

# Department of the Interior U.S. Fish and Wildlife Service

Expires XX/XX/XXXX OMB No. 1018-0093

# Federal Fish and Wildlife Permit Application Form

Return to: Division of Management Authority (DMA)
U.S. Fish and Wildlife Service
4401 N. Fairfax Drive, Room 212
Arlington, VA 22203
1-800-358-2104 or 703-358-2104

Type of Activity:
IMPORT OF SPORT-HUNTED TROPHIES
(Appendix I of CITES and/or ESA)

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details. See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

Α.		Compi	ete ii appiying as an in	aiviauai				
1.a. Last name			1.b. First name		1.c. Middle name or	rinitial	1.d. Suffix	
2. Date of birth (mm/dd/yyyy)	3. Social Security No.	3. Social Security No.		4. Occupation		5. Affiliation/ Doing business as (see instructions)		
6.a. Telephone number	Telephone number 6.b. Alternate telephone number		6.c. Fax number		6.d. E-mail address	6.d. E-mail address		
B. Con	plete if applying or	n behalf of a	a business, corporation	1, public	agency, tribe, or inst	titution		
1.a. Name of business, agency, tribe, or institution			1.b. Doing business as (dba)					
2. Tax identification no.		3. Description	n of business, agency, tribe, o	r institution				
4.a. Principal officer Last name		4.b. Principal officer First name		4	4.c. Principal officer Middle name/ initial 4.d. Suffix			
5. Principal officer title		1	6. Primary	contact				
7.b. Alternate telephone number 7.b. Alternate telephone nu		ne number	7.c. Business fax number		7.d. Business e-mail	7.d. Business e-mail address		
C.		All applica	nts complete address i	nformati	on			
1.a. Physical address (Street addre				morman	lOII			
, ,		·						
1.b. City	1.c. State	1	.d. Zip code/Postal code:	1.e. Count	ty/Province	1.f. Country		
2.a. Mailing Address (include if di	fferent than physical add	ress; include na	ame of contact person if applie	cable)				
2.b. City 2.c. State		2	2.d. Zip code/Postal code:		2.e. County/Province		2.f. Country	
D.		A 11 -	applicants MUST com	nlete				
Attach check or money or and those acting on behal- CFR 13.11(d))	f of such agencies, are ex-	ISH AND WIL empt from the p	DLIFE SERVICE in the amorocessing fee – attach docum	ount of \$100				
Do you currently have or     Yes If yes, list the n			Wildlife permits? we held or that you are applying	ng to renew/	/re-issue:		No	
applicable parts in subch	apter B of Chapter I of T	<i>Title 50</i> , and I co	n the regulations contained in ertify that the information sub- tatement herein may subject r	omitted in th	nis application for a permit	is complete and		
Signature (in blue ink) of	applicant/person respons	sible for permit	(No photocopied or stamped	signatures)	Date of signatu	ure (mm/dd/yyy	<u>y)</u>	
		Dlas	so continue to next nego					

Please continue to next page

E. IMPORT OF SPORT-HUNTED TROPHIES (Appendix I of CITES and/or ESA)

Form 3-200-20 Rev. << Date>> Page 2 of 5

- Note 1: If you hold an import permit for trophy(ies) that you did not use, please return the unused original permit. If you are requesting reissuance of a permit because you have taken a trophy, but are unable to import it prior to the expiration of the permit, please use the renewal form (3-200-52) http://www.fws.gov/forms/display.cfm?number1=200, and return your original permit with that form.
- Note 2: Applications for species listed as endangered under the U.S. Endangered Species Act are published in the <u>Federal Register</u> for a 30-day public comment period. Please allow at least 90 days from date of receipt for the application to be processed.
- Note 3: USFWS has determined that a trophy consists of raw or tanned parts of a specimen taken by a hunter during a sport hunt for personal use. It may include the bones, claws, hair, head, hide, hooves, horns, meat, skull, teeth, tusks, or any taxidermied part, including, but not limited to, a rug or taxidermied head, shoulder, or full mount. It does not include articles made from a trophy, such as worked, manufactured, or handicraft items for use as clothing, curios, ornamentation, jewelry, or other utilitarian items. If you wish to import such products, please contact the Division of Management Authority for the proper application form.

Please provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you are submitting, please indicate the application question number you are addressing. If applying for more than one trophy, be sure to answer questions 1-5 for each trophy addressed in this application. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

- 1. For each trophy to be imported, provide:
  - a. Scientific name (genus, species, and, if applicable, subspecies) and common name.
  - b. Sex (if known).
- 2. IF ANIMAL IS CURRENTLY LIVING IN THE WILD, please enter the following:
  - a. Country and PLACE (area, region, or ranch AND nearest city) where wildlife is to be taken from the wild:
  - b. Date wildlife is to be hunted:
  - c. Description of the trophy and/or parts you intend to import (e.g., skin, skull, shoulder mount, life size mount, claws, horn):
- 3. **IF THE ANIMAL IS DEAD**, please enter the following:
  - a. Country and PLACE (area, region, or ranch AND nearest city) where wildlife was removed from the wild (provide a map if possible):
  - b. Date wildlife was hunted:
  - c. Description and size of the trophy and/or parts (e.g., skin, skull, shoulder mount, life size mount, claws, horn):
  - d. The current location of the trophy (address and country):
- 4. Complete name and address of overseas person or business shipping the trophy to you (this name will appear on

Form 3-200-20 Rev. <<u>Date</u>>> Page 2 of 5

Name: :	
City/Country:	
benefit wild populations of the species involved. population status or trend data; how the funds from	ervice must make a finding that your activities will enhance or If you have any information that could support this finding (e.g. m license\trophy fees will be spent; what portion of the hunting information on a separate page with your application.
CERTIFICATION STATEMENT	
	<b>behalf of a foreign national,</b> provide documentation to show nt's behalf and sign the following statement (disregard no. 7).
	be be imported has been/will be personally hunted by my client al use (i.e., not for sale, transfer, donation, or exchange that n, or benefit).
Taxidermist/Broker's signature:	Date:
I acknowledge that the sport-hunted trophy(ies) to	own trophy, please read and sign the following statement.  be be imported has been/will be personally hunted by me and is t for sale, transfer, donation, or exchange that is reasonably
I acknowledge that the sport-hunted trophy(ies) to being imported only for my personal use (i.e., not likely to result in economic use, gain, or benefit	t for sale, transfer, donation, or exchange that is reasonably t).
I acknowledge that the sport-hunted trophy(ies) to being imported only for my personal use (i.e., not likely to result in economic use, gain, or benefit	b be imported has been/will be personally hunted by me and is t for sale, transfer, donation, or exchange that is reasonably
I acknowledge that the sport-hunted trophy(ies) to being imported only for my personal use (i.e., not likely to result in economic use, gain, or benefit Applicant's signature:  Have you obtained all required State, Federal, or a signature of the state	be imported has been/will be personally hunted by me and is t for sale, transfer, donation, or exchange that is reasonably t).  Date:  Date:  requirements approval(s) to conduct the activity you requirements necessary to conduct this activity such as a
I acknowledge that the sport-hunted trophy(ies) to being imported only for my personal use (i.e., not likely to result in economic use, gain, or benefit Applicant's signature:  Have you obtained all required State, Federal, or propose? (Please be aware that there may be other hunting license, import permit, or collection permit yes (provide a copy of the approval(s))	be imported has been/will be personally hunted by me and is t for sale, transfer, donation, or exchange that is reasonably t).  Date:  Date:  Trequirements approval(s) to conduct the activity you requirements necessary to conduct this activity such as a nit.)  Have applied  Not required
I acknowledge that the sport-hunted trophy(ies) to being imported only for my personal use (i.e., not likely to result in economic use, gain, or benefit Applicant's signature:  3. Have you obtained all required State, Federal, or propose? (Please be aware that there may be other hunting license, import permit, or collection permity (provide a copy of the approval(s))  3. Enter the U.S. port through which the import will (All wildlife, including parts and products, must	be imported has been/will be personally hunted by me and is to for sale, transfer, donation, or exchange that is reasonably t).  Date:  Date:  foreign government approval(s) to conduct the activity you requirements necessary to conduct this activity such as a hit.)  Have applied  Not required  occur:  be declared to and inspected by a Wildlife Inspector from the forcement. A list of designated ports (where an inspector is
I acknowledge that the sport-hunted trophy(ies) to being imported only for my personal use (i.e., not likely to result in economic use, gain, or benefit Applicant's signature:  3. Have you obtained all required State, Federal, or propose? (Please be aware that there may be other hunting license, import permit, or collection permityes (provide a copy of the approval(s))  4. Enter the U.S. port through which the import will (All wildlife, including parts and products, must U.S. Fish and Wildlife Service Office of Law Enf	be imported has been/will be personally hunted by me and is t for sale, transfer, donation, or exchange that is reasonably t).  Date:  Date:  Date:  The requirements approval(s) to conduct the activity you or requirements necessary to conduct this activity such as a sait.)  Have applied  Not required  Occur:  be declared to and inspected by a Wildlife Inspector from the forcement. A list of designated ports (where an inspector is mpExp/Contact_Info_Ports.htm.)
I acknowledge that the sport-hunted trophy(ies) to being imported only for my personal use (i.e., not likely to result in economic use, gain, or benefit Applicant's signature:  3. Have you obtained all required State, Federal, or propose? (Please be aware that there may be other hunting license, import permit, or collection permityes (provide a copy of the approval(s))  3. Enter the U.S. port through which the import will (All wildlife, including parts and products, must U.S. Fish and Wildlife Service Office of Law Enterposted) is available from <a href="http://www.fws.gov/le/I">http://www.fws.gov/le/I</a>	be imported has been/will be personally hunted by me and is t for sale, transfer, donation, or exchange that is reasonably t).  Date:  Date:  Date:  The requirements approval(s) to conduct the activity you or requirements necessary to conduct this activity such as a sait.)  Have applied  Not required  Occur:  be declared to and inspected by a Wildlife Inspector from the forcement. A list of designated ports (where an inspector is mpExp/Contact_Info_Ports.htm.)
I acknowledge that the sport-hunted trophy(ies) to being imported only for my personal use (i.e., not likely to result in economic use, gain, or benefit Applicant's signature:  3. Have you obtained all required State, Federal, or propose? (Please be aware that there may be other hunting license, import permit, or collection permityes (provide a copy of the approval(s))  4. Enter the U.S. port through which the import will (All wildlife, including parts and products, must U.S. Fish and Wildlife Service Office of Law Enf posted) is available from <a href="http://www.fws.gov/le/I">http://www.fws.gov/le/I</a> 5. Address where you wish permit mailed (if different different mailed).	be imported has been/will be personally hunted by me and is t for sale, transfer, donation, or exchange that is reasonably t).  Date:  Date:  Toreign government approval(s) to conduct the activity you requirements necessary to conduct this activity such as a nit.)  Have applied  Not required  Occur:  be declared to and inspected by a Wildlife Inspector from the forcement. A list of designated ports (where an inspector is mpExp/Contact_Info_Ports.htm.)

Form 3-200-20 Rev. <<Date>> Page 3 of 5

#### PERMIT APPLICATION FORM INSTRUCTIONS

The following instructions pertain to an U.S. Fish and Wildlife Service or CITES permit. The General Permit Procedures in 50 CFR 13 address the permitting process. For simplicity, all licenses, permits, registrations, and certificates are be referred to as a permit.

#### **GENERAL INSTRUCTIONS:**

- Complete all blocks/lines/questions in Sections A or B, and C, D and E.
- An incomplete application may cause delays in processing or may be returned to the applicant. Be sure you are filling in the appropriate application form for the proposed activity.
- Print clearly or type in the information. Illegible applications may cause delays.
- Sign the application in <u>blue</u> ink. Faxes or copies of the original signature will not be accepted.
- Mail the original application to the address at the top of page one of the application or if applicable on the attached address list.
- Keep a copy of your completed application.
- Please plan ahead. Allow at least 60 days for your application to be processed. Some applications may take longer than 90 days to process. (50 CFR 13.11)
- Applications are processed in the order they are received.

Additional forms and instructions are available from .http://www.fws.gov/forms/display.cfm?number1=200

•

#### COMPLETE EITHER SECTION A OR SECTION B:

### Section A. Complete if applying as an individual:

- Enter the complete name of the responsible individual who will be the permittee if a permit is issued. Enter personal information that identifies the applicant. *Fax and e-mail are not required if not available.*
- If you are applying on behalf of a client, the personal information must pertain to the client, and a document evidencing power of attorney must be included with the application.
- Affiliation/ Doing business as (dba): business, agency, organizational, tribe, or institutional affiliation directly related to the activity requested in the application (e.g., a taxidermist is an individual whose business can directly relate to the requested activity). The Division of Management Authority (DMA) will not accept doing business as affiliations for individuals.

# Section B. Complete if applying as a business, corporation, public agency, tribe, or institution:

- Enter the complete name of the business, agency, tribe, or institution that will be the permittee if a permit is issued. Give a brief description of the type of business the applicant is engaged in. Provide contact phone number(s) of the business.
- **Principal Officer** is the person in charge of the listed business, corporation, public agency, tribe, or institution. The principal officer is the person responsible for the application and any permitted activities. Often the principal officer is a Director or President. **Primary Contact** is the person at the business, corporation, public agency, or institution who will be available to answer questions about the application or permitted activities. Often this is the preparer of the application.

#### ALL APPLICANTS COMPLETE SECTION C:

- For all applications submitted to the Division of Management Authority (DMA) a physical U.S. address is **required**. Province and Country blocks are provided for those USFWS programs which use foreign addresses and are not required by DMA.
- Mailing address is address where communications from USFWS should be mailed if different than applicant's physical address.

# ALL APPLICANTS COMPLETE SECTION D:

# Section D.1 Application processing fee:

- An application processing fee is required at the time of application; unless exempted under 50 CFR13.11(d)(3). The application processing fee is assessed to partially cover the cost of processing a request. The fee does not guarantee the issuance of a permit. Fees will not be refunded for applications that are approved, abandoned, or denied. We may return fees for withdrawn applications prior to any significant processing occurring.
- Documentation of fee exempt status is not required for Federal, tribal, State, or local government agencies; but must be supplied by those applicants acting on behalf of such agencies. Those applicants acting on behalf of such agencies must submit a letter on agency letterhead and signed by the head of the unit of government for which the applicant is acting on behalf, confirming that the applicant will be carrying out the permitted activity for the agency.

# Section D.2 Federal Fish and Wildlife permits:

• List the number(s) of your most current FWS or CITES permit or the number of the most recent permit if none are currently valid. If applying for re-issuance of a CITES permit, the original permit must be returned with this application.

# Section D.3 CERTIFICATION:

• The individual identified in Section A, the principal officer named in Section B, or person with a valid power of attorney (documentation must be included in the application) must sign and date the application in blue ink. This signature binds the applicant to the statement of certification. This means that you certify that you have read and understand the regulations that apply to the permit. You also certify that everything included in the application is true to the best of your knowledge. Be sure to read the statement and re-read the application and your answers before signing.

Please continue to next page

Form 3-200-20 Rev. <<u>Date</u>>> Page 4 of 5

# APPLICATION FOR A FEDERAL FISH AND WILDLIFE PERMIT

Paperwork Reduction Act, Privacy Act, and Freedom of Information Act - Notices

In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, et seq.) and the Privacy Act of 1974 (5 U.S.C. 552a), please be advised:

- 1. The gathering of information on fish and wildlife is authorized by:
  - (Authorizing statutes can be found at: <a href="http://www.gpoaccess.gov/cfr/index.html">http://www.fws.gov/permits/ltr/ltr.html</a>.)
  - a. Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22;
  - b. Endangered Species Act of 1973 (16 U.S.C. 1531-1544), 50 CFR 17;
  - c. Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21;
  - d. Marine Mammal Protection Act of 1972 (16 U.S.C. 1361, et. seq.), 50 CFR 18;
  - e. Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15;
  - f. Lacey Act. Injurious Wildlife (18 U.S.C. 42), 50 CFR 16;
  - g. Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), http://www.cites.org/, 50 CFR 23;
  - h. General Provisions, 50 CFR 10;
  - i. General Permit Procedures, 50 CFR 13: and
  - j. Wildlife Provisions (Import/export/transport), 50 CFR 14.
- 2. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the above laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. We may not conduct or sponsor and you are not required to respond to collection of information unless it displays a currently valid OMB control number.
- 3. Certain applications for permits authorized under the Endangered Species Act of 1973 (16 U.S.C. 1539) and the Marine Mammal Protection Act of 1972 (16 U.S.C. 1374) will be published in the **Federal Register** as required by the two laws.
- 4. Disclosures outside the Department of the Interior may be made without the consent of an individual under the routine uses listed below, if the disclosure is compatible with the purposes for which the record was collected. (Ref. 68 FR 52611, September 4, 2003)
  - a. Routine disclosure to subject matter experts, and Federal, tribal, State, local, and foreign agencies, for the purpose of obtaining advice relevant to making a decision on an application for a permit or when necessary to accomplish a FWS function related to this system of records.
  - b. Routine disclosure to the public as a result of publishing **Federal Register** notices announcing the receipt of permit applications for public comment or notice of the decision on a permit application.
  - c. Routine disclosure to Federal, tribal, State, local, or foreign wildlife and plant agencies for the exchange of information on permits granted or denied to assure compliance with all applicable permitting requirements.
  - d. Routine disclosure to Captive-bred Wildlife registrants under the Endangered Species Act for the exchange of authorized species, and to share information on the captive breeding of these species.
  - e. Routine disclosure to Federal, tribal, State, and local authorities who need to know who is permitted to receive and rehabilitate sick, orphaned, and injured birds under the Migratory Bird Treaty Act and the Bald and Golden Eagle Protection Act; federally permitted rehabilitators; individuals seeking a permitted rehabilitator with whom to place a bird in need of care; and licensed veterinarians who receive, treat, or diagnose sick, orphaned, and injured birds.
  - f. Routine disclosure to the Department of Justice, or a court, adjudicative, or other administrative body or to a party in litigation before a court or adjudicative or administrative body, under certain circumstances.
  - g. Routine disclosure to the appropriate Federal, tribal, State, local, or foreign governmental agency responsible for investigating, prosecuting, enforcing, or implementing statutes, rules, or licenses, when we become aware of a violation or potential violation of such statutes, rules, or licenses, or when we need to monitor activities associated with a permit or regulated use.
  - h. Routine disclosure to a congressional office in response to an inquiry to the office by the individual to whom the record pertains.
  - i. Routine disclosure to the General Accounting Office or Congress when the information is required for the evaluation of the permit programs.
  - j. Routine disclosure to provide addresses obtained from the Internal Revenue Service to debt collection agencies for purposes of locating a debtor to collect or compromise a Federal claim against the debtor or to consumer reporting agencies to prepare a commercial credit report for use by the FWS.
- 5. For individuals, personal information such as home address and telephone number, financial data, and personal identifiers (social security number, birth date, etc.) will be removed prior to any release of the application.
- 6. The public reporting burden on the applicant for information collection varies depending on the activity for which a permit is requested. The relevant burden for an **IMPORT OF SPORT-HUNTED TROPHIES** application is 60 minutes. This burden estimate includes time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. You may direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, U.S. Fish and Wildlife Service, Mail Stop 222, Arlington Square, U.S. Department of the Interior, 1849 C Street, NW, Washington D.C. 20240.

Freedom of Information Act – Notice

For organizations, businesses, or individuals operating as a business (i.e., permittees not covered by the Privacy Act), we request that you identify any information that should be considered privileged and confidential business information to allow the Service to meet its responsibilities under FOIA. Confidential business information must be clearly marked "Business Confidential" at the top of the letter or page and each succeeding page and must be accompanied by a non-confidential summary of the confidential information. The non-confidential summary and remaining documents may be made available to the public under FOIA [43 C13(c)(4),, 43 CFR 2.15(d)(1)(i)].

Form 3-200-20 Rev. <<u>Date</u>>> Page 5 of 5