

**Part 41 – Security Futures Products  
OMB Collection #3038-0059**

	<b>Estimated # of Respondents or Recordkeepers Per Year</b>	<b>Reports Annually by Each Respondent</b>	<b>Total Annual Responses</b>	<b>Estimated Average Number of Hours Per Response</b>	<b>Estimated Total Number of Hours of Annual Burden In Fiscal Year</b>
<b>Reporting</b>					
41.3 Application for exemption by intermediaries	5	1	5	25,000	125
41.23(a)(1)-(5) Listing of SFPs	3	20	60	4	240
41.23(a)(6) and 41.24(a)(5)	3	25	75	.033	2.48
41.23(a)(7) and 41.24(a)(6)	3	.30	.90	4	3.6
41.25 (a)(1) Reporting of data	3	20	60	1	60
41.27(c) Rules prohibiting exemptions	1	1	1	4	4
41.27(e) Rules permitting exemptions	1	1	1	4	4
41.31 SFPCM designation (one time only)	1	1	1	5	5
41.32 SFPCM continuing obligations	3	20	60	4	240
41.33 Application for exemption by SFPCM	1	1	1	40	40
41.41 FCM/B-D disclosure	60	40	2,400	.25	600
41.49 Margin rule changes	3	5	15	4	60
<b>Subtotal Reporting Requirements</b>	<b>87</b>		<b>2,679.90</b>		<b>1,384.08</b>
<b>Recordkeeping</b>					
41.41(a)(2) Handling of customer accounts	60	1	60	4	240

<b><i>Subtotal Recordkeeping Requirements</i></b>	<b>60</b>	<b>1</b>	<b>60</b>	<b>4</b>	<b>240</b>
<b><i>TOTAL REPORTING AND RECORDKEEPING</i></b>	<b>147</b>		<b>2,739.90</b>	<b>0.592</b>	<b>1,624.08</b>