

# **Administration for Children and Families**

Office of Head Start

American Recovery and Reinvestment Act of 2009 - Early Learning Mentor Coaches HHS-2010-ACF-OHS-ST-0120

# American Recovery and Reinvestment Act of 2009 - Early Learning Mentor Coaches HHS-2010-ACF-OHS-ST-0120 TABLE OF CONTENTS

#### Overview

**Executive Summary** 

Section I. Funding Opportunity Description

Section II. Award Information

Section III. Eligibility Information

- 1. Eligible Applicants
- 2. Cost Sharing or Matching
- 3. Other (if applicable)

#### Section IV. Application and Submission Information

- 1. Address to Request Application Package
- 2. Content and Form of Application Submission
- 3. Submission Dates and Times
- 4. Intergovernmental Review
- 5. Funding Restrictions
- 6. Other Submission Requirements

# Section V. Application Review Information

- 1. Criteria
- 2. Review and Selection Process
- 3. Anticipated Announcement and Award Dates

#### Section VI. Award Administration Information

- 1. Award Notices
- 2. Administrative and National Policy Requirements
- 3. Reporting

Section VII. Agency Contact(s)

Section VIII. Other Information

# Department of Health & Human Services Administration for Children & Families

**Program Office:** Office of Head Start

**Funding Opportunity Title:** American Recovery and Reinvestment Act of 2009 - Early Learning

**Mentor Coaches** 

**Announcement Type:** Initial

**Funding Opportunity Number:** HHS-2010-ACF-OHS-ST-0120

CFDA Number: 93.708

Due Date for Applications: [Insert 30 days from date of publication]

**Executive Summary:** 

The Administration for Children and Families (ACF), Office of Head Start (OHS) announces the availability of funds under the American Recovery and Reinvestment Act of 2009 (P.L. 111-5). Approximately \$25 million for a project period of eighteen months will be competitively awarded to up to 150 current Head Start and Early Head Start grantees. These funds will support Early Learning Mentor Coaches (Coaches) who will provide on-the-job guidance, coaching, training, and technical assistance to classroom teaching staff, home visitors and family child care providers (teaching staff) who work in Head Start and Early Head Start programs. The goal of the Coaching initiative is to improve the quality of teaching; assist programs to promote positive, significant and sustained outcomes for children; and promote career development for teaching staff working in Head Start programs.

The Coaches will provide professional development related to ongoing child assessment and its connection to teaching and learning; the aggregation and analysis of child progress data and the use of such information for curriculum implementation and continuous program improvement; strategies for improving teacher child interactions; and effective strategies for working with culturally, linguistically, developmentally and age diverse groups of children. Coaches will also provide encouragement designed to support teaching staff's completion of college coursework on their paths to earning academic degrees.

Successful applicants will be subject to reporting requirements consistent with the American Recovery and Reinvestment Act of 2009 (P.L.111-5) and with current Head Start regulations.

## I. Funding Opportunity Description

#### **Statutory Authority**

Funding is appropriated by the American Recovery and Reinvestment Act of 2009 (P.L.111-5). Funding is authorized by Sections 648 and 645(A)g of The Improving Head Start for School Readiness Act of 2007, 42 U.S.C. § 9843.

#### **Description**

#### **Background and Purpose**

Head Start is a nationwide comprehensive child development program serving eligible children from birth-to-five and their families. In order to promote positive, sustained outcomes for the children served in Head Start, OHS supports a National Training and Technical Assistance (T/TA) System that consists of three components:

- National Centers form the cornerstone of Head Start's T/TA System. The National Centers provide OHS with the ability to disseminate a consistent message across regions, States and local programs. The T/TA, information and materials provided via these National Centers will be reflective of current research and best practices. The National Centers are:
  - National Center on Quality Teaching and Learning
  - National Center on Parent, Family and Community Engagement
  - National Center on Cultural and Linguistic Responsiveness
  - National Center on Program Management and Fiscal Operations
  - National Center on Health, Oral Health, Mental Health and Nutrition
  - Early Head Start National Resource Center
- State Training and Technical Assistance Centers, known collectively as the T/TA Network, continue and extend the work of OHS and the National Centers by targeting work to individual grantees and groups of grantees within each State. The T/TA Center in each State will be staffed by Early Childhood Education (ECE) Specialists whose work will focus on T/TA related to early childhood teaching and learning. In addition, State T/TA Centers for States where a Regional Office is located will also be staffed by Grantee Specialists who will be deployed throughout the Region to work with grantees with deficiencies identified through Federal Monitoring Reviews and/or grantees with problems identified through Program Information Reports (PIR), Risk Management Meetings, audits, and other data submitted to and reviewed by OHS. All State T/TA Center staff will receive guidance, training, technical assistance and training materials from the National Centers, a strategy designed to ensure consistency across States.
- **Direct Funding to Grantees** accounts for approximately half of all T/TA dollars allocated to Head Start. Grantees have the discretion to use this money to establish agreements with community experts, institutions of higher education or private consultants in order to make program improvements identified by the grantee.

The goal of this National T/TA System is the operation of a seamless system of high-quality support for local programs.

# **Description**

Coaches will also receive support from staff at the National Centers as well as from the ECE Specialists in the State T/TA Centers. Staff at the National Center on Quality Teaching and Learning and the Early Head Start National Resource Center will provide Coaches with resources to use with teaching staff as well as with ongoing consultation and technical assistance related to work with individual grantees. The Center on Cultural and Linguistic Responsiveness will provide strategies and materials to Coaches targeting the specific cultural and linguistic characteristics of children and families with whom the Coach is working. The Center on Parent, Family and Community Engagement will provide Coaches with strategies for effectively promoting family engagement in the development and education of their children. Staff from each of the six Centers will be available to guide and support the work of Coaches.

A close collaborative relationship between the ECE specialists from the State T/TA Centers and the Coaches is integral to the delivery of seamless T/TA to local programs. ECE specialists and Coaches will

communicate regularly regarding technical assistance provided to grantees to determine how they can best collaborate to support local programs.

Coaches will provide support for teaching staff to improve the development and early learning of Head Start and Early Head Start children. To accomplish this goal, a relationship where ideas can flow in a positive and supportive environment is formed. As a result, teaching staff can be more comfortable asking questions, seeking guidance, and reflecting on early child development theory and its relationship to everyday practice. This relationship enables the Coach to provide feedback and make suggestions to help teaching staff improve their skills, knowledge and competencies. In turn, this improves the ability of teaching staff to better assess and understand the children with whom they work. It enables them to work intentionally to individualize learning so that each child can reach his or her maximum potential.

Coaches will focus on clearly stated outcomes, developed jointly by the Coach and the teaching staff. They will provide ongoing and consistent assistance to teaching staff over a period of time. This will support teachers' growth related to curriculum development and implementation; individualizing for children based on observation and ongoing assessment; and, for three-to-five year old children, positive staff-child interactions in all domains of The Head Start Child Outcomes Framework.

Coaching will focus on the latest research-based practice. Coaching activities will include, but not be limited to, the following:

- Establishing a comfortable relationship with assigned teaching staff;
- Leading discussions on early child development and learning;
- Assisting teaching staff with appropriate curriculum planning and implementation;
- Conducting observations of teaching staff and of their interactions with children and families to create opportunities for discussion;
- Providing feedback in a supportive, instructive and non-judgmental way;
- Enhancing the ability of teaching staff to observe children's activities and experiences as part of the ongoing assessment process and to use this information to individualize the curriculum;
- Modeling appropriate strategies for engaging children in interactions designed to improve their vocabularies, content knowledge, love of learning and persistence in pursuing inquiries; and
- Providing opportunities for participants to reflect on and apply theory and learning to everyday practice.

The funding for Early Learning Mentor Coaches under this announcement will support coaching to up to 150 grantees to enhance high quality teaching.

#### II. Award Information

Funding Instrument Type: Grant

Estimated Total Funding: \$25,000,000

Expected Number of Awards: 150

Award Ceiling: \$225,000 Per Project Period Award Floor: \$175,000 Per Project Period Average Projected Award Amount: \$200,000 Per Project Period

## **Length of Project Periods:**

Awards will be made for one 18-month project and budget period. Applicants should provide a project budget that is calculated on a 12-month budget and a six-month budget that is pro-rated upon the 12-month budget. The requested amount for the full 18-month project and budget period must not exceed the estimated award ceiling.

#### **Additional Information on Awards:**

Awards made under this announcement are subject to the availability of Federal funds.

Please see Section IV.5 Funding Restrictions for any restrictions on the use of grant funds awarded under this announcement.

#### III. Eligibility Information

#### III.1. Eligible Applicants

ACF seeks applications from current Head Start and Early Head Start grantees. Applicants may include individual grantees who wish to provide coaching to their own teaching staff in order to improve outcomes for the children they serve. Such programs must have the organizational capacity to provide for the coaching to be supplied through this grant, either through reassignment of existing staff or by hiring new staff or contracting with consultants. OHS also welcomes applicants from current grantees who may wish to expand the coaching of their own staff to staff in other Head Start and Early Head Start programs. In both cases, the applicant must document a record of strong performance in their early childhood program as well as their overall program management and demonstrate that the responsibilities of administering this grant will not result in a decline in their performance.

Individuals, foreign entities, and sole proprietorship organizations are not eligible to compete for, or receive, awards made under this announcement.

Faith-based and community organizations that meet eligibility requirements are eligible to receive awards under this funding opportunity announcement.

See "Legal Status of Applicant Entity" in Section IV.2 for documentation required to support eligibility.

# III.2. Cost Sharing or Matching

Cost Sharing / Matching Requirement: Yes

Grantees are required to meet a non-Federal share of the project cost, in accordance with Section 640(b) of the Head Start Act

Grantees must provide at least 20% percent of the total approved cost of the project. The total approved cost of the project is the sum of the ACF (Federal) share and the non-Federal share. The non-Federal share may be met by cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions. For example, in order to meet the match requirements, a project requesting \$225,000.00 in ACF (Federal) funds must provide a non-Federal share of the approved total project cost of at least \$56,250.00, which is 20% percent of total approved project cost of \$281,250.00. Grantees will be held accountable for commitments of non-Federal resources even if they exceed the amount of the required match. Failure to provide the required amount will result in the disallowance of Federal funds. A lack of supporting documentation at the time of application submission will not exclude

the application from competitive review.

To receive a waiver or a reduction in the required non-Federal share, the applicant must provide ACF with written documentation of need. This request must identify which of the five waiver criteria found at Section 640(b)(1-5) of the Head Start Act it believes to be relevant. It may be submitted with the grant proposal document or during the budget negotiation period. Approval of the waiver request cannot be assumed by the applicant without written notice from ACF. In light of the current economic crisis ACF will be receptive to reasonable arguments for such waivers.

#### III.3. Other

#### **Disqualification Factors**

Applications with requests that exceed the ceiling on the amount of individual awards as stated in *Section II*. *Award Information*, will be deemed non-responsive and will not be considered for funding under this announcement.

Applications that fail to satisfy the due date and time deadline requirements stated in *Section IV.3*. *Submission Dates and Times*, will be deemed non-responsive and will not be considered for funding under this announcement.

See Section IV.3. Submission Dates and Times for disqualification information specific to electronically-submitted applications:

- Electronically-submitted applications that do not receive a date/time-stamp email indicating application submission on or before 4:30 p.m., eastern time, on the due date, will be disqualified and will not be considered for competition.
- Electronically-submitted applications that fail the checks and validations at www.Grants.gov because the Authorized Organization Representative (AOR) does not have a current registration at the Central Contractor Registry (CCR) at the time of application submission will be disqualified and will not be considered for competition.
- Applications from all entities other than currently Federally-funded Head Start and Early Head Start grantees will be deemed non-responsive and will not be considered for funding under this announcement.

## Section IV. Application and Submission Information

#### IV.1. Address to Request Application Package

Standard Forms, assurances, and certifications are available at the ACF Forms webpage at <a href="http://www.acf.hhs.gov/grants/grants">http://www.acf.hhs.gov/grants/grants</a> resources.html.

Standard Forms are also available at the Grants.gov Forms Repository website at <a href="http://apply07.grants.gov/apply/FormLinks?family=15">http://apply07.grants.gov/apply/FormLinks?family=15</a>.

Office of Head Start c/o Master Key Consulting 4915 St. Elmo Avenue, Suite 101 Bethesda, MD 20814

Phone: (866) 796-1591 Email: OHS@luxcg.com

## **Federal Relay Service:**

Hearing-impaired and speech-impaired callers may contact the Federal Relay Service for assistance at 1-800-877-8339 (TTY - Text Telephone or ASCII - American Standard Code For Information Interchange).

### Section IV.2. Content and Form of Application Submission

This section provides information on the required format, Standard Forms (SFs) and other forms, certifications, assurances, D-U-N-S requirement, project description, budget and budget justification, and methods of application submission. A checklist of required application elements is available for applicants' use in *Section VIII* of this announcement.

Applicants are required to submit one original and two copies of all application materials if applying in hard-copy. Applicants submitting applications electronically via <a href="www.grants.gov">www.grants.gov</a> need not provide additional copies of their application materials. The original signature of the Authorized Organization Representative (AOR) is required only on the original copy. The AOR is named by the applicant, and is authorized to act for the applicant, to assume the obligations imposed by the Federal laws, regulations, requirements, and conditions that apply to the grant application or awards. A point of contact on matters involving the application must also be identified on the SF-424 at 8f. This point of contact, known as the Project Director or Principal Investigator, should not be identical to the person identified as the AOR.

#### **Application Format**

Applications must be organized according to the checklist in *Section VIII* of this announcement. The project description of the application must be double-spaced and single-sided on 8.5" x 11" plain white paper, with 1" margins on all sides. Use only a standard font no smaller than 12 point throughout the application. All sections of the application (including appendices, resumes, references/footnotes, tables, and exhibits) must be sequentially numbered, beginning on the first page after the table of contents.

The application narrative must be limited to 20 pages, double-spaced (including the summary/abstract, budget and budget justification), with an additional limit of 10 pages for all appendices and resumes. The Project Summary/Abstract <u>must</u> be limited to one page in length.

If an application exceeds 20 double-spaced pages in the application narrative and/or exceeds 10 pages in the appendices and resumes, the extra pages will be removed and not reviewed. In addition, if an application narrative is single-spaced and/or one-and-a-half spaced (in whole or in part) the total number of these lines will be doubled. This adjustment may result in an increased total number of pages, which will be removed if the application narrative as adjusted exceeds the 20 page limit.

Each application should be submitted in the following order: SF-424, SF-424A, SF-424B, Table of Contents, Program Abstract, Objectives and Need for Assistance, Approach, Staff and Position Data, Organizational Profiles, and Budget and Budget Justification. Additional supporting documentation should be placed in the appendices. Assurances and Certifications may be placed after the appendices.

Each application will be duplicated. Therefore, please do not use or include colored paper, colored ink, separate covers, binders, clips, tabs, plastic inserts, over-sized paper, videotapes, or any other items that cannot be easily duplicated on a photocopy machine with an automatic feed. Do not bind, clip, staple, or fasten separate subsections of the application in any way, including the supporting documentation.

#### Additional Information

Applicants must include documentation of Policy Council approval of the application.

Applicants, including faith based organizations, are required to submit: (1) proof of legal or corporate status; (3) certification of the organization's last audit report or other similar evidence of financial capability.

To be eligible for funding, public agencies and non-profit applicants must provide evidence of their legal status. "Legal Status" means being established as a public agency or non-profit or for-profit agency under applicable State or Federal law. For the purposes of this announcement, "financial viability" means (1) having the capability to furnish the non-Federal share of the cost of operating a Head Start program; and (2) demonstrating the capability to assume accountability for the fiscal management of the grant.

For-profit agencies must agree to waive their fee/profit.

## Forms, Assurances, and Certifications

Applicants seeking financial assistance under this announcement must submit the listed Standard Forms (SFs), assurances, and certifications. All required Standard Forms, assurances, and certifications are available at <u>ACF Funding Opportunities Forms</u> or at the <u>Grants.gov Forms Repository</u> unless specified otherwise.

Forms / Assurances / Certifications	Submission Requirement	Notes / Description
SF-424 - Application for Federal Assistance  SF-P/PSL - Project/Performance Site Location(s)	Submission required for all applicants by the application due date.	Required for all applications.
SF-424A - Budget Information - Non-Construction Programs SF-424B - Assurances - Non-Construction Programs	Submission required for all applicants applying for a non-construction project by the application due date.	Required for all applications.
Certification Regarding Lobbying	Submission required of all applicants prior to award.	Required for all applications.

SF-LLL - Disclosure of	If applicable, submission is	If any funds have been paid or will be		
Lobbying Activities, if	required prior to award.	paid to any person for influencing or		
applicable		attempting to influence an officer or		
		employee of any agency, a Member of		
		Congress, an officer or employee of		
		Congress, or an employee of a Member		
		of Congress in connection with this		
		commitment providing for the United		
		States to insure or guarantee a loan, the		
		applicant shall complete and submit the		
		SF-LLL, "Disclosure Form to Report		
		Lobbying," in accordance with its		
		instructions. Applicants must furnish an		
		executed copy of the Certification		
		Regarding Lobbying prior to award.		

The Pro-Children Act of 2001, 42 U.S.C. 7181 through 7184, imposes restrictions on smoking in facilities where federally funded children's services are provided. HHS grants are subject to these requirements only if they meet the Act's specified coverage. The Act specifies that smoking is prohibited in any indoor facility (owned, leased, or contracted for) used for the routine or regular provision of kindergarten, elementary, or secondary education or library services to children under the age of 18. In addition, smoking is prohibited in any indoor facility or portion of a facility (owned, leased, or contracted for) used for the routine or regular provision of federally funded health care, day care, or early childhood development, including Head Start services to children under the age of 18. The statutory prohibition also applies if such facilities are constructed, operated, or maintained with Federal funds. The statute does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, portions of facilities used for inpatient drug or alcohol treatment, or facilities where WIC coupons are redeemed. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 per violation and/or the imposition of an administrative compliance order on the responsible entity.

By signing and submitting the application, applicants are making the appropriate certification of their compliance with all Federal statutes relating to nondiscrimination.

Additional information on certifications and assurances may be found in the HHS Grants Policy Statement at: http://www.acf.hhs.gov/grants/grants\_related.html.

## **D-U-N-S Requirement**

All applicants must have a D&B Data Universal Numbering System (D-U-N-S) number. A D-U-N-S number is required whether an applicant is submitting a paper application or using the Government-wide electronic portal, <a href="Grants.gov">Grants.gov</a>. A D-U-N-S number is required for every application for a new award or renewal/continuation of an award, including applications or plans under formula, entitlement, and block grant programs. A D-U-N-S number may be acquired at no cost online at <a href="http://www.dnb.com">http://www.dnb.com</a>. To acquire a D-U-N-S number by phone, contact the D&B Government Customer Response Center:

U.S. and U.S Virgin Islands: 1-866-705-5711

Alaska and Puerto Rico: 1-800-234-3867 (Select Option 2, then Option 1)

Monday - Friday 7 AM to 8 PM C.S.T.

The process to request a D-U-N-S® Number by telephone takes between 5 and 10 minutes.

You will need to provide the following information:

- Legal Name
- Tradestyle, Doing Business As (DBA), or other name by which your organization is commonly recognized
- Physical Address, City, State and Zip Code
- Mailing Address (if separate)
- Telephone Number
- Contact Name
- SIC Code (Line of Business)
- Number of Employees at your location
- Headquarters name and address (if there is a reporting relationship to a parent corporate entity)
- Is this a home-based business?

## The Project Description

## Part I: The Project Description Overview

The project description provides the majority of information by which an application is evaluated and ranked in competition with other applications for available assistance. The project description should be concise and complete. It should address the activity for which Federal funds are being requested. Supporting documents should be included where they can present information clearly and succinctly. In preparing the project description, information that is responsive to each of the requested evaluation criteria must be provided. Awarding offices use this and other information in making their funding recommendations. It is important, therefore, that this information be included in the application in a manner that is clear and complete.

## **General Expectations and Instructions**

ACF is particularly interested in specific project descriptions that focus on outcomes and convey strategies for achieving intended performance. Project descriptions are evaluated on the basis of substance and measurable outcomes, not length. Extensive exhibits are not required. Cross-referencing should be used rather than repetition. Supporting information concerning activities that will not be directly funded by the grant or information that does not directly pertain to an integral part of the grant-funded activity should be placed in an appendix.

# Part II: General Instructions for Preparing a Full Project Description

#### Introduction

Applicants that are required to submit a full project description shall prepare the project description statement in accordance with the following instructions while being aware of the specified evaluation criteria. The topics listed in this section provide a broad overview of what the project description should include while the Criteria in *Section V.1* identify the measures that will be used to evaluate applications.

## **Project Summary/Abstract**

Provide a summary of the application's project description. The summary must be clear, accurate, concise, and without reference to other parts of the application. The abstract must include a brief description of the proposed grant project including the needs to be addressed, the proposed services, and the population group(s) to be served.

Please place the following at the top of the abstract:

- Project Title
- Applicant Name
- Address
- Contact Phone Numbers (Voice, Fax)
- E-Mail Address
- Web Site Address, if applicable

The project abstract must be single-spaced and limited to one page in length.

## **Objectives And Need For Assistance**

Clearly identify the physical, economic, social, financial, institutional, and/or other problem(s) requiring a solution. The need for assistance including the nature and scope of the problem must be demonstrated, and the principal and subordinate objectives of the project must be clearly and concisely stated; supporting documentation, such as letters of support and testimonials from concerned interests other than the applicant, may be included. Any relevant data based on planning studies or needs assessments should be included or referred to in the endnotes/footnotes. Incorporate demographic data and participant/beneficiary information, as needed. In developing the project description, the applicant may volunteer or be requested to provide information on the total range of projects currently being conducted and supported (or to be initiated), some of which may be outside the scope of the program announcement.

## **Approach**

Outline a plan of action that describes the scope and detail of how the proposed work will be accomplished. Account for all functions or activities identified in the application. Cite factors that might accelerate or decelerate the work and state your reason for taking the proposed approach rather than others. Describe any unusual features of the project such as design or technological innovations, reductions in cost or time, or extraordinary social and community involvement.

Provide quantitative monthly or quarterly projections of the accomplishments to be achieved for each function or activity in such terms as the number of people to be served and the number of activities accomplished. Data may be organized and presented as project tasks and subtasks with their corresponding timelines during the project period. For example, each project task could be assigned to a row in the first column of a grid. Then, a unit of time could be assigned to each subsequent column, beginning with the first unit (i.e., week, month, quarter) of the project and ending with the last. Shading, arrows, or other markings could be used across the applicable grid boxes or cells, representing units of time, to indicate the approximate duration and/or frequency of each task and its start and end dates within the project period.

When accomplishments cannot be quantified by activity or function, list them in chronological order to show the schedule of accomplishments and their target dates.

Provide a list of organizations, cooperating entities, consultants, or other key individuals who will work on the project, along with a short description of the nature of their effort or contribution.

## **Legal Status of Applicant Entity**

Applicants must provide the following documentation of their legal status:

#### **Proof of Non-Profit Status**

Non-profit organizations applying for funding are required to submit proof of their non-profit status. Proof of non-profit status is any one of the following:

- A reference to the applicant organization's listing in the IRS's most recent list of tax-exempt organizations described in the IRS Code.
- A copy of a currently valid IRS tax-exemption certificate.
- A statement from a State taxing body, State attorney general, or other appropriate State official certifying that the applicant organization has non-profit status and that none of the net earnings accrue to any private shareholders or individuals.
- A certified copy of the organization's certificate of incorporation or similar document that clearly establishes non-profit status.
- Any of the items in the subparagraphs immediately above for a State or national parent organization and a statement signed by the parent organization that the applicant organization is a local non-profit affiliate

When applying electronically, proof of non-profit status may be submitted as an attachment; however, proof of non-profit status must be submitted prior to award.

## **Organizational Capacity**

- Organizational charts
- Board of Directors
- Contact persons and telephone numbers
- Any other pertinent information the applicant deems relevant.

Provide a biographical sketch or resume for each key person appointed. Resumes should be no more than two pages in length. Job descriptions for each vacant key position should be included as well. As new key staff are appointed, biographical sketches or resumes will also be required.

# **Budget and Budget Justification**

Provide a budget with line-item detail and detailed calculations for each budget object class identified on the Budget Information Form (SF-424A or SF-424C). Detailed calculations must include estimation methods, quantities, unit costs, and other similar quantitative detail sufficient for the calculation to be duplicated. If matching is a requirement, include a breakout by the funding sources identified in Block 18 of the SF-424.

Provide a narrative budget justification for the first year of the proposed project. The narrative budget justification should describe how the categorical costs are derived. Discuss the necessity, reasonableness, and allocation of the proposed costs.

#### General

Use the following guidelines for preparing the budget and budget justification. Both Federal and non-Federal resources (when required) shall be detailed and justified in the budget and budget narrative justification. "Federal resources" refers only to the ACF grant funds for which you are applying. "Non-Federal resources" are all other non-ACF Federal and non-Federal resources. It is suggested that budget amounts and computations be presented in a columnar format: first column, object class categories; second column, Federal budget; next column(s), non-Federal budget(s); and last column, total budget. The budget justification should be in a narrative form.

#### Personnel

Description: Costs of employee salaries and wages.

Justification: Identify the project director or principal investigator, if known at the time of application. For each staff person, provide: the title; time commitment to the project in months; time commitment to the project as a percentage or full-time equivalent; annual salary; grant salary; wage rates; etc. Do not include the costs of consultants, personnel costs of delegate agencies, or of specific project(s) and/or businesses to be financed by the applicant.

## **Fringe Benefits**

Description: Costs of employee fringe benefits unless treated as part of an approved indirect cost rate.

Justification: Provide a breakdown of the amounts and percentages that comprise fringe benefit costs such as health insurance, Federal Insurance Contributions Act (FICA) taxes, retirement insurance, taxes, etc.

#### **Travel**

Description: Costs of project-related travel by employees of the applicant organization. (This item does not include costs of consultant travel).

Justification: For each trip show: the total number of traveler(s); travel destination; duration of trip; per diem; mileage allowances, if privately owned vehicles will be used to travel out of town; and other transportation costs and subsistence allowances. If appropriate for this project, travel costs for key staff to attend ACF-sponsored workshops should be detailed in the budget.

#### **Supplies**

Description: Costs of all tangible personal property other than that included under the Equipment category.

Justification: Specify general categories of supplies and their costs. Show computations and provide other information that supports the amount requested.

#### Other

Description: Enter the total of all other costs. Such costs, where applicable and appropriate, may include but are not limited to: local travel; insurance; food; medical and dental costs (noncontractual); professional services costs; space and equipment rentals; printing and publication; computer use; training costs, such as tuition and stipends; staff development costs; and administrative costs.

Justification: Provide computations, a narrative description and a justification for each cost under this category.

# **Indirect Charges**

Description: Total amount of indirect costs. This category should be used only when the applicant currently has an indirect cost rate approved by the Department of Health and Human Services (HHS) or another cognizant Federal agency.

Justification: An applicant that will charge indirect costs to the grant must enclose a copy of the current rate agreement. If the applicant organization is in the process of initially developing or renegotiating a rate, upon notification that an award will be made, it should immediately develop a tentative indirect cost rate proposal based on its most recently completed fiscal year, in accordance with the cognizant agency's guidelines for establishing indirect cost rates, and submit it to the cognizant agency. Applicants awaiting approval of their indirect cost proposals may also request indirect costs. When an indirect cost rate is requested, those costs included in the indirect cost pool should not be charged as direct costs to the grant. Also, if the applicant is requesting a rate that is less than what is allowed under the program, the authorized representative of the applicant organization must submit a signed acknowledgement that the applicant is accepting a lower rate than allowed.

#### **Commitment of Non-Federal Resources**

Description: Amounts of non-Federal resources that will be used to support the project as identified in Block 18 of the SF-424.

Justification: If an applicant is relying on match from a third party, then a firm commitment of these resources (letter or other documentation) is required with the application. Detailed budget information must be provided for every funding source identified in Block 18 of the SF-424.

#### **Paperwork Reduction Disclaimer**

As required by the Paperwork Reduction Act of 1995, Pub.L. 104-13, the public reporting burden for the Project Description is estimated to average 40 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection information. The Project Description information collection is approved under OMB control number 0970-0139, which expires 11/30/2012. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

#### **Application Submission Options**

## Electronic Submission via www.Grants.gov

- ACF will not accept applications via facsimile or email.
- The Funding Opportunity Announcement is found on the Grants.gov website at <a href="http://www.grants.gov">http://www.grants.gov</a> where the electronic application can be downloaded for completion.
- To apply electronically, applicants must be registered with Grants.gov, Dun and Bradstreet, and the Central Contractor Registry (CCR).
- Electronically submitted applications must be submitted and time/date stamped by the due date and receipt time described in *Section IV.3*. *Submission Dates and* Times, of this announcement.
- To submit an application through Grants.gov, the applicant must be an Authorized Organization Representative (AOR) for their organization and must have a current registration with the Central Contractor Registry (CCR).
- Central Contractor Registry (CCR) registration must be updated annually. Electronically submitted applications will not pass the validation check at Grants.gov if the AOR does not have a current CCR registration and electronic signature credentials.
- Applications rejected by Grants.gov for an unregistered AOR will be disqualified and will not be considered for competition.
- Additional guidance on the submission of electronic applications can be found at <a href="http://www.acf.hhs.gov/grants/registration">http://www.acf.hhs.gov/grants/registration</a> checklist.html.
- If difficulties are encountered in using Grants.gov, applicants must contact the Grants.gov Contact Center at:1-800-518-4726, or by email at <a href="mailto:support@grants.gov">support@grants.gov</a>, to report the problem and obtain assistance.
- Applicants are advised to retain Grants.gov Contact Center service ticket number(s) as they may be needed for future reference.
- Applicants that submit their applications electronically are encouraged to retain a hard copy of their application.
- It is to an applicant's advantage to submit their applications 24 hours in advance of the closing date and time.

Contact with the Grants.gov Contact Center prior to the listed due date and time does not ensure acceptance of your application. If difficulties are encountered, the Grants Management Officer (GMO) will make a determination whether the issues are due to system errors or user error.

#### **Hard Copy Submission**

Applicants that are submitting their application in paper format should submit one original and two copies of the complete application with all attachments. The original and each of the two copies must include all required forms, certifications, assurances, and appendices, be signed by the Authorized Organization Representative (AOR), and be unbound. The original copy of the application must have original signature(s). See *Section IV.6* of this announcement for address information for hard copy application submissions.

Applicants may refer to *Section VIII*. *Other Information* for a checklist of application requirements that may be used in developing and organizing application materials. Details concerning acknowledgment of received applications are available in *Section IV.3*. *Submission Dates and Times* of this announcement.

#### IV.3. Submission Dates and Times

Due Date for Applications: [Insert 30 days from date of publication]

## **Explanation of Due Dates**

The due date for receipt of applications is listed in this section. Applications received after 4:30 p.m., eastern time, on the due date will be classified as late and will not be considered in the current competition.

Applicants are responsible for ensuring that applications are received by mail, hand-delivery, or submitted electronically well in advance of the application due date and time.

# **Mailed Applications**

Mailed applications must be **received** no later than 4:30 p.m., eastern time, at the address provided in *Section IV.6* of this announcement on the due date listed in this section.

# **Hand-Delivered Applications**

Applications hand-delivered by applicants, applicant couriers, other representatives of the applicant, or by overnight/express mail couriers must be **received** on, or before, the due date listed in this section, between the hours of 8:00 a.m. and 4:30 p.m., eastern time, Monday through Friday (excluding Federal holidays). Applications should be delivered to the address provided in *Section IV.6*. of this announcement.

#### **Electronically-Submitted Applications**

ACF cannot accommodate transmission of applications by facsimile or email. Instructions for electronic submission through www.Grants.gov may be found at <a href="http://www.acf.hhs.gov/grants/registration">http://www.acf.hhs.gov/grants/registration</a> checklist.html.

After the application is submitted electronically via Grants.gov, the applicant will receive three emails. The following emails will be sent to the applicant from Grants.gov:

- 1. An automatic acknowledgement from Grants.gov of the application's submission that provides a Grants.gov tracking number.
  - The date/time-stamp in this email serves as the official record of your application submission. The date/time-stamp must reflect a submission time on or before 4:30 p.m., eastern time, on the application due date for the application to be considered as meeting the due date and to be considered for competition.
- 2. An acknowledgement from Grants.gov that the submitted application package has passed or failed a series of checks and validations.
  - Applications that fail the validation check at Grants.gov because the Authorized Organization Representative (AOR) is not currently registered with the Central Contractor Registry (CCR) will be disqualified and will not be considered for competition.
- 3. An additional email from the Administration for Children and Families (ACF) will be sent to the applicant indicating that the application has been retrieved from Grants.gov and received by ACF.

#### **Late Applications**

No appeals will be considered for applications classified as late under the three cited circumstances:

- Hard-copy applications received after 4:30 p.m., eastern time, on the due date will be classified as late and will be disqualified.
- Electronically-submitted applications are considered late and are disqualified when the date/time-stamp received by email from www.Grants.gov is after 4:30 p.m., eastern time, on the due date.
- Electronically-submitted applications submitted by an AOR that does not have a current registration with the Central Contractor Registry (CCR) will be rejected by Grants.gov. Although the applicant may have an acceptable dated and time-stamped email from Grants.gov, these applications are considered late and are disqualified and will not be considered for competition.

# Extension/Waiver of Due Date and Receipt Time

ACF may extend an application due date and receipt time when circumstances such as natural disasters occur (floods, hurricanes, etc.); when there are widespread disruptions of mail service; or in other rare cases. The determination to extend or waive due date and receipt time requirements rests with ACF's Chief Grants Management Officer.

#### **Acknowledgement of Received Application**

ACF will provide acknowledgement of receipt of hard copy application packages submitted via mail or courier services.

Applicants who submit their application packages electronically via <a href="http://www.Grants.gov">http://www.Grants.gov</a> will receive two email acknowledgements from that website:

- 1. Your application has been submitted and provides a Time/Date Stamp. This is considered the official submission time.
- 2. Your application has been validated and provides a Time/Date Stamp. See the previous section on disqualification for failing validation check because of an unregistered Authorized Organization Representative.

An acknowledgement email from the Administration on Children and Families (ACF) indicating that the application has been retrieved and received by ACF will be sent to applicants that apply via <a href="http://www.Grants.gov">http://www.Grants.gov</a>

#### IV.4. Intergovernmental Review of Federal Programs

This program is covered under Executive Order (E.O.) 12372, "Intergovernmental Review of Federal Programs," and 45 CFR Part 100, "Intergovernmental Review of Department of Health and Human Services Programs and Activities." Under the Executive Order, States may design their own processes for reviewing and commenting on proposed Federal assistance under covered programs.

Applicants should go to the following URL for the official list of the jurisdictions that have elected to participate in E.O. 12372 <a href="http://www.whitehouse.gov/omb/grants">http://www.whitehouse.gov/omb/grants</a> <a href="mailto:spoc/">spoc/</a>.

Applicants from participating jurisdictions should contact their SPOC, as soon as possible, to alert them of their prospective applications and to receive instructions on their jurisdiction's procedures. Applicants must submit all required application materials to the SPOC and indicate the date of submission on the Standard Form (SF) 424 at item 19.

Under 45 CFR 100.8(a)(2), a SPOC has 60 days from the application due date to comment on proposed new awards.

SPOC comments may be submitted directly to ACF to: U.S. Department of Health and Human Services, Administration for Children and Families, Office of Grants Management, Division of Discretionary Grants, 370 L'Enfant Promenade SW., 6th Floor East, Washington, DC 20447.

Entities that meet the eligibility requirements of this announcement are still eligible to apply for a grant even if a State, Territory or Commonwealth, etc., does not have a SPOC or has chosen not to participate in the process. Applicants from non-participating jurisdictions need take no action with regard to E.O. 12372. Applications from Federally-recognized Indian Tribal governments are not subject to E.O. 12372.

# IV.5. Funding Restrictions

Costs of organized fund raising, including financial campaigns, endowment drives, solicitation of gifts and bequests, and similar expenses incurred solely to raise capital or obtain contributions, are considered unallowable costs under grants awarded under this announcement.

Grant awards will not allow reimbursement of pre-award costs.

Construction is not an allowable activity or expenditure under this grant award.

Purchase of real property is not an allowable activity or expenditure under this grant award.

#### IV.6. Other Submission Requirements

Submit applications to one of the following addresses:

#### **Submission By Mail**

Office of Head Start
OHS Operations Center
c/o Master Key Consulting
4915 St. Elmo Avenue, Suite 101
Bethesda, MD 20814

#### **Hand Delivery**

Office of Head Start
OHS Operations Center
c/o Master Key Consulting
4915 St. Elmo Avenue, Suite 101
Bethesda, MD 20814

#### **Electronic Submission**

See *Section IV.2* for application requirements and for guidance when submitting applications electronically via <a href="http://www.Grants.gov">http://www.Grants.gov</a>.

For all submissions, see Section IV.3 for information on due dates and times.

# V. Application Review Information

#### V.1. Criteria

Applications competing for financial assistance will be reviewed and evaluated using the criteria described in this section. The corresponding point values indicate the relative importance placed on each review criterion. Points will be allocated based on the extent to which the application proposal addresses each of the criteria listed. Applicants should address these criteria in their application materials, particularly in the project description and budget justification, as they are the basis upon which competing applications will be judged during the objective review. The required elements of the project description and budget justification may be found in *Section IV.2* of this announcement.

#### **Objectives and Need for Assistance**

The evaluation of this criterion will be based on the extent to which the applicant's proposal demonstrates the applicant's:

- Description of each grantee's learning environment; number of teachers, home visitors and family child care providers to be coached;
- Interest in improving the abilities and qualifications of their teaching staff;
- Quality and availability of the supports currently available to teaching staff;
- Diversity of strengths, needs, experience and education of the teaching staff, home visitors, and family child care providers to be coached
- Demonstrated need for assistance;
- Clearly stated attainable objectives for improving the quality of teaching; and
- Specific and measurable outcomes expected for both teaching staff and children. Measurable outcomes should include improved scores in the domain of Instructional Support as measured by the Classroom Assessment Scoring System (CLASS).

Approach Maximum Points: 30

The evaluation of this criterion will be based on the degree to which the applicant's proposal demonstrates the following:

- Knowledge of factors that can interfere with coaching success;
- Knowledge of factors the can facilitate coaching success;
- Knowledge of strategies for individualization;
- Strategies for providing coaching in diverse settings; and
- Strategies for providing logistical support for a successful coaching initiative.

**Maximum Points: 25** 

**Maximum Points: 25** 

The evaluation of this criterion will be based on the degree to which the applicant's proposal demonstrates the following:

- Key staff have demonstrated experience, knowledge, skills and education to support early childhood development and learning, teaching, and mentoring/coaching;
- Backgrounds of coaches is appropriately diverse for the populations they will serve;
- Organization will provide sufficient time for staff to participate in training, discussions and other activities with their Coach; and
- Evaluation criteria for the coaching program including measures of success for staff/coach dyads.

Budget Maximum Points: 20

The evaluation of this criterion will be based on the degree to which the applicant's proposal demonstrates the following:

- Proposed costs must be reasonable, appropriately allocated and sufficient to implement the Early Learning Mentor Coach Project; and
- Proposed costs must be justified based on the needs and resources of the program and the community served.

#### V.2. Review and Selection Process

No grant award will be made under this announcement on the basis of an incomplete application.

#### **Initial ACF Screening**

Each application will be screened to determine whether it was received by the closing date and time and whether the requested amount exceeds the award ceiling. Applications that are designated as late according to *Section IV.3. Submission Dates and Times* or those with requests that exceed the award ceiling, stated in *Section II. Award Information* will be returned to the applicant with a notation that they were deemed non-responsive and will not be reviewed.

#### **Objective Review and Results**

Applications competing for financial assistance will be reviewed and evaluated by objective review panels using the criteria described in *Section V.1* of this announcement. Each panel is made up of experts with knowledge and experience in the area under review. Generally, review panels are composed of three reviewers and one chairperson.

Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding and are one element of the decision-making process.

ACF may elect to not fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part.

Successful applicants may be funded at an amount lower than that requested. ACF reserves the right to consider a preference to fund organizations serving emerging, unserved, or under-served populations, including those located in pockets of poverty, and to consider the geographic distribution of Federal funds in its funding decisions.

ACF reserves the right to deny funding to any applicant that is presently designated as "high risk," or has received a summary suspension of financial assistance under Section 646 if the Head Start Act (42 U.S.C. 9841), or has been debarred, or whose financial assistance has been terminated by any Federal agency. ACF may elect not to fund applicants that have management or financial problems that make it unlikely the applicant would be able to provide effective services.

## **Approved but Unfunded Applications**

Applications that are approved but unfunded may be held over for funding in the next funding cycle, pending the availability of funds, for a period not to exceed one year.

# V.3. Anticipated Announcement and Award Dates

## VI. Award Administration Information

#### VI.1. Award Notices

Successful applicants will be notified through the issuance of a Financial Assistance Award (FAA) document that sets forth the amount of funds granted, the terms and conditions of the grant, the effective date of the grant, the budget period for which initial support will be given, the non-Federal share to be provided (if applicable), and the total project period for which support is contemplated. The FAA will be signed by the Grants Officer and transmitted via postal mail. Following the finalization of funding decisions, organizations whose applications will not be funded will be notified by letter, signed by the Program Office head.

#### VI.2. Administrative and National Policy Requirements

Awards issued under this announcement are subject to the uniform administrative requirements and cost principles of 45 CFR Part 74 (Awards And Subawards To Institutions Of Higher Education, Hospitals, Other Nonprofit Organizations, And Commercial Organizations), or 45 CFR Part 92 (Grants And Cooperative Agreements To State, Local, And Tribal Governments).

An application funded with the release of Federal funds through a grant award, does not constitute, or imply, compliance with Federal regulations. Funded organizations are responsible for ensuring that their activities comply with all applicable Federal regulations.

Grantees are subject to the limitations set forth in 45 CFR Part 74, Subpart E-Special Provisions for Awards to Commercial Organizations (45 CFR §74.81\_Prohibition against profit), which states that, "... no HHS funds may be paid as profit to any recipient even if the recipient is a commercial organization. Profit is any amount in excess of allowable direct and indirect costs."

Grantees are also subject to the requirements of 45 CFR Part 87, Equal Treatment for Faith-Based Organizations: "Direct Federal grants, sub-award funds, or contracts under this ACF program shall not be

used to support inherently religious activities such as religious instruction, worship, or proselytization. Therefore, organizations must take steps to separate, in time or location, their inherently religious activities from the services funded under this program. Regulations pertaining to the Equal Treatment for Faith-Based Organizations, which includes the prohibition against Federal funding of inherently religious activities, can be found at the HHS web site at: <a href="http://www.hhs.gov/fbci/waisgate21.pdf">http://www.hhs.gov/fbci/waisgate21.pdf</a>.

A faith-based organization receiving HHS funds retains its independence from Federal, State, and local governments, and may continue to carry out its mission, including the definition, practice, and expression of its religious beliefs. For example, a faith-based organization may use space in its facilities to provide secular programs or services funded with Federal funds without removing religious art, icons, scriptures, or other religious symbols. In addition, a faith-based organization that receives Federal funds retains its authority over its internal governance, and it may retain religious terms in its organization's name, select its board members on a religious basis, and include religious references in its organization's mission statements and other governing documents in accordance with all program requirements, statutes, and other applicable requirements governing the conduct of HHS funded activities." Additional information on "Understanding the Regulations Related to the Faith-Based and Community Initiative" can be found at: <a href="http://www.hhs.gov/fbci/regulations/index.html">http://www.hhs.gov/fbci/regulations/index.html</a>.

The Code of Federal Regulations (CFR) is available at <a href="http://www.gpoaccess.gov/CFR/">http://www.gpoaccess.gov/CFR/</a>.

#### Award Term and Condition for Trafficking in Persons

Awards issued under this announcement are subject to the requirements of Section 106 (g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104). For the full text of the award term, go to <a href="http://www.acf.hhs.gov/grants/award\_term.html">http://www.acf.hhs.gov/grants/award\_term.html</a>. If you are unable to access this link, please contact the Grants Management Contact identified in Section VII. Agency Contacts of this announcement to obtain a copy of the Term.

# **HHS Grants Policy Statement**

The HHS Grants Policy Statement (HHS GPS) is the Department of Health and Human Services' single policy guide for discretionary grants and cooperative agreements. ACF grant awards are subject to the requirements of the HHS GPS, which covers basic grants processes, standard terms and conditions, and points of contact, as well as important agency-specific requirements. Appendices to the HHS GPS include a glossary of terms and a list of standard abbreviations for ease of reference. The general terms and conditions in the HHS GPS will apply as indicated unless there are statutory, regulatory, or award-specific requirements to the contrary that are specified in the Financial Assistance Award (FAA). The HHS GPS is available at <a href="http://www.acf.hhs.gov/grants/grants/grants/related.html">http://www.acf.hhs.gov/grants/grants/related.html</a>.

#### VI.3. Reporting

Grantees under this announcement will be required to submit performance progress and financial reports periodically throughout the project period. The frequency of required reporting is listed later in this section.

In FY 2009, most ACF grantees began using a standard form for required performance progress reporting (PPR). Use of the new standard form, the ACF-OGM SF-PPR, began for new awards and continuation awards made by ACF in FY 2009. At a minimum, grantees are required to submit the ACF-OGM SF-PPR, which consists of the ACF-OGM SF-PPR Cover Page and the Program Indicators-Attachment B. ACF Programs that utilize reporting forms or formats in addition to, or instead of, the ACF-OGM SF-PPR have listed the reporting requirements later in this section.

Grant award documents will inform grantees of the appropriate performance progress report form or format to use beginning in FY 2009. Grantees should consult their award documents to determine the appropriate performance progress report format required under their award.

Grantees will continue to use the Financial Status Report (FSR) SF-269 (long form) for required financial reporting.

Performance progress and financial reports are due 30 days after the end of the reporting period. Final program performance and financial reports are due 90 days after the close of the project period. Final reports may be submitted in hard copy to the Grants Management Office Contact listed in *Section VII. Agency Contacts* of this announcement.

The SF-269 (long form) and the ACF-OGM-SF-PPR may be found at <a href="http://www.acf.hhs.gov/grants/grants">http://www.acf.hhs.gov/grants/grants</a> resources.html.

Program Progress Reports: Semi-Annually Financial Reports: Semi-Annually

## VII. Agency Contacts

# **Program Office Contact**

Patricia McMahon Administration for Children and Families Office of Head Start Portals Building 1250 Maryland Ave SW WASHINGTON, DC 20024

Phone: (866) 796-1591 Email: OHS@luxcg.com

# **Office of Grants Management Contact**

David Kadan
ACF Grants Management Officer
Office of Grants Management
Administration for Children and Families
370 L'Enfant Promenade, SW
Aerospace Building - 6th Floor East
Washington, DC 20447

Washington, DC 2044 / Phone: (202) 401-5513

Email: <u>ACFOGME-Grants@acf.hhs.gov</u>

#### **Federal Relay Service:**

Hearing-impaired and speech-impaired callers may contact the Federal Relay Service for assistance at 1-800-877-8339 (TTY - Text Telephone or ASCII - American Standard Code For Information Interchange).

#### VIII. Other Information

#### **Reference Websites**

U.S. Department of Health and Human Services (HHS) on the Internet <a href="http://www.hhs.gov/">http://www.hhs.gov/</a>

Administration for Children and Families (ACF) on the Internet <a href="http://www.acf.hhs.gov/">http://www.acf.hhs.gov/</a>.

Administration for Children and Families - Funding Opportunities homepage <a href="http://www.acf.hhs.gov/grants/">http://www.acf.hhs.gov/grants/</a>.

Catalog of Federal Domestic Assistance (CFDA) https://www.cfda.gov/.

Code of Federal Regulations (C.F.R.) <a href="http://www.gpoaccess.gov/cfr/index.html">http://www.gpoaccess.gov/cfr/index.html</a>

United States Code (U.S.C) http://www.gpoaccess.gov/uscode/

Sign up to receive notification of ACF Funding Opportunities at www. Grants.gov http://www.grants.gov/applicants/email\_subscription.jsp

#### Checklist

All required Standard Forms, assurances, and certifications are available on the ACF Forms page at <a href="http://www.acf.hhs.gov/grants/grants-resources.html">http://www.acf.hhs.gov/grants/grants-resources.html</a> and on the Grants.gov Forms Repository webpage at <a href="http://apply07.grants.gov/apply/FormLinks?family=15">http://apply07.grants.gov/apply/FormLinks?family=15</a>.

Versions of other Standard Forms (SFs) are available on the Office of Management and Budget (OMB) Grants Management Forms web site at <a href="http://www.whitehouse.gov/omb/grants">http://www.whitehouse.gov/omb/grants</a> forms/.

For information regarding accessibility issues, visit the Grants.gov Accessibility Compliance Page at <a href="http://www07.grants.gov/aboutgrants/accessibility">http://www07.grants.gov/aboutgrants/accessibility</a> compliance.jsp

What to Submit	Where Found	When to Submit
SF-424 - Application for Federal Assistance SF-P/PSL - Project/Performance Site Location(s)	Referenced in Section IV.2 and found at <a href="http://www.acf.hhs.gov/grants/grants">http://www.acf.hhs.gov/grants/grants</a> resources.html and at the Grants.gov Forms Repository at <a href="http://apply07.grants.gov/apply/FormLinks?family=15">http://apply07.grants.gov/apply/FormLinks?family=15</a> .	Submission due by application due date found in Overview and Section IV.3.

SF-424A - Budget Information - Non-Construction Programs SF-424B - Assurances - Non-Construction Programs	Referenced in Section IV.2 and found at <a href="http://www.acf.hhs.gov/grants/grants">http://www.acf.hhs.gov/grants/grants</a> resources.html.	Submission due by application due date found in Overview and Section IV.3.
Project Summary/Abstract	Referenced in Section IV.2 of the announcement under "Project Description."	Submission due by application due date found in Overview and Section IV.3.
Project Description	Referenced in Section IV.2 of the announcement.	Submission due by application due date found in Overview and Section IV.3.
Budget and Budget Justification	Referenced in Section IV.2 of the announcement under "Project Description."	Submission due by application due date found in Overview and Section IV.3.
Documentation of Commitment of Non-Federal Resources	Referenced in Section IV.2 of the announcement under "Budget and Budget Justification."	Submission due by application due date found in Overview and Section IV.3.
Proof of Non-Profit Status	Referenced in Section IV.2 of the announcement under "Legal Status of Applicant Entity" in the "Project Description."	Submission due by date of award.
Certification Regarding Lobbying	Referenced in Section IV.2 of the announcement and found at <a href="http://www.acf.hhs.gov/grants/grants/grants/resources.html">http://www.acf.hhs.gov/grants/gran</a>	Submission due by date of award.
This program is covered under E.O. 12372, "Intergovernmental Review of Federal Programs," and 45 CFR Part 100, "Intergovernmental Review of Department of Health and Human Services Programs and Activities". Applicants must submit all required application	Applicants should go to the following URL for the official list of the jurisdictions that have elected to participate in E.O. 12372 <a href="http://www.whitehouse.gov/omb/grants_spoc/">http://www.whitehouse.gov/omb/grants_spoc/</a> as indicated in Section IV.4 of this announcement.	Submission due to State Single Point of Contact by the application due date found in Overview and Section IV.3.

materials to the State Single Point of Contact (SPOC) and indicate the date of submission on the Standard Form (SF) 424 at item 19.		
SF-LLL - Disclosure of Lobbying Activities, if applicable	"Disclosure Form to Report Lobbying" is referenced in Section IV.2 and found at <a href="http://www.acf.hhs.gov/grants/grants">http://www.acf.hhs.gov/grants/grants</a> resources.html.  Submission of this form is required if any funds have been paid, or will be paid, to any person for influencing, or attempting to influence, an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan.	Submission due by application due date found in Overview and Section IV.3.

Signature		
Date:		
	Yvette Sanchez Fuentes	
	Director	
	Office of Head Start	
Appendices		

#### **Attachment 1**

Standard Terms and Conditions
American Recovery and Reinvestment Act of 2009
Division A Funds
February 26, 2009

# 1. Other Standard Terms and Conditions

All other grant policy terms and conditions contained in applicable Department of Health and Human Services (HHS) Grant Policy Statements apply unless they conflict or are superseded by the following

terms and conditions implementing the American Recovery and Reinvestment Act of 2009 (ARRA) requirements below. Recipients are responsible for contacting their HHS grant/program managers for any needed clarifications.

## 2. Recipient Reporting

Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (P.L. 111-5), including reporting requirements outlined in Section 1512 of the Act. For purposes of reporting, ARRA recipients must report on ARRA sub-recipient (sub-grantee and sub-contractor) activities as specified below.

Not later than 10 days after the end of each calendar quarter, starting with the quarter ending December 31, 2010 and reporting by January 10, 2011, the recipient must submit quarterly reports to HHS that will posted to Recovery.gov, containing the following information:

•

- a. The total amount of ARRA funds under this award;
- b. The amount of ARRA funds received under this award that were obligated and expended to projects or activities;
- c. The amount of unobligated award balances;
- d. A detailed list of all projects or activities for which ARRA funds under this award were obligated and expended, including
  - The name of the project or activity;
  - A description of the project or activity;
  - An evaluation of the completion status of the project or activity;
  - An estimate of the number of jobs created and the number of jobs retained by the project or activity; and
  - For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and the name of the person to contact at the agency if there are concerns with the infrastructure investment.
- e. Detailed information on any sub-awards (sub-contracts or sub-grants) made by the grant recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (P.L. 109-282).

For any sub-award equal to or larger than \$25,000, the following information:

- The name of the entity receiving the sub-award;
- The amount of the sub-award:
- The transaction type;
- The North American Industry Classification System code or Catalog of Federal Domestic Assistance (CFDA) number;
- Program source;
- An award title descriptive of the purpose of each funding action;
- The location of the entity receiving the award;
- The primary location of performance under the award, including the city, State, congressional district, and country; and

- A unique identifier of the entity receiving the award and of the parent entity of the recipient, should the entity be owned by another entity.
- f. All sub-awards less than \$25,000 or to individuals may be reported in the aggregate, as prescribed by HHS.
- g. Recipients must account for each ARRA award and sub-award (sub-grant and sub-contract) separately. Recipients will draw down ARRA funds on an award-specific basis. Pooling of ARRA award funds with other funds for drawdown or other purposes is not permitted.
- h. Recipients must account for each ARRA award separately by referencing the assigned CFDA number for each award.

The definition of terms and data elements, as well as any specific instructions for reporting, including required formats, will be provided in subsequent guidance issued by HHS.

# 3. Buy American - Use of American Iron, Steel, and Manufactured Goods

Recipients may not use any funds obligated under this award for the construction, alteration, maintenance, or repair of a public building or public work unless all of the iron, steel, and manufactured goods used in the project are produced in the United States or unless HHS waives the application of this provision. (ARRA Sec. 1605)

# 4. Wage Rate Requirements

[This term and condition shall not apply to tribal contracts entered into by the Indian Health Service funded with this appropriation. (ARRA Title VII-Interior, Environment, and Related Agencies, Department of Health and Human Services, Indian Health Facilities)]
Subject to further clarification issued by the Office of Management and Budget, and notwithstanding any other provision of law and in a manner consistent with other provisions of ARRA, all laborers and mechanics employed by contractors and subcontractors on projects funded directly by or assisted in whole or in part by and through the Federal Government pursuant to this award shall be paid wages at rates not less than those prevailing on projects of a character similar in the locality as determined by the Secretary of Labor in accordance with subchapter IV of chapter 31 of title 40, United States Code. With respect to the labor standards specified in this section, the Secretary of Labor shall have the authority and functions set forth in Reorganization Plan Numbered 14 of 1950 (64 Stat. 1267; 5 U.S.C. App.) and section 3145 of title 40, United States Code. (ARRA Sec. 1606)

## 5. Preference for Quick Start Activities (ARRA)

In using funds for this award for infrastructure investment, recipients shall give preference to activities that can be started and completed expeditiously, including a goal of using at least 50 percent of the funds for activities that can be initiated not later than 120 days after the date of the enactment of ARRA. Recipients shall also use grant funds in a manner that maximizes job creation and economic benefit. (ARRA Sec. 1602)

#### 6. Limit on Funds (ARRA)

None of the funds appropriated or otherwise made available in ARRA may be used by any State or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. (ARRA Sec. 1604)

#### 7. Disclosure of Fraud or Misconduct

Each recipient or sub-recipient awarded funds made available under the ARRA shall promptly refer to the HHS Office of Inspector General any credible evidence that a principal, employee, agent, contractor, sub-recipient, subcontractor, or other person has submitted a false claim under the False Claims Act or has committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving those funds. The HHS Office of Inspector General can be reached athttp://www.oig.hhs.gov/fraud/hotline/

#### 8. Schedule of Expenditures of Federal Awards

Recipients agree to separately identify the expenditures for each grant award funded under ARRA on the Schedule of Expenditures of Federal Awards (SEFA) and the Data Collection Form (SF-SAC) required by Office of Management and Budget Circular A-133, "Audits of States, Local Governments, and Nonprofit Organizations." This identification on the SEFA and SF-SAC shall include the Federal award number, the Catalog of Federal Domestic Assistance (CFDA) number, and amount such that separate accountability and disclosure is provided for ARRA funds by Federal award number consistent with the recipient reports required by ARRA Section 1512(c). (2 C.F.R. 215.26, 45 C.F.R. 74.26, and 45 C.F.R. 92.26)

## 9. Responsibilities for Informing Sub-recipients

Recipients agree to separately identify to each sub-recipient, and document at the time of sub-award and at the time of disbursement of funds, the Federal award number, any special CFDA number assigned for ARRA purposes, and amount of ARRA funds. (2 C.F.R. 215.26, 45 C.F.R. 74.26, and 45 C.F.R. 92.26)