### 2012 CENSUS OF GOVERNMENTS SURVEY OF PUBLIC PENSIONS State Administered Defined Benefit Plans

OMB No. 0607-0585: Approval Expires 06/30/2014

## **DUE DATE:**

#### **RETURN TO:**

U.S. Census Bureau 1201 East 10th Street Jeffersonville, IN 47132-0001

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In correspondence pertaining to this report, please refer to the User ID below the address box.

<b>REPORT ON LINE:</b> It's fast and secure. Respond
to this survey via the Internet at the following web
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**User ID:** 

Password:

#### **GENERAL INSTRUCTIONS**

**Before filling out this form,** please read carefully each part and all related definitions and instructions. **Note especially:** 

- 1. To complete this form, you will need the Comprehensive Annual Financial Report (CAFR) **and** the most recent actuarial report for the retirement system listed in the mailing address. (Use the annual report if the retirement system does not have a CAFR.)
- 2. Report figures for **Defined Benefit** plans only. Do **not** include Defined Contribution or Healthcare plans in your data.
- 3. If you are including data for **any retirement system(s) administered in addition to the system identified** in the address box above, list retirement system(s) in the Remarks section at the end of the form.
- **4.** Report corporate stocks and bonds at market value, and adhere to Governmental Accounting Standards Board (GASB) guidelines when reporting gains and losses on investments.
- **5.** Report figures relating to all accounts and reserves of your system, including amounts for retirement, disability, survivors, and other benefits, as well as any amounts for administration of the system. Exclude transfers between reserves of the system, and also any loans to system members.
- 6. Do **not** delay reporting to await final figures, if substantially accurate figures can be supplied on a preliminary basis.
- 7. Use a black or blue ball point pen. Do not use pencil or felt-tip pen.



		s – Go to <b>2</b>		No – Enter correct information belo	w		
	Addresse	ee Title or Departr	ment				
	Street 1						
	Street 2						
	City			State Zip Code			
						_	
R	T 1 – RET	TREMENT SYST	ЕМ СО	VERAGE AND ORGANIZATIONAL INFORMATIO	V		
	Which o	ne of the follow	ring be	est describes your retirement system? Mark "X" o	nly one be	ox.	
	A. 🗆			irement are forwarded to a private insurance carrier	·		ır
	Α			$\gamma$ policies for the members of your plan.	us premiu	ma paiu 10	1
	в. 🗆			an belong to the Teachers Insurance and Annuity Ass ninistered supplemental retirement coverage.	sociation (	TIAA) with	out
	<b>c</b> . $\Box$			sability, or survivor benefits are paid directly from the ent to the beneficiary. There is no seperate retirement			ne
R	T 2 – PL <i>A</i>	N INFORMATIO	N FOR	DEFINED BENEFIT PLANS			
	Are new	/ employees cov	ered u	nder this defined benefit plan?			
	☐ Ye:	S		No			
			ed ben	efit plan reported on this form, does this public	retireme	nt svsten	ı offe
	In additi	ion to the define				,	
		ion to the define ed contribution p	olan?				. 00
		d contribution p	olan?	No			
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R	A define  Yes  In addit A poster  Yes  T 3 - ENI	ed contribution page ion to the define mployment health	ed benthcare	efit plan reported on this form, does this public plan?  No  YEAR	MM	DD	YY
R	In addition a poster Yes	ed contribution page ion to the define mployment health is DING DATE OF Forther the retirement sees the retirement.	ed benithcare	efit plan reported on this form, does this public plan?  No  YEAR  's fiscal year end date?		-	
R	In addition a poster Yes  Yes  Yes  Yes  What is	ion to the definemployment health  DING DATE OF Formula the retirement so the retire	ed benthcare	efit plan reported on this form, does this public plan?  No  YEAR  Y's fiscal year end date?	MM	DD	YY



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		Number of		Amount	
	C.	Payees Lump-sum (nonrecurrent)	\$Bil.	<b>during N</b> Mil.	Thou. Dol.
		payments made to survivors of deceased former active members			
PAR	T 5	- RECEIPTS/PAYMENTS FOR DEFINED BENEFIT PLANS			
0	Wh	nat was the amount of receipts during the fiscal year in	dicated in	<b>7</b> ?	
		<b>clude</b> Amounts received from repayment of loans made to memb	ers		
	A.	<b>Employee contributions –</b> Total amounts contributed by all member employees or withheld from their salaries for financing benefits	\$Bil.		ontributions Thou. Dol.
		State employees - From employees of the state government, including employees of state colleges and other state institutions and agencies	X01		
		Local employees - From employees of the counties, cities, local public schools, and other local government agencies	X02		
	В.	<b>Employer (government) contributions –</b> Total amounts received from state and local governments for financial support of your system, including any taxes credited directly to the system.		Contril	Government)
		State government contributions     a. State contributions to own system on behalf of state employees	\$Bil.	Mil.	Thou. Dol.
		b. State contributions to own system on behalf of local employees.	V87		
		c. TOTAL - (Sum of items 1a. through 1b.)	X06		000 000
		Local government contributions – From counties, cities, local public schools, and other local government agencies	. X05		
	C.	Earnings on investments – Interest, dividends, rents, and other earnings on investments.  Exclude  • Recorded profits on investments transactions (report in section E.)  • Recorded losses on investments transactions (report in section E.)  • Other ear			4 Formings
			\$Bil.	<b>Investmen</b> Mil.	Thou. Dol.
		Rentals from the state government	z98		
		2. Interest earnings	Z71		
		3. Dividend earnings	Z72		
		4. Other investment earnings – Specify:			
			Z73		
		5. <b>TOTAL</b> - (Sum of items C1. through C4.)	X08		

Continue with 11 on the next page



	las alsos	receipts							
		ivate gifts						er Receipts	
	• D	onations				\$Bil.	Mil.	Thou.	Dol.
	Specif	iy:							
E.	. Gains	and losses on in	vestments			\$Bil.	Mil.	s and Losse Thou.	Dol.
	1. Re	ealized gains							
	2. Re	ealized losses							
	3. Re	ealized gains/losses	(items E1. – E2.)		Z96 Z91				
	4. U	nrealized net gains	or losses on inve	stments					
) W	Vhat was	the amount of p	ayments during	the fiscal yea	rindica	ited in	<b>7</b> ?		
	xclude								
		nts paid out for pu made to members		ents					
								ayments	
А	. Benef	it payment – Rep	ort annual amoun	nts		\$Bil.	Mil.	Thou.	Dol.
		etirement benefits			Z13				
	2. Di	sability benefits.							
		sability benefits			Z14				
					Z14				
	3. Sı	sability benefits			Z14				
	3. St	sability benefits			Z14				
В	3. So 4. Of 5. To emplo	sability benefits  urvivor benefits  ther benefits  DTAL - (Sum of ite  rawals - Amounts yees, or their survicutions made by ei	ms A1. through As paid to employe vors, representing the polygons of the polyg	es, former g return of the period of	Z14 Z15 Z16				
	3. So 4. O	sability benefits  urvivor benefits  ther benefits  DTAL - (Sum of ite  rawals - Amounts yees, or their survi outions made by el mployment, and a	ms A1. through As paid to employe vors, representing the interest on such	es, former g return of the period of	Z14 Z15 Z16				
	3. So 4. O	sability benefits  urvivor benefits  ther benefits  DTAL - (Sum of ite  rawals - Amounts yees, or their survi putions made by el mployment, and a  nistrative expens	ms A1. through As paid to employe vors, representing the interest on such	es, former g return of the period of	Z14 Z15 Z16				
	3. So 4. Of 5. To 6. Withden emplo contril their extension and their extension and the control of the control o	sability benefits  urvivor benefits  ther benefits  DTAL - (Sum of ite  rawals - Amounts yees, or their survi putions made by el mployment, and a  nistrative expens	ms A1. through As paid to employe vors, representing the interest on success	es, former g return of the period of the amounts	Z14 Z15 Z16				
C.	3. So 4. Of 5. To 6. Withdo emplo contril their e 6. Admi Include In	sability benefits  urvivor benefits  ther benefits  DTAL - (Sum of ite  rawals - Amounts yees, or their survi putions made by el mployment, and a  nistrative expens le	ms A1. through As paid to employe vors, representing the inployees during the interest on successions.	es, former g return of the period of the amounts	Z14 Z15 Z16				
C.	3. So 4. Of 5. To 6. Withdo emplo contril their e 6. Admi Include In	sability benefits  urvivor benefits  ther benefits  DTAL - (Sum of ite  rawals - Amounts yees, or their survi outions made by ei mployment, and a  nistrative expens le vestment fees	ms A1. through As paid to employe vors, representing the inployees during the interest on successions.	es, former g return of the period of the amounts	Z14 Z15 Z16				



			\$Bil.	Cash and Short-term Investments Mil. Thou.	Dol.
A.	Ca	sh and short-term investments			
	1.	Cash on hand and demand deposits zss			
	2.	Time or savings deposits z87			
	3.	All other short-term investments			
		a. Repurchase agreements			
		b. Commercial company paper			
		c. Financial company paper			
		d. Bankers acceptances			
		e. Money market mutual funds			
		f. Investments held in trust funds			
		<ul> <li>Include</li> <li>Shares of collective investment funds</li> <li>Short-term investment funds and/or pools</li> <li>Employee benefit trust funds</li></ul>			
		g. <b>TOTAL -</b> (Sum of items A3a. through A3f.)		000 000	
	4.	TOTAL - (Sum of items A1. through A3.) x68			
В.	Fee	deral government securities			
	1.	Federal treasury securities – Obligations of the US Treasury and Federal Financing Bank	Fed \$Bil.	deral Government Securi Mil. Thou.	i <b>ties</b> Dol.
		Include • Short-term notes			
	2.	Federal agency  a. Securities – Bonds and mortgage-backed securities (where applicable) issued by CCC, Export-Import Bank, FHA, GNMA, Postal Service, and TVA. Report directly held mortgages in Section E below x33			
		b. Federally-sponsored agencies - Bonds and mortgage-backed securities (where applicable) issued by FHLB, FHLMC, FNMA, and Farm credit banks			
	3.	TOTAL - (Sum of items B1. through B2.)x30			





C. Corporate bonds, domestic

Include

	J.	Other investments	Other Investments \$Bil. Mil. Thou. Dol.
		1. Real property – Report only directly held property. (Report property held in investment trusts and in pooled or partnership agreements in item G2.) x46	
		<ul><li>Other investments</li><li>Include</li><li>Partnerships</li></ul>	
		State and local government securities	
		Specify: x47	
		<ul> <li>Other securities</li> <li>Include <ul> <li>Shares held in conditional sales contracts</li> <li>Direct loans</li> <li>Foreign currency</li> <li>Foreign governments</li> <li>Derivatives</li> <li>Guaranteed investment contracts</li> <li>Annuities</li> <li>Life insurance</li> <li>Loans to members</li> </ul> </li> </ul>	
		Specify: z83	
		4. <b>TOTAL</b> - (Sum of items G1. through G3.)z82	
			<b>Holdings and Investments</b> \$Bil. Mil. Thou. Dol.
ı	K.	TOTAL - (Sum of totals A. through I.) z81	
PART	7 -	ACTUARIAL INFORMATION FOR DEFINED BENEFIT F	PLANS
10	<b>Wh</b> a	at is the date for your Actuarial Valuation Report?  ort the data for the Actuarial Valuation Report that correspond fiscal year reported in 7?	(MM) (DD) (YYYY)
1 (B)	vvn	at is the amount of funds associated with the Actuar	Actuarial Accrued Liability Amount
ı	A.	Estimate of pension fund's Actuarial Accrued Liability – This value can be obtained from the Schedule of Funding Progress report	\$Bil. Mil. Thou. Dol.
	B.	Membership amounts – Amount of the Actuarial Accrued Liability (AAL) for members and beneficiaries of your pension system	
	В.	Actuarial Accrued Liability (AAL) for members	
	В.	Actuarial Accrued Liability (AAL) for members and beneficiaries of your pension system  1. Active members - Current contributors in contributory systems, or employees in	
	В.	Actuarial Accrued Liability (AAL) for members and beneficiaries of your pension system  1. Active members - Current contributors in contributory systems, or employees in noncontributory systems	



16		at is the amount of gress report.	<u>.                                      </u>							
		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				\$Bil.	Covered Mil.	<b>d Payroll</b> Thou.	Dol.	
					_	12.0				
T		at is the amount of overed Payroll. If o					ad and mark	"X" box belo	w.	e
						\$Bil.	Normal or S Mil.	Service Cos Thou.	o <b>t</b> Dol.	
					_				OR	
		Reported amount	represents To	otal Normal Cost						%
		·	·					_		
18	Are	members require	d to contrib	ute to the Norn	nal Cost o	r Service	Cost?			
		Yes							ercentag	
								Co	overed Pa	
	Ш	No - <i>Go to</i> <b>20</b>							Contribu	
<b>1</b>			overed Pav	roll are member	rs remnired	l to conti	ihute?			
19		No - Go to 20	Covered Pay	roll are member	rs required	l to conti	ribute?			ted
	Wha	at percentage of (							Contribu	ted
19	Wha		f the pensio	n fund's Annua	ıl Required	l contribu	ution (ARC)?	This value of	Contribut	ted
	Wha	at percentage of (	f the pensio	n fund's Annua	ıl Required	l contribu		This value of	Contribut	ted %
	Wha	at percentage of (	f the pensio	n fund's Annua	ıl Required	l contribi An	ution (ARC)?	This value o	can be	ted %
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Management and Budget (OMB) and given the number 0607-0585. Please note the number displayed in the upper right-hand corner of this form. Display of this number confirms that we have approval from OMB to conduct this survey. If this number was not displayed, under the Paperwork Reduction Act, we could not request your participation in this voluntary survey. Information provided on this questionnaire compiled from or customarily provided in public records are exempt from confidential treatment as cited in Title 13, United States Code, Section 9

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Please note that this is a national form that applies to governments with wide differences in the size of their service areas, the amount of population served, and the extent and complexity of their activities. Public reporting burden for this collection of information is estimated to vary from 1.5 hour to 8 hours per response, with an average of 2.5 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: Paperwork Project 0607-0585, U.S. Census Bureau, 4600 Silver Hill Road, AMSD-3K138, Washington, DC 20233. You may e-mail comments to <a href="mailto:Paperwork@census.gov">Paperwork@census.gov</a>; use Paperwork Project 0607-0585 as the subject.