

## END STAGE RENAL DISEASE MEDICAL EVIDENCE REPORT MEDICARE ENTITLEMENT AND/OR PATIENT REGISTRATION

**A. COMPLETE FOR ALL ESRD PATIENTS** Check one:  Initial  Re-entitlement  Supplemental

1. Name (Last, First, Middle Initial)

2. Medicare Claim Number

3. Social Security Number

4. Date of Birth

MM / DD / YYYY

5. Patient Mailing Address (Include City, State and Zip)

6. Phone Number

( )

7. Sex

Male  Female

8. Ethnicity

Not Hispanic or Latino  Hispanic or Latino (Complete Item 9)

9. Country/Area of Origin or Ancestry

10. Race (Check all that apply)

White  Asian  
 Black or African American  Native Hawaiian or Other Pacific Islander\*  
 American Indian/Alaska Native  
 Print Name of Enrolled/Principal Tribe \_\_\_\_\_ \*complete Item 9

11. Is patient applying for ESRD Medicare coverage?

Yes  No

12. Current Medical Coverage (Check all that apply)

Medicaid  Medicare  Employer Group Health Insurance  
 DVA  Medicare Advantage  Other  None

13. Height

INCHES \_\_\_\_\_ OR  
CENTIMETERS \_\_\_\_\_

14. Dry Weight

POUNDS \_\_\_\_\_ OR  
KILOGRAMS \_\_\_\_\_

15. Primary Cause of Renal Failure (Use code from back of form)

16. Employment Status (6 mos prior and current status)

**Prior** **Current**

Unemployed  
  Employed Full Time  
  Employed Part Time  
  Homemaker  
  Retired due to Age/Preference  
  Retired (Disability)  
  Medical Leave of Absence  
  Student

17. Co-Morbid Conditions (Check all that apply currently and/or during last 10 years)\*See instructions

a.  Congestive heart failure  
 b.  Atherosclerotic heart disease ASHD  
 c.  Other cardiac disease  
 d.  Cerebrovascular disease, CVA, TIA\*  
 e.  Peripheral vascular disease\*  
 f.  History of hypertension  
 g.  Amputation  
 h.  Diabetes, currently on insulin  
 i.  Diabetes, on oral medications  
 j.  Diabetes, without medications  
 k.  Diabetic retinopathy  
 l.  Chronic obstructive pulmonary disease  
 m.  Tobacco use (current smoker)  
 n.  Malignant neoplasm, Cancer  
 o.  Toxic nephropathy  
 p.  Alcohol dependence  
 q.  Drug dependence\*  
 r.  Inability to ambulate  
 s.  Inability to transfer  
 t.  Needs assistance with daily activities  
 u.  Institutionalized  
      1. Assisted Living  
      2. Nursing Home  
      3. Other Institution  
 v.  Non-renal congenital abnormality  
 w.  None

18. Prior to ESRD therapy:

a. Did patient receive exogenous erythropoietin or equivalent?  Yes  No  Unknown If Yes  < 6 months  6-12 months  >12 months  
 b. Was patient under care of a nephrologist?  Yes  No  Unknown If Yes  < 6 months  6-12 months  >12 months  
 c. Was patient under care of kidney dietitian?  Yes  No  Unknown If Yes  < 6 months  6-12 months  >12 months  
 d. For hemodialysis patients only, what access was used on first outpatient dialysis?  
      AVF  Graft  Catheter  Other  
     If not AVF, then: Is maturing AVF present?  Yes  No  
                             Is maturing graft present?  Yes  No

19. Laboratory Values Within 45 Days Prior to the Most Recent ESRD Episode. (Lipid Profile within 1 Year of Most Recent ESRD Episode).

| LABORATORY TEST                   | VALUE     | DATE | LABORATORY TEST     | VALUE       | DATE |
|-----------------------------------|-----------|------|---------------------|-------------|------|
| a.1. Serum Albumin (g/dl)         | ___ . ___ |      | d. HbA1c            | ___ . ___ % |      |
| a.2. Serum Albumin Lower Limit    | ___ . ___ |      | e. Lipid Profile TC | _____       |      |
| a.3. Lab Method Used (BCG or BCP) |           |      | LDL                 | _____       |      |
| b. Serum Creatinine (mg/dl)       | ___ . ___ |      | HDL                 | _____       |      |
| c. Hemoglobin (g/dl)              | ___ . ___ |      | TG                  | _____       |      |

**B. COMPLETE FOR ALL ESRD PATIENTS IN DIALYSIS TREATMENT**

20. Name of Dialysis Facility

21. Medicare Provider Number (for item 20)

22. Primary Dialysis Setting

Home  Dialysis Facility/Center  SNF/Long Term Care Facility

23. Primary Type of Dialysis

Hemodialysis (Sessions per week \_\_\_/hours per session \_\_\_)  
 CAPD  CCPD  Other

24. Date Regular Chronic Dialysis Began

MM / DD / YYYY

25. Date Patient Started Chronic Dialysis at Current Facility

MM / DD / YYYY

26. Has patient been informed of kidney transplant options?

Yes  No

27. If patient NOT informed of transplant options, please check all that apply:

Medically unfit  Patient declines information  
 Unsuitable due to age  Patient has not been assessed  
 Psychologically unfit  Other

**C. COMPLETE FOR ALL KIDNEY TRANSPLANT PATIENTS**

|   |   |  |
|---|---|--|
| 28. Date of Transplant<br>MM / DD / YYYY  | 29. Name of Transplant Hospital   | 30. Medicare Provider Number for Item 29 |
| Date patient was admitted as an inpatient to a hospital in preparation for, or anticipation of, a kidney transplant prior to the date of actual transplantation.  |   |  |
| 31. Enter Date<br>MM / DD / YYYY  | 32. Name of Preparation Hospital  | 33. Medicare Provider number for Item 32 |
| 34. Current Status of Transplant ( <i>if functioning, skip items 36 and 37</i> )<br><input type="checkbox"/> Functioning <input type="checkbox"/> Non-Functioning | 35. Type of Donor:<br><input type="checkbox"/> Deceased <input type="checkbox"/> Living Related <input type="checkbox"/> Living Unrelated                                   |  |
| 36. If Non-Functioning, Date of Return to Regular Dialysis<br>MM / DD / YYYY  | 37. Current Dialysis Treatment Site<br><input type="checkbox"/> Home <input type="checkbox"/> Dialysis Facility/Center <input type="checkbox"/> SNF/Long Term Care Facility |  |

**D. COMPLETE FOR ALL ESRD SELF-DIALYSIS TRAINING PATIENTS (MEDICARE APPLICANTS ONLY)**

|   |   |
|---|---|
| 38. Name of Training Provider   | 39. Medicare Provider Number of Training Provider (for Item 38)   |
| 40. Date Training Began<br>MM / DD / YYYY   | 41. Type of Training <input type="checkbox"/> Hemodialysis    a. <input type="checkbox"/> Home    b. <input type="checkbox"/> In Center<br><input type="checkbox"/> CAPD <input type="checkbox"/> CCPD <input type="checkbox"/> Other |
| 42. This Patient is Expected to Complete ( <i>or has completed</i> ) Training and will Self-dialyze on a Regular Basis.<br><input type="checkbox"/> Yes <input type="checkbox"/> No | 43. Date When Patient Completed, or is Expected to Complete, Training<br>MM / DD / YYYY   |

***I certify that the above self-dialysis training information is correct and is based on consideration of all pertinent medical, psychological, and sociological factors as reflected in records kept by this training facility.***

|   |                                  |
|---|----------------------------------|
| 44. Printed Name and Signature of Physician personally familiar with the patient's training<br>a.) Printed Name      b.) Signature      c.) Date MM / DD / YYYY | 45. UPIN of Physician in Item 44 |
|---|----------------------------------|

**E. PHYSICIAN IDENTIFICATION**

|  |                                     |                                  |
|--|-------------------------------------|----------------------------------|
| 46. Attending Physician ( <i>Print</i> ) | 47. Physician's Phone No.<br>(    ) | 48. UPIN of Physician in Item 46 |
|--|-------------------------------------|----------------------------------|

**PHYSICIAN ATTESTATION**

***I certify, under penalty of perjury, that the information on this form is correct to the best of my knowledge and belief. Based on diagnostic tests and laboratory findings, I further certify that this patient has reached the stage of renal impairment that appears irreversible and permanent and requires a regular course of dialysis or kidney transplant to maintain life. I understand that this information is intended for use in establishing the patient's entitlement to Medicare benefits and that any falsification, misrepresentation, or concealment of essential information may subject me to fine, imprisonment, civil penalty, or other civil sanctions under applicable Federal laws.***

|   |                            |
|---|----------------------------|
| 49. Attending Physician's Signature of Attestation ( <i>Same as Item 46</i> ) | 50. Date<br>MM / DD / YYYY |
| 51. Physician Recertification Signature                                       | 52. Date<br>MM / DD / YYYY |
| 53. Remarks   |                            |

**F. OBTAIN SIGNATURE FROM PATIENT**

***I hereby authorize any physician, hospital, agency, or other organization to disclose any medical records or other information about my medical condition to the Department of Health and Human Services for purposes of reviewing my application for Medicare entitlement under the Social Security Act and/or for scientific research.***

|  |                            |
|--|----------------------------|
| 54. Signature of Patient ( <i>Signature by mark must be witnessed.</i> ) | 55. Date<br>MM / DD / YYYY |
|--|----------------------------|

**G. PRIVACY STATEMENT**

The collection of this information is authorized by Section 226A of the Social Security Act. The information provided will be used to determine if an individual is entitled to Medicare under the End Stage Renal Disease provisions of the law. The information will be maintained in system No. 09-70-0520, "End Stage Renal Disease Program Management and Medical Information System (ESRD PMMIS)", published in the Federal Register, Vol. 67, No. 116, June 17, 2002, pages 41244-41250 or as updated and republished. Collection of your Social Security number is authorized by Executive Order 9397. Furnishing the information on this form is voluntary, but failure to do so may result in denial of Medicare benefits. Information from the ESRD PMMIS may be given to a congressional office in response to an inquiry from the congressional office made at the request of the individual; an individual or organization for research, demonstration, evaluation, or epidemiologic project related to the prevention of disease or disability, or the restoration or maintenance of health. Additional disclosures may be found in the *Federal Register* notice cited above. You should be aware that P.L.100-503, the Computer Matching and Privacy Protection Act of 1988, permits the government to verify information by way of computer matches.