

Paperwork Burden Disclosure Notice

Public reporting burden for this form is estimated to average 24 hours per response. The burden estimate includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and submitting the form. You are not required to respond to this collection of information unless it displays a valid OMB control number. Send comments regarding the accuracy of the burden estimate and any suggestions for reducing the burden to: Information Collections Management, Department of Homeland Security, Federal Emergency Management Agency, 500 C Street, SW, Washington, DC 20472, Paperwork Reduction Project (1660-NEW) **NOTE: Do not send your completed form to this address.**

Investment Heading	
Railroad Carrier or Owner of Railroad Car	
Date of Application	
Region and High Population-Density Area(s) Impacted	
Investment Name	
Investment Amount	

I. Background

Note: This section only needs to be completed once per application, regardless of the number of investments proposed. The information in this section provides background/context for the investment(s) requested, but does not represent the evaluation criteria used by DHS for rating individual investment proposals.

I.A. Identify the point(s) of contact for this investment.	
Response Type	Narrative
Page Limit	Not to exceed ½ page
Response Instructions	Identify the following: <ul style="list-style-type: none"> • Point of contact's (POC) name and title; • POC's full mailing address; • POC's telephone number; • POC's fax number; • POC's email address; and, • Also include the corresponding information for the single authorizing official for your organization—i.e., the individual authorized to sign a grant award.
Response:	

I.B. Describe your operating system as applicable.	
Response Type	Narrative
Page Limit	Not to exceed 2 pages
Response Instructions	Describe the following: <ul style="list-style-type: none"> • Infrastructure; • Number of track miles; • Number of rail cars (differentiating tank cars); • Volume of SSM as defined for this grant, transported through High Population-Density Areas annually. (Include separately the volume of TIH transported in tank cars and the volume of TIH transported by bulk loads.) • System maps, including listing of High Density Population Areas serviced; and, • Other sources of funding being leveraged for security enhancements.
Response	

I.C. Describe the status of your training program, as applicable.

Response Type	Narrative
Page Limit	Not to exceed 2 pages
Response Instructions	Describe the following: <ul style="list-style-type: none"> • Number of staff • Type of staff, including employment titles • The number of employees who have received basic security awareness training in the past two years
Response	

II. Impact

II.A. Discuss how the implementation of this investment will decrease or mitigate risk.

Response Type	Narrative
Page Limit	Not to exceed 1 page
Response Instructions	<ul style="list-style-type: none"> • Discuss how this investment will reduce risk (e.g., reduce vulnerabilities or mitigate the consequences of an event) by addressing the needs and priorities identified in earlier analysis and review; and, • Identify the nature of the risk and how the risk and need are related to show how addressing the need through this investment will also mitigate risk (e.g., reduce vulnerabilities or mitigate the consequences of an event). • For training requests, provide how close the training request will get your organization to having all railroad frontline employees trained for basic security training. Also please explain your plan for getting everyone trained in basic security. • For owners of railroad cars, provide number of cars owned by Department of Transportation (DOT) specification and number of GPS units requested in this investment.
Response	

II.B. Vulnerability assessments and security plan requests as applicable.

Response Type	Narrative
Page Limit	Not to exceed 2 pages
Response Instructions	<p>For vulnerability assessment and security plan requests, please explain the status of your current vulnerability assessment and security plan with regard to the. If you deem your current vulnerability assessment and security plan do not meet the requirements contained herein, please describe those aspects of the plan that will be created and/or improved with grant funds.</p> <ul style="list-style-type: none"> • If using a vulnerability tool/methodology other than DHS Transit Risk Assessment Module (TRAM) and the Intelligence Community's Analytical Risk Management (ARM) Process, you must provide the commercial name of the assessment tool/methodology in the response to facilitate evaluation of your proposed methodology; • If it is not a commercial product, explain why you are not using one of the approved methodologies and how your chosen methodology will comply with the vulnerability assessment and security plan • DHS may require the applicant to submit the entire vulnerability assessment tool/methodology requested above.
Response	

III. Funding and Implementation Plan

III.A. Investment Funding Plan.	
Response Type	Numeric and Narrative
Page Limit	Not to exceed 1 page
Response Instructions	<ul style="list-style-type: none"> • Complete the chart below to identify the amount of funding you are requesting for <u>this Investment only</u>; • Funds should be requested by allowable cost categories (as identified in the FY 2009 FRSGP Program Guidelines and Application Kit); • Applicants must make funding requests that are reasonable and justified by direct linkages to activities outlined in this particular Investment; and, • Applicants must indicate whether additional funding (non-FY 2009 FRSGP) will be leveraged for this Investment. <p><i>Note: Investments will be evaluated on the expected impact on security relative to the amount of the investment (i.e., cost effectiveness). An itemized Budget Detail Worksheet and Budget Narrative must also be completed for this investment</i></p>
Response	

The following template illustrates how the applicants should indicate the amount of FY 2009 FRSGP funding required for the Investment, how these funds will be allocated across the cost elements, and any match being offered:

	Federal Request Total	Match	Grand Total
Vulnerability Assessment/ Security Plan Development			
Training			
GPS			
M&A			
Total			
	<ul style="list-style-type: none"> • Consider the necessary steps and stages that will be required for successful implementation of the investment; • Identify areas of possible concern or potential pitfalls in terms of investment implementation; and, • Explain why those areas present the greatest challenge to a successful investment implementation. 		
Response			

III.C. Describe the management team, including roles and responsibilities that will be accountable for the oversight and implementation of this investment, and the overall management approach they will apply for the implementation of this investment.	
Response Type	Narrative
Page Limit	Not to exceed ½ page
Response Instructions	<ul style="list-style-type: none"> • Provide the high-level skill sets (e.g., budget execution, grant administration, geospatial expert, outreach and communication liaison) that members of the management team must possess for the successful implementation and oversight of the investment; • Discuss how those skill sets fulfill the oversight and execution responsibilities for the investment, and how the management roles and

	responsibilities will be distributed/assigned among the management team; and, <ul style="list-style-type: none"> • Explain how the management team members will organize and work together in order to successfully manage the investment.
Response	

III.D. Provide a high-level timeline, milestones and dates, for the implementation of this investment. <u>Up to 10 milestones may be provided.</u>	
Response Type	Narrative
Page Limit	Not to exceed 1 page
Response Instructions	<ul style="list-style-type: none"> • Only include major milestones that are critical to the success of the investment; • While up to 10 milestones may be provided, applicants should only list as many milestones as necessary; • Milestones are for this discrete investment – those that are covered by the requested FY 2009 FRSGP funds and will be completed over the 36-month grant period; • Milestones should be kept to high-level, major tasks that will need to occur; • Identify the planned start date associated with the identified milestone. The start date should reflect the date at which the earliest action will be taken to start achieving the milestone; • Identify the planned completion date when all actions related to the milestone will be completed and overall milestone outcome is met; and, • List any relevant information that will be critical to the successful completion of the milestone (such as those examples listed in the question text above).
Response	