



SURVEY OF SEXUAL VIOLENCE, 2009

- Incident Form (Adult)

U.S. DEPARTMENT OF JUSTICE
BUREAU OF JUSTICE STATISTICS
and ACTING AS COLLECTION AGENT
U.S. DEPT. OF COMMERCE
Economics and Statistics Admin.
U.S. CENSUS BUREAU

Incident Number ___ out of ___

1. On what date did the incident occur?
(If more than one date, report the most recent.)

Month Day Year

2. In what facility did the incident occur?

Name

City/Place

3. Where did the incident occur? *(Mark (X) all that apply.)*

- 01 In the victim's cell/room (if the victim and perpetrator share a cell/room, count as the victim's cell)
- 02 In the perpetrator's cell/room
- 03 In a dormitory or other multiple housing unit
- 04 In a common area within a cell block (shower, dayroom)
- 05 In a temporary holding cell within the facility
- 06 In a program service area (commissary, kitchen, storage, laundry, cafeteria, workshop, or hallway)
- 07 Outside the facility
- 08 While in transit
- 09 Other - *Specify*

4. What time did the incident occur? *(Mark (X) all that apply.)*

- 01 Morning (6 a.m. to noon)
- 02 Afternoon (noon to 6 p.m.)
- 03 Evening (6 p.m. to midnight)
- 04 Overnight (midnight to 6 a.m.)

5. How many victims were involved in the incident?

Number of victims . . .

- **If no victims (only perpetrators) were involved, report "0" above, and go to item 13.**
- **If more than two victims were reported, report these characteristics in Notes.**

6. Victim #1: What was the victim's gender?

- 01 Male
- 02 Female

7. Victim #1: What was the victim's age at the time of the incident?

- 01 Under age 18
- 02 18 - 24
- 03 25 - 29
- 04 30 - 34
- 05 35 - 39
- 06 40 - 44
- 07 45 or older

8. Victim #1: What was the victim's race/ethnic origin?
(Mark () all that apply.)

- 01 White *(not of Hispanic origin)*
- 02 Black *(not of Hispanic origin)*
- 03 Hispanic or Latino
- 04 American Indian/Alaska Native *(not of Hispanic origin)*
- 05 Asian *(not of Hispanic origin)*
- 06 Native Hawaiian or Other Pacific Islander *(not of Hispanic origin)*
- 07 Other racial category in your information system - *Specify*

9. Victim #2: What was the victim's gender?

- 01 Male
- 02 Female

10. Victim #2: What was the victim's age at the time of the incident?

- 01 Under age 18
- 02 18 - 24
- 03 25 - 29
- 04 30 - 34
- 05 35 - 39
- 06 40 - 44
- 07 45 or older

11. Victim #2: What was the victim's race/ethnic origin?
(Mark (X) all that apply.)

- 01 White *(not of Hispanic origin)*
- 02 Black *(not of Hispanic origin)*
- 03 Hispanic or Latino
- 04 American Indian/Alaska Native *(not of Hispanic origin)*
- 05 Asian *(not of Hispanic origin)*
- 06 Native Hawaiian or Other Pacific Islander *(not of Hispanic origin)*
- 07 Other racial category in your information system - *Specify*

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 15 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

12. Did the victim(s) sustain any physical injury during the incident?

01 Yes → a. **What injuries occurred?**
(Mark (X) all that apply for all victims.)

- 01 Knife or stab wounds
- 02 Broken bones
- 03 Anal or vaginal tearing
- 04 Chipped or knocked out teeth
- 05 Internal injuries
- 06 Knocked unconscious
- 07 Bruises, black eye, sprains, cuts, scratches, swelling, welts
- 08 Other – Specify ↴

b. Did the victim(s) receive medical treatment for these injuries?

- 01 Yes
- 02 No
- 02 No (No injury sustained)

13. Who reported the incident?

(Mark (X) all that apply.)

- 01 Victim
- 02 Another inmate (non-victim)
- 03 Family of victim
- 04 Correctional officer/front line staff
- 05 Administrative staff
- 06 Medical/healthcare staff
- 07 Instructor/teacher
- 08 Counselor
- 09 Chaplain or other religious official
- 10 Other – Specify ↴

14. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)

- 01 Given a medical examination
- 02 Administered a rape kit
- 03 Tested for HIV/AIDS
- 04 Tested for other sexually transmitted diseases
- 05 Provided with counseling or mental health treatment
- 06 None of the above
- 07 No victim (voluntary sexual contact)

15. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)

- 01 Placed in administrative segregation/protective custody
- 02 Placed in a medical unit, ward, or hospital
- 03 Confined to own cell/room
- 04 Given a higher custody level within the facility
- 05 Transferred to another facility
- 06 Other – Specify ↴

- 07 None of the above
- 08 No victim (voluntary sexual contact)

16. What type of sexual violence was involved in the incident? (See definitions below)

- 01 Inmate-on-inmate nonconsensual sexual act → Complete Section A
- 02 Inmate-on-inmate abusive sexual contact → Complete Section A
- 05 Voluntary sexual contact between adults → Complete Section A
- 03 Staff sexual misconduct → Complete Section B
- 04 Staff sexual harassment → Complete Section B

Definitions of Sexual Violence

Nonconsensual Sexual Acts: Contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vagina or the penis and the anus including penetration, however slight; OR Contact between the mouth and the penis, vagina, or anus;

OR

Penetration of the anal or genital opening of another person by the hand, finger, or other object.

Abusive Sexual Contact: (less severe) Contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDES

Incidents in which the intent of the sexual contact is to harm or debilitate rather than to sexually exploit.

Staff Sexual Misconduct: Any behavior or act of a sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude inmate family, friends, or other visitors).

Sexual relationships of a romantic nature between staff and inmates are included in this definition. Consensual or nonconsensual sexual acts including: Intentional touching of the genitalia, anus, groin, breast, inner thigh, or buttocks with the intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for sexual gratification.

Staff Sexual Harassment: Repeated verbal statements or comments of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (exclude inmate family, friends, or other visitors). Demeaning references to gender or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Section A – INMATE-ON-INMATE SEXUAL VIOLENCE

17. How many perpetrators were involved in the incident?

Number of perpetrators . . .

→ If more than two perpetrators were involved, report these characteristics in Notes.

18. Perpetrator # 1: What was the perpetrator's gender?

- 01 Male 02 Female

19. Perpetrator # 1: What was the perpetrator's age at the time of the incident?

- 01 Under age 18 04 30-34 07 45 or older
02 18-24 05 35-39
03 25-29 06 40-44

20. Perpetrator # 1: What was the perpetrator's race/ethnic origin? (Mark (X) all that apply.)

- 01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
07 Other racial category in your information system – Specify ↴

21. Perpetrator #2: What was the perpetrator's gender?

- 01 Male 02 Female

22. Perpetrator #2: What was the perpetrator's age at the time of the incident?

- 01 Under age 18 04 30-34 07 45 or older
02 18-24 05 35-39
03 25-29 06 40-44

23. Perpetrator #2: What was the perpetrator's race/ethnic origin? (Mark (X) all that apply.)

- 01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
07 Other racial category in your information system – Specify ↴

24. What was the nature of the incident? (Mark (X) all that apply.)

- 01 Voluntary sexual contact between adults
02 Unwanted touching for sexual gratification
03 Pressure/coercion (without force) resulting in a nonconsensual sexual act
04 Physical force (or the threat of force) resulting in a nonconsensual sexual act
05 Other – Specify ↴

25. What type of pressure or physical force was used by the perpetrator on the victim? (Mark (X) all that apply for all perpetrators.)

- 01 Persuasion or talked into sexual activity
02 Bribery or blackmail
03 Gave victim drugs or alcohol
04 Offered protection from other inmates
05 Threatened with physical harm
06 Physically held victim down or restrained in some way
07 Physically harmed or injured
08 Threatened with a weapon
09 Other – Specify ↴

- 10 None
11 No victim (voluntary sexual contact)

26. What sanction was imposed on the perpetrator(s)? (Mark (X) all that apply for all perpetrators.)

- 01 Placed in solitary confinement or disciplinary segregation
02 Confined to own cell/room
03 Placed in higher custody level within same facility
04 Transferred to another facility
05 Loss of "good/gain" time or increase in "bad" time
06 Given extra work
07 Loss of privileges
08 Arrested
09 Referred for prosecution
10 Given new sentence
11 Other – Specify ↴

Section B – STAFF SEXUAL MISCONDUCT AND HARASSMENT

27. What was the nature of the incident? (Mark (X) all that apply.)

- 01 Physical force resulting in a nonconsensual sexual act
02 Pressure or abuse of power resulting in a nonconsensual sexual act
03 Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
04 Unwanted touching for sexual gratification
05 Sexual harassment or repeated verbal statements of a sexual nature by staff
06 Sexual relationship between inmate and staff that appeared to be willing
07 Other – Specify ↴

- 08 Level of coercion unknown

28. How many staff were involved in the incident?

Number of staff . . . _____

→ If more than two staff were involved, report these characteristics in Notes.

29. Staff #1: What was the gender of the staff?

- 01 Male 02 Female

30. Staff #1: What was the age of the staff at the time of the incident?

- 01 24 or younger 05 40 – 44
02 25 – 29 06 45 – 54
03 30 – 34 07 55 or older
04 35 – 39

31. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark (X)) all that apply.)

- 01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 Other racial category in your information system – Specify ↴

32. Staff #2: What was the gender of the staff?

- 01 Male 02 Female

33. Staff #2: What was the age of the staff at the time of the incident?

- 01 24 or younger 05 40 – 44
02 25 – 29 06 45 – 54
03 30 – 34 07 55 or older
04 35 – 39

34. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark (X)) all that apply.)

- 01 White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 Other racial category in your information system – Specify ↴

35. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark (X)) all that apply for all staff involved.)

- 01 Full or part-time paid employee
02 Contract employee or vendor
03 Volunteer or intern
04 Other – Specify ↴

36. What was the primary position description of the staff involved in the incident? (Mark (X)) all that apply for all staff involved.)

- 01 Administrator, including wardens, superintendents, assistants and others in administrative positions
02 Correctional officer/supervision staff
03 Clerical including secretaries, clerks, receptionists, and other administrative support
04 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
05 Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
06 Education staff, including instructors, teachers, librarians, and education assistants
07 Other program staff
08 Other staff – Specify ↴

37. What sanction was imposed on the staff? (Mark (X)) all that apply for all staff involved.)

- 01 Reprimanded or disciplined
02 Demoted or diminished responsibilities
03 Transferred to another facility
04 Arrested
05 Referred for prosecution
06 Discharged
07 Staff resigned (prior to completion of investigation)
08 Staff resigned (after investigation was completed)
09 Other – Specify ↴

NOTES