina	34930 Washington	North Carolina
34390 Greene	North Carolina	34940 Watauga	North Carolina
34400 Guilford	North Carolina	34950 Wayne	North Carolina
34410 Halifax	North Carolina	34960 Wilkes	North Carolina
34420 Harnett	North Carolina	34970 Wilson	North Carolina
34430 Haywood	North Carolina	34980 Yadkin	North Carolina
34440 Henderson	North Carolina	34981 Yancey	North Carolina
34450 Hertford	North Carolina	34999 Unknown	North Carolina
34460 Hoke	North Carolina	35000 Adams	North Dakota
34470 Hyde	North Carolina	35010 Barnes	North Dakota
34480 Iredell	North Carolina	35020 Benson	North Dakota
34490 Jackson	North Carolina	35030 Billings	North Dakota
34500 Johnston	North Carolina	35040 Bottineau	North Dakota
34510 Jones	North Carolina	35050 Bowman	North Dakota
34520 Lee	North Carolina	35060 Burke	North Dakota
34530 Lenoir	North Carolina	35070 Burleigh	North Dakota
34540 Lincoln	North Carolina	35080 Cass	North Dakota
34550 McDowell	North Carolina	35090 Cavalier	North Dakota
34560 Macon	North Carolina	35100 Dickey	North Dakota
34570 Madison	North Carolina	35110 Divide	North Dakota
34580 Martin	North Carolina	35120 Dunn	North Dakota
34590 Mecklenburg	North Carolina	35130 Eddy	North Dakota
34600 Mitchell	North Carolina	35140 Emmons	North Dakota
34610 Montgomery	North Carolina	35150 Foster	North Dakota
34620 Moore	North Carolina	35160 Golden Valley	North Dakota
34630 Nash	North Carolina	35170 Grand Forks	North Dakota
34640 New Hanover	North Carolina	35180 Grant	North Dakota
34650 Northampton	North Carolina	35190 Griggs	North Dakota
34660 Onslow	North Carolina	35200 Hettinger	North Dakota
34670 Orange	North Carolina	35210 Kidder	North Dakota
34680 Pamlico	North Carolina	35220 La Moure	North Dakota
34690 Pasquotank	North Carolina	35230 Logan	North Dakota
34700 Pender	North Carolina	35240 McHenry	North Dakota
34710 Perquimans	North Carolina	35250 McIntosh	North Dakota
34720 Person	North Carolina	35260 McKenzie	North Dakota
34730 Pitt	North Carolina	35270 McLean	North Dakota
34740 Polk	North Carolina	35280 Mercer	North Dakota
34750 Randolph	North Carolina	35290 Morton	North Dakota
34760 Richmond	North Carolina	35300 Mountrial	North Dakota
34770 Robeson	North Carolina	35310 Nelson	North Dakota
34780 Rockingham	North Carolina	35320 Oliver	North Dakota
34790 Rowan	North Carolina	35330 Pembina	North Dakota
34800 Rutherford	North Carolina	35340 Pierce	North Dakota
34810 Sampson	North Carolina	35350 Ramsey	North Dakota
34820 Scotland	North Carolina	35360 Ransom	North Dakota
34830 Stanly	North Carolina	35370 Renville	North Dakota
34840 Stokes	North Carolina	35380 Richland	North Dakota
34850 Surry	North Carolina	35390 Rolette	North Dakota
34860 Swain	North Carolina	35400 Sargent	North Dakota
34870 Transylvania	North Carolina	35410 Sheridan	North Dakota
34880 Tyrrell	North Carolina	35420 Sioux	North Dakota
34890 Union	North Carolina	35430 Slope	North Dakota
34900 Vance	North Carolina	35440 Stark	North Dakota
34910 Wake	North Carolina	35450 Steele	North Dakota
34920 Warren	North Carolina	35460 Stutsman	North Dakota

Code City	State	Code City	State
35470 Towner	North Dakota	36500 Madison	Ohio
35480 Traill	North Dakota	36510 Mahoning	Ohio
35490 Walsh	North Dakota	36520 Marion	Ohio
35500 Ward	North Dakota	36530 Medina	Ohio
35510 Wells	North Dakota	36540 Meigs	Ohio
35520 Williams	North Dakota	36550 Mercer	Ohio
35999 Unknown	North Dakota	36560 Miami	Ohio
36000 Adams	Ohio	36570 Monroe	Ohio
36010 Allen	Ohio	36580 Montgomery	Ohio
36020 Ashland	Ohio	36590 Morgan	Ohio
36030 Ashtabula	Ohio	36600 Morrow	Ohio
36040 Athens	Ohio	36610 Muskingum	Ohio
36050 Auglaize	Ohio	36620 Noble	Ohio
36060 Belmont	Ohio	36630 Ottawa	Ohio
36070 Brown	Ohio	36640 Paulding	Ohio
36080 Butler	Ohio	36650 Perry	Ohio
36090 Carroll	Ohio	36660 Pickaway	Ohio
36100 Champaign	Ohio	36670 Pike	Ohio
36110 Clark	Ohio	36680 Portage	Ohio
36120 Clermont	Ohio	36690 Preble	Ohio
36130 Clinton	Ohio	36700 Putnam	Ohio
36140 Columbiana	Ohio	36710 Richland	Ohio
36150 Coshocton	Ohio	36720 Ross	Ohio
36160 Crawford	Ohio	36730 Sandusky	Ohio
36170 Cuyahoga	Ohio	36740 Scioto	Ohio
36190 Darke	Ohio	36750 Seneca	Ohio
36200 Defiance	Ohio	36760 Shelby	Ohio
36210 Delaware	Ohio	36770 Stark	Ohio
36220 Erie	Ohio	36780 Summit	Ohio
36230 Fairfield	Ohio	36790 Trumbull	Ohio
36240 Fayette	Ohio	36800 Tuscarawas	Ohio
36250 Franklin	Ohio	36810 Union	Ohio
36260 Fulton	Ohio	36820 Van Wert	Ohio
36270 Gallia	Ohio	36830 Vinton	Ohio
36280 Geauga	Ohio	36840 Warren	Ohio
36290 Greene	Ohio	36850 Washington	Ohio
36300 Guernsey	Ohio	36860 Wayne	Ohio
36310 Hamilton	Ohio	36870 Williams	Ohio
36330 Hancock	Ohio	36880 Wood	Ohio
36340 Hardin	Ohio	36890 Wyandot	Ohio
36350 Harrison	Ohio	36999 Unknown	Ohio
36360 Henry	Ohio	37000 Adair	Oklahoma
36370 Highland	Ohio	37010 Alfalfa	Oklahoma
36380 Hocking	Ohio	37020 Atoka	Oklahoma
36390 Holmes	Ohio	37030 Beaver	Oklahoma
36400 Huron	Ohio	37040 Beckham	Oklahoma
36410 Jackson	Ohio	37050 Blaine	Oklahoma
36420 Jefferson	Ohio	37060 Bryan	Oklahoma
36430 Knox	Ohio	37070 Caddo	Oklahoma
36440 Lake	Ohio	37080 Canadian	Oklahoma
36450 Lawrence	Ohio	37090 Carter	Oklahoma
36460 Licking	Ohio	37100 Cherokee	Oklahoma
36470 Logan	Ohio	37110 Choctaw	Oklahoma
36480 Lorain	Ohio	37120 Cimarron	Oklahoma
36490 Lucas	Ohio	37130 Cleveland	Oklahoma

A. State and County Codes

Code City	State	Code City	State
37140 Coal	Oklahoma	37690 Texas	Oklahoma
37150 Comanche	Oklahoma	37700 Tillman	Oklahoma
37160 Cotton	Oklahoma	37710 Tulsa	Oklahoma
37170 Craig	Oklahoma	37720 Wagoner	Oklahoma
37180 Creek	Oklahoma	37730 Washington	Oklahoma
37190 Custer	Oklahoma	37740 Washita	Oklahoma
37200 Delaware	Oklahoma	37750 Woods	Oklahoma
37210 Dewey	Oklahoma	37760 Woodward	Oklahoma
37220 Ellis	Oklahoma	37999 Unknown	Oklahoma
37230 Garfield	Oklahoma	38000 Baker	Oregon
37240 Garvin	Oklahoma	38010 Benton	Oregon
37250 Grady	Oklahoma	38020 Clackamas	Oregon
37260 Grant	Oklahoma	38030 Clatsop	Oregon
37270 Greer	Oklahoma	38040 Columbia	Oregon
37280 Harmon	Oklahoma	38050 Coos	Oregon
37290 Harper	Oklahoma	38060 Crook	Oregon
37300 Haskell	Oklahoma	38070 Curry	Oregon
37310 Hughes	Oklahoma	38080 Deschutes	Oregon
37320 Jackson	Oklahoma	38090 Douglas	Oregon
37330 Jefferson	Oklahoma	38100 Gilliam	Oregon
37340 Johnston	Oklahoma	38110 Grant	Oregon
37350 Kay	Oklahoma	38120 Harney	Oregon
37360 Kingfisher	Oklahoma	38130 Hood River	Oregon
37370 Kiowa	Oklahoma	38140 Jackson	Oregon
37380 Latimer	Oklahoma	38150 Jefferson	Oregon
37390 Le Flore	Oklahoma	38160 Josephine	Oregon
37400 Lincoln	Oklahoma	38170 Klamath	Oregon
37410 Logan	Oklahoma	38180 Lake	Oregon
37420 Love	Oklahoma	38190 Lane	Oregon
37430 McClain	Oklahoma	38200 Lincoln	Oregon
37440 McCurtain	Oklahoma	38210 Linn	Oregon
37450 McIntosh	Oklahoma	38220 Malheur	Oregon
37460 Major	Oklahoma	38230 Marion	Oregon
37470 Marshall	Oklahoma	38240 Morrow	Oregon
37480 Mayes	Oklahoma	38250 Multnomah	Oregon
37490 Murray	Oklahoma	38260 Polk	Oregon
37500 Muskogee	Oklahoma	38270 Sherman	Oregon
37510 Noble	Oklahoma	38280 Tillamook	Oregon
37520 Nowata	Oklahoma	38290 Umatilla	Oregon
37530 Okfuskee	Oklahoma	38300 Union	Oregon
37540 Oklahoma	Oklahoma	38310 Wallowa	Oregon
37550 Okmulgee	Oklahoma	38320 Wasco	Oregon
37560 Osage	Oklahoma	38330 Washington	Oregon
37570 Ottawa	Oklahoma	38340 Wheeler	Oregon
37580 Pawnee	Oklahoma	38350 Yamhill	Oregon
37590 Payne	Oklahoma	38999 Unknown	Oregon
37600 Pittsburg	Oklahoma	39000 Adams	Pennsylvania
37610 Pontotoc	Oklahoma	39010 Allegheny	Pennsylvania
37620 Pottawatomie	Oklahoma	39070 Armstrong	Pennsylvania
37630 Pushmataha	Oklahoma	39080 Beaver	Pennsylvania
37640 Roger Mills	Oklahoma	39100 Bedford	Pennsylvania
37650 Rogers	Oklahoma	39110 Berks	Pennsylvania
37660 Seminole	Oklahoma	39120 Blair	Pennsylvania
37670 Sequoyah	Oklahoma	39130 Bradford	Pennsylvania
37680 Stephens	Oklahoma	39140 Bucks	Pennsylvania

Code City	State	Code City	State
39150 Butler	Pennsylvania	39770 Westmoreland	Pennsylvania
39160 Cambria	Pennsylvania	39790 Wyoming	Pennsylvania
39180 Cameron	Pennsylvania	39800 York	Pennsylvania
39190 Carbon	Pennsylvania	39999 Unknown	Pennsylvania
39200 Centre	Pennsylvania	40010 Adjuntas	Puerto Rico
39210 Chester	Pennsylvania	40020 Aguada	Puerto Rico
39220 Clarion	Pennsylvania	40030 Aguadilla	Puerto Rico
39230 Clearfield	Pennsylvania	40040 Aguas Buenas	Puerto Rico
39240 Clinton	Pennsylvania	40050 Aibonito	Puerto Rico
39250 Columbia	Pennsylvania	40060 Anasco	Puerto Rico
39260 Crawford	Pennsylvania	40070 Arceibo	Puerto Rico
39270 Cumberland	Pennsylvania	40080 Arroyo	Puerto Rico
39280 Dauphin	Pennsylvania	40090 Barceloneta	Puerto Rico
39290 Delaware	Pennsylvania	40100 Barranquitas	Puerto Rico
39310 Elk	Pennsylvania	40110 Bayamon	Puerto Rico
39320 Erie	Pennsylvania	40120 Cabo Rojo	Puerto Rico
39330 Fayette	Pennsylvania	40130 Caguas	Puerto Rico
39340 Forest	Pennsylvania	40140 Camuy	Puerto Rico
39350 Franklin	Pennsylvania	40145 Canovanas	Puerto Rico
39360 Fulton	Pennsylvania	40150 Carolina	Puerto Rico
39370 Greene	Pennsylvania	40160 Catano	Puerto Rico
39380 Huntingdon	Pennsylvania	40170 Cayey	Puerto Rico
39390 Indiana	Pennsylvania	40180 Ceiba	Puerto Rico
39400 Jefferson	Pennsylvania	40190 Ciales	Puerto Rico
39410 Juniata	Pennsylvania	40200 Cidra	Puerto Rico
39420 Lackawanna	Pennsylvania	40210 Coamo	Puerto Rico
39440 Lancaster	Pennsylvania	40220 Comerio	Puerto Rico
39450 Lawrence	Pennsylvania	40230 Corozal	Puerto Rico
39460 Lebanon	Pennsylvania	40240 Culebra	Puerto Rico
39470 Lehigh	Pennsylvania	40250 Dorado	Puerto Rico
39480 Luzerne	Pennsylvania	40260 Fajardo	Puerto Rico
39510 Lycoming	Pennsylvania	40265 Florida	Puerto Rico
39520 McKean	Pennsylvania	40270 Guanica	Puerto Rico
39530 Mercer	Pennsylvania	40280 Guayama	Puerto Rico
39540 Mifflin	Pennsylvania	40290 Guayanilla	Puerto Rico
39550 Monroe	Pennsylvania	40300 Guaynabo	Puerto Rico
39560 Montgomery	Pennsylvania	40310 Gurabo	Puerto Rico
39580 Montour	Pennsylvania	40320 Hatillo	Puerto Rico
39590 Northampton	Pennsylvania	40330 Hormigueros	Puerto Rico
39600 Northumberland	Pennsylvania	40340 Humacao	Puerto Rico
39610 Perry	Pennsylvania	40350 Isabela	Puerto Rico
39620 Philadelphia	Pennsylvania	40360 Jayuya	Puerto Rico
39630 Pike	Pennsylvania	40370 Juana Diaz	Puerto Rico
39640 Potter	Pennsylvania	40380 Juncos	Puerto Rico
39650 Schuylkill	Pennsylvania	40390 Lajas	Puerto Rico
39670 Snyder	Pennsylvania	40400 Lares	Puerto Rico
39680 Somerset	Pennsylvania	40410 Las Marias	Puerto Rico
39690 Sullivan	Pennsylvania	40420 Las Piedras	Puerto Rico
39700 Susquehanna	Pennsylvania	40430 Loiza	Puerto Rico
39710 Tioga	Pennsylvania	40440 Luquillo	Puerto Rico
39720 Union	Pennsylvania	40450 Manati	Puerto Rico
39730 Venango	Pennsylvania	40460 Maricao	Puerto Rico
39740 Warren	Pennsylvania	40470 Maunabo	Puerto Rico
39750 Washington	Pennsylvania	40480 Mayaguez	Puerto Rico
39760 Wayne	Pennsylvania	40490 Moca	Puerto Rico

A. State and County Codes

Code City	State	Code City	State		
40500	Morovis	Puerto Rico	42210	Georgetown	South Carolina
40510	Naguabo	Puerto Rico	42220	Greenville	South Carolina
40520	Naranjito	Puerto Rico	42230	Greenwood	South Carolina
40530	Orocovis	Puerto Rico	42240	Hampton	South Carolina
40540	Patillas	Puerto Rico	42250	Horry	South Carolina
40550	Penuelas	Puerto Rico	42260	Jasper	South Carolina
40560	Ponce	Puerto Rico	42270	Kershaw	South Carolina
40570	Quebradillas	Puerto Rico	42280	Lancaster	South Carolina
40580	Rincon	Puerto Rico	42290	Laurens	South Carolina
40590	Rio Grande	Puerto Rico	42300	Lee	South Carolina
40610	Sabana Grande	Puerto Rico	42310	Lexington	South Carolina
40620	Salinas	Puerto Rico	42320	McCormick	South Carolina
40630	San German	Puerto Rico	42330	Marion	South Carolina
40640	San Juan	Puerto Rico	42340	Marlboro	South Carolina
40650	San Lorenzo	Puerto Rico	42350	Newberry	South Carolina
40660	San Sebastian	Puerto Rico	42360	Oconee	South Carolina
40670	Santa Isabel	Puerto Rico	42370	Orangeburg	South Carolina
40680	Toa Alta	Puerto Rico	42380	Pickens	South Carolina
40690	Toa Baja	Puerto Rico	42390	Richland	South Carolina
40700	Trujillo Alto	Puerto Rico	42400	Saluda	South Carolina
40710	Utua	Puerto Rico	42410	Spartanburg	South Carolina
40720	Vega Alta	Puerto Rico	42420	Sumter	South Carolina
40730	Vega Baja	Puerto Rico	42430	Union	South Carolina
40740	Vieques	Puerto Rico	42440	Williamsburg	South Carolina
40750	Villalba	Puerto Rico	42450	York	South Carolina
40760	Yabucoa	Puerto Rico	42999	Unknown	South Carolina
40770	Yauco	Puerto Rico	43010	Aurora	South Dakota
40999	Unknown	Puerto Rico	43020	Beadle	South Dakota
41000	Bristol	Rhode Island	43030	Bennett	South Dakota
41010	Kent	Rhode Island	43040	Bon Homme	South Dakota
41020	Newport	Rhode Island	43050	Brookings	South Dakota
41030	Providence	Rhode Island	43060	Brown	South Dakota
41050	Washington	Rhode Island	43070	Brule	South Dakota
41999	Unknown	Rhode Island	43080	Buffalo	South Dakota
42000	Abbeville	South Carolina	43090	Butte	South Dakota
42010	Aiken	South Carolina	43100	Campbell	South Dakota
42020	Allendale	South Carolina	43110	Charles Mix	South Dakota
42030	Anderson	South Carolina	43120	Clark	South Dakota
42040	Bamberg	South Carolina	43130	Clay	South Dakota
42050	Barnwell	South Carolina	43140	Codington	South Dakota
42060	Beaufort	South Carolina	43150	Corson	South Dakota
42070	Berkeley	South Carolina	43160	Custer	South Dakota
42080	Calhoun	South Carolina	43170	Davison	South Dakota
42090	Charleston	South Carolina	43180	Day	South Dakota
42100	Cherokee	South Carolina	43190	Deuel	South Dakota
42110	Chester	South Carolina	43200	Dewey	South Dakota
42120	Chesterfield	South Carolina	43210	Douglas	South Dakota
42130	Clarendon	South Carolina	43220	Edmunds	South Dakota
42140	Colleton	South Carolina	43230	Fall River	South Dakota
42150	Darlington	South Carolina	43240	Faulk	South Dakota
42160	Dillon	South Carolina	43250	Grant	South Dakota
42170	Dorchester	South Carolina	43260	Gregory	South Dakota
42180	Edgefield	South Carolina	43270	Haakon	South Dakota
42190	Fairfield	South Carolina	43280	Hamlin	South Dakota
42200	Florence	South Carolina	43290	Hand	South Dakota

Code City	State	Code City	State
43300 Hanson	South Dakota	44160 Crockett	Tennessee
43310 Harding	South Dakota	44170 Cumberland	Tennessee
43320 Hughes	South Dakota	44180 Davidson	Tennessee
43330 Hutchinson	South Dakota	44190 Decatur	Tennessee
43340 Hyde	South Dakota	44200 De Kalb	Tennessee
43350 Jackson	South Dakota	44210 Dickson	Tennessee
43360 Jerauld	South Dakota	44220 Dyer	Tennessee
43370 Jones	South Dakota	44230 Fayette	Tennessee
43380 Kingsbury	South Dakota	44240 Fentress	Tennessee
43390 Lake	South Dakota	44250 Franklin	Tennessee
43400 Lawrence	South Dakota	44260 Gibson	Tennessee
43410 Lincoln	South Dakota	44270 Giles	Tennessee
43420 Lyman	South Dakota	44280 Grainger	Tennessee
43430 McCook	South Dakota	44290 Greene	Tennessee
43440 McPherson	South Dakota	44300 Grundy	Tennessee
43450 Marshall	South Dakota	44310 Hamblen	Tennessee
43460 Meade	South Dakota	44320 Hamilton	Tennessee
43470 Mellette	South Dakota	44330 Hancock	Tennessee
43480 Miner	South Dakota	44340 Hardeman	Tennessee
43490 Minnehaha	South Dakota	44350 Hardin	Tennessee
43500 Moody	South Dakota	44360 Hawkins	Tennessee
43510 Pennington	South Dakota	44370 Haywood	Tennessee
43520 Perkins	South Dakota	44380 Henderson	Tennessee
43530 Potter	South Dakota	44390 Henry	Tennessee
43540 Roberts	South Dakota	44400 Hickman	Tennessee
43550 Sanborn	South Dakota	44410 Houston	Tennessee
43560 Shannon	South Dakota	44420 Humphreys	Tennessee
43570 Spink	South Dakota	44430 Jackson	Tennessee
43580 Stanley	South Dakota	44440 Jefferson	Tennessee
43590 Sully	South Dakota	44450 Johnson	Tennessee
43600 Todd	South Dakota	44460 Knox	Tennessee
43610 Tripp	South Dakota	44470 Lake	Tennessee
43620 Turner	South Dakota	44480 Lauderdale	Tennessee
43630 Union	South Dakota	44490 Lawrence	Tennessee
43640 Walworth	South Dakota	44500 Lewis	Tennessee
43650 Washabaugh	South Dakota	44510 Lincoln	Tennessee
43670 Yankton	South Dakota	44520 Loudon	Tennessee
43680 Ziebach	South Dakota	44530 McMinn	Tennessee
43999 Unknown	South Dakota	44540 McNairy	Tennessee
44000 Anderson	Tennessee	44550 Macon	Tennessee
44010 Bedford	Tennessee	44560 Madison	Tennessee
44020 Benton	Tennessee	44570 Marion	Tennessee
44030 Bledsoe	Tennessee	44580 Marshall	Tennessee
44040 Blount	Tennessee	44590 Maury	Tennessee
44050 Bradley	Tennessee	44600 Meigs	Tennessee
44060 Campbell	Tennessee	44610 Monroe	Tennessee
44070 Cannon	Tennessee	44620 Montgomery	Tennessee
44080 Carroll	Tennessee	44630 Moore	Tennessee
44090 Carter	Tennessee	44640 Morgan	Tennessee
44100 Cheatham	Tennessee	44650 Obion	Tennessee
44110 Chester	Tennessee	44660 Overton	Tennessee
44120 Claiborne	Tennessee	44670 Perry	Tennessee
44130 Clay	Tennessee	44680 Pickett	Tennessee
44140 Cocke	Tennessee	44690 Polk	Tennessee
44150 Coffee	Tennessee	44700 Putnam	Tennessee

A. State and County Codes

Code City	State	Code City	State
44710 Rhea	Tennessee	45240 Cameron	Texas
44720 Roane	Tennessee	45250 Camp	Texas
44730 Robertson	Tennessee	45251 Carson	Texas
44740 Rutherford	Tennessee	45260 Cass	Texas
44750 Scott	Tennessee	45270 Castro	Texas
44760 Sequatchie	Tennessee	45280 Chambers	Texas
44770 Sevier	Tennessee	45281 Cherokee	Texas
44780 Shelby	Tennessee	45290 Childress	Texas
44790 Smith	Tennessee	45291 Clay	Texas
44800 Stewart	Tennessee	45292 Cochran	Texas
44810 Sullivan	Tennessee	45300 Coke	Texas
44820 Sumner	Tennessee	45301 Coleman	Texas
44830 Tipton	Tennessee	45310 Collin	Texas
44840 Trousdale	Tennessee	45311 Collingsworth	Texas
44850 Unicoi	Tennessee	45312 Colorado	Texas
44860 Union	Tennessee	45320 Comal	Texas
44870 Van Buren	Tennessee	45321 Comanche	Texas
44880 Warren	Tennessee	45330 Concho	Texas
44890 Washington	Tennessee	45340 Cooke	Texas
44900 Wayne	Tennessee	45341 Coryell	Texas
44910 Weakley	Tennessee	45350 Cottle	Texas
44920 White	Tennessee	45360 Crane	Texas
44930 Williamson	Tennessee	45361 Crockett	Texas
44940 Wilson	Tennessee	45362 Crosby	Texas
44999 Unknown	Tennessee	45370 Culberson	Texas
45000 Anderson	Texas	45380 Dallam	Texas
45010 Andrews	Texas	45390 Dallas	Texas
45020 Angelina	Texas	45391 Dawson	Texas
45030 Aransas	Texas	45392 Deaf Smith	Texas
45040 Archer	Texas	45400 Delta	Texas
45050 Armstrong	Texas	45410 Denton	Texas
45060 Atascosa	Texas	45420 De Witt	Texas
45070 Austin	Texas	45421 Dickens	Texas
45080 Bailey	Texas	45430 Dimmit	Texas
45090 Bandera	Texas	45431 Donley	Texas
45100 Bastrop	Texas	45440 Duval	Texas
45110 Baylor	Texas	45450 Eastland	Texas
45113 Bee	Texas	45451 Ector	Texas
45120 Bell	Texas	45460 Edwards	Texas
45130 Bexar	Texas	45470 Ellis	Texas
45140 Blanco	Texas	45480 El Paso	Texas
45150 Borden	Texas	45490 Erath	Texas
45160 Bosque	Texas	45500 Falls	Texas
45170 Bowie	Texas	45510 Fannin	Texas
45180 Brazoria	Texas	45511 Fayette	Texas
45190 Brazos	Texas	45520 Fisher	Texas
45200 Brewster	Texas	45521 Floyd	Texas
45201 Briscoe	Texas	45522 Foard	Texas
45210 Brooks	Texas	45530 Fort Bend	Texas
45220 Brown	Texas	45531 Franklin	Texas
45221 Burleson	Texas	45540 Freestone	Texas
45222 Burnet	Texas	45541 Frio	Texas
45223 Caldwell	Texas	45542 Gaines	Texas
45224 Calhoun	Texas	45550 Galveston	Texas
45230 Callahan	Texas	45551 Garza	Texas

Code City	State	Code City	State
45552 Gillespie	Texas	45752 Lampasas	Texas
45560 Glasscock	Texas	45753 La Salle	Texas
45561 Goliad	Texas	45754 Lavaca	Texas
45562 Gonzales	Texas	45755 Lee	Texas
45563 Gray	Texas	45756 Leon	Texas
45564 Grayson	Texas	45757 Liberty	Texas
45570 Gregg	Texas	45758 Limestone	Texas
45580 Grimes	Texas	45759 Lipscomb	Texas
45581 Guadalupe	Texas	45760 Live Oak	Texas
45582 Hale	Texas	45761 Llano	Texas
45583 Hall	Texas	45762 Loving	Texas
45590 Hamilton	Texas	45770 Lubbock	Texas
45591 Hansford	Texas	45771 Lynn	Texas
45592 Hardeman	Texas	45772 McCulloch	Texas
45600 Hardin	Texas	45780 McLennan	Texas
45610 Harris	Texas	45781 McMullen	Texas
45620 Harrison	Texas	45782 Madison	Texas
45621 Hartley	Texas	45783 Marion	Texas
45630 Haskell	Texas	45784 Martin	Texas
45631 Hays	Texas	45785 Mason	Texas
45632 Hemphill	Texas	45790 Matagorda	Texas
45640 Henderson	Texas	45791 Maverick	Texas
45650 Hidalgo	Texas	45792 Medina	Texas
45651 Hill	Texas	45793 Menard	Texas
45652 Hockley	Texas	45794 Midland	Texas
45653 Hood	Texas	45795 Milam	Texas
45654 Hopkins	Texas	45796 Mills	Texas
45660 Houston	Texas	45797 Mitchell	Texas
45661 Howard	Texas	45800 Montague	Texas
45662 Hudspeth	Texas	45801 Montgomery	Texas
45670 Hunt	Texas	45802 Moore	Texas
45671 Hutchinson	Texas	45803 Morris	Texas
45672 Irion	Texas	45804 Motley	Texas
45680 Jack	Texas	45810 Nacogdoches	Texas
45681 Jackson	Texas	45820 Navarro	Texas
45690 Jasper	Texas	45821 Newton	Texas
45691 Jeff Davis	Texas	45822 Nolan	Texas
45700 Jefferson	Texas	45830 Nueces	Texas
45710 Jim Hogg	Texas	45831 Ochiltree	Texas
45711 Jim Wells	Texas	45832 Oldham	Texas
45720 Johnson	Texas	45840 Orange	Texas
45721 Jones	Texas	45841 Palo Pinto	Texas
45722 Karnes	Texas	45842 Panola	Texas
45730 Kaufman	Texas	45843 Parker	Texas
45731 Kendall	Texas	45844 Parmer	Texas
45732 Kenedy	Texas	45845 Pecos	Texas
45733 Kent	Texas	45850 Polk	Texas
45734 Kerr	Texas	45860 Potter	Texas
45740 Kimble	Texas	45861 Presidio	Texas
45741 King	Texas	45870 Rains	Texas
45742 Kinney	Texas	45871 Randall	Texas
45743 Kleberg	Texas	45872 Reagan	Texas
45744 Knox	Texas	45873 Real	Texas
45750 Lamar	Texas	45874 Red River	Texas
45751 Lamb	Texas	45875 Reeves	Texas

A. State and County Codes

Code City	State	Code City	State
45876 Refugio	Texas	45980 Yoakum	Texas
45877 Roberts	Texas	45981 Young	Texas
45878 Robertson	Texas	45982 Zapata	Texas
45879 Rockwall	Texas	45983 Zavala	Texas
45880 Runnels	Texas	45999 Unknown	Texas
45881 Rusk	Texas	46000 Beaver	Utah
45882 Sabine	Texas	46010 Box Elder	Utah
45883 San Augustine	Texas	46020 Cache	Utah
45884 San Jacinto	Texas	46030 Carbon	Utah
45885 San Patricio	Texas	46040 Daggett	Utah
45886 San Saba	Texas	46050 Davis	Utah
45887 Schleicher	Texas	46060 Duchesne	Utah
45888 Scurry	Texas	46070 Emery	Utah
45889 Shackelford	Texas	46080 Garfield	Utah
45890 Shelby	Texas	46090 Grand	Utah
45891 Sherman	Texas	46100 Iron	Utah
45892 Smith	Texas	46110 Juab	Utah
45893 Somervell	Texas	46120 Kane	Utah
45900 Starr	Texas	46130 Millard	Utah
45901 Stephens	Texas	46140 Morgan	Utah
45902 Sterling	Texas	46150 Piute	Utah
45903 Stonewall	Texas	46160 Rich	Utah
45904 Sutton	Texas	46170 Salt Lake	Utah
45905 Swisher	Texas	46180 San Juan	Utah
45910 Tarrant	Texas	46190 Sanpete	Utah
45911 Taylor	Texas	46200 Sevier	Utah
45912 Terrell	Texas	46210 Summit	Utah
45913 Terry	Texas	46220 Tooele	Utah
45920 Throckmorton	Texas	46230 Uintah	Utah
45921 Titus	Texas	46240 Utah	Utah
45930 Tom Green	Texas	46250 Wasatch	Utah
45940 Travis	Texas	46260 Washington	Utah
45941 Trinity	Texas	46270 Wayne	Utah
45942 Tyler	Texas	46280 Weber	Utah
45943 Upshur	Texas	46999 Unknown	Utah
45944 Upton	Texas	47000 Addison	Vermont
45945 Uvalde	Texas	47010 Bennington	Vermont
45946 Val Verde	Texas	47020 Caledonia	Vermont
45947 Van Zandt	Texas	47030 Chittenden	Vermont
45948 Victoria	Texas	47040 Essex	Vermont
45949 Walker	Texas	47050 Franklin	Vermont
45950 Waller	Texas	47060 Grand Isle	Vermont
45951 Ward	Texas	47070 Lamoille	Vermont
45952 Washington	Texas	47080 Orange	Vermont
45953 Webb	Texas	47090 Orleans	Vermont
45954 Wharton	Texas	47100 Rutland	Vermont
45955 Wheeler	Texas	47110 Washington	Vermont
45960 Wichita	Texas	47120 Windham	Vermont
45961 Wilbarger	Texas	47130 Windsor	Vermont
45962 Willacy	Texas	47999 Unknown	Vermont
45970 Williamson	Texas	48010 St. Croix	Virgin Islands
45971 Wilson	Texas	48020 St. John and St. Thomas	Virgin Islands
45972 Winkler	Texas	48999 Unknown	Virgin Islands
45973 Wise	Texas	49000 Accomack	Virginia
45974 Wood	Texas		

Code City	State	Code City	State
49010 Albermarle	Virginia	49410 Halifax	Virginia
49011 Alexandria City	Virginia	49411 Hampton City	Virginia
49020 Alleghany	Virginia	49420 Hanover	Virginia
49030 Amelia	Virginia	49421 Harrisonburg City	Virginia
49040 Amherst	Virginia	49430 Henrico	Virginia
49050 Appomattox	Virginia	49440 Henry	Virginia
49060 Arlington	Virginia	49450 Highland	Virginia
49070 Augusta	Virginia	49451 Hopewell City	Virginia
49080 Bath	Virginia	49460 Isle Of Wight	Virginia
49088 Bedford City	Virginia	49470 James City	Virginia
49090 Bedford	Virginia	49480 King And Queen	Virginia
49100 Bland	Virginia	49490 King George	Virginia
49110 Botetourt	Virginia	49500 King William	Virginia
49111 Bristol City	Virginia	49510 Lancaster	Virginia
49120 Brunswick	Virginia	49520 Lee	Virginia
49130 Buchanan	Virginia	49522 Lexington City	Virginia
49140 Buckingham	Virginia	49530 Loudoun	Virginia
49141 Buena Vista City	Virginia	49540 Louisa	Virginia
49150 Campbell	Virginia	49550 Lunenburg	Virginia
49160 Caroline	Virginia	49551 Lynchburg City	Virginia
49170 Carroll	Virginia	49560 Madison	Virginia
49180 Charles City	Virginia	49561 Martinsville City	Virginia
49190 Charlotte	Virginia	49563 Manassas	Virginia
49191 Charlottesville City	Virginia	49570 Mathews	Virginia
49194 Chesapeake City	Virginia	49580 Mecklenburg	Virginia
49200 Chesterfield	Virginia	49590 Middlesex	Virginia
49210 Clarke	Virginia	49600 Montgomery	Virginia
49211 Clifton Forge City	Virginia	49620 Nelson	Virginia
49212 Colonial Heights City	Virginia	49621 New Kent	Virginia
49213 Covington City	Virginia	49622 Newport News City	Virginia
49220 Craig	Virginia	49641 Norfolk City	Virginia
49230 Culpeper	Virginia	49650 Northampton	Virginia
49240 Cumberland	Virginia	49660 Northumberland	Virginia
49241 Danville City	Virginia	49661 Norton City	Virginia
49250 Dickenson	Virginia	49670 Nottoway	Virginia
49260 Dinwiddie	Virginia	49680 Orange	Virginia
49270 Emporia City	Virginia	49690 Page	Virginia
49280 Essex	Virginia	49700 Patrick	Virginia
49288 Fairfax City	Virginia	49701 Petersburg City	Virginia
49290 Fairfax	Virginia	49710 Pittsylvania	Virginia
49291 Falls Church City	Virginia	49711 Portsmouth City	Virginia
49300 Fauquier	Virginia	49712 Poquoson	Virginia
49310 Floyd	Virginia	49720 Powhatan	Virginia
49320 Fluvanna	Virginia	49730 Prince Edward	Virginia
49328 Franklin City	Virginia	49740 Prince George	Virginia
49330 Franklin	Virginia	49750 Prince William	Virginia
49340 Frederick	Virginia	49770 Pulaski	Virginia
49342 Fredericksburg City	Virginia	49771 Radford City	Virginia
49343 Galax City	Virginia	49780 Rappahannock	Virginia
49350 Giles	Virginia	49790 Richmond	Virginia
49360 Gloucester	Virginia	49791 Richmond City	Virginia
49370 Goochland	Virginia	49800 Roanoke	Virginia
49380 Grayson	Virginia	49801 Roanoke City	Virginia
49390 Greene	Virginia	49810 Rockbridge	Virginia
49400 Greensville	Virginia	49820 Rockingham	Virginia

A. State and County Codes

Code City	State	Code City	State
49830 Russell	Virginia	50310 Spokane	Washington
49838 Salem City	Virginia	50320 Stevens	Washington
49840 Scott	Virginia	50330 Thurston	Washington
49850 Shenandoah	Virginia	50340 Wahkiakum	Washington
49860 Smyth	Virginia	50350 Walla Walla	Washington
49870 Southampton	Virginia	50360 Whatcom	Washington
49880 Spotsylvania	Virginia	50370 Whitman	Washington
49890 Stafford	Virginia	50380 Yakima	Washington
49891 Staunton City	Virginia	50999 Unknown	Washington
49892 Suffolk City	Virginia	51000 Barbour	West Virginia
49900 Surry	Virginia	51010 Berkeley	West Virginia
49910 Sussex	Virginia	51020 Boone	West Virginia
49920 Tazewell	Virginia	51030 Braxton	West Virginia
49921 Virginia Beach City	Virginia	51040 Brooke	West Virginia
49930 Warren	Virginia	51050 Cabell	West Virginia
49950 Washington	Virginia	51060 Calhoun	West Virginia
49951 Waynesboro City	Virginia	51070 Clay	West Virginia
49960 Westmoreland	Virginia	51080 Doddridge	West Virginia
49961 Williamsburg City	Virginia	51090 Fayette	West Virginia
49962 Winchester City	Virginia	51100 Gilmer	West Virginia
49970 Wise	Virginia	51110 Grant	West Virginia
49980 Wythe	Virginia	51120 Greenbrier	West Virginia
49981 York	Virginia	51130 Hampshire	West Virginia
49999 Unknown	Virginia	51140 Hancock	West Virginia
50000 Adams	Washington	51150 Hardy	West Virginia
50010 Asotin	Washington	51160 Harrison	West Virginia
50020 Benton	Washington	51170 Jackson	West Virginia
50030 Chelan	Washington	51180 Jefferson	West Virginia
50040 Clallam	Washington	51190 Kanawha	West Virginia
50050 Clark	Washington	51200 Lewis	West Virginia
50060 Columbia	Washington	51210 Lincoln	West Virginia
50070 Cowlitz	Washington	51220 Logan	West Virginia
50080 Douglas	Washington	51230 McDowell	West Virginia
50090 Ferry	Washington	51240 Marion	West Virginia
50100 Franklin	Washington	51250 Marshall	West Virginia
50110 Garfield	Washington	51260 Mason	West Virginia
50120 Grant	Washington	51270 Mercer	West Virginia
50130 Grays Harbor	Washington	51280 Mineral	West Virginia
50140 Island	Washington	51290 Mingo	West Virginia
50150 Jefferson	Washington	51300 Monongalia	West Virginia
50160 King	Washington	51310 Monroe	West Virginia
50170 Kitsap	Washington	51320 Morgan	West Virginia
50180 Kittitas	Washington	51330 Nicholas	West Virginia
50190 Klickitat	Washington	51340 Ohio	West Virginia
50200 Lewis	Washington	51350 Pendleton	West Virginia
50210 Lincoln	Washington	51360 Pleasants	West Virginia
50220 Mason	Washington	51370 Pocahontas	West Virginia
50230 Okanogan	Washington	51380 Preston	West Virginia
50240 Pacific	Washington	51390 Putnam	West Virginia
50250 Pend Oreille	Washington	51400 Raleigh	West Virginia
50260 Pierce	Washington	51410 Randolph	West Virginia
50270 San Juan	Washington	51420 Ritchie	West Virginia
50280 Skagit	Washington	51430 Roane	West Virginia
50290 Skamania	Washington	51440 Summers	West Virginia
50300 Snohomish	Washington	51450 Taylor	West Virginia

Code City	State	Code City	State
51460 Tucker	West Virginia	52440 Ozaukee	Wisconsin
51470 Tyler	West Virginia	52450 Pepin	Wisconsin
51480 Upshur	West Virginia	52460 Pierce	Wisconsin
51490 Wayne	West Virginia	52470 Polk	Wisconsin
51500 Webster	West Virginia	52480 Portage	Wisconsin
51510 Wetzel	West Virginia	52490 Price	Wisconsin
51520 Wirt	West Virginia	52500 Racine	Wisconsin
51530 Wood	West Virginia	52510 Richland	Wisconsin
51540 Wyoming	West Virginia	52520 Rock	Wisconsin
51999 Unknown	West Virginia	52530 Rusk	Wisconsin
52000 Adams	Wisconsin	52540 St. Croix	Wisconsin
52010 Ashland	Wisconsin	52550 Sauk	Wisconsin
52020 Barron	Wisconsin	52560 Sawyer	Wisconsin
52030 Bayfield	Wisconsin	52570 Shawano	Wisconsin
52040 Brown	Wisconsin	52580 Sheboygan	Wisconsin
52050 Buffalo	Wisconsin	52590 Taylor	Wisconsin
52060 Burnett	Wisconsin	52600 Trempealeau	Wisconsin
52070 Calumet	Wisconsin	52610 Vernon	Wisconsin
52080 Chippewa	Wisconsin	52620 Vilas	Wisconsin
52090 Clark	Wisconsin	52630 Walworth	Wisconsin
52100 Columbia	Wisconsin	52640 Washburn	Wisconsin
52110 Crawford	Wisconsin	52650 Washington	Wisconsin
52120 Dane	Wisconsin	52660 Waukesha	Wisconsin
52130 Dodge	Wisconsin	52670 Waupaca	Wisconsin
52140 Door	Wisconsin	52680 Waushara	Wisconsin
52150 Douglas	Wisconsin	52690 Winnebago	Wisconsin
52160 Dunn	Wisconsin	52700 Wood	Wisconsin
52170 Eau Claire	Wisconsin	52999 Unknown	Wisconsin
52180 Florence	Wisconsin	53000 Albany	Wyoming
52190 Fond Du Lac	Wisconsin	53010 Big Horn	Wyoming
52200 Forest	Wisconsin	53020 Campbell	Wyoming
52210 Grant	Wisconsin	53030 Carbon	Wyoming
52220 Green	Wisconsin	53040 Converse	Wyoming
52230 Green Lake	Wisconsin	53050 Crook	Wyoming
52240 Iowa	Wisconsin	53060 Fremont	Wyoming
52250 Iron	Wisconsin	53070 Goshen	Wyoming
52260 Jackson	Wisconsin	53080 Hot Springs	Wyoming
52270 Jefferson	Wisconsin	53090 Johnson	Wyoming
52280 Juneau	Wisconsin	53100 Laramie	Wyoming
52290 Kenosha	Wisconsin	53110 Lincoln	Wyoming
52300 Kewaunee	Wisconsin	53120 Natrona	Wyoming
52310 La Crosse	Wisconsin	53130 Niobrara	Wyoming
52320 Lafayette	Wisconsin	53140 Park	Wyoming
52330 Langlade	Wisconsin	53150 Platte	Wyoming
52340 Lincoln	Wisconsin	53160 Sheridan	Wyoming
52350 Manitowoc	Wisconsin	53170 Sublette	Wyoming
52360 Marathon	Wisconsin	53180 Sweetwater	Wyoming
52370 Marinette	Wisconsin	53190 Teton	Wyoming
52380 Marquette	Wisconsin	53200 Uinta	Wyoming
52381 Menominee	Wisconsin	53210 Washakie	Wyoming
52390 Milwaukee	Wisconsin	53220 Weston	Wyoming
52400 Monroe	Wisconsin	53999 Unknown	Wyoming
52410 Oconto	Wisconsin		
52420 Oneida	Wisconsin		
52430 Outagamie	Wisconsin		

B. District Office Codes

DOC	State	District Office	DOC	State	District Office
001	Maine	Portland	051	Massachusetts	Waltham
002	Maine	Bangor	052	Massachusetts	Norwood
003	Maine	Augusta	053	Massachusetts	Framingham
004	Maine	Lewiston	054	Massachusetts	Hyannis
005	Maine	Presque Isle	055	Massachusetts	Greenfield
006	Maine	Rockland	056	Massachusetts	Boston, Roslindale
007	Pennsylvania	Meadville	057	Massachusetts	Taunton
008	Pennsylvania	Pittsburgh, Northside	059	California	Lancaster
009	Pennsylvania	Philadelphia, South	060	California	Merced
010	New Hampshire	Concord	061	California	Santa Cruz
011	New Hampshire	Portsmouth	062	California	Canoga Park
012	New Hampshire	Manchester	063	California	Montebello
013	New Hampshire	Littleton	064	California	Los Angeles, Watts
014	New Hampshire	Nashua	065	California	Palo Alto
015	New Hampshire	Keene	066	California	Hayward
016	District of Columbia	Washington, D.C., Hawaii Aveune	067	California	Carmel
019	Maryland	Baltimore, Northeast	068	California	Santa Maria
020	Maryland	Baltimore, West	070	California	Palm Springs
022	Vermont	Burlington	072	Rhode Island	Providence
023	Vermont	Rutland	073	Rhode Island	Woonsocket
024	Vermont	Montpelier	074	Rhode Island	Pawtucket
026	California	Los Angeles, Crenshaw	075	Rhode Island	West Warwick
027	California	San Fernando	080	Connecticut	Hartford
028	California	Oakland, Foothill	081	Connecticut	Bridgeport
029	California	San Francisco, Hunter's Point	082	Connecticut	Waterbury
030	Massachusetts	Boston	083	Connecticut	Willimantic
031	Massachusetts	Springfield	084	Connecticut	New London
032	Massachusetts	Cambridge, Sommervil	085	Connecticut	New Haven
033	Massachusetts	Roxbury	086	Connecticut	New Britain
034	Massachusetts	Lynn	087	Connecticut	Stamford
035	Massachusetts	Lowell	088	Connecticut	Meriden
036	Massachusetts	Worcester	089	Connecticut	Torrington
037	Massachusetts	Pittsfield	090	Connecticut	Danbury
038	Massachusetts	New Bedford	092	Colorado	Englewood
039	Massachusetts	Brockton	093	Colorado	Lakewood
040	Massachusetts	Fitchburg	094	Maryland	Bel Air
041	Massachusetts	Lawrence	095	Maryland	Cambridge
042	Massachusetts	Fall River	096	Maryland	Elkton
043	Massachusetts	Chelsea	097	Missouri	Chillicothe
044	Massachusetts	Holyoke	098	Missouri	Kansas City, Hyde Park
045	Massachusetts	Malden	099	Missouri	St. Louis, Central West End
046	Massachusetts	Salem	100	New York	New York City, Midtown
047	Massachusetts	Quincy	101	New York	Syracuse
048	Massachusetts	Boston, Dorchester	102	New York	Albany
049	Massachusetts	Attleboro	103	New York	Buffalo
050	Massachusetts	Haverhill	104	New York	Binghamton
			105	New York	Schenectady
			106	New York	Brooklyn, Boro Hall

B. District Office Codes

DOC	State	District Office	DOC	State	District Office
107	New York	Kingston	154	New York	Monticello
108	New York	Rochester, Downtown	156	New York	New York City, East Harlem
109	New York	New York City, Downtown	157	New York	Brooklyn, Bedford Stuyvesant
110	New York	Utica	158	New York	Bronx, Hunts Point
111	New York	Jamestown	159	New York	New York City, Chinatown
112	New York	Bronx, South	160	New York	Brooklyn, Crown Heights
113	New York	Yonkers	161	New York	Brooklyn, Bay Ridge
114	New York	Elmira	162	New York	Far Rockaway
115	New York	Niagara Falls	163	New York	Riverhead
116	New York	Ogdensburg	164	New York	Rome
117	New York	New York City, Uptown	166	Hawaii	Wailuku
118	New York	Newburgh	167	Hawaii	Pearl City
119	New York	Jackson Heights	170	New Jersey	Newark
120	New York	Jamaica	171	New Jersey	Trenton
121	New York	Staten Island	172	New Jersey	Camden
122	New York	Gloversville	173	New Jersey	Paterson
123	New York	Oswego	174	New Jersey	Elizabeth
124	New York	Astoria	175	New Jersey	Iselin, Woodbridge
125	New York	Plattsburgh	176	New Jersey	Jersey City
126	New York	Glens Falls	177	New Jersey	Passaic
127	New York	Brooklyn, Flatbush	178	New Jersey	Pleasantville
128	New York	New Rochelle	179	New York	Bronx, Baychester
129	New York	Watertown	180	New Jersey	Bridgeton
130	New York	Troy	181	New Jersey	Neptune
131	New York	Auburn	182	New Jersey	Hackensack
132	New York	Bronx, North	183	New Jersey	Montclair
133	New York	Poughkeepsie	184	New Jersey	East Orange
134	New York	New York City, Washington Heights	185	New Jersey	Irvington
135	New York	Brooklyn, Bushwick	186	New Jersey	Morristown
136	New York	Patchogue	187	New Jersey	New Brunswick
137	New York	Brooklyn, Avenue 'X'	188	New Jersey	Newark, Clinton Hill
138	New York	Mineola	189	New Jersey	Plainfield
139	New York	Brooklyn, Cypress Hills	190	Washington	Bellevue
140	New York	Corning	191	Washington	Renton
141	New York	Geneva	192	Pennsylvania	Bloomsburg
142	New York	Olean	193	Pennsylvania	Beaver Falls
143	New York	Batavia	194	Pennsylvania	Philadelphia, Kensington- Alleghen
144	New York	Melville	195	Maryland	Columbia
145	New York	Amherst	196	Maryland	Baltimore, Middle River
146	New York	Oneonta	197	Maryland	Randallstown
147	New York	Bronx, East	198	Puerto Rico	Bayamon
148	New York	White Plains	199	Maryland	Baltimore, Mondawmin
149	New York	Nanuet	200	Pennsylvania	Philadelphia, Downtown
150	New York	Flushing			
151	New York	Freeport			
152	New York	Ithaca			
153	New York	Hudson			

DOC	State	District Office	DOC	State	District Office
201	Pennsylvania	Pittsburgh, Downtown	250	California	El Cajon
202	Pennsylvania	Scranton	251	California	Riverside
203	Pennsylvania	Reading	252	California	San Francisco, Chinatown
204	Pennsylvania	Harrisburg	253	California	Los Angeles, University Village
205	Pennsylvania	Erie	255	Florida	Lake City
206	Pennsylvania	Hazleton	256	Florida	Fort Pierce
207	Pennsylvania	Altoona	257	Florida	Clearwater
208	Pennsylvania	Lancaster	258	Florida	Leesburg
209	Pennsylvania	Allentown	259	Florida	Deland
210	Pennsylvania	New Castle	260	Florida	Hollywood
211	Pennsylvania	Oil City	261	Florida	Miami, Perrine
212	Pennsylvania	Uniontown	262	Florida	Hialeah
213	Pennsylvania	Wilkes Barre	263	Florida	Jacksonville, North
214	Pennsylvania	Johnstown	264	Florida	Miami, Allapattah
215	Pennsylvania	Williamsport	265	Florida	Bradenton
216	Pennsylvania	York	266	Florida	Plant City
217	Pennsylvania	Chester	267	Delaware	Wilmington
218	Pennsylvania	Philadelphia, Germantown	268	Delaware	Dover
219	Pennsylvania	Philadelphia, Northeast	269	Florida	Sanford
220	Pennsylvania	Pottsville	270	District Of Columbia	Washington, D.C., 'M' Street
221	Pennsylvania	Mckeesport	271	Puerto Rico	San Juan, Downtown
222	Pennsylvania	Ambridge	272	Puerto Rico	Ponce
223	Pennsylvania	Greensburg	273	Maryland	Baltimore, Downtown
225	Pennsylvania	New Kensington	274	Maryland	Salisbury
226	Pennsylvania	Washington	275	Maryland	Cumberland
227	Pennsylvania	Norristown	276	Maryland	Hagerstown
228	Pennsylvania	Du Bois	277	Maryland	Annapolis
229	Pennsylvania	Easton	278	Maryland	Towson
230	Pennsylvania	Philadelphia, West	279	Puerto Rico	Mayaguez
231	Pennsylvania	Pittsburgh, East Liberty	280	Puerto Rico	Arecibo
232	Pennsylvania	Sharon	281	Puerto Rico	Caguas
233	Pennsylvania	Sunbury	282	Maryland	Wheaton
234	Pennsylvania	Bristol	283	Maryland	Glen Burnie
235	Pennsylvania	Chambersburg	284	Virgin Islands	St. Thomas
236	Pennsylvania	State College	285	Virginia	Richmond, Downtown
237	Pennsylvania	West Chester	286	Virginia	Roanoke
238	Pennsylvania	Indiana	287	Virginia	Norfolk
239	Pennsylvania	Butler	288	Virginia	Bristol
240	Pennsylvania	Lebanon	289	Virginia	Lynchburg
241	Pennsylvania	Charleroi	290	Virginia	Staunton
242	Pennsylvania	Philadelphia, Broad Street	291	Virginia	Petersburg
243	Pennsylvania	Upper Darby	292	Virginia	Danville
244	Michigan	Ludington	293	Virginia	Newport News
245	Pennsylvania	Philadelphia, Nicetown	294	Virginia	Alexandria
246	Michigan	West Branch	295	Virginia	Winchester
247	Pennsylvania	Philadelphia, Woodland Avenue	296	Virginia	Covington
248	California	Walnut Creek	297	Virginia	Charlottesville
249	California	Anaheim	298	Virginia	Wytheville
			299	Virginia	Norton

B. District Office Codes

DOC	State	District Office	DOC	State	District Office
300	Virginia	Richmond, East	355	Michigan	Escanaba
301	Virginia	Farmville	356	Michigan	Bay City
302	Virginia	Portsmouth	357	Michigan	Detroit, East
303	Virginia	Suffolk	358	Michigan	Detroit, Highland Park
304	Virginia	South Boston	359	Michigan	Battle Creek
305	West Virginia	Charleston	360	Michigan	Muskegon
306	West Virginia	Wheeling	361	Michigan	Dearborn
307	West Virginia	Parkersburg	362	Michigan	Port Huron
308	West Virginia	Huntington	363	Michigan	Detroit, Northwest
309	West Virginia	Clarksburg	364	Michigan	Roseville
310	West Virginia	Elkins	365	Michigan	Benton Harbor-St. J
311	West Virginia	Bluefield	366	Michigan	Ann Arbor
312	West Virginia	Morgantown	367	Michigan	Mount Pleasant
313	West Virginia	Beckley	368	Michigan	Petoskey
314	West Virginia	Logan	369	Michigan	Monroe
315	West Virginia	Welch	370	Michigan	Alpena
316	West Virginia	Martinsburg	371	Michigan	Ironwood
317	West Virginia	Fairmont	372	Michigan	Detroit, Grand River
318	Virginia	Tysons Corner	373	Michigan	Detroit, Livernois Street
319	Pennsylvania	Pittston	374	Michigan	Detroit, Conner Avenue
320	North Carolina	Charlotte	375	Michigan	Royal Oak
321	North Carolina	Salisbury	376	Ohio	Toledo, West
322	North Carolina	Raleigh	378	Ohio	Painesville
323	North Carolina	Asheville	379	Puerto Rico	San Juan, Hato Rey
324	North Carolina	Winston Salem	380	Puerto Rico	San Juan, Santurce
325	North Carolina	Greensboro	381	New York	Brooklyn, East New York
326	North Carolina	Rocky Mount	383	California	Richmond
327	North Carolina	Wilmington	384	California	El Monte
328	North Carolina	Durham	385	California	San Jose, East
329	North Carolina	Goldsboro	386	California	Fresno, West
330	North Carolina	Fayetteville	387	Ohio	Cincinnati, Downtown
331	North Carolina	Hickory	388	Ohio	Cleveland, Downtown
332	North Carolina	Gastonia	389	Ohio	Columbus, Downtown
333	North Carolina	High Point	390	Ohio	Akron, Downtown
334	North Carolina	New Bern	391	Ohio	Lorain
335	North Carolina	Greenville	392	Ohio	Ashtabula
336	North Carolina	Elizabeth City	393	Ohio	Mansfield
337	North Carolina	Burlington	394	Ohio	Lima
338	North Carolina	Lumberton	395	Ohio	Toledo
339	North Carolina	Wilkesboro	396	Ohio	Zanesville
340	North Carolina	Roanoke Rapids	397	Ohio	Youngstown
341	North Carolina	Statesville	398	Ohio	Springfield
342	North Carolina	Rockingham	399	Ohio	Hamilton
343	North Carolina	Kinston	400	Ohio	Canton
344	North Carolina	Concord	401	Ohio	Portsmouth
345	Michigan	Detroit, Downtown	402	Ohio	Dayton
346	Michigan	Marquette	403	Ohio	Warren
347	Michigan	Grand Rapids			
348	Michigan	Saginaw			
349	Michigan	Flint, Downtown			
350	Michigan	Pontiac			
351	Michigan	Jackson			
352	Michigan	Traverse City			
353	Michigan	Kalamazoo			
354	Michigan	Lansing			

DOC	State	District Office	DOC	State	District Office
404	Ohio	Marion	453	Kentucky	Madisonville
405	Ohio	Athens	454	Kentucky	Somerset
406	Ohio	Cleveland, East	455	Indiana	Indianapolis
407	Ohio	Cleveland, West	456	Indiana	South Bend
408	Ohio	Steubenville	457	Indiana	Evansville
409	Ohio	Sandusky	458	Indiana	Terre Haute
410	Ohio	Chillicothe	459	Indiana	Fort Wayne
411	Ohio	Cincinnati, North	460	Indiana	Richmond
412	Ohio	Defiance	461	Indiana	Lafayette
413	Ohio	Findlay	462	Indiana	Kokomo
414	Ohio	Marietta	463	Indiana	Muncie
415	Ohio	Newark	464	Indiana	Gary
416	Ohio	New Philadelphia	465	Indiana	New Albany
417	Ohio	East Liverpool	466	Indiana	Bloomington
418	Ohio	Piqua	467	Indiana	Elkhart
419	Ohio	Lancaster	468	Indiana	Anderson
420	Ohio	Wooster	469	Indiana	Hammond
421	Ohio	Ironton	470	Indiana	Michigan City
422	Ohio	Akron, West	471	Indiana	Marion
423	Ohio	Cleveland, Northeast	472	Indiana	Vincennes
424	Ohio	Cleveland, Southeast	473	Indiana	Columbus
426	Ohio	Dayton, West	474	Indiana	Madison
427	Ohio	Cleveland, University Circle	475	Indiana	Valparaiso
428	Kentucky	Maysville	476	California	Ontario
429	Kentucky	Louisville, West	478	Wisconsin	Portage
431	Ohio	Cleveland, Northwest	480	California	Sonora
432	Ohio	Cleveland, Southwest	481	North Carolina	Albemarle
433	California	San Francisco, Western Addition	482	North Carolina	Franklin
434	California	Compton	483	North Carolina	Hendersonville
435	California	San Diego, Southeast	484	North Carolina	Smithfield
436	California	Chula Vista	485	Illinois	Chicago, Loop
437	Kentucky	Louisville, Downtown	486	Illinois	East St Louis
438	Kentucky	Ashland	487	Illinois	Peoria
439	Kentucky	Lexington	488	Illinois	Springfield
440	Kentucky	Paducah	489	Illinois	Rock Island
441	Kentucky	Frankfort	490	Illinois	Quincy
442	Kentucky	Fort Mitchell	491	Illinois	Waukegan
443	Kentucky	Bowling Green	492	Illinois	Mount Vernon
444	Kentucky	Owensboro	493	Illinois	Danville
445	Kentucky	Corbin	494	Illinois	Joliet
446	Kentucky	Hazard	495	Illinois	Harrisburg
447	Kentucky	Hopkinsville	496	Illinois	Rockford
448	Kentucky	Pikeville	497	Illinois	Chicago, East
449	Kentucky	Elizabethtown	498	Illinois	Chicago, West
450	Kentucky	Campbellsville	499	Illinois	Chicago, North
451	Kentucky	Middlesboro	500	Illinois	Chicago, South
452	Kentucky	Danville	501	Illinois	Champaign
			502	Illinois	North Riverside
			503	Illinois	Melrose Park
			504	Illinois	Evanston
			505	Illinois	Galesburg
			506	Illinois	Decatur
			507	Illinois	Bloomington
			508	Illinois	Aurora

B. District Office Codes

DOC	State	District Office	DOC	State	District Office
509	Illinois	Chicago, Chicago Heights	563	California	Bakersfield, Southeast
510	Illinois	Chicago, Near Northwest	565	Tennessee	Nashville, Downtown
511	Illinois	Chicago, Near Southwest	566	Tennessee	Memphis, Downtown
512	Illinois	Alton	567	Tennessee	Knoxville
513	Illinois	Chicago, Northwest	568	Tennessee	Chattanooga
514	Illinois	Chicago, Southeast	569	Tennessee	Columbia
515	Illinois	Elgin	570	Tennessee	Dyersburg
516	Illinois	Kankakee	571	Tennessee	Jackson
517	Illinois	Carbondale	572	Tennessee	Johnson City
518	Illinois	Sterling	573	Tennessee	Cookeville
519	Illinois	Peru	574	Tennessee	Clarksville
520	Illinois	Freeport	575	Tennessee	Greeneville
521	Illinois	Effingham	576	Tennessee	Morristown
522	Illinois	Bloomington	577	Tennessee	Tullahoma
523	California	Manteca	578	Tennessee	Murfreesboro
524	Illinois	Cairo	579	Tennessee	Kingsport
527	Maryland	Camp Springs	580	Tennessee	La Follette
528	Puerto Rico	Aquadilla	581	Tennessee	Cleveland
529	Maryland	Frederick	582	Tennessee	Paris
530	Maryland	Capitol Heights	583	South Carolina	Columbia
531	District Of Columbia	Washington, D.C., Pennsylvania Avenue	584	South Carolina	Spartanburg
532	Illinois	Des Plaines	585	South Carolina	Charleston
535	Wisconsin	Milwaukee, North	586	South Carolina	Greenville
536	Wisconsin	Madison	587	South Carolina	Florence
537	Wisconsin	Green Bay	588	South Carolina	Rock Hill
538	Wisconsin	Fond du Lac	589	South Carolina	Greenwood
539	Wisconsin	Eau Claire	590	South Carolina	Anderson
540	Wisconsin	Racine	591	South Carolina	Bennettsville
541	Wisconsin	La Crosse	592	South Carolina	Conway
542	Wisconsin	Wausau	593	South Carolina	Georgetown
543	Wisconsin	Sheboygan	594	South Carolina	Orangeburg
544	Wisconsin	Superior	595	South Carolina	Sumter
545	Wisconsin	Oshkosh	596	South Carolina	Aiken
546	Wisconsin	Janesville	597	South Carolina	Beaufort
547	Wisconsin	Kenosha	598	South Carolina	Camden
548	Wisconsin	Milwaukee, Downtown	599	Connecticut	Ansonia
549	Wisconsin	Appleton	600	Georgia	Atlanta, Downtown
550	Wisconsin	Waukesha	601	Georgia	Savannah
551	Wisconsin	Wisconsin Rapids	602	Georgia	Albany
552	Wisconsin	Lancaster	603	Georgia	Macon
553	Wisconsin	Marinette	604	Georgia	Athens
554	Wisconsin	Manitowoc	605	Georgia	Columbus
556	Tennessee	Memphis, South	606	Georgia	Augusta
558	Tennessee	Gallatin	607	Georgia	Waycross
559	Tennessee	Maryville	608	Georgia	La Grange
560	Missouri	West Plains	609	Georgia	Rome
561	California	Gilroy	610	Georgia	Milledgeville
562	California	Norwalk	611	Georgia	Thomasville
			612	Georgia	Gainesville
			613	Georgia	Valdosta
			614	Georgia	Brunswick
			615	Georgia	Dublin

DOC	State	District Office	DOC	State	District Office
616	Georgia	Griffin	673	Florida	Melbourne
617	Georgia	East Point	674	Florida	Ocala
618	Georgia	Dalton	675	Minnesota	Minneapolis
619	Georgia	Marietta	676	Minnesota	Duluth
620	Georgia	Decatur	677	Minnesota	St. Paul
621	Georgia	Atlanta, Southeast	678	Minnesota	Mankato
622	Alabama	Birmingham, Downtown	679	Minnesota	St. Cloud
623	Alabama	Montgomery	680	Minnesota	Winona
624	Alabama	Mobile	681	Minnesota	Marshall
625	Alabama	Decatur	682	Minnesota	Bemidji
626	Alabama	Gadsden	683	Minnesota	Hibbing
627	Alabama	Anniston	684	Minnesota	Austin
628	Alabama	Dothan	685	Minnesota	Rochester
629	Alabama	Tuscaloosa	686	Minnesota	Fairmont
630	Alabama	Selma	687	Oregon	Beaverton
631	Alabama	Florence	688	Oregon	Portland, Lloyd Center
632	Alabama	Huntsville	689	Oregon	The Dalles
633	Alabama	Opelika	690	Iowa	Des Moines
634	Alabama	Andalusia	691	Iowa	Davenport
635	Alabama	Talladega	692	Iowa	Sioux City
636	Alabama	Bessemer	693	Iowa	Waterloo
640	Mississippi	Vicksburg	694	Iowa	Ottumwa
641	Mississippi	Jackson	695	Iowa	Dubuque
642	Mississippi	Hattiesburg	696	Iowa	Cedar Rapids
643	Mississippi	Meridian	697	Iowa	Fort Dodge
644	Mississippi	Columbus	698	Iowa	Mason City
645	Mississippi	Greenwood	699	Iowa	Burlington
646	Mississippi	Gulfport	700	Iowa	Creston
647	Mississippi	Greenville	701	Iowa	Carroll
648	Mississippi	Tupelo	703	Illinois	Chicago, Lawndale
649	Mississippi	Clarksdale	704	Arkansas	West Memphis
650	Mississippi	McComb	705	North Dakota	Fargo
651	Mississippi	Natchez	706	North Dakota	Minot
652	Mississippi	Laurel	707	North Dakota	Grand Forks
653	Mississippi	Pascagoula	708	North Dakota	Bismarck
655	Florida	Jacksonville, Downtown	709	Florida	Newport Richey
656	Florida	Tampa	710	Louisiana	New Orleans, St. Claude Avenue
657	Florida	Orlando	712	Louisiana	Bastrop
658	Florida	Lakeland	713	Louisiana	Minden
659	Florida	Tallahassee	714	Arkansas	Camden
660	Florida	Pensacola	715	South Dakota	Sioux Falls
661	Florida	West Palm Beach	716	South Dakota	Aberdeen
662	Florida	St. Petersburg	717	South Dakota	Rapid City
663	Florida	Gainesville	718	South Dakota	Huron
664	Florida	Daytona Beach	720	Georgia	Atlanta, West
665	Florida	Fort Lauderdale Eas	722	Florida	Pompano Beach
667	Florida	Sarasota	723	Florida	Miami, South
668	Florida	Panama City	724	Alabama	Jasper
669	Florida	Miami, North	725	Nebraska	Omaha
670	Florida	Fort Myers	726	Nebraska	Lincoln
671	Florida	Miami Beach	727	Nebraska	North Platte
672	Florida	Key West	728	Nebraska	Grand Island
			729	Nebraska	Scottsbluff

B. District Office Codes

DOC	State	District Office	DOC	State	District Office
730	Nebraska	Norfolk	782	California	San Francisco, Outer Mission
731	Nebraska	Beatrice	783	Oklahoma	Oklahoma City
733	Nebraska	Omaha, West Omaha	784	Oklahoma	Tulsa
734	Missouri	St. Charles	785	Oklahoma	Chickasha
735	Missouri	St. Louis, Downtown	786	Oklahoma	Ardmore
736	Missouri	Kansas City, South	787	Oklahoma	Muskogee
737	Missouri	St. Joseph	788	Oklahoma	Enid
738	Missouri	Springfield	789	Oklahoma	Hugo
739	Missouri	Cape Girardeau	790	Oklahoma	Lawton
740	Missouri	Jefferson City	791	Oklahoma	Miami
741	Missouri	Hannibal	792	Oklahoma	Moore
742	Missouri	Clayton	793	Oklahoma	McAlester
743	Missouri	Sedalia	794	Oklahoma	Bartlesville
744	Missouri	Joplin	795	Oklahoma	Ponca City
745	Missouri	St. Louis, Southside	796	Oklahoma	Shawnee
746	Missouri	Kansas City, Downtown	797	Oklahoma	Ada
747	Missouri	Moberly	798	Oklahoma	Clinton
748	Missouri	Independence	799	Oklahoma	Altus
749	Missouri	Poplar Bluff	800	Louisiana	New Orleans, Downtown
750	Missouri	Rolla	801	Louisiana	Shreveport
751	Missouri	Columbia	802	Louisiana	Monroe
752	Missouri	Kennett	803	Louisiana	Baton Rouge, Downtown
753	Missouri	Flat River	804	Louisiana	Alexandria
755	Arkansas	Little Rock	805	Louisiana	Lake Charles
756	Arkansas	Fort Smith	806	Louisiana	Lafayette
757	Texas	Texarkana	807	Louisiana	Houma
758	Arkansas	Jonesboro	808	Louisiana	Hammond
759	Arkansas	Pine Bluff	809	Louisiana	Natchitoches
760	Arkansas	Hot Springs	810	Louisiana	Opelousas
761	Arkansas	El Dorado	811	Louisiana	New Orleans, East
762	Arkansas	Blytheville	812	Louisiana	Morgan City
763	Arkansas	Fayetteville	813	Texas	Austin
764	Arkansas	Helena	814	Texas	Dallas, North
765	Arkansas	Forrest City	815	Texas	San Antonio, Downtown
766	Arkansas	Russellville	816	Texas	Houston, Southwest
767	Arkansas	Batesville	817	Texas	El Paso
768	Kansas	Topeka	818	Texas	Beaumont
769	Kansas	Wichita	819	Texas	Tyler
770	Kansas	Salina	820	Texas	Amarillo
771	Kansas	Dodge City	821	Texas	Fort Worth
772	Kansas	Kansas City	822	Texas	San Angelo
773	Kansas	Pittsburg	823	Texas	Wichita Falls
774	Kansas	Hutchinson	824	Texas	Brownsville
775	Kansas	Independence	825	Texas	Corpus Christi
776	Kansas	Hays	826	Texas	Waco
777	Missouri	Gladstone	827	Texas	Lubbock
778	Kansas	Manhattan	828	Texas	Galveston
779	Kansas	Johnson County	829	Texas	Abilene
780	Iowa	Spencer	830	Texas	Port Arthur
781	California	San Francisco, Mission	831	Texas	Paris
			832	Texas	Big Spring

DOC	State	District Office	DOC	State	District Office
833	Texas	Lufkin	887	Colorado	Colorado Springs
834	Texas	Odessa	888	Colorado	Alamosa
835	Texas	Longview	889	Colorado	Boulder
836	Texas	Bryan	890	Colorado	Durango
837	Texas	Sherman	891	Colorado	Fort Collins
838	Texas	Temple	892	Colorado	La Junta
839	Texas	Victoria	893	Idaho	Boise
840	Texas	Laredo	894	Idaho	Pocatello
841	Texas	McAllen	895	Idaho	Lewiston
842	Texas	Brownwood	896	Idaho	Twin Falls
843	Texas	Corsicana	897	Idaho	Idaho Falls
844	Texas	Eagle Pass	898	Idaho	Coeur d'Alene
845	Texas	Greenville	899	Idaho	Caldwell
846	Texas	Palestine	900	Utah	Salt Lake City
847	Texas	Pampa	901	Utah	Ogden
848	Texas	Plainview	902	Utah	Provo
849	Texas	Vernon	903	Arizona	Casa Grande
850	Texas	Pasadena	904	California	Los Angeles, Boyle Heights
851	Texas	Dallas, Fair Park	905	California	Los Angeles, El Sereno
852	Texas	San Antonio, Northwest	906	California	Hemet
853	Texas	Dallas, Oakcliff	907	Arizona	Phoenix
854	Texas	Dallas, West	908	Arizona	Tucson
855	Texas	Houston, Northwest	909	Arizona	Prescott
856	Texas	Houston, Northeast	910	Wisconsin	Rice Lake
857	Texas	Houston, Southeast	911	Arizona	Yuma
858	New Mexico	Albuquerque	912	Arizona	Scottsdale
859	New Mexico	Roswell	913	Arizona	Phoenix, South
860	New Mexico	Santa Fe	914	Arizona	Glendale
861	New Mexico	Gallup	915	Washington	Spokane
862	New Mexico	Clovis	916	Washington	Seattle
863	New Mexico	Las Cruces	917	Washington	Tacoma
864	New Mexico	Farmington	918	Washington	Olympia
865	Montana	Helena	919	Washington	Yakima
866	Montana	Butte	920	Washington	Bellingham
867	Florida	Pinellas Park	921	Washington	Aberdeen
868	Montana	Billings	922	Washington	Everett
869	Montana	Great Falls	923	Washington	Vancouver
870	Florida	St. Augustine	924	Washington	Wenatchee
871	Montana	Missoula	925	Washington	Walla Walla
872	Montana	Kalispell	926	Washington	Bremerton
873	Texas	Arlington, Midcities	927	Washington	Tri Cities
874	Texas	Alice	928	Washington	Longview
875	Texas	Harlingen	929	Arizona	Mesa
876	Wyoming	Cheyenne	930	Arizona	Tucson, South
877	Wyoming	Casper	931	California	Escondido
878	Wisconsin	Rhineland	932	Oregon	Portland, Downtown
879	Wyoming	Rock Springs	933	Oregon	Eugene
880	Texas	San Antonio, South	934	Oregon	Klamath Falls
882	Colorado	Denver	935	Oregon	Salem
883	Colorado	Pueblo	936	Oregon	La Grande
884	Colorado	Grand Junction	937	Oregon	Medford
885	Colorado	Greeley	938	Oregon	Coos Bay
886	Colorado	Trinidad			

B. District Office Codes

DOC	State	District Office	DOC	State	District Office
939	Oregon	Roseburg	987	California	Oceanside
940	Oregon	Portland, East	988	California	San Rafael
941	Oregon	Portland, South	989	California	Feather River
942	California	Delano	990	Hawaii	Honolulu
943	California	West Covina	991	Hawaii	Hilo
944	California	Porterville	993	Hawaii	Lihue
945	Nevada	Reno	996	Alaska	Juneau
946	Nevada	Las Vegas	997	Alaska	Anchorage
947	California	Madera	998	Alaska	Fairbanks
948	California	Watsonville	999	New York	Long Beach
949	Florida	Tampa, Carrollwood	A01	California	Susanville
950	California	San Francisco, Civic Center	A02	Arizona	Globe
951	California	Los Angeles, Downtown	A03	California	Yreka
952	California	Fresno	A04	Texas	Midland
953	California	Oakland, Downtown	A05	New Mexico	Carlsbad
954	California	San Diego	A06	Florida	Port Charlotte
955	California	Sacramento, Downtown	A07	Utah	St. George
956	California	Eureka	A09	California	Thousand Oaks
957	California	Pasadena	A10	California	Santa Clarita
958	California	Long Beach	A11	Arizona	Safford
959	California	San Bernardino	A12	Texas	Del Rio
960	California	San Jose, Downtown	A13	California	Blythe
961	California	Santa Barbara	A14	Florida	Venice
962	California	Stockton	A15	California	Needles
963	California	Bakersfield	A16	California	Fort Bragg
964	California	Santa Rosa	A17	California	Crescent City
965	California	Glendale	A19	California	Barstow
966	California	Huntington Park	A20	Florida	Fort Walton Beach
967	California	San Mateo	A21	Alabama	Cullman
968	California	Hollywood	A22	Alabama	Scottsboro
969	California	Redding	A23	Connecticut	East Hartford
970	California	Los Angeles, Westwood	A24	Maine	Biddeford
971	California	Inglewood	A25	New Jersey	Glassboro
972	California	Santa Ana	A26	New Jersey	Toms River
973	California	Vallejo	A27	New Jersey	Wildwood
974	California	Ventura	A28	New York	Brooklyn, King's Plaza
975	California	Van Nuys	A29	Puerto Rico	Guayama
976	California	Berkeley	A30	Puerto Rico	Humacao
977	California	San Francisco, Parkside	A31	Puerto Rico	Carolina
978	California	Modesto	A32	California	Lakeport
979	California	Chico	A33	Maryland	Rockville
980	California	Visalia	A34	Pennsylvania	Pittsburgh, Mount Lebanon
981	California	Pomona	A35	Pennsylvania	Carlisle
982	California	Whittier	A36	Pennsylvania	Pittsburgh, Brentwood
983	California	Torrance	A37	Virginia	Arlington
984	California	Salinas	A38	Virginia	Fredricksburg
985	California	El Centro	A39	Virginia	Manassas
986	California	San Luis Obispo	A40	Virginia	Martinsville
			A41	Virginia	Chesterfield
			A42	Washington	Port Angeles
			A43	Florida	Winter Haven
			A44	Georgia	Statesboro

DOC	State	District Office	DOC	State	District Office
A45	Kentucky	Louisville, East	B00	Puerto Rico	Hato Tejas
A46	Kentucky	Richmond	B01	North Carolina	Shelby
A47	Mississippi	Philadelphia	B02	Mississippi	Corinth
A48	North Carolina	Whiteville	B03	Tennessee	Union City
A49	North Carolina	Wilson	B04	Florida	Palatka
A50	Tennessee	Memphis, East	B05	North Carolina	Sanford
A51	Tennessee	Oak Ridge	B06	Alabama	Albertville
A52	Illinois	Chicago, West Town	B08	Tennessee	Lawrenceburg
A53	California	Yucca Valley	B09	Mississippi	Brookhaven
A55	Illinois	Downers Grove	B10	North Carolina	Henderson
A56	Illinois	Litchfield	B11	North Carolina	Reidsville
A57	Florida	Belle Glade	B12	South Carolina	Lancaster
A58	Illinois	Chicago, Southwest Surburban	B13	Florida	Sebring
A59	Illinois	Pekin	B14	Mississippi	Grenada
A60	Illinois	Woodstock	B15	Mississippi	Kosciusko
A61	Wisconsin	West Bend	B16	North Carolina	Mount Airy
A62	Michigan	Hamtramck	B17	North Carolina	Washington
A63	Michigan	Wyandotte	B18	Alabama	Troy
A64	Ohio	Batavia	B19	Tennessee	McMinnville
A65	Ohio	Middletown	B20	Kentucky	Henderson
A66	Ohio	Ravenna	B21	Florida	Vero Beach
A67	Arkansas	Harrison	B22	Georgia	Moultrie
A68	Arkansas	Searcy	B23	Georgia	Toccoa
A69	Louisiana	Bogalusa	B24	Kentucky	Prestonsburg
A70	Louisiana	Gretna	B25	Georgia	Carrollton
A71	Louisiana	Metairie	B26	North Carolina	Morganton
A72	Texas	Angleton	B27	Kentucky	Mayfield
A73	Texas	Denton	B28	Michigan	Holland
A74	Texas	Conroe	B29	Ohio	Xenia
A75	Texas	Kerrville	B30	Ohio	Medina
A76	Texas	McKinney	B31	Michigan	Adrian
A77	California	Burbank	B32	Ohio	Bowling Green
A78	California	Huntington Beach	B33	Michigan	Owosso
A79	California	Napa	B34	Iowa	Iowa City
A80	California	North Hollywood	B35	Missouri	Nevada
A81	Indiana	Crawfordsville	B36	Iowa	Marshalltown
A82	California	San Pedro	B37	Iowa	Ames
A83	California	Sunnyvale	B38	Missouri	Union
A84	California	Roseville	B39	Kansas	Ottawa
A85	California	Ukiah	B40	Iowa	Clinton
A86	California	Marina Del Rey	B41	Missouri	Kirksville
A87	California	Victorville	B42	Iowa	Decorah
A88	California	Daly City	B43	Louisiana	Ruston
A89	Rhode Island	Newport	B44	Texas	Nacogdoches
A90	Connecticut	Bristol	B45	Oklahoma	Okmulgee
A91	Connecticut	Norwalk, South	B46	Oklahoma	Stillwater
A92	Virginia	Culpeper	B47	Texas	Cleburne
A93	Pennsylvania	Somerset	B48	Texas	Brenham
A94	Pennsylvania	Lewistown	B49	Arkansas	Conway
A96	Maryland	Westminster	B50	Texas	Marshall
A97	Pennsylvania	East Stroudsburg	B51	Texas	Seguin
A98	Florida	Dade City	B52	Louisiana	New Iberia
A99	Mississippi	Cleveland	B53	New Mexico	Hobbs
			B54	Oklahoma	Duncan
			B55	New Mexico	Las Vegas

B. District Office Codes

DOC	State	District Office	DOC	State	District Office
B56	Virginia	Virginia Beach	C18	Florida	Naples
B57	Arizona	Flagstaff	C19	Florida	Fort Lauderdale
B58	California	Laguna Niguel	C20	Georgia	Swainsboro
B59	California	Bellflower	C21	Mississippi	Holly Springs
B60	California	Fremont	C22	Florida	Marianna
B61	California	Redlands	C23	South Carolina	North Charleston
B62	Arizona	Douglas	C24	Florida	Miami, Little River
B63	California	Pittsburg	C26	Florida	Delray Beach
B64	California	Culver City	C27	Alabama	Fairhope
B65	California	Hanford	C28	Kentucky	Harlan
B66	California	Livermore	C29	Florida	Miami, Little Havana
B67	California	Oxnard	C30	Florida	Quincy
B68	Virginia	Onancock	C31	Georgia	Winder
B69	California	Fontana	C32	Alabama	Alexander City
B70	California	Corona	C36	Georgia	Cedartown
B71	California	Verdugo Hills	C37	Tennessee	Nashville, East
B72	California	Arcadia	C38	Florida	Hallandale
B73	California	Banning	C39	Minnesota	Brooklyn Center
B74	California	Lodi	C40	Minnesota	Minneapolis, Southwest
B75	Arizona	Nogales	C41	Indiana	Indianapolis, East
B76	Oregon	Albany	C43	Michigan	Farmington Hills
B77	Oregon	Ontario	C44	Michigan	Inkster
B78	Oregon	Pendleton	C45	North Carolina	Ahoskie
B79	Washington	Pullman	C46	Michigan	Mount Clemens
B80	California	La Mesa	C47	Michigan	Detroit, Southwest
B87	Massachusetts	Hanover	C48	Ohio	Cambridge
B88	Massachusetts	Falmouth	C49	Iowa	Council Bluffs
B89	Puerto Rico	Manati	C50	Kansas	Winfield
B90	Puerto Rico	Cayey	C51	Missouri	St. Louis, South County
B91	Puerto Rico	San Sebastian	C52	Missouri	Lebanon
B92	Puerto Rico	Yauco	C53	Missouri	Maryville
B93	New York	Peekskill	C55	Iowa	Storm Lake
B95	New York	New York City, Delancy Street	C56	Iowa	Oskaloosa
B96	New York	West Seneca	C57	Utah	Fort Morgan
B97	New York	Babylon	C58	South Dakota	Watertown
B98	New York	Cheektowaga	C59	Utah	Cedar City
B99	New Jersey	Raritan	C60	North Dakota	Grafton
C01	New York	Long Island City	C62	Washington	Seattle, North
C03	New York	New York City, Lenox Hill	C63	Washington	Seattle, West
C04	New Jersey	Hoboken	C64	Connecticut	Middletown
C05	New York	New York City, Murray Hill	C65	Maine	Waterville
C06	West Virginia	Montgomery	C66	Massachusetts	Brookline
C07	Maryland	Greenbelt	C67	Massachusetts	North Adams
C08	Pennsylvania	Glenside	C68	Connecticut	Norwich
C09	Maryland	Baltimore, North	C69	Massachusetts	Gardner
C10	Pennsylvania	Bethlehem	C70	Maine	Rumford
C11	Pennsylvania	Monroeville	C71	Puerto Rico	Fajardo
C13	Pennsylvania	Carbondale	C72	New York	Dunkirk
C14	Pennsylvania	Pottstown	C73	New Jersey	Mount Holly
C15	West Virginia	St. Albans	C74	New York	Brooklyn, Williamsburg
C16	South Carolina	Laurens	C75	New York	Herkimer
C17	Alabama	Birmingham, East			

DOC	State	District Office	DOC	State	District Office
C76	New Jersey	Glen Rock	D35	California	Auburn
C77	New York	Bronx, West Farms	D36	California	Garden Grove
C78	New York	Mount Vernon	D37	California	Newport Beach
C79	New Jersey	Newton	D38	California	Campbell
C80	Delaware	Georgetown	D39	California	Fullerton
C81	Virginia	Hampton	D41	California	San Diego, Linda Vista
C82	Virginia	Richmond, West	D42	California	Los Angeles, Miracle Mile
C83	Virginia	Harrisonburg	D43	California	San Diego, Pacific Beach
C84	Pennsylvania	Shamokin	D44	California	Los Angeles, Willshire Center
C85	Pennsylvania	Towanda	D46	California	Alhambra
C86	Pennsylvania	Kittanning	D47	California	Fairfield
C89	West Virginia	Petersburg	D48	California	San Leandro
C90	Tennessee	Memphis, North	D49	Nevada	North Las Vegas
C91	Georgia	Cordele	D50	Washington	Mount Vernon
C92	Georgia	Warner Robins	D51	Washington	Puyallup
C93	South Carolina	Walterboro	D52	Oregon	Astoria
C94	Tennessee	Athens	D53	Oregon	Bend
C95	Georgia	Tifton	D54	Washington	Chehalis
C96	Georgia	Covington	D55	Washington	Lynnwood
C97	Mississippi	Newton	D57	New York	Brooklyn, Canarsie
C99	Georgia	Bainbridge	D58	New York	Staten Island, Forest Avenue
D00	Tennessee	Selmer	D59	Illinois	Belleville
D01	North Carolina	Asheboro	D60	Indiana	Indianapolis, West
D02	Mississippi	Starkville	D61	Indiana	Merrillville
D04	Illinois	West Frankfort	D62	Ohio	Columbus, East
D05	Indiana	Auburn	D63	Ohio	Columbus, North
D06	Minnesota	Alexandria	D64	Texas	Houston, Downtown
D07	Wisconsin	Milwaukee, Mitchell Street	D65	Louisiana	De Ridder
D08	Wisconsin	Milwaukee, West Vliet Street	D68	Alabama	Jackson
D09	Michigan	Livonia	D77	Missouri	Sikeston
D10	Ohio	Euclid	D79	Virgin Islands	St. Croix
D12	Ohio	Gallipolis	D80	Kentucky	Jackson
D13	Ohio	Fremont	D81	Louisiana	Leesville
D14	Michigan	Center-Line Warren	D88	California	Beach Cities
D15	Kansas	Lawrence	D89	West Virginia	Williamson
D16	Kansas	Emporia	D91	South Carolina	Dillon
D17	Missouri	Warrensburg	D92	Montana	Bozeman
D18	Louisiana	Covington	D93	Louisiana	Plaquemine
D19	Louisiana	Tallulah	D94	California	Downey
D20	Texas	Waxahachie	D97	Washington	Seattle, South
D21	Oklahoma	Durant	E00	Michigan	Flint, North
D22	Minnesota	Fergus Falls	E05	Illinois	Chicago, Back of the Yards
D23	North Dakota	Jamestown	E07	California	Fresno, 'O' Street
D24	Colorado	Aurora	E08	California	Fresno, Southeast
D25	Colorado	Glenwood Springs	E21	New Jersey	Brick Town
D26	Colorado	Montrose	E22	New York	Brooklyn, Glendale
D27	North Dakota	Dickinson	E23	New York	Bronx, Hub
D28	Utah	Murray	E24	Texas	El Paso, Downtown
D29	Colorado	Canon City			
D31	Wyoming	Sheridan			
D32	North Dakota	Devils Lake			
D33	South Dakota	Yankton			
D34	California	Redwood City			

B. District Office Codes

DOC	State	District Office	DOC	State	District Office
E25	New York	Bronx, River Parkway	E79	California	Sacramento, South
E26	Wisconsin	Milwaukee, South	E99	Florida	Miami, Central
E27	California	Oroville	H45	Wisconsin	Altoona
E28	California	Sacramento, North	I09	Michigan	Big Rapids
E29	California	West Sacramento	I11	Michigan	Cadillac
E30	California	La Puente Valley	I14	Georgia	Blue Ridge
E31	California	Glendora	I16	Michigan	Iron Mountain
E32	Oklahoma	Poteau	I20	Arkansas	Mountain Home
E35	Texas	Fort Worth, South	I22	Texas	Mount Pleasant
E36	Louisiana	Baton Rouge, North	I25	Minnesota	Brainerd
E37	Arkansas	North Little Rock	I27	Michigan	Sault Ste. Marie
E39	California	Indio	I32	Arizona	Chinle
E40	California	San Pablo	I35	Arizona	Tuba City
E41	California	San Jose, South	I36	Oklahoma	Woodward
E44	Texas	San Marcos	I38	Colorado	Cortez
E45	Texas	Georgetown	I42	Montana	Glasgow
E63	District Of Columbia	Washington, D.C., Fort Lincoln	I43	Montana	Havre
E64	Texas	Dallas, Pleasant Grove	I44	Montana	Miles City
E65	Ohio	Columbus, West	I45	South Dakota	Pierre
E69	Georgia	Vidalia	I46	Michigan	Hancock
E71	Mississippi	Biloxi	I48	North Dakota	Williston
E72	New York	Rochester, Greece	I55	Nevada	Elko
E73	Missouri	St. Louis, Northeast	I57	Arizona	Kingman
E74	Missouri	Kirkwood	I60	Arizona	Show Low
E75	Missouri	St. Louis, Northwest	I61	Utah	
E76	Missouri	St. Louis, North County	I62	Oregon	Grants Pass
E77	California	American River	I63	Alaska	Ketchikan
E78	California	Placerville	I67	Arkansas	Monticello
			I78	Wyoming	Riverton
			I79	Wyoming	Cody
			I91	Arizona	Sells

C. Impairment Codes

This table lists a mixture of ICD-9 and SSA Impairment Codes in the first column (PDX), SSA Impairment Codes in the second column (RPDX), and their corresponding diagnosis and diagnosis groups. Since 1985, only SSA Impairment Codes should be used, but some ICD-9 codes remain in use. The codes apply not just to 831 Disability file data elements PDX and RPD, but also to other data elements that contain diagnosis codes.

PDX	RPDX	Diagnosis table value	Diagnosis group
0110	0110	Pulmonary tuberculosis	Infect+Parasitic
0420	0430	Symptomatic human immunodeficiency virus (HIV) infection	Infect+Parasitic
0430	0430	Symptomatic human immunodeficiency virus (HIV) infection	Infect+Parasitic
0440	0440	Asymptomatic human immunodeficiency virus (HIV) infection	Infect+Parasitic
0441	0440	Asymptomatic human immunodeficiency virus (HIV) infection	Infect+Parasitic
0930	0930	Cardiovascular syphilis	Infect+Parasitic
0940	0940	Neurosyphilis (tabes dorsalis)	Infect+Parasitic
1110	1170	Aspergillosis	Infect+Parasitic
1120	1170	Aspergillosis	Infect+Parasitic
1170	1170	Aspergillosis	Infect+Parasitic
1350	1350	Sarcoidosis	Infect+Parasitic
1353	1350	Sarcoidosis	Infect+Parasitic
0070	1360	Pneumocystis carinii pneumonia (pcp)	Infect+Parasitic
0201	1360	Pneumocystis carinii pneumonia (pcp)	Infect+Parasitic
0248	1360	Pneumocystis carinii pneumonia (pcp)	Infect+Parasitic
0250	1360	Pneumocystis carinii pneumonia (pcp)	Infect+Parasitic
0310	1360	Pneumocystis carinii pneumonia (pcp)	Infect+Parasitic
0345	1360	Pneumocystis carinii pneumonia (pcp)	Infect+Parasitic
0410	1360	Pneumocystis carinii pneumonia (pcp)	Infect+Parasitic
0540	1360	Pneumocystis carinii pneumonia (pcp)	Infect+Parasitic
0550	1360	Pneumocystis carinii pneumonia (pcp)	Infect+Parasitic
0780	1360	Pneumocystis carinii pneumonia (pcp)	Infect+Parasitic
1360	1360	Pneumocystis carinii pneumonia (pcp)	Infect+Parasitic
0450	1380	Late effects of acute poliomyelitis	Infect+Parasitic
1380	1380	Late effects of acute poliomyelitis	Infect+Parasitic
1410	1410	Malignant neoplasm of the tongue	Neoplasms
1420	1420	Malignant neoplasm of major salivary glands	Neoplasms
1500	1500	Malignant neoplasm of esophagus	Neoplasms
1510	1510	Malignant neoplasm of stomach	Neoplasms
1520	1520	Malignant neoplasm of small intestine including duodenum	Neoplasms
1530	1530	Malignant neoplasm of colon rectum or anus	Neoplasms
1548	1530	Malignant neoplasm of colon rectum or anus	Neoplasms
1550	1550	Malignant neoplasm of liver and intrahepatic bile ducts	Neoplasms
1560	1560	Malignant neoplasm of gall bladder and extrahepatic bile ducts	Neoplasms
1570	1570	Malignant neoplasm of pancreas	Neoplasms

PDX	RPDX	Diagnosis table value	Diagnosis group
1590	1590	Malignant neoplasm of other and ill-defined sites within the digestive organs and peritoneum (abdomen)	Neoplasms
1620	1620	Malignant neoplasm of trachea bronchus or lung	Neoplasms
1630	1630	Malignant neoplasm of pleura	Neoplasms
1640	1640	Malignant neoplasm of thymus heart and mediastinum	Neoplasms
1700	1700	Malignant neoplasm of bone and articular cartilage	Neoplasms
1710	1710	Malignant neoplasm of connective and other soft tissue	Neoplasms
1720	1720	Malignant neoplasm of skin	Neoplasms
1730	1730	Other malignant neoplasm of skin	Neoplasms
1740	1740	Malignant neoplasm of breast	Neoplasms
1760	1760	Kaposi's sarcoma	Neoplasms
1790	1790	Malignant neoplasm of uterus	Neoplasms
1800	1790	Malignant neoplasm of uterus	Neoplasms
1830	1830	Malignant neoplasm of ovary and other uterine adnexa	Neoplasms
1840	1840	Malignant neoplasm of other female genital organs	Neoplasms
1850	1850	Malignant neoplasm of prostate	Neoplasms
1860	1860	Malignant neoplasm of testis	Neoplasms
1870	1870	Malignant neoplasm of penis or other male genital organs	Neoplasms
1880	1880	Malignant neoplasm of bladder	Neoplasms
1890	1890	Malignant neoplasm of kidney or other urinary organs	Neoplasms
1900	1900	Malignant neoplasm of eye	Neoplasms
1910	1910	Malignant neoplasm of brain	Neoplasms
1919	1910	Malignant neoplasm of brain	Neoplasms
1920	1920	Malignant neoplasm of other parts of nervous system	Neoplasms
1930	1930	Malignant neoplasm of thyroid gland	Neoplasms
1940	1940	Malignant neoplasm of other endocrine glands and related structures	Neoplasms
1950	1950	Malignant neoplasm of other sites (head and neck) or of unspecified nature	Neoplasms
1980	1980	Secondary malignant neoplasms (metastatic neoplasms of distant sites other than regional lymph nodes)	Neoplasms
1990	1990	Malignant neoplasms of unspecified site	Neoplasms
1991	1990	Malignant neoplasms of unspecified site	Neoplasms
2000	2020	Malignant neoplasm of lymphoid and histiocytic tissue (lymphoma)	Neoplasms
2010	2020	Malignant neoplasm of lymphoid and histiocytic tissue (lymphoma)	Neoplasms
2019	2020	Malignant neoplasm of lymphoid and histiocytic tissue (lymphoma)	Neoplasms
2020	2020	Malignant neoplasm of lymphoid and histiocytic tissue (lymphoma)	Neoplasms
2021	2020	Malignant neoplasm of lymphoid and histiocytic tissue (lymphoma)	Neoplasms
2030	2030	Multiple myeloma and immunoproliferative neoplasms	Neoplasms

C. Impairment Codes

PDX	RPDX	Diagnosis table value	Diagnosis group
2040	2070	Leukemias	Neoplasms
2070	2070	Leukemias	Neoplasms
2250	2250	Benign neoplasm of brain and other parts of nervous system	Neoplasms
2380	2380	Neoplasm of uncertain behavior of other sites and tissues (polycythemia vera)	Neoplasms
2180	2390	Neoplasm of unspecified or unknown nature	Neoplasms
2290	2390	Neoplasm of unspecified or unknown nature	Neoplasms
2390	2390	Neoplasm of unspecified or unknown nature	Neoplasms
2440	2460	All disorders of thyroid (except malignant neoplasm)	Endocrn+Nutrit
2450	2460	All disorders of thyroid (except malignant neoplasm)	Endocrn+Nutrit
2460	2460	All disorders of thyroid (except malignant neoplasm)	Endocrn+Nutrit
2500	2500	Diabetes mellitus	Endocrn+Nutrit
2510	2510	Hypoglycemia	Endocrn+Nutrit
2520	2520	All disorders of parathyroid gland (except malignant neoplasm)	Endocrn+Nutrit
2530	2530	All disorders of the pituitary gland (except malignant neoplasm)	Endocrn+Nutrit
2550	2550	All disorders of adrenal glands (except malignant neoplasm)	Endocrn+Nutrit
2630	2630	HIV wasting syndrome	Endocrn+Nutrit
2649	2630	HIV wasting syndrome	Endocrn+Nutrit
2680	2630	HIV wasting syndrome	Endocrn+Nutrit
2720	2720	Hyperlipidemia	Endocrn+Nutrit
2730	2730	Disorders of plasma protein metabolism	Endocrn+Nutrit
2740	2740	Gout	Endocrn+Nutrit
2749	2740	Gout	Endocrn+Nutrit
2760	2760	Diabetic Acidosis	Endocrn+Nutrit
2750	2770	Disorders of metabolism (cystic fibrosis)	Endocrn+Nutrit
2770	2770	Disorders of metabolism (cystic fibrosis)	Endocrn+Nutrit
2780	2780	Obesity and other hyperalimentation	Endocrn+Nutrit
2790	2790	Disorders involving the immune mechanism (includes AIDS)	Endocrn+Nutrit
2800	2810	Deficiency anemias	Blood
2810	2810	Deficiency anemias	Blood
2820	2820	Hereditary hemolytic anemias (including all sickle cell)	Blood
2840	2840	Aplastic anemia	Blood
2850	2850	Anemia	Blood
2860	2860	Coagulation defects (including hemophilia)	Blood
2870	2870	Thrombocytopenia	Blood
2880	2880	Granulocytopenia	Blood
2890	2890	Other disease of blood and blood-forming organs	Blood
2900	2940	Under age 41.5 -- organic mental disorders (chronic brain syndrome)	Mental Disorders
2901	2940	Under age 41.5 -- organic mental disorders (chronic brain syndrome)	Mental Disorders
2930	2940	Under age 41.5 -- organic mental disorders (chronic brain syndrome)	Mental Disorders
2940	2940	Under age 41.5 -- organic mental disorders (chronic brain syndrome)	Mental Disorders

PDX	RPDX	Diagnosis table value	Diagnosis group
3108	2940	Under age 41.5 -- organic mental disorders (chronic brain syndrome)	Mental Disorders
2950	2950	Age 46.5 or older -- schizophrenic paranoid and other functional psychotic disorders	Mental Disorders
2957	2950	Age 46.5 or older -- schizophrenic paranoid and other functional psychotic disorders	Mental Disorders
2959	2950	Age 46.5 or older -- schizophrenic paranoid and other functional psychotic disorders	Mental Disorders
2980	2950	Age 46.5 or older -- schizophrenic paranoid and other functional psychotic disorders	Mental Disorders
2989	2950	Age 46.5 or older -- schizophrenic paranoid and other functional psychotic disorders	Mental Disorders
2960	2960	Affective disorders	Mental Disorders
2960	2960	Mood disorders	Mental Disorders
2962	2960	Affective disorders	Mental Disorders
2962	2960	Mood disorders	Mental Disorders
2965	2960	Affective disorders	Mental Disorders
2965	2960	Mood disorders	Mental Disorders
2968	2960	Affective disorders	Mental Disorders
2968	2960	Mood disorders	Mental Disorders
2969	2960	Affective disorders	Mental Disorders
2969	2960	Mood disorders	Mental Disorders
3110	2960	Affective disorders	Mental Disorders
3110	2960	Mood disorders	Mental Disorders
2990	2990	Autistic disorders and other pervasive developmental disorders	Mental Disorders
2990	2990	Childhood Original Psychosis	Mental Disorders
3000	3000	Anxiety related disorders	Mental Disorders
3000	3000	Neurotic Disorder - Non-Psychotic	Mental Disorders
3002	3000	Anxiety related disorders	Mental Disorders
3002	3000	Neurotic Disorder - Non-Psychotic	Mental Disorders
3005	3000	Anxiety related disorders	Mental Disorders
3005	3000	Neurotic Disorder - Non-Psychotic	Mental Disorders
3080	3000	Anxiety related disorders	Mental Disorders
3080	3000	Neurotic Disorder - Non-Psychotic	Mental Disorders
3083	3000	Anxiety related disorders	Mental Disorders
3083	3000	Neurotic Disorder - Non-Psychotic	Mental Disorders
3090	3000	Anxiety related disorders	Mental Disorders
3090	3000	Neurotic Disorder - Non-Psychotic	Mental Disorders
3094	3000	Anxiety related disorders	Mental Disorders
3094	3000	Neurotic Disorder - Non-Psychotic	Mental Disorders
3098	3000	Anxiety related disorders	Mental Disorders
3098	3000	Neurotic Disorder - Non-Psychotic	Mental Disorders
3010	3010	Personality disorders	Mental Disorders
3011	3010	Personality disorders	Mental Disorders
3012	3010	Personality disorders	Mental Disorders
3030	3030	Substance Dependence/Alcohol	Mental Disorders
3030	3030	Substance addiction disorders (alcohol)	Mental Disorders
3039	3030	Substance Dependence/Alcohol	Mental Disorders
3039	3030	Substance addiction disorders (alcohol)	Mental Disorders
3040	3040	Substance Dependence/Drugs	Mental Disorders
3040	3040	Substance addiction disorders (drug)	Mental Disorders
3049	3040	Substance Dependence/Drugs	Mental Disorders
3049	3040	Substance addiction disorders (drug)	Mental Disorders
3050	3040	Substance Dependence/Drugs	Mental Disorders

C. Impairment Codes

PDX	RPDX	Diagnosis table value	Diagnosis group
3050	3040	Substance addiction disorders (drug)	Mental Disorders
3060	3060	Somatoform disorders	Mental Disorders
3160	3060	Somatoform disorders	Mental Disorders
3070	3070	Eating and tic disorders	Mental Disorders
3072	3070	Eating and tic disorders	Mental Disorders
3120	3120	Conduct disorders	Mental Disorders
3128	3120	Conduct disorders	Mental Disorders
3129	3120	Conduct disorders	Mental Disorders
3138	3138	Oppositional/defiant disorders	Mental Disorders
3140	3140	Attention deficit disorders/attention deficit hyperactivity disorder	Mental Disorders
3150	3150	Developmental and emotional disorders of newborn and younger infants	Mental Disorders
3154	3150	Developmental and emotional disorders of newborn and younger infants	Mental Disorders
3158	3150	Developmental and emotional disorders of newborn and younger infants	Mental Disorders
3159	3150	Developmental and emotional disorders of newborn and younger infants	Mental Disorders
3152	3152	Learning disorders	Mental Disorders
3153	3153	Speech and language delays	Mental Disorders
3170	3180	Under age 41.5 --mental retardation	Mental Retardation
3180	3180	Under age 41.5 --mental retardation	Mental Retardation
3182	3180	Under age 41.5 --mental retardation	Mental Retardation
3190	3180	Under age 41.5 --mental retardation	Mental Retardation
3195	3195	Borderline intellectual functioning	Mental Disorders
3300	3300	Cerebral degenerations usually manifest in childhood	Nervous+Sense
3310	3310	Age 46.5 or older -- brain atrophy	Nervous+Sense
3312	3310	Age 46.5 or older -- brain atrophy	Nervous+Sense
3314	3310	Age 46.5 or older -- brain atrophy	Nervous+Sense
3320	3320	Parkinson's disease	Nervous+Sense
3350	3350	Anterior horn cell disease (including amyotrophic lateral sclerosis)	Nervous+Sense
3360	3360	Other diseases of spinal cord	Nervous+Sense
3370	3370	Disorders of autonomic nervous system	Nervous+Sense
3379	3370	Disorders of autonomic nervous system	Nervous+Sense
3400	3400	Multiple sclerosis	Nervous+Sense
3430	3430	Cerebral palsy	Nervous+Sense
3439	3430	Cerebral palsy	Nervous+Sense
3450	3450	Epilepsy	Nervous+Sense
3451	3450	Epilepsy	Nervous+Sense
3460	3460	Migraine	Nervous+Sense
3469	3460	Migraine	Nervous+Sense
3336	3490	Neurological conditions	Nervous+Sense
3339	3490	Neurological conditions	Nervous+Sense
3410	3490	Neurological conditions	Nervous+Sense
3480	3490	Neurological conditions	Nervous+Sense
3490	3490	Neurological conditions	Nervous+Sense
3501	3490	Neurological conditions	Nervous+Sense
3521	3490	Neurological conditions	Nervous+Sense
3530	3490	Neurological conditions	Nervous+Sense
3540	3490	Neurological conditions	Nervous+Sense
3560	3570	Diabetic and other peripheral neuropathy	Nervous+Sense
3569	3570	Diabetic and other peripheral neuropathy	Nervous+Sense

PDX	RPDX	Diagnosis table value	Diagnosis group
3570	3570	Diabetic and other peripheral neuropathy	Nervous+Sense
3579	3570	Diabetic and other peripheral neuropathy	Nervous+Sense
3580	3580	Myoneural disorders	Nervous+Sense
3590	3590	Under age 41.5 -- muscular dystrophies and other myopathies	Nervous+Sense
3599	3590	Under age 41.5 -- muscular dystrophies and other myopathies	Nervous+Sense
3610	3610	Retinal detachments and defects	Nervous+Sense
3620	3620	Other retinal disorder (diabetic retinopathy)	Nervous+Sense
3650	3650	Glaucoma	Nervous+Sense
3660	3660	Cataract	Nervous+Sense
3670	3680	Visual disturbances	Nervous+Sense
3680	3680	Visual disturbances	Nervous+Sense
3690	3690	Blindness and low vision	Nervous+Sense
3750	3750	Cardiac transplantation	Nervous+Sense
3780	3780	Strabismus and other disorders of eye movements	Nervous+Sense
3860	3860	Vertiginous syndromes and other disorders of vestibular system	Nervous+Sense
3880	3880	Other disorders of ear	Nervous+Sense
3890	3890	Deafness	Nervous+Sense
3891	3890	Deafness	Nervous+Sense
3910	3910	Chronic rheumatic fever or rheumatic heart disease	Circulatory
3918	3910	Chronic rheumatic fever or rheumatic heart disease	Circulatory
3950	3950	Diseases of aortic valve	Circulatory
3940	3980	Other rheumatic heart disease	Circulatory
3960	3980	Other rheumatic heart disease	Circulatory
3980	3980	Other rheumatic heart disease	Circulatory
4010	4010	Essential hypertension	Circulatory
4020	4020	Hypertensive vascular disease	Circulatory
4030	4030	Hypertensive vascular and renal disease	Circulatory
4100	4100	Acute myocardial infarction	Circulatory
4110	4100	Acute myocardial infarction	Circulatory
4130	4130	Angina pectoris without ischemic heart disease	Circulatory
4140	4140	Chronic ischemic heart disease with or without angina	Circulatory
4149	4140	Chronic ischemic heart disease with or without angina	Circulatory
4160	4160	Chronic pulmonary heart disease	Circulatory
4240	4240	Valvular heart disease or other stenotic defects or valvular regurgitation	Circulatory
4249	4240	Valvular heart disease or other stenotic defects or valvular regurgitation	Circulatory
4250	4250	Cardiomyopathies	Circulatory
4254	4250	Cardiomyopathies	Circulatory
4270	4270	Cardiac dysrhythmia	Circulatory
4280	4280	Heart failure	Circulatory
3420	4380	Under age 46.5 -- late effects of cerebrovascular disease	Circulatory
4300	4380	Under age 46.5 -- late effects of cerebrovascular disease	Circulatory
4310	4380	Under age 46.5 -- late effects of cerebrovascular disease	Circulatory

C. Impairment Codes

PDX	RPDX	Diagnosis table value	Diagnosis group
4360	4380	Under age 46.5 -- late effects of cerebrovascular disease	Circulatory
4380	4380	Under age 46.5 -- late effects of cerebrovascular disease	Circulatory
4388	4380	Under age 46.5 -- late effects of cerebrovascular disease	Circulatory
4410	4410	Aneurysm of aortic or major branches	Circulatory
4430	4430	Peripheral vascular (arterial) disease	Circulatory
4460	4460	Kawasaki syndrome	Circulatory
4480	4480	Disease of capillaries (hereditary telangiectasia)	Circulatory
4510	4510	Phlebitis and thrombophlebitis	Circulatory
4540	4540	Varicose veins of lower extremities	Circulatory
4203	4590	Chronic venous insufficiency	Circulatory
4590	4590	Chronic venous insufficiency	Circulatory
4910	4910	Chronic bronchitis	Respiratory
4920	4920	Emphysema	Respiratory
4930	4930	Asthma	Respiratory
4938	4930	Asthma	Respiratory
4940	4940	Bronchiectasis	Respiratory
4960	4960	Chronic pulmonary insufficiency (COPD)	Respiratory
5010	5010	Asbestosis	Respiratory
5050	5050	Pneumoconiosis	Respiratory
4730	5190	Other disorders of the respiratory system	Respiratory
4740	5190	Other disorders of the respiratory system	Respiratory
4810	5190	Other disorders of the respiratory system	Respiratory
4860	5190	Other disorders of the respiratory system	Respiratory
5110	5190	Other disorders of the respiratory system	Respiratory
5170	5190	Other disorders of the respiratory system	Respiratory
5178	5190	Other disorders of the respiratory system	Respiratory
5190	5190	Other disorders of the respiratory system	Respiratory
7690	5190	Other disorders of the respiratory system	Respiratory
5300	5300	Disease of esophagus	Digestive
5330	5330	Peptic ulcer (gastric or duodenal)	Digestive
5350	5350	Gastritis and duodenitis	Digestive
5530	5530	Hernias	Digestive
5550	5550	Granulomatous colitis	Digestive
5559	5550	Granulomatous colitis	Digestive
5560	5560	Idiopathic proctocolitis (chronic ulcerative colitis)	Digestive
5580	5690	Other disorders of gastrointestinal system	Digestive
5641	5690	Other disorders of gastrointestinal system	Digestive
5680	5690	Other disorders of gastrointestinal system	Digestive
5690	5690	Other disorders of gastrointestinal system	Digestive
5693	5690	Other disorders of gastrointestinal system	Digestive
5710	5710	Chronic liver disease and cirrhosis	Digestive
5713	5710	Chronic liver disease and cirrhosis	Digestive
5714	5710	Chronic liver disease and cirrhosis	Digestive
5715	5710	Chronic liver disease and cirrhosis	Digestive
5730	5710	Chronic liver disease and cirrhosis	Digestive
5733	5710	Chronic liver disease and cirrhosis	Digestive
5780	5780	Gastrointestinal hemorrhage	Digestive
5810	5810	Nephrotic syndrome	Genito-Urinary
5830	5810	Nephrotic syndrome	Genito-Urinary
5850	5850	Chronic renal failure	Genito-Urinary
5910	5990	Other disorders of the urinary tract	Genito-Urinary
5960	5990	Other disorders of the urinary tract	Genito-Urinary

PDX	RPDX	Diagnosis table value	Diagnosis group
5990	5990	Other disorders of the urinary tract	Genito-Urinary
5997	5990	Other disorders of the urinary tract	Genito-Urinary
6080	6080	Other disorders of the male genital organs	Genito-Urinary
6202	6290	Genital ulcerative disease, female	Genito-Urinary
6221	6290	Genital ulcerative disease, female	Genito-Urinary
6290	6290	Genital ulcerative disease, female	Genito-Urinary
6920	6940	Bullous dermatoses	Skin
6940	6940	Bullous dermatoses	Skin
6950	6950	Erythematous conditions (includes discoid lupus)	Skin
6954	6950	Erythematous conditions (includes discoid lupus)	Skin
6960	6960	Psoriasis	Skin
7050	7050	Disorders of sweat glands	Skin
7080	7090	Other disorders of the skin and subcutaneous tissues	Skin
7090	7090	Other disorders of the skin and subcutaneous tissues	Skin
7100	7100	Diffuse diseases of connective tissue (including systemic lupus)	Musculoskeletal
7101	7100	Diffuse diseases of connective tissue (including systemic lupus)	Musculoskeletal
7140	7140	Rheumatoid arthritis and other inflammatory polyarthropathies	Musculoskeletal
7143	7140	Rheumatoid arthritis and other inflammatory polyarthropathies	Musculoskeletal
7120	7150	Osteoarthritis and allied disorder	Musculoskeletal
7150	7150	Osteoarthritis and allied disorder	Musculoskeletal
7157	7150	Osteoarthritis and allied disorder	Musculoskeletal
7160	7150	Osteoarthritis and allied disorder	Musculoskeletal
7169	7150	Osteoarthritis and allied disorder	Musculoskeletal
7184	7150	Osteoarthritis and allied disorder	Musculoskeletal
7194	7150	Osteoarthritis and allied disorder	Musculoskeletal
7200	7200	Ankylosing spondylitis and other inflammatory spondylitis	Musculoskeletal
7221	7240	Disorders of back (discogenic and degenerative)	Musculoskeletal
7222	7240	Disorders of back (discogenic and degenerative)	Musculoskeletal
7224	7240	Disorders of back (discogenic and degenerative)	Musculoskeletal
7225	7240	Disorders of back (discogenic and degenerative)	Musculoskeletal
7226	7240	Disorders of back (discogenic and degenerative)	Musculoskeletal
7228	7240	Disorders of back (discogenic and degenerative)	Musculoskeletal
7230	7240	Disorders of back (discogenic and degenerative)	Musculoskeletal
7240	7240	Disorders of back (discogenic and degenerative)	Musculoskeletal
7242	7240	Disorders of back (discogenic and degenerative)	Musculoskeletal
7246	7240	Disorders of back (discogenic and degenerative)	Musculoskeletal
7250	7280	Disorders of muscle, ligament and fascia	Musculoskeletal
7260	7280	Disorders of muscle, ligament and fascia	Musculoskeletal
7270	7280	Disorders of muscle, ligament and fascia	Musculoskeletal
7280	7280	Disorders of muscle, ligament and fascia	Musculoskeletal
7290	7280	Disorders of muscle, ligament and fascia	Musculoskeletal
7291	7280	Disorders of muscle, ligament and fascia	Musculoskeletal
7292	7280	Disorders of muscle, ligament and fascia	Musculoskeletal
7300	7300	Bone infection	Musculoskeletal
7301	7300	Bone infection	Musculoskeletal
7330	7330	Other disorders of bone and cartilage (osteoporosis)	Musculoskeletal

C. Impairment Codes

PDX	RPDX	Diagnosis table value	Diagnosis group
7334	7330	Other disorders of bone and cartilage (osteoporosis)	Musculoskeletal
7340	7330	Other disorders of bone and cartilage (osteoporosis)	Musculoskeletal
7370	7370	Curvature of spine	Musculoskeletal
7400	7400	Anencephalus and catastrophic anomalies	Congenital
7410	7410	Spina bifida	Congenital
7419	7410	Spina bifida	Congenital
7460	7460	Symptomatic congenital heart disease	Congenital
7471	7460	Symptomatic congenital heart disease	Congenital
7500	7500	Congenital anomalies of upper alimentary tract	Congenital
7580	7580	Chromosomal anomalies	Congenital
7420	7590	Other congenital anomalies	Congenital
7513	7590	Other congenital anomalies	Congenital
7552	7590	Other congenital anomalies	Congenital
7560	7590	Other congenital anomalies	Congenital
7590	7590	Other congenital anomalies	Congenital
7599	7590	Other congenital anomalies	Congenital
7600	7600	Multiple body dysfunction	Accidents
7649	7649	Birth weight between 1200 and 2000 grams and small for gestational age	Unknown
7651	7649	Birth weight between 1200 and 2000 grams and small for gestational age	Unknown
7650	7650	Birth weight under 1200 grams	Unknown
7800	7800	Sleep related disorder	Accidents
7803	7800	Sleep related disorder	Accidents
7804	7800	Sleep related disorder	Accidents
7809	7800	Sleep related disorder	Accidents
7830	7830	Malnutrition, marasmus, failure to thrive (growth impairment)	Unknown
7834	7830	Malnutrition, marasmus, failure to thrive (growth impairment)	Unknown
7840	7840	Loss of voice	Unknown
7841	7840	Loss of voice	Unknown
7845	7840	Loss of voice	Unknown
0000	7910	Unknown no medical evidence	Accidents
0001	7910	Unknown no medical evidence	Accidents
6490	7920	Unknown insufficient medical evidence	Accidents
7810	7920	Unknown insufficient medical evidence	Accidents
7850	7920	Unknown insufficient medical evidence	Accidents
7865	7920	Unknown insufficient medical evidence	Accidents
2480	7930	Unknown no code applies	Accidents
2580	7930	Unknown no code applies	Accidents
3130	7930	Unknown no code applies	Accidents
6400	7930	Unknown no code applies	Accidents
6420	7930	Unknown no code applies	Accidents
6430	7930	Unknown no code applies	Accidents
7740	7930	Unknown no code applies	Accidents
9840	7930	Unknown no code applies	Accidents
9849	7930	Unknown no code applies	Accidents
0688	7940	Unknown code impossible	Accidents
2490	7940	Unknown code impossible	Accidents
4880	7940	Unknown code impossible	Accidents
6880	7940	Unknown code impossible	Accidents
7950	7940	Unknown code impossible	Accidents

PDX	RPDX	Diagnosis table value	Diagnosis group
0010	7941	Unknown code impossible	Accidents
8028	8030	Fracture of skull without intracranial injury	Accidents
8030	8030	Fracture of skull without intracranial injury	Accidents
8040	8030	Fracture of skull without intracranial injury	Accidents
8060	8060	Fracture of vertebral column with spinal cord injury	Accidents
8141	8180	Fractures of upper limb	Accidents
8180	8180	Fractures of upper limb	Accidents
8240	8270	Fractures of lower limb	Accidents
8270	8270	Fractures of lower limb	Accidents
8280	8270	Fractures of lower limb	Accidents
8050	8290	Other fractures of bones	Accidents
8290	8290	Other fractures of bones	Accidents
8360	8390	Dislocations - all types	Accidents
8380	8390	Dislocations - all types	Accidents
8390	8390	Dislocations - all types	Accidents
8400	8480	Sprains and strains - all types	Accidents
8404	8480	Sprains and strains - all types	Accidents
8409	8480	Sprains and strains - all types	Accidents
8440	8480	Sprains and strains - all types	Accidents
8450	8480	Sprains and strains - all types	Accidents
8480	8480	Sprains and strains - all types	Accidents
8540	8540	Intracranial injury	Accidents
8690	8690	Internal injury	Accidents
9020	8690	Internal injury	Accidents
9030	8690	Internal injury	Accidents
9594	8690	Internal injury	Accidents
9599	8690	Internal injury	Accidents
8760	8790	Other open wounds except limbs	Accidents
8790	8790	Other open wounds except limbs	Accidents
8798	8790	Other open wounds except limbs	Accidents
8840	8840	Open wound of upper limb (soft tissue injury)	Accidents
8910	8940	Open wound of lower limb (soft tissue injury)	Accidents
8920	8940	Open wound of lower limb (soft tissue injury)	Accidents
8940	8940	Open wound of lower limb (soft tissue injury)	Accidents
9050	9050	Late effects of musculoskeletal and connective tissue injuries (amputations)	Accidents
9070	9070	Late effects of injuries to the nervous system	Accidents
9534	9070	Late effects of injuries to the nervous system	Accidents
9420	9480	Burns	Accidents
9430	9480	Burns	Accidents
9480	9480	Burns	Accidents

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Thank you for your input.

Full-Name Index

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