



PRIVACY THRESHOLD ANALYSIS (PTA)

CONTACT LISTS

**This form is used to determine whether
a Privacy Impact Assessment is required.**

Please use the attached form to determine whether a Privacy Impact Assessment (PIA) is required under the E-Government Act of 2002 and the Homeland Security Act of 2002.

Please complete this form and send it to the DHS Privacy Office:

Rebecca J. Richards
Director of Privacy Compliance
The Privacy Office
U.S. Department of Homeland Security
Washington, DC 20528
Tel: 703-235-0780
Fax: 703-235-0442

PIA@dhs.gov

Upon receipt, the DHS Privacy Office will review this form. If a PIA is required, the DHS Privacy Office will send you a copy of the Official Privacy Impact Assessment Guide and accompanying Template to complete and return.

A copy of the Guide and Template is available on the DHS Privacy Office website, www.dhs.gov/privacy, on DHSOnline and directly from the DHS Privacy Office via email: pia@dhs.gov, phone: 703-235-0780, and fax: 703-235-0442.



PRIVACY THRESHOLD ANALYSIS (PTA)

Please complete this form and send it to the DHS Privacy Office.
Upon receipt, the DHS Privacy Office will review this form
and may request additional information.

SUMMARY INFORMATION

DATE submitted for review: September 17, 2007

NAME of Project: TechSolutions

Name of Component: Science and Technology

Name of Project Manager: Greg Price

Email for Project Manager: gregory.price@dhs.gov

Phone number for Project Manger: 202-254-6720

TYPE of Project:

- Information Technology and/or System***
- A Notice of Proposed Rule Making or a Final Rule.**
- Other:**

* The E-Government Act of 2002 defines these terms by reference to the definition sections of Titles 40 and 44 of the United States Code. The following is a summary of those definitions:

•“Information Technology” means any equipment or interconnected system or subsystem of equipment, used in the automatic acquisition, storage, manipulation, management, movement, control, display, switching, interchange, transmission, or reception of data or information. See 40 U.S.C. § 11101(6).

•“Information System” means a discrete set of information resources organized for the collection, processing, maintenance, use, sharing, dissemination, or disposition of information. See: 44. U.S.C. § 3502(8).

Note, for purposes of this form, there is no distinction made between national security systems or technologies/systems managed by contractors. All technologies/systems should be initially reviewed for potential privacy impact.



SPECIFIC QUESTIONS

1. Describe the project and its purpose:

The TechSolutions application will provide information, resources and technology solutions that address mission capability gaps identified by the emergency response community. The TechSolutions application will be designed to:

- Allow members of the emergency response community to describe capability gaps or technology ideas directly to DHS/S&T through a publicly available Web service.
- Support process workflows whose desired outcome is to enable rapid prototyping of projects directed to meet capability gaps or execute technology ideas; and
- Provide regular updates to the submitting practitioner on the status of their submission, as well as high-level practitioner request status to all emergency responders through the application.

2. Status of Project:

This is a new development effort.

This an existing project.

Date first developed: 9/17/2007

Date last updated:

3. Could the project relate in any way to an individual?*

No. Please skip ahead to question 9.

Yes. Please provide a general description, below.

Individuals may have their name, address, email, phone number, area of expertise

4. What information about individuals could be collected, generated or retained?

* Projects can relate to individuals in a number of ways. For example, a project may include a camera for the purpose of watching a physical location. Individuals may walk past the camera and images of those individuals may be recorded. Projects could also relate to individuals in more subtle ways. For example, a project that is focused on detecting radioactivity levels may be sensitive enough to detect whether an individual received chemotherapy.



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First responders submitting a capability gap and/or creating a profile could have the following information collected and retained:

- First Name
- Last Name
- City
- State
- Zip Code
- Email Address
- Phone number
- Department or organization name
- Area(s) of Expertise:
- Job Title

In addition, approximately 30-40 first responders and first responder subject matter experts who have been selected and have agreed to serve on the TechSolutions Subject Matter Expert Group to perform due diligence and prioritization of submissions could have additional information collected and retained, including:

- Information on work experience as an emergency responder
- Professional Certifications and training information

5. **Is the contact information limited to non-sensitive personally identifiable information? *An example of sensitive personally identifiable information is the social security number or date of birth.***

Yes, the contact information will consist of non-sensitive PII. No SSN or date of birth will be used.



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6. Has an Authority to Operate from the Chief Information Security Officer been granted to the program or project that the document or database which stores the contact information?

Yes.

No.

7. Are program or project user access controls in place governing who may view or access the contact information?

Yes.

No. The contact information must not be universally accessible.

8. Is the contact information only being used for the purpose for which it originally was collected, i.e., to contact individuals?

Yes.

No. Any additional sharing or use will require a separate PIA.

9. Is there a Certification & Accreditation record within OCIO's FISMA tracking system?

Unknown.

No.

Yes. Please indicate the determinations for each of the following:

Confidentiality: Low Moderate High Undefined

Integrity: Low Moderate High Undefined

Availability: Low Moderate High Undefined



PRIVACY THRESHOLD REVIEW

(To be Completed by the DHS Privacy Office)

DATE reviewed by the DHS Privacy Office: March 23, 2009

NAME of the DHS Privacy Office Reviewer: Nathan Coleman

DESIGNATION:

- This is NOT a Privacy Sensitive System – the system contains no Personally Identifiable Information.
- This IS a Privacy Sensitive System

Category of System

- IT System
- National Security System
- Legacy System
- HR System
- Rule
- Other:

Determination

- PTA sufficient at this time
- Privacy compliance documentation determination in progress
- PIA is not required at this time
- A PIA is required
- System covered by existing PIA: Portal PIA
- A new PIA is required.
- A PIA Update is required.
- A SORN is required
- System covered by existing SORN: DHS/ALL-004
- A new SORN is required.

DHS PRIVACY OFFICE COMMENTS