



U.S. Department of Agriculture
 Grain Inspection,
 Packers and Stockyards
 Administration

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ANNUAL REPORT OF DEALER OR MARKET AGENCY BUYING ON COMMISSION

**Packers and
 Stockyards
 Program**

Instructions: If an item's value is zero, enter "0". If an item does not apply, enter "NA". Upon completion please verify that all items have been answered. Return completed form to the P&SP Central Reporting Unit. See separate instructions for complete information about this report.

GENERAL INFORMATION - SECTION 1

101	Reporting Period (mm/dd/yy-mm/dd/yy)	a. from	b. to
102	Legal Business Name		
103	Business Name (dba)		
104	Mailing Address		
105	City, State, Zip		
106	Physical Address		
107	City, State, Zip		
108	Contact Name		
109	Telephone Number		
110	Fax Number		

ORGANIZATIONAL STRUCTURE - SECTION 2

List owners, officers, partners, and managing members in control of this business

	a. Name	b. Title	c. Percentage Ownership
201			
202			
203			
204			
205			

206	Type of organization: <input type="checkbox"/> Individual <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation <input type="checkbox"/> L.L.C. <input type="checkbox"/> L.L.P. <input type="checkbox"/> Co-op <input type="checkbox"/> Association <input type="checkbox"/> Other
207	Is this the same organization type reported last year? <input type="checkbox"/> Yes <input type="checkbox"/> No
208	Is this business owned or controlled by another business entity? <input type="checkbox"/> Yes <input type="checkbox"/> No
209	If line 208 is yes, identify the owner/controlling business name and address
210	Does this business own or control other businesses within the livestock-meat sector? <input type="checkbox"/> Yes <input type="checkbox"/> No

If line 210 is yes, then provide the names of businesses that you own or control and percentage of control in items 211-214

	a. Name of Business	b. Percentage of Control
211		
212		
213		
214		

215	Do you own or operate a scale on which you weigh livestock purchased? <input type="checkbox"/> Yes <input type="checkbox"/> No
216	What is the basis of payment made to you for most livestock you sale? <input type="checkbox"/> Liveweight <input type="checkbox"/> Dressed Weight or Carcass Basis

Your response to this form is required under the Packers and Stockyards Act of 1921 (9 CFR 201.97 and 7 U.S.C. 181-229). According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information is 0580-0015. The time required to complete this information collection is estimated to average 2 hour per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection.

BOND INFORMATION - SECTION 3

Livestock Dealer Activity		a. Cattle & Calves	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
301	Cost of livestock purchased on a dealer basis for registrant's account	\$	\$	\$	\$	\$
302	Number of head of livestock purchased on a dealer basis for registrant's account					

Livestock Bought on Commission		a. Cattle & Calves	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
303	Cost of livestock bought on commission for the account of others regardless of who paid for the livestock	\$	\$	\$	\$	\$
304	Number of head of livestock bought on commission for the account of others regardless of who paid for the livestock					

305 What was the dollar value of livestock dealer and BOC purchases in your highest fiscal year quarter? \$

CLEAREE AND CLEAROR DESIGNATIONS - SECTION 4

401	Are you a clearee (cleared by another business for your livestock purchases)? <input type="checkbox"/> Yes <input type="checkbox"/> No				
402	If you checked yes in item 401, provide the name of the clearing agent:				
403	Are you a clearor (provide clearing services for clearees)? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes provide information for items 404-412				
	Clearee's Name as Registered		Gross Value of Livestock Purchased		
404		405	\$		
406		407	\$		
408		409	\$		
410		411	\$		
	Total Livestock Purchases of all Clearees		412	\$	

BALANCE SHEET - SECTION 5

All clearors and dealers whose line 301e plus 303e plus 412 total is below a specified \$ amount (see instructions) do not need to complete Sections 5 and 6.

Assets

Current Assets

Cash (Enter overdrafts under Current Liabilities - line 515) 501 \$

Temporary Cash Investments (Marketable Securities, Certificates of Deposit, Commercial Paper, etc.) 502 \$

Accounts Receivable (Due in 1 Year or Less) 503 \$

Notes and Loans Receivable (Portion due in 1 Year or Less) 504 \$

Inventory

Livestock 505 \$

Other Inventory 506 \$

Total Inventory 507 \$

Other Current Assets 508 \$

Total Current Assets 509 \$

Long-Term Assets

Investments 510 \$

Property, Plant, & Equipment net of Depreciation and Amortization 511 \$

Other Long-Term Assets 512 \$

Total Long-Term Assets 513 \$

Total Assets 514 \$

Liabilities and Net Worth

Liabilities

Current Liabilities

Bank Overdraft		515	\$	_____
Accounts Payable				
Livestock Payables	516	\$	_____	
Other Payables	517	\$	_____	
Total Accounts Payable		518	\$	_____

Notes and Loans Payable (Demand Notes and Portion of

Long-Term Notes due in One Year or Less)		519	\$	_____
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Other Current Liabilities		520	\$	_____
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Total Current Liabilities		521	\$	_____
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Long-Term Liabilities (Due after One Year)		522	\$	_____
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Total Liabilities		523	\$	_____
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Total Net Worth		524	\$	_____
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Total Liabilities and Net Worth (Equals Total Assets)		525	\$	_____
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INCOME STATEMENT - SECTION 6

All clearors and dealers whose line 301e plus 303e plus 412 total is below a specified \$ amount (see instructions) do not need to complete Sections 5 and 6.

Income Statement

Gross Dealer Profit (+) or Loss (-)		601	\$	_____
Buying Commission		602	\$	_____
Other Income		603	\$	_____
Total Income		604	\$	_____
Total Expenses		605	\$	_____
Net Profit (+) or Loss (-) before Income Taxes		606	\$	_____

CERTIFICATION - SECTION 7

Under the Packers and Stockyards Act any person who willfully makes, or causes any false entry or statement of fact in this report shall be deemed guilty of offense against the United States, and be subject to a fine of \$1,000 to \$5,000, or to imprisonment for a term of not more than 3 years, or to both fine and imprisonment.

I certify that this report has been prepared by me or under my direction, and to the best of my knowledge and belief correctly reflects reporting entity operations.

701 Print Name		702 Signature (Must be signed by a person listed on 201-205)	
703 Phone Number	704 Date	705 Title	

Submitted information is confidential (9 CFR 201.96). Failure to report will result in forfeiture to the United States \$110 per day until report receipt 7 (U.S.C. 222).

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