

U.S. DEPARTMENT OF COMMERCE Economics and Statistics Administration U.S. CENSUS BUREAU

FORM

SBO-1 (08-26-2011) Draft 1

2012 SURVEY OF BUSINESS OWNERS AND SELF-EMPLOYED PERSONS

OMB No. 0607-0943: Approval Expires xx/xx/xxxx

DUE DATE 30 days after receipt of form

Mail your completed form to:

U.S. CENSUS BUREAU 1201 East 10th Street Jeffersonville, IN 47132-0001

- OR -

Report online at:

www.census.gov/econhelp/sbo

Need help or have questions about completing this form?

Visit www.census.gov/econhelp

Call 1-888-824-9954, between 8 a.m. and 6 p.m., Eastern time, Monday through Friday.

- OR -

Write to the address above. Include your 11-digit Census File Number (CFN) printed in the mailing address.

(Please correct any errors in this mailing address.)

YOUR RESPONSE IS REQUIRED BY LAW. Title 13, United States Code, requires businesses and other organizations that receive this questionnaire to answer the questions and return the report to the U.S. Census Bureau. By the same law, **YOUR CENSUS REPORT IS CONFIDENTIAL.** It may be seen only by persons sworn to uphold the confidentiality of Census Bureau information and may be used only for statistical purposes. Further, copies retained in respondents' files are immune from legal process.

Start Here

The Census Bureau is responsible for collecting information on the U.S. economy.

- The data that you provide will be combined with the responses from other businesses and business owners.
- Survey results will contain information on the demographic and economic composition of businesses in the United States.
- Your response is important, and we keep your answers confidential.

This form asks for two types of information:

- specific information about the business
- information about the principal business owners

INSTRUCTIONS

Please read the enclosed insert before answering the questions.

- Use blue or black ink.
- Place an "X" inside the box.
- Center numbers in boxes.
- Do not put slashes through 0 or 7.

|--|

Please PR is filling o Name			st name	of the person	who
Include to contact yo				number so w	ve can
MM	DD	YY	ΥΥ		
Area co	de	N	lumber		
	- [-		
Extension	on				1

Please turn to the next page to continue.



Please answer the following questions for the self-employment or business activity of the person(s) or business named in the mailing label even if the business has since been sold, reorganized, or discontinued.

You may use **estimates** if this form requests information that is not available in your business records.

An enclosure with answers to the most frequently asked questions regarding this survey has been provided.

0	In 2012, did another company or organization own more than 50% of this business?	C. As of December 31, 2012, how many owners were there in this business?
	Yes - Go to 65 on Page 7 No	 Do not combine two or more owners to create one owner. Count spouses and partners as separate owners.
2	In 2012, did employees under an Employee Stock Ownership Plan (ESOP) own more than 50% of this business?	1
	Yes - Go to 65 on Page 7 No	2
3	In 2012, did members in a cooperative or club own more than 50% of this business?	□ 3 □ 50 or more □ 4 □ Unknown
	Yes - Go to 65 on Page 7 No	D. For the person(s) owning the largest percentage(s) in this business in 2012, please list the percentage
4	In 2012, did an estate or trust own more than 50% of this business?	owned by each person and his or her position title.
	Yes - Go to 65 on Page 7 No	 Do not report percentages owned by parent companies, estates, trusts, etc. If more than 4 persons owned this business equally,
5	In 2012, did an Alaska Native Regional or Village Corporation or an American Indian tribal entity own more than 50% of this business?	select any 4. • Round percentages to whole numbers. For example, report 1/3 ownership (33.3%) as:
	Yes - Go to 65 on Page 7 No	3 3 . 0 %
6	In 2012, was this business a nonprofit organization?	Position Title
7	☐ Yes - Go to 65 on Page 7 ☐ No In 2012, was this business a publicly held corporation?	Percentage Owned (Estimates are acceptable) Percentage Owned (Example: sole owner, co-owner, shareholder, president, vice president, etc.)
	Yes No	
8	In 2012, did any individual own 10% or more of the	Owner 1: . 0 %
	rights, claims, interests, or stock in this business?	2 9/
	Yes No - Go to 65 on Page 7	Owner 2: . 0 %
9	A. In 2012, was this business jointly owned by a husband and wife?	Owner 3: . 0 %
	Yes, equally operated by husband and wife	
	Yes, but primarily operated by husband	Owner 4: . 0 %
	Yes, but primarily operated by wife No	
	B. In 2012, did two or more members of the same family own the majority of this business? (Family refers to spouses, parents/guardians, children, siblings, or close relatives.)	
	☐ Yes ☐ No	

	0	4			19	Was	Owner 1 born in the	United States?
	U	wner 1					Yes	□ No
		answer the following q	ues	tions about Owner 1	13	NOT	ΓE: Please answer	BOTH Question 20 about
				manahin of this husiness?		_		uestion 21 about race. For
W	HOW	did Owner 1 initially acquir	re ov	·			wner 1 Spanish/Hispa	origins are not races. nic/Latino?
		Founded		Inherited Received transfer of				_atino, or Spanish origin
		Purchased		ownership/gift				
T	Whe	n did Owner 1 acquire own	ershi	p of this business?			Yes, Mexican, Mexica	an Am., Chicano
		Before 1984		2010			Yes, Puerto Rican	
		1985 – 1994		2011			Yes, Cuban	
		1995 – 2004		2012			origin, for example, A	c, Latino, or Spanish origin - <i>Print</i> Argentinean, Colombian, Dominican, ran, Spaniard, and so on. ▼
		2005 – 2009		Don't know			ivicaraguari, Sarvador	an, Spaniaru, anu so on.
12	In 20 funct	12, which of the following bion(s) in this business? Mar	est r	epresents Owner 1's all that apply.	21 \	What	t is Owner 1's race? I	Wark X one or more races.
		Providing services and/or producing		Financial control with the authority to sign			White	
		goods		loans, leases, and contracts		П	Black, African Am., o	r Nearo
		Managing day-to-day operations		None of the above			·	Naska Native - <i>Print name of</i>
13	In 20	12, what was the average number 1 spent managing or wo	umbe rking	er of hours per week that			enrolled or principal	
		None		40 hours				
							Asian Indian	Japanese
	Ш	Less than 20 hours	Ш	41 – 59 hours			Chinese	Korean
		20 – 39 hours		60 hours or more			Filipino	Vietnamese
14	In 20 of pe	12, did this business providersonal income?	е О и	<i>rner 1's</i> primary source			Other Asian - Print ra	nce, for example, Hmong, Laotian, podian, and so on.
		Yes		No				
T	Prior had (to establishing, purchasing, Owner 1 ever owned a busi	or a	cquiring this business, or been self-employed?			Native Hawaiian	,
		Yes		No			Guamanian or Chame	orro
		to establishing, purchasing, was the highest degree or l				П	Samoan	
	comp	oleted? Mark × ONE box o oleted or degree received.						- Print race, for example, Fijian,
		Less than high school graduate		Associate Degree			Tongan, and so on.	Z
		High school graduate - Diploma or GED		Bachelor's Degree				
		Technical, trade, or vocational school		Master's, Doctorate, or Professional Degree			Some other race - Pro	int race
		Some college, but no degree			22	A. Is	S Owner 1 a veteran o	of any branch of the U.S. military
1	What	t is the sex of Owner 1 ?					ervice including the Co	
		Male		Female			Yes	No - Go to 23
18	What	was the age of <i>Owner 1</i> as	s of I	December 31, 2012?	ı			disabled as the result of injury during active military service?
		Under 25		45 – 54			Yes	No
		25 – 34		55 – 64	23	Was	more than 1 owner lis	sted in 9 D on Page 2?
		35 – 44		65 or over			Yes	No - Go to 65 on Page 7

	0	wner 2			33 v	Vas	Owner 2 born in the United States?	
	_	wiiei z					Yes	
		answer the following q	ues	tions about Owner 2	r R	NO1	TE: Please answer BOTH Question	on 34 about
		n 9 D on Page 2.					panic origin and Question 35 a	
24	How	did Owner 2 initially acquir	re ov	vnership of this business?			s survey, Hispanic origins are no	t races.
		Founded		Inherited	34 1	s O ı	wner 2 Spanish/Hispanic/Latino?	
		Purchased		Received transfer of ownership/gift			No, not of Hispanic, Latino, or Spanish	n origin
25	Whe	n did Owner 2 acquire own	ersh	ip of this business?			Yes, Mexican, Mexican Am., Chicano	
		Before 1984		2010			Yes, Puerto Rican	
		1985 – 1994		2011			Yes, Cuban	
		1995 – 2004		2012			Yes, another Hispanic, Latino, or Span origin, for example, Argentinean, Colo	ombian, Dominican,
		2005 – 2009		Don't know			Nicaraguan, Salvadoran, Spaniard, an	d so on. ∡
26	In 20 funct	112, which of the following b tion(s) in this business? Mai	est r	epresents Owner 2's all that apply.	35 V	Vha	t is Owner 2's race? Mark X one or n	more races.
		Providing services		Financial control with			White	
		and/or producing goods		the authority to sign loans, leases, and contracts			Black, African Am., or Negro	
		Managing day-to-day operations		None of the above			American Indian or Alaska Native - Pri	int name of
27		112, what was the average nerestage nerestage of the second managing or wo					enrolled or principal tribe. 屖	
		None		40 hours			Asian Indian Japanese	
		Less than 20 hours		41 – 59 hours			Chinese	
		20 – 39 hours		60 hours or more			Filipino Vietnamese	0
28		112, did this business provid	e O v	vner 2's primary source			Other Asian - <i>Print race, for example,</i>	
	of pe	ersonal income?				Ш	Thai, Pakistani, Cambodian, and so on	
	Ш	Yes	Ш	No				
29	Prior had	to establishing, purchasing, Owner 2 ever owned a bus	or a	acquiring this business, s or been self-employed?			Native Hawaiian	
		Yes		No			Guamanian or Chamorro	
30		to establishing, purchasing, was the highest degree or					Samoan	
	com	pleted? Mark × ONE box o pleted or degree received.	nly	for the highest level			Other Pacific Islander - <i>Print race, for a</i>	example, Fijian,
		Less than high school graduate		Associate Degree			Tongan, and so on.	
		High school graduate - Diploma or GED		Bachelor's Degree			Compare the manage Bright manage	
		Technical, trade, or vocational school		Master's, Doctorate, or Professional Degree			Some other race - Print race	
		Some college, but no degree			36 A		s <i>Owner 2</i> a veteran of any branch of t	he U.S. military
31	Wha	t is the sex of Owner 2?				S	service including the Coast Guard?	
		Male		Female			Yes No - G	o to 37
32	Wha	t was the age of <i>Owner 2</i> a	s of	December 31, 2012?	E		If Yes) Was Owner 2 disabled as the rencurred or aggravated during active mil	
		Under 25		45 – 54			Yes No	
		25 – 34		55 – 64	37 v	Vas	more than 2 owner listed in 9 D on	Page 2?
		35 – 44		65 or over			Yes No - Go to	65 on Page 7

	0				47 \	Nas	Owner 3 born in the	Unite	ed States?
	<u>U</u>	wner 3					Yes		No
		answer the following q n 9 D on Page 2.	ues	tions about Owner 3	rg I	TON	TE: Please answer	вот	TH Question 48 about
38			* 0 01	unarabin of this business?		_			tion 49 about race. For
90	пом	did Owner 3 initially acquire	re ov	Inherited			survey, Hispanic wner 3 Spanish/Hispa	_	
		Founded		Received transfer of			No, not of Hispanic,		
	Ш	Purchased	Ш	ownership/gift					
39	Whe	n did <i>Owner 3</i> acquire own	ershi	p of this business?			Yes, Mexican, Mexica	an An	n., Chicano
		Before 1984		2010			Yes, Puerto Rican		
		1985 – 1994		2011			Yes, Cuban		
		1995 – 2004		2012			origin, for example, i	Arger	ino, or Spanish origin - Print ntinean, Colombian, Dominican,
		2005 – 2009		Don't know			Nicaraguari, Salvado	iaii, c	Spaniard, and so on. 📝
40	In 20	112, which of the following b	est r	epresents Owner 3's all that apply.	49 \	Nha	t is Owner 3's race?	Mark	X one or more races.
		Providing services		Financial control with			White		
		and/or producing goods		the authority to sign loans, leases, and contracts		П	Black, African Am., o	. Noc	
		Managing day-to-day		None of the above				·	Native - Print name of
41	In 20	operations 112, what was the average name of the second of	umbe rking	er of hours per week that in this business?			enrolled or principal		
		None		40 hours			Asian Indian		Japanese
		Less than 20 hours		41 – 59 hours		П	Chinese		Korean
		20 – 39 hours		60 hours or more				H	Korean
42	In 20	112, did this business provid	е О и	vner 3's primary source			Filipino Other Asian Brint re	L f	Vietnamese
	of pe	ersonal income?					Thai, Pakistani, Camb		or example, Hmong, Laotian, n, and so on. 🍃
6	<u> </u>	Yes	Ш	No					
43		to establishing, purchasing, Owner 3 ever owned a bus					Native Hawaiian		
		Yes		No			Guamanian or Cham	orro	
44	what	to establishing, purchasing, was the highest degree or pleted? Mark × ONE box o	level	of school Owner 3			Samoan		
	com	pleted or degree received. Less than high					Other Pacific Islander Tongan, and so on.		nt race, for example, Fijian,
		school graduate		Associate Degree					
	Ш	High school graduate - Diploma or GED	Ш	Bachelor's Degree			Some other race - Pr	int ra	ce √
		Technical, trade, or vocational school		Master's, Doctorate, or Professional Degree			Come office race 77	iii ia	
		Some college, but no degree			50	∆ . Is	Owner 3 a veteran o	of any	branch of the U.S. military
45	Wha	t is the sex of <i>Owner 3</i> ?			O .		ervice including the C		
		Male		Female			Yes		No - Go to 51
46	Wha	t was the age of <i>Owner 3</i> a	s of	December 31, 2012?	E				led as the result of injury ng active military service?
		Under 25		45 – 54			Yes		No
		25 – 34		55 – 64	51 \	Nas	more than 3 owner li	sted i	n 9 D on Page 2?
		35 – 44		65 or over			Yes		No - Go to 65 on Page 7



	0	wner 4			61 W	Vas	Owner 4 born in the Unite	ed States?			
		wiiei 4			[Yes	No			
		answer the following o	jues	tions about Owner 4	IG N	тог	E: Please answer BOT	TH Question 62 about			
		n 9 D on Page 2.			Hispanic origin and Question 63 about race. For						
52	How	did Owner 4 initially acqui	re ov	vnership of this business?			survey, Hispanic origi				
		Founded		Inherited	62 Is	6 O v	vner 4 Spanish/Hispanic/La				
		Purchased		Received transfer of ownership/gift			No, not of Hispanic, Latino	o, or Spanish origin			
53	Whe	n did Owner 4 acquire owr	ersh	ip of this business?			Yes, Mexican, Mexican An	n., Chicano			
		Before 1984		2010]		Yes, Puerto Rican				
		1985 – 1994		2011	[Yes, Cuban				
		1995 – 2004		2012	[origin, for example, Argen	ino, or Spanish origin - <i>Print</i> tinean, Colombian, Dominican,			
		2005 – 2009		Don't know			Nicaraguan, Salvadoran, S	Spaniard, and so on. 🍃			
54	In 20	112, which of the following bition(s) in this business? Ma l	oest r rk ×	epresents Owner 4's	63 W	Vhat	is Owner 4's race? Mark	X one or more races.			
		Providing services		Financial control with			White				
		and/or producing goods		the authority to sign loans, leases, and contracts	-						
		Managing day-to-day operations		None of the above	L		Black, African Am., or Neg American Indian or Alaska				
55	In 20	112, what was the average n 1er 4 spent managing or wo	umb	er of hours per week that	L		enrolled or principal tribe.				
		None		40 hours	Г						
		Less than 20 hours		41 – 59 hours	L		Asian Indian	Japanese			
		20 – 39 hours		60 hours or more	ا		Chinese	Korean			
56	In 20	112, did this business provid	e O v	vner 4's primary source	l	Ш	Filipino	Vietnamese			
	of pe	ersonal income?		, ooa.oo	[Other Asian - Print race, for Thai, Pakistani, Cambodian	or example, Hmong, Laotian,			
		Yes		No			,	,			
57	Prior had	to establishing, purchasing Owner 4 ever owned a bus	, or a	cquiring this business, or been self-employed?	[Native Hawaiian				
		Yes		No	ſ		Guamanian or Chamorro				
58		to establishing, purchasing was the highest degree or			ı						
	com	pleted? <i>Mark × ONE box o</i>					Samoan				
	com	pleted or degree received. Less than high	П	Associate Degree	[Other Pacific Islander - <i>Pri</i> Tongan, and so on.	nt race, for example, Fijian,			
		school graduate High school graduate -		Ü							
		Diploma or GED Technical, trade, or	H	Bachelor's Degree Master's, Doctorate,	[Some other race - Print ra	ce ₹			
	H	vocational school Some college,		or Professional Degree							
59	Wha	but no degree t is the sex of Owner 4 ?			64 A		Owner 4 a veteran of any ervice including the Coast (branch of the U.S. military Guard?			
•		Male		Female		[Yes	No - Go to 65			
60	Wha	t was the age of Owner 4 a	s of		В		Yes) Was Owner 4 disable				
•		Under 25		45 – 54		in	curred or aggravated durin Yes	No			
		25 – 34		55 – 64			100				
		35 – 44	П	65 or over							
		- - 44		OJ OI OVEI							

Business										In 2012, were any of the following sources used to finance expansion or capital improvement(s) for this business? Mark X all that apply.								
65	In v	vhat y	vear was this b	usine	ess origin	ally esta	ablished?				Personal/family	savir	ngs of owner(s)					
		Bet	ore 1984		2008		2012				Personal/family	asse	ts other than savi	nas d	of owner(s)			
		198	35 – 1994		2009		Don't l	know		П	Personal/family		T. Carlotte					
		199	95 – 2004		2010													
			05 – 2007		2011						Personal/business credit card(s)							
66	^			of Do		21 2012) what wa	o tho		Ш			ederal, state, or l		_			
w		For the owner(s) as of December 31, 2012, what was the source(s) of capital used to start or acquire this business? Mark X all that apply.									or financial insti	itutio	eed business loa n	n troi	n a bank			
		Personal/family savings of owner(s)									Business loan fr	rom a	a bank or financia	l inst	itution			
		П	Personal/fami		_			wner(s)			Business Ioan/ir	nvest	ment from family	/frien	d(s)			
			Personal/fami				zviligo or o				individual, outside	e grou	re capitalist(s) (Ar e for ownership equ p, or business not o	directl	y involved			
		Personal/business credit card(s)									in the overall operation and management of the business.) Business profits and/or assets							
			Business Ioan	from	federal,	state, o	r local			Grants								
			Government-gor financial in	guara	nteed bu	siness lo	oan from a	bank			Other source(s) of capital							
												от са	рітаі					
			Business loan from a bank or financial institution								Don't know							
		Business loan/investment from family/friend(s) Investment by venture capitalist(s) (An early-stage								Ш	Did not have ac	cess	to capital					
		Investment by venture capitalist(s) (An early-stage investment in exchange for ownership equity by an individual, outside group, or business not directly involved in the overall operation and management of the business.)											ake capital impro					
			Grants								In 2012, which of the following types of customers accounted for 10% or more of this business's total sales of goods and/or services? <i>Mark × all that apply.</i>							
		Ш	Other source(s) of capital								Federal government							
			Don't know							State and local government, including school districts, transportation authorities, etc.								
			None needed	– Go	to 67					Other businesses and/or organizations, including distributors of your product(s)								
		total busir	ne owner(s) as amount of cap less? (Capital in of owner(s)).	ital u	sed to st	art or ac	cauire this		distributors of your product(s) Individuals									
			Less than \$5,000										nis business's tota of exports outside					
			\$5,000 - \$9,999								None		5% - 9%		50% - 99%			
			\$10,000 – \$24,999								Less than 1%		10% - 19%		100%			
			\$10,000 - \$24,999 S1,000,000 of more \$25,000 - \$49,999 Don't know								1% - 4%		20% - 49%		Don't know			
	\$50,000 - \$99,999								73	In 20	12, did this busir	ness	establish operatio	ns o	utside the			
67									Unite	ed States? Yes		No						
		Yes	3		No					In 00				_f -				
68	In 2	012,	did this busine	ss op	erate as	a franch	nise?		74	funct	12, did this busir ion and/or serviced States?	ness ce to	outsource or tran a company outsi	ster a	any business e			
		Yes	3		No						Yes		No					
69	In 2	012,	did a franchise	r owr	n more th	nan 50%	of this bu	siness?										
		Ye			No					Ple	ase turn to the	e nex	t page to cont	inue				



75	In 2012 transac	2, in which lang	guage(s custom	s) did this businers? Mark × a	ness (conduct		80	n 2012,	did this business n	nake pui	rchases online?	
		nglish		Hindi/Urdu		Russian			Ye	s	□ No)	
		rabic		talian		Spanish		81 į	n 2012, he activ	did any of the follo	owing ch s? Mark	aracteristics describe X all that apply.	
		Chinese		Japanese		Tagalog			☐ Op	perated less than 40) hours ¡	per week on average	
	□ F	rench	□ k	Korean		Vietname	ese		☐ Op	perated less than 12	2 months	S	
		German		Polish		Other				asonal business (fo	or examp	ole, fireworks sales	
	☐ Greek ☐ Portuguese										y (for ex	ample, event organizer	
76		2, were any of siness?	the foll	owing types of		kers used Yes		□ No	one of the above				
	A. Ful	l-time paid em	ployees	s			82	A. Is th	is business current	ly opera	ting?		
	B. Par	t-time paid em	ployee	s						Yes		No	
	C. Pai	d day laborers						E		o) Did the operatio d below? Mark X a		e for any of the reasons apply.	
	D. Ter	mporary staffin nporary help se	ig obtai ervice.	ined from a						Owner(s) retired		Lack of personal loans/credit	
	ser	sed employees vice or a profe	ssional	employer						Owner(s) decease	ed 🗆	Started another business	
	F. Cor	ntractors, subc	ontract							Operated for a specific or		Sold this business	
	ind	ependent cont side consultan	ractors, its	, or 						one-time event Inadequate cash flow or low sales		Other	
7	In 2012, which of the following employee benefits were paid totally or partly by this business? <i>Mark × all that apply.</i>									Lack of business loans/credit			
	П	lealth insuranc	е					Thar	ık vou	for participati	na in tl	he Survey of Business	
	Contributions to retirement plans, including 401(k), Keogh, etc.									wners and Self	-Emplo	yed Persons.	
	□ P	rofit sharing a	nd/or st	tock options				Please return the completed original questionnaire in the postage-paid envelope. Make sure the barcode above your address shows in the window of the envelope. Please make a photocopy of this form for your records.					
		aid holidays, v	acation	n, and/or sick le	eave			If the envelope has been misplaced, please mail the form to: U.S. Census Bureau					
		lone of the abo						U.S. Census Bureau 1201 East 10th Street Jeffersonville, IN 47132-0001					
78	In 2012	2, did this busir	ness ha	ave a Web site?	•			Rem	arks				
	Y	'es		No									
79	(E-c and terr	commerce sales a l/or services whe	and othe re an or e negotia	s have any e-co er operating recei der is placed by ated over the Into	ipts ar the bu	e sales of g Iyer or pric	goods e and						
		Less than 19	%	No - C	Go to	80							
				this business's ere e-commerc									
		Less than 19	%	20% –	49%								
		1% – 4%		50% –	99%								
		5% – 9%		100%									
		10% – 19%		☐ Don't	know	,							