# **Appendix A. Instruments**

IRFP Applicants Survey **EAPSI Applicants Survey IRFP Host Survey EAPSI Host Survey EAPSI Advisor Survey** Interview Guide for EAPSI Program Manager in Foreign Location

Interview Guide for EAPSI Agency Official in Foreign Location

#### Introductory screen:

# Survey of former applicants to the National Science Foundation's International Research Fellowship Program (IRFP)

Welcome and thank for your interest in this study. This survey is being conducted by Abt Associates Inc., and our subsidiary, AbtSRBI, for the National Science Foundation (NSF), to learn about the experiences, career choices, and professional activities of individuals who applied to NSF's International Research Fellowship Program (IRFP). You are receiving this survey because you are listed in the IRFP database as an applicant to IRFP.

#### **Confidentiality and Participation**

Participation in the survey is voluntary and nonparticipation will have no impact on you or your institution. You may skip questions on the survey or discontinue participation at any time. There are minimal risks associated with your participation. We take your privacy very seriously. Your responses to this survey will be protected under the Privacy Act. There is minimal risk of breach of confidentiality, and we have put in place procedures to minimize this risk. Reports will never identify you by name, and information from the study will only be reported in the aggregate at the program level, combined with about 250 other responses. When we receive your survey we will detach and store separately your name and other identifying information that could be used to link you to your survey responses. Survey responses will be stored on a secure drive that is only accessible to members on the study team. Only study team web technicians and data analysts from Abt Associates and AbtSRBI will see individual responses that can be linked to you. Survey data files will be shared with NSF at the end of the study, only after study team members have examined the data to be free of any information that could help identify you; this cleaning includes procedures to limit someone from inferring your identity by analyzing non-identifying data. Hence, we encourage you to respond candidly about your experiences. Separate from your individual responses to the survey we will provide NSF any updated contact information we have found or requested from you. None of this contact information will be linked in any way to your survey responses. At the conclusion of the study, Abt Associates and AbtSRBI will destroy all records, electronic or otherwise, that link you to your survey responses.

This study's IRB approval number is #0494, valid from 8/6/2010 to 8/5/2011. For questions, please contact Teresa Doksum, IRB Administrator, Abt Associates, at IRB@abtassoc.com.

The valid OMB control no. for this information collection is xx-xxxxx. (Expires on mm/dd/yyyy)

<u>Continue</u>

# IRFP applicant survey

### **Completing the Survey**

We estimate that it will take approximately 30 minutes to complete the survey. If you have questions about the study, please contact the study director, Alina Martinez of Abt Associates Inc. at (866) 421-6223 (toll free within the U.S.) or email her at IRFP\_survey@abtassoc.com. You may also contact the evaluation's program officer at NSF, John Tsapogas (jtsapoga@nsf.gov). If you have questions about your rights as a research participant, you may contact Teresa Doksum, the Abt Institutional Review Board Administrator at (877) 520-6835 (toll-free within the U.S.) or by email: irb@abtassoc.com.

To learn more about this study, please refer to the Frequently Asked Questions page.

# Navigating through the survey:

As you work through the survey, your responses are automatically saved. You may change a response by clicking on the PREVIOUS ITEM button. Use the NEXT ITEM button to advance to the next question.

At any time, you may click on the SAVE & FINISH LATER button if you wish to temporarily pause the survey and return to it at a later time.

On each page of the survey, a **HELP** button is provided if you experience technical difficulties with the online survey. This button will allow you to send a request for assistance to the survey's website administrator.

When you have completed the survey, please click on the **SUBMIT** button at the end of the survey. You may submit the survey even if there are some questions that you choose not to answer.

#### Consent

Please click on "Begin" if you agree to participate in this study. **BEGIN** 



# FAQs are Optional screens, displayed only if R clicks on Frequently Asked Questions

# FREQUENTLY ASKED QUESTIONS

- What is the International Research Fellowship Program (IRFP)?
- Why are you doing this study?
- Why have I been selected to participate in this survey?
- How did you get my contact information?
- How long will this survey take to complete?
- How will you use my comments?
- Does this study have human subjects review clearance?
- Who is funding the study?
- Who are Abt Associates Inc and AbtSRBI?

# What is the International Research Fellowship Program (IRFP)?

The International Research Fellowship Program (IRFP) is a National Science Foundation (NSF) program that provides support for new doctoral-level scientists and engineers to conduct research in a foreign country for a period of 3 to 24 months.

#### Why are you doing this study?

NSF is interested in learning about the characteristics of scientists and engineers who applied for an IRFP Fellowship between 1992 and 2009. Information about the domestic and international experiences of IRFP participants and non-participants will help NSF understand the usefulness and relevance of international research training for scientists and engineers. Information from this study will be used to describe the experiences and career paths of new Ph.D. scientists and engineers who expressed interest in pursuing an international collaboration.

# Why have I been selected to participate in this survey?

You have been selected to participate because we have identified you as having applied to the International Research Fellowship Program (IRFP).

#### How did you get my contact information?

We identified you from records maintained by the National Science Foundation on prior IRFP applicants. We then obtained your contact information through an internet search.

# How long will this survey take to complete?

We estimate that the survey will take about 30 minutes.

#### How will you use my comments?

Responses from all survey respondents will be used to answer questions about IRFP applicants' early career trajectories, the nature of their research collaborations both within and outside of the U.S., and their subsequent career paths.

### Has this study been reviewed and approved by an institutional review board?

Yes, the study was also approved by Abt Associates' Institutional Review Board. If you have any concerns about your participation in this survey, please contact Teresa Doksum, Institutional Review Board Administrator at Abt Associates, at (877) 520-6835 or via email at <a href="mailto:irb@abtassoc.com">irb@abtassoc.com</a>.

#### Who is funding the study?

The study has been funded by the National Science Foundation under contract GS-10F-0086K. Abt Associates and AbtSRBI will complete the study.

#### Who are Abt Associates Inc and AbtSRBI?

Abt Associates is an independent research firm headquartered in Cambridge, Massachusetts. AbtSRBI is a wholly-owned subsidiary of Abt Associates specializing in large-scale data collection and public opinion research. NSF has contracted with Abt Associates and AbtSRBI to design and implement a survey of individuals who applied to NSF's International Research Fellowship Program (IRFP).

# IRFP applicant survey

The header above in dark blue should appear at top of each survey screen.

# MODULE A: VERIFYING INFORMATION ABOUT YOU

To begin, we'd like to confirm that we've reached the appropriate person.

	Based on the information that you provided to NSF in your application, your name is [First Name, Middle Name/initial, Last Name]. Is this correct?
	<ul> <li>No, my name has changed or my name is misspelled above. My name is: [textbox, 75]</li> <li>→ FORMER IRFP FELLOWS, GO TO A2.1</li> <li>IRFP UNFUNDED APPLICANTS, GO TO A2.2</li> </ul>
I	$\square$ No, I'm not the person named above. $\rightarrow$ EXIT SCREEN
ĺ	☐ Yes, this is correct. → FORMER IRFP FELLOWS, GO TO A2.1 IRFP UNFUNDED APPLICANTS, GO TO A2.2
[For	mer Fellows only]
_	Based on the information contained in the NSF database, you participated in the National Science Foundation's International Research Fellowship Program starting in [Year of application]. Is this correct? If you participated in IRFP more than once, please consider your most recent experience
	☐ Yes, this is correct. → GO TO A3
	□ No, I never participated in IRFP → CONFIRM FELLOWSHIP SCREEN
	$\square$ No, I applied for the IRFP, but withdrew my application before the award decision was made $\Rightarrow$ EXIT SCREEN
	□ No, I was awarded the IRFP fellowship, but declined it →EXIT SCREEN
	No, I participated in IRFP, but my fellowship began in:
ı	Enter year: [textbox, 4] → GO TO A3 [data check. If year ≤ 1990 or ≥ 2011 or if year non-numerical reject entry and prompt R to correct entry: "Please type a four-digit year between 1990 and 2010"] Set Year of application = entered year.
[Unf	funded applicants only]
A2.2	Based on the information contained in the NSF database, you applied for the National Science Foundation's International Research Fellows Program (IRFP) in [year of application], but did not participate in a fellowship. Is that correct?
 	<ul> <li>Yes, this is correct. → GO TO A3</li> <li>No, I applied for an IRFP fellowship in (Enter year): [textbox 4] data check as in A2.1, Set year_of_application = entered year</li> <li>No, I did participate in an IRFP fellowship. → CONFIRM FELLOWSHIP SCREEN</li> <li>No, I never applied for this program. → CONFIRM FELLOWSHIP SCREEN</li> </ul>

[11	sed on the information in the RFP country]. Is this correct Yes → GO TO A4	ne NSF database, you applied to spend your IRFP fellowship in ??
		llowship in (Enter country): [textbox, 50]. → GO TO A4 Set puntry
	Our records indicate that you Yes, this is correct. $\rightarrow$ <b>GO</b>	received your doctorate in [phdyear]. Is this correct?  TO A5
	1900 or ≥ 2011 or if year n "Please type a valid four-o	(Enter year): [textbox, 4] → GO TO A5 [data check: If year son-numerical reject entry and prompt R to correct entry: digit year"] Set phdyear = entered year
	I have more than one doct	orate. →GO TO A4b
A4b. P	First doctoral degree recei	ou received your first and your most recent doctoral degrees: ved in (enter year): [textbox, 4] ee (enter year): [textbox 4]
fell bro	•	rizes your field of research when you applied for an IRFP ral field of research)? First, indicate which one of four scipline falls:
o	□ <sub>1</sub> Sciences	(Biological/Life, Chemical, Computer/Information, Environmental, Earth/Atmospheric/Oceanographic, Geosciences, Mathematical/Statistical, Physics, Psychology)
	☐ <sub>2</sub> Social Sciences	s (Economics, Sociology, Anthropology/Archaelogy, Political Science, Geography, Linguistics, other)
	□ <sub>3</sub> Health/Medica	al
	$\square_4$ Engineering	
display	one of three screens based	on choice above:
1	Science screen	
2	Social Science, Health scre	
3	Social Science, Health scre	en
4 The ne	Engineering screen	be a separate screen. After R hits SUBMIT, go to Item B1
THE HE	At timee pages should eath	be a separate screen. After Kints sobiant, go to item bi

# **Science Fields**

For Science/Math Education select the primary scientific or mathematical field, or select <u>Social Sciences or Health/Medical</u> and see "OTHER Social Sciences: 621: Education, General"

To view other fields, click on a button:

<u>Social Sciences or Health/Medical</u> fields.

<u>Engineering fields.</u>

Agricultural/food sciences	O 605 Animal sciences	O 607 Plant sciences	
	○ 606 Food sciences/technology	O 608 OTHER agricultural sciences	
Biological sciences	O 631 Biochemistry/biophysics	<ul> <li>637 Microbiological sciences/immunology</li> </ul>	
Bioengineering, see	→ 632 Biology, general	O 638 Nutritional sciences	
ENGINEERING, next page	O 633 Botany	O 639 Pharmacology, human/animal	
	O 634 Cell/molecular biology	• 640 Physiology and pathology,	
	O 635 Ecology	human/animal	
	O 636 Genetics, animal/plant	→ 641 Zoology, general	
		O 642 OTHER Biological sciences	
Environmental life sciences	O 680 Environmental science/studie	es O 681 Forestry sciences	
Computer and information	O 671 Computer/information	O 674 Computer systems analysis	
sciences For Computer	sciences, general	O 676 Information services/systems	
Engineering, see	O 672 Computer programming	O 677 OTHER computer/information sciences	
ENGINEERING, next page	O 673 Computer science		
Mathematics and statistics	O 841 Applied mathematics	→ 844 Statistics	
	O 842 Mathematics, general	O 845 OTHER mathematics	
	O 843 Operations research		
Chemistry, except biochem	<ul> <li>873 Chemistry except biochemistr</li> </ul>	O 873 Chemistry except biochemistry (biochemistry, see Biological sciences)	
Earth, atmospheric, and	O 872 Atmospheric	O 876 Geological sciences, other	
ocean sciences	sciences/meteorology	O 877 Oceanography	
	O 874 Earth sciences	<ul><li>879 OTHER physical sciences</li></ul>	
	○ 875 Geology		
Physics Biophysics, see	O 871 Astronomy/astrophysics	→ 878 Physics	
Biological Sciences			
Psychology	○ 891 Clinical psychology	O 894 General psychology	
	O 892 Counseling	O 895 Industrial/organizational psychology	
	O 704 Educational psychology	→ 896 Social psychology	
	O 893 Experimental psychology	◆ 397 OTHER psychology	

**SUBMIT** selection and go to next Survey Item (B1).

# Social Sciences and Health/Medical fields

For Science/Math Education select the primary scientific or mathematical field (click on Science), or see below "OTHER Social Sciences: 621: Education, General"

To view other fields, click on a button:

Science fields.
Engineering fields.

Economics	○ 601 Agricultural economics	O 923 Economics
Political and related	O 927 International relations	O 902 Public policy studies
sciences	O 928 Political science/government	
Sociology/Anthropology	→ 921 Anthropology/archaeology	O 929 Sociology
	→ 922 Criminology	
OTHER social sciences	○ 620 Area/ethnic studies	O 771 Linguistics
	→ 621 Education, general	O 861 Philosophy of science
	→ 924 Geography	O 930 OTHER social sciences
	→ 925 History of science	
Health	○ 781 Audiology/speech pathology	O 787 Nursing (4 years or longer program)
	→ 782 Health services administration	O 788 Pharmacy
	→ 783 Health/medical assistants	O 789 Physical therapy and other
	→ 784 Health/medical technologies	rehabilitation/therapeutic services
	○ 785 Medical preparatory programs	O 790 Public health (Including environmental
	(e.g., pre-dentistry, pre-medical,	health/epidemiology)
	pre- veterinary)	O 791 OTHER health/medical sciences
	○ 786 Medicine (e.g., general, internal, orthopedic,	
	surgical, dentistry, optometry, osteopathic,	
	podiatry, veterinary)	

**SUBMIT** selection and go to next Survey Item (B1).

# **Engineering fields**

For Science, Math or Engineering Education select the primary scientific or mathematical field (click on <u>Science</u>), or or select <u>Social Sciences or Health/Medical</u> and see "OTHER Social Sciences: 621: Education, General"

To view other fields, click on a button:

<u>Science</u> fields. <u>Social Science or Health/Medical</u> fields.

Biochemical engineering, see Bioengineering/biomedical under OTHER Engineering		
Chemical engineering	O 725 Chemical engineering	
Civil/architectural eng.	○ 723 Architectural engineering	726 Civil engineering
Electrical/computer	○ 727 Computer/systems engineering	728 Electrical/electronics/communications
engineering		engineering
Industrial engineering	O 733 Industrial/manufacturing engineering	g
Mechanical engineering	O 735 Mechanical engineering	
OTHER engineering	○ 721 Aerospace/aeronautical/	732 Geophysical/geological engineering
	astronautical engineering	734 Materials engineering, including
	O 722 Agricultural engineering	ceramics/textiles
	O 724 Bioengineering/biomedical	736 Metallurgical engineering
	engineering	737 Mining/minerals engineering
	O 731 Engineering, general	738 Naval architecture/marine engineering
	O 729 Engineering sciences/	739 Nuclear engineering
	mechanics/physics	740 Petroleum engineering
	O 730 Environmental engineering	741 OTHER engineering

**SUBMIT** selection and go to next Survey Item (B1).

Do not display the following info: Source: SESTAT education codes in NSRCG major fields

# MODULE B: THE IRFP APPLICATION PROCESS

B1.		To conduct research with a specific person or at a specific institution  To collaborate with a foreign scientist  To understand what research in my field was like outside the US  To access resources (e.g. samples, equipment) for research that I could not find in the U.S.  To enhance my skills or knowledge as a researcher  To enhance my resume as a future job candidate  To learn about the culture, history, and/or geography of another country  To learn another language  To travel outside of the United States  For family reasons (e.g., to accompany a spouse traveling abroad)  Other (please specify): [textbox, 300]
B2.		The host researcher was conducting research relevant to my own interests The host institution had equipment or resources helpful for my proposed research A faculty advisor or mentor recommended this particular country, the particular host institution or host researcher in this country I have professional ties with someone who is from this country The host researcher had visited my department or university There were particular places in this country (aside from the host institution) that I wanted to visit or see I had studied this country's culture, history, politics, geography, etc. before applying I was familiar with the primary language(s) spoken there Other reason (please specify): [textbox, 300]
	ange /.	I the notification of your application status allow you sufficient time to make the necessary ements for your visit or to make alternative plans if you did not receive the award? <i>Check one</i> Yes  No

B4. Hov	w did your primary graduate advisor view your decision to apply for an IRFP? Check one only. I do not know how my advisor viewed my decision to apply I did not have an advisor when I most recently applied My advisor opposed my decision to apply My advisor was indifferent to my decision to apply My advisor supported my decision to apply My advisor encourage me to apply to the IRFP program
co	nat types of mentoring or guidance did you receive from your graduate advisor or other lleagues during the preparation of the IRFP application? <i>Check all that apply.</i> Suggested a host institution  Recommended me to a colleague at the host institution  Provided feedback on my project proposal  Discussed with me cultural and language aspects of the host country  Other – specify: [textbox, 300]  None

# MODULE C: ABOUT YOU AT THE TIME OF YOUR APPLICATION

Items in this section ask for information about the year in which you applied for an IRFP Fellowship.

C1. At the time you applied for an IRFP Fellowship ([year_of_application]) were you employed in a tenure-track faculty position? Check one only  Yes  No
C2a. At the time you applied for IRFP ([year_of_application]), what was the highest degree you had <a href="mailto:completed">completed</a> ? You may have applied before you officially received your doctorate, for example. Check one only:  Bachelor's degree (BS, BA)  Master's degree (MA, MS, MBA, etc.)  Doctoral degree (PhD, EdD, MD, joint MD/PhD, JD, PsyD, ScD)  Other degree—specify: [textbox, 50]
C2b. At the time you applied for IRFP ([year_of_application]), had you received or were you pursuing a graduate degree from an institution outside the United States? <i>Check one only</i> Yes  No
C3a. While you were an undergraduate, did you participate in a study abroad program or did you spend a semester (or more) pursuing your education at a college/university outside the United States?  Check one only  Yes  No
C3b. While you were a graduate student did you participate in a study abroad program or did you spend a semester (or more) pursuing your graduate research or education outside the United States?  Check one only  Yes  No

C4.	all that	time you applied for IRFP ([year_of_application]), had you done any of the following? Check tapply Attended elementary or secondary school in another country (outside the U.S.) Lived outside the U.S. for six months longer Collaborated on research with someone based in another country Published research with someone based in another country Attended or presented scholarly work at a research conference in another country Participated on a research team with a scientist who was visiting my group from a foreign institution Worked with a colleague who had completed an IRFP Fellowship None of the above
C5a.	at the	time you applied for an IRFP fellowship ([year_of_application]), were you already working foreign institution that you proposed as your IRFP host? <i>Check one only</i> Yes No
C5b.	host so	time you applied for IRFP ([year_of_application]), were you already collaborating with the cientist(s) or someone else at the host institution(s) that you proposed in your application? s, even if you were not physically working at the host institution, were you already orating with someone at that institution? Check one only es, with my proposed host scientist es, with someone else at my proposed host institution (not the host scientist)
	relation host ins	time you applied for an IRFP Fellowship ([year_of_application]) were you aware of any aship between your U.Sbased institution (graduate, postdoctoral, or other) and that of the stitution to which you applied? <i>Check all that apply:</i> Yes, a student, postdoc or faculty member in my department had collaborated with someone at the host institution  Yes, my department or university had an existing collaboration or student/faculty exchange program with the host institution  Other students/postdocs/faculty from my university or department had been to the host institution  Yes, there was another type of relationship. Please specify: [textbox, 300]  No, I was not aware of any such relationship

C7. At the time you applied for the IRFP ([year\_of\_application]), how many of the following had you authored/co-authored, edited/co-edited, developed/co-developed? *Include articles in-press, patents registered; do not included manuscripts or products under review at the time. Include works published in electronic or printed format but do not count the same work more than once if it is available in multiple formats.* 

Total number published/in-press	Number completed with a foreign collaborator	
		Peer-reviewed journal articles
		Peer-reviewed conference publications (e.g. abstracts, conference papers, posters)
		Patents and licenses, registered or pending
		Book chapter(s) (e.g., in edited volumes)

C8. Have you ever received a nationally competitive fellowship to support your graduate studies? Do not include support you received directly from your institution or support from a faculty member's grant funding.

Nationally-competitive fellowships are unrestricted fellowships granted b	y a federal agency,
private foundation, or similar organization directly to an individual gradua	te student (or graduate
school applicant) for use at any graduate institution of his/her choosing.	Check one only
☐ Yes	
□ No	

FELLOW, GO TO ITEM C9.
UNFUNDED, GO TO ITEM Module D (Item D1a)

C9.	As of October 1, 2010, had you completed your IRFP fellowship (including any U.Sbased "re-entry
	period")? Check one only
	☐ Yes → Go to D1a

□ No → R will receive items in Module E and Module G; Do not Present Modules D, F.

# **MODULE D: PROFESSIONAL HISTORY**

In this section, we ask about various professional experiences you've had up to October 1, 2010. We use a standard date so that all survey participants think about the same period of time when answering these questions.

D1a.	Since receiving your first doctoral degree how many postdoctoral appointments have you held? have? Please include any postdocs you held through October 1, 2010. Please include IRFP if you received an award.
	Enter Number of postdocs [textbox 2] or if None, check this box: ☐  If "none" box is checked Skip to D1c, else continue to D1b.
D1b.	[all applicants]: Since receiving your first doctoral degree, how many postdoctoral appointments have you held at institution(s) outside the United States? Please include any postdocs you held through October 1, 2010. Please include IRFP if you received this fellowship. Check one only  0 1 2 3 or more
emple Check	During the week of October 1, 2010 were you working for pay or profit? Work includes being self- oyed, on a postdoctoral appointment, or on any type of paid or unpaid leave, including vacation. one only  Yes, in a postdoctoral position → GO TO D4  Yes, in another type of position (i.e., not a postdoctoral position) → GO TO D2  No, I was not working then → GO TO D6
0	/as your primary employer during the week of October 1, 2010 an educational institution? Check ne only  1 Yes → GO TO D2a  1 No → GO TO D3
D	<ul> <li>2a. Was the educational institution where you worked a Check one only</li> <li>□ Preschool, elementary, middle, or secondary school or system → GO TO D4</li> <li>□ Two-year college, community college or technical institute</li> <li>□ Four-year college or university,* other than a medical school</li> <li>□ Medical school (including university-affiliated hospital or medical center)</li> <li>□ University-affiliated research institute</li> <li>□ Other educational institution [please specify]: [textbox, 150]</li> <li>*Four-year college/university includes doctoral-granting and non-doctoral-granting institutions.</li> </ul>

D2b. During the week of October 1, 2010 what type of academic position did you hold at this institution? *Mark Yes or No for each item*.

Yes	No	
		President, Provost, or Chancellor (any level)
		Dean (any level), department head or chair
		Research faculty, scientist, associate or fellow
		Teaching faculty
		Adjunct faculty
		Other (please specify: [ textbox, 75])

D2c. During the week of October 1, 2010 what was your faculty rank? Check one only

Not applicable: no ranks designated at this institution
 Not applicable: no ranks designated for my position

Professor/Full Professor	
☐ Associate Professor	
☐ Assistant Professor	
☐ Instructor	
☐ Lecturer	
☐ Other: [textbox, 75]	
D2d. What was your tenure status? Check one only	
Not applicable: no tenure system at this institution	
☐ Not applicable: no tenure system for my position	
☐ Tenured	
On tenure track but not tenured	
☐ Not on tenure track	
→ after D2d, SKIP to D4	
D3 only for respondents where D2 = NO  D3. Which of the following best describes your primary employer during the week of October 1, 20 Check one only  SELF-EMPLOYED or a BUSINESS OWNER (non-incorporated or incorporated business, professional practice, or farm)  PRIVATE SECTOR (for-profit or non-profit, including tax-exempt and charitable organizations)  Local GOVERNMENT (city, county, school district)  State GOVERNMENT  U.S. federal GOVERNMENT  U.S. MILITARY service, activity duty or Commissioned Corps (e.g., USPHS, NOAA)  OTHER type of employer: Please specify: [textbox, 300]	)10?

D4.	individuals located in co	ountries other the postdocto <mark>4a</mark>	than the L	ne week of October 1, 2010, did you wo IS? If you were a postdoctoral fellow a hip to be your primary job. Check one o	luring this
	Sharin Prepal Jointly Collab	g data or inforg materials, ending a joint pull developing a orating on a retype of work?	rmation? quipment, blication? product, p esearch pro	or facilities?  rocess, or program? oject? textbox, 300]	<b>.</b>
D4b.		ı were based i		October 1, 2010 were you employed a states but travelled internationally fo	
	Investigator or co-Princip	oal Investigato	or), prestigi	L, 2010, did you receive any grants (as Four our awards or honors based on your reallowship itself. Check Yes or No for each	esearch? If
	Not applicable for my position (e.g., not eligible for				
	grants/awards)	Yes	No 🗆	Grant(s) as Principal Investigator	
				Grant(s) as co-Principal Investigator  Prestigious award(s)/honor(s)	
	If ALL rows in D5 = No	r Not applical ws only] Were	ble $\rightarrow$ GO any of the	GO TO D5a; Unfunded applicants, SKI TO D6. ese grants or awards based on research	

and who did it come from?

(i) Name of award: [textbox, 300]

(ii) The award was from: Check one:

An international organization or foreign government

A U.S. government agency

A US-based professional association

A private foundation in the U.S.

An institution where I was employed or my doctoral institution

None of the above

(iii) Please type the full name of the awarding agency or organization: [textbox, 300]

D6. Between [year of IRFP application] and October 1, 2010, how many of the following works had you published or produced (on your own or with others)?

Include works "in press" but do not include works "under review" or "in preparation." Include

D5b. What was the name of the most prestigious grant, award or honor for research you have received

Make your best approximation if you do not know the exact number.

it is available in multiple formats.

The first row should not appear on-screen and the column "IRFP Fellows only" should appear only for FELLOWS not for UNFUNDED APPLICANTS

works published in electronic or printed format but do not count the same work more than once if

Do not display this row onscreen	All Respondents	All respondents	IRFP Fellows only:
	Total (if none, enter "0")	How many of these from collaboration with foreign colleague(s)?	How many of these from collaboration with your IRFP host?
Peer-reviewed journal articles			
Peer-reviewed conference publications (e.g. abstracts, conference papers, posters)			
Patents and licenses, registered or pending			
Book chapter(s) (e.g., in edited volumes)			

country? ("Work" refers appointments.) <i>Check on</i>	lication + 2] and October 1, 2010, have you ever worked in another here to employment for pay or profit and includes postdoctoral ee only.
<ul> <li>Yes → GO TO D7a</li> <li>No → GO TO D8</li> </ul>	
D7a. For how many ye country (or coun	ears (if less than 1 year, how many months) did you work in another tries)?
I worked in anot	her country/countries for: Check one only.
Less than 1 year	ear <del>→Go to D7a_(i)</del>
-	ger → Go to D7a_(ii)
	Enter number of months total: [textbox, 2] → Go to D8
D7a_(ii). I	Enter number of years total: [textbox, 2] → Go to D8
D7a(i) and D7a(ii) should appe	ear on - screen together with D7a.
D8. Between [year of IRFP app	olication + 2] and October 1, 2010, did you mentor any individuals from
	onducted research in another country?
Check all that apply	,
I mentored undergra	duate students who conducted research abroad
I mentored graduate	students who conducted research abroad
	who conducted research abroad
· · · · · · · · · · · · · · · · · · ·	rho conducted research abroad
·	<u>scientists</u> who conducted research abroad
	lividuals who who conducted research abroad
☐ I did not mentor any	individuals who conducted research abroad
Former IRFP Fellows:	Go to D9
Unfunded applicants:	Go to D10
•••	

# (Fellows only):

In this next question, we're interested in any effects that your participation in IRFP may have had on people or institutions you've worked with in the U.S. since the end of your IRFP fellowship.

D9. Since the end of your IRFP fellowship, have you done any of the following? *If, since the end of your IRFP fellowship, you have been unemployed, self-employed or worked only outside the United States, please select "Not applicable" in each row.* 

	Yes	No	Not applicable
I have taught my colleagues, students, or peers research methods that I learned during my IRFP fellowship			
I have shared with my colleagues resources (e.g., data, samples, materials) or tools (e.g., algorithms, software, instruments) that I collected developed during my IRFP fellowship			

#### **Continue to D10**

# (All survey participants):

D10. Between [year of IRFP application +2] and October 1, 2010, have you done any of the following: If during this period you have been unemployed, self-employed or worked only outside the United States, please select "Not applicable" in each row.

	Yes	No	Not applicable
I have established a program to foster international collaborations			
I have hosted researchers or professional colleagues from another country at my institution			
I have led a delegation of colleagues to visit a research laboratory, university, or business in another country			
I have established or served in a leadership role for an international association for professionals in my line of work			

Unfunded applicants: Go to Module G (DEMOGRAPHICS)
Fellows: Go to Module E (THE IRFP FELLOWSHIP EXPERIENCE)

# MODULE E: THE IRFP FELLOWSHIP EXPERIENCE

In this section, we'd like to ask about experiences you had during your IRFP Fellowship.

E1.	In preparation for your IRFP fellowship, did you study a language spoken in your host country? Check all that apply.
	☐ No, I was already familiar with the language spoken in the host country
	☐ No, I did not study a language spoken in the host country
	☐ Yes, I did some self-guided language study (i.e., individual study using books or computer-based instructional software, such as <i>Rosetta Stone</i> )
	☐ Yes, I studied with a conversation partner or a tutor who was familiar with a language spoken in the host country
	☐ Yes, I enrolled in a formal language training course led by an instructor (either an online course or "live" course)
	During your IRFP fellowship, did you attend or participate in any of the following types of cultural or leisure activities in your host country? <i>Check all that apply</i> I Sightseeing
	☐ Museums
	Festivals, holiday or religious ceremonies
	Outdoor activities to explore the landscape, geography
	☐ Sporting events
[	☐ Non-scientific lectures or presentations
[	Other (please specify):
(	☐ I did not participate in any cultural activities
	ouring your IRFP fellowship, did you attend or participate in any of the following types of essional activities? Check all that apply
-	☐ Visit(s) to educational or research institutions other than my host institution
	☐ Visit(s) to businesses/industrial laboratories
	☐ Visit(s) to businesses/industrial laboratories ☐ Language courses or language study
	Lectures, colloquia, seminars in my field
	☐ Attended professional conferences in my host country
	☐ Attended professional conferences in my host country ☐ I gave a talk or presentation to researchers from my host country
	☐ Networking with colleagues from institutions other than my host institution
	☐ Other (please specify):
	☐ I did not attend or participate in any professional activities
•	= 1 and not attend of participate in any professional activities
	3a. Please describe one of the most memorable activities or events you experienced in your host
	country:
	textbox, 2500

E4. Who was primarily involved in the following activities related to the IRFP project(s) on which you worked? *Mark one answer in each row.* 

	Mostly me independently	Mostly the host or members of his/her group without me	Me and the host or host's research group together about equally	Not applicable
Developing the ideas, hypotheses,				
broad framework, or vision for the				
research project				
Researching literature or research base				
relevant to the project				
Keeping records, tracking supplies, resources				
Developing instrumentation, software, equipment, or data collection processes				
Collecting data or carrying out simulations				
Analyzing data or observations				
Interpreting results				
Planning or developing follow-up work based on results				
Written, oral dissemination of results (publications, presentations)				

E5.	Did you	experience any of the following difficulties during your fellowship? <i>Check all that apply.</i>
	[	☐ Inadequate access to space, facilities, equipment, computers, resources/supplies
	I	☐ My role on the project was less than that merited by my skills/knowledge
	[	■ Not enough guidance from host/host's research group
	I	☐ I was asked to do work that was someone else's responsibility
		■ Not given credit for my contributions to advancing a project
		☐ Communication or language difficulties
	_[	☐ Logistical difficulties (e.g., with transportation, navigating bureaucracy, etc. )
	I	☐ Legal or medical difficulties in my host country
	[	☐ I felt that my ideas were not treated with respect
	I	☐ Encountered barriers or discomfort based on my gender
	[	☐ Encountered barriers or discomfort based on my race/ethnicity
	1	☐ Encountered barriers or discomfort based on my cultural or religious background
	[	☐ Encountered barriers or discomfort based on a disability
		☐ Other (please specify): [textbox, 300]
	Į	☐ None
E6.	During y	our IRFP fellowship, who provided direct supervision while you were conducting your work?
	Check al	l that apply.
		The host researcher him/herself
		Another staff scientist
		A junior faculty member or post-doctoral fellow
		Another graduate student(s)
		A laboratory technician or other employee/worker
		No one, I was not supervised by anyone
		· · · ·

E7.	Please indicate how satisfied you were with various aspects of your IRFP experience.	Mark one
	answer in each row.	

	Va.	C	C	Maria
Accommodations & Logistics	Very dissatisfied	Somewhat dissatisfied	Somewhat satisfied	Very satisfied
Lodging				
Fellowship support amount				
Fellowship duration				
Fellowship timing with respect to your career goals				
Research/laboratory facilities at the host location				
Access to the internet				
Your host	Very dissatisfied	Somewhat dissatisfied	Somewhat satisfied	Very satisfied
Frequency of meetings with your host				
Match between yours and your host's research interests				
Host's expertise in his/her field				
The level of the host's intellectual contribution to your joint research project				
Host's efforts to help you meet other researchers				
Host's inclusion of you in research group/laboratory, meaningful collaboration				
Guidance or mentoring provided by host				
Your experiences	Very dissatisfied	Somewhat dissatisfied	Somewhat satisfied	Very satisfied
Opportunities to experience and learn about your host country, its culture, history, etc				
The quality of research you were able to conduct				
Professional connections you made during the fellowship				

E7a. If you would like to elaborate or comment further on areas of satisfaction or dissatisfaction with your IRFP experience, please do so:

textbox, 2500			

# MODULE F: AFTER YOUR IRFP FELLOWSHIP

	ce the conclusion of your IRFP fellowship and October 1, 2010, have you collaborated or
	ommunicated with your host scientist? Check one only
	I have collaborated on a research project → GO TO F1a
	I have communicated with my host but haven't collaborated
_	further on research → GO TO F1c
	I have not communicated with my host → GO TO F1c
	F1a. What was the extent of your collaboration? Check all that apply
	☐ I have a position in the host's group
	☐ I have a position at the host's institution
	☐ We exchanged ideas, data, ideas, research results, or tools
	☐ We co-authored papers
	☐ We co-advised students
	☐ We visited each other at our institutions
	☐ Other – specify [textbox, 300]
	F1b. How recently has the latest collaboration with your host occurred? <i>Check one only</i>
	☐ Within the past 6 months → GO TO F2
	☐ Within the past 12 months → GO TO F2
	☐ 1-2 years ago → <b>GO TO F2</b>
	☐ 3 or more years ago → GO TO F2
	F1c. Why do you no longer collaborate with your former host? <i>Check all that apply</i>
	☐ Our research interests diverged
	<ul> <li>One or both of us lacked funding needed to maintain collaboration</li> </ul>
	☐ Language differences have hindered further collaboration
	☐ Political or cultural differences have hindered further collaboration
	☐ Geographic distance has hindered further collaboration
	☐ I did not think that further collaboration would be beneficial for me
	☐ My host did not actively pursue or maintain further collaboration with me
	One (or both) of us is too busy with other projects
	Other – specify [textbox, 300]
	- Other - specify [textbox, 500]

F2.	Did participating in IRFP make you qualified for a broader range of opportunities after the fellowship ended? Check one only  ☐ Yes, IRFP broadened my career options → Go to F2a ☐ No, IRFP did not broaden my career options → Go to F2b ☐ I am not sure → Go to F3
	F2a. Describe how IRFP broadened your career options: textbox, 2500
	F2b. Did IRFP constrain your career options? <i>Check one only</i> ☐ Yes → Go to F2c ☐ No → Go to F3
	F2c. Describe how IRFP constrained your career options:
	textbox, 2500
	Which of the following professional benefits occurred as a result of your participation in IRFP?  **eck all that apply**  My work at the host institution resulted in a substantial advancement in my research  My work at the host institution opened up new areas of investigation  I became familiar with scientific enterprise of the host country  I became committed to international research collaboration  I made valuable connections to researchers in the host country  My career goals changed from an academic career to a non-academic career  My career goals changed from a non-academic to an academic career  I decided to pursue research in a different discipline than the one I was most familiar with when I began my IRFP fellowship  IRFP participation made me more competitive for jobs I was interested in  IRFP participation made me consider professional opportunities I would not have considered in the past  None of the above
F3a	<ul> <li>What did your IRFP host scientist do to assist you in obtaining employment after your fellowship? Check all that apply.</li> <li>Helped me network with potential employers</li> <li>Recommended me to others directly, by telephone or in-person</li> <li>Reviewed my CV/resume and/or other application materials</li> <li>Wrote letters of reference on my behalf</li> <li>Provided financial assistance for travel to conference, other professional networking opportunities</li> <li>Other (please specify): [textbox 300]</li> <li>Host offered me no assistance finding post-fellowship employment</li> </ul>

all tha □ Re □ Sa □ M' □ Ot □ Re	t apply search meth mples that I o y peers becan hers in my re searchers tha	ods or ideas that I learned benefited others in my institution collected or tools that I developed benefited others in my institution me interested in international collaboration esearch group (in the U.S.) began an international research collaboration at I met during my fellowship joined my research group in the U.S. [textbox, 300]	
Check all to	hat apply. ecame comformade personal ained proficion ecided to live one of the ab	ortable with the traditions and culture of the host country of connections in the host country ency in another language eroutside the United States (i.e., at least 6 months) ove	
Yes	No		
		Obtaining full-time employment after the conclusion of my IRFP fellowship was more difficult than I expected	
		I lost an important career or educational opportunity by participating in IRFP	
		I am more skeptical about international collaboration than before IRFP	
F7. What	was the most	positive aspect of your IRFP experience?	
Textbox 25	500		
		t challenging aspect of your IRFP experience?	

F9. Would you recommend IRFP to a colleague? <i>Check one only</i> ☐ Yes ☐ No	
F9a. Why or why not?	
textbox, 2500	
F10. Would you recommend <u>your IRFP host scientist</u> to a colleague seeking an international collaboration? <i>Check one only</i> Yes  No	
F11. Would you recommend your <u>host country</u> to a colleague? <i>Check one only</i> Yes  No	
F12. What would you change about the IRFP program?	
Textbox 2500	
F13. What was the most important contribution of IRFP participation to your career?	
Textbox 2500	

Go to G4 Go to G4	a			
		,	_	
None	Slight	Moderate	Severe	Unable to do
None □ <sub>1</sub>	Slight □ <sub>2</sub>	Moderate	Severe	Unable
				Unable to do
			$\square_4$	Unable to do
				Unable to do
□₁ □₁ □₁ □u₁ □uscreen.	□₂ □₂ □₂ □₂ Else go t			Unable to do
	Go to G4 Go to G4 Go to G4 Go to G4 United Sta		Go to G4a Go to G4a Go to G4a Go to G4a United States? (age in years): [t	Go to G4a Go to G4a

Thank you screen: After hitting SUBMIT, respondent sees this screen.

# **IRFP** applicant survey

#### Conclusion

Thank you for completing this survey. If you have any general comments about the survey, please write them below.

#### Textbox, 2500

If you have any questions about this survey or the study, please contact Dr. Alina Martinez, Study Director, Abt Associates, at (866) 421-6223 (toll-free within the US) or email her at IRFP\_survey@abtassoc.com. You may also contact John Tsapogas, who is overseeing this study at NSF, with any questions or comments: jtsapoga@nsf.gov.

Thank you for your assistance. We greatly appreciate your time and consideration.

### **Confirm Fellowship Screen**

Before continuing, we'd like to note that NSF records may have confused the International Research Fellowship Program (IRFP) and the NSF-NATO Postdoctoral Science and Engineering Fellowship program. The NSF-NATO postdoc differs from the IRFP award:

- NSF-NATO postdoctoral fellowships provided up to 12 months of funding for research in one of the NATO member countries or NATO partner nations: Albania, Armenia, Azerbaijan Belgium, Belarus, Bulgaria, Canada, Czech Republic, Denmark, Estonia, France, Georgia, Germany, Greece, Hungary, Iceland, Italy, Kazakhstan, Kyrgyz Republic, Latvia, Lithuania, Luxembourg, Moldova, the Netherlands, Norway, Poland, Portugal, Romania, Russian Federation, Slovak Republic, Slovenia, Spain, Tajikistan, Turkey, Turkmenistan, Ukraine, the United Kingdom, Uzbekistan or the former Yugoslav Republic of Macedonia;
- IRFP fellowships provided 3 to 24 months of funding for research in any country.

Some survey participants applied separately for IRFP <u>and NSF-NATO</u>. NSF's records indicate that you (if FELLOW display Section S only; if UNFUNDED APPLICANT display Section R only)

Section R
<ul> <li>applied for an IRFP fellowship. Please select the response that best describes you:</li> <li>□ I received an NSF-NATO fellowship; I may also have applied for an IRFP fellowship. → Go To R</li> <li>□ I received an award from the International Research Fellowship Program, not the NSF-NATO postdoctoral program. → Go To EXIT SCREEN</li> <li>□ I never applied to NSF for an internationally-based postdoctoral fellowship. → Go To EXIT SCREEN</li> </ul>
R1: We especially need you to complete this survey. The survey focuses on your professional achievements, the type of work you do currently and the types of collaborations you may have wit scientists and engineers in the US and other countries. The value of this study to NSF and to the broader scientific community depends on the good will of people like you. Please click here to continue with the survey (you may Exit on the next screen if you wish): CONTINUE Go to A3
Section S
received an IRFP postdoctoral fellowship to conduct research in [IRFP_country] . Please select the
response that best describes you:
<ul> <li>☐ I conducted postdoctoral research in the country named above as an IRFP fellow</li> <li>→ Go to S1</li> </ul>
□ I conducted postdoctoral research in the country named above; I'm not sure what NSF program supported this postdoc. → Go to S1
□ I received both an NSF-NATO fellowship and an IRFP fellowship. → Go to S1
□ I received an NSF-NATO fellowship; I'm not confusing it with the IRFP postdoctoral program. → Go to EXIT SCREEN
□ I never applied to NSF for an internationally-based postdoctoral fellowship. → Go to EXI Screen
S1: This survey focuses on your experiences in the IRFP program in [IRFP_country], as well as what you have been doing since your IRFP fellowship concluded: other postdocs, your professional achievements, the type of work you do currently and the types of collaborations you may have with scientists and engineers in the US and other countries. Please click here to continue with the survey (you may Exit on the next screen if you wish): CONTINUE Go to A3

# **EXIT SCREEN:**

If we have identified the wrong respondent or have erroneous information, display this screen and FLAG this respondent for Abt follow-up (weekly basis)

# **IRFP** applicant survey

# Please accept our apology.

The information you supplied suggests that you are not eligible to participate in this study **or** that we have reached you in error. We regret any inconvenience to you. If you have any questions about this study or you would like to make a comment, please contact one of the following individuals:

- Dr. Alina Martinez, Study Director at Abt Associates: IRFP\_survey@abtassoc.com, or (866) 421-6223 (toll free within the U.S.);
- John Tsapogas, Office of International Science and Engineering, NSF: jtsapoga@nsf.gov.

May we have permission to contact you by telephone in order to clarify your responses here? Entering
your number does not obligate you to answer any questions.
☐ Yes, you may reach me at [telephone digit entry]. Go to Best Times
☐ No, please do not contact me.
Best time(s) to call (check all that apply):
☐ Weekdays (9 to 5pm)
☐ Evenings (5 to 8pm)
☐ Saturday or Sunday 9-5pm

### **Introductory screen:**

# Survey of former applicants to the National Science Foundation's East Asia and Pacific Summer Institutes (EAPSI) program

Welcome and thank for your interest in this study. This survey is being conducted by Abt Associates Inc. and our subsidiary, AbtSRBI, for the National Science Foundation (NSF), to learn about the graduate experiences, career choices, and professional activities of individuals who applied to NSF's East Asia and Pacific Summer Institutes (EAPSI) program. You are receiving this survey because you are listed in the NSF database as an applicant to EAPSI.

NSF's records indicate that you <u>applied</u> for a summer graduate research fellowship in one of the following sites between 1999 and 2010:

Japan Australia Korea New Zealand Taiwan Singapore China

These graduate summer fellowships are part of NSF's East Asia and Pacific Summer Institutes program (EAPSI). If you do not recall applying to this program, please click here:

I don't recall applying

Otherwise, please continue. The next page has important information about this study.

<u>Continue</u>

Click on "Continue" directs R to Screen 2
Click on "I don't recall" directs R to Confirm Fellowship screen

# **EAPSI Applicant Survey**

### **Confidentiality and Participation**

Participation in the survey is voluntary and nonparticipation will have no impact on you or your institution. You may skip questions on the survey or discontinue participation at any time. There are minimal risks associated with your participation. We take your privacy very seriously. Your responses to this survey will be protected under the Privacy Act. There is minimal risk of breach of confidentiality, and we have put in place procedures to minimize this risk. Reports will never identify you by name, and information from the study will only be reported in the aggregate at the program level, combined with about 500 other responses. When we receive your survey we will detach and store separately your name and other identifying information that could be used to link you to your survey responses. Survey responses will be stored on a secure drive that is only accessible to members on the study team. Only study team web technicians and data analysts from Abt Associates and AbtSRBI will see individual responses that can be linked to you. Survey data files will be shared with NSF at the end of the study, only after study team members have examined the data to be free of any information that could help identify you; this cleaning includes procedures to limit someone from inferring your identity by analyzing non-identifying data. Hence, we encourage you to respond candidly about your experiences. Separate from your individual responses to the survey we will provide NSF any updated contact information we have found or requested from you. None of this contact information will be linked in any way to your survey responses. At the conclusion of the study, Abt Associates and AbtSRBI will destroy all records, electronic or otherwise, that link you to your survey responses.

#### **Completing the Survey**

We estimate that it will take approximately 30 minutes to complete the survey. If you have questions about the study, please contact the study director, Alina Martinez of Abt Associates Inc. at (866) 421-6223 (toll free within the U.S.) or email her at EAPSI\_survey@abtassoc.com. You may also contact the evaluation's program officer at NSF, John Tsapogas (tsapoga@nsf.gov). If you have questions about your rights as a research participant, you may contact Teresa Doksum, the Abt Institutional Review Board Administrator at (877) 520-6835 (toll free within the U.S.) or by email: irb@abtassoc.com.

To learn more about this study, please refer to the **Frequently Asked Questions** page.

#### Consent

Please click on "Begin" if you agree to participate in this study. **BEGIN** 

**Click on BEGIN takes R to Navigation Screen** 

This study's IRB approval number is #0494, valid from 8/6/2010 to 8/5/2011. For questions, please contact Teresa Doksum, IRB Administrator, Abt Associates, at IRB@abtassoc.com.

The valid OMB control no. for this information collection is xx-xxxxx. (Expires on mm/dd/yyyy)

### **Navigation screen:**

# **EAPSI Applicant Survey**

# Navigating through the survey:

As you work through the survey, your responses are automatically saved. You may change a response by clicking on the **PREVIOUS ITEM** button. Use the **NEXT ITEM** button to advance to the next question.

At any time, you may click on the **SAVE & FINISH LATER** button if you wish to temporarily pause the survey and return to it at a later time.

On each page of the survey, a **HELP** button is provided if you experience technical difficulties with the online survey. This button will allow you to send a request for assistance to the survey's website administrator.

When you have completed the survey, please click on the **SUBMIT** button at the end of the survey. You may submit the survey even if there are some questions that you choose not to answer.

**NEXT** 

# FREQUENTLY ASKED QUESTIONS

- What is the East Asia and Pacific Summer Institutes (EAPSI) Program?
- Why are you doing this study?
- Why have I been selected to participate in this survey?
- How did you get my contact information?
- How long will this survey take to complete?
- How will you use my comments?
- Does this study have human subjects review clearance?
- Who is funding the study?
- Who are Abt Associates Inc and AbtSRBI?

#### What is the East Asia and Pacific Summer Institute (EAPSI) Program?

The East Asia and Pacific Summer Institute (EAPSI) Program is a National Science Foundation (NSF) program that provides funding for U.S. graduate students to spend eight to ten weeks collaborating with a host researcher in one of seven sites in the East Asia and Pacific region: Australia, China, Japan, Korea, New Zealand, Singapore, and Taiwan.

# Why are you doing this study?

NSF is interested in learning about the characteristics of current and former graduate students who applied to participate in the EAPSI program sometime between 1999 to 2009. Information about the domestic and international experiences of EAPSI participants and non-participants will help NSF understand the usefulness and relevance of international research training for scientists and engineers. Information from this study will be used to describe the characteristics and subsequent career paths of graduate students who expressed interest in pursuing an international collaboration.

#### Why have I been selected to participate in this survey?

You have been selected to participate because we have identified you as having applied to the EAPSI program while you were a graduate student.

#### How did you get my contact information?

We identified you from records maintained by the National Science Foundation on prior EAPSI applicants. We then obtained your contact information through an internet search.

#### How long will this survey take to complete?

We estimate that the survey will take about 30 minutes.

# How will you use my comments?

Responses from all survey respondents will be used to answer questions about the graduate training, international experience, and career paths of applicants to the EAPSI program between 1999 and 2009.

# Has this study been reviewed and approved by an institutional review board?

Yes, the study was approved by Abt Associates' Institutional Review Board. If you have any concerns about your participation in this survey, please contact Teresa Doksum, Institutional Review Board Administrator at Abt Associates, at (877) 520-6835 or via email at irb@abtassoc.com.

#### Who is funding the study?

The study has been funded by the National Science Foundation under contract GS-10F-0086K. Abt Associates and AbtSRBI will complete the study.

#### Who are Abt Associates Inc and AbtSRBI?

Abt Associates is an independent research firm headquartered in Cambridge, Massachusetts. AbtSRBI is a wholly-owned subsidiary of Abt Associates specializing in large-scale data collection and public opinion research. NSF has contracted with Abt Associates and AbtSRBI to design and implement a survey of graduate students who applied to NSF's EAPSI program.

# **EAPSI Applicant Survey**

This dark blue header above appears on each screen of the survey.

Please note: In this survey, we will refer to East Asia and Pacific Summer Institute (EAPSI) "sites," or "locations" rather than EAPSI "countries." For example, Australia, China, Korea, Japan, New Zealand, Singapore, and Taiwan were EAPSI sites in 2010.

#### MODULE A: VERIFYING INFORMATION ABOUT YOU

To begin, we'd like to confirm that we've reached the appropriate person.

A1.	Based on the information that you provided to NSF in your application, your name is [First Name, Middle Name/initial, Last Name]. Is this correct?  ☐ No, my name has changed or my name is misspelled above. My name is: [textbox, 75]  → FELLOWS  GOTO A2.1
	UNFUNDED APPLICANTS GOTO A2.2
	<ul> <li>No, I'm not the person named above. → EXIT SCREEN</li> <li>Yes, this is correct. →</li> </ul>
	FELLOWS GOTO A2.1
	UNFUNDED APPLICANTS GOTO A2.2
-	rmer Fellows only]:  1 Based on the information contained in the NSF EAPSI database, you participated in the
	National Science Foundation's East Asia and Pacific Summer Institutes program (EAPSI) in the summer of [EAPSI_year]. Is this correct?
	If you participated in EAPSI more than once, we refer here, and throughout the rest of the survey, to your <u>most recent</u> EAPSI experience unless specifically stated otherwise.
	<ul> <li>Yes, this is correct. → GOTO A3</li> <li>No, I never participated in EAPSI. → CONFIRM FELLOWSHIP SCREEN Section R</li> <li>No, I applied for the EAPSI fellowship, but withdrew my application before the award decision has been made. → EXIT SCREEN</li> </ul>
	<ul> <li>No, I was awarded the EAPSI fellowship, but declined it. →EXIT SCREEN</li> <li>No, I participated in EAPSI, but the most recent year I participated was (Enter year as</li> </ul>
	yyyy): [textbox, 4]. → GOTO A3 Set EAPSI_year = entered year. [data check. If year ≤ 1998 or ≥ 2011 or if year non-numerical reject entry and prompt R to correct entry:
	"Please type a four-digit year between 1998 and 2010"]
[Ur	ifunded applicants only]
A2.	2. Based on the information contained in the NSF database, you most recently applied for EAPSI in [year], but did not participate in a fellowship. Is this correct?
	<ul> <li>Yes, this is correct. → GOTO A3</li> <li>No, I <u>did participate</u> in an EAPSI fellowship: my fellowship took place in the summer of (Enter year as yyyy): [textbox, 4] [data check as in A2.1] in [Select locationsite:</li> </ul>
	[dropdown EAPSI site menu]> GOTO CONFIRM FELLOWSHIP SCREEN Section S Set
	EAPSI_year = entered year; Set EAPSI_actual_site = Selection  ☐ No, I never applied for this program. → CONFIRM FELLOWSHIP SCREEN

	for an EAPSI fellowship, the first choice of host site you listed was [EAPSI_app_site]. (If you received an EAPSI fellowship, you may have visited a different site, but here we want to know what site you listed in your application as your first choice.)		
Is th	ris correct?  ☐ Yes, [EAPSI_app_site] was my first choice ☐ No, the first choice I listed on my most recent application for an EAPSI fellowship was:  (Select site): [dropdown EAPSI site menu]. Set EAPSI_app_site to selection. ☐ I do not recall		
	Fellows → Goto A3a. Unfunded applicants → Goto A4.		
	A3a. [Fellows only]: Some EAPSI fellows did not receive their first choice of host site. In which site was your EAPSI fellowship? <b>Select site</b> : [dropdown EAPSI site menu] If you have held more than one EAPSI fellowship, please respond based on your most recent fellowship. <b>Set EAPSI_actual_site</b> = <b>selection</b>		
A4.	Based on the information that you provided to NSF in your application, you were enrolled at [University] when you most recently applied for an EAPSI fellowship. Is this correct?  Yes		
	No, I was enrolled as a graduate student at (Enter full name of graduate instituition without abbreviations): [textbox, 100]. Set UNIVERSITY = text entered		
A5.	<ul> <li>5. What graduate degree were you pursuing when you most recently applied for the summer EAPSI fellowship?</li> <li>Master's degree (MA, MS)</li> <li>Doctoral degree (PhD, EdD, MD, joint MD/PhD, PsyD, ScD)</li> <li>Other – specify: [textbox, 50]</li> </ul>		
	A5a. As of [October 1 <sup>st</sup> , 2010], had you earned this degree?  ☐ Yes → Go to A5b ☐ No → Go to A6		
	A5b. What month and year did you receive this degree?   (mm yyyy) Data check: month must be blank or two-digits in (01 to 12), year must be blank or four-digits between (1900 to 2011). If improper form, reject entry and display "Please enter a two-digit month (or leave it blank) and a four-digit year (or leave it blank)."		
A6.	In what month and year did you first enroll as a graduate student at [University]? Enter two-digit month and four-digit year: [  (mm yyyy)] Data check: month must be blank or two-digits in (01 to 12), year must be blank or four-digits between (1900 to 2011). If improper form, reject entry and display "Please enter a two-digit month (or leave it blank) and a four-digit year (or leave it blank)."		

A3. Based on the information contained in the NSF database, when you most recently applied

fellowship?	ne were you studying as a graduate student when you applied for an EAPSI Please select the field that best matches your discipline. First, indicate which roader areas in which your discipline falls: <i>Check one only:</i>
	${f l}_1$ Sciences
	(e.g., Biological/Life, Chemical, Computer/Information, Environmental, Earth/Atmospheric/Oceanographic, Geosciences, Mathematical/Statistical, Physics, Psychology)
C	1 <sub>2</sub> Social Sciences (e.g., Economics, Sociology, Anthropology/Archaeology, Political Science, Geography, Linguistics, other)
	<b>1</b> ₃ Health/Medical fields
	$oldsymbol{1}_4$ Engineering

display one of three screens based on choice above:

- 1 Science screen
- 2 Social Science, Health screen
- 3 Social Science, Health screen
- 4 Engineering screen

The next three pages should each be a separate screen. After R hits SUBMIT, go to Item B1

# **Science Fields**

For Science/Math Education select the primary scientific or mathematical field, or select <u>Social Sciences or Health/Medical</u> and see "OTHER Social Sciences: 621: Education, General"

To view other fields, click on a button:

<u>Social Sciences or Health/Medical</u> fields. <u>Engineering</u> fields.

Agricultural/food sciences	→ 605 Animal sciences	O 607 Plant sciences	
	→ 606 Food sciences/technology	O 608 OTHER agricultural sciences	
Biological sciences	→ 631 Biochemistry/biophysics	O 637 Microbiological sciences/immunology	
Bioengineering, see	○ 632 Biology, general	O 638 Nutritional sciences	
ENGINEERING, next page	○ 633 Botany	O 639 Pharmacology, human/animal	
	→ 634 Cell/molecular biology	O 640 Physiology and pathology,	
	○ 635 Ecology	human/animal	
	→ 636 Genetics, animal/plant	O 641 Zoology, general	
		O 642 OTHER Biological sciences	
Environmental life sciences	O 680 Environmental science/studies	O 681 Forestry sciences	
Computer and information	O 671 Computer/information sciences,	O 674 Computer systems analysis	
sciences For Computer	general	O 676 Information services/systems	
Engineering, see	→ 672 Computer programming	O 677 OTHER computer/information sciences	
ENGINEERING, next page	O 673 Computer science		
Mathematics and statistics	O 841 Applied mathematics	O 844 Statistics	
	O 842 Mathematics, general	O 845 OTHER mathematics	
	O 843 Operations research		
Chemistry, except biochem	O 873 Chemistry except biochemistry (biochemistry, see Biological sciences)		
Earth, atmospheric, and	○ 872 Atmospheric	O 876 Geological sciences, other	
ocean sciences	sciences/meteorology	O 877 Oceanography	
	→ 874 Earth sciences	O 879 OTHER physical sciences	
	○ 875 Geology		
Physics Biophysics, see	○ 871 Astronomy/astrophysics	O 878 Physics	
Biological Sciences			
Psychology	O 891 Clinical psychology	O 894 General psychology	
	O 892 Counseling	O 895 Industrial/organizational psychology	
	→ 704 Educational psychology	○ 896 Social psychology	
	→ 893 Experimental psychology	O 897 OTHER psychology	

# **SUBMIT**

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# **Social Sciences and Health/Medical fields**

For Science/Math Education select the primary scientific or mathematical field (click on <u>Science</u>), or see below "OTHER Social Sciences: 621: Education, General"

To view other fields, click on a button:

Science fields.

Engineering fields.

Economics	○ 601 Agricultural economics	O 923 Economics
Political and related	→ 927 International relations	O 902 Public policy studies
sciences	O 928 Political science/government	
Sociology/Anthropology	→ 921 Anthropology/archaeology	○ 929 Sociology
	→ 922 Criminology	
OTHER social sciences	○ 620 Area/ethnic studies	O 771 Linguistics
	→ 621 Education, general	O 861 Philosophy of science
	→ 924 Geography	O 930 OTHER social sciences
	→ 925 History of science	
Health	○ 781 Audiology/speech pathology	O 787 Nursing (4 years or longer program)
	→ 782 Health services administration	O 788 Pharmacy
	→ 783 Health/medical assistants	O 789 Physical therapy and other
	→ 784 Health/medical technologies	rehabilitation/therapeutic services
	○ 785 Medical preparatory programs	O 790 Public health (Including environmental
	(e.g., pre-dentistry, pre-medical,	health/epidemiology)
	pre- veterinary)	O 791 OTHER health/medical sciences
	O 786 Medicine (e.g., general, internal, orthopedic,	
	surgical, dentistry, optometry, osteopathic,	
	podiatry, veterinary)	

SUBMIT

# **Engineering fields**

For Science, Math or Engineering Education select the primary scientific or mathematical field (click on <u>Science</u>), or select <u>Social Sciences</u> or <u>Health/Medical</u> and see "OTHER Social Sciences: 621: Education, General"

To view other fields, click on a button:

<u>Science</u> fields. <u>Social Science or Health/Medical</u> fields.

Biochemical engineering, see Bioengineering/biomedical under OTHER Engineering				
Chemical engineering	O 725 Chemical engineering			
Civil/architectural eng.	O 723 Architectural engineering O 726 Civil engineering			
Electrical/computer engineering	O 727 Computer/systems engineering O 728 Electrical/electronics/communications engineering			
Industrial engineering	O 733 Industrial/manufacturing engineering			
Mechanical engineering	O 735 Mechanical engineering			
OTHER engineering	O 721 Aerospace/aeronautical/ O 732 Geophysical/geological engineering			
	astronautical engineering O 734 Materials engineering, including			
	O 722 Agricultural engineering ceramics/textiles			
	O 724 Bioengineering/biomedical O 736 Metallurgical engineering			
	engineering O 737 Mining/minerals engineering			
	O 731 Engineering, general O 738 Naval architecture/marine engineering			
	O 729 Engineering sciences/ O 739 Nuclear engineering			
	mechanics/physics O 740 Petroleum engineering			
	O 730 Environmental engineering O 741 OTHER engineering			

# **SUBMIT**

Do not display the following info: Source: SESTAT education codes in NSRCG major fields

# **MODULE B: THE EAPSI APPLICATION PROCESS**

Why did you apply for an EAPSI fellowship? <i>Check all that apply</i> .
☐ To conduct research with a specific person or at a specific institution
☐ To collaborate with a foreign scientist
☐ To understand what research in my field was like outside the US
☐ To access resources (e.g. samples, equipment) for research that I could not find in the U.S.
☐ To enhance my skills or knowledge as a researcher
☐ To make progress towards earning my graduate degree
☐ To enhance my resume as a future job candidate
☐ To learn about the culture, history, and/or geography of another place
☐ To learn another language
☐ To travel outside of the United States
☐ For family reasons (e.g., to accompany a spouse traveling abroad)
Other (please specify): [textbox, 300]
For your application to the EAPSI program, why did you select the site you indicated was your first
choice for host site? Check all that apply.
☐ The host researcher there was conducting research relevant to my own interests
☐ The host institution had equipment or resources helpful for my proposed research
☐ A faculty advisor or mentor recommended this particular site, the particular host institution or host researcher in this site
☐ I have professional ties with someone who is from this site
☐ The host researcher had visited my department or university
☐ There were particular places in this site (aside from the host institution) that I wanted to visit or see
☐ I had studied its culture, history, politics, geography, etc. before applying
☐ I was familiar with the primary language(s) spoken there

В3.	Did the notification of your application status allow you sufficient time to make the necessary arrangements for your visit or to make alternative plans if you did not receive the award? <i>Check one only.</i>
	Yes
	□ No
	☐ I don't recall
B4.	How did your primary graduate advisor view your decision to apply for an EAPSI? <i>Check all that apply.</i>
	☐ I do not know how my advisor viewed my decision to apply
	☐ I did not have an advisor when I most recently applied
	☐ My advisor opposed my decision to apply
	☐ My advisor was indifferent to my decision to apply
	☐ My advisor supported my decision to apply
	☐ My advisor encourage me to apply to the EAPSI program
B5.	What types of mentoring or guidance did you receive from your graduate advisor or other mentors
	during the preparation of the EAPSI application? Check all that apply.
	Provided a letter of recommendation to NSF
	□ Suggested a host institution
	Recommended me to a colleague at the host institution
	☐ Provided feedback on my project proposal
	☐ Discussed cultural and language aspects of the host site with me
	Other – specify: [textbox, 300]
	□ None

J. [	Did ye □ Ye	ou participate in a pre-departure orientation? <i>Check one only.</i> .
(	☐ No	o⇒Go To C1
(	<b>⊐</b> Id	o not recall <del>→ Go To C1</del>
	36a.	Did the pre-departure orientation provide adequate information on the following topics?
	Ch	eck all that apply.
		Living expenses
		Healthcare access
		Lodging
		Culture and rules of the host site
		Political situation in the host site
		What <u>not</u> to do in the host site
		What to do in case of emergency
		I do not recall → Go To C1

B6b. What additional topics do you think should have been covered during the orientation? [textbox, 500].

# MODULE C: ABOUT YOU AT THE TIME OF YOUR APPLICATION

Items in this section ask for information about the year in which you applied for an EAPSI fellowship.

	If you h	4-point scale, what was your overall <u>undergraduate</u> grade point average (GPA)?  Ive <u>more than one</u> bachelor's degree, give your overall grade point average for your <u>first</u> 's degree. Check one only.
	3.25 2.75 2.25 1.75 1.25 Less	- 4.00 GPA (Mostly A's) - 3.74 GPA (About half A's/half B's) - 3.24 GPA (Mostly B's) - 2.74 GPA (About half B's/half C's) - 2.24 GPA (Mostly C's) - 1.74 GPA (About half C's/half D's) than 1.25 (Mostly D's or below) not take courses where grades were given
C2a.	a seme	you were an <u>undergraduate</u> , did you participate in a study abroad program or did you spend ster (or more) pursuing your education outside the United States? <i>Check one only.</i> Yes No
C2b.	spend States	applying to EAPSI, had you participated in a study abroad program <u>as a graduate student</u> or a semester (or more) pursuing your research or graduate education outside the United <i>Check one only.</i> Yes No
	for a pe	applying for an EAPSI fellowship, had you ever visited or lived in any of the following places fiod of 30 days or longer? Check all that apply.  Australia  New Zealand  China  Japan  Korea  Taiwan  Singapore  None of the above places

C4.	at the time you applied for an EAPSI fellowship, had you studied, or were you conversant in, any or the following languages? Check all that apply.    Japanese
C5.	at the time you applied for an EAPSI fellowship, had you participated in any international or intercultural clubs, organizations, or professional associations? <i>Check one only.</i> Yes  No
C6.	At the time you applied for EAPSI, had you done any of the following? Check all that apply  Attended elementary or secondary school in another country (outside the U.S.)  Lived outside the U.S. for six months or longer  Collaborated on research with someone based in another country  Published research with someone based in another country  Attended or presented scholarly work at a research conference in another country  Participated on a research team with a scientist who was visiting my graduate institution from a foreign institution  Worked with a colleague who had completed an EAPSI Fellowship
C7a	In your application for EAPSI, did you include a letter of support (or other written communication such as an email message) from the host scientist with whom you proposed to work? <i>Check one only.</i> Yes  No
C7b	At the time you applied for EAPSI, were you already collaborating with the host scientist or someone else at the host institution to which you applied? <i>Check one only.</i> Yes, with my proposed host scientist  Yes, with someone else at the host scientist's institution  No

C7c.	<ul> <li>My graduate advisor or another faculty member in my department was collaborating or had collaborated with a researcher at the host institution</li> <li>My graduate department or university had an existing collaboration or graduate student exchange program with the host institution</li> <li>Other graduate students from my university or department had been to the host institution</li> <li>There was another type of relationship between my graduate institution and the host institution. Please specify: [textbox, 300]</li> <li>None of the above were true (to my knowledge)</li> </ul>		
	Total number published/ in-press	Number completed in collaboration with a foreign colleague	
	p. eec		Peer-reviewed journal articles
			Peer-reviewed conference publications (e.g. abstracts, conference papers, posters)
			Patents and licenses, registered or pending
			Book chapter(s) (e.g., in edited volumes)
f ) <i>I</i>	ellowship(s) to suppo your graduate institu Nationally-competiti private foundation, o	ort your graduate studie tion or support from a fo t <b>ve fellowships</b> are unres r similar organization di	p, had you received a nationally competitive es? Do not include support you received directly from aculty member's grant funding.  Stricted fellowships granted by a federal agency, rectly to an individual graduate student (or graduate sitution of his/her choosing. Check one only.

# MODULE D: PROFESSIONAL HISTORY

In this section, we ask about various professional experiences and accomplishments that have occurred between [EAPSI\_year] and a standard "reference date." We use a standard date so that all survey participants think about the same period of time when answering these questions.

D1. A	Bachelor's degree (BS, BA)  Master's degree (MA, MS, MBA, etc.)
	<ul> <li>Doctoral degree (PhD, EdD, MD, joint MD/PhD, JD, PsyD, ScD, etc.)</li> <li>Other degree – specify: [textbox, 50]</li> </ul>
If rosn	ondent holds Doctoral Degree, then go to D1b. Else skip to D1c.
птезр	ondent holds bottoral begree, then go to bib. Else skip to bic.
	1 2
	During the week of October 1, 2010 were you working for pay or profit? Work includes being self- employed, on a postdoctoral appointment, or on any type of paid or unpaid leave, including vacation. Check one only. Yes, in a postdoctoral position $\rightarrow$ GOTO D4 Yes, in another type of position (not a postdoctoral appointment) $\rightarrow$ GOTO D2 No, I was still in graduate school then $\rightarrow$ GOTO D6 No, I was not in graduate school and not working then $\rightarrow$ GOTO D6

IF D1c = 3 (I was still in graduate school) then LET "CurrGrad = 1" (see note after Item D6)

D2. Was your primary employer during the week of [October 1, 2010] an educational institution one only  ☐ Yes → GOTO D2a ☐ No → GOTO D3	ıtion? <i>Check</i>
D2a. Was the educational institution where you worked a Check one only  □ Preschool, elementary, middle, or secondary school or system → GOTO D4  □ Two-year college, community college or technical institute  □ Four-year college or university other than a medical school  □ Medical school (including university-affiliated hospital or medical center)  □ University-affiliated research institute  □ Other educational institution [please specify]: [textbox, 150]  *Four-year college/university includes doctoral-granting and non-doctoral-granting in	nstitutions.
D2b. During the week of [October 1, 2010] what type of academic position did you hold	l at this
institution? Mark Yes or No for each item.	$\neg$
Yes No	_
President, Provost, or Chancellor (any level)  Dean (any level), department head or chair	_
to the control of the	
Research faculty, scientist, associate or fellow	_
☐ ☐ Teaching faculty	
Adjunct faculty	
Other (please specify: [textbox, 75])	
D2c. During the week of [October 1, 2010], what was your faculty rank? Check one only Not applicable: no ranks designated at this institution  Not applicable: no ranks designated for my position  Professor/Full Professor  Associate Professor  Assistant Professor  Instructor  Lecturer  Other: [textbox, 75]	,
D2d. What was your tenure status? Check one only  ☐ Not applicable: no tenure system at this institution ☐ Not applicable: no tenure system for my position ☐ Tenured ☐ On tenure track but not tenured ☐ Not on tenure track → Go to D4	

# D3 only for respondents where D2 = NO N3 Which of the following best describe

D3.	Which of the following best describes your primary employer during the week of October 1, 2010:
	Check one only.
	☐ SELF-EMPLOYED or a BUSINESS OWNER (non-incorporated or incorporated business, professional practice, or farm)
	PRIVATE SECTOR (for-profit or non-profit, including tax-exempt and charitable organizations; includes private colleges/universities
	☐ Local GOVERNMENT (city, county, school district)
	☐ State GOVERNMENT (including state colleges/universities)
	☐ U.S. MILITARY service, activity duty or Commissioned Corps (e.g., USPHS, NOAA)
	☐ U.S. federal GOVERNMENT
	☐ OTHER type of employer: Please specify: [textbox, 300]
i	In performing the primary job you held during the week of [October 1, 2010], did you work with individuals located in countries other than the US? Check one only. If you held a postdoctoral appointment at this time, please consider that your primary job.
	☐ Yes → GOTO D4a ☐ No → GOTO D5

D4a. Did your work with individuals in countries other than the US involve . . . *Mark Yes or No for each item.* 

Yes	No	
		Sharing data or information?
		Sharing materials, equipment, or facilities?
		Preparing a joint publication?
		Jointly developing a product, process, or program?
		Collaborating on a research project?
		Other type of work? Specify: [textbox, 300]

	Not applicable for my position (e.g., not eligible for	Was		
ŀ	grants/awards) ☐	Yes	No 🗆	Grant(s) as Principal Investigator
				Grant(s) as co-Principal Investigator
F				Prestigious award(s)/honor(s)
D5	nducted or advanced du     Yes     No	<b>vs only</b> ] Wer	e any of th	nese grants or awards based on research
D5 coi	ia. [former EAPSI Fellow nducted or advanced du Yes No Not sure	vs only] Wer ring your EA	e any of th	nese grants or awards based on research ship? <i>Check one only</i>
D5 coi	ia. [former EAPSI Fellow nducted or advanced du Yes No Not sure	vs only] Wer ring your EA of the most me from?	e any of the PSI fellows	nese grants or awards based on research

D6. Between [EAPSI\_year] and [October 1, 2010], how many of the following works had you published or produced (on your own or with others)?

Include works "in press" but do not include works "under review" or "in preparation." Include works published in electronic or printed format but do not count the same work more than once if it is available in multiple formats.

Make your best approximation if you do not know the exact number.

The first row should not appear on-screen, and the column "EAPSI Fellows only" should appear only for FELLOWS not for UNFUNDED APPLICANTS

Do not display this row on-screen	All Respondents	All respondents How many of these	EAPSI Fellows only: How many of these
	Total (if none, enter "0")	in collaboration with foreign colleague(s)?	in collaboration with your EAPSI host?
Peer-reviewed journal articles			
Peer-reviewed conference publications (e.g. abstracts, conference papers, posters)			
Patents and licenses, registered or pending			
Book chapter(s) (e.g., in edited volumes)			

AFTER ITEM D6, IF CurrGrad = 1 THEN GO TO G1; ELSE IF CurrGrad = 0 THEN GO TO D7

United States? ("Work" refers here to employment for pay or profit.)  ☐ Yes → GOTO D7a  ☐ No → GOTO D8.
D7a. For how many years (if less than 1 year, how many months) did you work in another country (or countries)?
I worked in another country/countries for: Check one only.  ☐ Less than 1 year → Go to D7a_(i) ☐ 1 year or longer → Go to D7a_(ii) ☐ D7a_(i). Enter number of months total: [textbox, 2] → Go to D8 ☐ D7a_(ii). Enter number of years total: [textbox, 2] → Go to D8 ☐ D7a(ii) and D7a(ii) should appear on - screen together with D7a.
D8. Between [EAPSI_year] and the week of [October 1, 2010], did you mentor any individuals from the United States who conducted research in another country?? Check all that apply  I mentored undergraduate students who conducted research abroad  I mentored graduate students who conducted research abroad  I mentored postdocs who conducted research abroad  I mentored faculty who conducted research abroad  I mentored research scientists who conducted research abroad  I mentored other individuals who conducted research abroad  I did not mentor any individuals who conducted research abroad
Unfunded applicants: Go to D10

#### **D9 for Former EAPSI fellows only**

In this next question, we're interested in any effects that your participation in EAPSI may have had on people or institutions you've worked with in the U.S. since the end of your EAPSI fellowship.

D9. Since the end of your EAPSI fellowship, did you do any of the following? *If, since the end of your EAPSI fellowship, you have been unemployed, self-employed or worked only outside the United States, please select "Not applicable" in each row.* 

	Yes	No	Not applicable
I have taught my colleagues, students, or peers research methods that I learned during my EAPSI fellowship			
I have shared with my colleagues resources (e.g., data, samples, materials) or tools (e.g., algorithms, software, instruments) that I collected developed during my EAPSI fellowship			

#### Former EAPSI fellows continue to D10

D10. Between [EAPSI\_year] and [October 1, 2010], did you do any of the following? If during this period you have been unemployed, self-employed or worked only outside the United States, please select "Not applicable" in each row.

	Yes	No	Not applicable
I have established a program to foster international collaborations			
I have hosted researchers or professional colleagues from another country at my institution			
I have led a delegation of colleagues to visit a research laboratory, university, or business in another country			
I have established or served in a leadership role for an international association for professionals in my line of work			

Fellows GO TO E1
Unfunded GO TO G1

# MODULE E: THE EAPSI FELLOWSHIP EXPERIENCE

E1.	<ul> <li>In preparation for your EAPSI fellowship, did you study a language spoken in your host site? Check all that apply.</li> <li>No, I was already familiar with the language spoken in the host site</li> <li>No, I did not study a language spoken in the host site</li> <li>Yes, I did some self-guided language study (i.e., individual study using books or computer-based instructional software, such as Rosetta Stone)</li> <li>Yes, I studied with a conversation partner or a tutor who was familiar with a language spoken in the host site</li> <li>Yes, I enrolled in a formal language training course led by an instructor (either an online course or "live" course)</li> </ul>
	During your EAPSI fellowship, did you attend or participate in any of the following types of cultural or leisure activities? Check all that apply  Sightseeing  Museums  Festivals, holiday or religious ceremonies  Outdoor activities to explore the landscape, geography  Sporting events  Non-scientific lectures or presentations  Other (please specify):  I did not participate in any cultural activities
prof	During your EAPSI fellowship, did you attend or participate in any of the following types of fessional activities? Check all that apply.  Visit(s) to educational or research institutions other than my host institution  Visit(s) to businesses/industrial laboratories  Language courses or language study  Lectures, colloquia, seminars in my field  I gave a talk or presentation to researchers in my host site  Networking with colleagues from institutions other than my host institution  Other (please specify):  I did not attend or participate in any professional activities  D3a. Please describe one of the most memorable activities or events you experienced in your host site:
	[textbox, 2500]

E4. Who was primarily involved in the following activities related to the EAPSI project(s) on which you worked? *Mark one answer in each row.* 

		Mostly the	Me and the host or host's	
		host or members of	research group	
	Mostly me	his/her group	together	Not
	independently	without me	about equally	applicable
Developing the ideas, hypotheses, broad				
framework, or vision for the research				
project				
Researching literature or research base				
relevant to the project	_	_	_	_
Keeping records, tracking supplies,				
resources				
Developing instrumentation, software,				
equipment, or data collection processes				
Collecting data or carrying out simulations				
concount grant or carrying our omittations	_	J	J	_
Analyzing data or observations			П	
Analyzing data or observations		J	J	J
Interpreting results				
interpreting results	J	]	J	]
Planning or developing follow-up work				
based on results	_		_	
Written, oral dissemination of results				
(publications, presentations)				

E5.	Did you experience any of the following difficulties during your fellowship? Check all that apply.  □ Inadequate access to space, facilities, equipment, computers, resources/supplies  □ My role on the project was less than that merited by my skills/knowledge  □ Not enough guidance from host/host's research group  □ I was asked to do work that was someone else's responsibility  □ Not given credit for my contributions to advancing a project  □ Communication or language difficulties  □ Logistical difficulties (e.g., with transportation, navigating bureaucracy, etc. )  □ Legal or medical difficulties in my host site  □ I felt that my ideas were not treated with respect  □ Encountered barriers or discomfort based on my gender  □ Encountered barriers or discomfort based on my cultural or religious background  □ Encountered barriers or discomfort based on a disability  □ Other (please specify): [textbox, 300]
E6.	<ul> <li>□ None</li> <li>During your EAPSI fellowship, who provided direct supervision while you were conducting your work? Check all that apply.</li> <li>□ The host researcher him/herself</li> <li>□ Another staff scientist</li> <li>□ A junior faculty member or post-doctoral fellow</li> <li>□ Other graduate student(s)</li> <li>□ A laboratory technician or other employee/worker</li> <li>□ No one, I was not supervised by anyone</li> </ul>
E7.	Were any researchers from your graduate institution involved in the project(s) you conducted during EAPSI? Check all that apply.  My graduate advisor  Other faculty at my U.S. graduate institution  Other graduate students at my U.S. graduate institution  Undergraduate students at my U.S. graduate institution  None of the above

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E8. Please indicate how satisfied you were with various aspects of your EAPSI experience. *Check one answer in each row.* 

answer in each row.					
Accommodations & Logistics	Very dissatisfied	Somewhat dissatisfied	Somewhat satisfied	Very satisfied	
Lodging					
Fellowship support amount					
Fellowship duration					
Research/laboratory facilities at the host institution					
Access to the internet					
Your host	Very dissatisfied	Somewhat dissatisfied	Somewhat satisfied	Very satisfied	
Frequency of meetings with host					
Match between host's and my research interests					
Host's expertise in his/her field					
The level of the host's intellectual contribution to our joint research project					
Host's efforts to help me meet other researchers					
Host's inclusion of me in research group/laboratory, meaningful collaboration					
Guidance or mentoring provided by host					
Your experiences	Very dissatisfied	Somewhat dissatisfied	Somewhat satisfied	Very satisfied	
Opportunities to experience and					
learn about culture, history, geography, etc. in my host site					
The quality of research I was able to conduct					
Match between scope or goals of project and duration of EAPSI					
Professional connections made during the fellowship					

E8a. If you would like to elaborate or comment further on areas of satisfaction or dissatisfaction with vour EAPSI experience, please do so.

7
textbox, 2500

# MODULE F: AFTER YOUR EAPSI FELLOWSHIP

F1.		clusion of your EAPSI fellowship and [October 1, 2010], have you collaborated or dwith your host scientist? <i>Check one only</i>
	☐ I have com	aborated on a research project → GOTO F1a imunicated with my host but haven't collaborated further on research → GOTO F1c communicated with my host → GOTO F1c
	_ _ _ _ _	was the extent of your collaboration? <i>Check all that apply</i> I have a position in the host's group I have a position at the host's institution We exchanged ideas, data, ideas, research results, or tools We co-authored papers We co-advised students We visited each other at our institutions Other – specify [textbox, 300]
	_ _ _	recently has the latest collaboration with your host occurred? Check one only Within the past 6 months → GOTO F2 Within the past 12 months → GOTO F2 1-2 years ago → GOTO F2 3 or more years ago → GOTO F2
		do you no longer collaborate with your former host? Check all that apply Our research interests diverged One or both of us lacked funding needed to maintain collaboration Language differences have hindered further collaboration Political or cultural differences have hindered further collaboration Geographic distance has hindered further collaboration I did not think that further collaboration would be beneficial for me My host did not actively pursue or maintain further collaboration with me My colleagues discouraged me from continuing this collaboration My advisor discouraged me from continuing this collaboration One (or both) of us is too busy with other projects Other – specify [textbox, 300]

fe	participating in EAPSI make you qualified for a broader range of opportunities after the owship ended? Check one only.  Yes, EAPSI did broaden my opportunities → GOTO F3a  No, EAPSI did not broaden my opportunities → GOTO F2b  I am not sure → GOTOF3  2a. Describe how EAPSI broadened your opportunities:				
	[textbox, 2500]				
	F2b. Did EAPSI constrain your opportunities? <i>Check one only.</i> ☐ Yes → Go to F2c ☐ No → Go to F3				
	F2c. Describe how EAPSI constrained your opportunities:				
	textbox, 2500				
F3. W	hich of the following professional benefits occurred as a result of your participation in EAPSI?				
	neck all that apply  My work at the host institution resulted in a substantial advancement in my research				
	My work at the host institution resulted in a substantial advancement in my research  My work at the host institution opened up new areas of investigation				
	,				
	I became committed to international research collaboration I made valuable connections to researchers outside the U.S.				
	I decided to pursue a graduate degree in a different discipline than the one I was pursuing when I began my EAPSI fellowship				
	EAPSI participation made me more competitive for jobs I was interested in				
	EAPSI participation made me consider professional opportunities I would not have considered in the past				
	·				

F4.		nich of the that apply	following additional benefits occurred as a result of your participation in EAPSI? Che	
		Research Samples My peers Others in Research	methods or ideas that I learned benefited others in my institution that I collected or tools that I developed benefited others in my institution became interested in international collaboration my research group (in the U.S.) began an international research collaboration ers that I met during my fellowship joined my research group pecify [textbox]	
Che	eck d	all that app I became I made po I gained po I decided None of t	comfortable with the traditions and culture of my host site ersonal connections in the places I visited during the fellowship proficiency in another language to live outside the United States (i.e. at least 6 months) the above	
F6.	W	hich of the	e following are true? Check Yes or No for each:	
Υ	es/	No		
			Completion of my graduate degree was delayed because of my participation in EAPSI	
		Competition was introduced between my research group and the host because of my participation in EAPSI		
			I lost an important career or educational opportunity by participating in	

I am more skeptical about international collaboration than before EAPSI

F7. What was the most positive aspect of your EAPSI experience? [textbox, 2500]

**EAPSI** 

F8. What was the most challenging aspect of your EAPSI experience? [textbox, 2500]

F9.	Would you recommend the EAPSI fellowship to another graduate student seeking an international experience? <i>Check one only.</i> Yes  No
	F9a. Why or why not? [textbox, 2500]
F10	<ul> <li>Would you recommend your <u>EAPSI host scientist</u> to another graduate student seeking an international experience? <i>Check one only</i>.</li> <li>□ Yes</li> <li>□ No</li> </ul>
F11	<ul> <li>Your fellowship took place in [EAPSI_site]. Would you recommend this EAPSI site to another graduate student seeking an international experience? Check one only.</li> <li>☐ Yes</li> <li>☐ No</li> </ul>
F12	. What would you change about the program? [textbox, 2500]
F13	. What was the most important contribution of EAPSI participation to your career?  [textbox, 2500]

MODULE G: DEMOGRAPHIC INFORMATION	J							
G1. What is your gender? ☐ Male ☐ Female	☐ Male							
<ul><li>G2. What is your ethnicity? Check one only.</li><li>Hispanic or Latino</li><li>Not Hispanic or Latino</li></ul>	☐ Hispanic or Latino							
<ul> <li>G3. What is your race? Check one or more.</li> <li>American Indian or Alaska native</li> <li>Asian</li> <li>Black or African American</li> <li>Native Hawaiian or other Pacific Isla</li> <li>White</li> </ul>	<ul> <li>American Indian or Alaska native</li> <li>Asian</li> <li>Black or African American</li> <li>Native Hawaiian or other Pacific Islander</li> </ul>							
<ul> <li>4. What is your citizenship status? Check one only.</li> <li>□ United States citizen since birth</li></ul>								
G4a. How old were you when you began living	ing in the l	Jnited Sta	tes? (Ent	er age in yea	rs): [textb	ox, 2]		
G5. What is the USUAL degree of difficulty y with: Mark one answer for each item.	ou have	None	Slight	Moderate	Severe	Unable to do		
SEEING words or letters in ordinary newspri glasses/contact lenses, if you usually wear to		$\square_1$		$\square_3$	$\square_4$	$\square_5$		
HEARING what is normally said in conversation with another person (with hearing aid, if you usually wear one)				$\square_3$	$\square_4$	<b></b> 5		
WALKING without human or mechanical assistance or using stairs				$\square_3$	$\square_4$	<b>□</b> <sub>5</sub>		
4 LIFTING or carrying something as heavy as 1 such as a bag of groceries			$\square_3$	$\square_4$	□5			
If all 4 rows in G5 are marked "None," Go to G5a. What is the earliest age at which you areas?	·				n any of th	ese		

☐ Since birth; or Enter age in years:

**SUBMIT SURVEY** 

Thank you screen: After hitting SUBMIT, respondent sees this screen.

# **EAPSI** applicant survey

#### Conclusion

Thank you for completing this survey. If you have any general comments about the survey, please write them below.

# Textbox, 2500

If you have any questions about this survey or the study, please contact Dr. Alina Martinez, Study Director, Abt Associates, at (866) 421-6223 (toll-free within the US) or email her at EAPSI\_survey@abtassoc.com. You may also contact John Tsapogas, who is overseeing this study at NSF, with any questions or comments: jtsapoga@nsf.gov.

Thank you for your assistance. We greatly appreciate your time and consideration.

#### **CONFIRM FELLOWSHIP SCREEN, SECTION R**

NSF's records may be in error. However, it may be that you do not recognize the term "East Asia and Pacific Summer Institutes (EAPSI) program." For example, all of the following summer institutes are part of the EAPSI program:

- The Summer Institute in Japan, a joint program of the Japan Society for the Promotion of Science (JSPS) and NSF;
- The Summer Institute in Korea, and joint program of the Korea Science and Engineering Foundation (KOSEF) and NSF;
- The Summer Institute in Taiwan (SIT), a joint program of Taiwan's National Science Council (NSC) and NSF;
- The EAPSI summer institute in Australia, supported by the Australian Academy of Science and NSF;
- The China Summer Institute, supported by NSF and the Chinese Ministry of Science and Technology (MOST), the Chinese Academy of Sciences (CAS), and National Natural Science Foundation of China (NSFC).
- The EAPSI summer institute in New Zealand, coordinated by the Royal Society of New Zealand and supported by the New Zealand Ministry of Research, Science and Technology (MoRST) and NSF;
- The EAPSI summer institute in Singapore, co-sponsored by Singapore's National Research Foundation and NSF.

If you participated in any of the above, then for the purposes of this survey, you participated in an EAPSI summer institute.

Did you	participate in any of the above?
	Yes, I did → RETURN TO PRIOR SCREEN (Intro Screen #1 or A2.1)
	No, I did not → Go TO EXIT SCREEN
	I'm not sure → Go TO R1

R1: Even if you're not sure, we would like you to complete this survey to the best of your ability. The survey focuses on your professional achievements, the type of work you do currently and the types of collaborations you may have with scientists and engineers in the US and other countries, in addition to your EAPSI summer experiences. Please click here to continue with the survey (you may Exit on the next screen if you wish): CONTINUE Go to Prior Screen: Intro Screen #1 or A2.1 (update automatically so that A2.1 = Yes, this is correct)

#### **SECTION S**

Some graduate students may have travelled with a U.S. faculty member and other graduate students (from any college or university, not necessarily your graduate institution) to Australia, China, Japan, Korea, New Zealand, Taiwan, or Singapore for several weeks during the summer. However, if you yourself did not prepare and send a research proposal and application to the National Science Foundation (NSF), then it is likely NSF's records have mis-identified you.

Did you prepare and submit to NSF your own individual application for a summer institute in Australia, China, Japan, Korea, New Zealand, Taiwan, or Singapore?

Yes, I did $\rightarrow$ go to S1 and FLAG this case for review by Abt	
No, I travelled there under the sponsorship of a U.S. faculty member	→ go to EXIT SCREEN
I'm not sure → go to EXIT SCREEN	

**S1:** Please accept our apology for the mistake in our records. Let's continue with the survey: You indicated that you participated in EAPSI in [EAPSI\_year] in [EAPSI\_site]. Please click to continue.

**CONTINUE** Go to A3

#### **EXIT SCREEN screen:**

If we have identified the wrong respondent or have erroneous information, display this screen and FLAG this respondent for Abt follow-up (weekly basis):

# **EAPSI applicant survey**

☐ Saturday or Sunday 9-5pm

#### Please accept our apology.

The information you supplied suggests that you are not eligible to participate in this study **or** that we have reached you in error. We regret any inconvenience to you. If you have any questions about this study or you would like to make a comment, please contact one of the following individuals:

- Dr. Alina Martinez, Study Director at Abt Associates: EAPSI\_survey@abtassoc.com, or (866) 421-6223 (toll free within the U.S.);
- John Tsapogas, Office of International Science and Engineering, NSF: jtsapoga@nsf.gov.

May we have permission to contact you by telephone to clarify your responses here? Entering your number does not obligate you to answer any questions.

Yes, you may reach me at \_\_\_\_\_\_. [telephone digit entry] Go to Best Times.

No, please do not contact me.

Best time(s) to call (check all that apply):

Weekdays (9 to 5pm)

Evenings (5 to 8pm)

# National Science Foundation IRFP Host Survey

This survey is being conducted by Abt Associates Inc. and our subsidiary, AbtSRBI, for the National Science Foundation (NSF), to learn about the graduate experiences, career choices, and professional activities of individuals who participated in NSF's International Research Fellowship Program (IRFP). You are receiving this survey because you are listed in the IRFP database as a host of an IRFP fellow.

#### **Confidentiality and Participation**

Participation in the survey is voluntary and nonparticipation will have no impact on you or your institution. You may skip questions on the survey or discontinue participation at any time. There are minimal risks associated with your participation. We take your privacy very seriously. Your responses to this survey will be protected under the U.S. Privacy Act. There is minimal risk of breach of confidentiality, and we have put in place procedures to minimize this risk. Reports will never identify you by name, and information from the study will only be reported in the aggregate at the program level, combined with about 250 other responses. When we receive your survey we will detach and store separately your name and other identifying information that could be used to link you to your survey responses. Survey responses will be stored on a secure drive that is only accessible to members on the study team. Only study team web technicians and data analysts from Abt Associates and AbtSRBI will see individual responses that can be linked to you. Survey data files will be shared with NSFat the end of the study, only after study team members have examined the data to be free of any information that could help identify you; this cleaning includes procedures to prevent someone from inferring your identity by analyzing non-identifying data. Hence, we encourage you to respond candidly about your experiences. Separate from your individual responses to the survey we will provide NSF any updated contact information we have found or requested from you. None of this contact information will be linked in any way to your survey responses. At the conclusion of the study. Abt Associates and AbtSRBI will destroy all records, electronic or otherwise, that link you to your survey responses.

#### **Completing the Survey**

We estimate that it will take approximately 15 minutes to complete the survey. If you have questions about the study, please contact the study director, Alina Martinez of Abt Associates Inc. at 866) 421-6223 (may incur international telephone charges if initiated outside the U.S) or email her at IRFP\_survey@abtassoc.com. You may also contact the evaluation's program officer at NSF, John Tsapogas (jtsapoga@nsf.gov). If you have questions about your rights as a research participant, you may contact Teresa Doksum, the Abt Institutional Review Board Administrator at (877) 520-6835 6835 (may incur international telephone charges if initiated outside the U.S) or by email: irb@abtassoc.com.

To learn more about this study, please refer to the Frequently Asked Questions page.

#### Consent

Please continue with the survey if you agree to participate in this study.

This study's IRB approval number is #0494, valid from 8/6/2010 to 8/5/2011. For questions, please contact Teresa Doksum, IRB Administrator, Abt Associates, at IRB@abtassoc.com.

The valid OMB control no. for this information collection is xx-xxxxx. (Expires on mm/dd/yyyy)

Continue

# [Note for reviewers: This survey will be programmed for on-line administration. The formatting of items may appear differently on-line.]

Notes in brown are for programming purposes.

Some questions will be received by specific groups of individuals only. These skip patterns are indicated throughout the survey. For the paper version, we have included these skip patterns in text. These will be programmed into the online version.

There are places throughout the survey where Institution, year, name etc. will be filled into the question or instruction text using a sample file.

# FREQUENTLY ASKED QUESTIONS

- What is the International Research Fellowship Program (IRFP)?
- Why are you doing this study?
- Why have I been selected to participate in this survey?
- How did you get my contact information?
- How long will this survey take to complete?
- How will you use my comments?
- Does this study have human subjects review clearance?
- Who is funding the study?
- Who are Abt Associates Inc and AbtSRBI?

# What is the International Research Fellowship Program (IRFP)?

The International Research Fellowship Program (IRFP) is a National Science Foundation (NSF) program that provides support for new doctoral-level scientists and engineers to conduct research in a foreign country for a period of 9 to 24 months.

# Why are you doing this study?

NSF is interested in learning about the experiences of researchers who have hosted one or more IRFP fellows from the United States as part of the IRFP program. In particular, NSF would like to understand how host researchers perceive the program and the students they have hosted, and what kinds of benefits and challenges host researchers experienced. The information collected in the study will help NSF make improvements to the program, and understand how best to support and encourage international collaboration.

# Why have I been selected to participate in this survey?

You have been selected to participate because we have identified you as having hosted one or more U.S. fellows participating in the IRFP program.

# How did you get my contact information?

We identified you from records maintained by the National Science Foundation on IRFP participants and the scientists who hosted them. We then confirmed your contact information through an internet search.

# How long will this survey take to complete?

We estimate that the survey will take about 15 minutes.

# How will you use my comments?

Responses from all survey respondents will be used to answer questions about the experiences of IRFP host researchers with the program and with the guest fellows.

#### Has this study been reviewed and approved by an institutional review board?

Yes, the study was also approved by Abt Associates' Institutional Review Board. If you have any concerns about your participation in this survey, please contact Teresa Doksum, Institutional Review Board Administrator at Abt Associates, at (877) 520-6835 or via email at irb@abtassoc.com.

# Who is funding the study?

The study has been funded by the National Science Foundation under contract GS-10F-0086K. Abt Associates and AbtSRBI will complete the study.

#### Who are Abt Associates Inc and AbtSRBI?

Abt Associates is an independent research firm headquartered in Cambridge, Massachusetts. AbtSRBI is a wholly-owned subsidiary of Abt Associates specializing in large-scale data collection and public opinion research. NSF has contracted with Abt Associates and AbtSRBI to design and implement a survey of individuals who applied to NSF's International Research Fellowship Program (IRFP).

# **IRFP Foreign Hosts**

We now would like to ask you about your experiences with the program. Please respond to the best of your recollection.

# **Module A: Basic Information**

<ul> <li>A1. Based on the NSF IRFP database, your name is [First Name, Middle Name/initial, Last Name]. Is this information correct? Mark one answer</li> <li>Yes, my name is correct.</li> <li>No, my name has changed or is misspelled above. My name is: [Textbox]</li> <li>No, I am not the person named above. I believe you have reached me by mistake.</li> </ul>				
<ul> <li>A2. Based on the NSF IRFP database, you hosted an IRFP fellow named [Fellow name]. Is this information correct? <i>Mark one answer</i></li> <li>Yes, the fellow's name is correct.</li> <li>No, the fellow's name is incorrect. The correct name is: [Textbox]</li> <li>I never participated in the IRFP program. EXIT SURVEY.</li> </ul>				
<ul> <li>A3. Based on the NSF IRFP database, you hosted [Fellow name] in [Year of IRFP experience]. Is this information correct? <i>Mark one answer</i></li> <li>□ Yes, the year is correct.</li> <li>□ No, the year is incorrect. The correct year is: [Textbox]</li> </ul>				
<ul> <li>A4. Based on the NSF IRFP database, you hosted [Fellow name] at [Name of institution]. Is this information correct? <i>Mark one answer</i></li> <li>☐ Yes, the institution is correct.</li> <li>☐ No, the institution is incorrect. The correct institution is: [Textbox]</li> </ul>				
A5. Before hosting [Fellow Name] in [Year of IRFP], had you ever before hosted a visiting researcher from the United States?				
<ul> <li>Yes, I had hosted another IRFP Fellow previously</li> <li>Yes, but the individual(s) was not sponsored by the IRFP program</li> <li>No, I had never before hosted a visiting researcher from the United States</li> </ul>				
The remaining items on this survey refer to the IRFP Fellow named above [Fellow name] and (or) to the period of time during which you hosted this individual at your institution [Year of IRFP experience]. If you have hosted more than one IRFP Fellow, please answer items on this survey with respect to [Fellow name], who you hosted beginning in [Year of IRFP experience].				
A6. As of [reference week] are you working at [Name of institution], the institution where you worked when you hosted this IRFP Fellow  ☐ Yes, I currently work at the same institution ☐ No, I no longer work there. I am now working at (please tell us the name of your current employer, university, or company: [Textbox]				

	ve you increased your collaboration with U.S. researchers as a result of of your pation in the IRFP?			
	Yes			
	No			
	or to hosting to this IRFP fellow, had you visited the United States for training or other ional purposes? <i>Check all that apply</i>			
	Yes, I was an undergraduate student in the United States Yes, I was a graduate student in the United States Yes, I was a postdoc in the United States Yes, I was a visiting scientist in the United States Yes, I was a faculty member in the United States Yes, I came to the United States to attend a conference, a workshop, or a meeting			
	Other. Please specify: [Textbox]  No, I have not visited the United States for any professional purposes			
A9. Did you know [Fellow Name] or one of his/her colleagues before you hosted this IRFP Fellow?				
	Yes No			
institut	/hich of the following characterizes your department (or research unit within your e)? Check all that apply.			
	My department (or research unit) encourages international collaborations Faculty (or employees) in my department (or institution) are rewarded for developing international research partnerships			
	My department (or research unit) provides financial support to faculty (or employees) pursuing international collaborations			
	My department (or research unit) promotes (that is, advertises) fellowships and other opportunities for researchers in my country to conduct research in another country			
	My department (or research unit) hosts foreign researchers visiting my institution for research-related purposes			
	None of the above			

# **Module B: Participation Decision and Goals**

B1.		From the IRFP fellow I hosted From the IRFP fellow's PhD (doctoral) advisor From another faculty member at the IRFP fellow's institution in the United States From an administrator at the IRFP fellow's institution From the United States' National Science Foundation (NSF) web site, printed publication, or presentation From a faculty member (or colleague) at my institution From an administrator at my institution From a colleague at an institution other than where I work Other. Please specify: [Textbox]		
B2.	32. Why did you decide to host this IRFP fellow? Check all that apply			
		I was interested in the project proposed by the Fellow I was interested in establishing or maintaining collaboration with a US researcher I personally knew, knew of, or previously collaborated with the fellow I personally knew, knew of, or previously collaborated with the fellow's advisor I personally knew, knew of, or previously collaborated with researchers at the fellow's institution		
		I had a positive experience with the program in the past		
		I had a positive experience with another international program		
		To create an international environment in my research group  To attract students (nestdoes to my research)		
		To attract students/postdocs to my research To learn new methodologies, approaches, or tools from the fellow		
	_	My research area is particularly suitable for collaboration		
		Other: [Textbox]		

# **Module C: Program Experience**

C1. Who was primarily involved in the following activities related to the research on which you and the IRFP Fellow worked?

	Mostly the fellow independently	Mostly me or members of my group	The fellow and I were equally involved	Not applicable (this activity was not part of the research)
Developing the ideas, hypotheses,				
broad framework, or vision for the				
research project				
Researching literature or research				
base relevant to the project				
Keeping records, tracking supplies,				
resources,				
Developing instrumentation,				
software, equipment, or data				
collection processes				
Collecting data or carrying out				
simulations				
Analyzing data or observations				
Interpreting results				
Planning or developing follow-up				
work based on results				
Written, oral dissemination of				
results (publications, presentations)				

C2. Do you agree or disagree with the following aspects of your participation as host to an IRFP fellow?

Strongly disagree Disagree Agree Strongly agree not apply  The fellow integrated with staff/members of my research group  The fellow had sufficient knowledge and expertise to be a full participant in a research collaboration with me  The amount of time for the fellowship experience was too short  Language was a barrier to the fellow's ability to interact with me and/or my group  The fellow was willing to take appropriate risks necessary for research  The fellow exercised appropriate caution in his/her approach to research  The fellow spent sufficient time working on the proposed project	Tellow!					
The fellow integrated with staff/members of my research group  The fellow had sufficient knowledge and expertise to be a full participant in a research collaboration with me  The amount of time for the fellowship experience was too short  Language was a barrier to the fellow's ability to interact with me and/or my group  The fellow was willing to take appropriate risks necessary for research  The fellow exercised appropriate caution in his/her approach to research  The fellow spent sufficient time working on the		Strongly	Disagree	Agree	Strongly	Does
The fellow integrated with staff/members of my research group The fellow had sufficient knowledge and expertise to be a full participant in a research collaboration with me The amount of time for the fellowship experience was too short Language was a barrier to the fellow's ability to interact with me and/or my group The fellow was willing to take appropriate risks necessary for research The fellow exercised appropriate caution in his/her approach to research The fellow spent sufficient time working on the		disagree			agree	not
research group  The fellow had sufficient knowledge and expertise to be a full participant in a research collaboration with me  The amount of time for the fellowship experience was too short  Language was a barrier to the fellow's ability to interact with me and/or my group  The fellow was willing to take appropriate risks necessary for research  The fellow exercised appropriate caution in his/her approach to research  The fellow spent sufficient time working on the						apply
The fellow had sufficient knowledge and expertise to be a full participant in a research collaboration with me  The amount of time for the fellowship experience was too short  Language was a barrier to the fellow's ability to interact with me and/or my group  The fellow was willing to take appropriate risks necessary for research  The fellow exercised appropriate caution in his/her approach to research  The fellow spent sufficient time working on the	The fellow integrated with staff/members of my					
be a full participant in a research collaboration with me  The amount of time for the fellowship experience was too short  Language was a barrier to the fellow's ability to interact with me and/or my group  The fellow was willing to take appropriate risks necessary for research  The fellow exercised appropriate caution in his/her approach to research  The fellow spent sufficient time working on the	research group					
me The amount of time for the fellowship experience was too short Language was a barrier to the fellow's ability to interact with me and/or my group The fellow was willing to take appropriate risks necessary for research The fellow exercised appropriate caution in his/her approach to research The fellow spent sufficient time working on the	The fellow had sufficient knowledge and expertise to					
The amount of time for the fellowship experience was too short  Language was a barrier to the fellow's ability to interact with me and/or my group  The fellow was willing to take appropriate risks necessary for research  The fellow exercised appropriate caution in his/her approach to research  The fellow spent sufficient time working on the	be a full participant in a research collaboration with					
was too short  Language was a barrier to the fellow's ability to interact with me and/or my group  The fellow was willing to take appropriate risks necessary for research  The fellow exercised appropriate caution in his/her approach to research  The fellow spent sufficient time working on the	me					
Language was a barrier to the fellow's ability to interact with me and/or my group  The fellow was willing to take appropriate risks necessary for research  The fellow exercised appropriate caution in his/her approach to research  The fellow spent sufficient time working on the	The amount of time for the fellowship experience					
interact with me and/or my group  The fellow was willing to take appropriate risks necessary for research  The fellow exercised appropriate caution in his/her approach to research  The fellow spent sufficient time working on the	was too short					
The fellow was willing to take appropriate risks necessary for research  The fellow exercised appropriate caution in his/her approach to research  The fellow spent sufficient time working on the	Language was a barrier to the fellow's ability to					
necessary for research  The fellow exercised appropriate caution in his/her approach to research  The fellow spent sufficient time working on the	interact with me and/or my group					
The fellow exercised appropriate caution in his/her approach to research  The fellow spent sufficient time working on the	The fellow was willing to take appropriate risks					
approach to research  The fellow spent sufficient time working on the	necessary for research					
The fellow spent sufficient time working on the	The fellow exercised appropriate caution in his/her					
	approach to research					
proposed project	The fellow spent sufficient time working on the					
	proposed project					
Scientific cultures of our countries are similar, making	Scientific cultures of our countries are similar, making					
productive collaboration possible	productive collaboration possible					
Lifestyles and general cultures of our countries are	Lifestyles and general cultures of our countries are					
similar, making productive collaboration possible	similar, making productive collaboration possible					

C3. How often did you meet with the IRFP fellow during his/her visit to your institution to talk					
abou	ut the project or to discuss other research-related issues? Mark one answer				
	Daily				
	Every other day				
	Twice a week				
	Once a week				
	Every other week				
	Once a month				
	Less than once a month				
	do not recall				
	Other: [Textbox]				

# **Module D: Program Outcomes and Career Impacts**

D1. Which of the following describes the extent of your collaboration with the fellow since the
fellowship experience? <i>Select one response</i> ☐ I have not been in touch with the Fellow → GOTO D2
☐ I have stayed in touch with the Fellow, but have not collaborated on any
research project → GOTO D2
☐ I have collaborated with this Fellow at some point in the past, but not at present →
GOTO D2
□ I am currently collaborating with this Fellow → GOTO D1a
□ I plan to collaborate with this Fellow → GOTO D2
D1a. What is the nature of this collaboration as of [reference week]? Check all that
apply
We
☐ Work on projects together
☐ Share data
□ Publish papers together
☐ Visit each other's institutions to discuss research or to work on projects
<ul><li>Invite each other to give talks</li><li>Jointly apply for collaborative grants or other funding sources</li></ul>
☐ Co-mentor graduate students or postdoctoral fellows
Other: [Textbox]
,
D2. Are you currently collaborating with the IRFP fellow's faculty advisor?
☐ Yes
□ No
D2. And the second an
D2a. Are you currently collaborating with other U.S. researchers?
□ Yes
□ No
D3. Please indicate the number of papers in press or published, which you co-authored with the
fellow:
During the IRFP fellow's time at your institution: [Textbox]
Within a year of the fellow's time at your institution: [Textbox]
2-3 years after the fellow's time at your institution: [Textbox]
4-5 years after the fellow's time at your institution: [Textbox]
Total number of papers (in press or published) co-authored with this IRFP fellow as
of [reference week] [Textbox]

# **Module E: Program Satisfaction**

E1. How would you rate your level of satisfaction with this IRFP fellow? Mark one answer  Very satisfied Satisfied Somewhat satisfied. Unsatisfied.
E1a. What did you find most satisfying or unsatisfying? [Textbox]
E2. Which of the following benefits did <i>you</i> derive as a host for IRFP fellows? <i>Check all that apply</i>
<ul> <li>□ I established or renewed a collaboration with US researchers</li> <li>□ I and/or researchers in my group published research papers based on the collaborative work with this IRFP Fellow</li> <li>□ I and/or researchers in my group developed a database or a website based on the collaborative work</li> <li>□ I and/or researchers in my group obtained funding based on the collaborative work</li> <li>□ I and/or researchers in my group gave one or more presentations based on the collaborative work</li> <li>□ I and/or researchers in my group learned new techniques or approaches</li> <li>□ I and/or researchers in my group became more familiar with the research enterprise in the United States</li> <li>□ Participation in the program changed the direction of some research projects in my group</li> <li>□ Participating in the program enhanced my interest in collaborating with US researchers</li> </ul>
<ul> <li>E3. Have any of the following taken place at your institution as a result of your participation as host to an IRFP Fellow? Check all that apply</li> <li>My colleagues increased collaborations with US researchers</li> <li>Administration in my department became more supportive of collaboration with US researchers</li> <li>Additional policies, procedures, or structures have been put in place at my institution to facilitate foreign collaboration</li> <li>Collaborations with US researchers helped attract students and other researchers to my institution</li> <li>Other benefits of hosting this IRFP Fellow (please describe any): [textbox]</li> <li>None of the above</li> </ul>
E4. What were the <i>best</i> aspects of your affiliation with this IRFP fellow? [Textbox]
E5. What were the most challenging aspects in your affiliation with this IRFP fellow? [Textbox]
E6. Were there any unexpected outcomes in your affiliation with this IRFP fellow? [Textbox]

E7. Would you recommend or have you recommended to others that they host an IRFP fellow?
Mark one answer
□ I have recommended the program, or I would recommend the program. Why? [Textbox]
☐ I have not recommended the program, and I would not recommend the program. Why not? [Textbox]
☐ I am not sure

E8. Please feel free to share any additional thoughts or recommendations about IRFP. [Textbox]

## **National Science Foundation**

## **EAPSI Host Survey**

This survey is being conducted by Abt Associates Inc. and our subsidiary, AbtSRBI, for the National Science Foundation (NSF), to learn about the graduate experiences, career choices, and professional activities of individuals who participated in NSF's East Asia and Pacific Summer Institutes (EAPSI) program. You are receiving this survey because you are listed in the EAPSI database as a host of an EAPSI fellow.

#### **Confidentiality and Participation**

Participation in the survey is voluntary and nonparticipation will have no impact on you or your institution. You may skip questions on the survey or discontinue participation at any time. There are minimal risks associated with your participation. We take your privacy very seriously. Your responses to this survey will be protected under the U.S. Privacy Act. There is minimal risk of breach of confidentiality, and we have put in place procedures to minimize this risk. Reports will never identify you by name, and information from the study will only be reported in the aggregate at the program level, combined with about 500 other responses. When we receive your survey we will detach and store separately your name and other identifying information that could be used to link you to your survey responses. Survey responses will be stored on a secure drive that is only accessible to members on the study team. Only study team web technicians and data analysts from Abt Associates and AbtSRBI will see individual responses that can be linked to you. Survey data files will be shared with NSFat the end of the study, only after study team members have examined the data to be free of any information that could help identify you; this cleaning includes procedures to prevent someone from inferring your identity by analyzing non-identifying data. Hence, we encourage you to respond candidly about your experiences. Separate from your individual responses to the survey we will provide NSF any updated contact information we have found or requested from you. None of this contact information will be linked in any way to your survey responses. At the conclusion of the study, Abt Associates and AbtSRBI will destroy all records, electronic or otherwise, that link you to your survey responses.

#### **Completing the Survey**

We estimate that it will take approximately 15 minutes to complete the survey. If you have questions about the study, please contact the study director, Alina Martinez of Abt Associates Inc. at (866) 421-6223 (may incur international telephone charges if initiated outside the U.S) or email her at EAPSI\_survey@abtassoc.com. You may also contact the evaluation's program officer at NSF, John Tsapogas (jtsapoga@nsf.gov). If you have questions about your rights as a research participant, you may contact Teresa Doksum, the Abt Institutional Review Board Administrator at (877) 520-6835 (may incur international telephone charges if initiated outside the U.S) or by email: irb@abtassoc.com.

To learn more about this study, please refer to the Frequently Asked Questions page.

#### Consent

Please continue with the survey if you agree to participate in this study.

This study's IRB approval number is #0494, valid from 8/6/2010 to 8/5/2011. For questions, please contact Teresa Doksum, IRB Administrator, Abt Associates, at IRB@abtassoc.com.

The valid OMB control no. for this information collection is xx-xxxxx. (Expires on mm/dd/yyyy)

Continue

# FREQUENTLY ASKED QUESTIONS

- What is the East Asia and Pacific Summer Institutes (EAPSI) Program?
- Why are you doing this study?
- Why have I been selected to participate in this survey?
- How did you get my contact information?
- How long will this survey take to complete?
- How will you use my comments?
- Does this study have human subjects review clearance?
- Who is funding the study?
- Who are Abt Associates Inc and AbtSRBI?

#### What is the East Asia and Pacific Summer Institute (EAPSI) Program?

The East Asia and Pacific Summer Institute (EAPSI) Program is a program in which the National Science Foundation (NSF) and its partners organizations in Australia, China, Japan, Korea, New Zealand, Singapore, and Taiwan cooperate to provide U.S. graduate students an opportunity to spend eight to ten weeks collaborating with a host researcher at a university or research institute in one of these locations. The program matches accomplished researchers in the host location with promising U.S. graduate students to foster international collaboration.

# Why are you doing this study?

NSF is interested in learning about the experiences of researchers who have hosted one or more graduate students from the United States as part of the EAPSI program. In particular, NSF would like to understand how host researchers perceive the program and the students they have hosted, and what kinds of benefits and challenges host researchers experienced. The information collected in the study will help NSF make improvements to the program, and understand how best to support and encourage international collaboration.

#### Why have I been selected to participate in this survey?

You have been selected to participate because we have identified you as having hosted one or more U.S. graduate students participating in the EAPSI program.

#### How did you get my contact information?

We identified you from records maintained by the National Science Foundation on EAPSI participants and the scientists who hosted them. We then confirmed your contact information through an internet search.

## How long will this survey take to complete?

We estimate that the survey will take about 15 minutes.

## How will you use my comments?

Responses from all survey respondents will be used to answer questions about the experiences of EAPSI host researchers with the program and with the guest students.

## What happens if I don't answer this survey?

Participation in the survey is voluntary. Abt Associates will not share information with the National Science Foundation or its partner agencies in your location about your decision to participate or not to participate.

# Has this study been reviewed and approved by an institutional review board?

Yes, the study was also approved by Abt Associates' Institutional Review Board. If you have any concerns about your participation in this survey, please contact Teresa Doksum, Institutional Review Board Administrator at Abt Associates, at (877) 520-6835 or via email at <a href="mailto:irb@abtassoc.com">irb@abtassoc.com</a>. A call to this number may incur international charges.

# Who is funding the study?

The study has been funded by the National Science Foundation under contract GS-10F-0086K. Abt Associates and AbtSRBI will complete the study.

#### Who are Abt Associates Inc and AbtSRBI?

Abt Associates is an independent research firm headquartered in Cambridge, Massachusetts. AbtSRBI is a wholly-owned subsidiary of Abt Associates specializing in large-scale data collection and public opinion research. NSF has contracted with Abt Associates and AbtSRBI to design and implement a survey of researchers who hosted a U.S. graduate student participating in NSF's EAPSI program.

[Note for reviewers: This survey will be programmed for on-line administration. The formatting of items may appear differently on-line.]

Notes in brown are for programming purposes.

Some questions will be received by specific groups of individuals only. These skip patterns are indicated throughout the survey. For the paper version, we have included these skip patterns in text. These will be programmed into the online version.

There are places throughout the survey where Institution, year, name etc. will be filled into the question or instruction text using a sample file.

# **EAPSI Host Survey**

We now would like to ask you about your experiences with the program. Please respond to the best of your recollection.

# **Module A: Basic Information and Pre-Award Characteristics**

	Based on the NSF EAPSI database, your name is [First Name, Middle Name/initial, Last Name]. Is this information correct? <i>Mark one answer</i> Yes, the name is correct.
	No, I am not the person named above. I believe you have reached me by mistake.
	Based on the NSF EAPSI database, you have hosted an EAPSI Fellow named [Fellow name]. Is this information correct? <i>Mark one answer</i> Yes, the Fellow's name is correct.  No, the Fellow's name is incorrect. The correct name is: [Textbox]  I never participated in the EAPSI program. EXIT SURVEY.
	Based on the NSF EAPSI program files, you hosted [Fellow name] in the summer of [Year of EAPSI experience]. Is this information correct? <i>Mark one answer</i> Yes, the year is correct.  No, the year is incorrect. The correct year is: [Textbox]
1	Based on the NSF EAPSI program files, you hosted [Fellow name] at [Name of institution]. Is this information correct? <i>Mark one answer</i> Yes, the institution is correct.  No, the institution is incorrect. The correct institution is: [Textbox]
	Before hosting [Fellow Name] in [Year of EAPSI experience], had you ever before hosted a ing graduate student from the United States?
	Yes, I had hosted another EAPSI Fellow or other EAPSI Fellows previously Yes, but the individual(s) was not sponsored by the EAPSI program No, I had never before hosted visiting graduate students from the United States
(or)	remaining items on this survey refer to the EAPSI Fellow named above [Fellow name] and to the summer during which you hosted this individual at your institution [Year of EAPSI erience]. If you have hosted more than one EAPSI Fellow, please answer items on this survey respect to [Fellow name], who you hosted beginning in [Year of EAPSI experience].
worl	As of [reference week] are you working at [Name of institution], the institution where you ked when you hosted this EAPSI Fellow  Yes, I currently work at this same institution  No, I no longer work there. I am now working at (please tell us the name of your current employer, university, or company: [Textbox]

A7. At the time of this EAPSI Fellow's participation, approximately, what percentage of your research group were from foreign countries? <i>Mark one answer</i> □ I cannot recall □ 0-25% □ 26-50% □ 51-75% □ ≥ 76% □ Not applicable, I do not work in a group
A8. As of [reference week], approximately what percentage of your research group is from foreign countries? <i>Mark one answer</i> O-10%  10-25%  25-50%  50-75%  Not applicable, I do not work in a group
A8a. Have you increased your collaboration with U.S. researchers as a result of your participation in EAPSI?  Yes No
<ul> <li>A9. Prior to your participation in EAPSI, had you visited the United States for training or other professional purposes? Check all that apply</li> <li>Yes, I was an undergraduate student in the United States</li> <li>Yes, I was a graduate student in the United States</li> <li>Yes, I was a postdoctoral fellow in the United States</li> <li>Yes, I was a visiting scientist in the United States</li> <li>Yes, I was a faculty member in the United States</li> <li>Yes, I came to the United States for a conference, a workshop, or a meeting</li> </ul>
<ul> <li>□ Other. Please specify: [Textbox]</li> <li>□ No, I have not visited the United States for any professional purposes</li> <li>A10. Did you know [Fellow name] or one of his/her colleagues before your hosted this EAPSI fellow?</li> <li>□ Yes</li> <li>□ No</li> </ul>

		nich of the following characterizes your department (or research unit within your
ins		e)? Check all that apply.
		My department (or research unit) encourages international collaborations Faculty (or employees) in my department (or research unit) are rewarded for developing
	_	international research partnerships
		My department (or research unit) provides financial support to faculty (or employees)
		pursuing international collaborations
		My department (or research unit) promotes (that is, advertises) fellowships and other
	_	opportunities for researchers in my country to conduct research in another country
		My department (or research unit) hosts foreign researchers visiting my institution for
		research-related purposes
		None of the above
Mo	dul	B: Participation Decision and Goals
		<del></del>
В1	. Ho	w did you first learn about the EAPSI program? Mark one answer
		From the EAPSI Fellow I hosted
		From the EAPSI Fellow's U.S. faculty advisor
		From another faculty member at the EAPSI Fellow's institution
		From an administrator at the EAPSI Fellow's institution
		From a previous EAPSI participant
	Ц	From the United States' National Science Foundation (NSF) web site, printed
		publication, or presentation
		From a faculty member or colleague at my institution
		From an administrator at my institution
		From the partner organization in my country From a colleague at an institution other than where I work
		Other. Please specify: [Textbox]
	_	other. Trease specify. [Textbox]
B2	. wn	y did you decide to host this EAPSI fellow? Check all that apply
		I was interested in the project proposed by the Fellow
		I was interested in establishing or maintaining collaboration with a US researcher
		I personally knew, knew of, or previously collaborated with the Fellow
		I personally knew, knew of, or previously collaborated with the Fellow's advisor
		I personally knew, knew of, or previously collaborated with researchers at the Fellow's
ins	titut	
		I had a positive experience with the program in the past
		I had a positive experience with another international program
		Hosting a US scientist is considered prestigious in my country  To improve the status of my department and for institution
		To improve the status of my department and/or institution  To create an international environment in my research group
		To attract students/postdocs to my research
		To learn new methodologies, approaches, or tools from the Fellow
		My research area is particularly suitable for collaboration
		Other: [Textbox]

# **Module C: Application Process**

C1. How would you characterize the ease or difficulty of the following aspects of *arranging* the Fellow's visit?

	Easy	Somewhat difficult	Difficult	Not applicable (I did not provide this or make these arrangements for the Fellow
Providing supporting materials for the application	,			
Providing assistance with obtaining a visa				
Arranging the Fellow's lodging				
Arranging the Fellow's office space				
Arranging the Fellow's access to buildings or to				
other institutional facilities				
Finding an appropriate research project				

C1a. Please elaborate on any of the above or on any other challenging aspects of arranging the student's visit. [Textbox]

Juacii	t s visite [rextsox]
<mark>Modul</mark>	e D: Program Experience
was the	the time when the EAPSI Fellow was at your institution [year of EAPSI fellowship], what e size of your research group?  <5 6-10 11-20 >20 I do not remember
	w often did you meet with the EAPSI Fellow during his/her visit to your institution to talk out the project or to discuss other research-related issues? Mark one answer  Daily  Every other day  Twice a week  Once a week  Every other week  Once a month  Less than once a month  I do not recall  Other: [Textbox]

# C3. Who was primarily involved in the following activities the research on which you and the EAPSI Fellow worked?

	Mostly the			
	Fellow (or the			
	Fellow and			Not applicable
	his/her U.S.	Mostly me	The Fellow and	(this activity
	advisor)	or members of	I were equally	was not part of
	independently	my group	involved	the research)
Developing the ideas, hypotheses,				
broad framework, or vision for the				
research project				
Researching literature or research				
base relevant to the project				
Keeping records, tracking supplies,				
resources,				
Developing instrumentation,				
software, equipment, or data				
collection processes				
Collecting data or carrying out				
simulations				
Analyzing data or observations				
Interpreting results				
Planning or developing follow-up				
work based on results				
Written, oral dissemination of				
results (publications, presentations)				

C4. Do you agree or disagree with the following aspects of your participation as host to an EAPSI Fellow?

	Strongly disagree	Disagree	Agree	Strongly agree	Does not apply
The Fellow integrated with staff/members of my	disagree	Disagree	Agree	ugicc	аррту
research group					
The Fellow had sufficient knowledge and expertise to					
be a full participant in a research collaboration with					
me					
The amount of time for the fellowship experience					
was too short					
The timing of the fellowship (that is, over the					
summer) was inconvenient					
Language was a barrier to the Fellow's ability to					
interact with me and/or my group					
The Fellow was willing to take appropriate risks					
necessary for research					
The Fellow exercised appropriate caution in his/her					
approach to research					
The Fellow was hard-working and dedicated					

	now v answe	vould you rate your level of satisfaction with this EAPSI fellow? <i>Mark one</i>
,		Very satisfied
		Satisfied
		Somewhat satisfied.
		Unsatisfied.
	D!	5a. What did you find most satisfying or unsatisfying? [Textbox]
		of the following is true about you and the EAPSI Fellow [Name of EAPSI Fellow]? That apply
[	<b>-</b> 0	ur research interests were well-matched
[		ur work styles were well-matched
[	<b>-</b> 0	ur goals and expectations for the experience were similar
Mod	<mark>lule D</mark>	: Program Outcomes and Career Impacts
D1. \	Which	of the following describes the extent of your collaboration with the Fellow since the
fello	wship	experience? Select one response
[	⊒ lŀ	nave not been in touch with the Fellow →Go to D2
[	⊒ Iŀ	nave stayed in touch with the Fellow, but have not collaborated on any
		search project → Go to D2
Į		nave collaborated with the Fellow at some point in the past, but not at present $\rightarrow$ Go to
	D2	
ı		
		om currently collaborating with the Fellow → GOTO D1a  Solan to collaborate with the Fellow → Go to D2

		hat is the nature of your collaboration as of [the reference week]? all that apply. We
		Work on projects together Share data Publish papers together Visit each other's institutions to discuss research or to work on projects Invite each other to give talks Jointly apply for collaborative grants or other funding sources Co-mentor graduate students or postdoctoral fellows Other: [Textbox]
	l Yes	u currently collaborating with this EAPSI fellow's faculty advisor?  s→GO TO D2b  → GO TO D2a  not sure if my collaborating partner is the EAPSI fellow's advisor→GO TO E2a
D2a.	Are y I Yes I No	ou currently collaborating with other U.S. researchers?  ⇒ GO TO D2b  ⇒ GO TO D3  not sure → GO TO D2b
D2b.		is the nature of this collaboration as of [reference week]? Check all that apply   Work on projects together  Share data  Publish papers together  Visit each other's institutions to discuss research or to work on projects Invite each other to give talks  Jointly apply for collaborative grants or other funding sources  Co-mentor graduate students or postdoctoral fellows  Other: [Textbox]
	/ [Na	indicate the number of papers in press or published, which you co-authored with the me of EAPSI Fellow]: Enter 0 if you did not co-author papers (in press or published) ellow.
		During this Fellow's time at your institution (summer of [YEAR of EAPSI]) [Textbox] Within a year of this Fellow's time at your institution [Textbox] 2-3 years after summer of [YEAR of EAPSI][Textbox] 4-5 years after summer of [YEAR of EAPSI][ [Textbox] Total number of papers (in press or published) co-authored with this EAPSI Fellow as of [the reference week] [Textbox]

# **Module E: Program Satisfaction** E1. How would you rate your level of satisfaction with the EAPSI experience? Mark one answer ■ Very satisfied Satisfied ☐ Somewhat satisfied. Why? [Textbox] ☐ Unsatisfied. Why? [Textbox] E1a. Please elaborate [Textbox] E2. Which of the following benefits did you derive as a result of your participation in the EAPSI program? Check all that apply ☐ I established or renewed a collaboration with a US researcher ☐ I and/or researchers in my group published research papers based on the collaborative work with this EAPSI Fellow ☐ I and/or researchers in my group developed a database or a website based on the collaborative work ☐ I and/or researchers in my group obtained funding based on the collaborative work ☐ I and/or researchers in my group gave one or more presentations based on the collaborative work ☐ I and/or researchers in my group learned new techniques or approaches ☐ I and/or researchers in my group obtained access to resources not easily available at my institution or country ☐ I and/or researchers in my group became more familiar with the research enterprise in the United States Participation in the program changed the direction of some research projects in my ☐ Participating in the program helped advance my career Participating in the program enhanced my interest in collaborating with US researchers ☐ Participating in the program helped me recruit students ☐ I became friends with the Fellow ☐ Hosting an EAPSI Fellow (or several EAPSI Fellows) enhanced the recognition of my work by peers ☐ I improved my English language skills E3. What were the best aspects of your experience with the EAPSI program? [Textbox] E4. Can you provide any examples of how participating in the EAPSI program affected your institution? [Textbox] E5. What were the most challenging aspects of your experience with the EAPSI program? [Textbox]

E6. Were there any unexpected outcomes of your participation in the EAPSI experience?

[Textbox]

E7. Would you recommend or have you recommended to others that they host EAPSI fellows?
Mark one answer
☐ I have recommended the program, or I would recommend the program.  Why? [Textbox]
☐ I have not recommended the program, and I would not recommend the program. Why not? [Textbox]
☐ I am not sure
E8. Please feel free to share any additional thoughts or recommendations about EAPSI. [Textbox]

## **National Science Foundation**

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This survey is being conducted by Abt Associates Inc. and our subsidiary, AbtSRBI, for the National Science Foundation (NSF), to learn about the graduate experiences, career choices, and professional activities of individuals who participated in NSF's East Asia and Pacific Summer Institutes (EAPSI) program. You are receiving this survey because you are listed in the EAPSI database as the advisor of an EAPSI fellow.

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We estimate that it will take approximately 15 minutes to complete the survey. If you have questions about the study, please contact the study director, Alina Martinez of Abt Associates Inc. at (866) 421-6223 (toll free within the U.S.) or email her at EAPSI\_survey@abtassoc.com. You may also contact the evaluation's program officer at NSF, John Tsapogas (jtsapoga@nsf.gov). If you have questions about your rights as a research participant, you may contact Teresa Doksum, the Abt Institutional Review Board Administrator at (877) 520-6835 (toll free within the U.S.) or by email: irb@abtassoc.com.

To learn more about this study, please refer to the Frequently Asked Questions page.

#### Consent

Please continue with the survey if you agree to participate in this study.

This study's IRB approval number is #0494, valid from 8/6/2010 to 8/5/2011. For questions, please contact Teresa Doksum, IRB Administrator, Abt Associates, at IRB@abtassoc.com.

The valid OMB control no. for this information collection is xx-xxxxx. (Expires on mm/dd/yyyy)

Continue

[Note for reviewers: This survey will be programmed for on-line administration. The formatting of items may appear differently on-line.]

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There are places throughout the survey where Institution, year, name etc. will be filled into the question or instruction text using a sample file.

# FREQUENTLY ASKED QUESTIONS

- What is the East Asia and Pacific Summer Institutes (EAPSI) Program?
- Why are you doing this study?
- Why have I been selected to participate in this survey?
- How did you get my contact information?
- How long will this survey take to complete?
- How will you use my comments?
- Does this study have human subjects review clearance?
- Who is funding the study?
- Who are Abt Associates Inc and AbtSRBI?

#### What is the East Asia and Pacific Summer Institute (EAPSI) Program?

The East Asia and Pacific Summer Institute (EAPSI) Program is a National Science Foundation (NSF) program that provides funding for U.S. graduate students to spend eight to ten weeks collaborating with a host researcher in one of seven locations in the East Asia and Pacific region: Australia, China, Japan, Korea, New Zealand, Singapore, and Taiwan.

## Why are you doing this study?

NSF is interested in learning about the characteristics of current and former graduate students who participated in the EAPSI program between 1999 and 2009. NSF is particularly interested in how the graduate advisors of EAPSI Fellows' view the program and its benefits (or costs) to graduate students' subsequent educational and career paths. This information will help NSF understand the usefulness and relevance of international research training for scientists and engineers.

#### Why have I been selected to participate in this survey?

You have been selected to participate because we have identified you as having served as graduate advisor to a former EAPSI fellow.

# How did you get my contact information?

We identified you from records maintained by the National Science Foundation on prior EAPSI Fellows' applications. We then obtained your contact information through an internet search.

# How long will this survey take to complete?

We estimate that the survey will take about 15 minutes.

#### How will you use my comments?

Responses from all survey respondents will be used to answer questions about the graduate training, international experiences, and career paths of former EAPSI Fellows.

## What happens if I don't answer this survey?

Participation in the survey is voluntary and nonparticipation will have no impact on you or your institution. Study participation will not affect your current NSF funding or future applications to NSF for funding/grants.

# Has this study been reviewed and approved by an institutional review board?

Yes, the study was approved by Abt Associates' Institutional Review Board. If you have any concerns about your participation in this survey, please contact Teresa Doksum, Institutional Review Board Administrator at Abt Associates, at (877) 520-6835 or via email at <a href="mailto:irb@abtassoc.com">irb@abtassoc.com</a>.

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# **EAPSI US Advisor Survey**

# **Module A: Basic Information**

Before we begin the survey, please confirm the following information

Middle Name/Initial, Last Name]. Is this information correct? <i>Mark one answer</i> Yes, the name is correct.
<ul> <li>No, my name has changed or is misspelled above. My name is: [Textbox]</li> <li>No, I am not the person named above. I believe you have reached me by mistake.</li> </ul>
A1b. Based on the information provided in the EAPSI application, you were the faculty advisor of EAPSI Fellow, [Fellow name]. Is this information correct? <i>Mark one answer</i> Yes, the Fellow's name is correct.
<ul> <li>□ No, the Fellow's name is incorrect. The correct name is: [Textbox]</li> <li>□ I have never had a student in the EAPSI program. EXIT SURVEY.</li> </ul>
A1c. Based on the NSF EAPSI program records, your student, [Fellow name], participated in the EAPSI program in the summer of [Year of EAPSI experience]. Is this information correct? <i>Mark one answer</i>
<ul> <li>☐ Yes, the year is correct.</li> <li>☐ No, the year is incorrect. The correct year is: [Textbox]</li> <li>☐ I don't know or I do not recall</li> </ul>
A1d. Based on the NSF EAPSI program records, your student, [Fellow name], participated in the EAPSI program in [name of EAPSI country]. Is this information correct? <i>Mark one answer</i> Yes, the country is correct.  No, the country is incorrect. The correct country is: [Textbox]
☐ I don't know or I don't recall
A1e. Based on the NSF EAPSI program records, your student, [Fellow name], participated in the EAPSI program at [name of institution]. Is this information correct? <i>Mark one answer</i> — Yes, the institution is correct.
<ul><li>No, the institution is incorrect. The correct institution is: [Textbox]</li><li>I don't know or I don't recall</li></ul>
A1f. Based on the information provided in the EAPSI application, your title at the time of [Fellow name]'s EAPSI experience was [Title]. Is this information correct? <i>Mark one answer</i> No, the title is incorrect. My correct title at the time was: [Textbox]  Yes, the title is correct.
A1g. What is your current title?  ☐ Unchanged
☐ My current title is: [Textbox]

A2. Which of the following is true? <i>Check all that apply</i> I was a student, a postdoc, a faculty member, or a visiting scientist in the research group or institution which hosted the Fellow						
I was a student, a postdoc, a faculty member, or a visiting scientist in the country whosted the Fellow	ıich					
<ul> <li>I was a student, a postdoc, a faculty member, or a visiting scientist in a different fore country</li> </ul>	ign					
<ul> <li>□ I had a collaboration with the faculty member (or researcher) who hosted my studer</li> <li>□ I had a collaboration with another faculty member (or researcher) at the host institution</li> <li>□ I had another connection to the institution or the country which hosted the Fellow.</li> <li>Please specify: [Textbox]</li> </ul>						
A3. Which of the following characterizes your department? <i>Check all that apply.</i>						
<ul><li>My department encourages international collaborations</li><li>Faculty are rewarded for developing international research partnerships</li></ul>						
☐ My department provides financial support to faculty pursuing international						
collaborations  My department promotes (i.e., advertises) fellowships for graduate students or						
postdocs to conduct research in a foreign country						
My department hosts foreign post-docs and faculty visiting my institution for research related purposes	ch-					
Module B: Role of Department/Institution in the application to the EAPSI Program We now would like to ask you about your experiences with the program. Please respond to the best of your recollection.						
B1. Before your student's application for EAPSI, were you familiar with the EAPSI program?  — Yes						
□ No						
☐ Cannot recall						
B2. At the time of application, did your institution do any of the following to publicize the EA program to graduate students? <i>Check one response for each row</i>	NPSI					
YES NO DO NOT REC	ALL					
Providing application information about EAPSI						
in departmental newsletters, emails, listservs						
Posting EAPSI information and application deadlines on departmental bulletin boards						
Maintaining current EAPSI program information						
at the student career center						
Holding meetings about EAPSI as an						
Holding meetings about LAF51 as all						
international research opportunity						

B3. At the time of application, what types of support has your department and/or institution provided to graduate students for the EAPSI application process? *Check one response for each row* 

	YES	NO	DO NOT RECALL
Locating an appropriate host institution			
Contacting the host institution			
Application editing or submission			
Other. Please specify: [Textbox]			
I do not know			

Mo	dule C:	Advisor Support for EAPSI Applicant
C1.	Did yo	u encourage the Fellow to apply to the EAPSI program?
		Yes [Please skip to question C2]
		No [Please skip to question C4]
		vere the reasons you encouraged the Fellow to apply to the EAPSI program? Check all
thai	t apply	
		My own positive experiences collaborating internationally
		The importance of international perspective
		To help the Fellow's future academic or professional career
		To create more US – international collaborations among researchers
		Other. Please specify: [Textbox]

C3. In your view, did the Fellow achieve the following goals? Check one response for each row

	Not at all	Somewhat	Completely	Exceeded my expectations	I cannot recall
To establish or maintain a				схрессилонз	recuii
collaboration with an					
international researcher					
To advance his/her research					
agenda					
To be exposed to another					
country's research					
enterprise					
To learn a technique or an					
approach from the host					
scientist					
To bring back samples or					
other resources					
To become familiar with the					
research enterprise in					
another country					
To become familiar with the					
culture and traditions of					
another country					
Other: [Textbox]					

0	I suggested a host institution to the applicant I recommended the applicant to the host or to someone else at the host's institution I worked with the applicant to develop a research project I provided feedback on the applicant's proposal I provided feedback on the applicant's biographical sketch I discussed cultural and language aspects of the host country with the applicant
	Other. Please specify: [Textbox] None of the above
Module D	: Participation in the program
···oudic D	The state of the s
	the Fellow was at the host institution, did you provide any of the following ongoing Check all that apply
	I provided academic support (scientific advice, academic planning) to the Fellow I worked with the applicant to develop a publication based on research conducted at the host institution
	I facilitated the applicant's research through my contacts with the host institution I visited the Fellow at the host institution
	I provided cultural or language support to the Fellow Other: [Textbox]
Module E:	Benefits to the Fellow's career and to the Advisor
•	the Fellow's return, which of the following was true? Check all the apply
u	I assisted the Fellow in preparing a presentation and/or publication on research conducted at the host institution
	I mentored the Fellow on how to integrate the research conducted at the host institution into their research conducted in the U.S.
	I mentored the Fellow to continue work on the EAPSI project
	I provided or helped the Fellow obtain financial support to continue work on the EAPSI project
	I provided guidance on how to maintain the Fellow's professional contacts developed at the host institution
	I helped the Fellow to pursue further international research opportunities  Other: [Textbox]

EZ. W	nich (	of the following benefits did you and your group derive as a result of the EAPSI
fellow	ship?	Check all that apply.
		e Fellow introduced new knowledge, approaches, and/or technical skills to my group
		e Fellow brought back samples or other materials unavailable or not easily accessible side of the host institution or country
		e Fellow's experience broadened our understanding of research enterprise in the
		eign country
	The	e Fellow helped establish or maintain an important collaboration
	The	e Fellow made my group and research better known abroad
		e Fellow's experience changed the direction of our research or added a new nension to our research
	We	published papers which resulted from the Fellow's participation in EAPSI
	Oth	ner. Please specify. [Textbox]
group	mem	bers that resulted from the EAPSI fellowship. [Textbox]
		here any of the following negative effects on the Fellow as a result of his/her EAPSI articipation? Check all that apply
		The Fellow's degree completion was delayed
		The Fellow became distracted from his/her research project
		There was tension between the Fellow and the others members of my group due to
		his/her participation in the EAPSI program
		Participation in the program created or deepened competition between my group
		and the host group
		Methods or ideas that the Fellow brought back to my group were inadequate or
		erroneous and derailed research progress
		Other. Please specify. [Textbox]

# **Module F: Benefit of EAPSI to the US department**

	any of the following taken place at your department as a result of the fellows' ion in EAPSI? Check all that apply				
	Students in my department became more interested in collaboration with				
	international researchers				
	Faculty in my department became more interested in collaboration with				
	international researchers				
☐ Students in my department have begun collaborating with international resear					
☐ Faculty in my department have begun collaborating with international researche					
Administration in my department became more supportive of collaboration with					
	international researchers				
Additional policies, procedures, or structures have been put in place at r					
	department to facilitate international collaboration				
<ul> <li>Collaborations with international researchers have raised the prestige of n</li> </ul>					
	department				
	Collaborations with international researchers have helped attract students and				
	other researchers to my department				
	Collaborations with international researchers have helped bring funds to my				
	department				
☐ N	one of the above				
F2. Feel fr	ee to elaborate on any of the above and/or to provide additional examples of how the				
fellows' p	articipation in the EAPSI program affected your department. [Textbox]				
F3. Do you think there have been any advantages for your department to have students from					
•	tment participate in the EAPSI program?				
	Yes. Please specify. [Textbox]				
	No. Please specify. [Textbox]				

# Module G: US Advisor and Institution's Current Relationship with Foreign Institutions

G1. Do you currently collaborate with international researchers?  ☐ Yes → GOTO G1a. ☐ No→ GOTO G2								
G1a. Who are these collabor  EAPSI fellow's host  Other researcher(s) in the Researcher(s) in other for	ne host country.							
G1b. What is the nature of your	international colla	borations? Check all that	арріу					
	With the	With another	With a researcher in					
	Fellow's host	researcher in the host country	another foreign country					
Co-author papers								
Co-author patent/license applications								
Co-sponsor professional conferences								
Share data or information								
Collaborate on a research								
project								
Talk about research projects								
Other. Please specify:								
[Textbox]								
G2. Which of the following are true?  I visited the host at the host's foreign facility The EAPSI host visited me in the US A member of the EAPSI host's group visited me in the US or joined my group A foreign researcher connected to the EAPSI host, but not in the EAPSI host's group visited me in the US or joined my group A foreign researcher connected to the EAPSI host visited or joined another group in my department Because of the EAPSI program, I expanded my network of international collaborations in some other way. Please describe [textbox].								
G3. Please estimate the number your research group (including y 0 1-5 6-10 >10	ourself) and resear	chers in other countries:						
G3a. What is the size of your research group including yourself? [Textbox]								

<ul><li>G4. Have other members of your group participated in international research programs?</li><li>☐ Yes</li><li>☐ No</li></ul>
Module H: Program Satisfaction
H1. Was the duration of the program appropriate?  Yes  No. Please elaborate [textbox]
<ul><li>H2. Was the timing of the program appropriate (during the summer)?</li><li>☐ Yes</li><li>☐ No. Please elaborate [textbox]</li></ul>
H3. Would you recommend or have you recommended the EAPSI program to other students?  Mark one answer  I have/would recommend the program. Why? [Textbox]  I have not/would not recommend the program. Why not? [Textbox]  I am not sure
H4. Has the EAPSI experience made you more or less likely to get involved in international collaboration? <i>Mark one answer</i> ☐ I am more likely to get involved in an international research collaboration ☐ I am less likely to get involved in an international research collaboration ☐ The EAPSI experience has not affected my likelihood to get involved in an international research collaboration
H5. Please feel free to share any thoughts or recommendations about EAPSI. [Textbox]

# **Interview Guide for EAPSI Program Manager in Foreign Location**

Thank you for participating in this study. As the representative of [FILL IN NAME OF FOREIGN PARTNER ORGANIZATION], we are interested in your perspectives on the EAPSI fellowship program, and any feedback you might provide to help improve the program.

- How long have you been working for [NAME OF PARTNER ORGANIZATION]?
- How long you have been working with the EAPSI program?
- What are your responsibilities for the EAPSI program?

## General questions about the EAPSI program

- How are responsibilities for running the program divided between your office and the host universities/institutions?
- What supports do you provide to the fellows and hosts in terms of resources, equipment, language training?
- How are host institutions and faculty members approved to participate in the EAPSI program?

# NSF's role in the EAPSI program

- What kinds of communication do you have with NSF and how often? Is the amount of communication with NSF enough for you to implement the EAPSI program? Does NSF share enough information with you and in a timely manner?
- How satisfied are you with the support you have from NSF?
- In what ways could NSF facilitate your country's or your organization's participation in the EAPSI program?

# EAPSI program goals and expectations

- What are your expectations (goals) of the EAPSI program for your country?
- Since you have been involved in the EAPSI program, to what extent has it met these expectations?
- One of the goals of the EAPSI program is to increase collaborations between US scientists and foreign scientists? How well do you think the program is accomplishing this goal?
- How does participating in the EAPSI program benefit your country? Are there benefits for the hosts? For the Fellows?

Challenges that might have arise with the EAPSI program

- Are there any specific examples of challenging aspects of participation in the EAPSI program that stand out in your mind? *Are there any difficulties or concerns that arise during the process of matching EAPSI fellows and hosts, during fellowships.*
- Are there any difficulties or concerns that host scientists express about participating in the program? Can you think of ways these might be addressed?
- Are there any difficulties or concerns that Fellows express about participating in the program? Can you think of ways these might be addressed?

# Evaluation or Survey of EAPSI Program

Have you conducted an evaluation or survey of your role in the EAPSI program? Did you use any results from these efforts to make improvements to the program? Can you share with us any of your results (publications, reports, etc.)?

# Closing

- What suggestions would you have for improving the EAPSI program?
- Are there aspects of the program that you would keep the same?
- Is there anything else you think it would be helpful for us to know about for our evaluation of the program?

# Interview Guide for EAPSI Agency Official in Foreign Location

Thank you for participating in this study. As the representative of [FILL IN NAME OF FOREIGN PARTNER ORGANIZATION], we are interested in your perspectives on the EAPSI fellowship program, and any feedback you might provide to help improve the program.

- How long have you been working for [PARTNER ORGANIZATION]?
- Do you work directly with the EAPSI program? If so, in what role?

NSF's role in the EAPSI program.

- For the NSF, one of the goals of the EAPSI program is to increase US researchers' interest in forming and sustaining international collaborations, such as collaborations with scientists in [COUNTRY]. In your experience, how well do you think the program accomplishes this goal?
- In what ways could NSF facilitate your country's or your organization's participation in the EAPSI program?
- Are there any changes you would like to see the U.S. National Science Foundation the EAPSI program sponsor in the U.S. make to the program?

Country's participation in the EAPSI program.

- Does [NAME OF COUNTRY] participate in programs for visiting graduate students from countries besides the United States? Which country/ies? How is this program similar to and different from EAPSI?
- [COUNTRY] has been involved in the EAPSI program since [YEAR]: Why did your country initially decide to become involved in the EAPSI program? [If more than 2 years ask:] Are the reasons for continuing to participate in EAPSI different from the initial motivation to participate?
- What are the goals of your participation in EAPSI? Are these goals being met? What would you like to see change so that these goals could be better met?
- How does the EAPSI program fit into the mission of your agency? Into the scientific research agenda in your country?
- From your perspective, what is the *value-added* (e.g., in terms of resources, expansion of expertise, reputation) of the EAPSI program for the following participants:
  - o your country;
  - o your organization;
  - o the host institutions;
  - o the host scientists?
- Are there challenges or unexpected outcomess to participating in the EAPSI program for the following participants:
  - o your country;

- o your organization;
- o the host institutions;
- o the host scientists;

Evaluation or Survey of EAPSI Program

Have you conducted an evaluation or survey of your role in the EAPSI program? Did you use any results from these efforts to make improvements to the program? Can you share with us any of your results (publications, reports, etc.)?

# Closing

• Is there anything else you think it would be helpful for us to know about for our evaluation of the program?

Thank you for taking time out of your day to discuss your experiences with the EAPSI program with me. If you have any questions or concerns that occur to you later on, please feel free to contact me by email at [give email address]. If you would prefer to talk to someone at NSF, you may contact [NSF OFFICIAL] at [NSF OFFICIAL CONTACT INFORMATION].