OMB Control No. 0648-xxxx Expires: xx/xx/xxxx

Public reporting burden for this collection of information is estimated to average 10 minutes per response for those owning no more than two dams and 1 hour for those owning three or more dams, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to Tara Trinko, NOAA Maine Field Station, 17 Godfrey Dr. Suite 1, Orono, ME 04463.

Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

## SURVEY OF DAM OWNERS --- NOAA DATABASE UPDATE

#### INTERVIEWER READ THE INTRODUCTION

Hello. May I please speak with [INSERT RESPONDENT'S NAME]? My name is [INTERVIEWER'S FIRST NAME]. I'm calling from Kleinschmidt Associates in Pittsfield, Maine, on behalf of the National Oceanic and Atmospheric Administration—often referred to as NOAA. You are receiving this call because we have identified you as the owner of one or more dams in the State of Maine. Previous to this call, you should have received a letter in the mail explaining the purpose our contacting you. Did you receive this letter?

*IF YES* → *CONTINUE* 

*IF NO* → May I send you a letter describing the NOAA dam owner survey and contact you again after you have had time to review it?

- 1 YES Confirm address and reschedule call
- 2 NO THANK RESPONDENT FOR TIME AND DISCONTINUE INTERVIEW

As the letter stated, the purpose of this call is to conduct a brief survey of dam owners in Maine. Throughout much of Maine, Atlantic salmon and their habitats are protected by the Federal Endangered Species Act. The information from this survey will help NOAA understand issues important to dam owners and help identify dam owners interested in participating in programs to help Atlantic salmon recovery efforts. Your participation is voluntary. Would you be willing to answer a few quick questions, which will take about 7 minutes?

# *IF NO OBJECTION* → *CONTINUE*

IF OBJECTION → May I schedule an appointment to call you back at a more convenient time? (Circle one number)

- 1 Yes RESCHEDULE. RECORD ON CONTACT SHEET
- 2 No THANK RESPONDENT FOR TIME AND DISCONTINUE INTERVIEW
- 1. The first few questions have to do with updating NOAA's list of dam owners. According to our records, you are the <u>contact person</u> for the [INSERT NAME(S)] dam(s) on the [INSERT WATER NAME(S)]. Is that correct? (Circle one number)
  - 1 Yes to all dams listed
  - 2 Yes to one of two dams listed → Do you know who the contact person is for the [INSERT NAME] dam? (Circle one number)

- 1 Yes RECORD INFORMATION ON CONTACT SHEET.

  CONTINUE INTERVIEW.
- 2 *No*
- 3 No→ Do you know who the contact person is? (*Circle one number*)
  - 1 Yes RECORD INFORMATION ON CONTACT SHEET. THANK RESPONDENT FOR TIME AND DISCONTINUE INTERVIEW
  - 2 No THANK RESPONDENT FOR TIME AND DISCONTINUE INTERVIEW
- 4 REFUSAL
- 2. Our records also show the dam is (dams are) owned by you. Is that correct? (Circle one number)
  - 1 Yes
  - 2 No→ Do you know who the new owner is? (*Fill in the blank*)
    - 1 Yes \_\_\_\_\_
    - 2 No
    - 3 REFUSAL
  - 3 REFUSAL

# IF RESPONDENT IS CONTACT PERSON FOR OR OWNS ONE DAM SAY:

Now I'm going to ask a few questions about how the [DAM 1] is used today.

## IF RESPONDENT IS CONTACT PERSON FOR OR OWNS TWO DAMS, SAY:

Now I'm going to ask you two sets of questions. First I will ask about [DAM 1], and then I will ask about [DAM 2].

3. Is the [DAM 1] currently used....(Circle one number for each category)

	Yes	No	Don't Know	REFUSAL
to generate electricity?	1	2	3	4
to restrict some kinds of fish from swimming around it?	1	2	3	4
to provide habitat for waterfowl or wildlife?	1	2	3	4

	Yes	No	Don't Know	REFUSAL
for flood control?	1	2	3	4
Is the dam currently used to support a water supply for irrigation?	1	2	3	4
Is it currently used to support a water supply for fire control?	1	2	3	4
Is it currently used to support a public water supply such as for a town or a water district or water company?	1	2	3	4
Does the presence of the dam provide recreation opportunities above or below it (such as boating, fishing, swimming)	1	2	3	4
Are there any other ways the dam is <u>currently</u> used? Please identify,	1	2	3	4

4. Do [YOU/OWNER] plan to modify the [DAM 1] or use it differently in the future? (Circle one number)

1 Yes What do you plan? (Fill in the blan
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- 2 No
- 3 Don't know
- 4 REFUSAL

5. Now I will read a list of statements concerning topics related to dam ownership. Please tell me if you agree or disagree with each statement, as it pertains to the [DAM 1]. (Circle one number for each category. Repeat response categories as necessary.)

	Agree	Disagree	REFUSAL
The costs of operating and maintaining the	1	2	3
dam are significant to me.			
I understand state and federal regulations	1	2	3
associated with the dam.			
Public safety at this dam is not of concern	1	2	3
to me.			
The dam is in great shape; I am not at all	1	2	3
concerned about it failing.			

I am concerned about flooding upstream of	1	2	3
the dam.			
I am concerned about the liability of	1	2	3
owning the dam.			

6. Are there nearby individuals or special interest groups, such as lake associations or watershed associations, who you feel have a special interest in the [DAM 1]? (Circle one number)

1 Yes Who is that? (Record the names of up to five individuals and/or organizations)

1.	
2.	
3.	
5.	

- 2 No
- 3 Don't know
- 4 REFUSAL

IF ONE DAM  $\rightarrow$  SKIP TO Q11.

*IF TWO DAMS* → *CONTINUE*.

7. Now I'm going to ask a few questions about how the [DAM 2] is used today. (Circle one number for each category) Is the dam currently used....

	Yes	No	Don't Know	REFUSAL
to generate electricity?	1	2	3	4
to restrict some kinds of fish from swimming around it?	1	2	3	4
to provide habitat for waterfowl or wildlife?	1	2	3	4
for flood control?	1	2	3	4
Is the dam currently used to support a water supply for irrigation?	1	2	3	4
Is it currently used to support a water supply for	1	2	3	4

	Yes	No	Don't Know	REFUSAL
fire control?				
Is it currently used to support a public water supply such as for a town or a water district or water company?	1	2	3	4
Does the presence of the dam provide recreation opportunities above or below it (such as boating, fishing, swimming)	1	2	3	4
Are there any other ways the dam is <u>currently</u> used? Please identify,	1	2	3	4

8. Do [YOU/OWNER] plan to modify the [DAM 2] or use it differently in the future? (Circle one number)

1 Yes What do you plan? (Fill in the blank)

2 No

3 Don't know

4 REFUSAL

9. Now I will read a list of statements concerning topics related to dam ownership. Please tell me if you agree or disagree with each statement, as it pertains to the [DAM 2]. (Circle one number for each category. Repeat response categories as necessary.)

	Agree	Disagree	REFUSAL
The costs of operating and maintaining the dam are significant to me.	1	2	3
I understand state and federal regulations associated with the dam.	1	2	3
Public safety at this dam is not of concern to me.	1	2	3
The dam is in great shape; I am not at all concerned about it failing.	1	2	3
I am concerned about flooding upstream of the dam.	1	2	3

	Agree	Disagree	REFUSAL
I am concerned about the liability of owning the dam.	1	2	3

- 10. Are there nearby individuals or special interest groups, such as lake associations or watershed associations, who you feel have a special interest in the [DAM 2]? (Circle one number)
  - Yes Who is that? (Record the names of up to five individuals and/or organizations)
    - 1. \_\_\_\_\_
    - 2.

    - 5.
  - 2 No
  - 3 Don't know
  - 4 REFUSAL
- 11. In some circumstances, funding is available for dam removal or fish passage improvements around dams. Would you be interested in talking with someone from NOAA...? (Read each statement below and circle one number for each option)

	Yes	Maybe	No	REFUSAL
About Atlantic salmon Endangered Species Act	1	2	3	4
Programs Creating passage around the dam for Atlantic salmon and other kind of	1	2	3	4
fish Selling the dam or	1	2	3	4
transferring ownership About removing the dam	1	2	3	4

12. NOAA is in the process of preparing a General Conservation Plan that will help balance conservation of important habitat for Atlantic salmon with existing land use and they are looking for your help in developing it. Would you like to receive additional information about this opportunity? (*Circle one number*)

		1	Yes
		2	No
		3	REFUSAL
13.	Those	are all	the questions that I have. Do you have any comments about the issues his survey? (Circle one number)
	1	Yes	Record comments:
	2	No	
	Tha	ank yo	ou very much for your time and have a wonderful day.
Interv	iewer c	omment	ts: