



NOx Budget Trading Program Compliance Certification Report

For more information, see instructions and refer to applicable state regulation [see also 40 CFR 96.30]

Compliance Year

- 1) I have attached a list of all the NOx Budget units that are subject to any requirements under the NOx Budget Trading Program, except for any units with an exemption that was effective during this entire control period, and I certify that the listed units were in compliance with all requirements of the NOx Budget Trading Program during this control period.
- 2) Each unit was operated in compliance with the NOx Budget emissions limitation.
- 3) The monitoring plan for each unit has been maintained to reflect the actual operation and monitoring of the unit, and the plan contains all information necessary to attribute NOx emissions to the unit, in accordance with 40 CFR part 75 subpart H.
- 4) All NOx emissions from each unit, or group of units (including the unit) using a common stack, were monitored or accounted for through the missing data procedures and reported in quarterly monitoring reports in accordance with 40 CFR part 75 subpart H. If conditional data were reported, a statement indicating that the status of all conditional data has been resolved and all necessary quarterly report resubmissions have been made is attached.

A statement is attached

- 5) The facts that form the basis for certification under 40 CFR part 75 subpart H of each monitor at the unit (or group of units including the unit) using a common stack, or for using an excepted monitoring method or alternative monitoring method approved under 40 CFR part 75 subpart H, if any, have not changed.

Place an X in the appropriate box
in response to all of the above five items.

YES

NO

If the No box was checked;

- 1) You must attach an explanation of why you checked the No box, including, if applicable, the information required under the applicable state regulation [see also 40 CFR 96.30(c)]

Certification

I am authorized to make this submission on behalf of the owners and operators of the NOx Budget sources or NOx Budget units for which the submission is made. I certify under penalty of law that I have personally examined, and am familiar with, the statements and information submitted in this document and all its attachments. Based on my inquiry of those individuals with primary responsibility for obtaining the information, I certify that the statements and information are to the best of my knowledge and belief true, accurate, and complete. I am aware that there are significant penalties for submitting false statements and information or omitting required statements and information, including the possibility of fine or imprisonment.

Name (of AAR)

Date

Signature

AAR ID#



NO_x Budget Trading Program

Instructions for Compliance Certification Report

The state regulations implementing the NO_x Budget Trading Program require the Authorized Account Representative (AAR) to submit a Compliance Certification Report that includes each unit that is subject to a NO_x Budget emissions limitation in a control period. The report must be submitted to the permitting authority and EPA by November 30 of the year that includes the control period.

Each AAR must submit one report covering every NO_x Budget unit for which he or she is the AAR and that is subject to any requirements under the NO_x Budget Trading Program, except for units with an exemption that was effective during the entire control period.

Specific Instructions:

For Compliance Year, enter the calendar year that includes the control period for which you are certifying compliance.

Prepare and attach a list of all NO_x Budget units for which you are the authorized account representative and that are subject to any requirements under the NO_x Budget Trading Program, except for any unit with an exemption that was effective during the entire control period:

The list must include the Plant Name, State, and NO_x Allowance Tracking System Account Number.

Read item numbers one through five.

If applicable, place an X in the box in item four. Prepare and attach the indicated statement.

If you can answer yes to all five items for every NO_x Budget unit, place an X in the "Yes" box and proceed to the certification section below.

Otherwise, place an X in the "No" box and attach an explanation that describes why you checked the "No" box. For each unit that did not meet all the requirements of the NO_x Budget Trading Program during the control period, identify the plant name, state, and the NO_x Allowance Tracking System (NATS) unit account number. Also, if applicable, include the information required under the applicable state regulation [see also 40 CFR Part 96.30 (c)(5)] (which concerns changes in the basis for certification of a monitor at any unit or units using a common stack or using an excepted monitoring method or approved alternative monitoring method).

Certification:

Read the Certifications, type your name, AAR ID Number, sign, and date.

Submission Instructions for EPA: Mail this form to one of the following addresses:

for regular or certified mail:

U.S. EPA
NO_x Budget Trading Program (6204J)
Attention: Annual Reconciliation
1200 Pennsylvania Avenue, NW
Washington, DC 20460

for overnight mail:

U.S. EPA
NO_x Budget Trading Program (6204J)
Attention: Annual Reconciliation
1310 L Street, NW
Washington, DC 20005
Phone: 202-343-9170

Paperwork Burden Estimate

The public reporting and recordkeeping burden for this collection of information is estimated to average 5 hours per response annually. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822), 1200 Pennsylvania Ave., NW., Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.