

Employment and Training Administration Advisory System U.S. Department of Labor Washington, D.C. 20210	CLASSIFICATION WORKFORCE INFORMATION
	CORRESPONDENCE SYMBOL DWSS/WORKFORCE INFORMATION
	DATE

TRAINING AND EMPLOYMENT GUIDANCE LETTER NO.

- TO:** STATE WORKFORCE AGENCIES
 STATE WORKFORCE ADMINISTRATORS
 STATE AND LOCAL WORKFORCE BOARD CHAIRS
 AND DIRECTORS
 STATE WORKFORCE LIAISONS
 STATE LABOR COMMISSIONERS
- FROM:** Jane Oates
 Assistant Secretary
- SUBJECT:** Application Instructions for Program Year (PY) 2011 Workforce Information Grants to States: Workforce Information (WI) and Labor Market Information (LMI) Formula Allocations
1. **Purpose.** To announce the grant application process and to transmit guidance for the development, management, and delivery of state LMI/WI core and special information services to state and local workforce investment boards (WIBs), One-Stop Career Centers, and the job-seeking public.
 2. **References.** The Paperwork Reduction Act of 1995 (Pub. L. 104-13, 44 U.S.C. 3501-3520); 29 Code of Federal Regulations (CFR) Parts 93, 96, 97, and 98; Office of Management and Budget (OMB) Circular A-87; Section 508 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794d); Wagner-Peyser Act Sections 7(a)(3)(D), 7(d), and 15; (29 U.S.C. 49f and 49l-2) Sections 111(d)(8) and 309 of the Workforce Investment Act (WIA) (Pub. L. 105-220, 29 U.S.C. 2821 (d)(8) and 49 l-2).

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3. OMB Approval. Under 5 CFR 1320.5(b), an agency cannot conduct, sponsor, or require a response to a collection of information unless the collection displays a valid OMB Control Number. The reason for this information collection is the planning and management of the workforce information formula grants to states. In conformance with the Paperwork Reduction Act of 1995, OMB has reviewed and approved the information collection (OMB Control Number 1205-0417, expires _____). The states' obligation to respond is required to obtain benefits. Each respondent is required to submit an annual State Certification of Required Grant Deliverables (estimated to average 63 hours to complete), a state Economic Report (estimated to average 435 hours to complete), and an annual performance report (estimated to average 80 hours to complete).

4. Background. America's greatest resource is its workers, and ongoing Workforce Investment Act resources supplemented by 2009 American Recovery and Reinvestment Act funding has helped thousands of Americans acquire the skills that will help them qualify for jobs as the economy recovers. "Good Jobs for Everyone" is the vision of the Department of Labor (DOL) under Secretary Hilda Solis. The Secretary defines "good jobs" as those that support families by increasing incomes and narrowing wage gaps; are safe and secure and give people a voice at the workplace; are sustainable and innovative; that export products, not paychecks; and that rebuild and restore a strong middle class.

Workforce programs and activities funded by the Employment and Training Administration (ETA) support the Secretary's vision and strategic goal to "prepare workers for good jobs and ensure fair competition." Through its designated functions and services, ETA with state assistance can:

- Increase workers' income and narrow wage and income inequality;
- Assure skills and knowledge that prepare workers to succeed in a knowledge-based economy, including in high-growth and emerging industry sectors like "green" jobs;
- Help workers who are in low-wage jobs or out of the labor market find a path into middle class jobs; and,
- Help middle-class families remain in the middle class.

To achieve this vision, states must shape policy and service delivery strategy based on a strong understanding of the current labor market and expected areas of growth. The need for good comparable

workforce data, information, and analysis has always been high, but the recent recession and the resulting changes in many state and local economies has significantly increased its importance. State LMI/WI offices and economic research entities are poised to help states respond as the future direction of the American economy and workforce needs becomes clear.

5. **Workforce Information Goal and Objectives.** The goal for the Workforce Information Grants to States for 2011 is to support increased employment and sustainable economic growth and recovery by supporting state and local workforce system transformational efforts. States, through their LMI/WI/research entities, must provide sound foundational data, information, and resources to workforce system staff and job seekers so that these customers can make informed decisions about the services, training, and career decisions that lead to good jobs. States should also provide actionable information and sound research to state and local policy makers to support the development of data-driven policy and program design. ETA expects state WI grantees to use their PY 2011 workforce information funding to help inform state, local, and customer decision-making by:

1. Populating the Workforce Information Database (WIDb) with state and local data;
2. Producing and disseminating industry and occupational employment projections;
3. Conducting and publishing relevant economic analyses, special workforce information, and/or economic studies determined to be of benefit to the governor and state and local WIBs;
4. Posting products, information, and reports on the Internet; and,
5. Partnering and consulting on a continuing basis with workforce investment boards and other key workforce and economic development partners and stakeholders.

(See Attachment III of this guidance for more information on expected LMI/WI grant deliverables for PY 2011.)

State LMI/WI offices and research entities should continue to seek out viable partnership and customer service opportunities that enable the leveraging of limited WI program resources. States should know that ETA National Office LMI/WI staff will make every effort to facilitate, assist, and support linkages established between the state LMI/WI offices and research entities and ETA formula-funded programs to develop mutually beneficial partnerships that can help everyone involved attain needed program outcomes.

- 6. Partnership Expectations**. ETA-funded state workforce information grantees are required to consult with their strategic partners to increase the scope and utility of workforce information used to inform sound state and local policies, workforce and regional economic development strategies, and to enhance the availability and use of career guidance and resources by workforce system staff and job seekers.

Therefore, workforce information grantees should actively seek to collaborate and partner with their state and local workforce investment boards; economic development agencies; education and training institutions; business groups; industry associations; and labor organizations in order to fully assure that the workforce information tools developed and provided with PY 2011 workforce information grant funding are those that meet identified needs.

- 7. Funding and Leveraging Resources**. ETA provides formula allocated workforce information grants to states to produce, at a minimum, the core products and services required by Attachment III of this guidance, and for the development of other workforce information products and services which, at a state's discretion, are required to support the state and local workforce systems.

A total of \$32,000,000 is available for PY 2011, minus \$176,800 allocated in total to Guam and the Virgin Islands. ETA will distribute the remaining amount of \$31,823,200 by formula to states and the District of Columbia, with 40 percent distributed equally to all states and 60 percent distributed based on each state's relative share of the civilian labor force for the 12-month period ending September 2009 (see Attachment I for the table of state allocations).

Leveraging Opportunities with ETA Funded Programs

Due to limited Federal resources directly available for LMI/WI production, analysis, and dissemination, state LMI/WI offices and research entities must continuously seek out and maintain collaborative relationships with a variety of program partners. These relationships allow states and partners to leverage other sources of funding and resources to ensure that data-driven analysis underlies all workforce investment activities.

Leveraging limited financial resources to support workforce information needs is a key area of emphasis for the PY 2011 Workforce Information Grants. State LMI/WI/research offices are each positioned to immediately provide most ETA programs and initiatives with valuable expertise, information, and insights to support strategic and tactical decision making. It may be necessary to use some of the

identified programs' resources to supplement the LMI/WI/research office's budget to enable expanded data and information collection and analysis. By becoming more aware of internal workforce system opportunities for leveraging, state LMI/WI providers can help ETA and states assure the better use of limited 2011 program funds.

- 8. State Certification of Required Grant Deliverables.** States must submit a grant Statement of Work (SOW) Certification (see Attachment IV) affirming that all five deliverables required by the grant SOW Guidelines (see Attachment III) will be accomplished during PY 2011. The certification must be signed by the governor, or by both the SWA administrator and the chairperson of the State Workforce Investment Board (SWIB). This annual SOW Certification meets OMB requirements for assuring that the WI program funds allocated to each state are used to support the development and production of the deliverables intended.

If circumstances prevent accomplishment of a specific grant deliverable during PY 2011, the state must negotiate a deferral with the ETA Regional Administrator including attaching as an addendum the reasons for delay and a proposed completion date. The ETA regional office will document planned grant activities and grant accomplishments in the state's grant file and those records will be made accessible, per 29 CFR, 97.42(e)(1), for examination by ETA or other authorized Federal representatives.

- 9. Accountability.** ETA will assess and document the effectiveness of grantee performance through the following approach:

- a. ETA Grant Reviews.** The ETA regional offices will conduct periodic reviews of states' progress towards meeting the PY 2011 certified grant deliverables, the degree to which collaboration with the SWIB and other partners exists, and quarterly reviews of grant expenditures and obligations.
- b. Performance Reporting.** In 2005, ETA implemented common measures reporting and revised Wagner-Peyser and WIA program reporting requirements to include the provision of workforce information services (WIS) and the impact of those services on participant outcomes. State data on the Entered Employment Rate, Employment Retention Rate after Six Months, and Earnings for participants following receipt of workforce information services is specifically captured for performance reporting purposes.

For PY 2011, ETA will continue to monitor the outcomes achieved by participants who received workforce information services. State

LMI/WI offices and research entities can benefit from helping their state Wagner-Peyser and WIA program providers better identify and report workforce information participant outcomes.

- c. Annual Performance Report.** Grantees are required to submit an annual performance report for the workforce information grant (29 CFR 97.40(b)(1)), signed by both the SWA administrator and the SWIB chairperson, or the governor, as specified in Attachment V, Section A. The report must include a description of outcomes compared to certified grant deliverables and, where appropriate, an explanation as to why a grant deliverable was not accomplished and what will be done to ensure completion.

States are encouraged to view and use the annual report grant deliverable as an opportunity to communicate to ETA. The report narrative should highlight overall program activities and include a summary of the results of the grantee's customer consultations regarding state workforce information products and services and a summary of activities to be undertaken to add customer value where needs for improvements are indicated. Expected narrative also should describe the creation and support of partnerships and collaborations during the program year along with any resulting tools or resources developed. Recommendations to ETA for suggested changes and improvements to the required WI grant deliverables can be included in the report as well.

All state annual reports are reviewed for acceptance and approval by the ETA regional LMI/WI Federal Project Officers (FPOs). ETA expects the annual reports to be fully representative of the state's work, and consist of more than just a compilation of state workforce information products. Submitted annual reports should contain a significant level of analysis, interpretation, appropriate actions, conclusions, and actionable recommendations.

Interested parties may access PY 2008 and prior year WI annual reports at:

http://www.doleta.gov/Programs/2008ReportsAndPlans/eta_default.cfm.

Grantees must electronically submit the WI annual performance report as an accessible Section 508 compliant .pdf file to the appropriate ETA regional office 90 days following the end of the program year. As stated earlier, ETA will post the annual performance reports on the ETA Web site for state sharing and informing the system and public of overall WI grant program performance.

States should be aware that .pdf copies of all documents submitted to ETA for Web posting must meet the Federal accessibility requirements stated by Section 508 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794d). (See www.section508.gov for more information.)

States unable to convert (or unsure of their ability to convert) their annual report and economic analyses grant deliverables to meet Section 508 .pdf accessibility standards should instead submit them to ETA in Microsoft (MS) Word or an equivalent text format. ETA will convert all forwarded state files to meet Federal accessibility standards prior to public posting.

10. Consultation and Customer Satisfaction Assessment. Section 15 of the Wagner-Peyser Act , as amended by WIA Section 309 (Pub. L. 105-220, 29 U.S.C. 49), requires states to consult with customers about the labor market relevance of the information disseminated through the statewide workforce information system. ETA interprets customers to include workforce and educational system stakeholders, regional economic development leadership within the state, and other strategic partners that foster workforce development. Consultation with the workforce investment system and other customers and information users provides the basis for formulating continuous improvement strategies for workforce information.

ETA is not prescribing an approach or methodology for conducting customer consultations. Methods can include focus groups, various surveys, crowd sourcing and online feedback, Webinars, listening sessions, documented consultations, customer satisfaction assessments, or other methods considered appropriate by the state.

11. Special Grant Requirements. Funds provided by this award may not be used to supplant funds obligated from other funding sources for workforce information activities. All costs incurred under the grant must support the cost objectives specified in Section 5 of this guidance and must conform to the principles for "reasonable" and "allocable" costs as specified in OMB Circular A-87.

All data collection activities must conform to the technical standards and methodologies established by the Bureau of Labor Statistics, or document, in the state's grant file, a sound business rationale for the use of an alternative methodology.

In the conduct of LMI/WI information technology (IT) systems and applications, ETA recognizes that Web-based tools will be needed for

service delivery. Any IT system or application developed with WI grant funds must adhere to industry-standard, open architecture principles with documentation and software made available for use by other organizations for Federal government purposes.

- 12. Publications and Other Information Products.** Grantees are required to submit .pdf copies or links to .pdf copies of required economic analyses, special workforce information, or economic studies (to meet deliverable 3) to the appropriate regional office 90 calendar days following the end of the program year. As with the annual reports, any state economic analyses, special workforce information, or economic studies submitted to meet WI program deliverable 3 must also meet Section 508 Web posting requirements.

States are encouraged to review the wide range and formats of the annual state economic analysis reports approved and posted by ETA since PY 2006. These reports are located at:
http://www.doleta.gov/Programs/2008ReportsAndPlans/eta_default.cfm.

Grantees are also requested to submit .pdf documents or links to .pdf copies of publications and other products produced with WI grant funding that are considered to be of special interest to the workforce investment system to the appropriate regional office.

Examples of products of special interest include industry-specific research and analysis, state brochures describing the availability and functionality of electronic self-service tools, documentation of innovative applications developed for database access or manipulation, or innovative workforce information services available to workforce system staff and customers.

- 13. Grant Expenditure Period.** The maximum expenditure period for these funds is three years under the Wagner-Peyser Annual Funding Agreement. The grant will cover, at a minimum, the 12-month period from July 1, 2011 to June 30, 2012, but may, if negotiated between the state and the ETA regional office, cover a longer period of time up to the maximum expenditure period ending June 30, 2014.

- 14. Grant Modifications.** The grantee and the ETA regional office may jointly modify planned expenditures within the state allocation during the grant period of performance. The regional office has authority to recommend the reallocation of grant funds to the ETA grant officer when overall grant expenditures and obligations are substantially below quarterly budgeted forecasts.

- 15. Financial Management and Reporting.** States should forecast actual

cash needs by program year quarter on Standard Form (SF) 424A, Section D, for all quarters covered by the grant. State workforce agencies will report quarterly expenditures by direct data entry of the ETA 9130 Financial Cost Report, into the Web-based Enterprise Business Support System (EBSS). Per 29 CFR 97.41(b)(4) quarterly financial reports are due 30 days following the end of each quarter. Address any questions regarding financial management and reporting for PY 2011, or for any existing prior year fund balances, to the appropriate ETA regional office.

16. Action Requested. Grant applications are due to the regional offices within 45 days of the date of this guidance. A submission date later than 45 days may be approved by ETA Regional Administrators. ETA requests that SWA administrators:

- a. Immediately transmit these grant application instructions to the appropriate SWA office(s) and the SWIB.
- b. Submit the original and two (2) copies of the PY 2011 grant application package with a transmittal letter signed by: (1) the governor; or (2) both the SWA administrator and the chairperson of the state SWIB to the appropriate ETA regional office.
- c. Include in the grant application package:
 1. Transmittal Letter
 2. Application for Federal Assistance (SF-424)
 3. Budget Plan (SF-424A, Section D only)
 4. Statement of Work Certification

17. Inquiries. Direct questions on the statement of work and grant requirements to the appropriate ETA regional office. Direct grant and financial management questions to the regional office or to Gwendolyn Baron-Simms at (202) 693-3309 or to Thomas Martin, Grant Officer, at (202) 693-2989.

18. Attachments.

- I. State Allocations for PY 2011
- II. Grant Procedures
- III. Statement of Work Guidelines
- IV. Statement of Work Certification
- V. Annual Performance Report Instructions

U. S. Department of Labor
 Employment and Training Administration
 Workforce Information Grants to States
 PY 2011 vs PY 2010 Allotments

Attachment I

State	PY 2010	PY 2011	Difference	% Difference
Total	\$32,000,000	\$32,000,000	\$0	0.00%
Alabama	513,199	505,992	(7,207)	-1.40%
Alaska	288,558	288,781	223	0.08%
Arizona	6,26,020	6,31,779	5,759	0.92%
Arkansas	413,813	412,277	(1,536)	-0.37%
California	2,507,217	2,515,778	8,561	0.34%
Colorado	583,382	577,959	(5,423)	-0.93%
Connecticut	476,002	475,973	(29)	-0.01%
Delaware	299,219	298,498	(721)	-0.24%
District of Columbia	285,208	285,170	(38)	-0.01%
Florida	1,388,142	1,377,429	(10,713)	-0.77%
Georgia	842,605	832,325	(10,280)	-1.22%
Hawaii	325,132	324,368	(764)	-0.23%
Idaho	338,097	337,134	(963)	-0.28%
Illinois	1,070,081	1,056,837	(13,244)	-1.24%
Indiana	642,235	637,859	(4,376)	-0.68%
Iowa	451,190	450,390	(800)	-0.18%
Kansas	427,610	430,687	3,077	0.72%
Kentucky	495,574	498,273	2,699	0.54%
Louisiana	494,844	499,711	5,867	0.98%
Maine	332,053	331,210	(843)	-0.25%
Maryland	612,613	608,631	(3,982)	-0.65%
Massachusetts	662,375	665,387	3,012	0.45%
Michigan	855,176	840,933	(14,243)	-1.67%
Minnesota	606,203	606,706	503	0.08%
Mississippi	407,221	404,978	(2,243)	-0.55%
Missouri	615,454	613,786	(1,668)	-0.27%
Montana	306,660	306,340	(320)	-0.10%
Nebraska	366,425	365,970	(455)	-0.12%
Nevada	414,616	416,502	1,886	0.45%
New Hampshire	335,737	335,493	(244)	-0.07%
New Jersey	796,139	800,638	4,499	0.57%
New Mexico	361,891	362,201	310	0.09%
New York	1,420,420	1,439,096	18,676	1.31%
North Carolina	805,049	803,30	(2,019)	-0.25%
North Dakota	290,398	289,915	(483)	-0.17%
Ohio	982,778	974,547	(8,231)	-0.84%
Oklahoma	459,625	461,686	2,061	0.45%
Oregon	484,917	487,891	2,974	0.61%
Pennsylvania	1,027,599	1,032,188	4,589	0.45%
Puerto Rico	412,752	408,794	(3,958)	-0.96%
Rhode Island	315,475	314,349	(1,126)	-0.36%
South Carolina	508,829	512,460	3,631	0.71%
South Dakota	299,586	299,507	(79)	-0.03%
Tennessee	621,026	616,563	(4,463)	-0.72%
Texas	1,680,566	1,704,900	24,334	1.45%
Utah	415,279	414,068	(1,211)	-0.29%
Vermont	288,282	288,734	452	0.16%
Virginia	748,577	753,436	4,859	0.65%
Washington	6,71,927	6,79,171	7,244	1.08%
West Virginia	344,271	342,209	(2,062)	-0.60%
Wisconsin	6,24,534	6,24,061	(473)	-0.08%
Wyoming	280,619	280,600	(19)	-0.01%
State Total	31,823,200	31,823,200	0	0.00%
Guam	92,899	92,899	0	0.00%
Virgin Islands	83,901	83,901	0	0.00%
Outlying Areas Total	176,800	176,800	0	0.00%

Attachment II

Grant Procedures

- A. Grant Agreement.** The Wagner-Peyser Annual Funding Agreement covers the Wagner-Peyser Base Grant and the Workforce Information Grants to States for Fiscal Year 2011/PY 2011. The Annual Funding Agreement includes Assurances and Certifications that apply to all grants covered by the Agreement. Therefore, grantees do not need to submit separate Assurances and Certifications with the Workforce Information Grants to States application.
- B. Grant Application.** The state grant applications must be developed in accordance with Attachments I through V. The grant application must include a transmittal letter signed by both the designated SWA administrator and the designated representative of the State Workforce Investment Board, or the governor, a signed Application for Federal Assistance (SF-424), Budget (SF-424A, Section D only) for all quarters covered by the state plan; and a grant Statement of Work Certification. ETA requests that states submit the original and two copies of the complete grant package to the appropriate ETA Regional Administrator within 45 days of the date of this guidance.
- C. Grant Approval.** Regional Administrators are responsible for final approval of the grant and will issue an approval letter, with a copy of the approved grant package, to the state and a copy of the approval letter and approved grant package to the grant officer. The grant officer will issue a Notice of Obligation (NOO) after receipt of the approved grant application from the Regional Administrator.
- D. Grant Modifications.** The grantee and ETA regional office may jointly modify forecasted quarterly expenditures, within the state allocation, during the grant period of performance. The regional office has authority to recommend funding adjustments to the grant officer when overall grant expenditures are substantially below quarterly budgeted forecasts in the approved SF- 424A.

Attachment III

Statement of Work Deliverables

Workforce Information Grants to States funds shall be used to produce deliverables one through five specified in this Attachment. The deliverables include the population and maintenance of the Workforce Information Database (WIDb), the production of industry and occupational employment projections, and other workforce information and economic products and services required to support ETA national needs and those of state, regional, and local workforce development systems.

Grantees are required to produce all five deliverables with PY 2011 funding. Except for deliverables one and two (WIDb maintenance and enhancements; and Industry and Occupational Employment Projections), which are expected to be standard and comparable across states, states are allowed flexibility in developing the remaining three required deliverables. This flexibility enables states to determine the relative priority of the product or service, the content and form of the deliverable, and the level of investment in each deliverable that best meets state and local needs.

States are required to consult with the SWIBs, local workforce investment boards (LWIBs), economic development agencies, education and other involved organizations in order to receive significant stakeholder input into the development of these flexible grant deliverables.

ETA acknowledges and supports states using funds provided by this grant to produce other information products and services, such as workforce information training for state and local workforce system staff and the production of occupational and career guidance resources that support the workforce system and job seekers, and documented as customer demand. Grant funds may also be used to address state staff training needs required to build or enhance staff capacity.

1) Populate the Workforce Information Database (WIDb) with state and local data.

The WIDb provides states with a common structure for storing information in a single database in each state. The database is intended to serve as the cornerstone for information delivery, workforce research, and product development for information that is standard and comparable across all states. The database, once populated, brings together critical workforce information from many sources to promote better analysis and more sophisticated interpretation. Using the same version of the database in all states is important and necessary for comparative analysis purposes and for ease of maintenance.

Additionally, state submitted WIDb data also support ETA's CareerOneStop (COS) Web site.

Therefore, states are required to implement and maintain the most current version of the WIDb and populate all tables designated as core tables in accordance with guidelines issued by the Analyst Resource Center (ARC).

Database content must be updated timely in order to be as current as the state's most recent publications and data releases. Information and technical support will be provided on the ARC Web site at:

<http://www.workforceinfodb.org/>. The listing of the current core data elements, i.e. those elements that must be populated by all states, and associated tables may be found at the same Internet address. States are required to populate the database with the license.dbf and licauth.dbf licensing files. Licensing data must be updated every two years. States are required to submit licensing data through the National Crosswalk Service Center (NCSC) for inclusion on <http://www.CareerInfoNet.org>. The licensed occupations information is located on America's Career InfoNet (ACINet) site, and has been recoded from the Occupational Employment Statistics (OES) taxonomy to the Occupational Information Network (O*NET)/Standard Occupational Classification (SOC) taxonomy. The NCSC will assist states in recoding their files from OES to O*NET/SOC for submittal to ACINet, if necessary. States may access information and support through the NCSC Web site at <http://www.xwalkcenter.org> or by calling (515) 242-5034.

Training, support material, and information updates will be provided through the ARC technical Web site along with links to related sites, and electronic newsletters to help states realize the full potential of the WIDb and related resources. It is important that all states take advantage of the resources and training opportunities provided. States may use grant funds for any costs required to populate, maintain, and update the database including accessing needed staff training. States are expected to avail themselves of ARC training and support and to use WI grant program funds to do so.

2) Produce and disseminate industry and occupational employment projections.

States must continue producing and disseminating state and sub-state industry and occupational employment projections, using the methodology, software tools, and guidelines developed by the Projections Workgroup and the Projections Managing Partnership. In the case where a state uses another methodology, state officials must document the

methodology and indicate the reason for using alternative methods.

The primary activity for PY 2011 will be the production of statewide short-term and sub-state long-term occupational projections. Sub-state long-term projections must be developed for the 2008 to 2018 period, and statewide short-term projections for the 2011 to 2012 period. An inability to produce area and local projections must be documented in the Statement of Work Certification and in the state's grant file.

States must also populate the WIDb with the statewide 2011 to 2012 short-term projections and the sub-state 2008 to 2018 long-term projections data, and submit the long-term data for public dissemination following procedures established by the Projections Workgroup and the Projections Managing Partnership. The Workgroup will provide states specific instructions for data submission.

State grantees are encouraged to utilize annual workforce information grant funding to access and participate in needed training opportunities offered by the Projections Workgroup and the Projections Managing Partnership. ETA expects states to avail themselves of any needed training and support provided by the Projections Workgroup and the Projections Managing Partnership and to use WI grant program funds to do so.

3) Conduct and publish relevant economic analyses, special workforce information, and/or economic studies determined to be of benefit to the governor and state and local WIBs.

Based on customer (i.e. stakeholder and partner) consultations identifying needs, states must use WI grant funding to develop, publish, and submit economic analyses and special studies capable of informing the workforce and economic development policy and investment determinations to be made by the governor and state or local WIBs. These analyses may also support the LMI/WI and economic development needs of other system stakeholders such as state and local economic and workforce development organizations, education and training institutions, community colleges and other state-identified partners, as documented and appropriate.

All information sources used in the analyses and studies by states must be clearly referenced and footnoted. ETA continues to encourage state LMI offices and economic research entities to expand the scope and depth of each developed analysis by including information from external, credible sources of workforce, labor market, and economic information where relevant and based on needs identified through WI customer consultations and assessments.

Grantees are required to submit .pdf copies or links to .pdf copies of required economic analyses, any special workforce information, or special economic studies to the appropriate regional office 90 calendar days following the end of the program year.

Grantees are also requested to submit .pdf documents or links to .pdf copies of publications and other products produced with WI grant funds that are considered to be of special interest to the workforce investment system to the appropriate regional office. Examples of products of special interest include industry-specific research and analysis, state brochures describing the availability and functionality of electronic self-service tools, documentation of innovative applications developed for database access or manipulation, or innovative workforce information services available to workforce system staff and customers.

4) Post products, information, and reports on the Internet.

States are required to post grant produced products, reports, including those developed for deliverable three (3), and other workforce information on the Web or disseminate the information through other electronic media to facilitate use by the workforce and economic development systems, other partners and stakeholders, and the general public. WI grant funds may be used for developing, maintaining, improving, and hosting Internet Web sites and other electronic delivery systems in support of this deliverable.

5) Partner and consult on a continuing basis with workforce investment boards and other key workforce and economic development partners and stakeholders.

The PY 2011 workforce information grant guidelines require grantee consultation with the SWA, SWIB, LWIBs, economic and workforce development organizations, education and training institutions, community colleges and other appropriate state-identified strategic partners and stakeholders to increase the scope and utility of workforce information to inform workforce and economic development vision, priorities, strategies and enhance career guidance resources and tools.

ETA expects workforce information grantees to continue as catalysts that establish and maintain strategic partnerships that work to jointly identify and address job seeker needs as well those of state and local workforce system staff users and other state-identified stakeholders.

Grantees are expected to collaborate with as many stakeholders as possible including economic development agencies, education and training institutions, industry associations, business and labor groups,

and those state-identified to gather and analyze a wide variety of workforce and economic data to inform good decision-making by job seekers and workforce staff, track and interpret economic conditions, benchmark competitiveness, and measure outcomes. Partners, stakeholders and the needs identified through consultations must be documented in the grant file.

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Attachment IV

Statement of Work Certification State Workforce Information Grants

The State of _____ certifies that it will accomplish grant deliverables one through five specified in Attachment III of the Workforce Information Grants to States Application Instructions for Program Year 2011.* Per 29 CFR, Part 97.42(e)(1), file documentation of grant activities and accomplishments will be available for examination by ETA or other authorized Federal representatives.

Governor

Date

Or

SWA Administrator

SWIB Chair

Date

Date

* If circumstances prevent the accomplishment of a specific grant deliverable(s) during PY 2011, a deferral must be negotiated with the Regional Administrator, and the reasons for delay and a negotiated completion date noted as an addendum to this Statement of Work Certification.

Attachment V

Annual Performance Report

States are required to submit an annual performance report, signed by the governor or both the State Workforce Administrator (SWA) and the State Workforce Investment Board (SWIB) which concisely summarizes accomplishments and the results of customer consultations about existing and needed workforce information products and services. The report is intended to serve as an accountability, management, and analysis tool for states and ETA, and as a source for promising practices and exemplary products.

A. Accomplishments. For each required deliverable, provide a concise summary of:

- The outcomes achieved and an analysis that explains the cause of any significant variance from the Grant Certification. Describe any actions required to accomplish the required deliverables.
- The extent to which activities have conformed to the deliverables schedule, including an explanation for the cause of any significant variance from the schedule.
- Actual aggregate expenditures and an explanation for any significant variance from estimated aggregate expenditures.

B. Customer Consultations. Provide a concise summary of:

- The methods used for consulting with customers, and customize requirements.
- Activities to be undertaken to add customer value to the deliverables, where needs for improvement are identified based on customer feedback.

C. Partnerships and Collaborations. Describe state activities to leverage WI funding:

- Communicate about new and established partnerships, activities, and linkages.
- Highlight the tools and resources created through or supported by state and local partnership activities or collaborations during the program year.

D. Recommendations for Improvements or Changes to the Deliverables.

These may be based on one or more of the following:

- Recommendations based on accomplishments.
- Recommendations based on consultations with customers.

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