Attachment R: 2011 Pretest-Asthma Supplement Questions

The following items will be used in a supplemental survey administered to primary care physicians, physicians likely to see asthma patients, and all CHC physicians/providers. This supplemental survey will be tested during the scheduled 2011 pretest.

1.6 How many of your patients (don't include patients of other practitioners at your site) have:

| 1.0 110 W many of your patients (don't me | rade patier | tto of other | practitioner | o at your s | nave. | |
|--|-------------|--------------|--------------|-------------|------------|-------|
| Circle one number in each row. | very | some | about | most | all or | Don't |
| | few or | patients | half of | patients | nearly all | know |
| | none | | patients | | patients | |
| asthma of mild severity? | 1 | 2 | 3 | 4 | 5 | 6 |
| asthma of moderate severity? | 1 | 2 | 3 | 4 | 5 | 6 |
| severe and/or difficult-to-control asthma? | 1 | 2 | 3 | 4 | 5 | 6 |

| 1.7 Are you notified by the hospital | when one of your pat | ients is admitted for astl | hma? |
|--------------------------------------|------------------------------|----------------------------|--------------|
| \Box yes, in all or most cases | \square yes, in some cases | \square rarely or never | □ don't know |
| | | | |
| | | | |
| 1.8 Are you notified by the hospital | | | |
| \square yes, in all or most cases | \square yes, in some cases | \square rarely or never | □ don't know |
| | | | |

2.1 How often do you use the following criteria to **diagnose asthma**?

| The street are year are the remaining entertaint at | 2.1 How often do you use the following effectia to diagnose astima: | | | | | | | |
|---|---|-------|---------|---------|-----------|--|--|--|
| | Rarely | In | In | In most | In all or | | | |
| Criteria: circle one number in each row. | or | some | about | cases | nearly | | | |
| | never | cases | half of | | all cases | | | |
| | | | cases | | | | | |
| 1. History of recurrent cough, wheeze, chest tightness, difficulty breathing | 1 | 2 | 3 | 4 | 5 | | | |
| 2. Worsening of symptoms in the presence of pollen, smoke, exercise, pets, or other specific exposures? | 1 | 2 | 3 | 4 | 5 | | | |
| 3. Family history of asthma | 1 | 2 | 3 | 4 | 5 | | | |
| 4. Physical exam findings | 1 | 2 | 3 | 4 | 5 | | | |
| 5. Peak expiratory flow rate in your office | 1 | 2 | 3 | 4 | 5 | | | |
| 6. Lung function testing/spirometry (in your office or by referral) | 1 | 2 | 3 | 4 | 5 | | | |
| 7. Response to bronchodilators (e.g., Albuterol) | 1 | 2 | 3 | 4 | 5 | | | |
| 8. Methacholine challenge | 1 | 2 | 3 | 4 | 5 | | | |
| 9. Refer patients to a specialist for diagnosis | 1 | 2 | 3 | 4 | 5 | | | |
| 10. Other (specify): | 1 | 2 | 3 | 4 | 5 | | | |

The next two questions ask about your assessment of asthma severity and asthma control. **Underlying severity** is the intrinsic intensity of the disease process whereas **asthma control** is the degree to which asthma symptoms are minimized by therapy.

| 3.1 For patients for whom yo | ou make the initial diagnosis of asthma, do you make an initial severity assessment |
|--------------------------------|---|
| in order to determine the type | and level of initial therapy needed? |
| □ yes □ no | □ don't know how to assess severity |

3.2 Once a patient receives treatment, ongoing symptom patterns can show the degree to which a patient's asthma is controlled. How frequently do you ask about the following symptoms or perform the following tests to **assess asthma control**?

| Criteria: Circle one number in each row. | Rarely or never | In some cases | In about half of cases | In most cases | In all or nearly all cases |
|--|-----------------------|---------------------|---------------------------------|------------------|----------------------------------|
| 1. Ability to engage in normal daily activities | 1 | 2 | 3 | 4 | 5 |
| 2. Frequency of daytime symptoms | 1 | 2 | 3 | 4 | 5 |
| 3. Frequency of nighttime symptoms | 1 | 2 | 3 | 4 | 5 |
| 4. Patient perception of symptom control | 1 | 2 | 3 | 4 | 5 |
| 5. Control assessment tool e.g., Asthma Control Test (ACT) or Asthma Therapy Assessment Questionnaire (ATAQ) | 1 | 2 | 3 | 4 | 5 |
| 6. Patient symptom diaries | 1 | 2 | 3 | 4 | 5 |
| 7. Frequency of rescue inhaler use (such as Albuterol) | 1 | 2 | 3 | 4 | 5 |
| 8. Frequency of exacerbations requiring oral steroids | 1 | 2 | 3 | 4 | 5 |
| 9. Patient report of emergency department or urgent care visit for asthma | 1 | 2 | 3 | 4 | 5 |
| 10. Peak flow results at home | 1 | 2 | 3 | 4 | 5 |
| 11. Peak flow results in your office | 1 | 2 | 3 | 4 | 5 |
| 12 . Spriometry in the office | 1 | 2 | 3 | 4 | 5 |

4.1 How often do you use each of the following strategies to help patients control their asthma?

| | Rarely | In | In | In | In | Not |
|--|--------|-------|---------|-------|--------|------------|
| Circle one number in each row. | or | some | about | most | nearly | applicable |
| | never | cases | half of | cases | all | |
| | | | cases | | cases | |
| 1. Provide as asthma action plan (a written care | | | | | | |
| plan that outlines medications, triggers, symptom | 1 | 2 | 3 | 4 | 5 | n/a |
| management, and when to seek for emergent | 1 | 2 | J | 4 | J | |
| care)? | | | | | | |
| Assessment by history of triggers at the patient's | | | | | | |
| 2Ahome (e.g., pets, mold, second-hand | 1 | 2 | 3 | 4 | 5 | n/a |
| smoke) | 1 | 2 | 3 | 4 | 5 | n/a |
| 2Bschool (e.g., dust, fumes, exhaust) | 1 | 2 | 3 | 4 | 5 | n/a |
| 2Cworkplace (e.g., occupational exposures) | 1 | 2 | S | 4 | 5 | |
| 3. Specific assessment by history of triggers | | | | | | |
| specific to individuals (e.g., exercise, weather, | 1 | 2 | 3 | 4 | 5 | n/a |
| strong emotions) | | | | | | |

| 4. Assessment of actual medication use (not filled prescriptions) | 1 | 2 | 3 | 4 | 5 | n/a |
|--|---|---|---|---|---|-----|
| 5. Education about avoiding/controlling triggers | 1 | 2 | 3 | 4 | 5 | n/a |
| 6. Prescription of a spacer for short-acting beta agonist metered dose inhaler | 1 | 2 | 3 | 4 | 5 | n/a |
| 7. Prescription of a spacer for inhaled corticosteroid metered dose inhaler | 1 | 2 | 3 | 4 | 5 | n/a |
| 8. Regularly scheduled (non-urgent) asthma follow up visits to assess management | 1 | 2 | 3 | 4 | 5 | n/a |

4.2 How often do you see patients for regularly scheduled (non-urgent) asthma visits in the following categories?

| | No | Monthly | Every 3 | Every 6 | Yearly |
|---|----------|------------|---------|---------|--------|
| Circle one number in each row. | regular | or more | months | months | |
| | schedule | frequently | | | |
| Not on controller medication with | | | | | |
| 1A no exacerbations in past year | 1 | 2 | 3 | 4 | 5 |
| 1B one exacerbation in the past year | 1 | 2 | 3 | 4 | 5 |
| 1C two or more exacerbations in the past year | 1 | 2 | 3 | 4 | 5 |
| On controller medication with | | | | | |
| 2A symptoms under control | 1 | 2 | 3 | 4 | 5 |
| 2B symptoms not controlled | 1 | 2 | 3 | 4 | 5 |

4.3 How often do you make the following recommendations about environmental exposures that exist in a patient's home?

| | For all | Only for | Rarely or |
|--|----------|-------------|-----------|
| Recommendation: <i>circle one number in each row</i> . | asthma | patients | never |
| | patients | with known | recommend |
| | | or reported | |
| | | sensitivity | |
| | | to this | |
| | | trigger | |
| 1. Using dust mite control measures (removing carpets, using | | | |
| mattress/pillow covers, laundering sheets in hot water, | 1 | 2 | 3 |
| HEPA vacuum cleaning, reducing humidity, etc) | | | |
| 2. HEPA air filtration (remove airborne allergens, mold, | 1 | 2 | 3 |
| particulates) | 1 | 2 | 5 |
| 3. Controlling mold | 1 | 2 | 3 |
| 4. Controlling household pests (e.g. cockroaches, rodents) | 1 | 2 | 3 |
| 5. Removing pets from the home | 1 | 2 | 3 |
| 6. Avoiding pollen (e.g., limit outdoor time, close windows) | 1 | 2 | 3 |
| 7. Avoiding air pollution (e.g., limit outdoor time) | 1 | 2 | 3 |
| 8 Making changes to cooking appliances (e.g., stoves or | 1 | 2 | 3 |
| exhaust vents) | T | | S |
| 9. Avoiding second-hand tobacco smoke | 1 | 2 | 3 |
| 10.Other (specify): | 1 | 2 | 3 |

5.1 For children (0-17 years) with asthma, how do you use the following medications? If you do not see patients in this age range, please skip to question 5.2

| Medication: | Do | Rescue/for | First | Add on control | For |
|---|-----|------------|---------|------------------|--------------|
| mark (x) for ALL that apply on each | not | acute | line | therapy if first | difficult to |
| row. | use | symptoms | control | line not | control |
| | | | therapy | effective | asthma |
| 1. Short acting beta agonists (e.g. Albuterol) | 1 | 2 | 3 | 4 | 5 |
| 2.Inhaled corticosteroids (ICS) as a single agent | 1 | 2 | 3 | 4 | 5 |
| 3.Long acting beta agonists (LABA) | 1 | 2 | 3 | 4 | 5 |
| (e.g., salmeterol/Serevent) as a single | | | | | |
| agent | | | | | |
| 4. Combination medication that | 1 | 2 | 3 | 4 | 5 |
| includes both LABA and ICS (e.g., | | | | | |
| fluticasone and salmeterol/Advair) | | | | | |
| 5.Leukotriene modifiers (e.g., | 1 | 2 | 3 | 4 | 5 |
| montelukast/Singulair) | | | | | |
| 6.Methylxanthines (e.g., sustained- | 1 | 2 | 3 | 4 | 5 |
| release theophylline) | | | | | |
| 7.Immunotherpay (omalizumab/Xolair) | 1 | 2 | 3 | 4 | 5 |
| 8.Oral corticosteroids (short course ≤14 | 1 | 2 | 3 | 4 | 5 |
| days) | | | | | |
| 9.Oral corticosteroids (longer course | | | | | |
| >14 days) | | | | | |
| 10.Other (specify): | 1 | 2 | 3 | 4 | 5 |

5.2 For adults (18 years and over) with asthma (how do you use the following medications?

If you do not see patients in this age range, please skip to question 6.1

| Medication: | Do | Rescue/for | First | Add on control | For |
|--|-----|------------|---------|------------------|--------------|
| mark (x) for ALL that apply on each | not | acute | line | therapy if first | difficult to |
| row. | use | symptoms | control | line not | control |
| | | | therapy | effective | asthma |
| 1. Short acting beta agonists (e.g. Albuterol) | 1 | 2 | 3 | 4 | 5 |
| 2.Inhaled corticosteroids (ICS) as a single agent | 1 | 2 | 3 | 4 | 5 |
| 3.Long acting beta agonists (LABA) (e.g., salmeterol/Serevent) as a single agent | 1 | 2 | 3 | 4 | 5 |
| 4. Combination medication that includes both LABA and ICS (e.g. fluticasone and salmeterol/Advair) | 1 | 2 | 3 | 4 | 5 |
| 5.Leukotriene modifiers (e.g., montelukast/Singulair) | 1 | 2 | 3 | 4 | 5 |
| 6.Methylxanthines (e.g., sustained-release theophylline) | 1 | 2 | 3 | 4 | 5 |
| 7. Immunotherpay (omalizumab/Xolair) | 1 | 2 | 3 | 4 | 5 |
| 8.Oral corticosteroids (short course ≤14 days) | 1 | 2 | 3 | 4 | 5 |
| 9.Oral corticosteroids (longer course >14 days) | | | | | |
| 9.Other (specify): | 1 | 2 | 3 | 4 | 5 |

6.1 Below are several strategies and tools that could be used to help patients control their asthma. First specify if you use each strategy, and next, specify **the most important barrier (if any)** you face to using each strategy.

| | this st | ou use rategy? | No barrier | Poor patient adherence | Poor patient health literacy | Lack of staff/equi pment | Lack of MD training | Lack of time | Lack of payment | |
|---|------------------|-------------------|---------------|------------------------------|---------------------------------------|--------------------------------|---------------------------|--------------------|-----------------|--|
| | Choose yes or no | | Circle one | | | | | | | |
| 1. Using written asthma action plans | YES | NO | 1 | 2 | 3 | 4 | 5 | 6 | 7 | |
| 2. Using home peak flow monitors | YES | NO | 1 | 2 | 3 | 4 | 5 | 6 | 7 | |
| 3.Performing in- office spirometry | YES | NO | 1 | 2 | 3 | 4 | 5 | 6 | 7 | |
| 4. Educating patients to recognize worsening asthma | YES | NO | 1 | 2 | 3 | 4 | 5 | 6 | 7 | |
| 5. Educating patients on avoiding risk factors or | YES | NO | 1 | 2 | 3 | 4 | 5 | 6 | 7 | |

| triggers | | | | | | | | | |
|-----------------------|-----|----|---|---|---|---|---|---|---|
| 6. Involving | YES | NO | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| patients in treatment | | | | | | | | | |
| decision-making | | | | | | | | | |
| 7. Teaching inhaler | YES | NO | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| technique | | | | | | | | | |
| 8. Observing | YES | NO | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| inhaler use by | | | | | | | | | |
| patient | | | | | | | | | |
| 9. Advising patients | YES | NO | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| on changes to home | | | | | | | | | |
| environment | | | | | | | | | |
| 10. Advising | YES | NO | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| patients on changes | | | | | | | | | |
| to work | | | | | | | | | |
| environment | | | | | | | | | |

6.2 Which, if any of the following concerns about prescribing inhaled corticosteroids to patients with persistent asthma do you have?

| | Is this a concern? | | Does this concern ever prevent you from prescribing inhaled corticosteroids? |
|--|--------------------|----|--|
| No concerns about lower doses, but concerned with side effects at higher doses | YES | NO | YES NO |
| Concerned about long-term side effects with continued use (e.g., cataracts, bone loss, delayed growth in children) | YES | NO | YES NO |
| Concerned about patient confusion between rescue and control medications | YES | NO | YES NO |
| Concerned about patient non-acceptance | YES | NO | YES NO |
| Other: | YES | NO | YES NO |

6.3 How often, if ever, do you encounter these **patient** concerns or misunderstandings about inhaled corticosteroids?

| Circle one number in each row. | Do not | Rarely | Sometimes | Often |
|--|-----------|--------|-----------|-------|
| | encounter | | | |
| 1. Short-term side effects (such as thrush) | 1 | 2 | 3 | 4 |
| 2. Long-term side effects (such as bone loss, cataracts, delayed | 1 | 2 | 3 | 4 |
| growth in children) | | | | |
| 3. Misunderstanding of risks or side effects, or belief in myths | 1 | 2 | 3 | 4 |
| (e.g., muscle development, aggression, addiction) | | | | |
| 4. Confusion between rescue medication and controller | 1 | 2 | 3 | 4 |
| medication | | | | |
| 6. Other (specify): | 1 | 2 | 3 | 4 |

| 7.1 Indicate which of the following ways, if any, you have learned about the asthma guidelines (check all that |
|--|
| apply) |
| 1. I read the full version of the guidelines |
| 2.I read to the summary version of the guidelines |
| 3.I used short versions or "cheat sheets" |
| 4. In continuing medical education materials or courses |
| 5. With an electronic tool/reference |
| 6. from colleagues/word of mouth |
| 7. From an asthma improvement initiative or other program in my practice |
| 8. I am unfamiliar with the guidelines |
| 8.0 Who completed this survey? ☐ the physician to whom it was addressed ☐ office staff ☐ other |
| CLOSING STATEMENT |
| Thank you for completing this special survey. We appreciate your time and effort. |
| For the 2011 pretest, the following proposed screener question will be added to the 2011 NAMCS induction interview. The purpose of this question will be to determine asthma supplement eligibility. |

"Do any of the patients you treat have asthma?"