

**CATCHER/PROCESSOR  
ENDORSEMENT  
APPLICATION  
PACIFIC COAST GROUND FISH**

UNITED STATES DEPARTMENT OF COMMERCE  
National Oceanic and Atmospheric Administration  
National Marine Fisheries Service, Northwest Region  
*Fisheries Permits Office*  
7600 Sand Point Way NE, Bldg. 1  
Seattle, WA 98115-0070



Phone (206) 526-4353 Fax (206) 526-4461 www.nwr.noaa.gov

## INSTRUCTIONS

### APPLICATION DEADLINE: **XX/XX/2010**

This form must be completed and submitted to the National Marine Fisheries Service (NMFS) at the address and by the deadline above to apply for a Catcher/Processor (C/P) endorsement on a trawl endorsed limited entry permit. This application, including any required supplemental documentation must be postmarked or hand delivered to the address given above no later than **XX/XX/2010 [insert date 60 days after publication of the final rule]**. An application postmarked or hand delivered after that date will not be considered or reviewed by NMFS. This is a one-time opportunity to apply for this endorsement.

For prequalified applicants, the data presented by NMFS in the sections below are presumed to be correct as of the date provided. However, this information is preliminary and may be amended by NMFS as more information becomes available. Publication of this preliminary data in this application does not represent a guarantee that the applicant will qualify for the endorsement. **The applicant must still complete and submit the prequalified application to NMFS in order to be considered for a C/P endorsement.**

**Request for an Application:** If a current limited entry trawl permit owner did not receive a pre-filled application, and believes he/she may qualify for C/P endorsement, they must submit a complete application including any supplemental documentation required to NMFS by the deadline date given above.

#### **Section A – Applicant (Permit Owner) Information:**

Only the current owner of a trawl limited entry permit registered to vessels participating in the C/P sector of the Pacific whiting fishery during qualifying years is eligible for a C/P endorsement. NMFS will pre-fill this section if it determines from NMFS whiting observer data in the NORPAC database that the vessels registered to the permit participated in the C/P sector of the Pacific whiting fishery. If any of the information provided by NMFS in Section A is not correct, please make the necessary changes to the specific item on the form. For business entities, if a Tax Identification Number (TIN) is not provided, please provide this information. If the permit owner is an individual (or has an individual given as part of the ownership group), please provide the date of birth for the individual(s). If an applicant did not receive a pre-filled application, they must complete this section. If any of the information is pre-filled, review the information and make any changes as necessary.

#### **Section B – Preliminary Qualifying Data:**

**Qualifying Criteria:** In order to qualify for a C/P endorsement, catcher/processor vessels registered to valid trawl limited entry permit must have harvested and processed whiting in the catcher/processor sector of the Pacific whiting fishery at any time from 1997 – 2003.

**For a Prequalified Applicant:** If an applicant is prequalified for a C/P endorsement, NMFS will pre-fill Section B based on permit history from the extraction of NMFS whiting observer data from the NORPAC database on July 1, 2010.

**Request for an Application:** If a current owner of a trawl limited entry permit did not receive a prequalified application, the applicant must complete Section B and provide the amount of whiting that was harvested and processed in the catcher processor sector by a vessel(s) registered to the permit given in Section A in at least one year from 1997-2003. In addition, the permit owner must provide copies of NMFS whiting observer records and/or other credible information that demonstrates the vessel registered to the permit harvested and processed whiting as a catcher processor for the qualifying year(s). If the applicant signs and dates Section C, they will have accepted the preliminary qualifying data in Section B as true and correct.

**Section C - Certification of Applicant and Notary:**

The applicant or authorized representative must sign and date the form in the presence of a notary to certify that the individual(s) signing the form have satisfactorily identified themselves. By signing and dating the form, the authorized agent certifies that all information set forth in the form is true, correct, and complete to the best of the applicant's knowledge and belief. The form will not be considered without the authorized representative's signature. The notary must sign and date this section, and affix notary stamp or seal.



*If the applicant is a business entity, the authorized representative must include a copy of the corporate resolution or other authorizing document allowing the authorized representative to sign and certify on behalf of the business entity.*

**Supplemental Documentation**

NMFS may require other documentation as it deems necessary to make a determination to approve or disapprove the application.

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## SECTION A – APPLICANT (PERMIT OWNER) INFORMATION

1. Permit Number <div style="text-align: center; font-size: 1.2em;">GF</div>		
2.. Name	3. TIN or DOB	
	4. State Registered in, if business entity	
5. Business Mailing Address (also list physical address for first receiver, if different)  <i>Street or PO Box</i>	6. Business Phone (     )	
	7. Business Fax ( <i>optional</i> ) (     )	
<i>City</i>	<i>State</i>	<i>Zip Code</i>
8. Business Email ( <i>optional</i> )		

## SECTION B – PRELIMINARY ENDORSEMENT QUALIFYING DATA

**Qualifying Criteria:** In order to qualify for a C/P endorsement, vessels registered to the permit given in Section A must have harvested and processed whiting in the catcher processor fishery at any time during 1997-2003.

**Prequalified Applicant:** Based on the extraction of Pacific whiting data from the NORPAC database, NMFS has determined that vessels registered to the permit given in Section A harvested and processed whiting in the catcher processor sector in the qualifying years listed below:

Years:	<u>Amount of Whiting Caught/Processed</u>
1997	
1998	
1999	
2000	
2001	
2002	
2003	

**Request for an Application:** If a current trawl endorsed permit owner does not receive a prequalified application, and believes that they may qualify for a C/P endorsement, they must submit a complete application to NMFS by the deadline date. Please provide the amount of whiting caught and processed by catcher processors registered to the permit given in Section A for at least one of the qualifying years given above. The applicant must provide copies of NMFS whiting observer records and any other credible information to verify the amount of whiting caught and processed by the catcher vessel registered to the permit in the qualifying year(s).

**SECTION C - CERTIFICATION OF APPLICANT AND NOTARY**

**This section must be completed by a notary to certify that the individual(s) have satisfactorily identified themselves.**

*Under penalties of perjury, I hereby declare that I, the undersigned, am authorized to certify this application on behalf of the applicant, and I completed this form, and the information contained herein is true, correct, and complete to the best of my knowledge and belief.*

Signature of Authorized Representative		Date
Printed Name of Authorized Representative and Title ( <i>NOTE: attach authorization, if needed</i> )		
Notary Public Signature	<input type="checkbox"/> ATTEST	Affix Notary Stamp or Seal Here
Date Commission Expires		

**WARNING STATEMENT:** A false statement on this form is punishable by permit sanctions (revocation, suspension, or modification) under 15 CFR 904, a civil penalty of up to \$140,000 under 16 USC 1858, and/or criminal penalties including, but not limited to, fines or imprisonment or both under 18 USC 1001.

**PRIVACY ACT STATEMENT:** Your DOB and/or TIN are confidential and protected under the Privacy Act. Provision of your DOB or TIN is mandatory as part of this collection. The primary purpose for requiring the DOB and/or TIN is to verify the identity of individuals/entities doing business with the government to provide a unique identification for assistance to comply with the Debt Collection Improvement Act of 1996 (Public Law 104-134) and for enforcement activities. The information collected is part of a Privacy Act System of Records, COMMERCE/NOAA #19, Permits and Registration for United States Federally Regulated Fisheries. A notice was published in the Federal Register on April 17, 2008 (73 FR 20914) and became effective on June 11, 2008 (73 FR 33065).

**PRA STATEMENT:** Public reporting burden for this collection of information is estimated to average 0.5 hours per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to NOAA/National Marine Fisheries Service, Northwest Region, Attn: Assistant Regional Administrator, Sustainable Fisheries Division, 7600 Sand Point Way NE, Seattle, WA 98115. Some of the information collection described above is confidential under section 402(b) of the Magnuson-Stevens Act and under NOAA Administrative Order 216-100, Protection of Confidential Fisheries Statistics. Phone number, fax, email, TIN, and DOB are not released to the public. The names of individuals who have an ownership interest in an entity that owns a permit, vessel or processing plant and the actual percentage of ownership are considered business confidential and released to the public.