## OMB Approval No: 1840-0640

**Expiration Date: [ ]**

**Ronald E. McNair Postbaccalaureate Achievement (McNair) Program**

### Annual Performance Report -- Program Year 2009-10

**Section I -- Project Identification, Certification and Warning**

**A. Identification**

1. PR/Award Number: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

2. Name of Grantee Institution: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

3. Address (City, State, Zip): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

4. Name of Project Director: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

5. Telephone Number: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Fax Number: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

 E-mail Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

6. Report Period: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_to\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

7. Data Entry Person \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

8. Telephone Number: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ E-mail Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_

**B. Certification:** We certify that the performance report information reported and submitted electronically on \_\_\_\_\_\_\_\_\_\_ is readily verifiable. The information reported is accurate and complete to the best of our knowledge.

 \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

 Name of Project Director (Print) Name of Certifying Official (Print)

 \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

 Signature and Date Signature and Date

1. **Warnings:**

**Any person who knowingly makes a false statement or misrepresentation on this**

**report is subject to penalties which may include fines, imprisonment, or both, under the United States Criminal Code and 20 U.S.C. 1097.** Further Federal funds or other benefits may be withheld under this program unless this report is completed and filed as required by existing law (20 U.S.C.) 1231a) and regulations (34 CFR 75.590 and 75.720).

 **Authority: Public Law 102-325, as amended.**

**PAPERWORK REDUCTION ACT NOTICE**

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1840-0640 and expires XX/XX/XXXX. The time required to complete this information is estimated to average 5 hours per response, including the time to review instructions, search existing data sources, gather the data needed, and complete and review the information collection. Response to this collection of information is required to maintain benefits. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to the U.S. Department of Education, Washington, D.C. 20202-4651. If you have comments or concerns regarding the status of your individual submission, write directly to: Federal TRIO Programs, U.S. Department of Education, 1990 K Street, N.W., 7th Floor, Washington, DC 20006-8510.

RONALD E. MCNAIR POSTBACCALAUREATE ACHIEVEMENT PROGRAM

2009-10 ANNUAL PERFORMANCE REPORT

### SECTION II -- RECORD STRUCTURE FOR PARTICIPANT LIST

McNair grantees must submit student files in Excel or CSV format. Text files (also known as ASCII files) will no longer be accepted and as such you do not have to identify the field lengths, position start and end and field type. However, column names in your database **must** match the "Database Column Names" provided in column three below as well as the field content. For additional information regarding data submission, please see the instructions under “**HOW MAY THE REPORT BE SUBMITTED?”**

| **Field No.** | **Field Name** | **Database Column Name** | **Valid Field Content** |
| --- | --- | --- | --- |
| 1 | PR/Award Number | PR | Number in Block 5 of the project’s Grant Award Notification**NOTES:** Include only the eleven digit PR/Award Number that begins with P217A\_ \_ \_ \_ \_ \_. Do not include the suffix for the fiscal year in this field. |
| 2 | Batch Year | BatchAY | 2008 for project year 2009-2010 |
| 3 | Record Updated  | RecUpdate | 1 = New, Continuing, or Prior-year participants still in contact.2 = Prior-yr participants out of contact/not enrolled 5 consecutive yrs. or more3 = Prior-yr participants out of contact/not enrolled less than 5 yrs.**NOTE:** For a definition of new and continuing participants, please see the instructions in field #16.A **prior-year participant still in contact** is an individual who has not received services during the academic year being reported, however, the project is still in contact with the student.A **prior-year participant no longer enrolled or out of contact for five years or more** is an individual who has not received services during the academic year being reported and has not enrolled in graduate or undergraduate studies for at least one term in the last five **consecutive** years, or has been out of contact for a period of five or more **consecutive** years.A **prior-year participant no longer enrolled or out of contact for less than five years** is an individual who has not received services during the academic year being reported and has not enrolled in graduate or undergraduate studies for at least one term in the last four or less **consecutive** years, or has been out of contact for a period of four or less **consecutive** years.**Select option #1** for participants who are New, Continuing, or for Prior-year participant still in contact. If option #1 is selected, then field #43—Tracking Completed must be options 1, 2, or 5. **Select option #2** for participants for whom you have no updated (new) academic information for the reporting year (i.e., those that you are unable to contact or obtain any new information for five (5) or more consecutive years. If option #2 is selected, then field #16--Participant Status must be option #3 (prior-year participant), field #43--Tracking Completed must be either 3 or 4. Also, if option #2 is used, please report “0 = Unknown/No Response” in fields #18, #19, and #20.**Select option #3** for participants for whom you have no updated (new) academic information for the reporting year (i.e., those that you are unable to contact or obtain any new information for four (4) or less consecutive years. If option #3 is selected, then field #16--Participant Status must be option #3 (prior-year participant), field #43--Tracking Completed must be 5. Also, if option #3 is used, please report “0 = Unknown/No Response” in fields #18, #19, and #20.  |
| 4 | Social Security Number | SSN | 001010001 to 999999998000000000 = Unknown/no responseNote: Please format the SSN in nine digits greater than 0 without using any characters (letters, hyphens) in the number (e.g., 123456789). Do not include any other form of ID in this field. |
| 5 | Student’s Last Name | LastNM | Uppercase A to Z. (period)‘ (apostrophe)- (dash)Blank = Unknown/no responseIf non-blank, will be justified with an uppercase A-Z in first position. |
| 6 | Student’s First Name | FirstNM | 0 to 9Uppercase A to Z. (period)‘ (apostrophe)- (dash)Blank = Unknown/no responseIf non-blank, will be justified with an uppercase A-Z in first position. |
| 7 | Student’s Middle Name | MI | Uppercase A to ZBlank = Unknown/no response |
| 8 | Student’s Date of Birth | DOB | Format is MMDDCCYY, e.g., 01/01/1972 MM = 01 - 12DD = 01 - 31CC = 19 - 20YY = 00 - 99 00/00/0000 = Unknown/no response |
| 9 | Gender | GenderCD | 1 = Male2 = Female0 = Unknown/no response |
| 10 | Race/Ethnicity | EthnicityCD | 1 = American Indian or Alaska Native 2 = Asian3 = Black or African American4 = Hispanic or Latino5 = White 6 = Native Hawaiian or Other Pacific Islander7 = More than one race reported 0 = Unknown/no response**NOTE:** The race/ethnicity categories used here are the only categories officially approved by OMB for this data collection. For those students of a multi-racial background, you may use “7” for “More than one race reported.” |
| 11 | Low-income  | EligLowInc | 1 = Yes2 = No0 = Unknown/no response |
| 12 | First-generation  | EligFirstGen | 1 = Yes2 = No0 = Unknown/no response |
| 13 | Underrepresented racial/ethnic group | EligUnderrep | 1 = Yes2 = No0 = Unknown/no response**NOTE:** Groups underrepresented in graduate education. The following ethnic and racial groups are currently underrepresented in graduate education: Black (non-Hispanic), Hispanic, American Indian/Alaskan Native and Native Hawaiian or other Pacific Islander. |
| 14 | First School Enrollment Date(Postsecondary Education) | FirstEnrollDT | Format is MMDDCCYY, e.g., 09/01/2000 MM = 01 - 12DD = 01 - 31CC = 19 - 20YY = 00 - 99 00/00/0000 = Unknown/no response |
| 15 | Project Entry Date | ProjEntryDT | Format is MMDDCCYY, e.g., 09/01/2004 MM = 01 - 12DD = 01 - 31CC = 19 - 20YY = 00 - 99 (00 = 2000)00/00/0000 = Unknown/no response |
| 16 | Participant Status | PartCD | 1 = New Participant (for academic year being reported)2 = Continuing Participant3 = Prior-Year Participant0 = Unknown/no response**NOTES**: A **new participant** is an individual who was served by the project for the first time during the academic year being reported.  A **continuing participant** is an individual who was served by the project for the first time in another academic year and also received project services during the academic year being reported.  A **prior-year participant** is an individual who received project services in a previous academic year but who has not received services during the academic year being reported. |
| 17 | College Grade Level (Entry into project) | EnterGradeLV | 1 = 1st yr., never attended2 = 1st yr., attended before3 = 2nd yr./sophomore4 = 3rd yr./junior5 = 4th yr./senior6 = 5th yr. or higher undergraduate7 = graduate program (any year level)0 = Unknown/no response**NOTE:** Use grade level codes 03, 04, 05, and 06 **only for students who have the required number of credits and GPA** to be classified by the participant’s postsecondary institution as a sophomore, junior, senior, and other undergraduate, respectively.  |
| 18 | College Grade Level (At the end of the spring/summer term) | CurrentGradeLV | 1 = 1st yr., never attended2 = 1st yr., attended before3 = 2nd yr./sophomore4 = 3rd yr./junior5 = 4th yr./senior6 = 5th yr./or above undergraduate7 = 1st yr. graduate/professional (received program services as undergraduate during the reporting year)8 = 1st yr. graduate/ professional9 = 2nd yr. graduate/ professional10 = 3rd yr. graduate/professional11 = Beyond 3rd yr. graduate/professional program12 = Not enrolled in a postsecondary or graduate degree program during the academic year being reported13 = Other 0 = Unknown/no response**NOTES:** Use grade level codes 3, 4, 5, and 6 **only for students who have the required number of credits and GPA** to be classified by the participant’s postsecondary institution as a sophomore, junior, senior, and other undergraduate respectively.Option “12—Not enrolled in a postsecondary or graduate degree program during the academic year being reported” is defined as a student who was not enrolled in postsecondary education for none of the terms in the academic year being reported. Please verify that participant enrollment status is consistent across fields #18, #19 and #20. Option “13 - Other” includes students working towards a second bachelor’s or second master’s degree or those doing postbaccalaureate work who have earned a bachelor’s degree but are not yet enrolled in a graduate program. This category also includes those participants in their fifth/final year of a 5-year combined undergraduate/master’s degree program. |
| 19 | Enrollment Status(for academic year being reported) | EndEnrollCD | 1 = Enrolled2 = Not enrolled0 = Unknown/no response**NOTE**: Enrolled is defined as a student who was enrolled in postsecondary education at least one term in the academic year being reported. Not enrolled is defined as a student who was not enrolled in postsecondary education for none of the terms in the academic year being reported. Please verify that participant enrollment status is consistent across fields #18, #19 and #20.  |
| 20 | Reason Not Enrolled (during academic year being reported) | ReasonNotEnroll | 1 = Applied but did not gain admission to graduate programs in the reporting year (for students with a bachelor’s degree2 = Did not apply to or declined offers from graduate programs owing to financial reasons (for students with a bachelor’s degree)3 = Did not apply to or declined offers from graduate programs owing to health reasons (for students with a bachelor’s degree)4 = Did not apply to or declined offers from graduate programs owing to other reasons (for students with a bachelor’s degree5 = Withdrew from program (undergraduate or graduate) for academic reasons6 = Withdrew from program (undergraduate or graduate) for financial reasons7 = Withdrew from program (undergraduate or graduate) or health reasons8 = Withdrew from program (undergraduate or graduate) for other personal reasons9 = Called for military duty10 = Not applicable; the student was enrolled in at least one term during the academic year being reported11 = Student deceased12 = Did not enroll in research doctoral program because student considers his/her education completed.13 = Ph.D. (or first professional degree) attained (not previously reported) 0 = Unknown/no response**NOTE**: Not enrolled is defined as a student who was not enrolled in postsecondary education for none of the terms in the academic year being reported. Please verify that participant enrollment status is consistent across fields #18, #19 and #20.  |
| 21 | Highest Degree Earned  | DegreeCD | 1 = Bachelor’s Degree/Equivalent of Bachelor’s Degree2 = Master’s Degree3 = Doctoral Degree (Ph.D.)4 = Other Doctoral Degree (Ed.D)5 = First-professional Degree (Law, Medicine, Dentistry, Optometry, Veterinary medicine, Osteopathic medicine, Theology, etc.)6 = No Bachelor’s degree earned0 = Unknown/no response**NOTE**: Use the one-digit degree code that indicates the highest degree obtained (regardless of year earned). Repeat each degree reporting code every year until a new or more advanced degree has been earned. If no bachelor’s degree has been earned, please select option #6.  |
| 22 | Date Highest Degree Earned | DegreeDate | Format is MMDDCCYY, e.g., 05/23/2002MM = 01- 12DD = 01 - 31CC = 20YY = 00 – 99 (e.g., 00 = 2000)99/99/9999 = No bachelor’s degree earned yet00/00/0000 = Unknown/no response**NOTE**: Repeat the same date until a new and more advanced degree is earned. If you do not know the complete date, use 15 for the day and use your best estimate for the month.  |
| 23 | Major/field of graduate study | Major | 1 = Physical sciences2 = Engineering3 = Life sciences4 = Health sciences5 = Social sciences6 = Humanities7 = Education8= Business administration9 = Medicine10 = Law11 = Other professional fields12 = Other13 = Not applicable, participant is still in undergraduate program or is not enrolled.0 = Unknown/no response**NOTE:** This field is for graduate students only. For undergraduate students, or students not currently enrolled, use option ”13 - Not applicable.” Please refer to the instructions for a detailed breakdown of each major/field. Please verify that information reported in this field is consistent with the data reported in field #18.  |
| 24 | GPA Scale | GPAScale | 1 = 4 point scale2 = 5 point scale3 = Other9 = Not applicable, participant has not received bachelor’s degree0 = Unknown/no response**NOTE:** Use valid one digit grade point average scale code. Provide GPA scale for all McNair participants who have graduated with a bachelor’s degree.  |
| 25 | Cumulative GPA at graduation with a bachelor’s degree | CumGPA | 0.000 to 5.0009.999 = Not applicable, participant has not received bachelor’s degree0.000= Unknown/no response**NOTE:** Provide cumulative GPA for all McNair participants who have graduated with a bachelor’s degree. Do not provide any other GPA in this field. |
| 26 | Research (2009-10 academic year) | Research | 1 = Participated in research but did not complete all of the components of the research activity2 = Did **not** participate in Research3 = Completed **all** of the components of the Research activity9 = Prior year participant 0 = Unknown/no response |
| 27 | McNair Internships (2009-10 academic year) | Internships | 1 = Participated in research but did not complete all of the components of the research activity2 = Did **not** participate in Research3 = Completed **all** of the components of the Research activity9 = Prior year participant 0 = Unknown/no response |
| **NOTE:** For fields #28 – 39, indicate whether or not a participant received the service during the academic year being reported by selecting “Yes” or “No”. Select “No” for all these fields for prior year participants. |
| 28 | Seminars/Workshops  | SemWorks | 1 = Yes2 = No |
| 29 | Tutoring | Tutoring | 1 = Yes2 = No |
| 30 | Academic Counseling | Counseling | 1 = Yes2 = No |
| 31 | Financial aid assistance | FinAidAssist | 1 = Yes2 = No |
| 32 | Admission assistance | AdmisAssis | 1 = Yes2 = No |
| 33 | Mentoring | Mentoring | 1 = Yes2 = No |
| 34 | Exposure to cultural events/ acad. programs | Cultural | 1 = Yes2 = No |
| 35 | Conferences | Conf | 1 = Yes2 = No |
| 36 | Presentations | Present | 1 = Yes2 = No |
| 37 | Graduate school visits, fairs | GradSchVisit | 1 = Yes2 = No |
| 38 | Test Preparation | TestPrep | 1 = Yes2 = No |
| 39 | Teaching related experiences | TeaReExp | 1 = Yes2 = No |
| 40 | Eligibility (for determining program eligibility) | EligibilReq | 1 = First generation and low-income2 = Underrepresented0 = Unknown/no response**NOTE**: Please indicate which program eligibility criterion was used to classify this student as eligible for program participation in terms of the requirement to serve at least 2/3 low-income and first generation students and not more than1/3 underrepresented students.  |
| 41 | Project Participation | ProjPart | 1 = Full academic year (both semesters or three quarters)2 = Part of academic year (one semester or one or two quarters)3 = Summer only4 = Full academic year and summer5 = Part of academic year and summer9 = Prior year participant0 = Unknown/no response**NOTE:** Complete this field for new and continuing students. Select “9” for prior year participants.  |
| 42 | Funding Source | FundSource | 1 = Federal funds2 = Non-federal funds3 = Partially supported with non-federal funds9 = Prior year participant0 = Unknown/No response**NOTE**: Complete this field for new and continuing students. Select “9” for prior-year participants.  |
| 43 | Tracking completed | TrackComplete | 1 = Yes, the student has graduated with a research or professional doctorate in this reporting period.2 = Yes, the student has received a research or professional doctorate in a previous reporting year but is being reported for the first time.3 = Yes, the student has not been enrolled for at least one term in a graduate or undergraduate program for **five or more consecutive years**.4 = Yes, the student has been out of contact for **five or more consecutive years** and you are unable to update this record or the student is deceased.5 = No. Tracking is not completed.0 = Unknown/no response**NOTE:** If you answer, “Yes” (options 1-4), tracking for the student is now complete. You are no longer required to collect or report information on this student; subsequent APR’s should not include this student record. If the student is deceased, please select option #4. |
| 44 | Special Circumstances | SpecCir | Explain any special circumstances or conditions that have affected the validity or specificity of the reporting of this student’s progress. |
| 45 | Graduate School Enrollment Status (at the beginning of the 2009-10 academic year) | GradEnrollStatus | 1 = Enrolled in a post baccalaureate degree program (for participants who received a bachelor’s degree or equivalent in this reporting period)2 = Did not enroll in a post baccalaureate degree program (for participants who received a bachelor’s degree or equivalent in this reporting period)3 = Other (for students who did not receive a bachelor’s degree or equivalent in this reporting period)0 = Unknown/No responseSelect option #1 if the student **received** a bachelor’s degree in this reporting period and **enrolled** in a post baccalaureate degree program in the Fall term of the next academic year.Select option #2 if the student **received** a bachelor’s degree in this reporting period and **did not enroll** in a post baccalaureate degree program in the Fall term of the next academic year.Select option #3 if the student **did not receive** a bachelor’s degree in this reporting, received a bachelor’s degree in a previous reporting period, or received a master’s degree or higher.  |

(Note to Data Collector:  Please make sure that when you are collecting this information from students that they are informed of why they are being asked to provide their Social Security numbers.  Please see Privacy Act statement below.)

# Privacy Act

In accordance with the Privacy Act of 1974 (Public Law No. 93-579, 5 U.S.C. 552a), you are hereby notified that the Department of Education is authorized to collect information to implement the Ronald E. McNair Postbaccalaureate Achievement Program (McNair) under Title IV of the Higher Education Act of 1963, as amended (Pub. Law 102-325, sec. 402E). In accordance with this authority, the Department receives and maintains personal information on participants in the McNair program. The principal purpose for collecting this information is to administer the program, including tracking and evaluating participant progress. Providing the information on this form, including a social security number (SSN) is voluntary; failure to disclose a SSN will not result in the denial of any right, benefit or privilege to which the participant is entitled. The information is collected on this form will be retained in the program files and may be released to other Department officials in the performance of their official duties.

**SECTION III: PRIOR EXPERIENCE – to be completed by those McNair projects whose 2009-10 budget year is part of a grant/project period that began prior to 2007.**

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_PR Award Number: P217A\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Campus(es)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

In this section, indicate your approved project objectives and report on the extent to which your project achieved each of these objectives. You do not need to provide information for gray-shaded areas as the Department will use the data you provide in Section II of the APR and your approved number of students to be served to derive at these figures. However, please provide specific numbers and/or percents to support your accomplishment for the remainder of the objectives listed. Each of these objectives is consistent with one of the prior experience criteria contained in the program regulations (34 CFR 647.22).

| **CRITERIA** | **PROPOSED****PERCENT** | **PROPOSED NUMBER** | **ACTUAL****PERCENT** | **ACTUAL** **NUMBER** | **OBJECTIVES** |
| --- | --- | --- | --- | --- | --- |
| Total # of students served this period | Gray out | Gray out | Gray out | Gray out | To consistently provide services to the correct number of participants that the project was funded to serve. |
| # Served who are both low- income and first-generation college students  | Gray out | Gray out | Gray out | Gray out | To consistently provide services to the correct type of participants that the project was funded to serve. |
| # Served who are from groups underrepre-sented in graduate education  | Gray out | Gray out | Gray out | Gray out | To consistently provide services to the correct type of participants that the project was funded to serve |
| Opportunities for research and other scholarly activities |  |  |  |  | of eligible participants will participate in approved research or other scholarly activities at the grantee institution or at graduate centers that are designed to provide participants with effective preparation for doctoral study. |
| Baccalaureate Degree |  |  |  |  | of eligible participants who received their baccalaureate degree during the reporting period. |
| Post-baccalaureateenrollment |  |  |  |  | of eligible participants who enrolled in a program of postbaccalaureate education. |
| Ph.D. Attainment/Completion |  |  |  |  | of prior year participants who attained/completed a doctoral degree during the reporting period. |
| Other |  |  |  |  | Include other objectives/activities not specified above. Explain. (Text box)  |
| Other |  |  |  |  | Include other objectives/activities not specified above. Explain. (Text box)  |
| Other |  |  |  |  | Include other objectives/activities not specified above. Explain. (Text box)  |
| Other |  |  |  |  | Include other objectives/activities not specified above. Explain. (Text box)  |
| Other |  |  |  |  | Include other objectives/activities not specified above. Explain. (Text box)  |