Supporting Statement for Paperwork Reduction Act Submission

Title: Doctoral Dissertation Research Grant Program

OMB Control #: 2528-0213

1. This program was created under Title V of the Housing and Urban Development Act of 1970, Public Law 91-609), which authorizes HUD's Office of Policy Development and Research's authorization to conduct research and demonstrations. HUD provides grants to students to complete their research and dissertation on HUD-related topics.

Grantees are selected through a competition process, announced through a Notice of Funding Availability. Applicants are required to submit certain information as part of their application for assistance. Grantees are required to prepare a semi-annual status report so that HUD monitors the progress of grantees in completing their research.

- 2. The information is collected during the application process. Collection of this data will serve two purposes:
- a) It will enable HUD to select grantee under a competitive selection process. Without this information, it would be impossible to determine which applicants to select.
- b) It will enable the Government Technical Representative (GTR) to monitor each grantee's performance. It is the only way to determine that funds are being spent in accordance with the goals of the program.
- 3. None
- 4. This program does not duplicate any existing government program. No similar information is available.
- 5. The doctoral program does not involve small businesses.
- 6. If information were not collected pursuant to submitting applications, HUD would have no qualitative way to select applicants to award among the various applicantions submit. If information were not collected for monitoring purposes, HUD would not be able to ensure that Federal dollars were being spent wisely and appropriately.

Information collected pursuant to submitting applications is requested only once per application cycle, the minimum amount of time possible. Information collected for monitoring purposes is submitted annually during the grant period. To require less frequent submission would mean that no action could be taken to correct administrative problems before the end of the grant period.

7. None

- 8. Notice was published in the Federal Register, Volume 75, Page 21017, April 22, 2010. No comments were received.
- 9. None
- 10. None
- 11. None

12.

1) Pre-Award

HUD estimates that each applicant spends approximately 32 person-hours to complete an application. Almost all of this time is invested by a professor or other senior administrator who would oversee the program. HUD estimates the mean hourly rate at \$30. For 50 applications, the computation is as follows: 50 applications X 32 hours X \$30 per hours= \$48,000.

2) Post-Award

HUD estimates that each grantee will spend approximately 4 hours a year maintaining records. HUD also estimates that each grantee will spend approximately 4 hours a year preparing monitoring reports. Clerical staff and faculty/supervisory staff will share this burden. HUD estimates the applicable hourly rate at \$15. The computation is as follow: 15 grantees x 8 hours x \$15 an hour = \$1,800.

Description of Information Collection	Number of Respondents	Responses per Year	Total Annual Responses	Hrs per Response	Total Hours
SF424	50	1	50	0.75	37.5
SF424 Supplement	50	1	50	0.08	4.0
HUD 424CB	50	1	50	3	150
SFLLL	50	1	50	0.17	8.5
HUD 27300 (2510-0013)	50	1	50	0	0
HUD 2880 (2510-0011)	50	1	50	0	0
HUD 96010 (2535-0114)	50	1	50	0	0
HUD 2994	50	1	50	0	0
Rating factor 1	50	1	50	7	350
Rating factor 2	50	1	50	7	350
Rating factor 3	50	1	50	7	350

Rating factor 4	50	1	50	7	350
Subtotal (Application)	50	1	50	32	2200
Annual Reports	15	2	30	4	120
Record keeping	15		15	4	60
Total	50		95	40	2380

13. None

14. 1) Pre-Award.

Approximately 50 applications are expected to be received annually. Each application goes through a threshold review which takes one hour to conduct. This review is conducted by two persons, having an average grade of GS-14 at an hourly rate of \$45.00. Thus the annual review process takes approximately 100 staff hours. The computation is as follows: 50 applications x 2 persons x 1 hours x \$45.00 = \$4,500.

2) Post-Award

HUD will award approximately 15 grants pursuant to each annual Notice of Funding Availability. With annual reporting, HUD would receive 30 semi-annual progress reports for each funding cycle, requiring a total review time of one hour per grant per report, for a total of 30 hour. Assuming a GS-14 conducts the reviews, the cost is computed as follows: 1 hour x 30 reports x \$45.00 an hour = \$1,350.

- 15. This is an extension of a previously approved collection.
- 16. Not applicable
- 17. No such approval is sought
- 18. None requested.
- B. None