Evaluation of the Demonstration Planning Grant Program

Task Order C-CHI-01031/ GS-10F-0086K

OMB Paperwork Reduction Act Submission for Survey of Section 202 Sponsors

# Telephone Survey of Section 202 DPG Recipients

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Prepared for
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Telephone Survey of Section 202 DPG Recipients

# **Sponsor Survey – DPG Recipients**

Sponsor Survey – DPG Recipients
My name is and I work for Abt Associates, a research company based in Cambridge, MA. We are conducting a study for the US Department of Housing and Urban Development, Office of Policy Development and Research on the Section 202 Demonstration Planning Grant Program. We are interested in learning about all aspects of the DPG program including the application process and grant administration. We are also interested in learning about any issues that may affect Section 202 processing time from fund reservation to initial closing. We have asked to interview you because of your involvement with property. The survey will primarily address your experience with this one specific property.
This survey will take about 45 minutes to complete. Participating in this survey is voluntary and you can refuse to answer any question. When we're finished with this study, we'll write a report on what we learn and provide a copy of the survey dataset to HUD. Neither your name nor your organization's name will be associated with any answers in either the report or the dataset. However, given the unique characteristics of some organizations and properties, it is possible that someone at HUD who has access to the dataset might be able to figure out who provided some answers on the survey. In the published report, the information we obtain from these surveys will be presented only as statistical summaries, so no individual respondents or organizations will be identified.
Your opinions are important to the study and we hope that you agree to participate. The information you provide will help HUD better understand sponsor organizations' perspectives and experiences with respect to the Section 202 and Demonstration Planning Grant programs.
READ IF CONSULTANT IS PARTICIPATING IN SURVEY
Since there is more than one respondent for this survey, we ask that you come to a consensus on the response for each question. If there are disagreements, we will defer to the sponsor representative for the final response.
Do you have any questions before we begin?
Contact Information - Sponsor (Available data pre-loaded in Checkbox prior to survey administration)
Organization:
Respondent Name:
Title:
Address:
Phone:
Email:

#### **Contact Information – Consultant (if applicable)**

Organization:		
Property Information		
Property Name:		
Property Location:		
	DPG Amount:	
Processing Time:	HUD Field Office:	
# of Processing Waivers Granted	# of Fund Amount Waivers Granted	
High Cost Area: Yes/No		
Interviewer Name:		
Date Interview Completed:		

#### **Knowledge of the DPG Program**

First I would like to ask you questions about your experience as it relates to the Section 202 and Demonstration Planning Grant program.

#### **READ IF CONSULTANT IS PARTICIPATING IN SURVEY:**

These questions pertain to the experience of just the sponsor organization. The housing development experience of the consultant is asked about in a subsequent section of the survey.

What type of organization ispart of a larger organization?	? Is your organization local or are you
National	
What is your title/position?	
Describe your affordable housing develop	ment experience:
How many Section 202 applications has y	our organization submitted since fiscal year 2004
Of those, how many Section 202 application	ons resulted in an award?
How many Section 202 applications have	you personally worked on since fiscal year 2004?
Of those, how many Section 202 application	ons resulted in an award?

This survey primarily asks questions about the Demonstration Planning Grant program. With how many Section 202 Demonstration Planning Grant applications have you personally worked?
Of those, how many DPGs were awarded?
How would you rate your overall knowledge of the DPG program? Would you say you are NOT KNOWLEDGEABLE, SOMEWHAT KNOWLEDGEABLE, or VERY KNOWLEDGEABLE?
Not Knowledgeable
Somewhat Knowledgeable
DON'T KNOW / REFUSED

### **Property and Sponsor Characteristics of Recipients**

Section	on 202 pi	everal sections of the survey, we will ask about your experience roperty: located in		
		in fiscal year  ISULTANT IS PARTICIPATING IN SURVEY:		
We u prope surve	nderstar erties wi ey only ta	nd that some consultants have worked with other sponsor o thin the Section 202 and Demonstration Planning Grant pro argets one specific property. Therefore, when responding to ease keep your responses related to just the experience with	grams; ho o the surv	wever, thi ey
11.		operty, did the Section 202 capital advance and rty's entire development cost?	DPG cove	r the
	Yes			
	No			
	DON'	Γ KNOW / REFUSED		
	11a.	IF <b>NO</b> , approximately what percent of total development cost v Section 202 and DPG programs?	vas fundec	d by the
	11b.	IF <b>NO</b> , what other sources of funding were used for the develo	pment of p	property
	INTER	RVIEWER WILL RECORD RESPONSES IN TABLE.		
		SOURCE OF FUNDING	YES	NO
		11b1. HOME		
		11b2. CDBG		
		11b3. Federal Home Loan Bank		
		11b4. Sponsor/Owner funds		
		11b5. Donation of land		
		11b6. Low income housing tax credits		
		11b7. Other State funding (Specify:)		
		11b8. City or County funding		

	11b9. Other (Specify:	_)		
	11b10.Other (Specify:	_)		
	operty, what development tasks did your organi ctors? I will read a list and select all that apply.	zatio	on procure	e outside
Develo	pper			
	isals			
	ect Serviceseering Services			
-	nmental Site Assessment			
Consu	Iltant Services			🗆
	Analysis			
-	t Study			
	ation			_
None .				
DON'T	「KNOW/REFUSE			
	Other – Specify:			
	Other – Specify:			
12b.	Other – Specify:  IF CONSULTANT SERVICES, why did your organization has with this property?			ant to as
12b. 12c.	IF CONSULTANT SERVICES, why did your organization h	nire a	a consulta	
	IF CONSULTANT SERVICES, why did your organization has with this property?  IF CONSULTANT SERVICES, what activities did the consultant services is a service of the consultant services.	ultaı	a consulta	te for thi
	IF CONSULTANT SERVICES, why did your organization havith this property?  IF CONSULTANT SERVICES, what activities did the consuproperty? I will read a list and select all that apply.  Overall project management	ultar	a consulta	te for thi
	IF CONSULTANT SERVICES, why did your organization has with this property?  IF CONSULTANT SERVICES, what activities did the consuproperty? I will read a list and select all that apply.  Overall project management	ultar	a consulta	te for thi
	IF CONSULTANT SERVICES, why did your organization havith this property?  IF CONSULTANT SERVICES, what activities did the consuproperty? I will read a list and select all that apply.  Overall project management	ultar	a consulta	te for thi
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	IF CONSULTANT SERVICES, why did your organization has with this property?  IF CONSULTANT SERVICES, what activities did the consumproperty? I will read a list and select all that apply.  Overall project management	ultar	a consulta	te for thi
	IF CONSULTANT SERVICES, why did your organization havith this property?  IF CONSULTANT SERVICES, what activities did the consuproperty? I will read a list and select all that apply.  Overall project management	ultar	a consulta	te for thi

SOURCE OF FUNDING

	12d.	Were there any other tasks that the consultant completed?
		Other - Specify:
		Other – Specify:
	12e.	Describe the housing development/Section 202 experience of the consultant.
13.	decisio	e receipt of a Demonstration Planning Grant have any effect on your organization's on to hire contractors for this property?
		KNOW / REFUSED
	13a.	IF <b>YES</b> , explain:

#### **Marketing of the DPG Program**

15d. DPG activities during the

15e. DPG grant closeout

initial closing for the

Section 202 property

Demo	nstration	Planning Grant program pri	ior to and during	the DP	G applica	ation pro	cess.	
14.	organi	operty, wh zation about the DPG progra to whether the field office pr	am? I will read a	list of r	esponse	s and pl	ease ans	wer YE
					YES	NO	DK/REF	
	14a.	During the Section 202 ap	plication process	3				
	14b.	With the Section 202 fund letter	reservation awa	rd				
	14c.	At the Section 202 award I	briefing meeting					
	14d.	When the DPG NOFA was	released by HL	JD				
	14e.	Some other time (Specify:		)				
15.	you ap	rould you rate the field office plied for the DPG grant? Pl WHAT KNOWLEDGEABLE	ease rate each a	area as WLEDG Som	either NO	OT KNO		
	15a.	The DPG application process	۵			Į.		
	15b.	The DPG award process				Ţ	_	
	15c.	The DPG administration period after grant award	٥			(	_	

The following questions ask about your organization's interaction with the HUD field office about the

# **DPG Application Process**

y for th		k questions about the DPG application process and why y PG.	our orga		
		id your organization decide to apply for the DPG for propelist of reasons and please answer YES or NO for each or			? I
			YES	NO	DK/REF
16	∂a.	There were no other sources of predevelopment funding before initial closing			
16	ßb.	DPG was needed to speed up time between fund reservation and initial closing			
16	Эc.	The property needed additional funding because it was in a high cost area			
16	6d.	DPG provided greater ability to retain qualified predevelopment contractors (developers, architects, etc)	٥		٥
16	Se.	DPG provided greater ability to retain qualified development consultants			
16	Sf.	Other (Specify:)			
		st Demonstration Planning Grants were awarded in fiscal then, have there been any other Section 202 properties th			
Sindev No Oth Oth	oth ner 2 ner 2 N'T	st Demonstration Planning Grants were awarded in fiscal then, have there been any other Section 202 properties the ped that did not apply for the DPG?  er Section 202 grants awarded to sponsor (SKIP to 18) 202 grants, but did not apply for DPG (ASK 17a AND b.) 202 grants, applied for DPG (ASK 17c)	er proper	rties? I v	ion
No Oth DO	oth ner 2 ner 2 N'T	then, have there been any other Section 202 properties the ped that did not apply for the DPG?  er Section 202 grants awarded to sponsor (SKIP to 18) 202 grants, but did not apply for DPG (ASK 17a AND b.) 202 grants, applied for DPG (ASK 17c)	er properne.	rties? I v	ion
No Oth DO	oth oth ner 2 N'T	then, have there been any other Section 202 properties the ped that did not apply for the DPG?  er Section 202 grants awarded to sponsor (SKIP to 18) 202 grants, but did not apply for DPG (ASK 17a AND b.) 202 grants, applied for DPG (ASK 17c)	er proper	rties? I v	ion
No Oth Oth DO	oth oth ner 2 N'T	then, have there been any other Section 202 properties the ped that did not apply for the DPG?  er Section 202 grants awarded to sponsor (SKIP to 18) 202 grants, but did not apply for DPG (ASK 17a AND b.) 202 grants, applied for DPG (ASK 17c)	er properne.	rties? I v	ion
No Oth Oth DO	oth oth ner 2 N'T	then, have there been any other Section 202 properties the ped that did not apply for the DPG?  er Section 202 grants awarded to sponsor (SKIP to 18) 202 grants, but did not apply for DPG (ASK 17a AND b.) 202 grants, applied for DPG (ASK 17c)	er properne.	rties? I v	ion

17a5. Had lack of knowledge about the DPG program

		YES	NO	DK/REF
17a6.	Had previous negative experience with DPG application process			
17a7.	Had previous negative experience with DPG administration			
17a8.	The timing of the release of the DPG NOFA			
17a9.	Award of DPG requires property to reach initial closing within 18 months			
17a10.	The DPG application is burdensome			
17a11.	The cost of completing the application is too high			
17a12.	Other (Specify:)			
	Of the reasons provided, which had the greatest impact decision not to apply for the DPG?  Had other sources of predevelopment funding before initially property type	processionvithin 18	ngnt	

17c.	Why did your organization apply for t reasons why your organization may helpease answer YES or No for each or the second	nave appli		PG fo		
				YES	NO	DK/R
17c1	. There were no other sources of prede funding before initial closing	velopmen	t			
17c2	. DPG was needed to speed up time be reservation and initial closing	etween fur	nd			
17c3	. The property needed additional fundir in a high cost area	ng becaus	e it was			
17c4	DPG provided greater ability to retain predevelopment contractors (develope etc)		ects,			
17c5	. DPG provided greater ability to retain development consultants	qualified				
17c6	. Other (Specify:		_)			
About	buld like to know the extent of sponsor ements. What was the cost to the spor?  how many staff or consultant hours we rty?	nsor in dol	lars to apply	for t	the DPG fo	r prop
About proper	ements. What was the cost to the spor? how many staff or consultant hours we	ere needed	d to prepare	the Dicat	the DPG fo	eation
About proper	how many staff or consultant hours we rty?	ere needed	d to prepare	the [	the DPG fo	ation
About proper We we For eacompo	how many staff or consultant hours we rty?	ere needed	d to prepare pund the appropriate process, proce	the [	DPG application processes state when	eation
About proper We we For eacompo	how many staff or consultant hours we rety?  build like to know how difficult your organization or the sonent was EASY, SOMEWHAT DIFFICED	ere needed	d to prepare  ound the apply process, p IFFICULT.  SOMEWHA DIFFICULI	the [	DPG application processes state whe	s to bether e
About proper We wo For eacompo	how many staff or consultant hours we rety?  build like to know how difficult your organization of the sonent was EASY, SOMEWHAT DIFFICE Describing the organization's financial need  Determining the proposed predevelopment activities and	ere needed	d to prepare  ound the approcess, p IFFICULT.  SOMEWHA DIFFICULI	the [	DPG application processes state when	eation
About proper We we For eacompo	how many staff or consultant hours we rety?  build like to know how difficult your organization of the following components of the conent was EASY, SOMEWHAT DIFFICE Describing the organization's financial need  Determining the proposed predevelopment activities and budget	ere needed	d to prepare  ound the approcess, particular.  SOMEWHA DIFFICULT	the [	DPG application processes state when	eation s to bether e

21.		there any other aspects of the application process you found to be difficult or asome?
	No	KNOW / REFUSED.
	21a.	IF <b>YES</b> , please describe what aspects of the application process you found to be difficult or burdensome:
22.		the DPG application phase, did you ask the HUD field office for any assistance with pplication?
	No	KNOW / REFUSED.
	22a.	IF YES, what kind of assistance did you ask for?
	22b.	Did you receive the support you asked for/needed?
		Yes
	22c.	IF <b>NO</b> , what kind of assistance did you ask for that you did not receive?

DON'T KNOW / REFUSED						
	RVIEWERS WILL RECORD RESPONSES VERBATIM AND LATER CODE.					
• E	stimate costs internally based on previous Section 202 properties					
	stimate costs internally based on other housing development properties (non-S 02)					
• A	sk subcontractors to provide estimates					
• E	stimated costs based on industry standards					
closin Yes	g?					
closin Yes	T KNOW / REFUSED  IF <b>NO</b> , were your predevelopment cost estimates in the application too low o					
Yes No DON"	T KNOW / REFUSED					
Yes No DON"	T KNOW / REFUSED  IF NO, were your predevelopment cost estimates in the application too low o high?  Predevelopment cost estimate too low					
Yes No DON"	T KNOW / REFUSED					
Yes No DON"	T KNOW / REFUSED					

### **DPG Administration**

HUD administers the DPG funding allocation through its web-based Line of Credit Control System (LOCCS). For property, did your organization have any issues receiving LOCCS Access Authorization within a reasonable amount of time?						
Yes.						
DON	'T KNOW / REFUSED					
26a.	IF YES, what were the issues?					
DID I	DBES: ISSUES CAUSED BY SPONSOR, HUD OR OUTSIDE FACTORS? HOW LIT TAKE FOR THE SPONSOR TO RECEIVE LOCCS ACCESS AFTER THEY MITTED THE HUD FORM 27054?)					
DID I SUB	T TAKE FOR THE SPONSOR TO RECEIVE LOCCS ACCESS AFTER THEY					
For p	T TAKE FOR THE SPONSOR TO RECEIVE LOCCS ACCESS AFTER THEY MITTED THE HUD FORM 27054?)  property, did you have any issues submitting payment voucher					
For preque	TTAKE FOR THE SPONSOR TO RECEIVE LOCCS ACCESS AFTER THEY MITTED THE HUD FORM 27054?)  property, did you have any issues submitting payment voucher ests and getting them approved within a reasonable amount of time?					
For preque	TTAKE FOR THE SPONSOR TO RECEIVE LOCCS ACCESS AFTER THEY MITTED THE HUD FORM 27054?)  property, did you have any issues submitting payment voucher ests and getting them approved within a reasonable amount of time?  TKNOW / REFUSED					
For preque	TTAKE FOR THE SPONSOR TO RECEIVE LOCCS ACCESS AFTER THEY MITTED THE HUD FORM 27054?)  property, did you have any issues submitting payment voucher ests and getting them approved within a reasonable amount of time?  TKNOW / REFUSED					

28.		operty, did your organization request to move DPG funds en budget line items?
	No	T KNOW / REFUSED
	28a.	IF YES, did HUD approve the transfer of funds?
		Yes
	28b.	IF YES, how long did it take for HUD to approve the transfer request?
	28c.	What was the total amount of funding moved?
	28d.	Did you have any issues in moving the DPG funding between line items?  Yes
	28e.	IF <b>YES</b> , what were the issues?

(PROBES: ISSUES CAUSED BY SPONSOR, HUD OR OUTSIDE FACTORS? HOW LONG DID IT TAKE FOR THE SPONSOR TO FUNDS TO BE MOVED?)

Yes	
	T KNOW / REFUSED
29a.	IF <b>YES</b> , what were the issues?

### **DPG Outcomes**

		to know if this was true in your experience with property							
30.	time b	If your organization had not received a DPG for property, do you think the time between fund reservation and initial closing would have been different? Would you say that the DPG:							
	Some Made Some Signif	icantly decreased processing time what decreased processing time no difference in processing time what increased processing time what increased processing time ciantly increased processing time TKNOW / REFUSED							
	30a.	IF <b>SOMEWHAT</b> or <b>SIGNIFICANTLY INCREASED PROCESSING TIME</b> , what aspect(s) of DPG administration increased processing time?							
		RVIEWERS WILL RECORD RESPONSES VERBATIM AND LATER CODE.							
	POSS								
	• TI	SIBLE RESPONSES INCLUDE:  ne time period between when the Section 202 awards are made and the release of the							
	POSS  TI	SIBLE RESPONSES INCLUDE:  ne time period between when the Section 202 awards are made and the release of the PG NOFA							
	<ul><li>POSS</li><li>TI</li><li>TI</li><li>TI</li></ul>	SIBLE RESPONSES INCLUDE:  The time period between when the Section 202 awards are made and the release of the PG NOFA  The me to obligate funds in LOCCS							
	• TI • TI	SIBLE RESPONSES INCLUDE:  The time period between when the Section 202 awards are made and the release of the PG NOFA  The time to obligate funds in LOCCS  The period to release DPG payments to sponsors							
	• TI • TI	The time period between when the Section 202 awards are made and the release of the PG NOFA  me to obligate funds in LOCCS  me period to release DPG payments to sponsors  me period to approve fund amendment waivers  IF SOMEWHAT or SIGNIFICANTLY DECREASED PROCESSING TIME, what							
	• TI • TI	The time period between when the Section 202 awards are made and the release of the PG NOFA  me to obligate funds in LOCCS  me period to release DPG payments to sponsors  me period to approve fund amendment waivers  IF SOMEWHAT or SIGNIFICANTLY DECREASED PROCESSING TIME, what							

31.	Would you say that the DPG had any effect on your organization's ability to development funds besides the Section 202 capital advance?							
		Γ KNOW / REFUSED						
	31a.	IF YES, what effect did it have?						
		(PRODE WILLT OTHER COURSES OF FUNDING WERE RECEIVED RECALISE						
		(PROBE WHAT OTHER SOURCES OF FUNDING WERE RECEIVED BECAUSE OF THE DPG)						

#### **Factors Affecting Time from Fund Reservation to Initial Closing**

Based on your experience with property \_\_

For the following questions, we are interested in identifying factors that may contribute to untimely processing of Section 202 grants from fund reservation to initial closing.

\_, for each of the following

factors we wou organization's applies to prop	ability to	get to	closing v	within 18 en we wo	months. Wuld like to l	/e will know	first ask how grea	whether at a nega	tive im	pact
the factor had on ask whether you EFFECT on ho	u think	the DPC	had a	POSITIV	E EFFECT	, NEC	SATIVE E	FFECT,	or NO	1
		IMPACT					EFFECT OF DPG			
FACTOR	NA	No Impact	Minor Impact	Moderate Impact	Significant Impact	DK/ REF	Positive Effect	Negative Effect	No Effect	DK/ REF
32a. Property is new construction										
32b. Property involves rehabilitation										
32c. Property involves relocation of residents										
32d. Property site zoning approval										
32e. Permits (i.e., obtaining and/or cost of permits)										
32f. State and local historic preservation approval										
32g. Site contamination mitigation										
32h. Securing other sources of funding										
32i. Legal challenges										
32j. General local opposition to property	on 🗖									
32k. Need for amendment waiver for processing time							٥			
32l. Need for amendment waiver for fund amount	. 🗆									
32lm.Transferred DPG funds between line items	S 0									

INTERVIEWERS WILL SELECT FACTORS THAT HAD A MODERATE OR SIGNIFICANT IMPACT ON PROCESSING TIME TO ASK FOLLOW-UP QUESTIONS.

funding

32n. Lack of predevelopment

32.

Ba.		negatively affected processing time for th negatively affected processing time?
b.		negatively affected processing time for th negatively affected processing time?
C.		negatively affected processing time for th negatively affected processing time?
d.		negatively affected processing time for th negatively affected processing time?
		negatively affected processing time for th negatively affected processing time?

Do you have any suggestions for HUD to aid the timely processing of Section 202 proper from fund reservation to initial closing?
Do you have any suggestions or comments to HUD about the DPG program?
Do you have any other suggestions or comments to HUD about the Section 202 program general?

This is the end of the survey. Thank you for your time.