

## New Winery Application

The following screens depict what a typical industry member would need to fill out for completing the New Winery application which is a collection of the following OMB forms:

- 5000.8- Power of Attorney
- 5000.9- Personnel Questionnaire - Alcohol and Tobacco Products
- 5000.18- Change in Bond (Consent of Surety)
- 5000.29- Environmental Information
- 5000.30- Supplemental Information on Water Quality Considerations
- 5100.1- Signing Authority for Corporate and LLC Officials
- 5100.24- Application for Basic Permit Under FAA Act
- 5100.25- Application to Establish and Operate Wine Premises
- 5120.36- Wine Bond

## Welcome Page

This page is displayed when the user goes to the Permits Online website. This page includes the links to the Privacy Act Statement, Privacy Impact Assessment and Paperwork Reduction Act Notice.

[Register for an Account](#) | [Login](#)

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[Alcohol Permits & Registrations](#)



### Welcome to the Alcohol and Tobacco Tax and Trade Bureau (TTB) Permits Online System

We are pleased to offer all of our industry members access to TTB government services online, 24 hours a day, 7 days a week, fulfilling our desire to deliver more efficient, convenient, and interactive e-government services.

To use any of the services we provide you must have a user account. If you have already registered for your account you can [Login](#). If you do not have an account, please [Register](#) and create a user account to get started.

#### WARNING!

THIS SYSTEM IS THE PROPERTY OF THE UNITED STATES DEPARTMENT OF TREASURY. UNAUTHORIZED USE OF THIS SYSTEM IS STRICTLY PROHIBITED AND SUBJECT TO CRIMINAL AND CIVIL PENALTIES. THE DEPARTMENT MAY MONITOR, RECORD, AND AUDIT ANY ACTIVITY ON THE SYSTEM AND SEARCH AND RETRIEVE ANY INFORMATION STORED WITHIN THE SYSTEM. BY ACCESSING AND USING THIS COMPUTER YOU ARE AGREEING TO ABIDE BY THE TTB RULES OF BEHAVIOR, AND ARE CONSENTING TO SUCH MONITORING, RECORDING, AND INFORMATION RETRIEVAL FOR LAW ENFORCEMENT AND OTHER PURPOSES. USERS SHOULD HAVE NO EXPECTATION OF PRIVACY WHILE USING THIS SYSTEM.

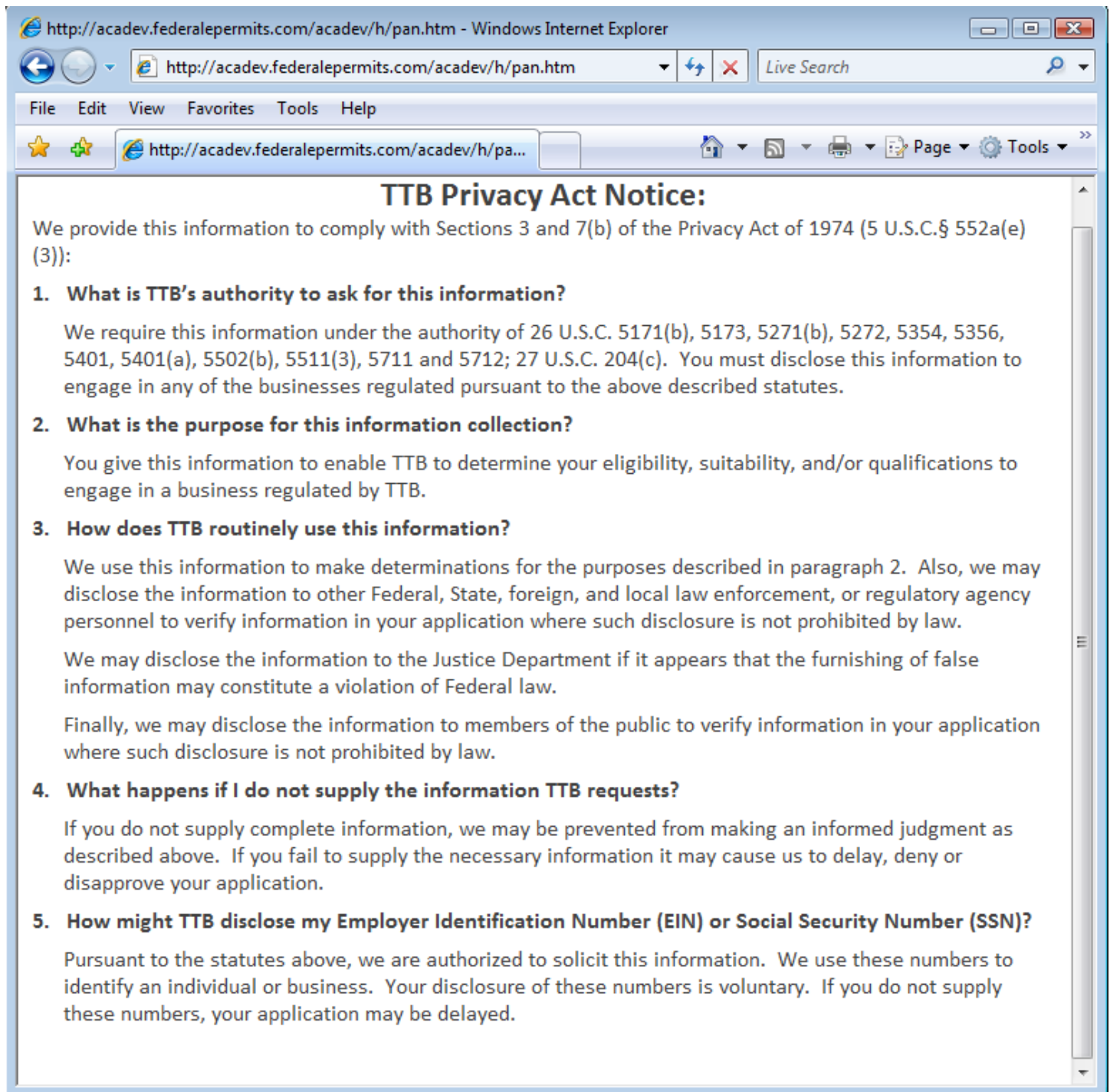
[Privacy Act Statement](#) [Privacy Impact Assessment](#) [Paperwork Reduction Act Notice](#)

**Alcohol Permits & Registrations**

[Search Your Applications](#)

## Privacy Act Statement Page

This page displays the consolidated Privacy Act Notice for the Permits Online application.



The screenshot shows a Windows Internet Explorer browser window. The address bar contains the URL <http://acadev.federalepermits.com/acadev/h/pan.htm>. The page title is "TTB Privacy Act Notice:". The main content of the page is as follows:

### TTB Privacy Act Notice:

We provide this information to comply with Sections 3 and 7(b) of the Privacy Act of 1974 (5 U.S.C. § 552a(e) (3)):

- 1. What is TTB's authority to ask for this information?**

We require this information under the authority of 26 U.S.C. 5171(b), 5173, 5271(b), 5272, 5354, 5356, 5401, 5401(a), 5502(b), 5511(3), 5711 and 5712; 27 U.S.C. 204(c). You must disclose this information to engage in any of the businesses regulated pursuant to the above described statutes.
- 2. What is the purpose for this information collection?**

You give this information to enable TTB to determine your eligibility, suitability, and/or qualifications to engage in a business regulated by TTB.
- 3. How does TTB routinely use this information?**

We use this information to make determinations for the purposes described in paragraph 2. Also, we may disclose the information to other Federal, State, foreign, and local law enforcement, or regulatory agency personnel to verify information in your application where such disclosure is not prohibited by law.

We may disclose the information to the Justice Department if it appears that the furnishing of false information may constitute a violation of Federal law.

Finally, we may disclose the information to members of the public to verify information in your application where such disclosure is not prohibited by law.
- 4. What happens if I do not supply the information TTB requests?**

If you do not supply complete information, we may be prevented from making an informed judgment as described above. If you fail to supply the necessary information it may cause us to delay, deny or disapprove your application.
- 5. How might TTB disclose my Employer Identification Number (EIN) or Social Security Number (SSN)?**

Pursuant to the statutes above, we are authorized to solicit this information. We use these numbers to identify an individual or business. Your disclosure of these numbers is voluntary. If you do not supply these numbers, your application may be delayed.

## Paperwork Reduction Act Notice Page

This page displays the Paperwork Reduction Act Notice for the Permits Online application.

http://acadev.federalearmits.com/acadev/h/prah.htm - Windows Internet Explorer

http://acadev.federalearmits.com/acadev/h/prah.htm

File Edit View Favorites Tools Help

http://acadev.federalearmits.com/acadev/h/prah...

This request is in accordance with the Paperwork Reduction Act of 1995.

- **Power of Attorney Information (OMB No. 1513-0014):** This information collection is used by TTB to ensure that only duly authorized individuals are signing documents. The information is voluntary.
- **Personnel Questionnaire Information (OMB No. 1513-0002):** The information collection is used by TTB to determine if an applicant is eligible to receive an alcohol and tobacco permit. The information is mandatory (26 U.S.C. 5712, 27 U.S.C. 204).
- **Change In Bond/Consent of Surety (OMB No. 1513-0013) :** We use this information to determine whether a business may change its bond. We must identify changes to the business, the surety company, and the bond. The information we request is required for you to obtain a benefit and is mandatory by law (26 U.S.C. 5173, 5272, 5354, 5401, and 5711).
- **Environmental Information (OMB No. 1513-0023):** This information collection is used by TTB to determine if any environmental impact statement or environmental permit is necessary for the proposed operation. The information is required to obtain a benefit.
- **Water Quality Considerations Information (OMB No. 1513-0023):** This information collection is used by TTB to determine whether a certification from a State of multi-state authority is needed for discharge of effluent into navigable water of the U.S. This information is required to obtain a benefit.
- **Signing Authority Information (OMB No. 1513-0036):** This information collection is used by TTB to ensure that only duly authorized individuals are signing documents. This information is voluntary.
- **Basic Permit Information (OMB No. 1513-0018):** The information is used to determine eligibility of the applicant to engage in certain operations, to determine the location and extent of operations, and to determine whether the operations will be in conformity with Federal laws and regulations. The information requested is required to obtain or retain a benefit and is mandatory by statute (27 U.S.C. 203 and 204 (c)).
- **Wine Premises Information (OMB No. 1513-0009):** The information is used by TTB to determine if the applicant is eligible to receive a wine premises permit. The information is required to obtain a permit.
- **Wine Bond Information (OMB No. 1513-0009):** The information is used by the proprietor, or the proprietor and surety company, as a contract to ensure tax payment. The information requested is required to obtain a benefit and is mandatory by statute (26 U.S.C. 5172)

The estimated average burden associated with the Owner/Officer application collection of information is 2 hours, for the Winery application collection of information is 7 hours and 45 minutes or for the Wholesaler/Importer application collection of information is 4 hours and 30 minutes, per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, Washington, DC 20220.

An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a current, valid OMB control number.

## Welcome Page

This page is displayed once the user has successfully logged into the system with a valid username and password.

Logged in as: **Natasha Griffith** | [Collections \(2\)](#) ▼ | [Account Management](#) | [Logout](#)

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### Welcome Natasha Griffith

You are now logged in to TTB's Permits Online

You may now create an original or amended application or search for your existing applications. Click here for a [description of application types](#). Application types currently available for submission through TTB's Permits Online are Bonded Winery, Bonded Wine Cellar, Tax Paid Wine Bottling House, Alcohol Wholesaler/Exporter and Alcohol Importer. Other TTB regulated commodities will be available in the future.

#### Alcohol Permits & Registrations

[Create a New Application](#)

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## Create a New Application: Penalty of Perjury/TTB WARNING

This page is displayed when the user selects the "Create a New Application" link from the Main Page

ON-LINE SERVICES

Logged in as: **Natasha Griffith** | [Collections \(0\)](#) | [Reports \(11\)](#) ▼ | [Account Management](#) | [Logout](#)

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[Create a New Application](#) | [Search Your Applications](#)

### TTB's Permits Online Applications

You may now create an original or amended application. Click here for a [description of application types](#). Application types currently available for submission through TTB's Permits Online are Bonded Winery, Bonded Wine Cellar, Tax Paid Wine Bottling House, Alcohol Wholesaler/Exporter and Alcohol Importer. Other TTB regulated commodities will be available in the future.

You must read and accept the terms below before beginning your application.

Please "Allow Pop-ups from This Site" before proceeding.

Under penalties of perjury, I declare that I have examined this application, including accompanying statements, and to the best of my knowledge and belief, it is true, correct, and complete. The applicant must immediately notify the TTB official with whom this application is filed of any change in ownership, management, or control of the applicant (in the case of a corporation, any change in the officers, directors, or persons holding 10 percent or more of the corporate stock). The business for which this application is made does not violate the law of the State in which the business will be conducted. In addition, if this application is approved, the applicant will conduct operations within a reasonable period of time and maintain such

I have read and accepted the above terms.

[Continue Application »](#)

## Create a New Application: Select Application Type

After reading and agreeing to the warning, the Application Type page appears (Note: The appearance of this screen will change in the final product. Instead of a long list of option, there will be dropdowns for the user to select)

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### Select an Application Type

Click here for a [description of application types](#).

--Select a Category--

- Select a Category--
- Amended WHL IMP
- Amended Winery
- Original WHL IMP
- Original Winery**
- Owner Officer Information

[Continue Application »](#)

Logged in as: **Natasha Griffith** | [Collections \(2\)](#) | [Reports \(13\)](#) | [Account Management](#) | [Logout](#)

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### Select an Application Type

Click here for a [description of application types](#).

**Original Winery**

Application for New Winery Operation

[Continue Application »](#)

## Create a New Application: Step 1: Contacts & Locations- Application Contact

This is the first step of the application where the user must enter in the application contact information

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### Application for New Winery Operation

1 **Contacts & Location** | 2 Application Information | 3 Business Information | 4 Review and Submit | 5 Record Submittal

#### Step 1 : Contacts & Location > Business Contacts

\* indicates a required field.

### Application Contact

Please enter information about the contacts associated with this application. Only those contact types required for your specific application will be listed. A description of each contact type follows:

**Application Contact:** This information pertains to the primary person with which TTB will discuss application information and who will receive email notifications from TTB. This may be a consultant or an officer/owner for the applicant company.

**Business Headquarters:** This information pertains to the actual business entity or person applying for approval. In this section, you will supply the name of the business as it will be shown on any forms, permits, or bonds, so it is important that you carefully and accurately complete that information. Please refer to our field specific Help button for details.

**Mailing Address:** This information refers to the address where you receive mail from us and the primary contact person at the mailing address location. In many cases, this will be the same person entered in the Premise Address section of this application.

**Officer-Owner:** This information pertains to the individual person that will be listed on the original or amended application filed with TTB as an officer, owner, member, or partner with the applicant entity. A separate Officer/Owner Information Application must be filed for each individual.

Auto-fill with ?

\* First Name:  Middle Name:  \* Last Name:

Position/Title:

Name of Business:  ?

Address:

P.O. Box:

\* City:  \* State:  \* Zip:

Country:

\* Primary Phone:  ? Alternate Phone:  ? Fax:

E-mail:



## Create a New Application: Step 1: Contacts & Locations- Business Headquarters

This is the first step of the application where the user must enter in the business headquarters information

### Business Headquarters

Please enter information about the contacts associated with this application. Only those contact types required for your specific application will be listed. A description of each contact type follows:

**Application Contact:** This information pertains to the primary person with which TTB will discuss application information and who will receive email notifications from TTB. This may be a consultant or an officer/owner for the applicant company.

**Business Headquarters:** This information pertains to the actual business entity or person applying for approval. In this section, you will supply the name of the business as it will be shown on any forms, permits, or bonds, so it is important that you carefully and accurately complete that information. Please refer to our field specific Help button for details.

**Mailing Address:** This information refers to the address where you receive mail from us and the primary contact person at the mailing address location. In many cases, this will be the same person entered in the Premise Address section of this application.

**Officer-Owner:** This information pertains to the individual person that will be listed on the original or amended application filed with TTB as an officer, owner, member, or partner with the applicant entity. A separate Officer/Owner Information Application must be filed for each individual.

Auto-fill with 



\* Name of Business:    
\* EIN: 

Address:

P.O. Box:

\* City:  \* State:  \* Zip:

Country:

\* Primary Phone:   Alternate Phone:   Fax:

E-mail:

Save and resume later:

## **Create a New Application: Step 1: Contacts & Locations- Premises Address**

This is the first step of the application where the user must enter in the premises address information

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### Application for New Winery Operation



#### Step 1 : [Contacts & Location](#) > [Business Location](#)

\* indicates a required field.

#### Premise Address

This section pertains to the physical location and address where your approved operations will take place.

All address fields refer to the physical address of the location where your operations will be conducted. Use <http://zip4.usps.com/zip4/> to verify your address and enter each portion of the address exactly as it is shown by the USPS.

**Premise Contact Name & Phone Number:** In this section, you will supply information on the primary person within the applicant company with whom TTB will conduct a phone interview about the proposed operations, if necessary. You will be requested to submit a photocopy of the driver's license or other official State ID card for this person as an attachment if this is an original application or a change of proprietorship. This person must have signing authority on behalf of the applicant entity.

**Historical Building:** If your proposed premises are included in or eligible for inclusion in the National Register of Historic Places, you must answer "Yes". You will be required to provide documentation from your State Historic Preservation Office showing permission to conduct the proposed operations in that building. This information may already be on file with TTB.

|   |                         |                      |              |                |
|---|-------------------------|----------------------|--------------|----------------|
| Street #:   | Direction:              | Street Name:         | Street Type: | Street Suffix: |
| <input type="text"/>  | --Select--              | <input type="text"/> | --Select--   | --Select--     |
| Unit Type:  | Unit No.:               |                      |              |                |
| --Select--  | <input type="text"/>    |                      |              |                |
| Rural Address:  | <input type="text"/>    |                      |              |                |
| Other Address:  | <input type="text"/>    |                      |              |                |
| * City:   | * State:                | * Zip:               |              |                |
| <input type="text"/>  | --Select--              | <input type="text"/> |              |                |
| * Premise Contact Name:                                       | * Premise Phone Number: |                      |              |                |
| <input type="text"/>  | <input type="text"/>    |                      |              |                |
| * Is your Building a Historical Building?:                    |                         |                      |              |                |
| <input type="radio"/> Yes <input checked="" type="radio"/> No |                         |                      |              |                |
| <input type="button" value="Clear"/>                          |                         |                      |              |                |

Save and resume later:

### Create a New Application: Step 1: Contacts & Locations- Mailing Address

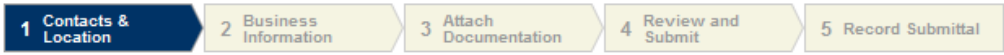
This is the first step of the application where the user must enter in the mailing address information

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### Application for New Winery Operation



#### Step 1 : Contacts & Location > Mailing Address

\* indicates a required field.

### Mailing Address

Please enter information about the contacts associated with this application. Only those contact types required for your specific application will be listed. A description of each contact type follows:

**Application Contact:** This information pertains to the primary person with which TTB will discuss application information and who will receive email notifications from TTB. This may be a consultant or an officer/owner for the applicant company.

**Business Headquarters:** This information pertains to the actual business entity or person applying for approval. In this section, you will supply the name of the business as it will be shown on any forms, permits, or bonds, so it is important that you carefully and accurately complete that information. Please refer to our field specific Help button for details.

**Mailing Address:** This information refers to the address where you receive mail from us and the primary contact person at the mailing address location. In many cases, this will be the same person entered in the Premise Address section of this application.

**Officer-Owner:** This information pertains to the individual person that will be listed on the original or amended application filed with TTB as an officer, owner, member, or partner with the applicant entity. A separate Officer/Owner Information Application must be filed for each individual.

Auto-fill with ?

First Name:  Middle Name:  Last Name:

Name of Business:  ?

Address:

P.O. Box:

\* City:  \* State:  \* Zip:

Country:

[Continue Application »](#)

Save and resume later:

## Create a New Application: Step 2: Application Information

This the main data entry page for the winery application

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### Application for New Winery Operation

1 [Contacts & Location](#)

2 **Application Information**

3 [Business Information](#)

4 [Review and Submit](#)

5 [Record Submittal](#)

#### Step 2 : Application Information > Base Information

\* indicates a required field.

#### Application Information

To add TRADE NAMES / OPERATING NAME, click Add row and fill out the form section that displays. You can repeat this process as many times as needed.

#### TRADE NAMES / OPERATING NAME

Add a row for each trade name you will use which will be added to your permit and/or registration. A company may have only one Operating Name (DBA) per location, per commodity. Please click [here](#) for additional rules that may apply to your trade name filings.

\* Type:



--Select--

Who will you be Bottling on Account For?:



\* Name:



\* I certify that the listed trade name has been registered with my County (CA) or State (All States)



Yes  No

Delete row

Add row

## Application Information

### REASON FOR THE APPLICATION

Indicate whether this Original Application is being filed due to a New Business, a Change of Proprietorship, or a Change in General Partner(s) by checking the appropriate box. A Change of Proprietorship may be the result of brand new ownership and a proprietor unrelated to the predecessor (ABC Inc. takes over from DEF Inc), or may be the same individual(s) changing entity type (from a sole proprietor to an LLC, from a partnership to a corporation). A Change in General Partner(s) is when any partner in a general partnership changes, or when the general partner in a limited partnership changes. If only limited partners are changing, then you need to file an amended application only. If you are filing for either a Change of Proprietorship or Change in General Partner(s), you need to identify the permit number(s), registry number(s), and name and address of the predecessor company.

New Business:

Change of Proprietorship - Ownership:

Change of General Partner(s):

Permit Number(s) of Predecessor:

Registry Number(s) of Predecessor:

Name and Address of Predecessor:

### APPLICATION TYPE

In almost all cases, an applicant will only apply for one of the first four application types listed below for a single location. It is unnecessary to apply as a Bonded Wine Cellar if you are already applying as a Bonded Winery, as all activities allowed under a Bonded Wine Cellar are included in an approval as a Bonded Winery. In some cases, an applicant will need to qualify as a Tax Paid Wine Bottling House in addition to one of the first four options.

Bonded Winery - Producing and Blending Wine\*

Bonded Winery - Blending Wine Only

Bonded Wine Cellar and Wine Blender

Bonded Wine Cellar

Tax Paid Wine Bottling House

### APPLICATION INFORMATION

This information pertains to your business organization and the timing of commencement of your proposed operations.

\* Type of Organization:

\* State Where Incorporated:

New Business Start Date/Date of Change

Start Date for New Business or Change Upon Approval by TTB

[Continue Application »](#)

Save and resume later:

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### Application for New Winery Operation

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#### Step 2 : Application Information > Officer-Owner Information

\* indicates a required field.

#### Application Information

##### OWNER BACKGROUND INFORMATION

There are two questions in this section of this application; one pertains to the denial of a permit or authorization related to TTB regulated industries and the other pertains to arrests /convictions of persons connected with this application. While similar questions may appear on other applications being filed with TTB, including the Owner / Officer Information Application, there are slight variations in the content. Answer Yes or No accordingly. If the answer to either question is "Yes", please provide details on the denial or arrest/conviction, including dates, places, and final disposition. Failure to accurately answer these questions may negatively affect approval of your application.

\* Has the applicant or any person listed on this application ever been denied a permit, license, or other authorization to engage in any business to manufacture, distribute, import, sell, or use alcohol products (beverage or nonbeverage) by any government agency (Federal, State, local or foreign) or had such a permit, license, or other authorization revoked, suspended, or otherwise terminated?

Yes  No

If Yes, State Details:

\* Has the applicant or any person listed in this application ever been arrested for, charged with, or convicted of any crime under Federal, State, or Foreign laws other than traffic violations or convictions that are not felonies under Federal or State law.

Yes  No

If Yes, State Details.:

## Application Information

To add OFFICER/OWNERSHIP INFORMATION, click Add row and fill out the form section that displays. You can repeat this process as many times as needed.

To add SIGNING AUTHORITY, click Add row and fill out the form section that displays. You can repeat this process as many times as needed.

To add POWER OF ATTORNEY INFORMATION, click Add row and fill out the form section that displays. You can repeat this process as many times as needed.

### OFFICER/OWNERSHIP INFORMATION

For each person who is a sole proprietor, partner, officer, director, member, managing member, or owner of 10% or more of stock or ownership in the applicant entity, you must file an Officer/Owner Information Application in addition to this application. In addition, you must complete the fields in this section of the application for each of those persons, as well as for any company / trust which is an owner / member. Add a row for each additional person or company/trust related to the application.

\* How is Officer/Owner Info Submitted?:

First Name:

Suffix:

Officer/Owner Info Tracking No.:

Middle Name:

\* Primary Title:

\* Officer/Owner Classification:

Last Name:

List Additional Titles:

Title if Other:

\* Description of Duties or Relation to the Proposed Operation:

Company Name:

Trust Name:

\* Percent Voting-Stock-Interest:

\* Investment in Business:

\* Source of Funds (SOF) Description:

\* How is SOF Documentation Submitted?:

Delete row

Add row




---


## SIGNING AUTHORITY


These fields are used to establish who has the authority to sign and act on behalf of the applicant / industry member with TTB, and is used for employees of the company. Consultants, outside Counsel, and other individuals not employed by the company should complete the Power of Attorney section of this application. You may assign signing authority to specific individuals or to positions /titles. You will need to add a row for each person or title being granted authority. For Corporations and LLCs, entries will be used to populate TTB Form 5100.1. For all users, this information will be used to establish signing authority with TTB.

\* Authority Granted by: 


--Select--

Last Name: 


Title if Other: 

\* Type of Board Meeting: 

--Select--

If Limited, Signing Authority Capacity: 

Delete row

First Name: 

Suffix:

--Select--

\* Signing Authority Capacity:

--Select--

\* Date of Meeting: 


Middle Name:

\* Title: 

--Select--

\* Source of Authority: 

--Select--

\* Type: 


--Select--

Add row

---

## POWER OF ATTORNEY INFORMATION

These fields are used to establish who has the authority to sign and /or act on behalf of the applicant / industry member with TTB, and is used for individuals who are not employees of the company. Consultants, outside Counsel, and other individuals not employed by the company should use this section of this application. Employees of the applicant/industry member company should complete the Signing Authority section of this application. You will need to add a row for each person being granted power of attorney. You must supply information about the person being granted power of attorney in the applicable fields. You will need to add a row for each additional person receiving power of attorney authority. The information you enter will be used to populate TTB Form 5000.8. Click [here](#) for VERY IMPORTANT information about submitting this information to TTB.

\* First Name: 

Suffix:

--Select--

\* Phone: 

Fax Number:

If Limited, Specific Powers to be Conferred: 

Delete row

Middle Name:


\* Address: 

Phone Extension:

Email:

\* Effective Date: 

\* Last Name: 

\* Phone Area Code: 

Fax Area Code:

\* Type: 

--Select--

Add row

# Create a New Application: Step 3: Business Information

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## Application for New Winery Operation

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### Step 3 : Business Information > Business Info & Documents

\* indicates a required field.

#### Application Information

##### NON CONTIGUOUS OPERATIONS

A non-contiguous premises situation occurs when a wine premises proprietor wishes to use multiple nearby locations which are physically separated from each other. All locations in a non-contiguous premises situation must be part of one integrated operation and should not be stand alone operations within themselves. Typically, each location is in the same city, state, and zip code (unless the additional location(s) is for storage only), and no more than 10 miles apart from one another. If you are including one or more non-contiguous premises in addition to your primary premises, you must answer "Yes" and then list those addresses in the block provided.

\* Does this application include one or more non-contiguous premises locations in addition to your primary premises?  Yes  No

If Yes, please provide the physical address for each non-contiguous location other than the primary address shown under the Premise Address fields provided earlier in this application.

##### WINERY INFORMATION

This information pertains to your proposed wine premises and operations to be conducted at those premises. Please refer to the field specific Help provided for additional details on what is required for each question.

\* Wine Premises Description: Describe each tract of land comprising the wine premises. Description must be by directions and distances, in feet and inches, with sufficient particularity to enable ready examination of the boundary of the wine premises. [?](#)

\* Wine Premises Security: Describe the means employed to afford security of the wine premises. [?](#)

\* Taxpaid Wine Storage: Describe where and how any taxpaid wine will be stored on the premises and the means used to segregate and identify taxpaid wine from un taxpaid wine.

\* Bonded Winery in a Residential Building: If operating a bonded winery or bonded wine cellar in a residential building, describe how the bonded premises are segregated from the residence and what direct access to the bonded premises is available.

\* Wine Premises Alternating Areas: Describe any alternating areas. Each wine premises building must be described as to size, construction, and use. Buildings not used for the wine operations must be described only as to size and use. If wine premise consists of a partial building, rooms or floors, each must be described separately. Means of ingress and egress from the wine premise to adjoining portions must be described.

\* Wine Premises Building: Each wine premises building must be described as to size, construction, and use. Buildings not used for the wine operations must be described only as to size and use. If wine premises consists of a partial building, rooms or floors, each must be described separately. Means of ingress and egress from the wine premises to adjoining portions must be described.

\* Disclosure: If this application is not for a bonded wine premises in which production operations will be conducted and, thus a Federal Alcohol Administration Act basic permit is not required, would you agree to the listing of your name in a TTB publication which may be distributed to the general public upon request? A "No" response will have no effect on the consideration of this application. Under U.S.C. 6103, you have a legal right not to give this release



\* Describe any operation which will involve the use of spirits.



\* Volatile Fruit-Flavor Concentrate Operations: For volatile fruit-flavor concentrate producers, submit a step-by-step description of the production process, commencing with obtaining the juice through each step of the process to removal of the concentrate from the system.



\* Volatile Fruit-Flavor High Concentrate: For production of high-proof concentrate (more than 24 percent alcohol), indicate any step in the process at which the spirits are potable. Include the maximum quantity in gallons of fruit must and volatile fruit-flavor concentrate produced in 24 hours; the maximum and minimum fold; and the maximum percent of alcohol in the concentrate for each kind of fruit used.



\* Other Operations: Describe any other operations not specifically authorized by Part 24 that are to be conducted on the wine premises. This must include a list of the premises and any major equipment used, and a statement as to the relationship, if any, of the operation to the wine operations. These other operations need not be restricted to alcohol-related businesses.



## ENVIRONMENTAL INFORMATION

Most of the questions here are self-explanatory and refer to the regulated operations at the premises address. The request for number of employees is asking for the number located at the premises address where the regulated operations will take place. You should list the name of the Power Company when asked for the source of heat and power. Examples of Liquid Waste include spilled wine and wash water. Examples of Solid Waste include broken glass, cardboard, and grape must. You may state "Not Applicable" in any fields where there is no information that applies. If you are filing an original or amended application that includes a non-contiguous premises situation (explained under Wine Premises Description in the Wine Information Section), you must list each non-contiguous address (including the primary wine premises address) under each question and answer the question for each location.

\* Enter Number of Employees

\* Location Where Activity is to be Conducted (Be specific, Number, Street, City, State, Zip Code; describe locations of buildings and outside equipment and their situation relative to surrounding environment including other structures, land use, lakes, streams, roads, railroad facilities, etc. Maps, photos, or drawings may be provided. )

\* Describe types of heat and power to be used and their sources. If they are to be produced in connection with the proposed activity, estimate type and quantity of fuel to be used for each purpose. (Example: 40 tons/yr. anthracite coal for heat, 20 million cu.-ft/yr. natural for power generating.)

\* Describe any air pollution control equipment proposed for use in connection with fuel burning equipment, boilers, or smokestacks.

\* Describe amount and composition of all solid waste that will be generated

\* Discuss proposed methods of disposal (Incineration, open burning, landfill, government or commercial garbage collection, etc.) Specify whether on-site or off-site.

\* Describe any air pollution control equipment proposed for use in connection with any incinerators.


\* Liquid Waste (Complete this item irrespective of any certification obtained as to compliance with any environmental quality standards of any Federal, State, or local agency having responsibility for environmental protection including any certification under the Federal Water Pollution Control Act.) Describe amount and content of any liquid waste to be generated.

\* Describe proposed method of disposal ( sewer, flaming, recycling, etc.) Describe any proposed means to monitor quality and characteristics, and any proposed equipment or facilities for treatment or control of liquid wastes.

\* Noise (Describe operational noise sources other than those normally associated with office operations, building maintenance, or utilities.)

## WATER QUALITY INFORMATION

Most of the questions here are self-explanatory and refer to the regulated operations at the premises address. Please note that most of these questions refer to discharge into "navigable waters". You may state "Not Applicable" in any fields where there is no information that applies. If you are filing an application or amendment that includes a non-contiguous premises situation (explained under Wine Premises Description in the Wine Information Section), you must list each non-contiguous address (including the primary wine premises address) under each question and answer the question for each location.

\* Describe activity to be conducted in which the Alcohol and Tobacco Tax and Trade Bureau has an interest 


\* Describe any direct or indirect discharge into navigable waters which may result from the conduct of the activity described in the question above to be conducted section where TTB has Interest, including the biological, chemical, thermal, or other characteristic of the discharge and the locations at which such discharge may enter navigable waters.


\* Give the date or dates on which the activity will begin and end, if known, and on which the discharge will take place.


\* Describe the methods and means used or to be used to monitor the quality and characteristics of the discharge and the operation of equipment or facilities employed in the treatment or control of wastes or other effluents.


## CONSENT OF SURETY


For an original application, this section generally only applies if you are filing for a Non-Contiguous Premises or an Alternation of Premises (sharing premises with a distilled spirits plant or brewery). Information about each of these situations can be found [here](#). IF YOUR APPLICATION IS NOT RELATED TO ONE OF THESE TYPES OF CHANGES, SKIP THIS SECTION. If you do need to complete this information, insert the requested information as it pertains to the current bond on file (or being filed) that needs additional stipulations. Click [here](#) for VERY IMPORTANT information about submitting this information to TTB.


\* What is the corporate surety, if any, listed on the bond that you are changing? 

\* What is the form number of the bond that you are changing? 


\* What is the dollar amount of the bond that you are changing? 

\* What is the effective date of the bond that you are changing? 



What is the effective date of this change in bond? 



\* We are changing the above bond as follows: 

## YOUR DECLARATION

You must check the associated box to indicate that you declare, under penalties of perjury, that you have examined this application and that it is true, correct, and complete to the best of your knowledge and belief. The date that you check the box signifying this declaration will be auto-filled into the field provided.

\* Under penalties of perjury, I declare that I have examined this application, including accompanying statements, and to the best of my knowledge and belief, it is true, correct, and complete.

\* Declaration Date:  

## Application Information

To add WINE BOND, click Add row and fill out the form section that displays. You can repeat this process as many times as needed.

To add RELATED BONDS AND PERMITS, click Add row and fill out the form section that displays. You can repeat this process as many times as needed.

To add ALTERNATION OF PREMISES, click Add row and fill out the form section that displays. You can repeat this process as many times as needed.


















To add ALTERNATION OF PROPRIETORS, click Add row and fill out the form section that displays. You can repeat this process as many times as needed.

To add REQUEST FOR VARIANCE, click Add row and fill out the form section that displays. You can repeat this process as many times as needed.

To add STATEMENTS AND DOCUMENTS, click Add row and fill out the form section that displays. You can repeat this process as many times as needed.

## WINE BOND

A wine premises proprietor (except for a TPWBH) filing an original application must submit a wine bond with sufficient bond coverage, which protects TTB in case a proprietor is unable to satisfy their excise tax liability. You may also file a new bond to reflect a new penal sum, switch in bond category (Surety, Cash, T-Note, T-Bill), or a change in surety company. If you are filing for a Change of Location, you may either submit a Superseding Wine Bond within this table or a Consent of Surety form earlier in this application. In most cases, a new winery will have only one bond. However, a winery is allowed to have multiple bonds that together provide sufficient bond coverage. You must have a primary bond for each commodity type that requires one. If you have more than one bond, add a row and enter the appropriate information for each additional bond. Click [here](#) for VERY IMPORTANT information about submitting this information to TTB.

|  |   |   |
|--|---|---|
| * Commodity Type: <br><input type="text" value="--Select--"/>   | * Bond Kind: <br><input type="text" value="--Select--"/>   | * Effective Date of Bond: <br><input type="text"/>  |
| * Bond Coverage - Operations: <br><input type="text" value=".00"/>  | Bond Coverage - Deferral: <br><input type="text" value=".00"/>   | * Bond Coverage - Total Penal Sum: <br><input type="text"/>  |
| * Bond Category: <br><input type="text" value="--Select--"/>  | If Surety - Surety Name: <br><input type="text"/>  | If Surety - Bond Number:<br><input type="text"/>  |
| If Cash - Check Number: <br><input type="text"/>  | If T-Note or T-Bond - CUSIP Number: <br><input type="text"/>   | If T-Note or T-Bond - Interest Rate: <br><input type="text"/>  |
| If T-Note or T-Bond - Maturity Date: <br><input type="text"/>  | If T-Note or T-Bond - Issue Date: <br><input type="text"/>  | * Execution Date:<br><input type="text"/>    |

Delete row

Add row



---

### RELATED BONDS AND PERMITS

In this section, you need to supply the applicable information about: permits, registrations, or bonds associated with other regulated alcohol operations at this location; and permits and registrations (but not bonds) associated with related alcohol operations at other locations (under the same entity / Employer Identification Number (EIN), or a different entity/EIN sharing ownership of more than 50% with the applicant entity). You must add a row for each additional listing. Click [here](#) for additional information and examples.

\* Commodity Type:

Bond Form:

Bond Category:

Surety Name if Applicable:

Amount:

Permit Number:

Registry Number:

Delete row

Add row

---

### ALTERNATION OF PREMISES

An Alternation of Premises refers to multiple operations being conducted at a location with alternating use of premises, equipment, or tanks between those operations. These operations must be between two or more different approved production commodity types, such as a winery, brewery, distillery, or TPWBH. For example, you may have both your winery and brewery alternate use of certain tanks and a bottling line. In these instances, you must qualify as both commodity production types, and dedicate a portion of each of the premises as 'permanent dedicated premises' that will never be alternated. You must also identify the portion or area(s) of the premises which will alternate. You will be required to complete the Consent of Surety information in this application and submit a diagram showing the dedicated and alternating area(s), along with an Alternating Proprietorship Agreement if operations are of different ownership. You must add a row to show each additional alternation.

\* Type of Alternating Operation: ?

\* Are you alternating with another entity? ?

Yes  No

If yes, Name of Alternator:

If yes, Permit Number: ?

If yes, Registry Number: ?

Delete row

Add row

---

### ALTERNATION OF PROPRIETORS

An Alternating Proprietorship (AP) occurs when a production facility (winery, brewery, distilled spirits plant) is used by more than one proprietor. This may be done to better utilize space in an existing location or to allow new entrants into an industry to avoid having to purchase their own equipment and buildings. All alternating parties are individually responsible for all aspects of the operations as a winery in accordance with Industry Circular 2008-4, which can be accessed at the [TTB website](#). Click [here](#) for a summary of responsibilities. You are required to submit an Alternating Proprietorship Agreement and a Diagram showing the area(s) that will be alternated, as well as any area(s) that will be dedicated and never alternate, if any, and any taxpaid areas. You may add a row to add additional alternations.

\* Type of Arrangement: ?

Host Name: ?

Host Permit Number: ?

Host Registry Number: ?

Tenant Name: ?

Tenant Permit Number: ?

Tenant Registry Number: ?

Co-Tenant Name: ?

Co-Tenant Permit Number: ?

Co-Tenant Registry Number: ?

Delete row

Add row

## REQUEST FOR VARIANCE

You may submit a Request for Alternate Method (commonly referred to as a Variance Request), a Request for Special Permission/Authorization, or a General Notification to TTB by identifying the type of request by choosing from the drop down list provided. A Request for Alternate Method is asking for approval to conduct an activity that deviates from a regulatory requirement. Please note that Requests for Alternate Method may not be contrary to law. A Request for Special Permission/Authorization is when an applicant or industry member asks for approval of an activity that is not covered by a regulation. A General Notification is when an industry member is required to give notice of their intent to conduct certain operations, but where direct approval by TTB is not required. You may add a row for each additional request or notification you wish to submit with this application.

\* Variance, Alternate Method, Special Permission Type: [?](#)

\* Description of Request: [?](#)

Delete row

Add row

## STATEMENTS AND DOCUMENTS

For each combination of commodity type and business entity type (Corporation, LLC, Sole Proprietorship, Partnership), different Statements and Documents are required. Other documents may be required depending on your specific situation or operations you wish to conduct. You may also add rows for other documents that are optional, but which pertain to your application. Click [here](#) for a detailed description of each Document Type. Click [here](#) to see helpful hints on certain documents that must be filed in conjunction with specific amendment types. In the section below, you will have the opportunity to electronically upload any of these documents, which is the preferred method for each except for bond forms, Change of Bond (Consent of Surety) Form, and Power of Attorney Form.

\* Document Type: [?](#)

\* Required or Optional: [?](#)

Document Type if Other: [?](#)

\* Document Name: [?](#)

\* Method of Submission: [?](#)

Permit, Registry or Tracking Number if on file with TTB: [?](#)

Delete row

Add row

## Attachment

For each document that you have indicated on the previous page that you are going to upload to TTB through Permits Online, you need to click on "Upload a New Attachment" and then complete the information regarding the Type and Description of the attachment. You must also browse your computer to find the item you wish to attach in the File field. Once you have located the file and provided the required information, click on Attach File. You can repeat this process for every item you will be uploading associated with this application. Click on "Continue Application" when you are finished with this section/page.


### Attachment List

| Name | Type | Size | Date |
|------|------|------|------|
|------|------|------|------|

No records found.

► [Upload a New Attachment](#)

Continue Application »

Save and resume later: 



## Create a New Application: Step 4: Review and Submit

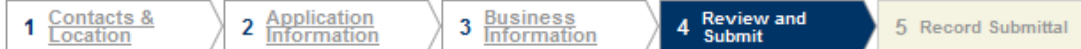
Logged in as: **Natasha Griffith** | [Collections \(2\)](#) | [Reports \(13\)](#) | [Account Management](#) | [Logout](#)

[Home](#)

[Alcohol Permits & Registrations](#)

[Create a New Application](#) | [Search Your Applications](#)

### Application for New Winery Operation



#### Step 4 : Review and Submit

Please review all information below. Click the "Edit" buttons to make changes to your application. You can click on "Save & Resume Later" to save the information you have entered without submitting your application at this time. **WARNING:** By clicking on CONTINUE APPLICATION at the bottom of this screen you are submitting a final application to TTB and declaring under penalty of perjury that the information submitted is true and correct, as acknowledged in "Your Declaration" in this application. You will receive your tracking number on the confirmation screen.

#### Record Type

#### Application for New Winery Operation

##### Application Contact

[Edit](#)

To add a new contact, click the Add Contact link. To edit a contact, select the contact name in the list.

Natasha Griffith  
Griffith Alcohol Corporation  
1767 King Street  
Alexandria, VA, 22314  
United States

Primary Phone:703-555-1212  
Alternate Phone:703-555-2222  
Fax:710-355-5333  
natasha.griffith@ttb.gov

##### Business Headquarters

[Edit](#)

To add a new contact, click the Add Contact link. To edit a contact, select the contact name in the list.

Griffith Alcohol Corporation  
1111111111  
1767 King Street  
Alexandria, VA, 22314  
United States

Primary Phone:703-555-1212  
Alternate Phone:703-555-2222  
Fax:710-355-5333  
natasha.griffith@ttb.gov

##### Premise Address

[Edit](#)

100 smith SEC  
alexandria VA 22314  
Premise Contact Name: tester  
Premise Phone Number: 1111111111  
Is your Building a Historical Building?: No

##### Mailing Address

[Edit](#)

To add a new contact, click the Add Contact link. To edit a contact, select the contact name in the list.

Natasha Griffith  
Griffith Alcohol Corporation  
1767 King Street  
Alexandria, VA, 22314  
United States

## Application Information

[Edit](#)

### REASON FOR THE APPLICATION

New Business: Yes  
Change of Proprietorship - Ownership: No  
Change of General Partner(s): No  
Permit Number(s) of Predecessor:  
Registry Number(s) of Predecessor:  
Name and Address of Predecessor:

---

### APPLICATION TYPE

Bonded Winery - Producing and Blending Wine Yes  
Bonded Winery - Blending Wine Only No  
Bonded Wine Cellar and Wine Blender No  
Bonded Wine Cellar No  
Tax Paid Wine Bottling House No

---

### APPLICATION INFORMATION

Type of Organization: Corporation  
State Where Incorporated: AK  
New Business Start Date/Date of Change  
Start Date for New Business or Change Upon Approval by TTB No

---

### NON CONTIGUOUS OPERATIONS

Does this application include one or more non-contiguous premises locations in addition to your primary premises? No

If Yes, please provide the physical address for each non-contiguous location other than the primary address shown under the Premise Address fields provided earlier in this application.

---

### WINERY INFORMATION

Wine Premises Description: Describe each tract of land comprising the wine premises. Description must be by directions and distances, in feet and inches, with sufficient particularity to enable ready examination of the boundary of the wine premises. sdfg

Wine Premises Security: Describe the means employed to afford security of the wine premises. sdfg

Taxpaid Wine Storage: Describe where and how any taxpaid wine will be stored on the premises and the means used to segregate and identify taxpaid wine from un taxpaid wine. sdfg

Bonded Winery in a Residential Building: If operating a bonded winery or bonded wine cellar in a residential building, describe how the bonded premises are segregated from the residence and what direct access to the bonded premises is available. sdfg

Wine Premises Alternating Areas: Describe any alternating areas. Each wine premises building must be described as to size, construction, and use. Buildings not used for the wine operations must be described only as to size and use. If wine premise consists of a partial building, rooms or floors, each must be described separately. Means of ingress and egress from the wine premise to adjoining portions must be described. sdfg

Wine Pr building constru  
TTB Online- Permits Online- Welcome to Permits Online  
<http://acadev.federalespermits.com/acadev/>

wine operations must be described only as to size and use. If wine premises consists of a partial building, rooms or floors, each must be described separately. Means of ingress and egress from the wine premises to adjoining portions must be described.

Disclosure: If this application is not for a bonded No wine premises in which production operations will be conducted and, thus a Federal Alcohol Administration Act basic permit is not required, would you agree to the listing of your name in a TTB publication which may be distributed to the general public upon request? A "No" response will have no effect on the consideration of this application. Under U.S.C. 6103, you have a legal right not to give this release

Describe any operation which will involve the use of spirits. sdfg

Volatile Fruit-Flavor Concentrate Operations: For sdfg volatile fruit-flavor concentrate producers, submit a step-by-step description of the production process, commencing with obtaining the juice through each step of the process to removal of the concentrate from the system.

Volatile Fruit-Flavor High Concentrate: For sdfg production of high-proof concentrate (more than 24 percent alcohol), indicate any step in the process at which the spirits are potable. Include the maximum quantity in gallons of fruit must and volatile fruit-flavor concentrate produced in 24 hours; the maximum and minimum fold; and the maximum percent of alcohol in the concentrate for each kind of fruit used.

Other Operations: Describe any other operations sdfg not specifically authorized by Part 24 that are to be conducted on the wine premises. This must include a list of the premises and any major equipment used, and a statement as to the relationship, if any, of the operation to the wine operations. These other operations need not be restricted to alcohol-related businesses.

---

**ENVIRONMENTAL INFORMATION**

Enter Number of Employees 1

Location Where Activity is to be Conducted (Be sdfg specific, Number, Street, City, State, Zip Code; describe locations of buildings and outside equipment and their situation relative to surrounding environment including other structures, land use, lakes, streams, roads, railroad facilities, etc. Maps, photos, or drawings may be provided. )

Describe types of heat and power to be used sdfg and their sources. If they are to be produced in connection with the proposed activity, estimate type and quantity of fuel to be used for each purpose. (Example: 40 tons/yr. anthracite coal for heat, 20 million cu.-ft/yr. natural for power generating.)

Describe any air pollution control equipment sdfg proposed for use in connection with fuel burning equipment, boilers, or smokestacks.

Describe amount and composition of all solid waste that will be generated sdfg

Discuss proposed methods of disposal (Incineration, open burning, landfill, government or commercial garbage collection, etc.) Specify whether on-site or off-site. sfg

Describe any air pollution control equipment proposed for use in connection with any incinerators. sdfg

Liquid Waste (Complete this item irrespective of any certification obtained as to compliance with any environmental quality standards of any Federal, State, or local agency having responsibility for environmental protection including any certification under the Federal Water Pollution Control Act.) Describe amount and content of any liquid waste to be generated. fg

Describe proposed method of disposal ( sewer, flaming, recycling, etc.) Describe any proposed means to monitor quality and characteristics, and any proposed equipment or facilities for treatment or control of liquid wastes. sdfg

Noise (Describe operational noise sources other than those normally associated with office operations, building maintenance, or utilities.) sdfg

---

#### **WATER QUALITY INFORMATION**

Describe activity to be conducted in which the Alcohol and Tobacco Tax and Trade Bureau has an interest dfg

Describe any direct or indirect discharge into navigable waters which may result from the conduct of the activity described in the question above to be conducted section where TTB has Interest, including the biological, chemical, thermal, or other characteristic of the discharge and the locations at which such discharge may enter navigable waters. sdfg

Give the date or dates on which the activity will begin and end, if known, and on which the discharge will take place. sdfg

Describe the methods and means used or to be used to monitor the quality and characteristics of the discharge and the operation of equipment or facilities employed in the treatment or control of wastes or other effluents. sdfg

---

#### **OWNER BACKGROUND INFORMATION**

Has the applicant or any person listed on this application ever been denied a permit, license, or other authorization to engage in any business to manufacture, distribute, import, sell, or use alcohol products (beverage or nonbeverage) by any government agency (Federal, State, local or foreign) or had such a permit, license, or other authorization revoked, suspended, or otherwise terminated? No

If Yes, State Details:

Has the applicant or any person listed in this application ever been arrested for, charged with, or convicted of any crime under Federal, State, or Foreign laws other than traffic violations or convictions that are not felonies under Federal or State law. No

If Yes, State Details.:

---

**CONSENT OF SURETY**

What is the corporate surety, if any, listed on the bond that you are changing?: 111

What is the form number of the bond that you are changing?: TTB F 5110.56 - Distilled Spirits Bond

What is the dollar amount of the bond that you are changing?: 11

What is the effective date of the bond that you are changing?: 04/01/2010

What is the effective date of this change in bond?:

We are changing the above bond as follows:: sdfg

---

**YOUR DECLARATION**

Under penalties of perjury, I declare that I have Yes examined this application, including accompanying statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Declaration Date: 07/27/2010

**Application Information**[Edit](#)**Attachment**

For each document that you have indicated on the previous page that you are going to upload to TTB through Permits Online, you need to click on "Upload a New Attachment" and then complete the information regarding the Type and Description of the attachment. You must also browse your computer to find the item you wish to attach in the File field. Once you have located the file and provided the required information, click on Attach File. You can repeat this process for every item you will be uploading associated with this application. Click on "Continue Application" when you are finished with this section/page.

**Attachment List**

▶ [Upload a New Attachment](#)

[Continue Application »](#)Save and resume later: 

## Create a New Application: Step 5: Record Submittal

Once the application is submitted, the Record Submittal page appears indicating the Application Tracking Number and Penalty of Perjury statement.

Logged in as: **Natasha Griffith** | [Collections \(2\)](#) | [Reports \(13\)](#) | [Account Management](#) | [Logout](#)

[Home](#)

[Alcohol Permits & Registrations](#)

[Create a New Application](#) | [Search Your Applications](#)

### Application for New Winery Operation

1 Contacts & Location

2 Business Information

3 Attach Documentation

4 Review and Submit

5 Record Submittal

#### Step 5 : Record Submittal



**STOP! PRINT YOUR APPLICATION COVER SHEET USING BUTTON BELOW.**  
You have successfully submitted a Permits Online application to TTB, but your application may not be complete. Please use the application Cover Sheet to determine if all required supporting documents have been provided. In addition, please use the Cover Sheet if you are planning to mail or fax additional supporting documents to TTB. **TTB will consider your application abandoned after fifteen business days if all required supporting documents are not received.**

Thank you for using TTB's Permits Online.  
**Your Tracking Number is WN-2010-00109.**

You will need this number to check the status of your application. You will be notified via email that we have received your application. If you do not receive an email acknowledging receipt within 24 hours, please contact TTB at 1-877-TTB-FAQS.

#### AFFIRMATION

Under penalties of perjury, I declare that I have examined this application, including accompanying statements, and to the best of my knowledge and belief, it is true, correct, and complete. The applicant must immediately notify the TTB official with whom this application is filed of any change in ownership, management, or control of the applicant (in the case of a corporation, any change in the officers, directors, or persons holding 10 percent or more of the corporate stock). The business for which this application is made does not violate the law of the State in which the business will be conducted. In addition, if this application is approved, the applicant will conduct operations within a reasonable period of time and maintain such operations in conformity with Federal law.

I understand that the information supplied by me in connection with this application will be used by TTB to make a determination on the application. Where such disclosure is not prohibited, TTB officers may disclose this information to other Federal, State, foreign, and local law enforcement and regulatory agency personnel to verify information on the application, and for enforcement of the laws of such other agency. The information may be disclosed to the Justice Department if the application appears to be false or misleading. TTB officers may disclose the information to individuals to verify information on the application where such disclosure is not prohibited.

I understand that if I fail to supply the information requested TTB may delay or deny the issuance of the permit. I understand that TTB is asking for my Employer Identification Number and/or my Social Security Number for identification purposes. If I do not supply the numbers, I understand my application may be delayed.

[Print Cover Sheet](#)

---

I further declare:

That I have read the instructions for this form and that I understand that I may need to file additional documentation before TTB may act on this application;

That an appropriate TTB officer may require additional information to determine if I am entitled to the permit for which I am applying;

That if any information provided on this application changes before I have received from TTB a final determination on this application, I must immediately notify the Director, National Revenue Center;

With respect to any water quality information furnished in connection with this application, that copies of this rider may be furnished to the applicable State Water Quality Agency and Regional Administrator, Environmental Protection Agency; and

With respect to any environmental information furnished in connection with this application, that such information may be furnished to concerned federal, state, and local agencies having responsibilities for environmental protection and that the information hereon may be used in the preparation of an environmental statement pursuant to the requirements of the National Environmental Policy Act, 42 U.S.C. 4332.

[View Record Details »](#) (Return to your application)



## Report Generation

This provides an example of the OMB form generated from the Permits Online application.

http://acadev.federalepermits.com/acadev/Report/ShowReport.aspx?module=Alcohol&reportID=127&rep - Windows Internet Ex...  
http://acadev.federalepermits.com/acadev/Report/ShowReport.aspx?module=Alcohol&reportID=127&reportType=LINK\_REPORT\_LIST

1 / 5 75% Collaborate Sign

Find

WN-2010-00138 OMB NO. 1513-0018 (08/31/2011)

DEPARTMENT OF THE TREASURY  
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU (TTB)  
**APPLICATION FOR BASIC PERMIT UNDER THE FEDERAL ALCOHOL ADMINISTRATION ACT**

|  |   |
|--|---|
| 1. FULL NAME AND PREMISES ADDRESS<br>GRIFFITH ALCOHOL CORPORATION<br>1767 N king ST<br>Alexandra, VA 22314               | 3. EMPLOYER IDENTIFICATION NUMBER (EIN)<br>11-1111111 |
| TELEPHONE NUMBER ( ) 202-453-2017<br>State in which organized for Corporations and Limited Liability Companies (LLC): VA | 4. OPERATING NAME (DBA), if any                       |
| 2. MAILING ADDRESS (if different from premises address)<br>1767 KING STREET<br>ALEXANDRIA, VA 22314                      | 5. LABELING TRADE NAME(S), if any                     |

6. BUSINESS(ES) TO BE CONDUCTED AT PREMISES ADDRESS (Check applicable boxes)

|  |  |
|--|--|
| a. <input type="checkbox"/> DISTILLED SPIRITS PLANT (BEVERAGE)<br><input type="checkbox"/> DISTILLING<br><input type="checkbox"/> WAREHOUSING AND BOTTLING DISTILLED SPIRITS<br><input type="checkbox"/> PROCESSING (RECTIFYING) DISTILLED SPIRITS AND WIN | c. <input type="checkbox"/> IMPORTING INTO THE UNITED STATE<br><input type="checkbox"/> DISTILLED SPIRITS<br><input type="checkbox"/> WINE<br><input type="checkbox"/> MALT BEVERAGES    |
| b. <input checked="" type="checkbox"/> BONDED WINE PREMISES<br><input checked="" type="checkbox"/> PRODUCING AND BLENDING WINE<br><input checked="" type="checkbox"/> BLENDING WINE  | d. <input type="checkbox"/> PURCHASING FOR RESALE AT WHOLESALE<br><input type="checkbox"/> DISTILLED SPIRITS<br><input type="checkbox"/> WINE<br><input type="checkbox"/> MALT BEVERAGES |

or while so engaged, sell, offer, or deliver for sale, contract to sell, or ship in interstate or foreign commerce the alcoholic beverages so distilled, produced, rectified, blended or bottled, warehoused and bottled, imported, or purchased for resale at wholesale.

7. REASON FOR THE APPLICATION (use date format MMDD/YYYY)

|  |   |
|--|---|
| a. <input checked="" type="checkbox"/> NEW BUSINESS<br>Anticipated start date <u>09/01/2010</u>  | c. <input type="checkbox"/> CHANGE IN OWNERSHIP<br>Date of Change _____<br>Name, address, and permit number(s) of predecessor |
| b. <input type="checkbox"/> CHANGE IN CONTROL (Actual or legal)<br>Submit Basic Permit(s) with this application.<br>Date of Change _____ |   |

9.00 x 12.00 in

Done Unknown Zone | Protected Mode: On