

Paperwork Reduction Act Notice

Form Number	Form Name	Average Burden (in hours)	Owner/Officer Application	Winery Application	Wholesaler/Importer Application	Current Paperwork Reduction Act Notice	Proposed Paperwork Reduction Act Notice
5000.8	Power of Attorney	0.50		x	x	<p>This request is in accordance with the Paperwork Reduction Act of 1995. This information collection is used by TTB to ensure that only duly authorized individuals are signing documents. The information is voluntary.</p> <p>The estimated average burden associated with this collection of information is X hour/minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, Washington, DC 20220.</p> <p>An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a current, valid OMB control number.</p>	<p>This request is in accordance with the Paperwork Reduction Act of 1995.</p> <p>- Power of Attorney Information (OMB No. 1513-0014): This information collection is used by TTB to ensure that only duly authorized individuals are signing documents. The information is voluntary.</p> <p>- Personnel Questionnaire Information (OMB No. 1513-0002): The information collection is used by TTB to determine if an applicant is eligible to receive an alcohol and tobacco permit. The information is mandatory (26 U.S.C. 5712, 27 U.S.C. 204).</p> <p>- Change In Bond/Consent of Surety (OMB No. 1513-0013) : We use this information to determine whether a business may change its bond. We must identify changes to the business, the surety company, and the bond. The information we request is required for you to obtain a benefit and is mandatory by law (26 U.S.C. 5173, 5272, 5354, 5401, and 5711).</p>
5000.9	Personnel Questionnaire - Alcohol and Tobacco Products	2.00	x	x	x	<p>This request is in accordance with the Paperwork Reduction Act of 1995. The information collection is used by TTB to determine if an applicant is eligible to receive an alcohol and tobacco permit. The information is mandatory (26 U.S.C. 5712, 27 U.S.C. 204).</p> <p>The estimated average burden associated with this collection of information is X hour/minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, Washington, DC 20220.</p> <p>An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a current, valid OMB control number.</p>	<p>- Environmental Information (OMB No. 1513-0023): This information collection is used by TTB to determine if any environmental impact statement or environmental permit is necessary for the proposed operation. The information is required to obtain a benefit.</p> <p>- Water Quality Considerations Information (OMB No. 1513-0023): This information collection is used by TTB to determine whether a certification from a State of multi-state authority is needed for discharge of effluent into navigable water of the U.S. This information is required to obtain a benefit.</p> <p>- Signing Authority Information (OMB No. 1513-0036): This information collection is used by TTB to ensure that only duly authorized individuals are signing documents. This information is voluntary.</p>
5000.18	Change in Bond (Consent of Surety)	1.00		X		<p>This request is in accordance with the Paperwork Reduction Act of 1995. We use this information to determine whether a business may change its bond. We must identify changes to the business, the surety company, and the bond. The information we request is required for you to obtain a benefit and is mandatory by law (26 U.S.C. 5173, 5272, 5354, 5401, and 5711).</p> <p>We estimate the average burden for a business associated with this collection to be X hour/minutes, depending on individual circumstances. Address your comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to: Reports Management Officer, regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, Washington, DC 20220.</p> <p>An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a current, valid OMB control number.</p>	<p>- Basic Permit Information (OMB No. 1513-0018): The information is used to determine eligibility of the applicant to engage in certain operations, to determine the location and extent of operations, and to determine whether the operations will be in conformity with Federal laws and regulations. The information requested is required to obtain or retain a benefit and is mandatory by statute (27 U.S.C. 203 and 204 (c)).</p> <p>- Wine Premises Information (OMB No. 1513-0009): The information is used by TTB to determine if the applicant is eligible to receive a wine premises permit. The information is required to obtain a permit.</p> <p>- Wine Bond Information (OMB No. 1513-0009): The information is used by the proprietor, or the proprietor and surety company, as a contract to ensure tax payment. The information requested is required to obtain a benefit and is mandatory by statute (26 U.S.C. 5172)</p>
5000.29	Environmental Information	0.50		x		<p>This request is in accordance with the Paperwork Reduction Act of 1995. This information collection is used by TTB to determine if any environmental impact statement or environmental permit is necessary for the proposed operation. The information is required to obtain a benefit.</p> <p>The estimated average burden associated with this collection of information is X hour/minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, Washington, DC 20220.</p> <p>An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a current, valid OMB control number.</p>	<p>The estimated average burden associated with the Owner/Officer application collection of information is 2 hours, for the Winery application collection of information is 7 hours and 45 minutes or for the Wholesaler/Importer application collection of information is 4 hours and 30 minutes, per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, Washington, DC 20220.</p> <p>An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a current, valid OMB control number.</p>
5000.30	Supplemental information on Water Quality Considerations	0.50		x		<p>This request is in accordance with the Paperwork Reduction Act of 1995. This information collection is used by TTB to determine whether a certification from a State of multi-state authority is needed for discharge of effluent into navigable water of the U.S. This information is required to obtain a benefit.</p> <p>The estimated average burden associated with this collection of information is X hour/minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, Washington, DC 20220.</p> <p>An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a current, valid OMB control number.</p>	
5100.1	Signing Authority for Coporate and LLC Officials	0.25		x	x	<p>This request is in accordance with the Paperwork Reduction Act of 1995. This information collection is used by TTB to ensure that only duly authorized individuals are signing documents. This information is voluntary.</p> <p>The estimated average burden associated with this collection of information is X hour/minutes per respondent or recordkeeper depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, Washington, DC 20220.</p> <p>An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a current, valid OMB control number.</p>	

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5100.18	Application for Amended Basic Under FAA Act	0.50				
5100.24	Application for Basic Permit Under FAA Act	1.75		x	x	<p>This request is in accordance with the Paperwork Reduction Act of 1995. The information is used to determine eligibility of the applicant to engage in certain operations, to determine the location and extent of operations, and to determine whether the operations will be in conformity with Federal laws and regulations. The information requested is required to obtain or retain a benefit and is mandatory by statute (27 U.S.C. 203 and 204 (c)).</p> <p>The estimated average burden associated with this collection of information is X hour/minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, Washington, DC 20220.</p> <p>An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a current, valid OMB control number.</p>
5120.25	Application to Establish and Operate Wine Premises	0.25		x		<p>This request is in accordance with the Paperwork Reduction Act of 1995. The information is used by TTB to determine if the applicant is eligible to receive a wine premises permit. The information is required to obtain a permit.</p> <p>The estimated average burden associated with this collection of information is X hour/minutes per respondent depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, Washington, DC 20220.</p> <p>An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a current, valid OMB control number.</p>
5120.36	Wine Bond	1.00		x		<p>This request is in accordance with the Paperwork Reduction Act of 1995. The information is used by the proprietor, or the proprietor and surety company, as a contract to ensure tax payment. The information requested is required to obtain a benefit and is mandatory by statute (26 U.S.C. 5172).</p> <p>The estimated average burden associated with this collection of information is X hour/minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to the Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, Washington, DC 20220.</p> <p>An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a current, valid OMB control number.</p>
Total Burden per application type/CAP			2.00	7.75	4.50	
Will not be turned on for Phase 1 and not used for calculation of total burden per application type/CAP						

Privacy Act Statement for Permits Online

We provide this information to comply with Sections 3 and 7(b) of the Privacy Act of 1974 (5 U.S.C. § 552a(e)(3)):

1. What is TTB's authority to ask for this information?

We require this information under the authority of 26 U.S.C. 5171(b), 5173, 5271(b), 5272, 5354, 5356, 5401, 5401(a), 5502(b), 5511(3), 5711 and 5712; 27 U.S.C. 204(c). You must disclose this information to engage in any of the businesses regulated pursuant to the above described statutes.

2. What is the purpose for this information collection?

You give this information to enable TTB to determine your eligibility, suitability, and/or qualifications to engage in a business regulated by TTB.

3. How does TTB routinely use this information?

We use this information to make determinations for the purposes described in paragraph 2. Also, we may disclose the information to other Federal, State, foreign, and local law enforcement, or regulatory agency personnel to verify information in your application where such disclosure is not prohibited by law.

We may disclose the information to the Justice Department if it appears that the furnishing of false information may constitute a violation of Federal law. Finally, we may disclose the information to members of the public to verify information in your application where such disclosure is not prohibited by law.

4. What happens if I do not supply the information TTB requests?

If you do not supply complete information, we may be prevented from making an informed judgment as described above. If you fail to supply the necessary information it may cause us to delay, deny or disapprove your application.

5. How might TTB disclose my Employer Identification Number (EIN) or Social Security Number (SSN)?

Pursuant to the statutes above, we are authorized to solicit this information. We use these numbers to identify an individual or business. Your disclosure of these numbers is voluntary. If you do not supply these numbers, your application may be delayed.

POWER OF ATTORNEY- TTB F 5000.8 (04/2010) OMB No. 1513-0014 (1/31/2013)					
FORM FIELD NAME	APPLICATION TYPE/CAP	SCREEN NAME	SECTION HEADER	SCREEN FIELD LABEL	COMMENTS
1. PRINCIPAL	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Business Headquarters	Name of Business	
2. BUSINESS IN WHICH ENGAGED	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Business Information: Application	Application Type	Bonded Winery- Producing and Blending Wine Bonded Winery- Blending Wine Only Bonded Wine Cellar Bonded Wine Cellar and Wine Blender Tax Paid Wine Bottling House Distilled Spirits Wine Malt Beverages	
3. ADDRESS, TELEPHONE NUMBER, and EMAIL ADDRESS	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Business Headquarters	Address	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Business Headquarters	City	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Business Headquarters	State	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Business Headquarters	Zip Code	
	Application for Owner and Officer Information	Step 1: Contacts and Location	Business Headquarters	Primary Phone Number	
	Application for Owner and Officer Information	Step 1: Contacts and Location	Business Headquarters	E-Mail	
4. PRINCIPAL'S EMPLOYER IDENTIFICATION NUMBER	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Business Headquarters	EIN	
5. PERMIT NUMBER/REGISTRY NUMBER	N/A	N/A	N/A	N/A	System Generated
6. NAME, TELEPHONE NUMBER, EMAIL ADDRESS OF APPOINTED ATTORNEY	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Power of Attorney Information	First Name	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Power of Attorney Information	Middle Name	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Power of Attorney Information	Last Name	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Power of Attorney Information	Suffix	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Power of Attorney Information	Phone Area Code	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Power of Attorney Information	Phone	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Power of Attorney Information	Phone Extensions	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Power of Attorney Information	Email	
7. ADDRESS	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Power of Attorney Information	Address	
8a/b. (Limitations)	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Power of Attorney Information	Type	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Power of Attorney Information	If Limited, Specific Powers to be Conferred	
9. THE POWER IS TO APPLY TO THE FOLLOWING:	N/A	N/A	N/A	N/A	System Generated with Commodity Type from Reason for Application
10. SIGNATURE OF APPOINTED ATTORNEY	BLANK	BLANK	BLANK	BLANK	
11. SIGNATURE OF PRINCIPAL IF NOT INDIVIDUAL	BLANK	BLANK	BLANK	BLANK	
12. SIGNATURE IF PRINCIPAL IS PARTNERSHIP	BLANK	BLANK	BLANK	BLANK	
13. SEAL OF CORPORATION	BLANK	BLANK	BLANK	BLANK	
14a. ACKNOWLEDGEMENT	BLANK	BLANK	BLANK	BLANK	
14b. WITNESSING	BLANK	BLANK	BLANK	BLANK	
14c. DECLARATION	BLANK	BLANK	BLANK	BLANK	

PERSONNEL QUESTIONNAIRE- TTB F 5000.9 (02/2008) OMB No. 1513-0002 (03/31/2012)					
FORM FIELD NAME	APPLICATION TYPE/CAP	SCREEN NAME	SECTION HEADER	SCREEN FIELD LABEL	COMMENTS
TRADE OR CORPORATE NAME TO BE USED	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	Name of Business	
BUSINESS ADDRESS OF FIRM	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Business Headquarters	Address	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Business Headquarters	City	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Business Headquarters	State	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Business Headquarters	Zip Code	
TELEPHONE NUMBER	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	Primary Phone Number	
FULL NAME OF APPLICANT	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	First Name	
	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	Middle Name	
	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	Last Name	
NAME USUALLY USED	Application for Owner and Officer Information	Step 2: Application Information	Basic Information	Name Usually Used	
MAIDEN NAME & DATE OF MARRIAGE	Application for Owner and Officer Information	Step 2: Application Information	Basic Information	If married, give full maiden name	
	Application for Owner and Officer Information	Step 2: Application Information	Basic Information	If married, give date of marriage	
LEGAL RESIDENCE	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	Address Line 1	
	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	Address Line 2	
	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	City	
	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	State	
	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	Zip Code	
TELEPHONE NUMBER	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	Primary Phone Number	
BUSINESS ADDRESS OF FIRM	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	Street #	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	Street Direction	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	Street Name	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	Street Type	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	Street Suffix	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	City	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	State	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	Zip Code	
TELEPHONE NUMBER	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	Premises Phone Number	
GENDER	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	Gender	
HEIGHT	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	Height (Ft)	

	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	Height (In)	
WEIGHT	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	Weight	
COLOR OF HAIR	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	Color of Hair	
COLOR OF EYES	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	Color of Eyes	
PLACE OF BIRTH	Application for Owner and Officer Information	Step 2: Application Information	Basic Information	Place of Birth City	
	Application for Owner and Officer Information	Step 2: Application Information	Basic Information	Place of Birth State	
DATE OF BIRTH	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	Birth Date	
SOCIAL SECURITY NUMBER	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	SSN	
POSITION OR TITLE	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	Title	
DESCRIPTION OF DUTIES	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Business Information: Application	Officer/Ownership Information	Description of Duties or Relation to the Proposed Operation:	
FATHER'S NAME	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	Father's Name	
MOTHER'S MAIDEN NAME	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	Mother's Maiden Name	
1. HAVE YOU EVER BEEN KNOWN BY ANY OTHER NAME?	Application for Owner and Officer Information	Step 2: Application Information	Basic Information	Have you ever been known by any other name (include nicknames, aliases)?	
2. HAVE YOU EVER BEEN ARRESTED FOR ANY VIOLATION OF ANY FEDERAL OR STATE LAW RELATING TO LIQUOR OR TOBACCO PRODUCTS?	Application for Owner and Officer Information	Step 2: Application Information	Basic Information	1. Have you ever been arrested for any violation of any Federal or State law relating to liquor or tobacco products?	
	Application for Owner and Officer Information	Step 2: Application Information	Basic Information	If YES to question 1 - explain (Charges for minor traffic violations need not be reported)	
3. HAVE YOUR EVER BEEN ARRESTED FOR VIOLATION OF ANY OTHER FEDERAL OR STATE LAW?	Application for Owner and Officer Information	Step 2: Application Information	Basic Information	2. Have you ever been arrested for violation on any other Federal or State Law?	
	Application for Owner and Officer Information	Step 2: Application Information	Basic Information	If YES to question 2 - explain (Charges for minor traffic violations need not be reported)	
4. HAVE YOU EVER BEEN CONVICTED OF ANY FELONY OR MISDEMEANOR UNDER FEDERAL OR STATE LAW?	Application for Owner and Officer Information	Step 2: Application Information	Basic Information	3. Have you ever been convicted of any felony or misdemeanor under Federal or State Law?	
	Application for Owner and Officer Information	Step 2: Application Information	Basic Information	If YES to question 3 - explain (Charges for minor traffic violations need not be reported)	
5. HAVE YOU EVER BEEN COMPROMISED, BY PAYMENT OF PENALTIES OR OTHERWISE, FOR ANY VIOLATION OF ANY FEDERAL LAW RELATING TO INTERNAL REVENUE OR CUSTOMS TAXATION OF DISTILLED SPIRITS, WINES, BEER, OR TOBACCO PRODUCTS?	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	6. Have you ever been compromised, by payment of penalties or otherwise, for any violation on and Federal law relating to internal revenue or customs taxation of distilled spirits, wines, beer, or tobacco products?	
	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	If YES to question 6 - explain	
6. HAS DISAPPROVAL EVER BEEN GIVEN TO ANY APPLICATION OR NOTICE OF INTENTION TO MANUFACTURE, USE, STORE, RECTIFY, BOTTLE, DISTRIBUTE, SELL, IMPORT, OR TRANSPORT ALCOHOL, DENATURED SPIRITS, DISTILLED SPIRITS, BEER, WINES, OR TOBACCO PRODUCTS FILED BY YOU OR ANY FIRM OR CORPORATION OF WHICH YOU WERE PROPRIETOR OR A PARTNER, OFFICER, DIRECTOR, PRINCIPAL STOCKHOLDER, OR RESPONSIBLE EMPLOYEE?				4. Has disapproval ever been given to any application or notice of intention to manufacture, use, store, rectify, bottle, distribute, sell, import, or transport alcohol, denatured spirits, distilled spirits, beer, wines, or tobacco products filed by you or any firm or corporation of which you were proprietor or a partner, officer, director, principal stockholder, or responsible employee?	
IF ANSWER IS "YES", STATE NAME UNDER WHICH APPLICATION WAS FILED AND REASONS FOR DISAPPROVAL	Application for Owner and Officer Information	Step 2: Application Information	Basic Information		
	Application for Owner and Officer Information	Step 2: Application Information	Basic Information	If YES to question 4 - State name under which application was filed and reasons for disapproval.	

7. ARE YOU A CITIZEN OF THE UNITED STATES OF AMERICA?	Application for Owner and Officer Information	Step 2: Application Information	Basic Information	Are you a U.S. Citizen?	
7a. IF NATURALIZED, GIVE DATE AND LOCATION WHERE NATURALIZATION PAPERS WERE ISSUED	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	If Naturalized, give Date and Location where Naturalization papers were issued	
7b. IF NOT A CITIZEN, CURRENT CITIZENSHIP STATUS	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	If not a US citizen, give current citizenship status	
	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	If not US citizen, give Country of Citizenship	
8. EVER BEEN CONNECTED WITH A FEDERAL PERMIT OR APPROVED NOTICE...	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	7. Have you as an individual or in connection with a partnership, firm, or corporation ever been connected with a Federal permit or approved notice to manufacture, use, store, rectify, bottle, distribute, sell, deal in, import, or transport alcohol, denatured spirits, distilled spirits, beer, wines, or tobacco products?	
8a. IF YES, PERMIT NUMBER IF KNOWN	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	If YES to question 7. Permit Number, if known	
8b. PERIOD COVERED	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	Period Covered	
8c. NAME AND ADDRESS UNDER WHICH PERMIT WAS USED	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	Name and Address under which permit was issued	
8d. IF DISCONTINUED, WHEN AND WHY?	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	If discontinued, when and why?	
8e. IF REVOKED, WAS SETTLEMENT MADE OF CIVIL LIABILITIES INCURRED THEREUNDER. IF YES, WHEN?	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	If revoked, was settlement made of civil liabilities incurred thereunder?	
9. EVER BEEN OR ARE YOU NOW EMPLOYED BY ANY PERSON, FIR OR CORPORATION MANUFACTURING OR EXPORTING TAX-EXEMPT TOBACCO PRODUCTS...?	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	8. Have you ever been or are you now employed by any person, firm, or corporation manufacturing or exporting tax-exempt tobacco products; producing, storing, rectifying, bottling, selling, importing or dealing in distilled spirits, wines, beer, alcohol, or denatured spirits; using or distributing denatured spirits; or using (other than for person use) distilled spirits or alcohol?	
9a. WHEN EMPLOYED	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	If YES to question 8 when Employed?	
9b. IN WHAT CAPACITY	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	In What Capacity	
9c. NAME AND ADDRESS OF PERSON, FIRM OR CORPORATION	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	Name and Address of Person, Firm or Corporation	
10. EMPLOYMENT FOR PAST 10 YEARS PERIOD (FROM)	Application for Owner and Officer Information	Step 2: Application Information	Employment Information	From	
PERIOD (TO)	Application for Owner and Officer Information	Step 2: Application Information	Employment Information	To	
POSITION OR TITLE	Application for Owner and Officer Information	Step 2: Application Information	Employment Information	Position	
NAME AND ADDRESS OF EMPLOYER	Application for Owner and Officer Information	Step 2: Application Information	Employment Information	Name of Employer	
NAME AND ADDRESS OF EMPLOYER	Application for Owner and Officer Information	Step 2: Application Information	Employment Information	Address of Employer	
11. NAME AND ADDRESS OF FIVE REFERENCES BANK REFERENCE NAME	Application for Owner and Officer Information	Step 2: Application Information	Bank Reference Information	Bank Name	
BANK REFERENCE BUSINESS NAME AND ADDRESS	Application for Owner and Officer Information	Step 2: Application Information	Bank Reference Information	Business Name	
BANK REFERENCE BUSINESS NAME AND ADDRESS	Application for Owner and Officer Information	Step 2: Application Information	Bank Reference Information	Bank Address	
BANK REFERENCE TELEPHONE NUMBER	Application for Owner and Officer Information	Step 2: Application Information	Bank Reference Information	Phone Number	
CHARACTER/BUSINESS REFERENCE	Application for Owner and Officer Information	Step 2: Application Information	Character-Business Reference	Name of Reference	
CHARACTER/BUSINESS REFERENCE RESIDENCE	Application for Owner and Officer Information	Step 2: Application Information	Character-Business Reference	Home Address	
CHARACTER/BUSINESS REFERENCE RESIDENCE TELEPHONE NUMBER	Application for Owner and Officer Information	Step 2: Application Information	Character-Business Reference	Home Phone	

CHARACTER/BUSINESS REFERENCE BUSINESS NAME AND ADDRESS	Application for Owner and Officer Information	Step 2: Application Information	Character-Business Reference	Business Address	
CHARACTER/BUSINESS REFERENCE BUSINESS TELEPHONE NUMBER	Application for Owner and Officer Information	Step 2: Application Information	Character-Business Reference	Business Phone	
12. CREDIT RATINGS	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	5. Are you rated by any commercial credit reporting agency? If YES to question 5, give name and address of agency and details of rating	
13. RESIDENCES FOR PAST TEN YEARS PERIOD (FROM)	Application for Owner and Officer Information	Step 2: Application Information	Residence Information	From	
PERIOD (TO)	Application for Owner and Officer Information	Step 2: Application Information	Residence Information	To	
ADDRESS	Application for Owner and Officer Information	Step 2: Application Information	Residence Information	Address	
14a. INVESTMENTS	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Business Information: Application	Officer/Ownership Information	Investment in Business	
14b. SOURCE OF FUNDS INVESTED	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Business Information: Application	Officer/Ownership Information	Source of Funds Description	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Business Information: Application	Officer/Ownership Information	How is SOF Documentation submitted?	
PENALTY OF PERJURY STATEMENT	Application for Owner and Officer Information	Step 2: Application Information	Your Declaration	Under the penalties of perjury, I declare that this statement, including the documents submitted in support thereof, has been examined by me and, to the best of my knowledge and belief, is true, correct, and complete.	
SIGNATURE OF APPLICANT	N/A	N/A	N/A	N	System Generated with First Name and Last Name for each Officer/Owner listed in the Officer/Ownership Information section
DATE	N/A	N/A	N/A	N/A	System Generated
REMARKS	Application for Owner and Officer Information	Step 2: Application Information	Supplemental Information	Remarks	

ENVIRONMENTAL INFORMATION- TTB F 5000.29 (10/2008) OMB No. 1513-0023 (08/31/2011)					
FORM FIELD NAME	APPLICATION TYPE/CAP	SCREEN NAME	SECTION HEADER	SCREEN FIELD LABEL	COMMENTS
1. NAME AND ADDRESS	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	Name of Business	
	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	Address	
	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	City	
	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	State	
	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	Zip Code	
2. DESCRIPTION OF ACTIVITY	Application for New Winery Operation	Step 3: Business Information	Water Quality Information	Describe activity to be conducted in which the Alcohol and Tobacco Tax and Trade Bureau has an interest	
3. NUMBER OF EMPLOYEES	Application for New Winery Operation	Step 3: Business Information	Environmental Information	Enter Number of Employees	
4. LOCATION OF ACTIVITY	Application for New Winery Operation	Step 3: Business Information	Environmental Information	Location Where Activity is to be Conducted (Be specific, Number, Street, City, State, Zip Code; describe locations of buildings and outside equipment and their situation relative to surrounding environment including other structures, land use, lakes, streams, roads, railroad	
5A. HEAT AND POWER- TYPES OF HEAT AND POWER TO BE USED	Application for New Winery Operation	Step 3: Business Information	Environmental Information	Describe types of heat and power to be used and their sources. If they are to be produced in connection with the proposed activity, estimate type and quantity of fuel to be used for each purpose. (Example: 40 tons/yr. anthracite coal for heat, 20 million cu.-ft/yr. natural for power generating.)	
5B. HEAT AND POWER- AIR POLLUTION EQUIPMENT PROPOSED	Application for New Winery Operation	Step 3: Business Information	Environmental Information	Describe any air pollution control equipment proposed for use in connection with fuel burning equipment, boilers, or smokestacks.	
6A. SOLID WASTE- AMOUNT AND CONSUMPTION OF ALL SOLID WASTE	Application for New Winery Operation	Step 3: Business Information	Environmental Information	Describe amount and composition of all solid waste to be generated	
6B. SOLID WASTE- PROPOSED METHODS OF DISPOSAL	Application for New Winery Operation	Step 3: Business Information	Environmental Information	Discuss proposed methods of disposal (Incineration, open burning, landfill, government or commercial garbage collection, etc.) Specify whether on-site or off-site.	
6C. SOLID WASTE- AIR POLLUTION EQUIPMENT PROPOSED	Application for New Winery Operation	Step 3: Business Information	Environmental Information	Describe any air pollution control equipment proposed for use in connection with any incinerators.	
7A. LIQUID WASTE- AMOUNT AND CONTENT OF ANY LIQUID WASTE TO BE GENERATED	Application for New Winery Operation	Step 3: Business Information	Environmental Information	Liquid Waste (Complete this item irrespective of any certification obtained as to compliance with any environmental quality standards of any Federal, State, or local agency having responsibility for environmental protection including any certification under the Federal Water Pollution Control Act.) Describe amount and content of any liquid waste to be generated.	
7B. LIQUID WASTE- PROPOSED METHOD OF DISPOSAL	Application for New Winery Operation	Step 3: Business Information	Environmental Information	Describe proposed method of disposal (sewer, flaming, recycling, etc.) Describe any proposed means to monitor quality and characteristics, and any proposed equipment or facilities for treatment or control of liquid wastes.	
8. NOISE	Application for New Winery Operation	Step 3: Business Information	Environmental Information	Noise (Describe operational noise sources other than those normally associated with office operations, building maintenance, or utilities.)	
PENALTY OF PERJURY STATEMENT	Application for Owner and Officer Information	Step 2: Application Information	Your Declaration	Under penalties of perjury, I declare that I have examined this application, including accompanying statements, and to the best of my knowledge and belief, it is true, correct, and complete.	
9. DATE	N/A	N/A	N/A	N/A	System Generated
10. APPLICANT	N/A	N/A	N/A	N/A	System Generated with First Name and Last Name from Application Contact Address
11. BY (SIGNATURE AND TITLE)	N/A	N/A	N/A	N/A	System Generated with First Name and Title from Applicant Contact Address

SUPPLEMENTAL INFORMATION ON WATER QUALITY CONSIDERATIONS- TTB F 5000.30 (10/2008) OMB No. 1513-0023 (08/31/2011)					
FORM FIELD NAME	APPLICATION TYPE/CAP	SCREEN NAME	SECTION HEADER	SCREEN FIELD LABEL	COMMENTS
1. FORM NUMBER	N/A	N/A	N/A	N/A	System Generated
2. APPLICATION DATE	N/A	N/A	N/A	N/A	System Generated
3. SERIAL NUMBER	N/A	N/A	N/A	N/A	
4. NAME AND PRINCIPAL BUSINESS ADDRESS	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	Name of Business	
	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	Address	
	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	City	
	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	State	
	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	Zip Code	
5. PLANT ADDRESS	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Street Number	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Direction	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Street Name	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Street Type	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Street Suffix	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Unit Type	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Unit Number	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Rural Address	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Other Address	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	City	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	State	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Zip Code	
6. DESCRIBE ACTIVITY	Application for New Winery Operation	Step 3: Business Information	Water Quality Information	Describe activity to be conducted in which the Alcohol and Tobacco Tax and Trade Bureau has an interest	
				Describe any direct or indirect discharge into navigable waters which may result from the conduct of the activity described in the question above including the biological, chemical, thermal, or other characteristic of the discharge and the locations at which such discharge may enter navigable waters.	
7. DESCRIBE DISCHARGE	Application for New Winery Operation	Step 3: Business Information	Water Quality Information		
8. ACTIVITY DATES	Application for New Winery Operation	Step 3: Business Information	Water Quality Information	Give the date or dates on which the activity will begin and end, if known, and on which the discharge will take place.	
				Describe the methods and means used or to be used to monitor the quality and characteristics of the discharge and the operation of equipment or facilities employed in the treatment or control of wastes or other effluents.	
9. MONITORING METHODS	Application for New Winery Operation	Step 3: Business Information	Water Quality Information		
				Under penalties of perjury, I declare that I have examined this application, including accompanying statements, and to the best of my knowledge and belief, it is true, correct, and complete.	
PENALTY OF PERJURY STATEMENT	Application for Owner and Officer Information	Step 2: Application Information	Your Declaration		
10. APPLICANT	N/A	N/A	N/A	N/A	System Generated with First Name and Last Name from Application Contact Address
11. BY (SIGNATURE AND TITLE)	N/A	N/A	N/A	N/A	System Generated with First Name and Title from Applicant Contact Address

SIGNING AUTHORITY FOR CORPORATE AND LLC OFFICIALS- TTB F 5100.1 (12/2007) OMB No. 1513-0036 (11/30/2010)					
FORM FIELD NAME	APPLICATION TYPE/CAP	SCREEN NAME	SECTION HEADER	SCREEN FIELD LABEL	COMMENTS
NAME AND COMPLETE ADDRESS OF CORPORATION OR LLC	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Business Headquarters	Name of Business	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Business Headquarters	Address	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Business Headquarters	City	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Business Headquarters	State	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Business Headquarters	Zip Code	
<input type="checkbox"/> CHECK IF YOU ARE A NEW APPLICANT	N/A	N/A	N/A	N/A	System Generated based on application type selected
REGISTRY/PERMIT NUMBER	N/A	N/A	N/A	N/A	System Generated
BOARD MEETING	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Signing Authority	Type of Board Meeting	
DATE OF MEETING	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Signing Authority	Date of Meeting	
AUTHORIZED LIST OF CORPORATE/LLC OFFICIALS, EMPLOYEES, OR INCUMBENTS	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Signing Authority	Authority Granted By	
		Step 2: Application Information	Signing Authority	First Name	
		Step 2: Application Information	Signing Authority	Middle Name	
		Step 2: Application Information	Signing Authority	Last Name	
		Step 2: Application Information	Signing Authority	Suffix	
		Step 2: Application Information	Signing Authority	Title	
		Step 2: Application Information	Signing Authority	Source of Authority	
		Step 2: Application Information	Signing Authority	Type	
		Step 2: Application Information	Signing Authority	Signing Authority Capacity	
		Step 2: Application Information	Signing Authority	If Limited, Signing Authority Capacity	
PENALTY OF PERJURY STATEMENT	Application for Owner and Officer Information	Step 2: Application Information	Your Declaration	Under penalties of perjury, I declare that I have examined this application, including accompanying statements, and to the best of my knowledge and belief, it is true, correct, and complete.	
SIGNATURE	N/A	N/A	N/A	N	System Generated with First Name and Last Name
PRINTED NAME	N/A	N/A	N/A	N	System Generated with First Name and Last Name
TITLE	N/A	N/A	N/A	N/A	System Generated with Title from Applicant Contact
DATE	N/A	N/A	N/A	N/A	System Generated

APPLICATION FOR BASIC PERMIT UNDER ALCOHOL ADMINISTRATION ACT- TTB F 5100.24 (01/2010) OMB No. 1513-0018 (08/31/2011)					
FORM FIELD NAME	APPLICATION TYPE/CAP	SCREEN NAME	SECTION HEADER	SCREEN FIELD LABEL	COMMENTS
1. FULL NAME AND PREMISES ADDRESS	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Business Headquarters	Name of Business	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	Street Number	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	Direction	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	Street Name	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	Street Type	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	Street Suffix	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	Unit Type	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	Unit Number	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	Rural Address	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	Other Address	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	City	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	State	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Premises Address	Zip Code	
	2. MAILING ADDRESS	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Mailing Address	Name of Business
Application for New Winery Operation OR Application for New Wholesaler and/or Importer		Step 1: Contacts and Location	Mailing Address	Address	
Application for New Winery Operation OR Application for New Wholesaler and/or Importer		Step 1: Contacts and Location	Mailing Address	P.O. Box	
Application for New Winery Operation OR Application for New Wholesaler and/or Importer		Step 1: Contacts and Location	Mailing Address	City	
Application for New Winery Operation OR Application for New Wholesaler and/or Importer		Step 1: Contacts and Location	Mailing Address	State	
Application for New Winery Operation OR Application for New Wholesaler and/or Importer		Step 1: Contacts and Location	Mailing Address	Zip Code	
Application for New Winery Operation OR Application for New Wholesaler and/or Importer		Step 1: Contacts and Location	Mailing Address	Country	
3. EMPLOYER IDENTIFICATION NUMBER	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 1: Contacts and Location	Business Headquarters	EIN	
4. OPERATING NAME (DBA)	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Trade Name/Operating Name	Type	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Trade Name/Operating Name	Who Will You be Bottling on Account For?	

	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Trade Name/Operating Name	Name	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Trade Name/Operating Name	I certify that the listed trade name has been registered with my County (CA) or State (All States)	
5. LABELING TRADE NAMES	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Trade Name/Operating Name	Type	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Trade Name/Operating Name	Who Will You be Bottling on Account For?	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Trade Name/Operating Name	Name	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Trade Name/Operating Name	I certify that the listed trade name has been registered with my County (CA) or State (All States)	
6. BUSINESS TO BE CONDUCTED AT PREMISES	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Application Type Business Conducted- Importing Business Conducted- Wholesaling	Bonded Winery- Producing and Blending Wine Bonded Winery- Blending Wine Only Bonded Wine Cellar Bonded Wine Cellar and Wine Blender Tax Paid Wine Bottling House Distilled Spirits Wine Malt Beverages	
7a. REASON FOR APPLICATION- NEW BUSINESS	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Reason for Application	New Business	
7b. REASON FOR APPLICATION-Change in Control	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Reason for Application	Change of General Partners	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Reason for Application	Permit Number of Predecessor	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Reason for Application	Registry Number of Predecessor	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Reason for Application	Name and Address of Predecessor	
7c. REASON FOR APPLICATION-Change in Ownership	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Reason for Application	Change in Proprietorship	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Reason for Application	Permit Number of Predecessor	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Reason for Application	Registry Number of Predecessor	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Reason for Application	Name and Address of Predecessor	
8. OWNER INFORMATION- NAME	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Officer/Ownership Information	Officer/Owner Classification	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Officer/Ownership Information	First Name	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Officer/Ownership Information	Middle Name	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Officer/Ownership Information	Last Name	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Officer/Ownership Information	Suffix	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Officer/Ownership Information	Company Name	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Officer/Ownership Information	Trust Name	

TITLE	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Officer/Ownership Information	Title	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Officer/Ownership Information	List Additional Titles	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Officer/Ownership Information	Title if Other	
% VOTING/STOCK/INTEREST	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Officer/Ownership Information	Percent Voting-Stock-Interest	
INVESTMENT	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Officer/Ownership Information	Investment in Business	
SOURCES OF FUNDS	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Officer/Ownership Information	Source of Funds (SOF) Description	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Officer/Ownership Information	How is SOF Documentation Submitted?:	
9A. FULL GIVEN NAME	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	First Name	
	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	Middle Name	
	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	Last Name	
9B. DATE AND PLACE OF BIRTH	Application for Owner and Officer Information	Step 2: Application Information	Basic Information	Place of Birth City	
	Application for Owner and Officer Information	Step 2: Application Information	Basic Information	Place of Birth State	
9C. SOCIAL SECURITY	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	SSN	
9D. ARE YOU A U.S. CITIZEN?	Application for Owner and Officer Information	Step 2: Application Information	Basic Information	Are you a U.S. Citizen?	
9E. MALE/FEMALE	Application for Owner and Officer Information	Step 1: Applicant Name & Address	Officer-Owner	Gender	
9F. OTHER NAMES USED	Application for Owner and Officer Information	Step 2: Application Information	Basic Information	Other Names Used (Maiden name, nicknames, aliases)	
9G. RESIDENCES OVER LAST FIVE YEARS	Application for Owner and Officer Information	Step 2: Application Information	Residence Information	From	
	Application for Owner and Officer Information	Step 2: Application Information	Residence Information	To	
	Application for Owner and Officer Information	Step 2: Application Information	Residence Information	Address	
10. DENIED A PERMIT?	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Owner Background information	Has the applicant or any person listed on this application ever been denied a permit, license, or other authorization to engage in any business to manufacture, distribute, import, sell, or use alcohol products (beverage or nonbeverage) by any government agency (Federal, State, local or foreign) or had such a permit, license, or other authorization revoked, suspended, or otherwise terminated?	
	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Owner Background information	If Yes, State Details	
11. ARRESTED?	Application for New Winery Operation OR Application for New Wholesaler and/or Importer	Step 2: Application Information	Owner Background information	Has the applicant or any person listed in this application ever been arrested for, charged with, or convicted of any crime under Federal, State, or Foreign laws other than traffic violations or convictions that are not felonies under Federal or State law.	
PENALTY OF PERJURY STATEMENT	Application for Owner and Officer Information	Step 2: Application Information	Your Declaration	Under penalties of perjury, I declare that I have examined this application, including accompanying statements, and to the best of my knowledge and belief, it is true, correct, and complete.	
12. APPLICANT SIGNATURE	N/A	N/A	N/A	N/A	System Generated with First Name and Last Name
13. TITLE OF PERSON SIGNING	N/A	N/A	N/A	N/A	System Generated with Title
14. DATE	N/A	N/A	N/A	N/A	System Generated
15. EMAIL ADDRESS	N/A	N/A	N/A	N/A	System Generated with Email address from Owner

APPLICATION TO ESTABLISH AND OPERATE WINE PREMISES- TTB Form 5120.25 (03/2010) OMB No. 1513-0009 (01/31/2013)					
FORM FIELD NAME	APPLICATION TYPE/CAP	SCREEN NAME	SECTION HEADER	SCREEN FIELD LABEL	COMMENTS
1. SERIAL NUMBER					
2. DATE	N/A	N/A	N/A	N/A	System Generated
3. REGISTRY NUMBER	N/A	N/A	N/A	N/A	System Generated
4. DIRECTOR	N/A	N/A	N/A	N/A	
5. APPLICATION MADE TO OPERATE	Application for New Winery Operation	Step 2: Application Information	Application Type	Bonded Winery- Producing and Blending Wine Bonded Winery- Blending Wine Only Bonded Wine Cellar Bonded Wine Cellar and Wine Blender Tax Paid Wine Bottling House	
6. NAME AND PRINCIPAL ADDRESS	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	Name of Business	
	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	Address	
	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	City	
	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	State	
	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	Zip Code	
	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	Phone Number	
	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	EIN	
7. ADDRESS	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Street Number	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Direction	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Street Name	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Street Type	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Street Suffix	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Unit Type	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Unit Number	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Rural Address	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Other Address	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	City	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	State	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Zip Code	
8. PURPOSE FOR WHICH FILED	N/A	Select Application Type	N/A	Category	
	Application for New Winery Operation	Step 3: Business Information	Alternation of Premises	Type of Alternating Operation	
	Application for New Winery Operation	Step 3: Business Information	Alternation of Premises	Are you alternating with another entity?	
	Application for New Winery Operation	Step 3: Business Information	Alternation of Premises	If yes, Name of Alternator	
	Application for New Winery Operation	Step 3: Business Information	Alternation of Premises	If yes, Permit Number	
	Application for New Winery Operation	Step 3: Business Information	Alternation of Premises	If yes, Registry Number	
	Application for New Winery Operation	Step 3: Business Information	Alternation of Proprietors	Type of Arrangement	
	Application for New Winery Operation	Step 3: Business Information	Alternation of Proprietors	Host Name	
	Application for New Winery Operation	Step 3: Business Information	Alternation of Proprietors	Host Permit Number	
	Application for New Winery Operation	Step 3: Business Information	Alternation of Proprietors	Host Registry Number	
	Application for New Winery Operation	Step 3: Business Information	Alternation of Proprietors	Tenant Name	
	Application for New Winery Operation	Step 3: Business Information	Alternation of Proprietors	Tenant Permit Number	

	Application for New Winery Operation	Step 3: Business Information	Alternation of Proprietors	Tenant Registry Number	
	Application for New Winery Operation	Step 3: Business Information	Alternation of Proprietors	Co-Tenant Name	
	Application for New Winery Operation	Step 3: Business Information	Alternation of Proprietors	Co-Tenant Permit Number	
	Application for New Winery Operation	Step 3: Business Information	Alternation of Proprietors	Co-Tenant Registry Number	
	Application for New Winery Operation	Step 3: Business Information	Request for Variance	Variance, Alternate Method, Special Permission Type	
	Application for New Winery Operation	Step 3: Business Information	Request for Variance	Description of Request	
	Application for New Winery Operation	Step 3: Business Information	Non- Contiguous Operations	Does this application include one or more non-contiguous premises locations in addition to your primary premises?	
	Application for New Winery Operation	Step 3: Business Information	Non- Contiguous Operations	If yes, please provide the physical address for each non-contiguous location other than the primary address shown under the Premises Address fields provided earlier in this application	
9a-1. NUMBER OF PAGES ATTACHED TO THIS FORM	N/A	N/A	N/A	N/A	
9b. ORGANIZATIONAL DOCS SUBMITTED WITH THIS FORM	Application for New Winery Operation	Step 3: Business Information	Statements and Documents	Attachment Type, File Name, Attachment Description	
9b-1. ORGANIZATIONAL DOCS FILED WITH PRIOR APPROVED FORM	Application for New Winery Operation	Step 3: Business Information	Statements and Documents	Attachment Type, File Name, Attachment Description	
9c. ORGANIZATIONAL DOC FILED IN CONNECTION WITH ANOTHER ESTABLISHMENT	Application for New Winery Operation	Step 3: Business Information	Statements and Documents	Attachment Type, File Name, Attachment Description	
ATTACHED DOCUMENTS AND STATMENTS (BACK OF FORM)- 2. WINE PREMISES	Application for New Winery Operation	Step 3: Business Information	Winery Information	Wine Premises Description: Describe each tract of land comprising the wine premises. Description must be by directions and distances, in feet and inches, with sufficient particularity to enable ready examination of the boundary of the wine premises.	
	Application for New Winery Operation	Step 3: Business Information	Winery Information	Wine Premises Security: Describe the means employed to afford security of the wine premises. Describe any taxpaid wine premises at the wine premises and the means used to segregate and identify taxpaid wine from un-taxpaid wine.	
	Application for New Winery Operation	Step 3: Business Information	Winery Information	Taxpaid Wine Storage: Describe where and how any taxpaid wine will be stored on the premises and the means used to segregate and identify taxpaid wine from untaxpaid wine.	
	Application for New Winery Operation	Step 3: Business Information	Winery Information	Bonded Winery in a Residential Building: If operating a bonded winery or bonded wine cellar in a residential building, describe how the bonded premises are segregated from the residence and what direct access to the bonded premises is available.	
	Application for New Winery Operation	Step 3: Business Information	Winery Information	Wine Premises Building: Each wine premises building must be described as to size, construction, and use. Buildings not used for the wine operations must be described only as to size and use. If wine premises consists of a partial building, rooms or floors, each must be described separately. Means of ingress and egress from the wine premises to adjoining portions must be described.	
	Application for New Winery Operation	Step 3: Business Information	Winery Information	Wine Premises Alternating Areas: Describe any alternating areas. Each wine premises building must be described as to size, construction, and use. Buildings not used for the wine operations must be described only as to size and use. If wine premise consists of a partial building, rooms or floors, each must be described separately. Means of ingress and egress from the wine premise to adjoining portions must be described.	
	Application for New Winery Operation	Step 3: Business Information	Winery Information	Spirits Operations: Describe any operation which will involve the use of spirits	

	Application for New Winery Operation	Step 3: Business Information	Winery Information	<p>Volatile Fruit-Flavor Concentrate Operations Production: For volatile fruit-flavor concentrate producers, submit a step-by-step description of the production process, commencing with obtaining the juice through each step of the process to removal of the concentrate from the system.</p>	
	Application for New Winery Operation	Step 3: Business Information	Winery Information	<p>Volatile Fruit-Flavor Concentrate Operations High Proof Production: For production of high-proof concentrate (more than 24 percent alcohol), indicate any step in the process at which the spirits are potable. Include the maximum quantity in gallons of fruit must and volatile fruit-flavor concentrate produced in 24 hours; the maximum and minimum fold; and the maximum percent of alcohol in the concentrate for each kind of fruit used.</p>	
	Application for New Winery Operation	Step 3: Business Information	Winery Information	<p>Other Operations: Describe any other operations not specifically authorized by Part 24 that are to be conducted on the wine premises. This must include a list of the premises and any major equipment used, and a statement as to the relationship, if any, of the operation to the wine operations. These other operations need not be restricted to alcohol-related businesses.</p>	
ATTACHED DOCUMENTS AND STATEMENTS (BACK OF FORM)- 3. DISCLOSURE	Application for New Winery Operation	Step 3: Business Information	Winery Information	<p>Disclosure: If this application is not for a bonded wine premises in which production operations will be conducted and, thus a Federal Alcohol Administration Act basic permit is not required, would you agree to the listing of your name in a TTB publication which may be distributed to the general public upon request? A "no" response will have no effect on the consideration of this application. Under U.S.C. 6103, you have a legal right not to give this release</p>	
ATTACHED DOCUMENTS AND STATEMENTS (BACK OF FORM)- 6. BONDS AND PERMITS	Application for New Winery Operation	Step 3: Business Information	Bonds and Permits	Commodity Type	
	Application for New Winery Operation	Step 3: Business Information	Bonds and Permits	Bond Form	
	Application for New Winery Operation	Step 3: Business Information	Bonds and Permits	Bond Category	
	Application for New Winery Operation	Step 3: Business Information	Bonds and Permits	Surety Name if Applicable	
	Application for New Winery Operation	Step 3: Business Information	Bonds and Permits	Amount	
	Application for New Winery Operation	Step 3: Business Information	Bonds and Permits	Permit Number	
	Application for New Winery Operation	Step 3: Business Information	Bonds and Permits	Registry Number	
PENALTY OF PERJURY STATEMENT	Application for Owner and Officer Information	Step 2: Application Information	Your Declaration	<p>Under penalties of perjury, I declare that I have examined this application, including accompanying statements, and to the best of my knowledge and belief, it is true, correct, and complete.</p>	
11. SIGNATURE	N/A	N/A	N/A	N/A	System Generated with First Name and Last Name
12. TITLE	N/A	N/A	N/A	N/A	System Generated with Title from Applicant Contact

WINE BOND- TTB F 5120.36 (01/2010) OMB No. 1513-0009 (01/31/2013)					
FORM FIELD NAME	APPLICATION TYPE/CAP	SCREEN NAME	SECTION HEADER	SCREEN FIELD LABEL	COMMENTS
REGISTRY NUMBER	N/A	N/A	N/A	N/A	
EFFECTIVE DATE	Application for New Winery Operation	Step 3: Business Information	Wine Bond Information	Effective Date of Bond	
PRINCIPAL/OBLIGOR NAME AND PREMISES ADDRESS	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	Name of Business	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Street Number	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Direction	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Street Name	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Street Type	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Street Suffix	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Unit Type	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Unit Number	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Rural Address	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Other Address	
PRINCIPAL/OBLIGOR MAILING ADDRESS	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	City	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	State	
	Application for New Winery Operation	Step 1: Contacts and Location	Premises Address	Zip Code	
	Application for New Winery Operation	Step 1: Contacts and Location	Mailing Address	Name of Business	
	Application for New Winery Operation	Step 1: Contacts and Location	Mailing Address	Address	
	Application for New Winery Operation	Step 1: Contacts and Location	Mailing Address	P.O. Box	
EIN	Application for New Winery Operation	Step 1: Contacts and Location	Mailing Address	City	
	Application for New Winery Operation	Step 1: Contacts and Location	Mailing Address	State	
	Application for New Winery Operation	Step 1: Contacts and Location	Mailing Address	Zip Code	
	Application for New Winery Operation	Step 1: Contacts and Location	Mailing Address	Country	
EIN	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	EIN	
BOND KIND	Application for New Winery Operation	Step 3: Business Information	Wine Bond Information	Bond Kind	
BOND COVERAGE- OPERATIONS	Application for New Winery Operation	Step 3: Business Information	Wine Bond Information	Bond Coverage- Operations	
BOND COVERAGE- DEFERRAL	Application for New Winery Operation	Step 3: Business Information	Wine Bond Information	Bond Coverage- Deferral	
BOND COVERAGE- TOTAL PENAL SUM	Application for New Winery Operation	Step 3: Business Information	Wine Bond Information	Bond Coverage- Total Penal Sum	
BOND CATEGORY	Application for New Winery Operation	Step 3: Business Information	Wine Bond Information	Bond Category	
SURETY NAME	Application for New Winery Operation	Step 3: Business Information	Wine Bond Information	If Surety- Surety Name	
BOND NUMBER	Application for New Winery Operation	Step 3: Business Information	Wine Bond Information	If Surety- Bond Number	
CHECK NUMBER	Application for New Winery Operation	Step 3: Business Information	Wine Bond Information	If Cash- Check Number	
BOND CUSIP	Application for New Winery Operation	Step 3: Business Information	Wine Bond Information	If T-Note or T-Bond- CUSIP Number	
BOND MATURITY DATE	Application for New Winery Operation	Step 3: Business Information	Wine Bond Information	If T-Note or T-Bond- Maturity Date	
BOND INTEREST RATE	Application for New Winery Operation	Step 3: Business Information	Wine Bond Information	If T-Note or T-Bond- Interest Rate	
BOND ISSUE DATE	Application for New Winery Operation	Step 3: Business Information	Wine Bond Information	If T-Note or T-Bond- Issue Date	
BOND EXECUTION DATE	Application for New Winery Operation	Step 3: Business Information	Wine Bond Information	Execution Date	
SURETY NAME	BLANK	BLANK	BLANK	BLANK	
SURETY REPRESENTATIVE SIGNATURE	BLANK	BLANK	BLANK	BLANK	
SURETY REPRESENTATIVE PRINTED NAME AND TITLE	BLANK	BLANK	BLANK	BLANK	
PRINCIPAL/OBLIGOR NAME	BLANK	BLANK	BLANK	BLANK	
PRINCIPAL/OBLIGOR REPRESENTATIVE SIGNATURE	BLANK	BLANK	BLANK	BLANK	
PRINCIPAL/OBLIGOR REPRESENTATIVE PRINTED NAME	BLANK	BLANK	BLANK	BLANK	
SIGNATURE WITNESS 1	BLANK	BLANK	BLANK	BLANK	
SIGNATURE WITNESS 2	BLANK	BLANK	BLANK	BLANK	

CHANGE IN BOND (CONSENT OF SURETY)- TTB F 5000.18 (12/2009) OMB No. 1513-0013 (04/30/2012)					
FORM FIELD NAME	APPLICATION TYPE/CAP	SCREEN NAME	SECTION HEADER	SCREEN FIELD LABEL	COMMENTS
1.LEGAL NAME OF BUSINESS	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	Name of Business	
2. EMPLOYER IDENTIFICATION NUMBER	Application for New Winery Operation	Step 1: Contacts and Location	Business Headquarters	EIN	
3. PERMIT NUMBER/REGISTRY NUMBER ON THE BOND	N/A	N/A	N/A	N/A	
4. CORPORATE SURETY	Application for New Winery Operation	Step 3: Business Information	Consent of Surety	What is the corporate surety, if any, listed on the bond that you are changing?	
5. FORM NUMBER OF THE BOND CHANGING	Application for New Winery Operation	Step 3: Business Information	Consent of Surety	What is the form number of the bond that you are changing?	
6. DOLLAR AMOUNT OF BOND CHANGING	Application for New Winery Operation	Step 3: Business Information	Consent of Surety	What is the dollar amount of the bond that you are changing?	
7. EFFECTIVE DATE OF BOND CHANGING	Application for New Winery Operation	Step 3: Business Information	Consent of Surety	What is the effective date of the bond that you are changing?	
8. EFFECTIVE DATE OF THIS CHANGE IN BOND	Application for New Winery Operation	Step 3: Business Information	Consent of Surety	What is the effective date of this change in bond?	
9. WE ARE CHANGING THE ABOVE BOND AS FOLLOWS:	Application for New Winery Operation	Step 3: Business Information	Consent of Surety	We are changing the above bond as follows:	
10. DATE	N/A	N/A	N/A	N/A	System Generated
10b. SIGNATURE FOR YOUR BUSINESS	BLANK	BLANK	BLANK	BLANK	
PRINTED NAME AND TITLE	BLANK	BLANK	BLANK	BLANK	
SEAL OF BUSINESS	BLANK	BLANK	BLANK	BLANK	
SIGNATURE OF TWO WITNESSES	BLANK	BLANK	BLANK	BLANK	
PRINTED NAMES OF TWO WITNESSES	BLANK	BLANK	BLANK	BLANK	
10c. SIGNATURE FOR CORPORATE SURETY	BLANK	BLANK	BLANK	BLANK	
PRINTED NAME AND TITLE	BLANK	BLANK	BLANK	BLANK	
CORPORATE SEAL OF SURETY	BLANK	BLANK	BLANK	BLANK	