

# INSTRUCTIONS FOR COMPLETING THE PERM ELIGIBILITY REVIEWS SUMMARY FINDINGS

**Purpose:** The Summary Findings form provides summary case review findings from the review of all cases in the monthly active and negative case samples as well as the payment and case error rates, as appropriate. This form provides comprehensive data for active cases (total and for each of the three stratum) and negative cases (total, denials and terminations).

This form is due by July 1<sup>st</sup> following the fiscal year being measured (i.e. for States completing PERM eligibility reviews for fiscal year 2010, the summary report is due July 1<sup>st</sup>, 2011).

## Line by Line Instructions

### SUMMARY FINDINGS TABLE

#### **Line A: State**

Enter the name of the State participating in the PERM program that is submitting this report. "State" refers to the 50 States and the District of Columbia. The Territories are excluded from the PERM program.

#### **Line B: Date**

Enter the date the Summary Findings form is being submitted to CMS (e.g. July 1, 2008).

#### **Line C: Program**

Enter the program for which the Summary Findings form applies (e.g. Medicaid or CHIP).

#### **Line D: Active**

Enter the total number of active cases equal to the sum of Strata 1, 2 and 3. An active case is a case containing information on beneficiaries who were enrolled in the program on the sample month.

**Stratum 1—Applications:** A case constitutes an "application" for the sampling month if the State took an action to grant eligibility in that month based on a completed application.

Enter the total active cases in Stratum 1, Applications, sampled for the fiscal year.

**Stratum 2—Redeterminations:** A case constitutes a "redetermination" for the sample month if the State took an action to continue eligibility in the sample month based on a completed redetermination.

Enter the total active cases in Stratum 2, Redeterminations, sampled for the fiscal year.

**Stratum 3—All Other Cases:** All other cases (properly included in the universe but do not meet the strata 1 or 2 criteria) that are on the program in the sample month are placed in stratum 3.

Enter the total active cases in Stratum 3, All Other Cases, sampled for the fiscal year.

**Line E: Negative**

A negative case is a case where a beneficiary completed an application for benefits and the State denied the application or who completed the redetermination process but whose program benefits were terminated by the State.

Enter the total number of negative cases; equal to the sum of denials and terminations.

**Denials**—Denials occur when the State rejected a completed application for not meeting categorical and financial eligibility requirements.

Enter the total number of denials sample for the fiscal year.

**Terminations**—Terminations occur when an existing beneficiary no longer meets eligibility requirements and the State took an action to terminate program eligibility.

Enter the total number of terminations sampled for the fiscal year.

**Line F: Totals**

Enter the total number of cases in each column. For example, in column one, enter the total number of cases in the universe. In column two, enter the total number of cases sampled in each stratum of the active cases and total number of cases sampled as denied and terminated for negative cases. In column three, enter the total number of individuals sampled in each stratum of the active cases and total number of cases sampled as denied and terminated for negative cases.

For each row, enter the appropriate numbers in each column as follows:

- **Number of Cases in the Universe Column**  
Enter the number of cases in the universe subject to sampling for the months review throughout the fiscal year. These cells should be left blank in the Denials and Terminations rows because this information is not collected.
- **Number of Cases Sampled Column**  
Enter the number of cases sampled in each of the categories described in the rows.
- **Number of Individuals Sampled Column**  
Enter the number of individuals within the sampled cases in each of the categories in the rows.
- **Number of Cases Correct Column**  
Enter the number of cases deemed to be correct through the PERM eligibility reviews in each of the categories described in the rows.

These should equal the number of case reported on the Detailed Active Case Review Findings forms completed throughout the fiscal year with findings of E-eligible, EI-eligibility with ineligible services, L/O-liability overstated, L/U-liability understated, MCE1—managed care error, ineligible for managed care, or MCE2-eligibile for managed care, but improperly enrolled.

Enter the number of denied and terminated cases found correct (code C for cases correctly denied and terminated) through the negative case action reviews throughout the fiscal year as reported on the Detailed Negative Case Review Findings forms.

- **Number of Cases Incorrect Column**

Enter the number of cases deemed to be incorrect through the PERM eligibility review in each of the categories described in the rows.

These should equal the number of cases reported on the Detailed Active Case Review Findings forms completed throughout the fiscal year with a finding of NE-not eligible.

Enter the number of denied and terminated cases found incorrect through the negative case action reviews throughout the fiscal year as reported on the Detailed Negative Case Review Findings forms (codes ID for improper denials and IT for improper terminations).

- **Number of Cases Undetermined Column**

Enter the number of cases for which the State was unable to determine eligibility in each of the categories described in the rows.

These should equal the number of case reported on the Detailed Active Case Review Findings forms completed throughout the fiscal year with findings of U-undetermined.

The cells should be left blank in the Negative, Denials and Terminations rows because, if no evidence exists to support a denial or termination, the case is cited as an improper denial or termination.

- **Total Dollars Paid Column**

Enter the total dollars paid that corresponds with each of the categories described in the rows.

The cells should be left blank in the Negative, Denials, and Terminations rows because payment reviews are not completed for negative case reviews.

- **Total Dollars Correct Column**

Enter the total dollars paid correctly that corresponds with each of the categories described in the rows.

The cells should be left blank in the Negative, Denials, and Terminations rows because payment reviews are not completed for negative case reviews.

- **Total Dollars in Error Column**

Enter the total dollars paid in error that corresponds with each of the categories described in the rows.

The cells should be left blank in the Negative, Denials, and Terminations rows because payment reviews are not completed for negative case reviews.

- **Total Dollars Undetermined Column**

Enter the total dollars associated with all cases cited as undetermined and corresponds with each of the categories described in the rows.

The cells should be left blank in the in the Negative, Denials, and Terminations rows because payment reviews are not completed for negative case reviews and undetermined cases are not associated with negative case reviews.

- **Number of Cases Dropped from Sample**

Enter the number of cases excluded from the sample due to the acceptable reasons given in the PERM eligibility guidance in each of the categories described in the rows. These should equal the number of dropped cases reported on the monthly Detailed Active Case Review Findings form.

## Payment Error Rate Measurement (PERM) Eligibility Reviews Summary Findings

*Due July 1 following the Federal fiscal year being measured.*

Summary Findings Table

A. State										
B. Date										
C. Program										
	Number of Cases in Universe	Number of Cases Sampled	Number of Individuals Sampled	Number of Cases Correct	Number of Cases Incorrect	Number of Cases Undetermined	Total Dollars Paid	Total Dollars Correct	Total Dollars in Error	Total Dollars Undetermined
D. Active										
Stratum 1										
Stratum 2										
Stratum 3										
E. Negative										
Denials										
Terminations										
F. Totals										

<b>Number of Cases Dropped from Sample</b>	
--	--

I certify that this information is accurate and that the State will maintain the sampled case records used in the calculation of the eligibility error rate for a minimum period of three years from this date. I understand that this information may be subject to Federal review and that our sampled case records are subject to Federal audit.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

State Medicaid or CHIP Director or Designee

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is **0938-1012**. The time required to complete this information collection is estimated to average

**( XX hours) or (XX minutes)** per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.