



# 2011 Annual Supplemental Survey of State Administered Public-Employee Retirement Systems

In correspondence pertaining to this report, refer to the ID printed above your address.

RETURN TO  
US Census Bureau  
1201 East 10th Street  
Jeffersonville, IN 47132-0001

If you have any questions  
call 1-888-529-1963  
weekdays, 8:00 a.m. to  
5:30 p.m. EST.

Questions can also be  
e-mailed to  
govs.retire@census.gov

Correct any errors in name, address, or ZIP Code.

## INTERNET RESPONSE

You may respond to this survey via the Internet at the following Web address: <http://harvester.census.gov/sgfnet>  
You will only need your User ID to access the Internet form. Your User ID is the first 14 digits of the 18 digit ID located on the top line of the address section above.

## GENERAL INSTRUCTIONS

Before filling out this form, carefully read each part and all related definitions and instructions.

Note especially:

1. Report for **Defined Contribution** and **Postemployment Healthcare** plans only.
2. Report corporate stocks and bonds at **market value**, and adhere to Governmental Accounting Standards Board (GASB) guidelines when reporting gains and losses on investments.
3. Report figures relating to all accounts and reserves of your system, including amounts for retirement, disability, survivors, and other benefits, as well as any amounts for administration of the system.  
**Report in whole dollars.** Exclude transfers between reserves of the system, and also any investment transactions relating to loans to system members.
4. Do **not** delay reporting to await finally audited figures, if substantially accurate figures can be supplied on a preliminary basis.
5. Use a black or blue ball point pen.

### RESPONDENT INFORMATION:

Name of person completing report - *Please print*

Title of person completing report - *Please print*

Area Code      Telephone Number      Extension

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E-mail Address - *Please print*

## Part 1 PLAN INFORMATION FOR DEFINED CONTRIBUTION PLANS

- A. Does your system offer a defined contribution plan?  
If not, skip to Part 5.  Yes       No
- B. Are new employees covered under this pension plan?  Yes       No
- C. List all defined contribution plans that your system offers below.  
Report summary data combining all defined contribution plans.

Please continue on the next page



**Part 2 MEMBERSHIP AND BENEFITS FOR DEFINED CONTRIBUTION PLANS**

Report the figures requested below, as of the last month of your fiscal year or the month nearest to that permitted by your records. If detailed figures are not available for an item, enter an estimate and mark it with an asterisk (\*).

**MEMBERS OF YOUR RETIREMENT SYSTEM -**  
Exclude beneficiaries.

**Number of Participants**

1. **ACTIVE MEMBERS** - Current contributors in contributory systems, or employees in non-contributory systems. . . . . Z01DC
2. **INACTIVE MEMBERS** - Former employees and employees on military or other extended leave without pay having retained retirement credits, but **not currently receiving retirement benefit payments**. . . . . Z02DC

**Part 3 RECEIPTS/PAYMENTS FOR DEFINED CONTRIBUTION PLANS**

**A. RECEIPTS DURING FISCAL YEAR -** Report receipts for your fiscal year. Exclude amounts received from repayment of loans made to members.

**1. EMPLOYEE CONTRIBUTIONS -**

Total amounts contributed by all member employees or withheld from their salaries for financing benefits.

**Employee Contributions**

- a. **State employees** - From employees of the state government, including employees of state colleges and other state institutions and agencies. . . . . X02DC  \$ .00
- b. **Local employees** - From employees of the counties, cities, local public schools, and other local government agencies. . . . . X01DC  \$ .00

**2. EMPLOYER (GOVERNMENT) CONTRIBUTIONS -** Total amounts received from state and local governments for financial support of your system, including any taxes credited directly to the system.

**Government Contributions**

- a. **State government contributions** - From state government, including state colleges and other state institutions and agencies.
  1. State contributions to own system on behalf of **state** employees. . . Z99DC  \$ .00
  2. State contributions to own system on behalf of **local** employees. . . V87DC  \$ .00
  3. **Total State Contributions** - Sum of items 2a1 and 2a2. . . . . X06DC  \$ .00
- b. **Local government contributions** - From counties, cities, local public schools, and other local government agencies. . . . . X05DC  \$ .00

**3. EARNINGS ON INVESTMENTS -** Interest, dividends, rents, and other earnings on investments. Exclude any recorded profits or recorded losses on investment transactions and report in Section B below.

**Investment Earnings and Other Receipts**

- a. Rentals from the state government. . . . . Z98DC  \$ .00
- b. Interest Earnings. . . . . Z71DC  \$ .00
- c. Dividend Earnings. . . . . Z72DC  \$ .00
- d. Other Investment Earnings  Specify. . . . . Z73DC  \$ .00
- e. **Total Earnings on Investments** - Sum of items 3a through 3d. . . . X08DC  \$ .00

**4. OTHER RECEIPTS -**

Private gifts or donations, and the like. Specify. . . . . Z95DC  \$ .00

**Net Gains (Losses)**

- B. NET GAINS/LOSSES ON INVESTMENTS IN MARKET/FAIR VALUE -** . . . . . Z96DC  \$ .00  
Include both realized and unrealized gains (losses). . . . . Z91DC

**C. PAYMENTS DURING FISCAL YEAR -** Exclude amounts paid out for purchase of investments and for loans made to members.

**Payments**

1. **WITHDRAWALS** - Amounts paid to employees, former employees, or their survivors, representing return of contributions made by employees during the period of their employment, and any interest on such amounts. . . . . X12DC  \$ .00
2. **ADMINISTRATIVE EXPENSES** - Include investment fees. . . . . Z93DC  \$ .00
3. **OTHER PAYMENTS** - Specify. . . . . Z90DC  \$ .00

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Part 4	<b>HOLDINGS AND INVESTMENTS FOR DEFINED CONTRIBUTION PLANS</b>	<b>Cash and Short-term Investments</b>
<b>A. CASH AND SHORT-TERM INVESTMENTS</b>		
1. CASH ON HAND AND DEMAND DEPOSITS . . . . . Z88DC		\$ .00
2. TIME OR SAVINGS DEPOSITS - Include certificates of deposit . . . . . Z87DC		\$ .00
3. ALL OTHER SHORT-TERM INVESTMENTS - Include securities in repurchase agreements, commercial and finance company paper and bankers acceptances, and miscellaneous money market funds. . . . . Z68DC		\$ .00
4. TOTAL CASH AND SHORT-TERM INVESTMENTS - Sum of items A1 through A3. . . . . X21DC		\$ .00
<b>B. FEDERAL GOVERNMENT SECURITIES</b>		
<b>Federal Government Securities</b>		
1. FEDERAL TREASURY SECURITIES - Obligations of the US Treasury (including short-term notes) and Federal Financing Bank. . . . . Z89DC		\$ .00
2. FEDERAL AGENCY SECURITIES - Bonds and mortgage-backed securities (where applicable) issued by CCC, Export-Import Bank, FHA, GNMA, Postal Service, and TVA. Report directly held mortgages in Section E below. . . . . X33DC		\$ .00
3. TOTAL FEDERAL GOVERNMENT SECURITIES - Sum of items B1 and B2. . . . . X30DC		\$ .00
<b>C. CORPORATE BONDS</b>		
<b>Corporate Bonds</b>		
1. FEDERALLY-SPONSORED AGENCIES - Bonds and mortgage-backed securities (where applicable) issued by FHLB, FHLMC, FNMA, Farm credit banks, and SLMA. . . . . Z82DC		\$ .00
2. CORPORATE BONDS, OTHER - Include debentures, convertible bonds, and railroad equipment certificates. . . . . Z83DC		\$ .00
3. TOTAL CORPORATE BONDS - Sum of items C1 and C2. . . . . Z77DC		\$ .00
<b>D. CORPORATE STOCKS -</b>		
<b>Corporate Stocks</b>		
Include common and preferred stocks and warrants. . . . . Z78DC		\$ .00
<b>E. MORTGAGES HELD DIRECTLY - Exclude mortgage-backed securities, to be reported at B2, C1, or C2; also exclude directly held real property to be reported at item G1. . . . . X42DC</b>		
<b>Mortgages Held Directly</b>		
		\$ .00
<b>F. OTHER SECURITIES</b>		
<b>Other Securities</b>		
1. INVESTMENTS HELD IN TRUST BY OTHER AGENCIES - Include funds administered by private agencies, guaranteed investment accounts, and your share of funds in governmental investment accounts. . . . . Z84DC		\$ .00
2. STATE AND LOCAL GOVERNMENT SECURITIES. . . . . X35DC		\$ .00
3. FOREIGN AND INTERNATIONAL SECURITIES - Include corporate equities and corporate stocks. . . . . Z70DC		\$ .00
4. OTHER SECURITIES - Include shares held in mutual funds, conditional sales contracts, direct loans, loans to members, etc. Specify. . . . . Z83DC		\$ .00
5. TOTAL OTHER SECURITIES - Sum of items F1 through F4. . . . . X44DC		\$ .00
<b>G. OTHER INVESTMENTS</b>		
<b>Other Investments</b>		
1. REAL PROPERTY - Report only directly held property; report property held in investment trusts and in pooled or partnership agreements at G2. . . . . X46DC		\$ .00
2. OTHER INVESTMENTS - Include venture capital, partnerships, real estate investment trusts, and leveraged buyouts. Specify. . . . . X47DC		\$ .00
3. TOTAL OTHER INVESTMENTS - Sum of items G1 and G2. . . . . Z82DC		\$ .00
<b>Holdings and Investments</b>		
<b>H. TOTAL CASH AND SECURITY HOLDINGS OF PUBLIC EMPLOYEE RETIREMENT SYSTEM - Sum of totals A through G. . . . . Z81DC</b>		
		\$ .00

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**Part 5**

**PLAN INFORMATION FOR POSTEMPLOYMENT HEALTHCARE PLANS**

- A. Does your system offer a postemployment healthcare plan? .....  Yes  No  
 If not, skip to Part 9.
- B. Are new employees covered under this pension plan? .....  Yes  No
- C. List all postemployment healthcare plans that your system offers below.  
 Report summary data combining all postemployment healthcare plans.

**Part 6**

**MEMBERSHIP AND BENEFITS FOR POSTEMPLOYMENT HEALTHCARE PLANS**

Report the figures requested below, as of the last month of your fiscal year or the month nearest to that permitted by your records. If detailed figures are not available for an item, please enter an estimate and mark it with an asterisk (\*).

**MEMBERS OF YOUR RETIREMENT SYSTEM -**  
 Exclude beneficiaries.

		Number of Participants
<b>1. ACTIVE MEMBERS -</b> Current contributors in contributory systems, or employees in non-contributory plans.		
a.	Members who are at least 65 years of age.....	Z20HC
b.	Members who are under the age of 65.....	Z21HC
c.	<b>Total active members -</b> Sum of items 1a and 1b.....	Z01HC
<b>2. INACTIVE MEMBERS -</b> Former employees and employees on military or other extended leave without pay having retained retirement credits, but <b>not currently receiving retirement benefit payments.</b>		
a.	Inactive members who are at least 65 years of age.....	Z22HC
b.	Inactive members who are under the age of 65.....	Z23HC
c.	<b>Total inactive members -</b> Sum of items 2a and 2b.....	Z02HC

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Part 7

RECEIPTS/PAYMENTS FOR POSTEMPLOYMENT HEALTHCARE PLANS

**A. RECEIPTS DURING FISCAL YEAR** - Report receipts for your fiscal year. Exclude amounts received from repayment of loans made to members.

**1. EMPLOYEE CONTRIBUTIONS** -

Total amounts contributed by all member employees or withheld from their salaries for financing benefits.

- a. **State employees** - From employees of the state government, including employees of state colleges and other state institutions and agencies. . . . . X02HC
- b. **Local employees** - From employees of the counties, cities, local public schools, and other local government agencies. . . . . X01HC

Employee Contributions	
\$	.00
\$	.00

**2. EMPLOYER (GOVERNMENT) CONTRIBUTIONS** - Total amounts received from state and local governments for financial support of your system, including any taxes credited directly to the system.

- a. **State government contributions** - From state government, including state colleges and other state institutions and agencies.
  - 1. State contributions to own system on behalf of **state** employees. . . . . Z99HC
  - 2. State contributions to own system on behalf of **local** employees. . . . . V87HC
- 3. **Total State Contributions** - Sum of items 2a1 and 2a2. . . . . X06HC

Government Contributions	
\$	.00
\$	.00
\$	.00

- b. **Local government contributions** - From counties, cities, local public schools, and other local government agencies. . . . . X05HC

\$	.00
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**3. EARNINGS ON INVESTMENTS** - Interest, dividends, rents, and other earnings on investments. Exclude any recorded profits or recorded losses on investment transactions and report in Section B below.

- a. Rentals from the state government. . . . . Z98HC
- b. Interest Earnings. . . . . Z71HC
- c. Dividend Earnings. . . . . Z72HC
- d. Other Investment Earnings. Specify. . . . . Z73HC
- e. **Total Earnings on Investments** - Sum of items 3a through 3d. . . . . X08HC

Investment Earnings and Other Receipts	
\$	.00
\$	.00
\$	.00
\$	.00
\$	.00

**4. OTHER RECEIPTS** - Private gifts or donations, and the like. Specify. . . . . Z95HC

\$	.00
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**Net Gains (Losses)**

**B. NET GAINS/LOSSES ON INVESTMENTS IN MARKET/FAIR VALUE** - Include both realized and unrealized gains (losses). . . . . Z98HC Z91HC

\$	.00
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**C. PAYMENTS DURING FISCAL YEAR** - Exclude amounts paid out for purchase of investments and for loans made to members.

- 1. **HEALTHCARE PREMIUMS TO INSURANCE CARRIERS**. . . . . Z94HC
- 2. **CLAIMS PAID**. . . . . Z88HC
- 3. **ADMINISTRATIVE EXPENSES** - Include investment fees. . . . . Z93HC
- 4. **OTHER PAYMENTS** - Specify. . . . . Z90HC

Payments	
\$	.00
\$	.00
\$	.00
\$	.00

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**Part 8**

**HOLDINGS AND INVESTMENTS FOR  
POSTEMPLOYMENT HEALTHCARE PLANS**

**A. CASH AND SHORT-TERM INVESTMENTS**

- 1. **CASH ON HAND AND DEMAND DEPOSITS** ..... Z88HC
- 2. **TIME OR SAVINGS DEPOSITS** - Include certificates of deposit. .... Z87HC
- 3. **ALL OTHER SHORT-TERM INVESTMENTS** - Include securities in repurchase agreements, commercial and finance company paper and bankers acceptances, and miscellaneous money market funds. .... Z88HC
- 4. **TOTAL CASH AND SHORT-TERM INVESTMENTS** - Sum of items A1 through A3. .... X21HC

Cash and Short-term Investments	
\$	.00
\$	.00
\$	.00
\$	.00

**B. FEDERAL GOVERNMENT SECURITIES**

- 1. **FEDERAL TREASURY SECURITIES** - Obligations of the US Treasury (including short-term notes) and Federal Financing Bank. .... Z89HC
- 2. **FEDERAL AGENCY SECURITIES** - Bonds and mortgage-backed securities (where applicable) issued by CCC, Export-Import Bank, FHA, GNMA, Postal Service, and TVA. Report directly held mortgages in Section E below. .... X33HC
- 3. **TOTAL FEDERAL GOVERNMENT SECURITIES** - Sum of items B1 and B2. .... X30HC

Federal Government Securities	
\$	.00
\$	.00
\$	.00

**C. CORPORATE BONDS**

- 1. **FEDERALLY-SPONSORED AGENCIES** - Bonds and mortgage-backed securities (where applicable) issued by FHLB, FHLMC, FNMA, Farm credit banks, and SLMA. .... Z62HC
- 2. **CORPORATE BONDS, OTHER** - Include debentures, convertible bonds, and railroad equipment certificates. .... Z83HC
- 3. **TOTAL CORPORATE BONDS** - Sum of items C1 and C2. .... Z77HC

Corporate Bonds	
\$	.00
\$	.00
\$	.00

**D. CORPORATE STOCKS**

- Include common and preferred stocks and warrants. .... Z78HC

Corporate Stocks	
\$	.00

**E. MORTGAGES HELD DIRECTLY** - Exclude mortgage-backed securities, to be reported at B2, C1, or C2; also exclude directly held real property to be reported at item G1. .... X42HC

Mortgages Held Directly	
\$	.00

**F. OTHER SECURITIES**

- 1. **INVESTMENTS HELD IN TRUST BY OTHER AGENCIES** - Include funds administered by private agencies, guaranteed investment accounts, and your share of funds in governmental investment accounts. .... Z84HC
- 2. **STATE AND LOCAL GOVERNMENT SECURITIES**. .... X35HC
- 3. **FOREIGN AND INTERNATIONAL SECURITIES** - Include corporate equities and corporate stocks. .... Z70HC
- 4. **OTHER SECURITIES** - Include shares held in mutual funds, conditional sales contracts, direct loans, loans to members, etc. Specify. .... Z83HC
- 5. **TOTAL OTHER SECURITIES** - Sum of items F1 through F4. .... X44HC

Other Securities	
\$	.00
\$	.00
\$	.00
\$	.00
\$	.00

**G. OTHER INVESTMENTS**

- 1. **REAL PROPERTY** - Report only directly held property; report property held in investment trusts and in pooled or partnership agreements at G2. .... X48HC
- 2. **OTHER INVESTMENTS** - Include venture capital, partnerships, real estate investment trusts, and leveraged buyouts. Specify. .... X47HC
- 3. **TOTAL OTHER INVESTMENTS** - Sum of items G1 and G2. .... Z82HC

Other Investments	
\$	.00
\$	.00
\$	.00

**H. TOTAL CASH AND SECURITY HOLDINGS OF PUBLIC EMPLOYEE RETIREMENT SYSTEM** - Sum of totals A through G. .... Z81HC

Holdings and Investments	
\$	.00

Please continue on the next page



Part 9

REMARKS

[Empty box for remarks]

**Thank you for your report. Please return to**

US Census Bureau  
1201 East 10th Street  
Jeffersonville, IN 47132-0001

This form has been approved by the Office of Management and Budget (OMB) and has been given the number 0607-0585. Please note that we have displayed this number in the upper right hand corner of this form. Display of this number confirms that we have approval from OMB to conduct this survey. If this number were not displayed, we could not request your participation in this survey.

Please note that this is a national form that applies to governments with wide differences in size of their service areas, the amount of population served, and the extent and complexity of their financial accounts. We estimate public reporting burden for this collection of information to vary from 1.5 to 8.0 hours per response, with an average of 2.0 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Paperwork Project 0607-0585, US Census Bureau, 4600 Silver Hill Road, Room 3K138, Washington, DC 20233. You may e-mail comments to [paperwork@census.gov](mailto:paperwork@census.gov); use "Paperwork Project 0607-0585" as the subject.

Information provided on this questionnaire compiled from or customarily provided in public records are exempt from confidential treatment as cited in Title 13, Section 9, of the United States Code.

