

UI REPORTS HANDBOOK NO. 401

ETA 9047 Reemployment of UI Benefit Recipients

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A. Facsimile of Form

ETA 9047 - REEMPLOYMENT OF UI BENEFIT RECIPIENTS

STATE	REGION	REPORT FOR PERIOD ENDING	
Exemption Code	Number of Claimants Receiving 1st Payment	Number of Intrastate Crossmatch Hits: YYYY.Q+1	Number of Interstate Crossmatch Hits: YYYY.Q+1
0 - Not Exempt			
1 - Exempt			

Comments:

OMB No.: 1205-0452 **OMB Expiration Date:** 07/31/2011 **Estimated time per response:** 10 hours

OMB Burden Statement: These reporting instructions have been approved under the Paperwork reduction Act of 1995. Persons are not required to respond to this collection of information unless it displays a valid OMB control number. Public reporting burden for this collection of information includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Submission is required to obtain or retain benefits under SSA 303(a)(6). Respondents have no expectation of confidentiality. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Labor, Office of Workforce Security, Room S-4231, 200 Constitution Ave., NW, Washington, DC, 20210.

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B. Purpose

The ETA 9047 report contains quarterly information on the number of UI beneficiaries who receive a first payment during a calendar quarter and who also have earnings in the next calendar quarter. These data will be used to determine the rate at which UI beneficiaries return to work. Beneficiaries exempt from the state's work search requirements are reported separately from those who are required to search for work.

C. Due Date and Transmittal

Reports will be transmitted to ETA electronically through the UI Required Reports Automatic Transmittal application according to the following schedule:

Quarter in which First Payment issued:	Quarter Ending Date:	Wage Record File Crossmatched:	Report Due to ETA By:
1st quarter (January to March)	03/31/YYYY	04/01/YYYY - 06/30/YYYY	November 30
2nd quarter (April to June)	06/30/YYYY	07/01/YYYY - 09/30/YYYY	February 28
3rd quarter (July to September)	09/30/YYYY	10/01/YYYY - 12/31/YYYY	May 31
4th quarter (October to December)	12/31/YYYY	01/01/YYYY+1 - 03/31/YYYY+1	August 31

D. General Reporting Instructions

1. Each State Workforce Agency (SWA) will identify all UI claimants who received a first payment for intrastate or interstate liable claims during each calendar year quarter. Unless otherwise stated, criteria for inclusion in the ETA 9047 report will follow the criteria for reporting first payments used for the ETA 5159 report (ET Handbook 401, 3rd edition, section I-2). First payments that are reported on the ETA 5159 report but that are not reported on the ETA 9050 report (First Payment Time Lapse) are reported on the ETA 9047 report.
 - a. Include first payments made for state UI, Federal Unemployment Compensation for Federal Employees (UCFE), and Unemployment Compensation for Ex-Service Members (UCX)) claims, including combined wage claims and joint claims involving these programs.
 - b. Exclude first payments made for claims filed for Extended Benefits, Disaster Unemployment Assistance, Trade Readjustment Allowances,

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Short Time Compensation (STC), also known as Workshare, and temporary extended benefits programs.

2. First payments are counted as of the date the payment is mailed, electronically deposited, debited to the claimant, or made in-person. When part of the week's payments falls in one quarter and part in another, the payments must be reported for the appropriate quarter. When multiple weeks are paid at the same time, the compensated week with the earliest week ending date is reported as the first payment.

The payment date of the first compensated week for each record reported must fall within the ranges in the following table.

Date of First Payment in:	Date of First Payment is \geq Quarter Beginning Date:	Date of First Payment is \leq Quarter Ending Date:
1st quarter	01/01/YYYY	03/31/YYYY
2nd quarter	04/01/YYYY	06/30/YYYY
3rd quarter	07/01/YYYY	09/30/YYYY
4th quarter	10/01/YYYY	12/31/YYYY

3. If more than one payment is issued for the same compensated week -- for example, from separate programs (state UI, UCFE, or UCX) -- only a single record will be reported.
4. The report period date ending date refers to the ending date of the quarter during which UI beneficiaries received their payments, not the ending date of the quarter during which UI beneficiaries received wages.
5. The instructions in ET Handbook No. 401, 4th edition, regarding record retention applies to all data files created by the SWA or received by the SWA that are used to obtain the counts required for the ETA 9047 report.

Edit checks can be found in Handbook 402, Unemployment Insurance Required Reports User's Manual, Appendix C.

E. Definitions

1. First Payment. The benefit payment for the first week compensated in the benefit year includes total, partial and part-total payments, full or partial offsets to satisfy an outstanding overpayment, Child Support Intercept orders, Food Stamp overissuances, waiting weeks waived by the Governor under a state law and compensated because of a legally recognized disaster, and payments resulting from the reversal of a single or multi-claimant adjudication by a lower or higher authority appeal decision or by a court decision.

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If two or more weeks of benefits are paid at the same time (either by separate checks / electronic deposits / debits or by a single check / deposit / debit), the earliest week ending date in the benefit year is the first payment.

2. Exempt / Nonexempt Claimants. Claimants will be classified according to whether or not they are exempt from the state's active work search requirements *as of the week ending date of the claimed week for which the first payment was issued*. If no active work search requirement exists in state law or practice, the state will identify those claimants who are exempt (for whatever reason) from the state's requirement to register for labor exchange services.

Reasons for exemption may include, but are not limited to the following:

- Job attached exemption (for example, claimants with definite recall dates, seasonal employment);
 - Union exemption (seeking work through union hiring hall or referral);
 - An agency directive (written or verbal) temporarily suspending the claimant's work search requirement;
 - Other exemption (approved training, school, etc.)
3. Intrastate Crossmatch Hits. Report the total number of UI claimants receiving first payments who earned wages from one or more employers in the following calendar quarter from employers in the same state as the reporting agency. If a first payment recipient earned wages from more than one intrastate employer in the following quarter, report only a single "hit" for that claimant on the report.
 4. Interstate Crossmatch Hits. Report the total number of UI claimants receiving first payments who earned wages from one or more employers in the following calendar quarter from employers outside of the state of the reporting agency. If a first payment recipient earned wages from more than one out-of-state employer in the following quarter, report only a single "hit" for that claimant on the report. **If a first payment recipient earned wages from one or more employers in the same state as the reporting agency (intrastate crossmatch) do not report any interstate crossmatch hits, even if the first payment recipient receives wages from more than one out-of-state employer.**
 5. Joint Claims. Claims involving (1) a combination of state unemployment trust funds and Federal program funds or (2) a combination of Federal program funds. Includes claims with state UI in combination with UCFE and/or UCX

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employment and wages (UI/UCFE/UCX, UI/UCFE, or UI/UCX) and UCFE claims in combination with UCX employment and wages (UCFE/UCX).

6. Partial / Part-Total Payments. Benefit payments that have been reduced due to wages, commissions, bonuses, tips or gratuities, back-pay awards, odd jobs, or self-employment income.
7. Total Payments. Payments for weeks of total unemployment in which the claimant received a full weekly benefit amount that is not reduced as a result of wages, commissions, bonuses, tips or gratuities, back-pay awards, odd jobs, or self-employment income.
8. State Unemployment Insurance (UI). A state program that provides benefits to individuals financed (1) wholly from state trust funds (UI) or (2) partially from state trust funds and partially from UCFE and/or UCX program funds (joint UI/UCFE, UI/UCX, UI/UCFE/UCX claim).
9. Unemployment Compensation for Federal Employees (UCFE). A Federal program that provides benefits to individuals based on Federal civilian service. Includes claims based (1) wholly on Federal civilian service (UCFE, no UI) or (2) partially on Federal civilian service and partially on Federal military service (UCFE/UCX) claim. Excludes claims funded partially from state trust funds.
10. Unemployment Compensation for Ex-Servicemembers (UCX). A Federal program that provides benefits to individuals based on Federal military service. Includes claims based wholly on Federal military service (UCX only). Excludes claims funded partially from state trust funds and/or Federal civilian service.
11. Short-Time Compensation (STC) or Workshare. A state program through which an employee whose hours of employment have been reduced is compensated for his or her lost work time with partial benefits. Such benefits would not be payable to these workers under a state's normal partial benefits formula.

F. Item by Item Instructions

1. Not Exempt (Line 1). For those UI claimants who are not exempt from the state's active work search requirements as of the week ending date of the first week compensated or, if no active work search requirement exists in state law or practice, are not exempt from the state's requirement to register for labor exchange services:

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- a. Column 1, Number of Claimants Receiving 1st Payments. Report the total number of UI claimants who received a first payment for intrastate or interstate liable claims during the calendar quarter for which the report is submitted. Refer to the definitions in Sections D and E.
 - b. Column 2, Number of Intrastate Crossmatch Hits in the Following Calendar Quarter. Report the number of UI claimants receiving first payments reported in column 1 who received wages from one or more employers in the following calendar quarter from employers in the same state as the reporting agency. If a first payment recipient receives wages from more than one intrastate employer in the following quarter, report only a single “hit” for that claimant on the report.
 - c. Column 3, Number of Interstate Crossmatch Hits in the Following Calendar Quarter. Report the number of UI claimants receiving first payments reported in column 1 who 1) did not receive wages in the following calendar quarter from employers in the same state as the reporting agency; and 2) received wages from one or more employers in the following calendar quarter from out-of-state employers. If a first payment recipient receives wages from more than one out-of-state employer in the following quarter, report only a single “hit” for that claimant on the report. **Note: no UI benefit recipient included in the counts reported in column 2 should be included in the counts reported in column 3.**
2. Exempt (Line 2). For those UI claimants who are exempt (for whatever reason) from the state’s active work search requirements as of the week ending date of the first week compensated or, if no active work search requirement exists in state law or practice, are exempt from the state’s requirement to register for labor exchange services:
- a. Column 1, Number of Claimants Receiving 1st Payments. Report the total number of UI claimants who received a first payment for intrastate or interstate liable claims during the calendar quarter for which the report is submitted. Refer to the definitions in Sections D and E.
 - b. Column 2, Number of Intrastate Crossmatch Hits in the Following Calendar Quarter. Report the number of UI claimants receiving first payments reported in column 1 who received wages from one or more employers in the following calendar quarter from employers in the same state as the reporting agency. If a first payment recipient receives wages from more than one intrastate employer in the following quarter, report only a single “hit” for that claimant on the report.
 - c. Column 3, Number of Interstate Crossmatch Hits in the Following

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Calendar Quarter. Report the number of UI claimants receiving first payments reported in column 1 who 1) did not receive wages in the following calendar quarter from employers in the same state as the reporting agency; and 2) received wages from one or more employers in the following calendar quarter from out-of-state employers. If a first payment recipient receives wages from more than one out-of-state employer in the following quarter, report only a single "hit" for that claimant on the report. **Note: no UI benefit recipient included in the counts reported in column 2 should be included in the counts reported in column 3.**