## **Defense Security Service - Industrial Security Survey**

OMB Control No.: (XXXX-XXXXX) Expiration Date: X XXX 20XX

The public reporting burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Department of Defense, Washington Headquarters Services, Executive Services Directorate, Information Management Division, 1155 Defense Pentagon, Washington, DC 20301-1155. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. Note, information provided on this form will be kept private to the extent permitted by law. Information collected is compiled to create an aggregate estimated cost of National Industrial Security Program (NISP) classification-related activities. Only the aggregate data is reported and all individual company information is kept in Defense Security Service (DSS) records.

### PRIVACY ACT ADVISEMENT

Name and email information is requested for the purpose of validating Facility Security Officer (FSO) contact information for DSS records. Any updates to name and email information will be provided to the appropriate DSS Industrial Security Representative (IS Rep). The information provided will become part of DSS records of cleared contractor facility surveys, retrieved by the name of the facility / CAGE Code, not the FSO contact information.

Participation in this survey is voluntary. AUTHORITY: Executive Order (EO) 12829, "National Industrial Security Program".

#### Introduction

How long have you been working in the industrial security field? Please pick one of the answers below.
<ul> <li>Less than one year</li> <li>One year to fewer than five years</li> <li>Five years to fewer than fifteen years</li> <li>Fifteen years to fewer than twenty-five years</li> <li>Twenty-five years or more</li> </ul>

How long have you been working with your current DSS Industrial Security (IS) Representative?  Please pick one of the answers below.
Fewer than 6 months  6 months to fewer than one year  One year to fewer than three years  Three years to fewer than five years  Five years or more  N/A; I have not yet had the opportunity to work with my IS Representative
How frequently do you interact with your DSS IS Representative?  Please pick one of the answers below.
<ul> <li>□ Daily</li> <li>□ Weekly</li> <li>□ Monthly</li> <li>□ Quarterly</li> <li>□ Yearly</li> <li>□ Less than once a year</li> <li>□ N/A; I have not yet had the opportunity to work with my IS Representative</li> </ul>
Does your organization currently use classified information systems?  Please pick one of the answers below.
☐ Yes ☐ No
Does your organization, including branches and subsidiaries, currently have a Foreign Ownership, Control or Influence (FOCI) mitigation plan in place (e.g. Security Control Agreement, Special Security Agreement, and Proxy Agreement)?  Please pick one of the answers below.
☐ Yes ☐ No
Industrial Security Representatives
1. How would you characterize your relationship with your DSS IS Representative?  Please pick one of the answers below.
☐ Collaborative ☐ Corrective

☐ Both									
Comments	• • • • • • • • • • • • • • • • • • • •	*****				•••••	• • • • • • • • • • • • • • • • • • • •	•••••	
2. Please eva Please fill in the a spaces).	aluate you	ur DS e table	S IS R	eprese	ntative:	cles and squ			
	Very Poor	Po		lequate/ leutral	Good	Excellent	N/A		Comments
Quality of Support									
Responsiveness			]						***************************************
Appropriate subject matter expertise									
Professionalism			]						
Openness/ Approachability			]						
3. Please rate Representativ Please mark the o	/e:			e per line	).	e/	DSS IS	,	
			Disagree	Disagre	Neutra		Agree	N/A	Comments
My IS Representativ facility's defense wor	e understands rk (if appropria 	s my ite)							
My IS Representativ engages with manag		acility							
My IS Representative company's line of but		my							
My IS Representative has a thorough understanding of physical security									
My IS Representative understanding of class systems security	e has a thorou ssified informa	gh ition							
My IS Representative explain DSS process	e can clearly es								

My IS Representative control explain NISPOM required										
My IS Representative a NISPOM to my facility in manner		le [								
My IS Representative m suggestions to improve program										
My IS Representative he my security mission	eve [									
4. Please rate the quality of the relationship between DSS and your government customers:  Please fill in the answers in the table below (mark appropriate circles and squares and fill in the blank spaces).										
	Strongly Disagree	Disagre	e '	Adequate/ Neutral	Agree	Strong Agree		N/A	Comments	
My IS Representative understands the needs and concerns of my government customer(s)										
My IS Representative understands my relationship with my government customer(s)										
My IS Representative communicates or collaborates with my government customer(s)										
Overall, I am satisfied with the relationship between DSS and my government customer(s)										

# 6. Please fill in one word or phrase that best describes your DSS IS Representative: Please use blank space to write your answer.

Enter word or phrase:
Please provide any additional comments on your current DSS IS Representative Please use blank space to write your answer.

# **Classified Information Systems**

1. Please evaluate the support you receive for your classified information systems:

Please fill in the answers in the table below (mark appropriate circles and squares and fill in the blank spaces).

	Very Poor	Ро	or	Adequate/ Neutral	Good	Excellent	N/A	Comments
Quality of Support			]					
Responsiveness	ness		]					
Appropriate subject matter expertise								
Professionalism			]					
Openness/ Approachability			]					
Current ability to serve your needs			]					
2. Please proprocess: Please fill in the asspaces).					-	, ,		
	Y	es	ı	No		Com	ments	***************************************
Does the IS accreditation process currently meet your customer's needs?	s [		[					
Is the IS accreditatio process fast enough		J						
Do the accreditation requirements incorporate industry best practices?								
Has the IS accreditation process improved in the past three years?								

3. Overall, how satisfied are you with the guidance and support you receive from your Information Systems Security Professionals (ISSPs)?  Please pick one of the answers below.									
☐ Dissatisfie ☐ Somewha ☐ Neutral ☐ Somewha ☐ Satisfied ☐ N/A	nt Dissatis								
Counterinte	lligence								
1. Please evaluate the counterintelligence support you receive:  Please fill in the answers in the table below (mark appropriate circles and squares and fill in the blank spaces).									
	Very Poor	Poor	Adequate/ Neutral	Good	Excellent	N/A	Comments		
Quality of Support									
Responsiveness									
Appropriate subject matter expertise									
Professionalism									
Openness/ Approachability									
Current ability to serve your needs									
2. Please evaluate DSS' ability to serve your counterintelligence needs: Please fill in the answers in the table below (mark appropriate circles and squares and fill in the blank spaces).									
	Weekly	Monthly	Quarterly	Yearly	Less than once a year	N/A	Comments		
How often do you receive threat information from DSS?									

How often have you requested CI support but not provided service?										
How often have you requested CI support but not been provided service?										
3. Please evaluate: Please fill in the answers in the table below (mark appropriate circles and squares and fill in the blank spaces).										
	Very Poor	Poor	Adequate/ Neutral	Good	Excellent	N/A	Comments			
The quality of the threat information you receive										
Your understanding of the reporting mechanisms										
Your comfort with reporting suspected suspicious activities										
4. Overall, how satisfied are you with the guidance and support you receive from your Field Counterintelligence Specialists?  Please pick one of the answers below.  Dissatisfied Somewhat Dissatisfied Neutral Somewhat Satisfied Satisfied N/A										
5. What do you perceive as the most serious threat to the classified technologies you are working on today?  Please use blank space to write your answer.										
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### **Field Office**

1. Overall, how satisfied are you with the guidance and support you receive from your assigned DSS Field Office?  Please pick one of the answers below.
<ul> <li>□ Dissatisfied</li> <li>□ Somewhat Dissatisfied</li> <li>□ Neutral</li> <li>□ Somewhat Satisfied</li> <li>□ Satisfied</li> <li>□ N/A</li> </ul>
Please provide any additional comments on your field office:  Please use blank space to write your answer.
FOCI Mitigation
1. If you are operating under a FOCI mitigation agreement, how do you view the level of burden that the FOCI mitigation agreement imposes on your cleared work and foreign interests?  Please pick one of the answers below.
☐ Too little ☐ Appropriate ☐ Too much
Comments
2. If you had known the burden of your FOCI mitigation agreement prior to FOCI engagement, would you have done anything differently in the agreement or structuring of the transaction that created the FOCI?  Please pick one of the answers below.

☐ Yes ☐ Maybe ☐ No ☐ N/A; Not sure
3. Do the Outside Directors or Proxy Holders at your company keep you informed of potential FOCI issues facing the company?  Please pick one of the answers below.
☐ Yes ☐ Maybe ☐ No ☐ N/A; Not sure
Comments
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DSS Process & NISPOM Requirements
1. How does the DSS IS representative assess your facility's security posture? Please pick one of the answers below or add your own.
☐ Using a risk-based approach (Based on NISPOM requirements, factoring in the overall operational environment and security risks and threats at my facility)
Using a checklist approach (Based on NISPOM requirements, but only as a checklist that does not factor in the overall operational environment and security risks at my facility)
☐ I do not feel that my IS Representative bases their assessments on NISPOM
requirements  N/A: I have not worked with my IS Representative long enough to understand how they assess my security program
Other
•••••••••••••••••••••••••••••••••••••••

	Very Ineffective	Ineffective	Adequa Neutra		ffective	Very Effective			
In enforcing NISPOM requirements									
In helping your facility ensure physical security									
In helping your facility ensure information systems security									
spaces).	in the answers in the table below (mark appropriate circles and squares and fill in the bi								
Are there any areas o issues where the DSS inspection team focus	S	No	If yes, please explain:						
Are there any areas o issues where the DSS inspection team does not focus enough attention?	3   🗀								
Is the number of interviews conducted appropriate?									
4. Please rate Please fill in the ans	how consiste	nt DSS is il below.	n interpretir	ng NISPON		· · · · · · · · · · · · · · · · · · ·			
	140401	NaiGiy	Someunes	Oiteii	Always	N/A			
My current IS Representative gives r consistent guidance w regard to DSS process and NISPOM requirements	<i>i</i> th								
My current IS Representative is consistent with regard NISPOM interpretation and application when compared to my previc S representatives	י 📗 וי								

Within my DSS Field Office, IS Representatives are consistent with regard to NISPOM interpretation and application									
Within my DSS region, DSS Field Offices are consistent with regard to NISPOM interpretation and application									
Nationally, DSS regions are consistent with regard to NISPOM interpretation and application									
My DSS Field Office Chief resolves inconsistencies in NISPOM interpretation among IS representatives quickly and consistently									
My DSS Regional Director resolves inconsistencies in NISPOM interpretation among field offices quickly and consistently									
Final Thoughts  1. Overall, how satisfied are you with the guidance and support you receive from DSS?  Please pick one of the answers below.									
Dissatisfied Somewhat Dissatisfied Neutral Somewhat Satisfied NA									

2. Please fill in one word or phrase that best describes DSS:  Please use blank space to write your answer.
3. Please describe any techniques or best practices your IS Representative, field office, or region currently employ that DSS should consider implementing agency-wide:  Please use blank space to write your answer.
4. Do you have any other overall suggestions for DSS?  Please use blank space to write your answer.
Do you have any pressing concerns that you would like to report confidentially? Please pick one of the answers below.
☐ Yes ☐ No
Please report your concerns: Please use blank space to write your answer:
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