

Supporting Statement for Paperwork Reduction Act Submissions

Capital Fund Education and Training Community Facilities (CFCF)

A. Justification:

1. Why is this information necessary?

The Department of Housing and Urban Development Appropriations Act, 2010 (Public Law 111-117, enacted on December 16, 2009) permits the HUD Secretary to use up to \$40,000,000 of the Capital Fund appropriations for grant funding to develop facilities to provide early childhood education, adult education, and/or job training programs for public housing residents based on an identified need. PHAs may use funds for construction of new facilities, rehabilitation of existing facilities, or rehabilitation of vacant space. These facilities will offer comprehensive, integrated supportive services to help public housing residents achieve better educational and economic outcomes resulting in long-term economic self-sufficiency.

2. How is this information to be used?

PHAs can submit an application for funding in response to the Capital Fund Education and Training Community Facilities (CFCF) Notice of Funding Availability (NOFA). The funding will be awarded in a competitive process based on the applications received. The information collection covers the information needed from applicants to determine which applicants should be funded. The information provided demonstrates the applicants' plans to implement the grant requirements, and includes related applicant history. The application will include such information as narrative exhibits, certifications, data forms, supporting documentation, maps, and drawings. The information will be used by HUD staff to evaluate threshold requirements and rate and rank grant applications. The following types of information are included in this collection:

Request for Funding of Capital Fund Education and Training Community Facilities (CFCF) PHAs must submit an application package based on the requirements of the HUD NOFA in order to be funded under the Education and Training Facilities set aside which Congress included in the HUD appropriations law. The purpose of the Capital Fund Education and Training Community Facilities (CFCF) program is to provide capital funding to Public Housing Agencies (PHAs) for the construction, rehabilitation, or purchase of facilities to provide early childhood education, adult education, and/or job training programs for public housing residents. Additionally, PHAs may use CFCF program funding to rehabilitate existing community facilities that host other supportive services. These facilities will offer comprehensive, integrated supportive services to help public housing residents achieve better educational and economic outcomes resulting in long-term economic self-sufficiency. The funding will be awarded on a competitive basis based on HUD established criteria and the information contained in each PHAs application.

Form/Document	Title	Description
	Application for Education and Training Facilities	Information used to score PHAs application for funding of education and training facilities
SF-LLL	Disclosure of Lobbying Activities	Required for grant recipients
SF-424		Required for grant recipients
HUD-2990	Certification of Consistency with the RC/EZ/EC-IIs Strategic Plan	Proposed activities/projects in application are consistent with the empowerment zone (EZ), or rural renewal community (RC) designated by HUD, or the strategic plan for an enterprise community (EC) rules
HUD-50075.1	Annual Statement/Performance and Evaluation Report	Project Budget

3. Describe whether, and to what extent, the collection of information is automated?

The Application for Education and Training Facilities Community will be collected through electronic means and PHAs will be notified of grant award through electronic means as well.

4. Duplication of Information

This information is not being collected elsewhere. The information being collected is specific to current funding, therefore the information PHAs not been previously collected.

5. Does the collection of information impact small businesses or other small entities?

This collection of information does not significantly impact small businesses or entities.

6. Describe the consequences to Federal program or policy activities if the collection is not conducted or is conducted less frequently.

The Department would not be able to ensure that funds were distributed and used effectively if this collection was conducted less frequently. This collection ensures that participants act responsibly with the Program funds.

7. Explain any special circumstances

NA

8. Identify the date and page number of the Federal Register notice soliciting comments on the information.

A notice of proposed information collection for the Capital Fund Education and Training Community Facilities was published in the Federal Register on **July 27, 2010, page 44005** to solicit public comment. No comments were received.

9. Explain any payments or gifts to respondents, other than remuneration of contractors or grantees.

No payments or gifts to respondents are provided.

10. Describe any assurance of confidentiality provided to respondents.

The information provided is not of a confidential nature.

11. Justify any questions of a sensitive nature, such as sexual, religious beliefs, and other matters that are commonly considered private.

The information collected does not contain questions of a sensitive nature.

12. Annual Reporting Burden

The annual reporting burden hours are based on the experience and estimated amount of time that takes to report the requested information. For non-Mixed-Finance development, the number of respondents is based on the fact that there are 3,100 housing authorities nation-wide and all of these housing authorities receive funds from, and are subject to the requirements of, the Capital Fund program. In some cases the number of respondents is less than 3,100. These respondent numbers are based on HUD's historic number of public housing or Mixed-Finance development projects that begin annually. All respondents are sub-sets of the 3,100 PHAs, except for approximately 5 homeownership and 5 mixed-finance projects per year, which are implemented by units of local government under a relatively small, annual set-aside of HOPE VI appropriated funds.

	Form/Document	No. of Respondents	Frequency	Total Responses	Hours per Response	Total Hours	Cost per hour	Total Cost
1	Application for Education and Training Facilities	300	1	300	40	12,000	\$47	\$564,000
2	SF-LLL	300	1	300	0.25	75	\$47	\$3,525

	Form/Document	No. of Respondents	Frequency	Total Responses	Hours per Response	Total Hours	Cost per hour	Total Cost
3	SF-424	300	1	300	0.25	75	\$47	\$3,525
4	HUD-2990	300	1	300	0.25	75	\$47	\$3,525
5	HUD-50075.1	300	1	300	7	2,100	\$47	\$98,700
	Totals			300		14,325		\$673,275

13. Additional Cost to Respondents

There are no additional costs to respondents other than what is reported in Item 12.

14. Annualized cost to the Federal Government

The estimated annualized cost to the Federal Government is based on the hourly rate of \$47, the 2008 General Pay Scale for a GS 14 Step 1, which represents the staff of HUD's Office of Public Housing Investments (OPHI). This office primarily administers the Capital Fund Program, and both administer and implement the Capital Fund Financing Program, and Mixed-Finance transactions for the Capital Fund, Capital Fund Financing, and HOPE VI Programs. OPHI has approximately 40 full-time employees that work on these programs. 40 x \$47 x 2080 hours per year, equals \$3,910,400.

There is no additional cost to the Government related to processing less complex Capital Fund public housing development, since such processing is included as part of standard Field Office staff work.

15. Explain any program changes or adjustments.

This is a new collection.

16. If the information will be published, outline plans for tabulation and publication.

The results of this information collection will not be published.

17. OMB Expiration Date

HUD is not seeking approval to avoid displaying the OMB expiration date.

18. Certification of Paperwork Reduction Act Submission There is no exception to Item # 19 "Certification of Paperwork Reduction Act Submission."

B. Collections of Information Employing Statistical Methods.

The collection of information does not employ statistical methods.