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| **Part 41 – Security Futures Products** |
| **OMB Collection #3038-0059** |
|  |  |  |  |  |  |
| **Reporting** | **Estimated # of****Respondents or****Recordkeepers****Per Year** | **Reports****Annually****by Each****Respondent** | **Total Annual****Responses** | **Estimated****Average****Number of****Hours Per****Response** | **Estimated****Total Number****of Hours of****Annual Burden****In Fiscal Year** |
| 41.3 Application for exemption by intermediaries | 5 | 1 | 5 | 25,000 | 125 |
| 41.23(a)(1)-(5) Listing of SFPs | 3 | 20 | 60 | 4 | 240 |
| 41.23(a)(6) and 41.24(a)(5) | 3 | 25 | 75 | .033 | 2.48 |
| 41.23(a)(7) and 41.24(a)(6)41.25 (a)(1) Reporting of data | 33 | .3020 | .9060 | 41 | 3.660 |
| 41.27(c) Rules prohibiting exemptions | 1 | 1 | 1 | 4 | 4 |
| 41.27(e) Rules permitting exemptions | 1 | 1 | 1 | 4 | 4 |
| 41.31 SFPCM designation (one time only) | 1 | 1 | 1 | 5 | 5 |
| 41.32 SFPCM continuing obligations | 3 | 20 | 60 | 4 | 240 |
| 41.33 Application for exemption by SFPCM | 1 | 1 | 1 | 40 | 40 |
| 41.41 FCM/B-D disclosure | 60 | 40 | 2,400 | .25 | 600 |
| 41.49 Margin rule changes | 3 | 5 | 15 | 4 | 60 |
|  |  |  |  |  |  |
| ***Subtotal Reporting Requirements*** | ***87*** |  | ***2,679.90*** |  | ***1,384.08*** |
|  |  |  |  |  |  |
| **Recordkeeping** |  |  |  |  |  |
| 41.41(a)(2) Handling of customer accounts | 60 | 1 | 60 | 4 | 240 |
|  |  |  |  |  |  |
| ***Subtotal Recordkeeping Requirements*** | ***60*** | ***1*** | ***60*** | ***4*** | ***240*** |
|  |  |  |  |  |  |
| ***TOTAL REPORTING AND RECORDKEEPING*** | ***147*** |  | ***2,739.90*** | ***0.592*** | ***1,624.08*** |