

Coal Stocks Report - Annual
Page 1

Reporting For Calendar Year

Respondent ID #

Please read the instructions provided before completing this form.

NOTICE: This report is **mandatory** under the Federal Energy Administration Act of 1974 (Public Law 93-275). Failure to comply may result in criminal fines, civil penalties and other sanctions as provided by law. For further information concerning sanctions and data protections see the provision on sanctions and the provision concerning the disclosure of information in the instructions. **Title 18 USC 1001 makes it a criminal offense for any person knowingly and willingly to make to any Agency or Department of the United States any false, fictitious, or fraudulent statements as to any matter within its jurisdiction.**

GENERAL REPORTING INSTRUCTIONS: Form EIA-8A shall be submitted by all coal brokers, coal traders and coal terminals in the 50 United States and the District of Columbia that owned stocks of 10,000 or more short tons of U.S. origin coal at the end of the reporting year and/or exported 10,000 short tons or more of U.S. origin coal. Companies that take custody (physical possession) of the coal and transport but never own the coal need not report.

I. Identification

A. Status Change: Did the ownership change during the year?

Yes

No

If **Yes**, give date of change and, as applicable, the name and address of the new owner.

| | | |
|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Month | Day | Year |

Name of New Owner

Address of New Owner (Street) (City) (County) (State) (Zip Code)

New Owner E-mail

New Owner Phone Number

New Owner Fax Number

Please make any corrections to the mailing label in the area provided at the right and return the completed form in the business reply envelope provided.

B. Preparer Information

Preparer's Name:
Preparer's Company Name:
Preparer's Street Address:
Preparer's City, State, Zip:
Preparer's Phone #:
Preparer's Fax #:
Preparer's E-mail:

C. Reporting Company Contact Information. The company contact person is a person who has oversight responsibility for responding to this data request and ordinarily is not the person preparing the response.

Company Contact Name:
Company Contact Title:
Company Contact Company Name:
Company Contact Street Address:
Company Contact City, State, Zip:
Company Contact Phone #:
Company Contact Fax #:
Company Contact E-mail:

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Respondent ID #

D. Reporting Entity Supervisor Information

Supervisor's Name:
Supervisor's Company Name:
Supervisor's Street Address:
Supervisor's City, State, Zip:
Supervisor's Phone #:
Supervisor's Fax #:
Supervisor's E-mail:

II. Coal Stocks Owned by Respondent (Include Anthracite and Bituminous Refuse)

A. Location of Stocks. Please enter separate stocks data for each State where your company held stocks. See instructions for State abbreviations.

B. Ending Stocks. Please report the amount of coal stocks in short tons owned and held by your company at the end of the reporting year by state of origin of the coal. **Do not include pit inventory in coal stocks.** Coal stocks are defined to be coal that has been mined or purchased and stored awaiting shipment or transfer to a user. Pit inventory is coal in place which has been surveyed or prepared for mining. Coal stocks do not include coal that is in-transit (in rail cars or vessels) at year-end. **EIA-8A coal stocks should not include coal that is reported as coal stocks on the EIA-923, Power Plant Operations Report, survey form or the EIA-7A, Coal Production and Preparation Report survey form. Coal stocks reported on the EIA-923 include on-site and off-site (i.e., transfer terminal) electric utility coal stockpiles.**

C. Origin State for Coal in Ending Stocks. Please report for each "Location of Stocks" the State of origin (where the coal was mined) for ending stocks. See instructions for State abbreviations.

D. Tons of Ending Stocks from Origin State. Please report the short tons of the ending stocks at each location by each origin State.

E. Rank of Coal Stocks. Report the rank of coal for each origin State. See instructions for coal rank definitions.

Complete the grid on page 3 for each separate Location of Stocks. If coal stocks are located in more than 4 States, or if there are more than 3 origin States for a single location of stocks, use a separate sheet of paper and the column headings to complete the report.

III. Coal Exports.

F. Exports. Enter the amount of coal exported from the U.S. in short tons.

G. Origin State. Enter the abbreviation of the State of origin of the coal that was exported.

H. Revenue. Enter the total revenue associated with the coal exports.

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Respondent ID #

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| (A) Location of Stocks (State) | (B) Ending Stocks (Short Tons) | (C) Origin State of Coal in Ending Stocks (B) | (D) Ending Stocks from Origin State (C) (short tons) | (E) Rank of Coal Stocks for each Origin State (C) |
|--------------------------------------|--------------------------------------|---|--|--|
| | | 1). | 1). | 1). |
| | | 2). | 2). | 2). |
| | | 3). | 3). | 3). |
| | | | | |
| | | 1). | 1). | 1). |
| | | 2). | 2). | 2). |
| | | 3). | 3). | 3). |
| | | | | |
| | | 1). | 1). | 1). |
| | | 2). | 2). | 2). |
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| | | | | |
| | | 1). | 1). | 1). |
| | | 2). | 2). | 2). |
| | | 3). | 3). | 3). |

III. Coal Exports

| Amount of Coal Exports | Origin State of Coal Exports | Rank of Coal Exports | Total Revenue of Coal Exports |
|------------------------|---------------------------------|-------------------------|-------------------------------|
| | | | |
| | | | |
| | | | |

IV. Remarks (if additional space is needed, use a separate sheet of paper).

V. Point of Contact. Enter the name, title, telephone number and e-mail address of your company representative who can answer questions regarding information provided on this form.

Name _____ Title _____

Telephone Number _____ E-mail Address _____

General Instructions:

A. PURPOSE. This survey collects coal stocks data from U.S. coal brokers, coal traders and coal terminals. Information on coal stocks is collected to provide Congress with basic statistics concerning consumer use as required by the Federal Energy Administration Act of 1974 (FEAA) (P.L. 93-275), as amended. These data appear in the *Annual Coal Report*, the *Quarterly Coal Report*, and the *Monthly Energy Review*. In addition, the Energy Information Administration uses the data in short-term and long-term models such as the Short-Term Integrated Forecasting System (STIFS) and the National Energy Modeling System (NEMS) Coal Market Module. The forecast data also appear in the *Short-Term Energy Outlook* and the *Annual Energy Outlook* publications.

B. WHO MUST SUBMIT. Form EIA-8A shall be submitted by all coal brokers, coal traders and coal terminals in the 50 United States and the District of Columbia that owned stocks of 10,000 or more tons of coal at the end of the reporting year. Companies that take custody (physical possession) of the coal and transport but never own the coal need not report. Stocks held in individual States can be less than 10,000 short tons but the total of stocks held over all States shall be 10,000 short tons or more to trigger the requirement for filing the EIA-8A.

C. WHEN TO SUBMIT. Form EIA-8A shall be submitted no later than April 1 of the year following the reporting year.

D. WHERE TO SUBMIT. Respondents can submit data for this survey by e-mail, Secure File Transfer, mail, or facsimile. Any questions regarding the submission of this form can be directed to the Survey Manager, Mary Lilly, by e-mail at mary.lilly@eia.doe.gov or by phone at (202) 586-1490.

Secure Communication Methods:

By Secure File Transfer (SFT) form sent via: <https://idc.eia.doe/upload/notice8.jsp>

By Mail: Energy Information Administration, EI-52
CNEAF - CNRD
U.S. Department of Energy
1000 Independence Avenue, SW
Washington, DC 20585
Attn: EIA-8A

Non-secure Communication Methods:

By facsimile: (202) 287-1944
Attn: Form EIA-8A

Requests for further information, additional forms and instructions, or an extension of the reporting deadline may be directed to the Survey Manager at the address above or by telephone at (202) 586-1490.

E. SANCTIONS. The timely submission of Form EIA-8A by those required to report is mandatory under Section 13(b) of the Federal Energy Administration Act of 1974 (FEAA) (Public Law 93-275) as amended. Failure to respond may result in a civil penalty of not more than \$2,750 per day for each violation, or a fine of not more than \$5,000 per day for each willful violation. The government may bring a civil action to prohibit reporting violations which may result in a temporary restraining order or a preliminary or permanent injunction without bond. In such a civil action, the court may also issue mandatory injunctions commanding any person to comply with these reporting requirements. Title 18 U.S.C. §1001 makes it a criminal offense for any person knowingly and willingly to make to any Agency or Department of the United States any false, fictitious, or fraudulent statements as to any matter within its jurisdiction.

F. GENERAL INSTRUCTIONS. Complete all data items on the form applicable to your operation. All figures should be rounded to the nearest whole number. Report all tonnage in short tons (2,000 pounds). Blanks will be interpreted as zeros; therefore it is not necessary to enter "not applicable" (NA) or dashes or zeroes where you have no data to report.

G. REPORTING REQUIREMENTS AND BURDEN. This report is mandatory under Public Law 93-275. Failure to comply may result in criminal fines, civil penalties and other sanctions as provided by law. For the provisions concerning the confidentiality of information submitted on this form, see Part I of the instructions. Public reporting burden for this collection of information is estimated to average 0.95 hours per response, including the time of reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Energy Information Administration, Statistics and Methods Group, EI-70, Forrestal, 1000 Independence Ave., SW, Washington, DC 20585; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

H. SPECIFIC INSTRUCTIONS.

Coal Stocks: Coal that has been mined or purchased and stored awaiting shipment or transfer to a user. Do not report any coal in stocks that has been imported into the U.S. Coal stocks do not include coal that is in-transit (in rail cars or vessels) at year-end. EIA-8A coal stocks should not include coal that is reported as coal stocks on the EIA-923, Power Plant Operations Report, survey form or the EIA-7A, Coal Production and Preparation Report. Coal stocks reported on the EIA-923 include on-site and off-site (i.e., transfer terminal) electric utility coal stockpiles.

A coal producer/processor/preparer reports the coal stocks held at the end of the reporting year on the EIA-7A survey form. A coal producer/processor/preparer does not file the EIA-8A survey form unless in a separate operation it acts as a trader or broker and meets the 10,000 short tons of stocks threshold. An entity may be a producer in a particular State and a broker in that same State or another State. The entity shall report any stocks associated with its production on the EIA-7A form, and any stocks associated with its purchase of coal for brokering or trading on the EIA-8A form.

Pit Inventory: Coal in place which has been surveyed or prepared for mining.

I. DISCLOSURE OF INFORMATION.

The "Total Revenue of Coal Exports", in Section III, Item J" will be protected and not disclosed to the extent that it satisfies the criteria for exemption under the Freedom of Information Act (FOIA), 5 U.S.C. §552, the DOE regulations, 10 C.F.R. §1004.11, implementing the FOIA, and the Trade Secrets Act, 18 U.S.C. §1905. All other information reported on the Form EIA-8A is considered public information and may be publically released in company identifiable form.

J. Instructions for Section II – Coal Stocks Owned by Respondent

Use the following information to complete the survey. For each location of coal stocks (Column A) the short tons (Column B) should equal the sum of the ending stocks from each origin state (Column D).

A. Location of Stocks (Column A). Enter the code for the state where the stocks are located from the following list:

| | | | |
|---------------------------|--------------------|---------------------|---------------------|
| AL – Alabama | IL – Illinois | MT – Montana | RI – Rhode Island |
| AK – Alaska | IN – Indiana | NE – Nebraska | SC – South Carolina |
| AZ – Arizona | IA – Iowa | NV – Nevada | SD – South Dakota |
| AR – Arkansas | KS – Kansas | NH – New Hampshire | TN – Tennessee |
| CA – California | KY – Kentucky | NJ – New Jersey | TX – Texas |
| CO – Colorado | LA – Louisiana | NM – New Mexico | UT – Utah |
| CT – Connecticut | ME – Maine | NY – New York | VT – Vermont |
| DE – Delaware | MD – Maryland | NC – North Carolina | VA – Virginia |
| DC – District of Columbia | MA – Massachusetts | ND – North Dakota | WA – Washington |
| FL – Florida | MI – Michigan | OH – Ohio | WV – West Virginia |
| GA – Georgia | MN – Minnesota | OK – Oklahoma | WI – Wisconsin |
| HI – Hawaii | MS – Mississippi | OR – Oregon | WY – Wyoming |
| ID – Idaho | MO – Missouri | PA – Pennsylvania | |

B. Origin State (Column C). Enter the code for each state of origin of the coal in stockpile from the following list:

| | | |
|-------------------------|-------------------------|-------------------------------|
| AL – Alabama | WKY – Kentucky, Western | PA – Pennsylvania |
| AK – Alaska | LA – Louisiana | TN – Tennessee |
| AR – Arkansas | MD – Maryland | TX – Texas |
| AZ – Arizona | MS – Mississippi | UT – Utah |
| CO – Colorado | MO – Missouri | VA – Virginia |
| IL – Illinois | MT – Montana | WA – Washington |
| IN – Indiana | NM – New Mexico | NWV – West Virginia, Northern |
| IA – Iowa | ND – North Dakota | SWV – West Virginia, Southern |
| KS – Kansas | OH – Ohio | WY – Wyoming |
| EKY – Kentucky, Eastern | OK – Oklahoma | |

C. Ending Stocks from Origin State. Report the short tons of the ending coal stocks in column C for each origin state.

D. Rank of Coal Stocks. Report the coal rank of each origin state of the ending stocks. The coal ranks are: Anthracite [ANT]; Bituminous [BIT]; Subbituminous [SUB]; and Lignite [LIG]. See definitions for description of each coal rank.

E. Definitions.

Anthracite Coal: The highest rank of coal; used primarily for residential and commercial space heating. It is a hard, brittle, and black lustrous coal, often referred to as hard coal, containing a high percentage of fixed carbon and a low percentage of volatile matter. The moisture content of fresh-mined anthracite generally is less than 15 percent. The heat content of anthracite ranges from 22 to 28 million Btu per ton on a moist, mineral-matter-free basis. The heat content of anthracite coal consumed in the United States averages 25 million Btu per ton, on the as-received basis (i.e., containing both inherent moisture and mineral matter). Note: Since the 1980s, anthracite refuse or mine waste has been used for steam electric power generation. This fuel typically has a heat content of 15 million Btu per ton or less.

Bituminous coal: A dense coal, usually black, sometimes dark brown, often with well-defined bands of bright and dull material, used primarily as fuel in steam-electric power generation, with substantial quantities also used for heat and power applications in manufacturing and to make coke. Bituminous coal is the most abundant coal in active U.S. mining regions. Its moisture content usually is less than 20 percent. The heat content of bituminous coal ranges from 21 to 30 million Btu per ton on a moist, mineral-matter-free basis. The heat content of bituminous coal consumed in the United States averages 24 million Btu per ton, on the as-received basis (i.e., containing both inherent moisture and mineral matter).

Lignite: The lowest rank of coal, often referred to as brown coal, used almost exclusively as fuel for steam-electric power generation. It is brownish-black and has a high inherent moisture content, sometimes as high as 45 percent. The heat content of lignite ranges from 9 to 17 million Btu per ton on a moist, mineral-matter-free basis. The heat content of lignite consumed in the United States averages 13 million Btu per ton, on the as-received basis (i.e., containing both inherent moisture and mineral matter).

Subbituminous Coal: A coal whose properties range from those of lignite to those of bituminous coal and used primarily as fuel for steam-electric power generation. It may be dull, dark brown to black, soft and crumbly, at the lower end of the range, to bright, jet black, hard and relatively strong, at the upper end. Subbituminous coal contains 20 to 30 percent inherent moisture by weight. The heat content of subbituminous coal ranges from 17 to 24 million Btu per ton on a moist, mineral-matter-free basis. The heat content of subbituminous coal consumed in the United States averages 17 to 18 million Btu per ton, on the as-received basis (i.e., containing both inherent moisture and mineral matter).

III. Coal exports. Enter the amount of coal exported during the report year. Use a separate line for each different State of origin of the coal exports. Enter the rank of the coal exports and the total revenue associated with the coal exports.