

Overview

The Department of the Treasury Office of Foreign Assets Control (OFAC) is conducting a survey to better understand the demographic that actively consumes the Specially Designated Nationals (SDN) and blocked persons list publications. The survey is intended to collect high level information about who the SDN consumer base is, what files they're consuming, why they're using those particular files, and how often they're using them. The results of the survey may help OFAC improve the SDN-related products it offers and in turn help the consumer population.

Your responses to this survey are voluntary and strictly confidential. On average, the survey will take about 5 minutes to complete.

Thank you for your assistance!

Sincerely,

Office of Foreign Assets Control

Privacy Statement

The Department of the Treasury wants to assure survey participants that your involvement in this survey is both voluntary and confidential. We highly encourage your participation in this survey to ensure that data collected is complete and representative of the consumer base that actively uses the SDN files. Only Department of the Treasury staff and our survey support contractor staff will have access to completed surveys.

In accordance with the Privacy Act of 1974 (Public Law 93-579), no data will be disclosed that could be used to identify individual participants.

	<u>SDN Questions</u>	<u>Question Type</u>	<u>Answer Options</u>	<u>Branching Logic</u>
<u>1</u>	<u>Who you are</u>			
1.1	Which consumer category best classifies you?	MC	Government Entity/Private Company/Individual Government Entity Private Company Individual	1.2 1.3 2.1
1.2	On the previous question you selected 'Government Entity', what level is that entity?	MC	Tribal/Local/State/Federal Tribal Local State Federal	1.10 1.10 1.10 1.10
1.3	On the previous question you selected 'Private Company', what is your industry type?	MC	Retail/Energy/Agriculture/Manufacturing/Finance/Cargo	

			Retail	1.4
			Energy	1.5
			Agriculture	1.6
			Manufacturing	1.7
			Financial	1.8
			Cargo/Shipping	1.9
			Non- Governmental Organization (NGO)/Non-profit	1.10
1.4	Within the Retail industry, what primary business area?	MC	Food Products/Hard Goods (appliances, electronics, furniture, sporting goods, etc.)/ Soft Goods (clothing, apparel, other fabrics, etc.)/Other	
			Food Products	1.10
			Hard Goods	1.10
			Soft Goods	1.10
			Other	1.4.1
1.4.1	You selected other on the previous question, please explain	Free Text		1.10
1.5	Within the Energy industry, what primary business area?	MC	Industrial/Transportation/Residential/Commercial/ Other	
			Industrial	1.10
			Transportation	1.10
			Residential	1.10
			Commercial	1.10
			Other	1.5.1
1.5.1	You selected other on the previous question, please explain	Free Text		1.10
1.6	Within the Agriculture industry, what primary business area?	MC	Crops/Livestock/Other	
			Crops	1.10
			Livestock	1.10
			Other	1.6.1
1.6.1	You selected other on the previous question, please explain	Free Text		1.10
1.7	Within the Manufacturing industry, what primary business area?	MC	Chemical/ Construction/ Electronics/ Engineering/ Energy/ Food and Beverage/ Industrial Design/ Metalworking/ Metalcasting/ Plastics/ Telecommunications/ Textile Manufacturing/ Pulp and Paper/ Transportation/ Other	
			Chemical	1.10
			Construction	1.10
			Electronic	1.10

			Engineering	1.10
			Energy	1.10
			Food and Beverage	1.10
			Industrial Design	1.10
			Metalworking	1.10
			Metalcasting	1.10
			Plastics	1.10
			Telecommunications	1.10
			Textile Manufacturing	1.10
			Pulp and Paper	1.10
			Transportation	1.10
			Other	1.7.1
1.7.1	You selected other on the previous question, please explain	Free Text		1.10
1.8	Within the Finance industry, what primary business area?	MC	Banking/Foreign Exchange Services/Investment Services/Insurance/ Money Service Businesses/ Credit Reporting/ Other	
			Banking	1.10
			Foreign Exchange Services	1.10
			Investment Services	1.10
			Insurance	1.10
			Money Service Businesses	1.10
			Credit Reporting	1.10
			Other	1.8.1
1.8.1	You selected other on the previous question, please explain	Free Text		1.10
1.9	Within the Cargo/Shipping industry, what primary business area?	MC	Marine/Air/Train/Road/Other	
			Marine	1.10
			Air	1.10
			Train	1.10
			Road	1.10
			Other	1.9.1
1.9.1	You selected other on the previous question, please explain	Free Text		1.10
1.10	Approximately, how large is your operation?	MC	0-250/ 251-1,000/ 1,001-5,000/ >5,000	2.1
<u>2</u>	<u>What you use and why</u>			
2.1	Which SDN list format(s) do you use?			2.2.1
2.2.1	Do you actively use the SDN '.pdf' format?	MC	Yes/No	

2.2.2	Why do you use this file format?	MC/SAA	Yes No Most cost effective way to consume the product(s)/ Current infrastructure/ technology supports the product(s)/ I have always used this product/ Suggested by third-party vendor/Other Select all that apply	2.2.2 2.3.1 2.2.3.1
2.2.3.1	Do you find this file format user friendly?	MC	Yes/No Yes No	2.3.1 2.2.3.2
2.2.3.2	If no, please indicate why	MC	Too large/Too complex/Don't like the format/Compatibility issues/other	
		Free-text	<i>Too large</i> <i>Too complex</i> <i>Don't like the format</i> <i>Compatibility issues</i> <i>Other</i>	2.3.1 2.3.1 2.3.1 2.3.1 2.2.3.2.1
2.2.3.2.1	Please describe why you don't like the current formats offered and what you would prefer	Free-text		2.3.1
2.3.1	Do you actively use the SDN '.txt' format?	MC	Yes/No Yes No	2.3.2 2.4.1
2.3.2	Why do you use this file format?	MC/SAA	Most cost effective way to consume the product(s)/ Current infrastructure/ technology supports the product(s)/ I have always used this product/ Suggested by third-party vendor/Other Select all that apply	2.3.3.1
2.3.3.1	Do you find this file format user friendly?	MC	Yes/No Yes No	2.4.1 2.3.3.2
2.3.3.2	If no, please indicate why	MC	Too large/Too complex/Don't like the format/Compatibility issues/other	

	Free-text	<i>Too large</i>	2.4.1
		<i>Too complex</i>	2.4.1
		<i>Don't like the format</i>	2.4.1
		<i>Compatibility issues</i>	2.4.1
		<i>Other</i>	2.3.3.2.1
2.3.3.2.1 Please describe why you don't like the current formats offered and what you would prefer	Free-text		2.4.1
2.4.1 Do you actively use the 'SDN.xml' format?	MC	Yes/No	
		Yes	2.4.2
		No	2.5.1
2.4.2 Why do you use this file format?	MC/SAA	Most cost effective way to consume the product(s)/ Current infrastructure/ technology supports the product(s)/ I have always used this product/ Suggested by third-party vendor/Other	2.4.3.1
		Select all that apply	
2.4.3.1 Do you find this file format user friendly?	MC	Yes/No	
		Yes	2.5.1
		No	2.4.3.2
2.4.3.2 If no, please indicate why	MC	Too large/Too complex/Don't like the format/Compatibility issues/other	
	Free-text	<i>Too large</i>	2.5.1
		<i>Too complex</i>	2.5.1
		<i>Don't like the format</i>	2.5.1
		<i>Compatibility issues</i>	2.5.1
		<i>Other</i>	2.4.3.2.1
2.4.3.2.1 Please describe why you don't like the current formats offered and what you would prefer	Free-text		2.5.1
2.5.1 Do you actively use the 'SDN.del' (@ sign delimited) format?	MC	Yes/No	
		Yes	2.5.2
		No	2.6.1

2.5.2	Why do you use this file format?	MC/SAA	Most cost effective way to consume the product(s)/ Current infrastructure/ technology supports the product(s)/ I have always used this product/ Suggested by third-party vendor/Other Select all that apply	2.5.3.1
2.5.3.1	Do you find this file format user friendly?	MC	Yes/No Yes No	2.6.1 2.5.3.2
2.5.3.2	If no, please indicate why	MC Free-text	Too large/Too complex/Don't like the format/Compatibility issues/other <i>Too large</i> <i>Too complex</i> <i>Don't like the format</i> <i>Compatibility issues</i> <i>Other</i>	2.6.1 2.6.1 2.6.1 2.6.1 2.5.3.2.1
2.5.3.2.1	Please describe why you don't like the current formats offered and what you would prefer	Free-text		2.6.1
2.6.1	Do you actively use the 'SDN.csv' (comma delimited) format?	MC	Yes/No Yes No	2.6.2 2.7.1
2.6.2	Why do you use this file format?	MC/SAA	Most cost effective way to consume the product(s)/ Current infrastructure/ technology supports the product(s)/ I have always used this product/ Suggested by third-party vendor/Other Select all that apply	2.6.3.1
2.6.3.1	Do you find this file format user friendly?	MC	Yes/No Yes No	2.7.1 2.6.3.2
2.6.3.2	If no, please indicate why	MC Free-text	Too large/Too complex/Don't like the format/Compatibility issues/other <i>Too large</i> <i>Too complex</i>	2.7.1 2.7.1

			<i>Don't like the format</i>	2.7.1
			<i>Compatibility issues</i>	2.7.1
			<i>Other</i>	2.6.3.2.1
2.6.3.2.1	Please describe why you don't like the current formats offered and what you would prefer	Free-text		2.7.1
2.7.1	<i>Do you actively use the 'SDN.ff' (fixed-width) format?</i>	MC	Yes/No Yes No	2.7.2 2.8.1
2.7.2	Why do you use this file format?	MC/SAA	Most cost effective way to consume the product(s)/ Current infrastructure/ technology supports the product(s)/ I have always used this product/ Suggested by third-party vendor/Other Select all that apply	2.7.3.1
2.7.3.1	Do you find this file format user friendly?	MC	Yes/No Yes No	2.8.1 2.7.3.2
2.7.3.2	If no, please indicate why	MC	Too large/Too complex/Don't like the format/Compatibility issues/other	
		Free-text	<i>Too large</i> <i>Too complex</i> <i>Don't like the format</i> <i>Compatibility issues</i> <i>Other</i>	2.8.1 2.8.1 2.8.1 2.8.1 2.7.3.2.1
2.7.3.2.1	Please describe why you don't like the current formats offered and what you would prefer	Free-text		2.8.1
2.8.1	<i>Do you actively use the 'SDN.pip' (character delimited) format?</i>	MC	Yes/No Yes No	2.8.2 2.9.1

2.8.2	Why do you use this file format?	MC/SAA	Most cost effective way to consume the product(s)/ Current infrastructure/ technology supports the product(s)/ I have always used this product/ Suggested by third-party vendor/Other Select all that apply	2.8.3.1
2.8.3.1	Do you find this file format user friendly?	MC	Yes/No Yes No	2.9.1 2.8.3.2
2.8.3.2	If no, please indicate why	MC Free-text	Too large/Too complex/Don't like the format/Compatibility issues/other <i>Too large</i> <i>Too complex</i> <i>Don't like the format</i> <i>Compatibility issues</i> <i>Other</i>	2.9.1 2.9.1 2.9.1 2.9.1 2.8.3.2.1
2.8.3.2.1	Please describe why you don't like the current formats offered and what you would prefer	Free-text		2.9.1
2.9.1	Do you actively use the SDN archive '.exe' format?	MC	Yes/No Yes No	2.9.2 2.10.1
2.9.2	Why do you use this file format?	MC/SAA	Most cost effective way to consume the product(s)/ Current infrastructure/ technology supports the product(s)/ I have always used this product/ Suggested by third-party vendor/Other Select all that apply	2.9.3.1
2.9.3.1	Do you find this file format user friendly?	MC	Yes/No Yes No	2.10.1 2.9.3.2
2.9.3.2	If no, please indicate why	MC Free-text	Too large/Too complex/Don't like the format/Compatibility issues/other <i>Too large</i> <i>Too complex</i>	2.10.1 2.10.1

			<i>Don't like the format</i>	2.10.1
			<i>Compatibility issues</i>	2.10.1
			<i>Other</i>	2.9.3.2.1
2.9.3.2.1	Please describe why you don't like the current formats offered and what you would prefer	Free-text		2.10.1
2.10.1	<i>Do you actively use the SDN archive '.zip' format?</i>	MC	Yes/No Yes No	2.10.2 2.11
2.10.2	Why do you use this file format?	MC/SAA	Most cost effective way to consume the product(s)/ Current infrastructure/ technology supports the product(s)/ I have always used this product/ Suggested by third-party vendor/Other Select all that apply	2.10.3.1
2.10.3.1	Do you find this file format user friendly?	MC	Yes/No Yes No	2.11 2.10.3.2
2.10.3.2	If no, please indicate why	MC	Too large/Too complex/Don't like the format/Compatibility issues/other	
		Free-text	<i>Too large</i> <i>Too complex</i> <i>Don't like the format</i> <i>Compatibility issues</i> <i>Other</i>	2.11 2.11 2.11 2.11 2.10.3.2.1
2.10.3.2.1	Please describe why you don't like the current formats offered and what you would prefer	Free-text		2.11

2.11	What products does your current infrastructure/technology allow you to consume?	MC/SAA	List all Machine Readable products/ Human Readable products (select all that apply)	3.1
			-.pdf -.txt -.xml -.del (@ sign delimited) -.csv (comma delimited) -.ff (fixed width) -.pip (character delimited) -.exe archive -.zip archive	
2.12.1	Are there any file formats that aren't currently provided that you would prefer?	MC	Yes/No	
			Yes	2.12.2
			No	3.1
2.12.2	Please describe the file formats that you would prefer	Free Text		3.1
3	<u>How often you're using it</u>			
3.1	How often do you download or access the list?	MC	Weekly, Biweekly, Monthly, Quarterly, Other, When notified of SDN updates	
			Daily	4.1
			Weekly	4.1
			Biweekly	4.1
			Monthly	4.1
			Quarterly	4.1
			Other	4.1
			When notified of SDN updates	3.1.1
3.1.1	What notifications do you receive regarding SDN updates?	MC/SAA	OFAC RSS Feeds/ OFAC email broadcast/ Regular checking of website/Other	4.1
4	<u>How you're using it</u>			
4.1	What methods do you use to consume the SDN list?	MC/SAA	Print the list and manually search SDN data/Open the file and search for key words/Load list data into screening software/ Use a third-party vendor search capability/Other	4.2

4.2	When searching for possible SDN matches, what data field or data fields do you commonly use in your search criteria?	MC/SAA	list all options and a scale of 1-5, 1 being never use and 5 being frequently use, allow for multiple selections	4.3.1
			First Name Last Name Address Entity Name Alias Other	
4.3.1	Are there other data fields that aren't included on the current SDN lists that you would like to see added?	MC	Yes/No	
			Yes	4.3.2
			No	5.1
4.3.2	What data fields would you like to see added?	Free Text Field		5.1
5	<u>Miscellaneous</u>			
5.1	Please use the space below to provide any other feedback such as improvements to the site, improvements to the products currently published, etc.	Free-text	Optional but encouraged	END

End of Survey

Thank you for your input. The data collected will be compiled with other feedback received and the Department of the Treasury will look to take the necessary steps toward improving the overall user experience related to SDN consumption.