

**COMMODITY FUTURES TRADING COMMISSION**

**AGENCY INFORMATION COLLECTION ACTIVITIES UNDER OMB REVIEW**

**AGENCY:** Commodity Futures Trading Commission

**ACTION:** Notice

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**SUMMARY:** In compliance with the Paperwork Reduction Act (44 U.S.C. 3501 et seq.), this notice announces that the Information Collection Request (ICR) abstracted below has been forwarded to the Office of Management and Budget (OMB) for review and comment. The ICR describes the nature of the information collection and its expected costs and burden; it includes the actual data collection instruments [if any].

**DATES:** Comments must be submitted on or before [30 days after publication].

**FOR FURTHER INFORMATION OR A COPY CONTACT:** John P. Dolan at (202) 418-5220; FAX: (202) 418-5524; email: <mailto:jdolan@cftc.gov> [Imauldin@cftc.gov](mailto:Imauldin@cftc.gov) and refer to OMB Control No. 3038-0025.

**SUPPLEMENTAL INFORMATION:**

**Title:** Practice by Former Members and Employees of the Commission (OMB Control No. 3038-0025). This is a request for extension of a currently approved information collection.

**Abstract:** Commission Rule 140.735-6 governs the practice before the Commission of former members and employees of the Commission and is intended to ensure that the Commission is aware of any existing conflict of

interest. The rule generally requires former members and employees who are employed or retained to represent any person before the Commission within two years of the termination of their CFTC employment to file a brief written statement with the Commission's Office of General Counsel. The proposed rule was promulgated pursuant to the Commission's rulemaking authority contained in Section 8a(5) of the Commodity Exchange Act, 7 U.S.C. 12a(5) (1994), as amended.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control numbers for the CFTC's regulations were published on December 30, 1981. See 46 FR 63035 (Dec. 30, 1981). The Federal Register notice with a 60-day comment period soliciting comments on this collection of information was published on August 14, 2007 (72 FR 45420).

**Burden statement:** The respondent burden for this collection is estimated to average .10 hours per response to file the brief written statement. This estimate include the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; and transmit or otherwise disclose the information.

Respondents/Affected Entities: 3

Estimated number of responses: 4.5

Estimated total annual burden on respondents: .10 hours

Frequency of collection: On occasion

Send comments regarding the burden estimated or any other aspect of the information collection, including suggestions for reducing the burden, to the addresses listed below. Please refer to OMB Control No. 3038-0025 in any correspondence.

John P. Dolan, Office of General Counsel, U.S. Commodity Futures Trading Commission, 1155 21<sup>st</sup> Street, NW, Washington, DC 20581 and Office of Information and Regulatory Affairs, Office of Management and Budget, Attention: Desk Officer for CFTC, 725 17th Street, Washington, DC 20503.

David A. Stawick,  
Secretary of the Commission

Dated: