OMB Number: 3320-0004 Approval Expiry Date:

Mediation <u>Participant</u> Evaluation

(Agreement Seeking)

The U.S. Institute for Environmental Conflict Resolution requests your assistance in evaluating this process. As a part of this evaluation we ask the various participants who have been involved in this project to provide us with information about their experience. The data compiled will be used to improve future mediation and facilitation processes. The average estimated reporting burden for this questionnaire is 18 minutes. This estimate includes time for reviewing the instructions, gathering the data needed, completing, and reviewing the questionnaire. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Institute. The Institute will not report information from this evaluation in a way that respondents or their organizations can be identified. Moreover, the identity of individual respondents will be kept confidential and will not be disclosed. The Office of Management and Budget (OMB) number that is displayed on the cover is currently valid and authorizes this collection of information.

1. Please indicate the extent to which agreement was reached. TO ANSWER THIS QUESTION, THINK ABOUT WHAT IT WAS THAT THE GROUP WAS CHARGED TO COME UP WITH AT THE END OF THIS COLLABORATIVE PROCESS.

The term "AGREEMENT" applies to the written or unwritten agreement reached by participants in the process, including plans, proposals/recommendations, procedures, collaborative decisions to work together and settlements.

Check only one

Agreement reached on <u>all</u> key issues	Use the space below if you would like to elaborate on your response:
Agreement on most key issues	
Agreement on some key issues	
No agreement on any key issues, but progress was made towards addressing the issues or resolving the conflict.	
No agreement, we ended the process without making much progress.	

Rating S	Scale
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Usin in #1	_	ale abo	ve, rate 1	the follov	ving stateme	nt rega	rding th	e agreem	ent (as r	eferre
Rati	PLE.				PPLICABLE (I. HE FOLLOWIN		GREEME	NT WAS R	EACHED)	
	_ a.		xtent to w key intere	•	feel that the	agreem	ent reach	ed takes	account o	f
	b.		xtent to w em/resolv		agreement rea flict.	ached w	ill effec	tively sol	ve the	
		The ex	xtent to w	hich vou	are confiden	the ag	reement	can be im	nplemente	d.

ly approach to working to address this issue(s)?

Check only one

a. Unassisted negotiation
b. Judicial settlement conference
c. Litigation
d. Lobbying or working to achieve legislative action
e. Rulemaking
f. Arbitration
g. Administrative proceeding (e.g., agency appeals process, contested process hearing, agency order)
h. Wait for a better time to take action.
i. Don't know
j. Other (PLEASE SPECIFY)

Check <u>only</u> on		the collaborative process compared to the alternative.
	7	The collaborative process was <i>likely</i> less expensive
	7	The collaborative process was <i>likely</i> more expensive
		The costs of the collaborative process were <i>likely</i> about the same as the costs of the alternative
Were the invested:	?	nefits from the process worth the time and money you/your organization
	<u>niy</u> 0	Yes
		No
		Don't Know
TT 41-		
complete	ed wo	le from the previous page, how do you think the collaborative process you buld compare with the alternative that you selected in the question 3? eck here if "Not Applicable" (i.e., I selected "Don't Know" for question 3)
_	ed wo	ould compare with the alternative that you selected in the question 3?
complete	ed wo	ould compare with the alternative that you selected in the question 3? eck here if "Not Applicable" (i.e., I selected "Don't Know" for question 3)
complete	ed wo	buld compare with the alternative that you selected in the question 3? eck here if "Not Applicable" (i.e., I selected "Don't Know" for question 3) The results of the collaborative process <i>better</i> served my interests.
complete	a.	could compare with the alternative that you selected in the question 3? eck here if "Not Applicable" (i.e., I selected "Don't Know" for question 3) The results of the collaborative process <i>better</i> served my interests. The results of the collaborative process are <i>less likely</i> to be challenged. The participants are <i>more likely</i> to be able to work together in the future on

Rating Scale

0	1	2	3	4	5	6	7	8	9	10
Do not agree			Moderately						Con	pletely
at all					agree					agree

7. Using the scale at the top of the page, please rate the extent to which the following conditions were in place (1) when the process began and (2) as a result of the process.

		Before the process began	As a result of the process
		Rating	Rating
a.	The participants were able to work together cooperatively. \square <i>Check if don't know</i>		
b.	The participants trusted each other. Check if don't know		
c.	The other parties had legitimate interests that needed to be addressed.		

8. Please identify the key factors important to your decision whether to participate in this facilitated/mediated process.

Check the Most Important	Check the Second Most Important	
		a. Ability to have confidential discussions
		b. Fairness of process
		c. Necessary parties would participate
		d. Sufficient trust of other parties
		e. Prospects for reaching agreement
		f. Initial conversations (sometimes called situation or conflict assessments) with a process facilitator/mediator
		g. A change in factors outside my control (e.g., court order, agency decision) Please specify:
		h. Other, please specify:

Rating Scale

0	1	2	3	4	5	6	7	8	9	10
Do not agree]	Moderately	7			Con	npletely
at all		·						agree		

9. Using the above scale, please rate your level of agreement with the following:

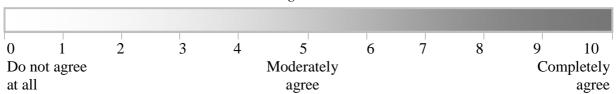
Rating	
	a. I had the resources (e.g., time, money) needed to participate effectively in the process.
	b. The participants, as a group, felt they were appropriately engaged in designing the process. Check if "Don't Know" or "Not Applicable"
	c. I was involved as needed in selecting the mediator(s)/facilitator(s).Check if "Not Applicable"
	d. As a result of early conversations with the mediator/facilitator we were able to make an informed decision on whether a collaborative process could help us meet our goals. Check if Not Applicable
	e. The participants, as a group, represented all affected concerns.
	f. The participants had sufficient authority to make commitments on behalf of their organizations.
	g. The participants continued to be engaged as long as their involvement was needed.
	h. The process helped you gain a better understanding of the other participants' views and perspectives.
	i. The process helped you identify and focus on the key issues that had to be addressed.
	j. The participants, as a group, sought options or solutions that met the common needs of all participants.
	k. The process enabled participants to be civil to each other.

10. Using the scale above, please rate your level of agreement with the following:

Rating

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	a.	I had sufficient access to relevant information I needed in order to participate effectively in this collaborative process.
	b.	Information technology tools (e.g., a project web site was used to share information, spatial analysis and decision support tools were used) were effectively used. Check if Not Applicable
	c.	I gained useful information as a result of participation in the process.
	d.	The quality of the information used was sufficient to support the group's discussions and decision making.

Rating Scale



11. Using the scale above, please rate the following for each of the mediators/facilitators involved in this process:

Please identify each mediator/facilitator by placing their initials in the space provided, and then rate each statement for each mediator/facilitator. Please use the margins to rate additional mediators/facilitators if needed.

	Initials of Mediator(s) /										
1	2	3	Mediator/Facilitator Skills and Practices								
	Ratings										
			a. The mediator/facilitator kept us on track and helped us find ways to move forward constructively.								
			b. The mediator/facilitator helped us manage technical discussions efficiently. Check if Not Applicable								
			c. The mediator/facilitator dealt with all participants in a fair & unbiased manner, and made sure that no one dominated the process.								
			d. I trusted the mediator/facilitator.								
			e. I was comfortable with the level of confidentiality in my discussions with the mediator/facilitator. Check if Not Applicable								
			f. The mediator/facilitator made sure that my views and perspectives were considered in the process.								
			g. The mediator/facilitator helped the participants test the practicality of the options under discussion.								
			h. The mediator/facilitator was helpful in documenting our work. Check if Not Applicable (e.g. no agreement)								
			i. On reflection, this was the right mediator/facilitator to guide the process.								

12. Using t Rating	the scale from the p	prior page, please rate your agreement with the following statement.
		ication with the mediator/facilitator that did not include all parties, and olve an issue important for advancing the process. Check if Not Applicable
If yes,	, please elaborate or	how the communication contributed to advancing the process:
13. Using t statements	_	prior page, please rate your agreement with the following
Kanng	. T14	
	a. I would recom	mend this type of process to colleagues in a similar situation.
	b. We could not l	have progressed as far using any other approach of which I am aware.
	c. The group cou	ld not have progressed as far without the help of a mediator/facilitator.
14. Overal	l, what did this col	laborative process accomplish?
Check th Most Importar	Second Most	
		a. A potentially costly or divisive dispute was likely avoided.
		b. An impasse (stalemate) was broken.
		c. A crisis was likely averted.
		d. Conflict didn't escalate.
		e. Costly or protracted litigation was likely avoided.
		f. Relationships among parties in this process were improved.
		g. The process resulted in timely decisions and outcomes.
		h. Nothing was accomplished.
		i The process made the issues or dispute worse

15.	From your perspective, what will be the effects (e.g., impacts or benefits) of the j	progress
	made? PLEASE RANK THE MOST IMPORTANT EFFECTS (E.G., 1 ST MOST IMPORTANT, 2 ND M	MOST
	IMPORTANT). PLEASE ALSO BRIEFLY DESCRIBE THE EFFECTS.	

Rank all that apply	Briefly describe the effects of the progress made:		
	Natural resources and environmental conditions		
	Historic and cultural resources		
	Community and social conditions		
	Economic conditions		
	Recreational uses		
	Other		

16.

	Federal Government
] b.	State Government
] c.	Local/County/Regional Government
] d.	Tribal Government
] e.	Environmental/Conservation
f.	Recreational
] g.	Industrial/Resource Extraction
] h.	Business/Commercial
j i.	Community or Private Citizen (e.g., neighborhood association, local resident)
j.	Special Advocacy Interests (Please specify):
] k.	Other (Please specify):