



## **PRIVACY THRESHOLD ANALYSIS (PTA)**

**This form is used to determine whether  
a Privacy Impact Assessment is required.**

Please use the attached form to determine whether a Privacy Impact Assessment (PIA) is required under the E-Government Act of 2002 and the Homeland Security Act of 2002.

Please complete this form and send it to your component Privacy Office. If you do not have a component Privacy Office, please send the PTA to the DHS Privacy Office:

Rebecca J. Richards  
Director of Privacy Compliance  
The Privacy Office  
U.S. Department of Homeland Security  
Washington, DC 20528  
Tel: 703-235-0780

PIA@dhs.gov

Upon receipt from the component Privacy Office, the DHS Privacy Office will review this form. If a PIA is required, the DHS Privacy Office will send you a copy of the Official Privacy Impact Assessment Guide and accompanying Template to complete and return.

A copy of the Guide and Template is available on the DHS Privacy Office website, [www.dhs.gov/privacy](http://www.dhs.gov/privacy), on DHSConnect and directly from the DHS Privacy Office via email: [pia@dhs.gov](mailto:pia@dhs.gov), phone: 703-235-0780.



## PRIVACY THRESHOLD ANALYSIS (PTA)

### SUMMARY INFORMATION

**Date Submitted for Review: October 21, 2011**

**Name of Project: Standard Flood Hazard Determination form renewal (no changes)  
FEMA 086-0-32 (new Form number)**

**System Name in TAFISMA: N/A**

**Name of Component: Mitigation Directorate**

**Name of Project Manager: Susan Bernstein**

**Email for Project Manager: susan.bernstein1@dhs.gov**

**Phone Number for Project Manager: 202-212-2113**

**Type of Project:**

**Information Technology and/or System.\***

**A Notice of Proposed Rule Making or a Final Rule.**

**Form or other Information Collection.**

**Other: <Please describe the type of project including paper based Privacy Act system of records.>**

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\* The E-Government Act of 2002 defines these terms by reference to the definition sections of Titles 40 and 44 of the United States Code. The following is a summary of those definitions:

•“Information Technology” means any equipment or interconnected system or subsystem of equipment, used in the automatic acquisition, storage, manipulation, management, movement, control, display, switching, interchange, transmission, or reception of data or information. See 40 U.S.C. § 11101(6).

•“Information System” means a discrete set of information resources organized for the collection, processing, maintenance, use, sharing, dissemination, or disposition of information. See: 44. U.S.C. § 3502(8).

Note: for purposes of this form, there is no distinction made between national security systems or technologies/systems managed by contractors. All technologies/systems should be initially reviewed for potential privacy impact.



## SPECIFIC QUESTIONS

**1. Describe the project and its purpose:**

Pursuant to Section 303 (a) of the Riegle Community Development and Regulatory Improvement Act of 1994, Title V (National Flood Insurance Reform Act (NFIRA)), FEMA has developed and created the Standard Flood Hazard Determination form (FEMA Form 086-0-32) for use by mortgage lenders. Section 528 of the NFIRA requires that FEMA develop a standard hazard determination form for recording the determination of whether a structure is located within an identified Special Flood Hazard Area and whether flood insurance is available. Section 528 of the NFIRA also requires the use of this form by regulated lending institutions, federal agency lenders, the Federal National Mortgage Association, the Federal Home Loan Mortgage Corporation, and the Government National Mortgage Association for any loan made, increased, extended, renewed or purchased by these entities.

FEMA Form 086-0-32 is used by lenders to determine the risk of flooding to a property on which a person has a loan. Based on the flood risk, lenders may require that the property owner/borrower purchase and maintain flood insurance. The form is filed by the lender with the property owner's loan documentation and is not required to be filed with FEMA. FEMA does not collect or maintain information provided on the form. This form is part of OMB No. 1660-0040 will expire on 12/31/2011.

**2. Status of Project:**

This is a new development effort.

This is an existing project.

Date first developed: unknown

Date last updated: December 2008

No changes occurred in 2008

**3. From whom do you collect, process, or retain information on: (Please check all that apply)**

DHS Employees.

Contractors working on behalf of DHS.

The Public.

The System does not contain any such information.

**4. Do you use or collect Social Security Numbers (SSNs)? (This includes truncated SSNs)**



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No.

Yes. Why does the program collect SSNs? Provide the function of the SSN and the legal authority to do so:

<Please provide the function of the SSN and the legal authority to do so.>

**5. What information about individuals could be collected, generated or retained?**

Lenders use the form, not FEMA, to determine the risk of flooding to a property on which they have a loan. FEMA does not collect, generate or retain information with this form.

**6. If this project is a technology/system, does it relate solely to infrastructure? [For example, is the system a Local Area Network (LAN) or Wide Area Network (WAN)]?**

No. Please continue to the next question.

Yes. Is there a log kept of communication traffic?

No. Please continue to the next question.

Yes. What type of data is recorded in the log? (Please choose all that apply.)

Header.

Payload Please describe the data that is logged.

<Please list the data elements in the log.>

**7. Does the system connect, receive, or share Personally Identifiable Information with any other DHS systems<sup>1</sup>?**

No.

Yes.

Please list:

**8. Is there a Certification & Accreditation record within OCIO's FISMA tracking system?**

Unknown.

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<sup>1</sup> PII may be shared, received, or connected to other DHS systems directly, automatically, or by manual processes. Often, these systems are listed as "interconnected systems" in TAFISMA.



No.

Yes. Please indicate the determinations for each of the following:

Confidentiality:     Low    Moderate    High    Undefined

Integrity:             Low    Moderate    High    Undefined

Availability:         Low    Moderate    High    Undefined

## PRIVACY THRESHOLD REVIEW

(TO BE COMPLETED BY THE DHS PRIVACY OFFICE)

**Date reviewed by the DHS Privacy Office: October 25, 2011**

**Name of the DHS Privacy Office Reviewer: Rebecca J. Richards**

### DESIGNATION

**This is NOT a Privacy Sensitive System** – the system contains no Personally Identifiable Information.

**This IS a Privacy Sensitive System**

#### Category of System

- IT System.
- National Security System.
- Legacy System.
- HR System.
- Rule.
- Other:

#### Determination

- PTA sufficient at this time.
- Privacy compliance documentation determination in progress.
- PIA is not required at this time.
- PIA is required.
- System covered by existing PIA:



- New PIA is required.
- PIA update is required.
- SORN not required at this time.
- SORN is required.
  - System covered by existing SORN:
  - New SORN is required.

**DHS PRIVACY OFFICE COMMENTS:** The form is filed by the lender with the property owner's loan documentation and is not required to be filed with FEMA. FEMA does not collect or maintain information provided on the form. This form is part of OMB No. 1660-0040 and expires on 12/31/2011.