

SUPPORTING STATEMENT
OMB No. 1651-0121
Trusted Traveler Programs

A. Justification

Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.

This collection of information is for CBP's Trusted Traveler Programs including the Secure Electronic Network for Travelers Rapid Inspection (SENTRI), which allows expedited entry at specified southwest land border ports of entry, and the Free and Secure Trade program (FAST), which provides expedited border processing for known, low-risk commercial drivers. The purpose of all of these programs is to provide prescreened travelers expedited entry into the United States. The benefit to the traveler is less time spent in line waiting to be processed. These Trusted Traveler programs are provided for in 8 CFR 235.7. Applicants may apply using paper forms available at <http://www.cbp.gov> or at Trusted Traveler Enrollment Centers, or through the Global On-line Enrollment System (GOES) at <https://goes-app.cbp.dhs.gov> (Global Entry applications are only available online).

CBP will be submitting a Final Rule for Global Entry in the near future. Global Entry is a Trusted Traveler program related to SENTRI and FAST that allows pre-approved, low-risk, air travelers expedited clearance upon arrival into the United States.

CBP proposes amend this collection by expanding eligibility for participation in the Global Entry pilot to include qualified nationals of Mexico who otherwise satisfy the requirements for participation in the Global Entry pilot. Currently, eligibility is limited to U.S. citizens, U.S. nationals, U.S. lawful permanent residents (LPRs), and certain eligible citizens of the Netherlands.

In addition, CBP also proposes to amend this collection by conducting a pilot using Global Entry Kiosks for SENTRI participants. Specifically CBP will permit participants of SENTRI, in good standing, to utilize Global Entry kiosks as part of their SENTRI membership.

- 2. Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.**

The purpose of enrolling in SENTRI and FAST is to prescreen applicants and their vehicles in order to expedite travelers seeking admission to the United States. The target participant is any law abiding frequent traveler who is legally allowed entry into the United States, e.g., students and business people. The benefit to the traveler is less time waiting to be processed by CBP.

- 3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g. permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden.**

Applicants may enroll in these programs using the Global On-line Enrollment System (GOES) which is a web-based system at <https://goes-app.cbp.dhs.gov> Currently, about 95 percent of applicants apply on-line.

- 4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item 2 above.**

The information collected via 823S and 823F is unique and not duplicated elsewhere.

- 5. If the collection of information impacts small businesses or other small entities, describe any methods used to minimize burden.**

This information collection does not have an impact on small businesses or other small entities.

- 6. Describe consequences to Federal program or policy activities if the collection is not conducted or is conducted less frequently.**

If not collected, the DHS would be unable to meet its statutory mandate, and would not be able to determine eligibility for participation in the SENTRI and FAST programs.

- 7. Explain any special circumstances that would cause an information collection to be conducted in a manner:**

- **requiring respondents to report information to the agency more often than quarterly;**
- **requiring respondents to prepare a written response to a collection of information in fewer than 30 days after receipt of it;**

- requiring respondents to submit more than an original and two copies of any document;
- requiring respondents to retain records, other than health, medical, government contract, grant-in-aid, or tax records for more than three years;
- in connection with a statistical survey, that is not designed to produce valid and reliable results that can be generalized to the universe of study;
- requiring the use of statistical data classification that has not been reviewed and approved by OMB;
- that includes a pledge of confidentiality that is not supported by authority established in statute or regulation, that is not supported by disclosure and data security policies that are consistent with the pledge, or which unnecessarily impedes sharing of data with other agencies for compatible confidential use; or
- requiring respondents to submit proprietary trade secrets, or other confidential information unless the agency can demonstrate that it has instituted procedures to protect the information's confidentiality to the extent permitted by law.

This information collection is conducted in a manner consistent with the guidelines of 5 CFR 1320.6.

8. **If applicable, provide a copy and identify the date and page number of publication in the Federal Register of the agency's notice, required by 5 CFR 1320.8(d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the agency in response to these comments. Specifically address comments received on cost and hour burden.**

Public comments were solicited through a 60-day Federal Register notice dated July 6, 2010 (Volume 75, Page 38821). No comments were received. Public comments were solicited through a 30-day Federal Register dated September 24, 2010 (Volume 75 Page 58417). No comments have been received.

CBP will publish a final rule for Global Entry in the near future.

In addition, CBP plans to publish a notice in the Federal Register in the near future on expanding the Global Entry Pilot to Mexican nationals. A copy of this notice is included in this ICR.

Also CBP plans to publish a notice in the Federal Register in the near future to permit participants of SENTRI to use Global Entry kiosks as part of their SENTRI membership. A copy of this notice is included in this ICR.

9. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.

There is no offer of a monetary or material value for this information collection.

10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy.

A SORN dated April 21, 2006 (Volume 71, Page 20708) for the Global On-line Enrollment System is included in this ICR.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.

There are no questions of a sensitive nature.

12. Provide estimates of the hour burden of the collection of information.

| FORM NUMBER/ TITLE | TOTAL ANNUAL BURDEN HOURS | NO. OF RESPONDENTS | NO. OF RESPONSES PER RESPONDENT | TOTAL RESPONSES | TIME PER RESPONSE |
|---------------------------|---------------------------|--------------------|---------------------------------|-----------------|---------------------------|
| 823S SENTRI | 42,488 | 63,415 | 1 | 63,415 | 40 minutes (.67 hours) |
| 823F FAST | 19,370 | 28,910 | 1 | 28,910 | 40 minutes (.67 hours) |
| Mexican National Pilot | 13,400 | 20,000 | 1 | 20,000 | 40 minutes (.67 hours) |
| Kiosk Pilot | 13,280 | *160,000 | 1 | 160,000 | 5 minutes (.083 hours) |
| TOTAL | 88,538 | 272,325 | | 272,325 | |

*The participants in the Kiosk Pilot are current participants in the SENTRI program.

Public Cost

The estimated cost to the respondents is \$2,479,064. This is based on the estimated burden hours (88,538) multiplied (x) hourly rate (\$28.00).

13. Provide an estimate of the total annual cost burden to respondents or record keepers resulting from the collection of information.

There are no record keeping, capital, start-up or maintenance costs associated with this information collection. However, there is a fee of \$25 for filing the Form 823S and a \$50 fee for filing CBP Form 823F.

823S Application Fee: 63,415 respondents x \$25 = \$1,585,375

823F Application Fee: 28,910 respondents x \$50 = \$1,445,500

Total \$3,030,875

14. Provide estimates of annualized cost to the Federal Government. Also provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information.

There are no costs to the Government to administer the 823S and the 823F because all costs are offset by the enrollment fees charged to the applicants.

15. Explain the reasons for any program changes or adjustments reported in Items 12 or 13.

The burden hours were adjusted for the SENTRI and FAST applications based on updated estimates by CBP.

Also, two pilot programs that CBP is proposing to implement were added to this ICR including the Mexican National Pilot and the Kiosk Pilot which are described in Items #1 and #12 above.

CBP requests to change the name of this OMB clearance from Application-Alternative Inspection Services and FAST Commercial Driver Application-MX to Trusted Traveler Programs.

16. For collection of information whose results will be published, outline plans for tabulation, and publication.

This information collection will not be published for statistical purposes.

17. If seeking approval to not display the expiration date, explain the reasons that displaying the expiration date would be inappropriate.

CBP will display the expiration date for OMB approval of this information collection.

18. Certification for Paperwork Reduction Act Submissions.

CBP does not request an exception to the certification of this information collection

B. Collection of Information Employing Statistical Methods

No statistical methods are used.