



U.S. Department of Agriculture
 Grain Inspection,
 Packers and Stockyards
 Administration

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ANNUAL REPORT OF MARKET AGENCY - SOC

**Packers and
 Stockyards
 Program**

Instructions: If an item's value is zero, enter "0". If an item does not apply, enter "NA". Upon completion please verify that all items have been answered. Return completed form to the P&SP Central Reporting Unit. See instructions for information about this report.

GENERAL INFORMATION - SECTION 1

101	Reporting Period (mm/dd/yy)	a. from	b. to
102	Legal Business Name		
103	Business Name (dba)		
104	Mailing Address		
105	City, State, Zip		
106	Physical Address		
107	City, State, Zip		
108	Contact Name		
109	Telephone Number		
110	Fax Number		

ORGANIZATIONAL STRUCTURE - SECTION 2

List owners, officers, partners, and managing members in control of this business

	a. Name	b. Title	c. Percentage Ownership
201			
202			
203			
204			
205			

206	Type of organization: <input type="checkbox"/> Individual <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation <input type="checkbox"/> L.L.C. <input type="checkbox"/> L.L.P. <input type="checkbox"/> Co-op <input type="checkbox"/> Association <input type="checkbox"/> Other
207	Is this the same organization type reported last year? <input type="checkbox"/> Yes <input type="checkbox"/> No
208	Is this business owned or controlled by another business entity? <input type="checkbox"/> Yes <input type="checkbox"/> No
209	If line 208 is yes, identify the owner/controlling business name and address.
210	Does this business own or control other businesses within the livestock-meat sector? <input type="checkbox"/> Yes <input type="checkbox"/> No

If line 210 is yes, then provide the names of businesses that you own or control and percentage of control in items 211-214

	a. Name of Business	b. Percentage of Control
211		
212		
213		
214		

Your response to this form is required under the Packers and Stockyards Act of 1921 (9 CFR 201.97 and 7 U.S.C. 181-229). According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information is 0580-0015. The time required to complete this information collection is estimated to average 2 hour per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection.

SOC ACTIVITY (CLAUSE 1 BOND) - SECTION 3

301	Number of public sale days covered by this report					
	Livestock Sold at Market	a. Cattle & Calves	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
302	Livestock consigned by others to your market (number of head)					
303	Livestock consigned by you to your market (number of head)					
304	Total livestock consigned to your market (number of head)					
305	Gross value of livestock sold on commission	\$	\$	\$	\$	\$

DEALER OPERATIONS (CLAUSE 2 BOND) - SECTION 4

	Livestock Dealer Activity	a. Cattle & Calves	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
401	Cost of livestock purchased on a dealer basis for registrant's account	\$	\$	\$	\$	\$
402	Number of head of livestock purchased on a dealer basis for registrant's account					

	Livestock Bought on Commission	a. Cattle & Calves	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
403	Cost of livestock bought on commission for the account of others regardless of who paid for the livestock.	\$	\$	\$	\$	\$
404	Number of head of livestock bought on commission for the account of others regardless of who paid for the livestock.					

405 What was the dollar value of livestock dealer and BOC purchases in your highest quarter?

CLEARER AND CLEAROR DESIGNATIONS - SECTION 5

501	Are you a clearer (cleared by another business for your livestock purchases)? <input type="checkbox"/> Yes <input type="checkbox"/> No				
502	If you checked yes in item 501, provide the name of the clearing agent:				
503	Are you a clearor (provide clearing services for clearers)? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes provide information for lines 504-512				
	Clearer's Name as Registered		Gross Value of Livestock Purchased		
504		505	\$		
506		507	\$		
508		509	\$		
510		511	\$		
	Total Livestock Purchases of all Clearers		512	\$	

ANALYSIS OF CUSTODIAL BANK ACCOUNT FOR SHIPPERS' PROCEEDS - SECTION 6

601	Balance per Bank Statement as of (Date): _____	602	\$ _____
	Deposits in Transit (in mail or bank, but not on statement)	603	\$ _____
	CD's and Savings Accounts designated as Custodial Funds	604	\$ _____
	Proceeds on Hand	605	\$ _____
	Proceeds Receivables	606	\$ _____
	Total Debits	607	\$ _____
	Outstanding Checks and Drafts which have not Cleared Bank	608	\$ _____
	Proceeds Due Consignors of Livestock for which no Checks were issued	609	\$ _____
	Expense Items incident to Sales remaining in Account	610	\$ _____
	Total Credits	611	\$ _____
	Overage in account (Debits exceed Credits) - Enter on line 702	612	\$ _____
	Shortage in account (Credits exceed Debits) - Enter on line 719	613	\$ _____

BALANCE SHEET - SECTION 7

Include values only for the reporting entity.

Assets

Current Assets

Cash on Hand and in Non-Custodial Bank Accounts (Enter overdrafts under Current Liabilities - line 718)	701	\$	_____
Overage in Analysis of Custodial Bank Account (From Line 612)	702	\$	_____
Temporary Cash Investments (Marketable Securities, Certificates of Deposit, Commercial Paper, etc.)	703	\$	_____
Accounts Receivable (Due in 1 Year or Less)			
From Sources Not Related to Company	704	\$	_____
From Sources Related to Company	705	\$	_____
Total Accounts Receivable	706	\$	_____
Notes and Loans Receivable (Portion due in 1 Year or Less)	707	\$	_____
Inventory			
Livestock	708	\$	_____
Other Inventory	709	\$	_____
Total Inventory	710	\$	_____
Other Current Assets	711	\$	_____
Total Current Assets	712	\$	_____

Long-Term Assets

Investments	713	\$	_____
Property, Plant & Equipment (Net of Depreciation and Amortization)	714	\$	_____
Other Long-Term Assets	715	\$	_____
Total Long-Term Assets	716	\$	_____
Total Assets	717	\$	_____

Liabilities and Net Worth

Liabilities

Current Liabilities

Bank Overdraft in Non-Custodial Bank Accounts	718	\$	_____
Shortage in Analysis of Custodial Bank Account (from line 613)	719	\$	_____
Accounts Payable			
Livestock Payables	720	\$	_____
Other Payables to Sources Not Related to Company	721	\$	_____
Other Payables to Sources Related to Company	722	\$	_____
Total Accounts Payable	723	\$	_____
Notes and Loans Payable (demand notes and portion of long-term notes due in 1 year or less)	724	\$	_____
Other Current Liabilities	725	\$	_____
Total Current Liabilities	726	\$	_____

Long-Term Liabilities (Due after 1 Year)	727	\$	_____
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Total Liabilities	728	\$	_____
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Total Net Worth	729	\$	_____
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Total Liabilities and Net Worth (Equals Total Assets)	730	\$	_____
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INCOME STATEMENT - SECTION 8

Income Statement

Selling Commissions of Market	801	\$	_____
Gross Dealer Profit (+) or Loss (-)	802	\$	_____
Buying Commission	803	\$	_____
Other Income	804	\$	_____
Total Income	805	\$	_____
Total Expenses	806	\$	_____
Net Profit (+) or Loss (-) before Income Taxes	807	\$	_____

CERTIFICATION - SECTION 9

Under the Packers and Stockyards Act any person who willfully makes, or causes any false entry or statement of fact in this report shall be deemed guilty of offense against the United States, and be subject to a fine of \$1,000 to \$5,000, or to imprisonment for a term of not more than 3 years, or to both fine and imprisonment.

I certify that this report has been prepared by me or under my direction, and to the best of my knowledge and belief correctly reflects reporting entity operations.

901 Print Name		902 Signature (Must be signed by a person listed on 201-205)	
903 Phone Number	904 Date	905 Title	

Submitted information is confidential (9 CFR 201.96). Failure to report will result in forfeiture to the United States \$110 per day until report receipt 7 (U.S.C. 222).

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