



WEST COAST FIRST RECEIVER AND SHOREBASED PROCESSOR QUESTIONNAIRE 2011

NOAA Fisheries – Northwest Fisheries Science Center

Retain a completed copy of this questionnaire for your records.

I. Facility Characteristics and Ownership

- Our records indicate that this entity or facility is a first receiver of limited entry trawl caught groundfish. The buyer identification numbers issued by the state fish and game agencies associated with this entity or facility are reported below. If the same buyer identification numbers reported below deliver directly to alternative physical locations, a separate questionnaire must be completed for each facility. If the information on record is incorrect or there are additional buyer identification numbers that deliver directly to this facility please provide that information in the Corrections/Additions column.

Processor/Buyer IDs on Record	Corrections/Additions
Washington Dept. of Fish and Wildlife _____ _____	 _____ _____
Oregon Dept. of Fish and Game _____ _____	 _____ _____
California Dept. of Fish and Game _____ _____	 _____ _____

- Provide the following information about the receiving or processing facility.

Name of facility	First Receiver License Number
Physical location of the receiving or processing facility (street address, city, state, zip code)	

This form can be downloaded from <http://www.nwr.noaa.gov>.

If you have any questions, please contact us at (###) ###-#### or First.Last@noaa.gov.

Submit by 9/1/12 to EDC Program, FRAM Division, Northwest Fisheries Science Center, 2725 Montlake Blvd E, Seattle, WA 98112.

3. Provide the contact information for the **owner of the first receiver site license**. For baseline survey this question will be changed to collect contact information for the buyer ID issued by state fish and game agencies.

Name of Company, Partnership, or Other Business Entity			
Business Mailing Address Street / PO Box		Business Phone ()	
		Business Fax ()	
City	State	Zip Code	Business Email

4. **Individual completing this report.** If your address, phone, and email are provided in the Owner information above, you do not need to repeat them here but please provide your name and title.

Name		Title	
Business Mailing Address Street / PO Box		Business Phone ()	
		Business Fax ()	
City	State	Zip Code	Business Email

5. Did you receive or process any fish at this facility during the 2011 calendar year?

<p>Yes► <i>Complete and submit the <u>entire questionnaire</u> for your 2011 fiscal year.</i></p> <p>No► <i>Complete and submit the questionnaire <u>through this page only</u>.</i></p>
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6. Read the following statement and sign and date the box below.

I certify under penalty of perjury that I have reviewed all the information in this questionnaire and that it is true and complete to the best of my knowledge.	
Signature	Date signed ____/____/____ mm dd yyyy

7. Appraised value of facility

What was the year of this facility's last appraisal?	_____ yyyy
What was the <i>market value</i> of this facility from the appraisal, rounded to the nearest 100 dollars?	\$ _____
What was the <i>replacement value</i> of the facility from the appraisal, rounded to the nearest 100 dollars?	\$ _____
Did the survey values given above include the value of all processing equipment contained on-site?	Yes No

8. For the remainder of this survey, please answer all questions for the 2011 fiscal year. On what date did your 2011 fiscal year begin?

_____ / _____ mm dd

Please report values from your 2011 fiscal year for the remainder of this survey.

II. Capitalized Investments

9. Provide the 2011 **capitalized expenditures** associated with each of the following categories for this facility. Round all answers to the nearest 100 dollars.

CAPITAL EXPENDITURE CATEGORY	TOTAL CAPITALIZED EXPENDITURE
Capitalized expenditures on buildings (exclude land, but include building improvements)	\$ _____
Capitalized expenditures on new and used machinery and equipment (include only equipment used to process, transport on-site, or store fish on-site)*	\$ _____

*This should include all equipment present at this physical location that is used for the following: canning seafood; smoking, salting, and drying seafood; eviscerating fresh fish by removing heads, fins, scales, bones, or entrails; and production of marine fats and oils. It should also include equipment present at this physical location for packaging and freezing of seafood. It should also include transportation equipment such as trucks, forklifts, etc. used to transport equipment within this physical location. Do not include transportation equipment such as trucks or cars used to transport products or supplies into or away from this physical location.

III. Employees and Payroll

Provide the following information about the number of employees and wages. Include full and part-time employees and temporary employees working at this facility. The information is requested separately for production employees and all other employees, which are defined below.

10. Provide the number of **production workers** in the following table. **Production workers** include those workers at this facility (up through and including the line-supervisor level) engaged in fabricating, processing, assembling, inspecting, receiving, packing, warehousing, shipping, maintenance, repair, janitorial, product development, or transporting product on-site.

For the week including	Number of employees (full time, part time, and temporary)	Total Hours Worked
January 12	_____ workers	_____ hrs
February 12	_____ workers	_____ hrs
March 12	_____ workers	_____ hrs
April 12	_____ workers	_____ hrs
May 12	_____ workers	_____ hrs
June 12	_____ workers	_____ hrs
July 12	_____ workers	_____ hrs
August 12	_____ workers	_____ hrs
September 12	_____ workers	_____ hrs
October 12	_____ workers	_____ hrs
November 12	_____ workers	_____ hrs
December 12	_____ workers	_____ hrs

11. Provide the number of **all other employees** in the following table. **All other employees** includes those involved in supervision above line-supervisor level, sales, advertising, credit, collection, installation, cafeteria, recordkeeping, clerical and routine office functions, guard services, executive, purchasing, finance, and legal.

For the week including	Number of employees (full time, part time, and temporary)	Total Hours Worked
March 12	_____ workers	_____ hrs

12. Labor expenses for **production** and **all other employees** (include wages, bonuses, benefits, payroll taxes, and unemployment insurance)

Expense Category	2011 Total Expenditure
Production employee (see definition in Question 10 above)	\$
All other employee (see definition in Question 11 above)	\$

IV. Selected Expenses and Depreciation

13. Quota Expenses

Expense Category	2011 Total Expenditure
Purchases of quota pounds	\$
Leasing of quota share	\$
Purchases of quota share	\$

14. Utilities

Expense Category	2011 Total Expenditure
Electricity	\$
Natural gas	\$
Propane gas	\$
Water	\$
Sewer and waste disposal	\$

15. Rental or Lease Payments

Expense Category	2011 Total Expenditure
Rental or lease of buildings, job-site trailers and other structures (include land)	\$
Rental or lease of processing machinery or equipment	\$

16. Maintenance and Depreciation

Expense Category	2011 Total Expenditure
Repair and maintenance on buildings and equipment* (expensed in 2011)	\$
Depreciation charges for all building, equipment, and improvement capital investments (equipment includes all equipment used to process, transport on-site, or store fish on-site)*	\$

*This should include all equipment present at this physical location that is used for the following: canning seafood; smoking, salting, and drying seafood; eviscerating fresh fish by removing heads, fins, scales, bones, or entrails; and production of marine fats and oils. It should also include equipment present at this physical location for packaging and freezing of seafood. It should also include transportation equipment such as trucks, forklifts, etc. used to transport equipment within this physical location. Do not include transportation equipment such as trucks or cars used to transport seafood into or away from this physical location.

17. Please provide the following information on custom processing performed for you by another processor outside of this facility in 2011.

	Total weight of fish supplied to custom processors	2011 Custom Processing Fees Paid
Groundfish	_____ lbs	\$
Whiting	_____ lbs	\$
Other	_____ lbs	\$

18. Other Expenses

Expense Category	2011 Total Expenditure
Shoreside monitoring costs	\$
Production supplies (boots, smocks, hair nets, knives ,etc.)	\$
Packing materials	\$
Freight costs for supplies to the facility	\$
Non-fish ingredients (additives)	\$
Off-site product freezing and storage	\$
Insurance payments (property and workers compensation)	\$
Other Specify _____	\$
Other Specify _____	\$

19. Fish Purchases

For each type of fish listed, provide the amount of fish used as an input in this processing facility in 2011. In the table below **Other Sources** includes fish acquisitions from other processors, wholesale dealers, brokers, or aquaculture producers. The gross cost of fish purchases includes the value of any taxes paid on behalf of delivering vessels.

- **Total weight of fish received but not paid for (for size or quality reasons)** does not include fish received for custom processing.
- **Total weight of fish purchased** and **Gross cost of fish purchases** includes fish purchased by you that are custom processed by another processor outside of this facility.
- Please include any post-season adjustments.

Type of Fish	Total weight of fish received but not paid for (for size or quality reasons)	Total weight of fish purchased	Gross cost of fish purchases
Whiting			
LE Trawl	_____ lbs	_____ lbs	\$
Fixed Gear	_____ lbs	_____ lbs	\$
Other Sources	_____ lbs	_____ lbs	\$
Dover sole			
LE Trawl	_____ lbs	_____ lbs	\$
Fixed Gear	_____ lbs	_____ lbs	\$
Other Sources	_____ lbs	_____ lbs	\$
Thornyheads			
LE Trawl	_____ lbs	_____ lbs	\$
Fixed Gear	_____ lbs	_____ lbs	\$
Other Sources	_____ lbs	_____ lbs	\$
Sablefish			
LE Trawl	_____ lbs	_____ lbs	\$
Fixed Gear	_____ lbs	_____ lbs	\$
Other Sources	_____ lbs	_____ lbs	\$
Lingcod			
LE Trawl	_____ lbs	_____ lbs	\$
Fixed Gear	_____ lbs	_____ lbs	\$
Other Sources	_____ lbs	_____ lbs	\$
Rockfish			
LE Trawl	_____ lbs	_____ lbs	\$
Fixed Gear	_____ lbs	_____ lbs	\$
Other Sources	_____ lbs	_____ lbs	\$
English Sole			
LE Trawl	_____ lbs	_____ lbs	\$
Fixed Gear	_____ lbs	_____ lbs	\$
Other Sources	_____ lbs	_____ lbs	\$
Rex sole			
LE Trawl	_____ lbs	_____ lbs	\$
Fixed Gear	_____ lbs	_____ lbs	\$
Other Sources	_____ lbs	_____ lbs	\$
Petrals sole			
LE Trawl	_____ lbs	_____ lbs	\$

Type of Fish	Total weight of fish received but not paid for (for size or quality reasons)	Total weight of fish purchased	Gross cost of fish purchases
Fixed Gear	_____ lbs	_____ lbs	\$
Other Sources	_____ lbs	_____ lbs	\$
Sharks, Skates, Rays			
LE Trawl	_____ lbs	_____ lbs	\$
Fixed Gear	_____ lbs	_____ lbs	\$
Other Sources	_____ lbs	_____ lbs	\$
Tuna			
All Tuna	_____ lbs	_____ lbs	\$
Pacific Halibut			
All Pacific Halibut	_____ lbs	_____ lbs	\$
California Halibut			
All California Halibut	_____ lbs	_____ lbs	\$
Herring			
All Herring	_____ lbs	_____ lbs	\$
Coastal Pelagic			
All Other Coastal Pelagic	_____ lbs	_____ lbs	\$
Salmon			
All Salmon	_____ lbs	_____ lbs	\$
Crab			
All Crab	_____ lbs	_____ lbs	\$
Shrimp			
All Shrimp	_____ lbs	_____ lbs	\$
Squid			
All Squid	_____ lbs	_____ lbs	\$
Echinoderms			
All Echinoderms	_____ lbs	_____ lbs	\$
Other Shellfish			
All Other Shellfish	_____ lbs	_____ lbs	\$
Other Species (please list)			
_____	_____ lbs	_____ lbs	\$
_____	_____ lbs	_____ lbs	\$
_____	_____ lbs	_____ lbs	\$

V. Annual Earnings

20. Provide the 2011 selling value f.o.b. plant (after discounts and allowances and excluding freight charges).

- Include products made from custom processing performed for you, but do not include products you made from fish owned by others (custom processing you performed for others).
- Do not include any additional payment you received to cover any shipping, handling, or storage costs associated with the sale beyond the plant.
- Please include any post-season adjustments.
- For products made during the survey year and held in inventory at the end of the year, estimate the value on the basis of the average price received for similar products sold during the year.
- Include products shipped to other establishments of your company.

Type of Fish	Total weight of production	Total value of production (Include products shipped to other facilities of your company)
Whiting		
Surimi	_____ lbs	\$
H&G	_____ lbs	\$
Fillets	_____ lbs	\$
Roe	_____ lbs	\$
Frozen Whole	_____ lbs	\$
Unprocessed	_____ lbs	\$
other (specify) _____	_____ lbs	\$
other (specify) _____	_____ lbs	\$
Dover sole		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$
Thornyheads		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$
Sablefish		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$
Lingcod		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$
Rockfish		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$

Type of Fish	Total weight of production	Total value of production (Include products shipped to other facilities of your company)
English Sole		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$
Rex sole		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$
Petrals sole		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$
Sharks, Skates, Rays		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$
Tuna		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Canned	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$
Herring		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$
Sturgeon		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Canned	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$
Pacific Halibut		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$
California Halibut		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$

Type of Fish	Total weight of production	Total value of production (Include products shipped to other facilities of your company)
Other Coastal Pelagic		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$
Salmon		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Smoked	_____ lbs	\$
Canned	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$
Crab		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Canned	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$
Shrimp		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Canned	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$
Squid		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$
Echinoderms		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$
Other Shellfish		
Fresh	_____ lbs	\$
Frozen	_____ lbs	\$
Unprocessed	_____ lbs	\$
Other	_____ lbs	\$
Other Species (please list)		
_____	_____ lbs	\$
_____	_____ lbs	\$
_____	_____ lbs	\$
Other Non-Species specific Products		

Type of Fish	Total weight of production	Total value of production (Include products shipped to other facilities of your company)
Fish Meal	_____lbs	\$
Fish Oil	_____lbs	\$
Other products	_____lbs	\$

Other Earnings

21. Provide the revenue received for **custom processing** for others in 2011.

	2011 Custom Processing Revenue
Groundfish	\$
Whiting	\$
Other	\$

22. Provide the revenue received for **quota sales and leasing** in 2011.

Quota Category	2011 Total Revenue
Sale of quota pounds	\$
Leasing of quota pounds	\$
Leasing of quota share	\$
Sale of quota share	\$

Questionnaire Comments:

Public Reporting Burden Statement

Public reporting burden for this collection of information is estimated to take 8 hours per response, including time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden to Todd Lee, National Marine Fisheries Service, Northwest Fisheries Science Center, 2725 Montlake Blvd E, Seattle, WA 98112.

Additional Information

Before completing this form, please note the following: 1) Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts under 50 CFR part 660 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*); 3) Responses to this information request are confidential under 402(b) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*). They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect the confidentiality of fishery statistics.