

Mediation *Practitioner* Questionnaire (Agreement Seeking)

The U.S. Institute for Environmental Conflict Resolution evaluates all of its projects and cases. You have served as a mediator or facilitator in one of these projects/cases, and the Institute requests your assistance with this evaluation. Your responses will be part of the Institute's ongoing evaluation effort, and the data compiled will provide much-needed information that will be used to improve our programs and services. The average estimated reporting burden for this questionnaire is 20 minutes. This estimate includes time for reviewing the instructions, gathering the data needed, completing, and reviewing the questionnaire. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Institute. The Office of Management and Budget (OMB) number that is displayed on the cover is currently valid and authorizes this collection of information.

1. What was the application of the collaborative process in this case or project?

OUT OF THE FOLLOWING LIST, PLEASE CHECK THE MOST APPROPRIATE BOX.

Check <u>only</u> one	
<input type="checkbox"/>	a. Policy development
<input type="checkbox"/>	b. Planning
<input type="checkbox"/>	c. Siting and construction
<input type="checkbox"/>	d. Rulemaking
<input type="checkbox"/>	e. License and permit issuance
<input type="checkbox"/>	f. Compliance and enforcement action
<input type="checkbox"/>	g. Implementation/monitoring agreements
<input type="checkbox"/>	h. Other (please specify): _____

2. Please identify the geographic location(s) for this case: (Check all that apply)

<input type="checkbox"/> AL	<input type="checkbox"/> DE	<input type="checkbox"/> IN	<input type="checkbox"/> MA	<input type="checkbox"/> NE	<input type="checkbox"/> NC	<input type="checkbox"/> RI	<input type="checkbox"/> VT
<input type="checkbox"/> AK	<input type="checkbox"/> DC	<input type="checkbox"/> IA	<input type="checkbox"/> MI	<input type="checkbox"/> NV	<input type="checkbox"/> ND	<input type="checkbox"/> SC	<input type="checkbox"/> VA
<input type="checkbox"/> AZ	<input type="checkbox"/> FL	<input type="checkbox"/> KS	<input type="checkbox"/> MN	<input type="checkbox"/> NH	<input type="checkbox"/> OH	<input type="checkbox"/> SD	<input type="checkbox"/> WA
<input type="checkbox"/> AR	<input type="checkbox"/> GA	<input type="checkbox"/> KY	<input type="checkbox"/> MS	<input type="checkbox"/> NJ	<input type="checkbox"/> OK	<input type="checkbox"/> TN	<input type="checkbox"/> WV
<input type="checkbox"/> CA	<input type="checkbox"/> HI	<input type="checkbox"/> LA	<input type="checkbox"/> MO	<input type="checkbox"/> NM	<input type="checkbox"/> OR	<input type="checkbox"/> TX	<input type="checkbox"/> WI
<input type="checkbox"/> CO	<input type="checkbox"/> ID	<input type="checkbox"/> ME	<input type="checkbox"/> MT	<input type="checkbox"/> NY	<input type="checkbox"/> PA	<input type="checkbox"/> UT	<input type="checkbox"/> WY
<input type="checkbox"/> CT	<input type="checkbox"/> IL	<input type="checkbox"/> MD	<input type="checkbox"/> Regional	<input type="checkbox"/> National		<input type="checkbox"/> International	

3. Please identify the central issues to this collaborative process: (Check all that apply)

<input type="checkbox"/> Agriculture
<input type="checkbox"/> Air Quality
<input type="checkbox"/> Archeology or Historic Preservation
<input type="checkbox"/> Coastal Zone or Marine Management
<input type="checkbox"/> Ecosystem Management
<input type="checkbox"/> Endangered Species and/or Critical Habitat
<input type="checkbox"/> Energy
<input type="checkbox"/> Forest and Timber Management
<input type="checkbox"/> Land Use and Urban Development
<input type="checkbox"/> Mining
<input type="checkbox"/> Native American, Alaska Native, Native Hawaiian Issues
<input type="checkbox"/> Parks and Refuges
<input type="checkbox"/> Recreational Use and Access
<input type="checkbox"/> Solid or Hazardous Waste
<input type="checkbox"/> Transportation
<input type="checkbox"/> Watershed/River Basin Management
<input type="checkbox"/> Water Quality
<input type="checkbox"/> Water Supply
<input type="checkbox"/> Wildlife Management

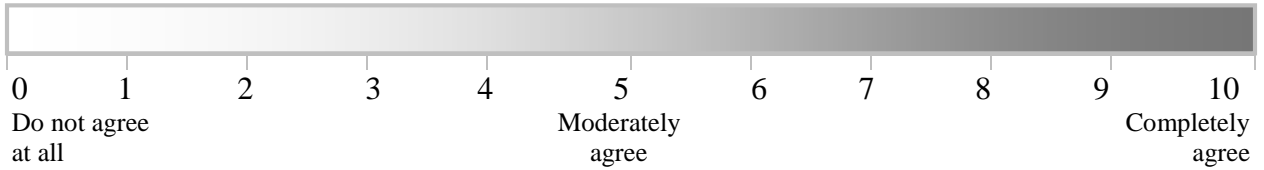
9. Please characterize the participants by doing the following:

Please indicate THE NUMBER of participants that were included in the process within each of the given categories (e.g., if 2 separate participants represented the state, place a '2' in the box for 'State Government').

	Number of participants included in the process
a. Federal Government	_____
b. State Government	_____
c. Local/County/Regional Government	_____
d. Tribal Government	_____
e. Environmental/Conservation	_____
f. Recreational	_____
g. Industrial/Resource Extraction	_____
h. Business/Commercial	_____
i. Community or Private Citizen (e.g., neighborhood association, local resident)	_____
j. Special Advocacy Interests (Please specify): _____	_____
k. Other (Please specify): _____	_____

10. What was the greatest challenge that YOU faced as the mediator/facilitator to initiating an effective collaborative process?

Rating Scale

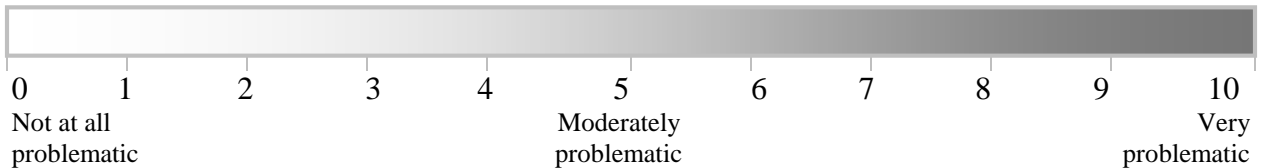


11. Using the above scale, please rate your agreement with the following statements:

IF THE QUESTION DOES NOT PERTAIN TO THE CASE/PROJECT, PLEASE CHECK N/A (“NOT APPLICABLE”).

Check if N/A	Rating	
	_____	a. On reflection were you the right mediator/facilitator to guide this process.
<input type="checkbox"/>	_____	b. If needed, resources were available to obtain the relevant expertise/information for this case or project
<input type="checkbox"/>	_____	c. Outside (i.e., non-participant) experts were used to effectively educate participants in the collaborative process on the relevant issues
<input type="checkbox"/>	_____	d. In general, the relevant information was understood by the participants
<input type="checkbox"/>	_____	e. Participants worked to ensure agreement on the meaning of the relevant information

Rating Scale



12. Using the scale above, please rate the following:

Extent to which the following was <u>problematic</u>	
_____	a. Some participants lacked the skills required for participating effectively in the collaborative process
_____	b. Some participants lacked the time required for participating effectively in the collaborative process
_____	c. Some participants lacked the financial resources required for participating effectively in the collaborative process
_____	d. Some participants did not have access to the information required for participating effectively in the collaborative process
_____	e. Some organizations or interests that should have been included were absent from the collaborative process

13. Did the process conclude in an “agreement”? The term “AGREEMENT” applies to the written or unwritten agreement reached by participants in the process, including plans, proposals/recommendations, procedures, collaborative decisions to work together and settlements.

CHECK THE MOST APPROPRIATE BOX ONLY AND PLEASE USE THE SPACE PROVIDED TO EXPAND ON YOUR ANSWER. TO ANSWER THIS QUESTION, THINK ABOUT WHAT IT WAS THAT THE GROUP WAS CHARGED TO COME UP WITH AT THE END OF THIS COLLABORATIVE PROCESS.

CHECK ONLY ONE		
<input type="checkbox"/>	Agreement reached on all key issues	<i>Use the space below if you would like to elaborate on your response:</i>
<input type="checkbox"/>	Agreement on most key issues	
<input type="checkbox"/>	Agreement on some key issues	
<input type="checkbox"/>	No agreement on any key issues, but progress was made towards addressing the issues or resolving the conflict.	
<input type="checkbox"/>	No agreement, we ended the process without making much progress.	

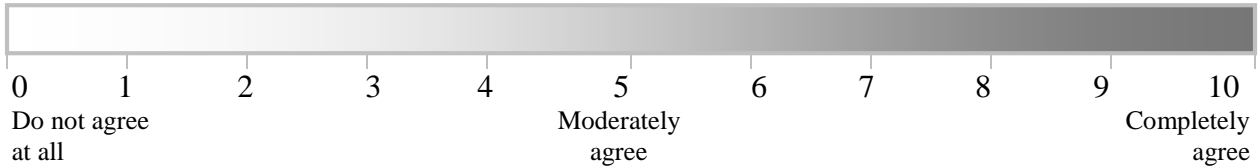
14. What form did the “agreement” take?

<input type="checkbox"/>	a. Written
<input type="checkbox"/>	b. Oral
<input type="checkbox"/>	c. Combination
<input type="checkbox"/>	d. Did not reach agreement

15. Please tell us how we can obtain a copy of the agreement.

<input type="checkbox"/>	a. I will email a copy of the agreement using the email address provided on the cover letter attached to this survey.
<input type="checkbox"/>	b. I will attach a copy of the agreement with this evaluation questionnaire.
<input type="checkbox"/>	c. I would recommend that you contact _____ to obtain a copy of the final agreement. <i>Please provide contact information, if available to you: _____</i>
<input type="checkbox"/>	d. Not applicable/available (e.g., not releasable, no written agreement)

Rating Scale



16. Using the above scale, please indicate your level of agreement with the following statements about the *completeness of the agreement*: CHECK "N/A" IF AN ITEM IS NOT APPLICABLE TO THE CASE.

Check if N/A	Rating	
<input type="checkbox"/>	—	a. Key interests were addressed in the agreement.
<input type="checkbox"/>	—	b. The agreement addresses the resources needed for its implementation.
<input type="checkbox"/>	—	c. Legal requirements have been addressed. <input type="checkbox"/> Check if "Don't Know"
<input type="checkbox"/>	—	d. The agreement took full advantage of relevant information (scientific, economic, cultural, legal, etc.).
<input type="checkbox"/>	—	e. The agreement identifies responsibilities and roles for implementation.
<input type="checkbox"/>	—	f. The agreement contains provisions for monitoring implementation and standards for measuring accomplishments.
<input type="checkbox"/>	—	g. Agreement includes conditions under which the participants will reconvene.
<input type="checkbox"/>	—	h. The agreement provides workable means for adapting to important unanticipated circumstances or changes in conditions.

17. What was the greatest challenge that YOU faced as the mediator/facilitator to reaching agreement? PLEASE ALSO INDICATE THE EXTENT TO WHICH YOU THINK THESE CHALLENGES HINDERED REACHING AGREEMENT BY CHECKING THE APPROPRIATE BOX.

18. As you reflect on the case or project, are there lessons that should be recorded? WE ARE PARTICULARLY INTERESTED IN KNOWING IF THERE WERE PARTICULAR EVENTS OR FACTORS THAT AFFECTED SUCCESS IN THIS CASE OR PROJECT. IF APPLICABLE, PLEASE ALSO TELL US ABOUT KEY INNOVATIVE OR CREATIVE THINGS IN THE AGREEMENT THAT MIGHT NOT HAVE HAPPENED IN THE ABSENCE OF AN AGREEMENT.

19. The evaluation also asks participants for information about the case/project. Please provide the following information about the participants to help us contact them for the evaluation.

Name of Organization: _____
Affected interest/concerned interest represented: _____
Representative at the table: _____
Address: _____
Phone: _____ Email: _____

YOU LIKELY ALREADY HAVE THIS INFORMATION IN A TABLE OR SPREADSHEET, AND YOU ARE WELCOME TO ATTACH THAT INFORMATION TO THIS SURVEY OR EMAIL IT TO ORR@ECR.GOV.

MANY THANKS FOR TAKING THE TIME TO PROVIDE YOUR FEEDBACK.