

FY 2009 Academic R&D Survey Population Review

E-mail to institutions that were not surveyed in FY 2008 and meet the population review screening criteria:

To: **Primary or secondary contact**
Cc: **President/Chancellor for institutions with FSS 5-year total > \$1 million**
From: support@nsfrdsurvey.org
Re: NSF Academic R&D Expenditures Survey

Dear Colleague:

The National Science Foundation (NSF) is conducting its annual review of institutions that qualify to be included in the Survey of Research and Development Expenditures at Universities and Colleges (Academic R&D Expenditures Survey). As NSF's survey contractor, we are collecting some preliminary information to help NSF decide which institutions should be added to this year's survey. You are receiving this request for information because public records indicate that **[[institution]]** received federal funding that might have resulted in research expenditures during your institution's fiscal year (FY) 2009.

Please answer the 3 questions below and return your response to us by **[[due date]]**. If you have any questions, please contact me at support@nsfrdsurvey.org or 1-866-349-8626. If someone else at your institution should be contacted regarding this matter, please let me know.

Thank you for your help with this project.

Sincerely,
Ronald Santa Cruz
ICF Macro
NSF Academic R&D Expenditures Survey
Data Collection Specialist

Survey Population Qualification Questions

Please consider the following definitions of R&D when answering the questions below:

RESEARCH is creative work conducted systematically to extend scientific knowledge or devise new or improved applications.

DEVELOPMENT is systematic use of knowledge or understanding gained from research for the production of useful materials, devices, systems, or methods, including the design and development of prototypes and processes.

R&D INCLUDES, but is not limited to:

- Research grants awarded by federal agencies, state government, industry, or nonprofit organizations, including foundations
- Indirect costs associated with research grants
- Activities that produce findings that could be published in academic journals or patented
- Internal funding of specific faculty research projects
- Internal funding for faculty to develop new or improved products or applications

R&D DOES NOT INCLUDE:

- Training grants
- Demonstration projects
- Curriculum development
- Economic development programs
- Faculty development
- Public service grants
- Program evaluation
- Course evaluation
- Market research
- Routine testing or other support services for research conducted outside your college

Examples of academic disciplines in which R&D may be conducted:

- Computer sciences
- Engineering
- Environmental sciences
- Mathematics
- Life sciences
- Physical sciences
- Psychology
- Social sciences

Question 1. In your institution's FY 2009, were there any separately budgeted expenditures that meet the definitions of R&D above? (Mark "X" beside one category below.)

Separately budgeted R&D expenditures include all funds expended for activities specifically organized to produce R&D. These expenditures can include both externally funded "sponsored research" and internally funded R&D.

____ Yes --> Go to Question 2
____ No --> Skip to Question 3

Question 2. What was the total amount of separately budgeted R&D expenditures at your institution in FY 2009? (Mark "X" beside one category below.)

____ Less than \$150,000
____ \$150,000 or more

Question 3. Who should be contacted about the information above?

Name:
Title or Office:
Institution name:
Street address:
City, state, and ZIP Code:
Phone number:
E-mail address: