

2011 SUPPORTING STATEMENT  
MARKETING ORDER ADMINISTRATION BRANCH  
GENERIC OMB VEGETABLE AND SPECIALTY CROPS  
OMB NO. 0581-0178

**A. JUSTIFICATION**

**1. EXPLAIN THE CIRCUMSTANCES THAT MAKE THE COLLECTION OF INFORMATION NECESSARY. IDENTIFY ANY LEGAL OR ADMINISTRATIVE REQUIREMENTS THAT NECESSITATE THE COLLECTION.**

Marketing Orders (Orders) and Agreements (Agreements) are authorized by the Agricultural Marketing Agreement Act of 1937 (7 U.S.C. 601-674; Act). This legislation was designed to permit regulation of certain agricultural commodities for the purpose of providing orderly marketing conditions in interstate and intrastate commerce and improving financial returns to producers. The Act provides in section 608d(1) that information necessary to determine the extent to which an order has effectuated the declared policy of the Act shall be furnished at the request of the Secretary of Agriculture (Secretary).

Orders and Agreements become effective only after public hearings are held in accordance with formal rulemaking procedures specified by the Act. Orders and Agreements can authorize the issuance of grade, size, quality, maturity, inspection requirements, pack and container requirements, and pooling and volume regulations. Some Orders also provide authority for research and development, including paid advertising. Pursuant to section 608e(1) of the Act, certain grade and condition requirements are imposed on commodities imported into the United States for which marketing orders regulate domestic production.

This information collection is necessary for the Secretary and the commodity committees to monitor adherence to the Order regulations.

**2. INDICATE HOW, BY WHOM, HOW FREQUENTLY, AND FOR WHAT PURPOSE THE INFORMATION IS TO BE USED. EXCEPT FOR A NEW COLLECTION, INDICATE THE ACTUAL USE THE AGENCY HAS MADE OF THE INFORMATION RECEIVED FROM THE CURRENT COLLECTION.**

Orders and Agreements, along with the rules and regulations issued thereunder, authorize Committees to collect certain information from handlers and producers. (7 CFR Part 900). As a convenience to the handlers and producers who are required to submit this information, the Agriculture Marketing Service (AMS) and the Committees or Boards have created the forms listed below as a means for providing the requested information to the appropriate Committee or Board. These forms require the minimum information necessary to effectively carry out the requirements of the appropriate Order or Agreement.

Since the last renewal in 2007, these forms have undergone cosmetic changes, such as changes to the Committee or Board addresses and phone numbers. Many of these forms have not been updated in years, so changes were made to margin size and formatting to create a consistent look to all the forms. Due to the prevalence of on-line communication,

several of the Committees and Boards now ask for e-mail addresses as part of the contact information that has been collected in the past.

The AMS issues and maintains certain forms, which are mandated by the Marketing Orders:

- a) **Background Questionnaire: COC-150 (§§ 932.28, 932.29(a), and 932.129); COC-149 (§§ 932.28 and 932.29(b)); FV-41 (§ 946.26); FV-61 (§ 947.27); FV-52 (§ 948.54); FV-90 (§ 959.22); FV-283 (§ 966.27); FV-285 (§ 987.24); FV-165 and FV-166 (§ 993.27); and Background Questionnaire (with Acceptance Statement Included): FV-133 (§§ 945.25 and 945.26); FV-109 (§ 953.18); FV-174 and FV-175 (§ 955.22); FV-112 and FV-115 (§ 956.24); FV-32 and FV-33 (§ 958.22); FV-176 and FV-177 (§ 981.34); FV-138 and FV-139 (§ 982.34); FV-124 and FV-125 (§ 984.38); FV-57 and FV-58 (§ 985.23); FV-219, FV-220, and FV-222 (§§ 989.30 and 989.32):** Each Order is administered by a Committee or Board consisting of producer/growers, handler, and public members. Committee or Board nominees are required to complete and submit this form, which requests information pertaining to the nominee's relation to the industry overseen by the Committee or Board on which he or she wishes to serve. The Secretary uses this information to determine whether nominees are eligible to serve in the positions for which they are nominated. The Background Questionnaire (with Acceptance Statement Included) includes a statement that the nominee signs, certifying that he or she will uphold the Committee or Board's ethics regulations if elected.
- b) **Committee and Board Nomination Ballots: FV-269, FV-273 (§§ 932.25, 932.28); No form numbers (§ 955.22); F/H Form F(2), F/H Form F(3), and F/H Form F(4) (§ 982.32); No form numbers (§§ 984.37 and 984.437); No form numbers (§ 987.24); No form number (§ 989.29); FV-223 (§ 989.29); No form number (§§ 993.28(a) and 993.128):** This form is used by producers and handlers to nominate candidates to serve as producer, handler, and public members on the Committees or Boards. The forms are submitted to the appropriate Committee or Board, who manage the elections.
- The California Walnut Board previously had two separate grower ballots, one each for districts 1 and 2. This form has been eliminated and each district now has its own form with its own corresponding burden.
- c) **Letter of Acceptance: No form numbers (§§ 946.26, 947.27, 948.59, 955.24, 959.29, 966.29, 987.25, and 993.31):** A letter of acceptance is mailed for signature by the Secretary to each nominee aspiring to gain a seat on the Committee or Board. By signing the letter, the new member agrees to serve and administer the Order and Agreement to the best of his or her ability.
- d) **Marketing Agreement: FV-325 (§ 900.14):** This is a generic marketing agreement form used by all commodities in this information collection, and replaces the following forms that were previously used by each individual commodity: FV-235, FV-132, FV-40, FV-79, FV-51, FV-111, FV-155, FV-113, FV-31, FV-89, FV-69, FV-180, FV-137, FV-127, FV-63, FV-73, FV-225 and FV-169. This form will be modified as appropriate by each commodity. This form is used whenever an Order is promulgated or amended.

Handlers voluntarily sign the Agreement, indicating their willingness to abide by the regulations in the respective Order. Referendum agents distribute and collect the Marketing Agreements from the handlers.

- e) **Cooperative's Certificate of Resolution: FV-235A; FV-132A; FV-40A; FV-79A; FV-51A; FV-113A; FV-31A; FV-89A; FV-137A; FV-127A; FV-63A; FV-225A; and FV-169A (§ 900.14):** A corporation or cooperative's board of directors may attach this form to the Marketing Agreement to validate that the organization has resolved to be a party to the Agreement.
  
- f) **Producer Referendum Ballot/Cooperative Association Ballot: FV-236A and FV-236B (§§ 900.403 and 932.68); FV-131, FV-131A, and FV-131B (§§ 900.403 and 945.83); FV-39, FV-39A, and FV-39B (§§ 900.403 and 946.63); FV-80, FV-80A and FV-80B (§§ 900.403 and 947.71); FV-50, FV-50A and FV-50B (§§ 900.403 and 948.84); FV-156 (§§ 900.403 and 955.71(d)); FV-114, FV-114A and FV-114B (§§ 900.403 and 956.85); FV-30, FV-30A and FV-30B (§§ 900.403 and 958.71); FV-94, FV-94A (§§ 900.403 and 959.84); FV-68 (§§ 900.403 and 966.92); FV-178 and FV-179 (§§ 900.14 and 981.92); FV-136, FV-136A and FV-136B (§§ 900.403 and 982.86); FV-126 and FV-126A (§§ 900.403 and 984.90); FV-60, FV-60A and FV-60B (§§ 900.14 and 985.72); FV-72 (§§ 900.403 and 987.82); FV-224 and FV-225B (§§ 900.403 and 989.91); and FV-167 and FV-168 (§§ 900.403 and 993.90):** These forms are used by producers and growers to vote on the continuance of an Order, and by the Committees and Boards to verify voter eligibility. Cooperatives may bloc-vote by attaching a Certificate of Resolution verifying that the association resolved whether or not to support the continuance. The AMS Texas Marketing Field Office moved to a new location in December 2010, and therefore the address on FV-94 has changed since the last revision. FV-126 and FV-126-A are used interchangeably depending on whether the referendum taking place is a continuance or amendment referendum. Both forms are not used in the same year.
  
- g) **Certificate of Privilege: No form numbers (§§ 945.56, 945.80, 945.122 and 945.341); No form number (§§ 947.55, 947.130, and 947.340); No form numbers (§§ 948.24, 948.120, 948.121, and 948.122); Form No. 3 (§§ 956.63, 956.66, 956.80, 956.163); FV-34 (§§ 958.56 and 958.328); No form number (§ 959.122); FTC-108 (§§ 966.120 and 966.323):** Commodities that are shipped to the fresh market must meet certain requirements in grade, size, quality, maturity, pack, container, and inspection. The same commodities shipped to other outlets are exempt from these requirements, provided that they meet certain safeguards, one of which is a certification that a commodity shipped to an exempt outlet will not enter fresh market channels. To receive this exemption, a handler must apply to the appropriate Committee or Board and acquire this certificate permitting each such shipment. The Committees and Boards use this form to verify compliance with these requirements.
  
- h) **Special Purpose Shipment: COC-155 (§ 932.55 and 932.155); No form number (§§ 946.55, 946.122 and 946.336); No form number (§§ 947.55, 947.132 and 947.340); No form numbers (§§ 948.23, 948.24, 948.120, 948.121, 948.124, 948.386, and 948.387); Form No. 4 (§§ 956.63, 956.66, 956.80 and 956.163); FV-36 (§§ 958.56 and 958.328);**

**No form number (§ 959.124); FTC-110 (§§ 966.122 and 966.323); and FTC-111 (§ 966.124):** These forms are used by handlers and receivers to report to the Committees and Boards on shipments made under Certificates of Privilege to special purpose or exempt outlets. This information is used by the Committees and Boards for compliance purposes.

- i) **Application for Registered Handler: Form No. 2 (§ 956.180); No form number (§ 959.103); FTC-102-75 (§ 966.113); FTC-103 (§§ 966.56(a) and 966.323(d)(3)):** This form is used by the Committees and Boards to register handlers of applicable commodities. Handlers supply their contact information to the appropriate Committee or Board, who use the information to keep handlers apprised of pertinent industry information throughout the crop season. FTC-102-75 was previously numbered FTC-102.
- j) **Recordkeeping: No form numbers (§§ 932.61, 945.80, 946.70, 947.80, 948.80, 953.75, 955.60, 956.80, 958.65, 959.80, 966.80, 981.70, 982.71, 984.80, 984.480, 985.61, 987.168, 989.176, 993.59, 993.159, 993.173, 993.150(c)(1), 993.162, and 999.1):** Handlers are required to maintain records for specified time periods, of the amount of commodity acquired, used, or disposed of, in compliance with Committee and Board requirements, who use the information to track industry production.

**Order No. 932 – Olives:**

- a) **Weight and Grade Report: COC-3c (§§ 932.51(a)(1)(i) and 932.151(b)):** This form was previously numbered as COC-3, but has been renumbered as COC-3c. Handlers may not process any lot of natural condition olives without first having them weighed by the State of California, and receiving an official weight certificate, and then also providing the California Olive Committee with the information.
- b) **Pack and Certification Report: COC-4 (§ 932.152(c)):** Handlers use this form to report daily to the AMS inspection service each day the number of cases of olives packed the previous day, and the can size, variety, fruit size, etc. of the fruit. The inspection service then issues a certificate for the fruit that meets the minimum quality and size requirements.
- c) **Report of Limited, Undersized, and Cull Olives: COC-5 (§§ 932.51 and 932.151(e)):** This form is used to report fruit packed as limited-use styles, and the disposition of fruit that is undersized and culls. This form enables the Committee to verify the disposition of fruit and track such fruit, as well as provide certification of the fruit by the AMS inspection service.
- d) **Statement of Assessable Tonnage: COC-13 (§ 932.29):** Handler use this form once each season to report their obligation to the Committee for assessments on canned ripe olives. Without this form, the Committee could not be able to collect assessments on a pro-rata basis, as required by the Order.
- e) **Final Assessment Payment: COC-17 (§ 932.139):** This form is used at the end of each season to report the final assessment obligation of each handler to the Committee. This

form is also the basis for pro-rata assessments on handlers.

- f) **Weekly Report of Olives Received: COC-19 (§§ 932.61 and 932.161(a))**: Handlers file this weekly form with the Committee, reporting the size designation and culls in the respective quantity of each variety of olives received the week before. The Committee uses this form to track historical and seasonal information regarding the sizes and quality of incoming fruit, as well as to track assessments.
- g) **Grower Authorization to Combine Lots: COC-23 (§§ 932.61 and 932.151(f)(3))**: Growers use this form to authorize handlers to combine lots of natural condition olives with other lots for the purpose of weighing and size-grading. The Committee uses this information for compliance purposes.
- h) **Inventory Holdings of Canned Ripe Olives: COC-27a (§§ 932.161 and 932.161(d)(1))**: Handlers file this form twice per month with the Committee to report inventories of packaged olives yet to be sold. This report assists the Committee in tracking the status of fruit in inventory.
- i) **Inventory Holdings of Limited Use Olive: COC-27b (§§ 932.61 and 932.161(d)(2), (e)(2))**: Handlers file this form with the Committee on a monthly basis to document the inventories of packaged limited-use style olives yet to be sold. This report assists the Committee in tracking the status of fruit in inventory, assisting in the support of the market development program.
- j) **Report of Canning Size Olives in Storage: COC-27c (§§ 932.61 and 932.161(e)(1))**: Handlers use this form to report to the Committee once a month the tonnage of fruit held in storage that may be used for in the production of packaged olives. The Committee uses this information for various marketing projects.
- k) **Packout Report of Canned Ripe Olives: COC-28a (§§ 932.61 and 932.161(f)(1)); and Packout Report of Canned Ripe Olives – Limited Use: COC-28b (§§ 932.61 and 932.161(f)(2))**: Handlers file these forms with the Committee on a monthly basis, reporting the total production of packaged olives. Form COC-28a reports on whole, pitted, and broken pitted styles of olives; form COC-28b reports on halved, sliced, segmented, and chopped styles. The Committee uses this information to target certain markets within the marketing development program.
- l) **Sales of Canned Ripe Olives: COC-29a and COC-29b (§§ 932.61, 932.161(b)(2)(i), 932.161(b)(2)(ii))**: Handlers use these forms to report on a monthly basis to the Committee, the quantity of olives sold during the month. Form 29a reports on whole, pitted, and broken pitted styles of olives; form COC-29b reports on limited use styles of olives. The information provided by this report assists in the Committee's marketing efforts.
- m) **Inter-handler Sale or Purchase of Canned Ripe Olives: COC-30 (§ 932.61)**: Handlers use this form to report inter-handler sales or purchases on an as-needed basis. The Committee uses this information to track assessments.

**Order No. 945 - Idaho/E. Oregon Potatoes:**

- a) **Receiver's Certificate of Use: No form number (§§ 945.56, 945.80, 945.124, and 945.341):** This form certifies that potato shipments to exempt outlets do not enter fresh market channels. After receiving the Application for Certificate of Privilege from the handler, the Idaho-Eastern Oregon Potato Committee staff completes the shipment information on the Receiver's Certificate of Use and sends it to the receiver. The receiver checks his records to verify that the shipment was received and then signs the certification, and returns it to the Committee office. The Committee needs this information for compliance and verification purposes.
- b) **Certificate of Privilege Shipment Summary: No form numbers (§§ 945.56, 945.80, 945.124 and 945.341):** Once a handler is issued a Certificate of Privilege for special use, grade exemption potatoes, the handler must document each sales contract relating to potatoes shipped for special use. At the end of the season, the Committee verifies these sales and sends this form to the handler for review, who records the actual quantity of potatoes shipped, and then certifies that the information on the form is correct. The same forms are also sent to receivers of special use potatoes to cross-check the accuracy of the information. The Committee needs this information for compliance and verification purposes.
- c) **Destination Zip Code and Quantity Reporting Requirement: No forms required (Section 945.80 and 945.341):** Handlers verbally provide the Committee with the destination Zip Codes of all potatoes handled, by permitting the Idaho Federal-State Inspection Service or Oregon Federal-State Inspection Service to review the bills of lading upon inspection. Handlers provide this information separately for both regular shipments, and shipments made under certificates of privilege.
- d) **Application for Consideration of Approval as a Canner, Freezer, or Other Processor or Preparer of Potato Products for Special Purpose Shipment Report: No form number (§§ 945.56, 945.80 and 945.341):** Applicants file this form with the Committee, seeking approval to be added to the Committee-approved list of receivers of special purpose potatoes. Handlers may ship special purpose potatoes only to receivers on this list. Applicants certify that any special purpose potatoes will not be placed in fresh market channels. The Committee uses the information on this form to track compliance with special purpose shipments and to maintain accurate data on approved applicants.

**Order No. 946 - Washington Potatoes:**

- a) **Modification of Inspection Report: No form number (§§ 946.60 and 946.132):** This form was previously titled, "Shipper's Application for Modification of Inspection Privilege." Shipments of uninspected potatoes from areas where inspection is not readily available must be separately identifiable from uninspected potatoes from other areas. Handlers shipping potatoes pursuant to an inspection modification must report to the State of Washington Potato Committee information about uninspected shipments, detailing information about each shipment, so that the Committee can track potato

production and shipment data.

- b) **Shipper's Application for Modification of Inspection Privileges: No form number (§§ 946.60 and 946.130):** Shipments of potatoes from areas where inspection is not readily available are exempt from inspection requirements. To prevent shippers in these areas from gaining unfair financial advantages over shippers in other areas, who are required to pay for potato inspection, they must pay to the Committee an assessment equal to the amount they would have paid as inspection fees.
- c) **Inspection Certificate Failing Marketing Order Requirements: No form number (§ 946.70):** The Committee mails this form to handlers whose potatoes fail to meet handling regulations, requiring them to state the disposition of these potatoes. It enables the Committee to ensure compliance with the Order regulations and track the disposition of potatoes.
- d) **Application for Consideration of Approval as a Canner, Freezer, or Other Processor or Preparer of Potato Products: No form number (§§ 946.55, 946.120, and 946.180):** Applicants file this form with the Committee, seeking approval to be added to the Committee-approved list of receivers of special purpose potatoes. Handlers may ship special purpose potatoes only to receivers on this list. Applicants certify that any special purpose potatoes will not be placed in fresh market channels. The Committee uses the information on this form to track compliance with special purpose shipments and to maintain accurate data on approved applicants.
- e) **Shipper's Application for Special Purpose Certificate: No form number (§§ 946.55, 946.120, and 946.336):** Grade, size, maturity, and inspection requirements specified in the handling regulation apply only to potatoes shipped to the fresh market. Potatoes shipped to other outlets are exempt from these requirements, but safeguards are in place to prevent unauthorized shipping to the fresh market. Prior to shipping potatoes for prepeeling, chipping, dehydration, charity, salad, freezing, export, or canning, a handler applies to the Committee, on this form, for a certificate permitting such shipments.
- f) **Russet Fresh Potato Report: No form number (§ 946.336):** This form was submitted for OMB-approval in July 2010 under 0581-NEW, Irish Potatoes Grown in the State of Washington. Handlers file this monthly report with the Committee to document lots of potatoes handled. The Committee uses this information to calculate handler assessments.

**Order No. 947 - Oregon/California Potatoes:**

- a) **Handler Statement for Oregon-California Potatoes: No form number (§§ 947.41, 947.80, and 947.180):** This form is used by handlers to report potato purchases to the Oregon-California Potato Committee, which uses this information for assessment and compliance purposes.
- b) **Application for Establishment of Approved Manufacturers Classification: No form number (§ 947.134):** Potato product manufacturers who would like to be included on the Committee's Approved Manufacturers List complete this application and submit it to the Committee. The Approved Manufacturers List includes all manufacturers who have

been approved by the Committee as processors of potatoes other than fresh market table stocks. Approved manufacturers agree that all potatoes received will be used only for processing, and will not be diverted to the fresh market. The Committee uses this information for compliance purposes.

- c) **Report of Anticipated Shipment to Receiver not on Approved Manufacturers List: No form number (§ 947.134):** Handlers complete this form when they cannot locate a manufacturer on the Committee's Approved Manufacturers List. This alerts the Committee that a manufacturer may be processing potatoes without having completed an Application for Establishment of Approved Manufacturers Classification form.
- d) **Shipper Application for Waiver of Inspection Privilege: No form number (§ 947.340):** Shipments of potatoes from isolated areas where inspection is not readily available are exempt from inspection requirements. Handlers file this application with the Committee if they can establish that having all shipments of potatoes inspected by the Federal-State Inspection Program would cause economic hardship due to an isolated location or meager shipments of potatoes.
- e) **Load Report Sheet Waiver of Inspection: No form number (§ 947.340):** Handlers who have an inspection waiver use this form to report to the Committee shipments of uninspected potatoes from areas where inspection is not readily available. Uninspected potatoes must be accompanied by this form certifying that the potatoes have been shipped from approved areas and describing the shipment. The Committee uses this information for compliance purposes.

**Order No. 948 - Colorado Potatoes:**

- a) **Application for Hardship Exemption (Area II): No form number (§ 948.30 and 948.130); Application for Hardship Exemption (Area III): No form number (§ 948.30 and 948.130):** Producers can use this form to apply for an exemption from a grade and size regulation due to acts beyond his or her control or reasonable expectations. The Colorado Potato Administrative Committee uses this information to determine whether an exemption should be granted.
- b) **Handler Application for Waiver of Inspection (Area III): No form number (§ 948.30); and Waiver of Inspection – Weekly Shipment Report (Area III): No form number (§ 948.30):** Handlers file this form with the Committee to request permission to ship potatoes without inspection, and in return, file certain information about the shipments with the Committee on the Waiver of Inspection – Weekly Shipment Report. The Committee uses this information for compliance purposes.

**Order No. 955 - Vidalia Onions:**

- a) **Monthly Vidalia Onion Handler Report Form: FV-181 (§ 955.60):** This form used to be filed weekly and was entitled, "Weekly Vidalia Onion Handler Report Form," but has now been designated as a monthly form. Handlers file this monthly report with the Vidalia Onion Committee to report the volume of onions received or acquired, the businesses dealt with, and the assessment due. The Committee uses this information to



determine a handler's assessment obligation and to verify that the assessment paid is correct.

**Order No. 956 - Walla Walla Onions:**

- a) **Handler's Statement of Walla Walla Sweet Onion Shipments: FV-141 (§§ 956.80 and 956.180):** This form is completed by regulated handlers of Walla Walla sweet onions on an annual basis, documenting onion production and to which regions onions were shipped. Handlers also use this information to determine the assessments they owe, and the Committee uses this information to verify assessment payments.

**Order No. 958 - Idaho/Oregon Onions:**

- a) **Onion Diversion Report: FV-35 (§§ 958.56 and 958.180):** Handlers use this form to report to the Idaho-Eastern Oregon Onion Committee shipments of onions that were diverted from fresh market channels. The Committee uses this information to verify that only authorized outlets are used.
- b) **Fresh Cut Report: FV-37 (§ 958.328):** Onions that have been inspected and certified as meeting the grade and size provisions of the regulations, and which are subsequently altered through peeling, chopping, or slicing, may be shipped from the production area without reinspection. Each handler making shipments of onions for such purposes provides the Committee with a copy of the original inspection certificate verifying that minimum marketing order requirements have been met. The Committee uses this information for compliance purposes.
- c) **Assessment Credit Report: No form number (§§ 958.42, 958.240 and 958.250):** Handlers file this form with the Committee when they want an assessment credit for onions that have been regraded, resorted, repacked, or shipped in accordance with a special purpose shipment. The handler must indicate the purpose of the handling of onions, such as regarding, dehydration, freezing, planting, livestock feed, charity, etc. The Committee uses this information to accurately assess handlers.

**Order No. 959 - South Texas Onions:**

- a) **Onion Acreage Registration: No form number (§ 959.115):** Handlers submit this form to the South Texas Onion Committee to document their growers' onion acreage. The Committee uses this information as a statistical base for its market development programs.
- b) **Certification for Handling Onions for Processing (Canners and/or Freezers): No form number (§ 959.126):** Handlers file this form with the Committee to certify that they agree to the rules applicable to handling culled onions. The Committee uses this information for compliance purposes.
- c) **Certification for Subcontractors Who Peel and/or Chop Onions for Processors for Special Purpose Onion Shipments: No form number (§ 959.126):** Handlers file this form with the Committee, certifying that subcontractors who handle onions for peeling or

chopping understand the Order regulations for doing so. The Committee uses this information for compliance purposes.

- d) **Relief or Charity Certification for Handling Onions that Fail to Meet the South Texas Onions Rules and Regulations: No form number (§ 959.126):** This form accompanies the Report of Special Purpose Shipment, and verifies that the onions will be used for relief or charity purposes.

**Order No. 981 - California Almonds:**

The Almond Board of California maintains its forms in a document database, which uses a proprietary software program to generate the forms for its handlers. This process may modify the appearance of the Board's forms slightly in margin size or font type, but the OMB-approved content is not affected.

- a) **Summary Report: ABC-1 (§§ 981.72 and 981.472(a)):** This monthly report is filed with the Almond Board of California to document handlers' receipts of almonds from growers. The Board uses this information to corroborate inspection certificates and track almond dispositions.
- b) **Redetermination Report: ABC-2 (§§ 981.73 and 981.473):** Handlers file this form with the Board three times per year to report almond inventory carryover, shipments, transfers, and inventories. The Board uses the form to determine and sometimes adjust handlers' assessments and reserve obligations.
- c) **Current Inventory for Next Redetermination Report: ABC-2A (§§ 981.73 and 981.473):** Handlers can choose to have the Board calculate the inventory information on form ABC-2 by completing this letter three times per year and returning it to the Board along with their inventory data.
- d) **Inter-handler Transfer of Almonds: ABC-7 (§§ 981.55 and 981.455(a)):** Handlers use this form to report to the Board transfers of almonds from one handler to another. The Board uses this information to adjust assessment and reserve obligations accordingly.
- e) **Inedible and Exempt Outlet Disposition Notice of Delivery to Oil or Feed: ABC-8 (§ 981.442(a)(5)):** Handlers and accepted users complete this form to document to the Board the disposition of almonds used for almond oil or animal feed. The Board uses this information to track almond disposition. Handlers receive credit for deliveries of inedible or exempted almonds against their inedible disposition obligation when such deliveries are documented with this form.
- f) **Transfer of Unprocessed Almonds: ABC-9 (§ 981.455 (c)):** This form is filed by handlers when unprocessed almonds are transferred to another handler. The Board uses this information to track these transfers, permitting it to make necessary adjustments of each handler's inedible obligation and receipts.
- g) **Reserve Credit Transfer or Reserve Withholding Obligation Transfer: ABC-11 (§ 981.455(b)):** This form is filed by handlers to report transfers of reserve almond

disposition credits. It is used by the Board to identify the handlers, track the quantity of almonds being transferred, and to make appropriate adjustments to reserve disposition obligations.

- h) Agency Agreement - Disposition of Reserve to Non-Competitive Outlets: ABC-12 (§§ 981.67 and 981.467)):** This is an agreement between a handler and the Board, wherein a reserve is established and almonds are to be disposed of in reserve outlets. Once the agreement is approved by the Board, handlers are authorized to dispose of reserve almonds as agents of the Board.
- i) Intention to Ship Reserve Almonds: ABC-13 (§§ 981.74 and 981.474(b))):** This form is filed by handlers when reserve almonds are diverted to non-competitive outlets. The information generated from this form assists the Board in tracking reserve almonds and crediting handlers' reserve disposition obligations.
- j) Completion of Disposition of Reserve Almonds: ABC-14 (§§ 981.74 and 981.474(b))):** Handlers file this form on completion of the disposition of reserve almonds. The information generated from this form assists the Board in tracking reserve almonds and crediting handlers' reserve disposition obligations.
- k) Report of Shipments and Commitments: ABC-25-1 (§§ 981.74 and 981.474(a))):** Handlers file this monthly form with the Board to report almond shipments and commitments. The Board compiles this information and provides it to the industry in aggregate form.
- l) Shipments by Country of Destination: ABC-25-2 (§§ 981.74 and 981.474(a))):** This monthly form is filed with the Board by handlers to report almonds shipped outside the United States.
- m) Application to be Approved as an Accepted User of Inedible Almonds and Almond Waste: ABC 34 (§ 981.441(a)(7))):** This form is filed by almond crushers, feed manufacturers, feeders, etc., who wish to receive and use inedible almonds. Handlers are given credit for inedible dispositions to approved accepted users. This contract permits the Board to track almonds and to verify that such inedibles have been used for authorized purposes.
- n) Accepted User Business Data Sheet: No form number (§ 981.442(a)(7))):** Accepted users of almonds file with this form with the Committee, providing details on the location and ownership of their organizations. On the Change of Worksheet, approved on April 21, 2010, this form was erroneously submitted as page 2 of the "Application to be Approved as an Accepted User of Inedible Almonds and Almond Waste," ABC 34. It is now correctly being listed as a separate form.
- o) Handler Information Sheet: ABC 42 (§ 981.474)):** This form is filed by handlers to provide background information to the Board, as well as to confirm that they have received a copy of the marketing order, the administrative rules and regulations, and a list of handler responsibilities.

- p) **Credit-Back Guide: No form number (§ 981.441(e)(6)):** The Board mails this guide to its handlers, who complete the Credit-Back Statement of Intent, and the Credit-Back Claim Form and return these to the Board to request credit against their assessment obligations for their own promotional activities. The Board uses this information to track assessment obligations and for compliance purposes.

The Claim for Credit-Back Advertising Activities and the Statement of Outstanding Credit-Back Commitments were previously listed as two separate forms, each with its own burden. Since both are mailed out in the same package with shared instructions, we have combined the burden and taken into account the time allotted to review the entire package.

**Order No. 982 - Hazelnuts:**

- a) **Export Agreement: F/H Form A (§§ 982.52 and 982.452):** This is an agreement between the Hazelnut Marketing Board and each handler who wishes to act as an agent of the Board in exporting inshell hazelnuts.
- b) **Sheller's Application for Letter of Authority: F/H Form B (§§ 982.52 and 982.452):** This form serves as an application for a handler to become an authorized sheller of restricted hazelnuts. Only authorized shellers are allowed to handle restricted unshelled hazelnuts under the Order. The Board uses this information to evaluate each application for approval.
- c) **Bonding Undertaking: F/H Form C; Bond: F/H Form C(1); Form for Justification of Sureties: F/H Form C(2); and Acknowledgment of Bonding Requirement: F/H Form C(3) (§§ 982.54 and 982.454):** F/H Form C is an agreement that a handler signs specifying that it will withhold from handling a quantity of hazelnuts equal to the restricted percentage during the fiscal year, and explaining the bonding requirement of § 982.54 of the Order, allowing a handler to delay the timing of the withholding. F/H Form C(1) and F/H Form C(2) are forms that enable a handler to satisfy the bonding requirement. F/H Form C(3) is simply a notice from the Board to the handler acknowledging receipt of the handler's bonding requirements, and places no burden on the handlers.
- d) **Application to be Listed as an Accepted User of Inedible Hazelnuts and Hazelnut Waste: F/H Form D (§§ 982.53 and 982.453):** Inedible hazelnuts may only be disposed of in certain manners, and by accepted users. This form allows handlers to apply for Board approval to be listed as accepted users. The Board uses this information to assess applications and to track hazelnut disposition.
- e) **Hazelnut Waste Product Control Report: F/H Form D(1) (§§ 982.53 and 982.453):** Handlers submit this form to the Board when they intend to dispose of hazelnuts to a manufacturer, other than into the free market. The manufacturer must certify that the hazelnuts were, in fact, used for those purposes. The Board uses this information to monitor compliance with the Order.
- f) **Statement of Expense: F/H Form H (§ 982.37):** This form is used by Board members

and alternates to claim reimbursement for expenses such as mileage costs incurred during official Board duties.

- g) Report of Hazelnut Certifications, Shipments and Diversion Requirements: F/H Form 1; Free Tonnage Shipments: F/H Form 1(a); Export Inshell Shipments: F/H Form 1(b); Kernels Certified: F/H Form 1(c); Restricted Inshell Certified: F/H Form 1(d); Kernel Shipments: F/H Form 1(e), Receipt and Disposition of Hazelnuts Produced Outside the U.S.: F/H Form 1(f) (§§ 982.50, 982.66, 982.68, 982.450, 982.467 and 982.466):** F/H Form 1 is used to keep each handler aware of their restricted obligation position. There are six different forms. F/H Form 1 is a monthly summary of the information reported on the other forms and also summarizes this information, allowing each handler to self-compute their restricted obligation positions. The Board uses this information to account for all domestic inshell sales, and for other sales or uses that result in handler credits. It also maintains industry information.

F/H Forms 1(a) - 1(e) are each completed only if activity occurs that requires information to be reported. F/H Form 1(a), which was previously titled, "Domestic Inshell Shipments," is a report of domestic inshell shipments made during the reporting period.

F/H Form 1(b) is a listing of export inshell shipments made during the reporting period. This information is used to develop industry statistical data.

F/H Form 1(c) is a listing of kernels certified, by inspection certificate, during the reporting period. The data requested on F/H Form 1(c) is essentially the data contained on the official inspection certificate prepared by the Federal-State Inspection Program (FSIP).

F/H Form 1(d) is a listing of restricted inshell hazelnuts certified. The Board uses this information for compliance purposes.

F/H Form 1(e) is a listing of domestic and export kernel shipments by size. The Board uses this information for statistical purposes; data is distributed with the Distribution Reports.

F/H Form 1(f) collects information about hazelnut receipts and disposition produced outside the United States. This report provides the Board with more accurate information on the total supply of hazelnuts being handled in Oregon and Washington, and assists the Board in tracking both domestic and foreign product.

- h) Exchange of Merchantable Inshell Between Handlers: F/H Form 2 (§§ 982.55, 982.56, 982.455 and 982.456):** This form is used to document the exchange of merchantable inshell product between handlers, and report them to the Board. The Board uses this information for compliance purposes.
- i) Request for Transfer of Free Tonnage: F/H Form 3 (§§ 982.52 and 982.460):** This form was previously titled, "Request for Transfer of Excess Restricted Credits," but has been renamed. Handlers wishing to transfer free tonnage credits among themselves can

complete this form and submit it to the Board, who will evaluate the request and then approve it. The Board uses this information to track hazelnut production and assessments.

- j) **Declaration of Inshell Hazelnuts: F/H Form 4 (§§ 982.50 and 982.450):** This form is used at the end of the year by anyone who has merchantable product on hand and wishes to carry it over as free product. This product would then be considered by the Board as if it were shipped during the preceding fiscal year.
- k) **Report to Hazelnut Marketing Board of Handler Carryover: F/H Form 5 (§§ 982.65 and 982.468):** This report is submitted at the end of the marketing year to document ungraded hazelnuts on hand that handlers will carry over to the next season undeclared. The Board uses this information to effect the establishment of free and restricted percentages. The information is also summarized after each reporting period and is made available to the industry.
- l) **Hazelnuts Received, Disposed of or On Hand: F/H Form 6 (§§ 982.65 and 982.468):** This report is submitted twice each year, reporting handlers' hazelnut receipt, disposition, and on hand inventory. The Board uses this information is used for reconciliation purposes and to propagate industry statistics.
- m) **Report of Disposition of Restricted Set-Aside Inshell Hazelnuts: F/H Form 7 (§§ 982.52, 982.67, 982.450, 982.452, and 982.466):** This form is used only by handlers who, at the end of the year, have hazelnuts set aside for restricted use. This form is used to update the Board on the time and movement of these hazelnuts.

#### **Order No. 984 - Walnuts:**

In 2009, the Walnut Marketing Board changed its name to California Walnut Board to coincide with a final rule issued in 2008. As a result, all of the Board's forms have been renumbered to reflect the new Board's name, and now start with "CWB," rather than "WMB."

- a) **District 1, District 2, and At-Large Walnut Grower's Petitions: No form numbers (§§ 984.37 and 984.437):** These petitions are used by walnut growers to nominate members of the California Walnut Board. The Board uses this information to assemble the ballots.

At the time of the last revision in 2007, these forms were previously entitled, "District 1, District 2, and At Large *Independent* Walnut Grower's Petitions, and accounted for 4 respondents each. In April 2009, the Board underwent changes to coincide with a final rule in March 2008, which amended this Order. In complying with this rule, the Board removed the word "Independent" from the title of each of these forms, which greatly affected the burden accounted for by these three forms. In the regulation, language specifying membership allocation between cooperative and independent interests was removed from the Order because *all* production area walnut handlers are now considered to be independent, whereas previously there were only four independent walnut handlers. The result has been a vast increase in respondents associated with these three forms.

- b) **Agency Agreement for Reserve Walnuts: CWB Form 16 (§§ 984.56 and 984.456):** Handlers who wish to be eligible to dispose of reserve walnuts must sign this agreement with the Board, and must subsequently file a report of any sale of reserve walnuts. The Board uses this information for compliance purposes.
- c) **Request for Transfer of Reserve Credits: CWB Form 17 (§§ 984.56 and 984.456):** A handler who desires to transfer credit for reserve disposition in excess of his or her reserve obligation must submit a request to the Board on this form. The form allows handlers to make adjustments to the marketing of their walnuts by balancing one handler's excess withholding with another handler's need for credit. This information allows the Board to verify that conditions specified in the agency agreement for disposition of reserve (Export Agreement for Walnuts) have been satisfied.
- d) **Consummation of Sale and Transmittal of Supporting Documents: CWB Export Form B (§ 984.56):** Handlers submit this form to the Board, reporting on the sales of reserve walnuts. The Board uses this information to verify that reserve walnuts are properly disposed of.
- e) **Application to be an Approved User of Substandard Walnuts: CWB Form 24-05 (Non-Reserve Year) and CWB Form 24-10 (Reserve Year); Report of Substandard Walnuts Received: CWB Form 24-15 (Non-Reserve Year); Substandard Walnut User Agreement: CWB Form 24-20 (Reserve Year) (§§ 984.56, 984.64 and 984.464):** Anyone wishing to use substandard walnuts for the production of oil or as an ingredient in animal feed, must apply to the Board for approval as eligible users of substandard walnuts. During non-reserve years, substandard walnut users must report to the Board the substandard walnuts they have received. During reserve years, approved substandard walnut users must sign a Substandard Walnut User Agreement with the Board prior to handling substandard walnuts. The Board uses this information for tracking and compliance purposes.
- f) **Report of Substandard Walnuts Delivered: CWB Form 20 (§§ 984.56, 984.64 and 984.464):** Reserve or substandard walnuts can be pooled with the Board at any time, and reserve walnuts not otherwise disposed of by the end of the marketing year must be pooled. Form 20 is used to report this delivery to the Board in order for the walnut handler to obtain credit and receive payment. The Board uses this information for compliance purposes, and to account for the handler equity in the pool.
- g) **Inventory Report (Inshell and Shelled): CWB Forms 4 and 5 (§§ 984.71 and 984.471):** Handlers use this form to report inventory information to the Board, who uses this data to formulate the marketing policy.
- h) **Report of Merchantable Walnuts Shipped: CWB Form 6 (§ 984.72 and 984.472):** Walnut handlers file this monthly report with the Board, reporting their shipments of merchantable walnuts. The Board uses this information to formulate marketing policy and to verify compliance with quality and volume regulations.
- i) **Crop Acquisition Report, by County and Variety, for Crop Year: CWB Form 1 (§§**

**984.73 and 984.473**): Handlers file this report with the Board, documenting their walnut receipts from growers on or before January 15 of each marketing year. The Board uses the production information to determine the actual tonnage of each walnut crop to create an objective crop estimate. Production estimates are used by growers to plan for future production.

- j) **Quarterly Report of Receipt of Walnuts Produced Outside the United States: CWB Form 7 (§§ 984.73 and 984.476)**: Handlers file this quarterly report with the Board, documenting receipts of walnuts grown outside the United States. The Board uses this information to track the supply of walnuts available within California.
- k) **Report of Inter-handler Transfers of Walnuts: CWB Form 8 (§§ 984.59, 984.76 and 984.459)**: Handlers who transfer walnuts to other handlers, and the handlers who receive such walnuts, file this form with the Board to document the inter-handler transfer. The Board uses this information for compliance purposes.

**Order No. 985 – Spearmint Oil:**

- a) **Application for Class 1 (Scotch) Annual Allotment: No Form Number (§§ 985.54 and 985.154)**: This form is used by producers who have Allotment Base and intend to produce oil in a given year. At the beginning of each marketing season, the producer supplies the number of acres of Class 1 oil, whether the acres are new plantings or established plantings and the location of the acres. This information is obtained by the Far West Spearmint Oil Administrative Committee for compliance purposes.
- b) **Application for Class 3 (Native) Annual Allotment: No Form Number (§§ 985.54 and 985.154)**: This form is used by producers who have Allotment Base and intend to produce oil in a given year. At the beginning of each marketing season, the producer supplies the number of acres of Class 3 oil, whether the acres are new plantings or established plantings and the location of the acres. This information is obtained for compliance purposes.
- c) **Annual Allotment Certificate, Class 1: No Form Number (§§ 985.52, 985.54, 985.56, 985.57, 985.152, and 985.156)**: This form is used by handlers to ascertain the amount of Class 1 (Scotch) spearmint oil that producers may market in a given marketing year. The certificate is issued annually to each producer and is submitted by the producer to the handler at the time of sale, who then completes the form. The Committee uses this information for compliance purposes.
- d) **Annual Allotment Certificate, Class 3: No Form Number (§§ 985.52, 985.54, 985.56, 985.57, 985.152, and 985.156)**: This form is used by handlers to ascertain the amount of Class 3 (Native) spearmint oil producers may market in a given marketing year. The certificate is issued annually to each producer and is submitted by the producer to the handler at the time of sale, who then completes the form. The Committee uses this information for compliance purposes.
- e) **Application for Additional Base, Class 1 (Scotch): No Form Number (§§ 985.53 and 985.153)**: Each year, the Committee notifies all existing producers when additional



spearmint oil base is available. This form is used by existing producers to apply for additional Class 1 base, which is divided equally among all applicants. The information requested on this form is used to carry out the mandates of the Order for releasing additional base each year.

- f) **Application for Additional Base, Class 3 (Native): No Form Number (§§ 985.53 and 985.153):** Each year, the Committee notifies all existing producers when additional spearmint oil base is available. This form is used by existing producers to apply for additional Class 3 base, which is divided equally among all applicants. The information requested on this form is used to carry out the mandates of the Order for releasing additional base each year.
- g) **Application for New Allotment Base, Class 1 – Scotch: No Form Number (§§ 985.53 and 985.153):** Each year, the Committee gives public notice of the availability of additional spearmint oil base. This form is filled out by new producers to apply for Class 1 base. The information requested on this form is used to carry out the mandates of the Order for releasing additional base each year.
- h) **Application for New Allotment Base, Class 3 – Native: No Form Number (§§ 985.53 and 985.153):** Each year, the Committee gives public notice of the availability of additional spearmint oil base. This form is filled out by new producers to apply for Class 3 base. The information requested on this form is used to carry out the mandates of the Order for releasing additional base each year.
- i) **Still Form (Class 1 - Green): H-1 (§§ 985.55 and 985.155):** This form is used by producers to report their Class 1 (Scotch) spearmint oil production to the Committee. This information is obtained for compliance and verification purposes.
- j) **Still Form (Class 3 - Blue): H-1 (§§ 985.55 and 985.155):** This form is used by producers to report their Class 3 (Native) spearmint oil production to the Committee. This information is obtained for compliance and verification purposes.
- k) **Allotment Base Transfer Form: G-1 (§ 985.59):** This form is used by the Committee to record the transfer of Class 1 or Class 3 spearmint oil base from one producer to another. The Committee retains this information for compliance purposes.

**Order No. 987 - California Dates:**

- a) **Inter-handler Transfer of Dates: CDAC-1 (§§ 987.151 and 987.51):** This form enables the California Date Administrative Committee to track the current inventory and movement of dates between handlers for purposes of compliance with the Marketing Order.
- b) **Report of Exempt Sales: CDAC-2 (§ 987.165(a)):** This form is filed annually with the Committee by handlers to report the quantity and variety of dates sold under exemption. The Committee uses this information to track the sales of exempted fruit.
- c) **Application to be Placed on the List of Approved Date Product Manufacturers:**

**CDAC-3 (§§ 987.157 and 987.57):** This form is filed with the Committee by prospective date processors who want to receive and process dates in the manufacturing of products in which the dates lose their whole or pitted form. Applicants must certify the location of the processing and the number of dates to be processed.

- d) Date Product Manufacturer's Report: CDAC-4 (§§ 987.157 and 987.165(b)):** Handlers file this annual report with the Committee to document their date inventory for the crop year, tracking date receipts and quantity manufactured. The Committee uses this information to plan for market demand. It is also used in formulating the annual marketing policy statement.
- e) Report of Handler Carry-Over: CDAC-5 (§§ 987.161 and 987.61):** Handlers file this form with the Committee to document their date inventory carryover in March and October each year. The Committee uses this data to determine industry inventory and to formulate its marketing policy.
- f) Monthly Report of Acquisition and Disposition of Dates: CDAC-6 (§§ 987.162 and 987.62):** Handlers file this monthly report with the Committee to document changes to their date inventories. The Committee uses this information for compliance purposes and to formulate its marketing policy.
- g) Report of Disposition of Product Grade Dates: CDAC-8 (§§ 987.15, 987.51, 987.157, 987.57, 987.164 and 987.64):** Handlers and manufacturers use this form to verify dispositions of product grade dates. The Committee uses this information to track the inventory of product-grade dates in the production area, and to record the transfer of product-grade dates from a handler to any approved date product manufacturer.
- h) Clearance for Export of Dates to Mexico: CDAC-11(a) (§§ 987.64, 987.164, 987.112(a), and 987.112(a)(d)(3)):** Handlers file this form with the Committee to report shipments of dates for export to Mexico. The Committee uses this information for compliance purposes and to verify that the fruit does not re-enter the United States.
- i) Estimate of Production: CDAC-18 (§§ 987.138 and 987.13):** Handlers file this annual report with the Committee to report all date acquisitions from producers and to provide an estimate of the new season's production. The information is used by the Committee to track the location and number of assessable handlers in the industry, estimate long-term supply of dates, and prepare the annual marketing policy statement.
- j) Delivery Manifest: CDAC SP-1 (§§ 987.147(b) and 987.47):** Handlers file this report with the Committee to track cull dates that have been delivered to the cull pool, which is operated by the Committee, and are to be used for non-human consumption. Tracking the disposition of these dates is imperative to ensure that such dates do not enter the fresh market.
- k) Producer Application for Exemption from Regulations: CDAC-9 (§§ 987.152(a) and 987.52):** Date producers file this form with the Committee, requesting exemption from regulations for dates sold at roadside stands or local date shops within 25 miles of Indio, California, by mail order, or at certified farmer's markets in California. The Committee

uses this information to make an approval determination and for compliance purposes.

- l) Handler Application for Certain Exemptions from Regulations: CDAC-10 (§§ 987.152(a) and 987.152(b)(1)):** Handlers file this form to report to the Committee sales of dates to specialty outlets, such as candy manufacturers, health food stores and outlets, or to buyers who purchase no more than 150 pounds per day. The Committee uses this information for compliance purposes.
  
- m) Request for Handler Exemptions – Donations: No form number (§§ 987.152(b)(1) and 987.52):** Handlers wishing to donate dates to charities, prisons, or Indian reservations exempt from marketing order regulations submit requests to do so, similar to this example, to the Committee. The Committee provides this sample letter to handlers as a guide line for the information they must include.

### **Order No. 989 - California Raisins:**

The Raisin Administrative Committee maintains its forms in a document database, which uses a proprietary software program to generate the forms for its handlers. This process may modify the appearance of the Committee’s forms slightly in margin size or font type, but the OMB-approved content is not affected.

- a) Reserve Raisin Bin Control Record: RAC-9 (§ 989.66):** Handlers file this form on a monthly basis to document their raisin inventory and bin location. The Raisin Administrative Committee owns the bins and uses them for storing reserve raisins beyond a crop year. When all the bins are not needed for this purpose, the Committee rents the extra bins to handlers for new crop deliveries. The purpose of this report is to allow the Committee to keep track of RAC bins.
  
- b) RAC Storage Bin Agreement: RAC-71 (§ 989.66):** Handlers sign this agreement if they rent storage bins from the Committee to store reserve raisins. The Committee uses this information to maintain oversight of the storage bin rental program.
  
- c) Replacement Program Application/Deposit: RAC-100; and Export Program Application for Cash Back: RAC-100C (§ 989.67):** Handlers file the RAC-100 with the Committee to purchase reserve raisins for export. Handlers file the RAC-100C with the Committee to request cash reimbursement for raisins exported pursuant to an approved application. The reimbursement acts as a subsidy for raisins that were exported to markets where the prices are much lower than those in the domestic market, and to allow the handlers to fairly compete on the export market. The Committee uses this information to monitor compliance with the program.
  
- d) Export Raisin Back Release Order: RAC-102; Release Order: RAC-102A (§ 989.67):** RAC-102, previously entitled, “Export Transmittal Form,” this form is used by handlers to transmit required documentation to the Committee to substantiate the export of free tonnage raisins to eligible markets. RAC-102A compliments RAC-102, and is used by handlers to keep the Committee informed of withdrawals from reserve tonnage raisins.

- e) **Application to Purchase Reserve Pool Raisins to Fulfill an Offer to Sell Pursuant to Announcement: RAC-106 (§ 989.67):** Handlers file this application with the Committee to purchase reserve pool raisins to fill a successful bid under a Government purchase program. The Committee uses this information for compliance purposes.
- f) **Agreement for Voluntary Participation in the RAC Export Programs: RAC-70 (§ 989.67):** Handlers sign this agreement to participate in a cash-back or raisin-back export program, certifying that all raisins exported for which an application is made under the export program are 100% in origin from grapes grown, processed, and packaged solely in California. The Committee uses this information to run the export programs and for compliance purposes.
- g) **Application for Raisin Diversion Certificate (Diversion Abortion Only): RAC-1000; Application for Raisin Diversion Certificate (Vine Removal Only) RAC-1000R (§ 989.156(b)):** These applications are prepared and submitted by those producers desiring Committee approval to participate in a Raisin Diversion Program. The Committee uses this information to monitor compliance with the diversion program.
- h) **Schedule for Redemption of Raisin Diversion Certificates: RAC-1001 (§ 989.156(k)):** Handlers file this form with the Committee to redeem producer Raisin Diversion Certificates, thus requesting the release of reserve pool raisins to handlers. The Committee uses this information for compliance purposes.
- i) **Non-California Raisins: RAC-510 (§ 989.157):** This form is prepared and submitted by handlers and used by the Inspection Service and the Committee to properly identify, document, and monitor non-California raisins. The Committee uses this information to identify, document, and monitor the handling of non-California raisins.
- j) **Notification of Intention to Handle Raisins and Application for Inspection Point: RAC-5 (§ 989.158(A)1(ii)):** This form is used by handlers to notify the Committee of their intent to handle raisins and apply for an inspection point. The purpose of this form is to register raisin handlers, which in turn assists the Committee in monitoring compliance and assessment collection.
- k) **Door Receipt or Weight Certificate: No form number (§ 989.158(a)(3)):** Handlers submit to the Committee receipts or certificates for each lot of natural condition raisins produced. The Committee uses this information to compute handler acquisitions and to establish producer equity in a reserve pool.
- l) **Application and Agreement for Dehydrator On-Premise Inspection Service Relating to Raisins: RAC-69 (§ 989.158(e)(1)):** Dehydrators requesting approval for on-premise inspection of natural condition raisins file this application with the Committee. The Committee uses this information to make authorization decisions.
- m) **Application and Agreement for Inspection of Raisins at Cooperative Bargaining Association's Receiving Station: RAC-88 (§ 989.158(f)(1)):** This form was not previously numbered, but has now been numbered as RAC-88. This form is submitted by cooperative bargaining associations seeking Committee approval to establish an

inspection point, and exemption from reinspection of lots of natural condition raisins, provided that such raisins have already been inspected and stored in conformity with the terms and conditions of the application and agreement. The purpose of this form is to allow the Committee to authorize inspection at an association's receiving station and to set reporting and record keeping requirements.

- n) **Daily Pack-Out Report: RAC-15 (§ 989.159(b)(2))**: This report is completed by handlers to provide information to the Committee for use in monitoring the shipment of inspected raisins.
- o) **Application for Exemption of Experimental and Specialty Packs from Outgoing Inspection Requirements: RAC-8 (§ 989.159(f)(2))**: This application is submitted by handlers seeking Committee approval for exemption of experimental and specialty packs from one or more of the inspection and certification requirements of the minimum grade standards for packed raisins. The purpose of this application is to allow the Committee to authorize handlers to ship under such an exemption.
- p) **Application for Sale, Shipment or Disposition of Raisins or Raisin Residual Material: RAC-35; Raisins and Raisin Residual Sales Provisions: RAC-36 (§ 989.159(g)(2))**: RAC-35 is used by processors seeking Committee approval for the sale, shipment, or disposition of raisins and raisin residual materials in eligible non-normal outlets. The Committee uses this information to make an approval determination. The attachment (RAC-36) is the buyer's signed agreement to dispose of the off-grade raisins and raisin residual material into eligible non-normal outlets. The Committee uses this information for compliance purposes.
- q) **Stack Control Record: RAC-7; Field Summary of Raisins Held: RAC-7A (§ 989.166(a))**: The RAC-7 form is a record of the location of reserve pool raisins, which handlers file with the Committee. The purpose of this record is to verify that a handler has sufficient raisins stored and identified to meet their reserve obligations, and that these reserve raisins are properly stored to maintain the producer's equity. The RAC-7A is a supporting form to be used with the Stack Control Record, and is a running tally of pool inventory.
- r) **Inventory of Free Tonnage Standard Quality Raisins on Hand: RAC-50 (§ 989.173(a)); Inventory of Free Tonnage Standard Quality Organic Raisins on Hand: RAC-50 CO (§ 989.173(d)(1))**: Handlers file these with the Committee to report their inventory of free tonnage or free tonnage organic raisins held at specific times requested by the Committee. The Committee uses this information to compute trade demand and make marketing policies.
- s) **Inventory of Off-Grade Raisins on Hand: RAC-51 (§ 989.173(a)); Inventory of Off-Grade Raisins on Hand – Organically Produced Raisins: RAC-51 CO (§ 989.173(d)(1)(ii))**: Handlers file these forms with the Committee to report their inventory of off-grade raisins. The Committee uses this information to determine the quantity of off-grade raisins held at the end of the year and to formulate marketing policies.

- t) **Weekly Report of Standard Raisin Acquisitions: RAC-1 (§ 989.173(b)(2)); Weekly Report of Standard Organic Raisin Acquisitions: RAC-1 CO (§ 989.173(d)(2)):** These weekly reports are filed by handlers to provide a weekly accounting of their standard raisin acquisitions. The Committee uses this information to monitor standard raisin acquisitions, to track the assessable tonnage and reserve pool obligation of each handler, and to establish producer equity in a reserve pool.
- u) **Weekly Report of Standard Raisins Received for Memorandum Receipt or Warehousing: RAC-3 (§ 989.173(b)(3)):** This form is prepared and submitted weekly by handlers who choose to receive raisins for storage only. Such raisins are so identified by the Inspection Service and may not be used by a handler until reported as an acquisition on form RAC-1. The Committee uses this information for compliance purposes.
- v) **Weekly Off-Grade Summary: RAC-30; Weekly Report of Disposition of Standard Raisins Recovered from Reconditioning of Off-Grade Raisins: RAC-33 (§ 989.173(b)(5)):** Handlers prepare the RAC-30 on a weekly basis to account for off-grade raisin inventory. They also prepare the RAC-33 on a weekly basis to account for the disposition of reconditioned off-grade raisins. The Committee uses this information to monitor and verify that no off-grade raisins enter into standard raisin markets without proper reconditioning to remove defects.
- w) **Processor's Report of Acquisition of Off-grade Raisins and Raisin Material: RAC-28 (§ 989.173(b)(6)):** Processors file this monthly report with the Committee to report on the receipt of off-grade raisins and raisin residual material. The Committee uses this information to account for off-grade raisins and raisin residual material, thus monitoring the entry of such raisins into eligible non-normal market channels.
- x) **Processor's Report of Disposition of Off-grade Raisins and Raisin Material: RAC-28A (§ 989.173(c)(4)):** Processors file this monthly report with the Committee to report on the authorized disposition of off-grade raisins and raisin residual material. The Committee uses this information to confirm that such raisins were disposed of by handlers in eligible non-normal markets and for compliance purposes.
- y) **Monthly Report of Receipt of Raisins Produced from Grapes Grown Outside of the Production Area: RAC-500 (§ 989.173(b)(7)):** Handlers file this form with the Committee to report monthly receipts of non-California raisins. The Committee uses this information for compliance purposes.
- z) **Monthly Report of Disposition of Raisins Produced from Grapes Grown Outside of the Production Area: RAC-501 (§ 989.173(c)(3)):** Handlers file this form with the Committee to report monthly dispositions of non-California raisins. The Committee uses this information for compliance purposes.
- aa) **Monthly Report of Free Tonnage Raisin Disposition: RAC-20 (§ 989.173(c)(1)); Monthly Report of Free Tonnage Raisin Disposition: RAC-20 CO (§989.173(d)(3)):** This monthly form is prepared by handlers to report the disposition of free tonnage

raisins. The Committee uses this information to monitor free tonnage shipments and to verify that handlers are not exceeding their inspected free tonnage raisin quantities. As the season progresses, the Committee uses this information to compare actual shipments with projected shipments. This information is also used in preparing the Committee's marketing policy statement.

**bb) Monthly Free Tonnage Exports by Country of Destination (Supplement to RAC-20): RAC-21 (§ 989.173(c)(1)(iv)); Monthly Free Tonnage Organic Exports by Country of Destination (Supplement to RAC-21 CO): RAC-21 CO (§ 989.173(d)(3)):**

Handlers file these forms with the Committee to report free tonnage shipments to export markets. The Committee uses this information to monitor free tonnage exports by varietal type and country of destination. These forms are supplemental to the Monthly Report of Free Tonnage Raisin Disposition (RAC-20 and RAC-20 CO) and are useful in export market planning.

**cc) Monthly Report of Disposition of Off-Grade Raisins, Other Failing Raisins and Raisin Material: RAC-32 (§ 989.173(c)(2)):** Handlers file this monthly report with the Committee to report the disposition of off-grade, other failing raisins, and raisin residual material into authorized outlets. The Committee uses this information to monitor and verify the disposition of such raisins into authorized outlets in order to prevent their disposition into standard raisin markets.

**dd) Inter-Handler Transfer of Free Tonnage Raisins: RAC-6 (§ 989.173(d)(1)); Inter-Handler Transfer of Free Tonnage Organic Raisins: RAC-6 CO (§989.173(d)(3)):** Handlers submit this form to the Committee to document the inter-handler transfer of free tonnage raisins. The Committee uses this information to monitor inter-handler transfers, verify compliance, and correctly levy assessments.

**ee) Notice to Designate a Portion of Inspection Point Off-Limits: RAC-99 (§§ 989.158(a)(ii)):** This form was previously entitled, "Producer Questionnaire," and requested different information. The form has been updated, and the title has now been changed to "Notice to Designate a Portion of Inspection Points Off-Limits." Handlers file this form with the Committee to notify the Committee of areas of the handlers' inspection points that are off-limits for specified periods. The Committee uses this information for compliance purposes.

**ff) Assignment of Pool Equity: No form number (§ 989.66):** Raisin growers complete this form and submit it to the Committee if they are assigning their pool equity to another entity, such as another handler or a bank. The Committee uses this information for compliance purposes.

**gg) Report of the Weighted Average Price Paid to Producers for Free Tonnage Raisins: RAC-55 (§ 989.173(f)(3)):** Handlers file this form with the Committee to report on their inventories of free tonnage organic raisins and the prices paid therefore. The Committee uses this information to provide better oversight in administering regulations and grower programs for export and diversion.

- hh) Application for Exemption: RAC-75 (§ 989.60(c)):** Handlers file this application to seek exemption from specific regulations specified by the Committee. The Committee uses this information to make approval determinations and to grant exemptions for raisins it deems appropriate for production, processing, and marketing and research and development.
- ii) Raisin Grower Survey: No form number (§ 989.36(d)):** The Committee uses this survey to investigate and assemble data on the production, handling and market conditions of raisins. A current grower survey is not in use, but may be issued every three to five years to gather data on the industry.
- jj) Request for USDA Appeal Inspection: FV-10D (§§ 989.158(a)(5), 989.158(h)):** Handlers use this form to request an appeal inspection from the Committee when their raisin lots fail to meet the requirements of the Order. The Committee uses this information for compliance purposes.
- kk) Request for Courtesy Inspection: FV-12 (§§ 989.158(a)(5), 989.158(h)):** Handlers use this form to request a courtesy inspection of their raisin lots for various conditions, such as moisture, etc. The Committee uses this information for compliance purposes.
- ll) Uncashed or Unclaimed Grower Check Claim Affirmation Form: RAC-166 (§ 989.67):** This is a new form that growers or their heirs use this form to request funds from the Committee for non-cashed grower checks that were distributed in previous years as payment for reserve pool raisins. The Committee uses this information to monitor the reimbursement program.

**Order No. 993 – CA Prunes:**

On August 1, 2005, all handling and most reporting requirements in effect under the Order were suspended indefinitely. Recently there has been ongoing discussion of establishing quality regulations for prune juice concentrate, which would necessitate reinstating some of the reporting and handling requirements.

- a) Application for Permission to Dispose of Substandard Prunes: PMC 2.2 (§ 993.50, 993.150(e)(1)(ii)):** Handlers file this form with the Prune Marketing Committee when they wish to dispose of substandard prunes in a manner for non-human consumption. The Committee uses this information to track prune disposition and for compliance purposes.
- b) Application for Permission to Dispose of Undersized Prunes for Non-Human Usage: PMC 2.21 (§§ 993.49, 993.50, 993.150(g)(1)):** Handlers use this form to apply to the Committee for permission to dispose of undersized prunes for non-human consumption. The Committee uses this information to track the disposition of prunes for non-human consumption, and for approval and compliance purposes.
- c) Handler Request to Extend Undersized Prunes Disposition Deadline: PMC 2.41 (§ 993.150(g)(1)):** Handlers use this form when they cannot dispose of their undersized



prunes by the crop year deadline, and need to apply to the Committee for an extension. The Committee uses this information for compliance purposes.

- d) **Statement of Proposed Disposition of Substandard Prunes: PMC 2.6 (§§ 993.50, 993.150(e)(1)(ii))**: Handlers seeking to dispose of prunes that do not meet minimum standards use this form to apply to the Committee for approval to do so. The Committee uses this information for compliance purposes.
- e) **Statement of Proposed Disposition of Substandard Prunes: PMC 2.63 (§§ 993.50, 993.150(g)(2)(iv))**: Handlers seeking to dispose of undersized prunes use this form to apply to the Committee for approval to do so. The Committee uses this information for compliance purposes.
- f) **Reserve Prunes Held by Handler: PMC 4.1 (§§ 993.73, 993.172(e))**: Handlers use this form to report to the Committee reserve prune holdings. The Committee uses this information to track industry production and for compliance purposes.
- g) **Reserve Prunes Held by Handler for Animal Feed Disposition: PMC 4.12 (§§ 993.65, 993.73, 993.165)**: Handlers use this form to report to the Committee reserve prune holdings that may be used for animal feed. The Committee uses this information for compliance purposes.
- h) **Reserve Tonnage Sales Agreement: PMC 4.2 (§ 993.59)**: This is an agreement between a handler and the Committee that is signed on to when a handler purchases reserve prunes from the Committee. This information is used to monitor reserve prune sales.
- i) **Certificate of Insurance Coverage: PMC 4.5 (§§ 993.59, 993.159(b))**: Handlers complete this form and file it with the Committee to indicate that they have obtained insurance coverage for their reserve prunes. The Committee uses this information for compliance purposes.
- j) **Report of Sorting Charges: PMC 4.6 (§§ 993.59, 993.73, and 993.159)**: Handlers use this form to report to the Committee sorting charges that are to be deducted from prune producers and dehydrators. The Committee uses this information for compliance purposes.
- k) **Converters Certificate of Dried Prunes for Non-Human Usage: PMC 4.7 (§§ 993.65, 993.73, 993.165(c))**: Handlers file this report with the Committee to document shipments of prunes for animal feed. The Committee uses this information for compliance purposes.
- l) **Users Receipt of Dried Undersized Prunes for Non-Human Usage: PMC 4.71A (§§ 993.50, 993.150(g)(2)(ii))**: Users of undersized prunes submit this form to the Committee, documenting from whom the prunes were received, and for what the prunes are to be used. The Committee uses this information to verify that these prunes do not

enter fresh market channels.

- m) **Users Certificate of Non-Human Usage of Dried Undersized Prunes: PMC 4.71B (§§ 993.50, 993.150(g)(2)(iv)):** Users of dried undersized prunes for non-human consumption use this form to report to the Committee the lots of such prunes that were used for non-human consumption so the Committee can verify that these prunes did not enter fresh market channels.
- n) **Foreign Export Notice of Receipt of Substandard Prunes for Manufacturing Purposes: PMC 4.72A (§§ 993.50, 993.150(e)):** Handlers file this form with the Committee to verify that substandard prunes will be processed and exported to foreign markets. The Committee uses this information for compliance purposes.
- o) **Foreign Export Notice of Usage of Substandard Prunes for Manufacturing Purposes: PMC 4.72B (§§ 993.50, 993.150(e)):** Handlers file this form with the Committee to document substandard prunes that were sold on the export market for manufacturing purposes. The Committee uses this information for compliance purposes.
- p) **Affidavit of Diversion: PMC 4.9 (§§ 993.65, 993.73, 993.165):** Handlers file this form with the Committee to verify that surplus prunes were sold to a diverter pursuant to a Reserve Tonnage Sales Agreement (PMC 4.2). The Committee uses this information for compliance purposes.
- q) **Notice of Proposed Intent to Store Reserve Prunes: PMC 5.1 (§§ 993.57, 993.157(e)):** Handlers file this form with the Committee to notify it of their intent to put reserve prunes in storage. The Committee uses this information for compliance purposes.
- r) **Acceptance of Offer to Sell Reserve Prunes and Attached Bidsheet Detail: PMC 6.2 and PMC 6.2F (§§ 993.65, 993.165(b)):** Handlers who wish to purchase reserve prunes from the Committee file this form, indicating their agreement with the purchase terms.
- s) **Application for Purchase of Reserve Prunes for Government Purchase: PMC 6.33 (§§ 993.65, 993.165):** Handlers may use this form to apply to the Committee to purchase reserve prunes for government use. The Committee uses this information to track prune disposition and for compliance purposes.
- t) **Assignment of Pool Equity: PMC 8.2 (§ 993.65(a)):** Handlers may assign their equity in reserve prunes by completing this form and filing it with the Committee. The Committee uses this information to track prune holdings and in turn to pay any proceeds from said prunes to the correct handler.
- u) **Request for Replacement of Draft: PMC 8.44 (§§ 993.65, 993.165):** Handlers may use this form to report to the Committee that distributions for pool reserves were not received. The Committee uses this information to determine whether a replacement payment should be issued.

- v) **Claim for Reserve Pool Proceeds: PMC 8.443 (§§ 993.65, 993.165):** Handlers, or their survivors, use this form to request payment from the Committee for proceeds owed to them for the sale of reserve pool prunes.
- w) **Prune Grower Number Assignment: PMC 8.9 (§ 993.73):** Handlers use this form to request grower numbers from the Committee. The Committee uses this information to track growers who sell prunes to handlers in the regulated area.
- x) **Prune Grower Number Assignment Correction: PMC 8.91 (§ 993.73):** Handlers use this form to request grower number assignment corrections from the Committee, who tracks growers in the regulated area.
- y) **Notification of Desire for Deferment of Reserve Withholding: PMC 9.1 (§§993.58, 993.158):** Handlers use this form to apply to the Committee for a deferment of their surplus tonnage obligations for the crop year. The Committee uses this information for compliance purposes.
- z) **Application for Prune Plum Diversion (Independent Producer & Sunsweet Producer): PMC 10.1A, PMC 10.1B, PMC 10.2A, PMC 10.2B (§§ 993.62, 993.162(c) (1), (2)):** Handlers use this form to report to the Committee the amount of prunes that are part of the diversion program, or to report amendments to information previously provided. The Committee uses this information for tracking and compliance purposes.
- aa) **Withdrawal of Application for Prune Plum Diversion: PMC 10.13 (§§ 993.62, 993.162(c)):** Handlers file this form with the Committee to request a withdrawal of a diversion application.
- bb) **Diversion Certificate of Salable Tonnage: PMC 10.3 (§§ 993.62, 993.162(f)):**  
Handlers submit this application to the Committee to seek approval for a prune diversion of surplus tonnage. The Committee uses this information to determine whether the application should be approved, and if so, endorses this application, which is then the approval diversion certificate.
- cc) **Diverters Request for Transferable Prune Plum Diversion Certificate: PMC 10.5 (§§993.62, 993.162(f)):** Handlers file this form with the Committee, reporting to whom diversion certificates should be sent. The Committee uses this information to accurately track prune diversions.
- dd) **New Crop Supply & Inbound Prune Report: PMC 11.1 (§§ 993.72, 993.172(b)):**  
Handlers use this form to report prune inventory to the Committee, who uses this information to determine its marketing policy.
- ee) **Recap of Interhandler Movements: PMC 11.1A (§§ 993.72, 993.172(b)(2)(ii), (d)(3)):**  
Handlers use this form to report to the Committee prunes that were received from other handlers or shipped to other handlers. The Committee uses this information to track the prune industry inventory.

- ff) Report of Shipments: PMC 12.1 (§§ 993.72, 993.172(d)):** Handlers submit this form to the Committee to document prune shipments and inventory information. The Committee uses this information to determine its marketing policy.
- gg) Cumulative Prune Export Shipments: PMC 12.1A (§§ 993.72, 993.172(d)):** Handlers use this form to report to the Committee their prune exports. The Committee uses this information for compliance purposes.
- hh) Report of Carryover and Marketing Policy Information: PMC 14.1 (§ 993.173(c)):** Handlers file this report with the Committee to document their inventory holdings for the crop year, broken down by variety, and domestic versus export shipments. The Committee uses this information to formulate its marketing policy.
- ii) Report of Inventory at Close of Crop Year and Crop Year Activity in High Moisture Content Prunes: PMC 14.5 (§§ 993.49, 993.149(d)(3)):** Handlers use this form to report to the Committee their inventory of high moisture content prunes for the crop year. The Committee uses this information for tracking and compliance purposes.
- jj) California Prune Association Non-Bearing Prune Acreage Survey: PMC 14.9 (§ 993.73):** Handlers complete this survey about their non-bearing prune acreage, which they submit to the Committee, who uses this information for industry tracking purposes.
- kk) Release of Grower Production Information: No form number (§ 993.71):** Handlers sign and submit this letter to the Committee, allowing the Committee to receive data on the handler's production from the Federal Crop Insurance Corporation and other U.S. Government agencies. This information allows the Committee to function in accordance with Order requirements.

**Order No. 999 – Specialty Crops: Import Regulations (Section 8e):**

- a) Prune Form No. 1 – Section 8e Entry Declaration: FV-170 (999.200(e)(2)):** Importers use this form to declare the entry of section 608(e) imported prunes to be used in processing, which therefore are not subject to USDA grade requirements. The USDA uses this information to monitor the movement of manufacturing grade substandard prunes.
- b) Prune Form No. 2 – Section 8e Certification of Processor or Reseller: FV-171 (999.200(e)(2)):** Processors and resellers use this form as a certification to the USDA that the intended use of imported substandard prunes is for processing. The USDA uses this information to verify that the imported prunes covered by Prune Form No. 1 are used only in processing.

The following forms have been replaced by the FV-6 Exempt form under currently-approved OMB control number 0581-0167, as mandated by 7 CFR 999.1, Specialty Crops Import Regulations:

Date Form No. 1: Dates – Section 8e Entry Declaration: FV-191 (§ 999.1(e)(2));

Date Form No. 2: Dates for Processing – Section 8e Certification of Processor or Reseller: FV-192 (§ 999.1(e)(3));

Raisin Form No. 1: Raisins – Section 8e Entry Declaration: FV-197 (§ 999.300(e)(2)(i)); and

Raisin Form No. 2: Raisins – Section 8e Certification of Processor or Reseller: FV-198 (§ 999.300(e)(2)(ii)).

- 3. DESCRIBE WHETHER, AND TO WHAT EXTENT, THE COLLECTION OF INFORMATION INVOLVES THE USE OF AUTOMATED, ELECTRONIC, MECHANICAL, OR OTHER TECHNOLOGICAL COLLECTION TECHNIQUES OR OTHER FORMS OF INFORMATION TECHNOLOGY, E.G. PERMITTING ELECTRONIC SUBMISSION OF RESPONSES, AND THE BASIS FOR THE DECISION FOR ADOPTING THIS MEANS OF COLLECTION. ALSO, DESCRIBE ANY CONSIDERATION OF USING INFORMATION TECHNOLOGY TO REDUCE BURDEN.**

Upon approval, these forms will be used to submit information directly to the Committees and Boards that administer the respective Orders. These Committees and Boards are not part of a Federal agency, but are industry commodity Committees that operate under Federal authority and oversight.

The availability and submission of forms electronically is at the discretion of the Boards and Committees. Currently, most forms are transmitted by fax and mail. Some Committees and Boards are transmitting some forms by e-mail, but many handlers and growers do not have the ability to access electronic forms.

Information collections are periodically reviewed by each Committee or Board to ensure that they are understood by industry members, are easy to complete, and place as small a burden as possible on the respondents.

- 4. DESCRIBE EFFORTS TO IDENTIFY DUPLICATION. SHOW SPECIFICALLY WHY ANY SIMILAR INFORMATION ALREADY AVAILABLE CANNOT BE USED OR MODIFIED FOR USE FOR THE PURPOSE(S) DESCRIBED IN ITEM 2 ABOVE.**

Reports and forms are periodically reviewed to avoid unnecessary duplication of information collection by industry and public sector agencies. At the present time, there is no duplication between Federal agencies.

- 5. IF THE COLLECTION OF INFORMATION HAS SIGNIFICANT IMPACT ON A SUBSTANTIAL NUMBER OF SMALL BUSINESSES OR OTHER SMALL ENTITIES (ITEM 15 OF THE PAPERWORK REDUCTION ACT SUBMISSION FORM), DESCRIBE THE METHODS USED TO MINIMIZE BURDEN.**

Information collection requirements have been reduced to the minimum requirements of each Order. Forms require only a minimal amount of information, which can be supplied without data processing equipment or a trained statistical staff. The primary sources of data used to complete the forms are routinely used in all business transactions. Thus, the information collection and reporting burden is relatively small, and requires the same reporting requirements for all handlers and receivers. Approximately 68 percent of the respondents are small businesses. The information collection does not significantly disadvantage any handler or receiver that is smaller than industry average.

**6. DESCRIBE THE CONSEQUENCE TO FEDERAL PROGRAM OR POLICY ACTIVITIES IF THE COLLECTION IS NOT CONDUCTED OR IS CONDUCTED LESS FREQUENTLY, AS WELL AS ANY TECHNICAL OR LEGAL OBSTACLES TO REDUCING BURDEN.**

If this information collection was not conducted, not only would the Secretary lose his ability to administer the Orders, but the Committees and Boards also would have no way to monitor industry compliance with their respective Orders and Agreements. They would also not be able to determine the assessments due from industry handlers and growers, which would negatively impact any market research and promotion activities.

**7. EXPLAIN ANY SPECIAL CIRCUMSTANCES THAT WOULD CAUSE AN INFORMATION COLLECTION TO BE CONDUCTED IN A MANNER:**

- **REQUIRING RESPONDENTS TO REPORT INFORMATION TO THE AGENCY MORE OFTEN THAN QUARTERLY;**

The following forms in this information collection require respondents to report information more often than quarterly:

Pack and Certification Report: COC-4 (§ 932.152(c)): Handlers file this form daily with the AMS inspection service in exchange for inspection certificates.

Weekly Report of Olives Received: COC-19 (§§ 932.61, 932.161(a)): Handlers file this form with the Committee each week. The Committee uses this information to track olive inventory and assessments.

Inventory Holdings of Canned Ripe Olives: COC-27a (§§ 932.161 and 932.161(1)): Handlers file this form twice per month with the Committee to report inventories of packaged olives yet to be sold. This report assists the Committee in tracking the status of fruit in inventory.

Inventory Holdings of Limited Use Olive: COC-27b (§§ 932.61 and 932.161(d)(2), (e)(2)): Handlers file this form with the Committee on a monthly basis to document the inventories of packaged limited-use style olives yet to be sold. This report assists the Committee in tracking the status of fruit in inventory, assisting in the support of the market development program.

Report of Canning Size Olives in Storage: COC-27c (§§ 932.61 and 932.161(e)(1)): Handlers use this form to report to the Committee once a month the tonnage of fruit held in storage that may be used for in the production of packaged olives. The Committee uses this information for various marketing projects.

Packout Report of Canned Ripe Olives: COC-28a (§§ 932.61 and 932.161(f)(1)); and Packout Report of Canned Ripe Olives – Limited Use: COC-28b (§§ 932.61 and 932.161(f)(2)): Handlers file these forms with the Committee on a monthly basis, reporting the total production of packaged olives. Form COC-28a reports on whole, pitted, and broken pitted styles of olives; form COC-28b reports on halved, sliced, segmented, and chopped styles. The Committee uses this information to target certain markets within the marketing development program.

Sales of Canned Ripe Olives: COC-29a and COC-29b (§§ 932.61 and 932.161(b)(2)(ii)): Handlers uses these forms to report on a monthly basis to the Committee, the quantity of olives sold during the month. Form 29a reports on whole, pitted, and broken pitted styles of olives; form COC-29b reports on limited use styles of olives. The information provided by this report assists in the Committee’s marketing efforts.

Monthly Vidalia Onion Handler Report Form: FV-181 (§ 955.60): This form used to be filed weekly and was entitled, “Weekly Vidalia Onion Handler Report Form,” but has now been designated as a monthly form. Handlers file this monthly report with the Vidalia Onion Committee to report the volume of onions received or acquired, the businesses dealt with, and the assessment due. The Committee uses this information to determine a handler’s assessment obligation and to verify that the assessment paid is correct.

Summary Report: ABC-1 (§§ 981.72 and 981.472(a)): This monthly report is filed with the Almond Board of California to document handlers’ receipts of almonds from growers. The Board uses this information to corroborate inspection certificates and track almond dispositions.

Report of Shipments and Commitments: ABC-25-1 (§§ 981.74 and 981.474(a)): Handlers file this monthly form with the Board to report almond shipments and commitments. The Board compiles this information and provides it to the industry in aggregate form.

Report of Hazelnut Certifications, Shipments and Diversion Requirements: F/H Form 1 (§ 982.37): F/H Form 1 is a monthly summary of the information reported to the Board on handler receipts and dispositions of hazelnuts. The Board uses this information to account for all domestic inshell sales, and for other sales or uses that result in handler credits. It also maintains industry information.

Report of Merchantable Walnuts Shipped: CWB Form 6 (§ 984.72 and 984.472): Walnut handlers file this monthly report with the Board, reporting their shipments of merchantable walnuts. The Board uses this information to formulate marketing policy and to verify compliance with quality and volume regulations.

Monthly Report of Acquisition and Disposition of Dates: CDAC-6 (§§ 987.162 and

987.62): Handlers file this monthly report with the Committee to document changes to their date inventories. The Committee uses this information for compliance purposes and to formulate its marketing policy.

Weekly Report of Standard Raisin Acquisitions: RAC-1 (§ 989.173(b)(2)); Weekly Report of Standard Organic Raisin Acquisitions: RAC-1 CO (§ 989.173(b)(2)): These weekly reports are filed by handlers to provide a weekly accounting of their standard raisin acquisitions. The Committee uses this information to monitor standard raisin acquisitions, to track the assessable tonnage and reserve pool obligation of each handler, and to establish producer equity in a reserve pool.

Weekly Report of Standard Raisins Received for Memorandum Receipt or Warehousing: RAC-3 (§ 989.173(b)(3)): This form is prepared and submitted weekly by handlers who choose to receive raisins for storage only. Such raisins are so identified by the Inspection Service and may not be used by a handler until reported as an acquisition on form RAC-1. The Committee uses this information for compliance purposes.

Weekly Off-Grade Summary: RAC-30; Weekly Report of Disposition of Standard Raisins Recovered from Reconditioning of Off-Grade Raisins: RAC-33 (§ 989.173(b)(5)): Handlers prepare the RAC-30 on a weekly basis to account for off-grade raisin inventory. They also prepare the RAC-33 on a weekly basis to account for the disposition of reconditioned off-grade raisins. The Committee uses this information to monitor and verify that no off-grade raisins enter into standard raisin markets without proper reconditioning to remove defects.

Reserve Raisin Bin Control Record and Attachment: RAC-9 (§ 989.66): Handlers file this form on a monthly basis to document their raisin inventory and bin location. The Raisin Administrative Committee owns the bins and uses them for storing reserve raisins beyond a crop year. When all the bins are not needed for this purpose, the Committee rents the extra bins to handlers for new crop deliveries. The purpose of this report is to allow the Committee to keep track of RAC bins.

Monthly Report of Free Tonnage Raisin Disposition: RAC-20 (§ 989.173(c)(1)); Monthly Report of Free Tonnage Raisin Disposition – Organically Produced Raisins: RAC-20 CO (§989.173(c)(1)): This monthly form is prepared by handlers to report the disposition of free tonnage raisins. The Committee uses this information to monitor free tonnage shipments and to verify that handlers are not exceeding their inspected free tonnage raisin quantities. As the season progresses, the Committee uses this information to compare actual shipments with projected shipments. This information is also used in preparing the Committee's marketing policy statement.

Processor's Report of Acquisition of Off-grade: RAC-28 (§ 989.173(b)(6)): Processors file this monthly report with the Committee to report on the receipt of off-grade raisins and raisin residual material. The Committee uses this information to account for off-grade raisins and raisin residual material, thus monitoring the entry of such raisins into eligible non-normal market channels.



Processor's Report of Disposition of Off-grade Raisins and Raisin Material: RAC-28A (§ 989.173(c)(4)): Processors file this monthly report with the Committee to report on the authorized disposition of off-grade raisins and raisin residual material. The Committee uses this information to confirm that such raisins were disposed of by handlers in eligible non-normal markets and for compliance purposes.

Monthly Report of Receipt of Raisins Produced from Grapes Grown Outside of the Production Area: RAC-500 (§ 989.173(b)(7)): Handlers file this form with the Committee to report monthly receipts of non-California raisins. The Committee uses this information for compliance purposes.

Monthly Report of Disposition of Raisins Produced from Grapes Grown Outside of the Production Area: RAC-501 (§ 989.173(c)(3)): Handlers file this form with the Committee to report monthly dispositions of non-California raisins. The Committee uses this information for compliance purposes.

Weekly Off-Grade Summary: RAC-30; Weekly Report of Disposition of Standard Raisins Recovered from Reconditioning of Off-Grade Raisins: RAC-33 (§ 989.173(b)(5)): Handlers prepare the RAC-30 on a weekly basis to account for off-grade raisin inventory. They also prepare the RAC-33 on a weekly basis to account for the disposition of reconditioned off-grade raisins. The Committee uses this information to monitor and verify that no off-grade raisins enter into standard raisin markets without proper reconditioning to remove defects.

Monthly Report of Disposition of Off-Grade Raisins, Other Failing Raisins and Raisin Material: RAC-32 (§ 989.173(c)(2)): Handlers file this monthly report with the Committee to report the disposition of off-grade, other failing raisins, and raisin residual material into authorized outlets. The Committee uses this information to monitor and verify the disposition of such raisins into authorized outlets in order to prevent their disposition into standard raisin markets.

Russet Fresh Potato Report: No form number (§ 946.336): This form was previously approved under 0581-0266, Irish Potatoes Grown in the State of Washington. Handlers file this monthly report with the Committee to document lots of potatoes handled. The Committee uses this information to calculate handler assessments.

- **REQUIRING RESPONDENTS TO PREPARE A WRITTEN RESPONSE TO A COLLECTION OF INFORMATION IN FEWER THAN 30 DAYS AFTER RECEIPT OF IT;**
- **REQUIRING RESPONDENTS TO SUBMIT MORE THAN AN ORIGINAL AND TWO COPIES OF ANY DOCUMENT;**
- **REQUIRING RESPONDENTS TO RETAIN RECORDS, OTHER THAN HEALTH, MEDICAL, GOVERNMENT, CONTRACT, GRANT-IN-AID, OR TAX RECORDS FOR MORE THAN 3 YEARS;**

- **IN CONNECTION WITH A STATISTICAL SURVEY, THAT IS NOT DESIGNED TO PRODUCE VALID AND RELIABLE RESULTS THAT CAN BE GENERALIZED TO THE UNIVERSE OF STUDY;**
- **REQUIRING THE USE OF A STATISTICAL DATA CLASSIFICATION THAT HAS NOT BEEN REVIEWED AND APPROVED BY OMB; THAT INCLUDES A PLEDGE OF CONFIDENTIALITY THAT IS NOT SUPPORTED BY AUTHORITY ESTABLISHED IN STATUTE OR REGULATION, THAT IS NOT SUPPORTED BY DISCLOSURE AND DATA SECURITY POLICIES THAT ARE CONSISTENT WITH THE PLEDGE, OR WHICH UNNECESSARILY IMPEDES SHARING OF DATA WITH OTHER AGENCIES FOR COMPATIBLE CONFIDENTIAL USE; OR**
- **REQUIRING RESPONDENTS TO SUBMIT PROPRIETARY TRADE SECRET OR OTHER CONFIDENTIAL INFORMATION UNLESS THE AGENCY CAN DEMONSTRATE THAT IT HAS INSTITUTED PROCEDURES TO PROTECT THE INFORMATION'S CONFIDENTIALITY TO THE EXTENT PERMITTED BY LAW.**

There are no such special circumstances. The collection of information is conducted in a manner consistent with the guidelines in 5 CFR 1320.6.

- 8. IF APPLICABLE, PROVIDE A COPY AND IDENTIFY THE DATE AND PAGE NUMBER OF PUBLICATION IN THE FEDERAL REGISTER OF THE AGENCY'S NOTICE, REQUIRED BY 5 CFR 1320.8(d), SOLICITING COMMENTS ON THE INFORMATION COLLECTION PRIOR TO SUBMISSION TO OMB. SUMMARIZE PUBLIC COMMENTS RECEIVED IN RESPONSE TO THAT NOTICE AND DESCRIBE ACTIONS TAKEN BY THE AGENCY IN RESPONSE TO THESE COMMENTS. SPECIFICALLY ADDRESS COMMENTS RECEIVED ON COST AND HOUR BURDEN.**

A 60-day notice was published in the Federal Register on June 24, 2010 (Vol. 75, No. 121, page 36058), which invited comments from interested persons through August 23, 2010. No comments were received.

- **DESCRIBE EFFORTS TO CONSULT WITH PERSONS OUTSIDE THE AGENCY TO OBTAIN THEIR VIEWS ON THE AVAILABILITY OF DATA, FREQUENCY OF COLLECTION, THE CLARITY OF INSTRUCTIONS AND RECORDKEEPING, DISCLOSURE, OR REPORTING FORMAT (IF ANY), AND ON THE DATA ELEMENTS TO BE RECORDED, DISCLOSED, OR REPORTED.**
- **CONSULTATION WITH REPRESENTATIVES OF THOSE FROM WHOM INFORMATION IS TO BE OBTAINED OR THOSE WHO MUST COMPILE RECORDS SHOULD OCCUR AT LEAST ONCE EVERY 3 YEARS -- EVEN IF THE COLLECTION OF INFORMATION ACTIVITY IS THE SAME AS IN PRIOR PERIODS. THERE MAY BE CIRCUMSTANCES THAT MAY**

**PRECLUDE CONSULTATION IN A SPECIFIC SITUATION. THESE CIRCUMSTANCES SHOULD BE EXPLAINED.**

The Committees and Boards meet throughout the year to assess the Orders' regulatory and informational needs. The Committees and Boards assess the type of information that is collected, and also keep close contact with industry representatives and trade associations to ensure their utmost efficiency. The Committees and Boards endeavor to consult with representatives from whom the information is to be obtained at least every three years. In addition, notices of Committee and Board meetings are sent to all those associated with the respective industry, and any concerns regarding Committee or Board issues are welcomed. Use of these forms has been discussed with the following Committee and Board Managers and their staff:

Order No. 932 – California Olive Committee, Manager, Christi Darling, (559) 456-9096;

Order No. 945 – Idaho-Eastern Oregon Potato Committee, Manager, Robert Hansen, (208) 529-8057;

Order No. 946 – State of Washington Potato Committee, Manager, Matt Harris, (509) 765-8845;

Order No. 947 – Oregon-California Potato Committee, Manager, Bill Brewer, (503) 731-3300;

Order No. 948 – Colorado Potato Administrative Committee, Area II San Luis Valley, Executive Director, Jim Ehrlich, (719) 852-3322; Area III Northern Colorado, Executive Director, Lola Mundt, (970) 352-5231;

Order No. 953 – Southeastern Potato Committee, Manager, Jim Stern, (757) 787-3842;

Order No. 955 – Vidalia Onion Committee, Jeffery Hall, Manager, Wendy Brannen, (912) 537-1918;

Order No. 956 – Walla Walla Sweet Onion Committee, Marketing Director, Kathy Fry, (509) 525-1031;

Order No. 958 – Idaho-Eastern Oregon Onion Committee, Manager, Candi Fitch, (208) 722-5111;

Order No. 959 – South Texas Onion Committee, Manager, John McClung, (956) 584-9331;

Order No. 966 – Florida Tomato Committee, Manager, Reggie Brown, (407) 660-1949;

Order No. 981 – Almond Board of California, Chief Executive Officer, Richard Waycott, (209) 549-8262;

Order No. 982 – Hazelnut Marketing Board, Manager, Polly Owen, (503) 678-6823;

Order No. 984 – Walnut Marketing Board of California, Executive Director, Dennis A. Balint, (916) 932-7070;

Order No. 985 – Far West Spearmint Oil Administrative Committee, Manager Rod Christensen, (509) 585-5460;

Order No. 987 – California Date Administrative Committee, Manager, Lorrie Cooper, (760) 347-4510;

Order No. 989 – Raisin Administrative Committee, President, Gary Schulz, (559) 225-0520; and

Order No. 993 – Prune Marketing Committee, Executive Director, Richard L. Petersen, (916) 565-6235.

**9. EXPLAIN ANY DECISION TO PROVIDE PAYMENT OR GIFT TO RESPONDENTS, OTHER THAN REMUNERATION OF CONTRACTORS OR GRANTEES.**

Respondents are not provided with gifts or payments for providing information.

**10. DESCRIBE ANY ASSURANCE OF CONFIDENTIALITY PROVIDED TO RESPONDENTS AND THE BASIS FOR THE ASSURANCE IN STATUTE, REGULATION, OR AGENCY POLICY.**

Section 608(d) of the Act provides that information acquired will be kept confidential. Reports submitted to the Committees and Boards are accessible only by the Committee and Board managers and staff, AMS field office employees, and certain USDA employees in Washington, D.C. Committee and Board members have access to handler reports and assessment records for the purpose of administering compliance with the Orders, and determining assessments, but are under strict orders to maintain the confidentiality of this information by securing these records under lock and key. The Committee and Board staffs are aware of the penalties for violating confidentiality requirements. Forms, such as ballots, which request confidential information, contain statements that the information will be held in strict confidence.

**11. PROVIDE ADDITIONAL JUSTIFICATION FOR ANY QUESTIONS OF A SENSITIVE NATURE, SUCH AS SEXUAL BEHAVIOR AND ATTITUDE, RELIGIOUS BELIEFS, AND OTHER MATTERS THAT ARE COMMONLY CONSIDERED PRIVATE. (THIS JUSTIFICATION SHOULD INCLUDE THE REASONS WHY THE AGENCY CONSIDERS THE QUESTIONS NECESSARY, THE SPECIFIC USES TO BE MADE OF THE INFORMATION, THE EXPLANATION TO BE GIVEN TO PERSONS FROM WHOM THE INFORMATION IS REQUESTED, AND ANY STEPS TO BE TAKEN TO OBTAIN THEIR CONSENT).**

Questions of a sensitive nature are not included on any form in this information collection. Private information is required on the “Confidential Background Statement” for

grower and public members and alternates, which are completed by Committee and Board nominees. This form inquires about a nominee's qualifications to serve on a Committee or Board, such as position in a firm, years growing or handling a commodity, approximate volume grown or handled, and offices held in industry organizations. This information is provided to the Secretary for use in the Committee or Board selection process.

**12. PROVIDE ESTIMATES OF THE HOUR BURDEN OF THE COLLECTION OF INFORMATION. THE STATEMENT SHOULD:**

- **INDICATE THE NUMBER OF RESPONDENTS, FREQUENCY OF RESPONSE, ANNUAL HOUR BURDEN, AND AN EXPLANATION OF HOW THE BURDEN WAS ESTIMATED. UNLESS OTHERWISE DIRECTED TO DO SO, AGENCIES SHOULD NOT CONDUCT SPECIAL SURVEYS TO OBTAIN INFORMATION ON WHICH TO BASE HOUR BURDEN ESTIMATES. CONSULTATION WITH A SAMPLE (FEWER THAN 10) OF POTENTIAL RESPONDENTS IS DESIRABLE. IF THE HOUR BURDEN ON RESPONDENTS IS EXPECTED TO VARY WIDELY BECAUSE OF DIFFERENCE IN ACTIVITY, SIZE, OR COMPLEXITY, SHOW THE RANGE OF ESTIMATED BURDEN AND EXPLAIN THE REASONS FOR THE VARIANCE. GENERALLY, ESTIMATES SHOULD NOT INCLUDE BURDEN HOURS FOR CUSTOMARY AND USUAL BUSINESS PRACTICES.**

The number of respondents required to file forms is approximately 20,626, and was estimated based on records kept by the Committees/Boards that track handlers regulated under their respective Orders. These estimates are close to the actual number of producers and handlers because the members of the industry are required to work closely with the Committees/Boards. See AMS-71 spreadsheet for breakout.

- **IF THIS REQUEST FOR APPROVAL COVERS MORE THAN ONE FORM, PROVIDE SEPARATE HOUR BURDEN ESTIMATES FOR EACH FORM AND AGGREGATE THE HOUR BURDENS IN ITEM 13 OF OMB FORM 83-I.**

See AMS-71 spreadsheet for breakout of burden.

- **PROVIDE ESTIMATES OF ANNUALIZED COST TO RESPONDENTS FOR THE HOUR BURDENS FOR COLLECTIONS OF INFORMATION, IDENTIFYING AND USING APPROPRIATE WAGE RATE CATEGORIES.**

The respondents' estimated annual cost of providing information to the Committees and Boards is approximately \$832,167. This total has been estimated by multiplying 26,732 total burden hours by \$31.13, the national mean hourly wage of Farm, Ranch, and Other Agricultural Managers, according to the U.S. Department of Labor Statistics. (National Compensation Survey: Occupational Employment and Wages, May 2009; <http://bls.gov/oes/current/oes119011.htm>.)

**13. PROVIDE AN ESTIMATE OF THE TOTAL ANNUAL COST BURDEN TO RESPONDENTS OR RECORD KEEPERS RESULTING FROM THE COLLECTION**

**OF INFORMATION. (DO NOT INCLUDE THE COST OF ANY HOUR BURDEN SHOWN IN ITEMS 12 AND 14).**

- **THE COST ESTIMATE SHOULD BE SPLIT INTO TWO COMPONENTS: (a) A TOTAL CAPITAL AND START-UP COST COMPONENT (ANNUALIZED OVER ITS EXPECTED USEFUL LIFE); AND (b) A TOTAL OPERATION AND MAINTENANCE AND PURCHASE OF SERVICES COMPONENT. THE ESTIMATES SHOULD TAKE INTO ACCOUNT COSTS ASSOCIATED WITH GENERATING, MAINTAINING, AND DISCLOSING OR PROVIDING THE INFORMATION. INCLUDE DESCRIPTIONS OF METHODS USED TO ESTIMATE MAJOR COST FACTORS INCLUDING SYSTEM AND TECHNOLOGY ACQUISITION, EXPECTED USEFUL LIFE OF CAPITAL EQUIPMENT, THE DISCOUNT RATE(S), AND THE TIME PERIOD OVER WHICH COSTS WILL BE INCURRED. CAPITAL AND START-UP COSTS INCLUDE, AMONG OTHER ITEMS, PREPARATION FOR COLLECTING INFORMATION SUCH AS PURCHASING COMPUTERS AND SOFTWARE; MONITORING, SAMPLING, DRILLING AND TESTING EQUIPMENT; AND RECORD STORAGE FACILITIES.**
- **IF COST ESTIMATES ARE EXPECTED TO VARY WIDELY, AGENCIES SHOULD PRESENT RANGES OF COST BURDENS AND EXPLAIN THE REASONS FOR THE VARIANCE. THE COST OF PURCHASING OR CONTRACTING OUT INFORMATION COLLECTION SERVICES SHOULD BE A PART OF THIS COST BURDEN ESTIMATE. IN DEVELOPING COST BURDEN ESTIMATES, AGENCIES MAY CONSULT WITH A SAMPLE OF RESPONDENTS (FEWER THAN 10), UTILIZE THE 60-DAY PRE-OMB SUBMISSION PUBLIC COMMENT PROCESS AND USE EXISTING ECONOMIC OR REGULATORY IMPACT ANALYSIS ASSOCIATED WITH THE RULEMAKING CONTAINING THE INFORMATION COLLECTION, AS APPROPRIATE.**
- **GENERALLY, ESTIMATES SHOULD NOT INCLUDE PURCHASES OF EQUIPMENT OR SERVICES, OR PORTIONS THEREOF, MAKE: (1) PRIOR TO OCTOBER 1, 1995, (2) TO ACHIEVE REGULATORY COMPLIANCE WITH REQUIREMENTS NOT ASSOCIATED WITH THE INFORMATION COLLECTION OR KEEPING RECORDS FOR THE GOVERNMENT, OR (4) AS PART OF CUSTOMARY AND USUAL BUSINESS OR PRIVATE PRACTICES.**

There are no start-up cost burdens to respondents or record keepers that are not included in items 2 and 14.

- 14. PROVIDE ESTIMATES OF ANNUALIZED COST TO THE FEDERAL GOVERNMENT. ALSO, PROVIDE A DESCRIPTION OF THE METHOD USED TO ESTIMATE COST, WHICH SHOULD INCLUDE QUANTIFICATION OF HOURS, OPERATIONS EXPENSES (SUCH AS EQUIPMENT, OVERHEAD, PRINTING, AND SUPPORT STAFF), AND ANY OTHER EXPENSE THAT WOULD NOT HAVE BEEN INCURRED WITHOUT THIS COLLECTION OF**

**INFORMATION. AGENCIES ALSO MAY AGGREGATE COST ESTIMATES FROM ITEMS 12, 13, AND 14 IN A SINGLE TABLE.**

The Federal government’s annual costs for providing oversight of, and assistance for, this information collection is estimated at \$106,876 for the first year, and \$110,082 for subsequent years, assuming a cost of living increase to salaries, and higher overhead costs. A breakdown of the oversight costs for the first yet is as follows:

Salaries/benefits/awards	\$10,476
Travel	\$30,000
Printing/Copying/Mailing/Postage	\$44,000
Federal Register Services	\$2,400
OGC (Legal Services)	\$8,000
Supplies/Equipment	\$12,000
TOTAL	\$106,876

**15. EXPLAIN THE REASON FOR ANY PROGRAM CHANGES OR ADJUSTMENTS REPORTED IN ITEM 13 OR 14 OF THE OMB FORM 83-I.**

The Agency is requesting approval for 26,732 burden hours, based on 185,526 annual responses. Since the last submission in 2007, there is an increase of 9,780 burden hours. The increase is a combination of an increase in the number of respondents and the following.

The California Walnut Board recently underwent an amendment to its Order, which expanded the scope of “independent” walnut growers such that all walnut growers in California are now included. This significantly increased the number of respondents to its grower petitions.

In addition, the Door Receipt or Weight Certificate, used by the Raisin Administrative Committee, was not properly accounted for in the 2007 renewal. The correct burden of 4,190.03 hours is reflected in this renewal, but had a significant impact on the burden increase for this package.

The following forms have been deleted from the information collection as they were no longer needed or were combined with other forms:

Order No. 932 - Olives:

- FV-274 and FV-275 – Producer Nomination Ballots
- FV-235 Marketing Agreement
- FV-236 Producer Referendum Ballot
- COC-6 Report of Interhandler Transfer
- COC-21 Report of Ripe Olives Sales

Order No. 945 - Idaho/E. Oregon Potatoes:

- FV-132 Marketing Agreement

Order No. 946 - Washington Potatoes:

FV-40 Marketing Agreement

Order No. 947 - Oregon/California Potatoes:

FV-79 Marketing Agreement

Order No. 948 - Colorado Potatoes:

FV-51 Marketing Agreement

Order No. 953 - Southeastern Potatoes:

FV-111 Marketing Agreement

FV-111A Certificate of Resolution

FV-110 Producer Referendum

Certificate of Privilege (no form number)

Special Purpose Shipment (no form number)

Order No. 955 - Vidalia Onions:

FV-155 Marketing Agreement

Order No. 956 - Walla Walla Onions:

FV-113 Marketing Agreement

Order No. 958 - Idaho/E. Oregon Onions:

FV-31 Marketing Agreement

Order No. 959 - South Texas Onions:

FV-89 Marketing Agreement

Order No. 966 - Florida Tomatoes:

FV-69 Marketing Agreement

Tomato Total Lot Manifest (no form number)

Order No. 981 - California Almonds:

FV-180 Marketing Agreement

Claim for Credit-Back Advertising (no form number)

Statement of Outstanding Credit-Back Commitments at End of Crop Year (no form number)

Documentation to Accompany ABC Form 8 (no form number)

Order No. 982 - Hazelnuts:

FV-137 Marketing Agreement

Order No. 984 - Walnuts:

FV-127 Marketing Agreement

Order No. 985 - Spearmint Oil:

FV-63 Marketing Agreement



Order No. 987 - California Dates:  
FV-73 Marketing Agreement

Order No. 989 - California Raisins:  
FV-225 Marketing Agreement  
FR-19 Raisins Produced from Grapes Grown Outside of California  
Report of Shipments of Experimental or Specialty Packs Under Exemption (no form number)

Order No. 993 - California Dried Prunes:  
FV-169 Marketing Agreement  
Independent Handler's Report of Accounting (no form number)  
Cooperative Marketing Association Report of Accounting (no form number)  
Bill of Lading (no form number)  
Reports of Holding (no form number)  
Proof of Diversion (no form number)  
Notification of Report of Diversion (no form number)  
Dried Prune Handler Compensation Survey (no form number)  
Prune Dehydrator Survey (no form number)

Order No. 999 - Specialty Crops:  
FV-191 Date Form No. 1  
FV-192 Date Form No. 2  
FV-197 Raisin Form No. 1  
FV-198 Raisin Form No. 2

Russet Fresh Potato Report: No form number (§ 946.336): This form was submitted for OMB-approval in July 2010 and was approved in December 2010 under 0581-0266, Irish Potatoes Grown in the State of Washington. Handlers file this monthly report with the Committee to document lots of potatoes handled. The Committee uses this information to calculate handler assessments. Once this form is merged into this package, that 0581-0266 will be discontinued.

Attachment 1 provides a summary of the reasons for changes in the burden of this information collection since the last submission.

**16. FOR COLLECTIONS OF INFORMATION WHOSE RESULTS WILL BE PUBLISHED, OUTLINE PLANS FOR TABULATION AND PUBLICATION. ADDRESS ANY COMPLEX ANALYTICAL TECHNIQUES THAT WILL BE USED. PROVIDE THE TIME SCHEDULE FOR THE ENTIRE PROJECT, INCLUDING BEGINNING AND ENDING DATES OF THE COLLECTION OF INFORMATION, COMPLETION OF REPORT, PUBLICATION DATES, AND OTHER ACTIONS.**

There are no plans to publish any information or data collected.

**17. IF SEEKING APPROVAL TO NOT DISPLAY THE EXPIRATION DATE FOR OMB**

**APPROVAL OF THE INFORMATION COLLECTION, EXPLAIN THE REASONS THAT DISPLAY WOULD BE INAPPROPRIATE.**

AMS requests approval not to display the expiration date on the forms associated with this information collection because having to do so would 1) decrease the efficiency of the Marketing Order programs, 2) be financially prohibitive to some Committees and Boards, and 3) delay the use of such forms and cause confusion to the respondents.

Displaying expiration dates on the forms in this information collection would decrease the efficiency of these Marketing Order programs. At the time the forms expire, each Committee or Board would need to destroy otherwise-usable forms, counteracting the Administration's goal of increasing program efficiency. As the forms are widely distributed, there is the possibility that a respondent could inadvertently complete an expired form before a new form was distributed, having a severe adverse legal consequence if the validity of the form were ever challenged. For example, if expired ballots were inadvertently used to elect Committee members, the members' seats could later be found to be invalid, causing a disruption of the Committee, requiring re-election, and negatively affecting the entire applicable commodity industry. This would increase legal and administrative costs, and greatly decrease efficiency.

Some of the Committees and Boards are very small with small operating budgets, and rely heavily on financial discounts to function properly. As such, they order large quantities of these forms at once to get lower printing prices, knowing that they will be in use for several years. Displaying expiration dates on the forms could financially devastate them as they simply could not afford to reprint forms or pay more for the forms they order from the printer.

Finally, putting expiration dates on the forms prevents them from being used once they reach expiration while the new forms in the OMB-approval process. Committee and Boards mail forms to respondents in a timely manner to ensure accurate completion. If a Committee or Board needs to order additional forms during this process, it could not order the forms with a new expiration date, as there are no guarantees that a requested expiration date would be approved by OMB. This would delay the Committee's use of these forms, and hinder the smooth operation of Marketing Order requirements. Displaying expiration dates on forms also confuses respondents, who may think that the expiration date applies to the time their information is due, rather than the validity of the actual form.

**18. EXPLAIN EACH EXCEPTION TO THE CERTIFICATION STATEMENT IDENTIFIED IN ITEM 19, "CERTIFICATION FOR PAPERWORK REDUCTION ACT SUBMISSIONS," OF OMB FORM 83-I.**

The agency is able to certify compliance with all provisions under item 19 of OMB Form 83-I.

**A. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS.**

This collection of information does not employ statistical methods.