## **Paperwork Reduction Act Submission**

Please read the instruction before completing this form. For additional forms or assistance in completing this forms, contact your agency's Paperwork Reduction Officer. Send two copies of this form, the collection instrument to be reviewed, the Supporting Statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 Seventeenth St. NW, Washington, DC 20503.

<ol> <li>Agency/Subagency Originating Request:</li> <li>U.S. Department of Housing and Urban Development Office of Public and Indian Housing</li> </ol>	2. OMB Control Number: a. <b>2577-0201</b> b. None
<ul> <li>3. Type of information collection: (check one) <ul> <li>a. New Collection</li> <li>b. Revision of a currently approved collection</li> <li>c. Extension of a currently approved collection</li> <li>d. Reinstatement, without change, of previously approved collection for which approval has expired</li> <li>e. Reinstatement, with change, of previously approved collection for which approval has expired</li> <li>f. Existing collection in use without an OMB control number</li> <li>For b-f, note item A2 of Supporting Statement instructions.</li> </ul> </li> <li>7. Title: Section 5(h) Homeownership Program for Public Housing:</li> </ul>	<ul> <li>4. Type of review requested: (check one) <ul> <li>a. Regular</li> <li>b. Emergency - Approval requested by</li> <li>c. Delegated</li> </ul> </li> <li>5. Small entities: Will this information collection have a significant economic impact on a substantial number of small entities? <ul> <li>Yes</li> <li>No</li> </ul> </li> <li>6. Requested expiration date: <ul> <li>a. Three years form approval date</li> <li>b. Other (specify)</li> </ul> </li> <li>Submission of Plan and Reporting</li> </ul>
8. Agency form number(s): (if applicable) None	
9. Keywords: Housing, public housing, Section 5(h) Homeownership Program	n, plan reporting
10. Abstract: Public Housing Agencies (PHAs) maintain sales and financial r	ecords of their plan. Residents may apply to PHAs to purchase units.
11. Affected public: (mark primary with "P" and all others that apply with "X")         a. X Individuals or households       e. Farms         b. Business or other for-profit       f. Federal Government         c. X Not-for-profit institutions       g. P State, Local or Tribal Government	<ul> <li>12. Obligation to respond: (mark primary with "P" and all others that apply with "X")</li> <li>a. Voluntary</li> <li>b. P Required to obtain or retain benefils</li> <li>c. Mandatory</li> </ul>
13. Annual reporting and recordkeeping hour burden:a. Number of respondents73b. Total annual responses730Percentage of these responses collected electronically100%. Total annual hours requested219. Current OMB inventory219e. Difference (+,-)0Explanation of difference:01. Program change:02. Adjustment:0	14. Annual reporting and recordkeeping cost burden: (in thousands of dollars)         a. Total annualized capital/startup costs         \$0.00         b. Total annual costs (O&M)         \$0.00         c. Total annualized cost requested         \$0.00         d. Total annual cost requested         \$0.00         e. Current OMB inventory         0         f. Explanation of difference:         1. Program change:         0         2. Adjustment:
<ul> <li>15. Purpose of Information collection: (mark primary with "P" and all others that apply with "X")</li> <li>a. X Application for benefits</li> <li>b. Program evaluation</li> <li>c. General purpose statistics</li> <li>d. Audit</li> </ul>	a. Recordkeeping b. Third party disclosure
Does this information collection employ statistical methods?	gency contact: (person who can best answer questions regarding the content of this ubmission) ame: Ainars Rodins hone: (312) 886-9754 x2816

## **19. Certification for Paperwork Reduction Act Submissions**

On behalf of this Federal Agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9.

**Note:** The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320/8(b)(3). Appear at the end of the instructions. The certification is to be made with reference to those regulatory provisions as set forth in the instructions.

The following is a summary of the topics, regarding the proposed collections of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It uses plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention periods for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
  - (i) Why the information is being collected;
  - (ii) Use of the information;
  - (iii) burden estimate;
  - (iv) Nature of response (voluntary, required for a benefit, or mandatory);
  - (v) Nature and extent of confidentiality; and
  - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to collected (see note in item 19 of the instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of these provisions, identify the item below and explain the reason in item 18 of the Supporting Statement.

Signature of Program Official:	Date:	
X		
Dominique Blom, Deputy Assistant Secretary		
Signature of Senior Officer or Designee:	Date:	
X		
Colette Pollard, Departmental Reports Management Officer		
Office of the Chief Information Officer		

Supporting Statement for Information Collection Homeownership Program for Public Housing Reporting

## A. Justification

1. 24 CFR Part 906-Section 5(h) Homeownership Program was authorized by sections 5(h) and 6(c)(4)(D) of the U.S. Housing Act of 1937 (Act). The program was replaced by Section 32 of the Act through the Quality Housing and Work Responsibility Act of 1998 (2577-0233). Section 32 allows existing 5(h) plans to be implemented as originally approved. The burden is only for implementation of existing programs. The sections in the regulation that impose information collection requirements are as follows:

Section 906.17 (as applies to section 5(h) homeownership programs. This rule has been replaced for Section 32 programs; the prior version is still in effect for section 5(h), see attached), requires Public Housing Authorities (PHAs) to maintain records (including sales and financial records) for all activities incident to implementation of the HUD-approved homeownership plan. PHAs are required to submit to HUD the dates on which each public housing unit number/address approved under Section 5(h) is sold.

The information is used to report homeownership sales to Congress and to adjust PHA's formula allocation funding.

2. The regulation and the underlying statute do not impose a time limit for program implementation. Under the initial Section 5(h) homeownership program, a PHA was required to submit a plan to sell housing to residents. Residents were then required to submit an application to the PHA and the PHA then prepared a detailed plan for the sale. For HUD-approved plans, PHAs will maintain records which may be subject to audit by HUD and the Government Accounting Office (GAO). HUD may review these records to determine the program has been and is being carried out with in accordance with Section 5(h) regulations and laws. Accordingly, individuals, tribal entities, non-profits, and PHAs are all involved with gathering data for this information collection; however, PHAs submit all data to HUD.

3. The information is collected electronically in the Public and Indian Housing Information Center (PIC), through the Inventory Removals module. All units that are scheduled for sale should be already contained in PIC. The dates of the sales of the units are added to PIC, and used to report homeownership sales to Congress and to adjust PHA's formula allocation funding.

4. There is no duplication of effort. Information collected is unique to each PHA development and does not duplicate any similar information. Information collected also serves the need of revising HA subsidy calculations, without additional input from the HA.

5. The information being collected has no significant impact on small businesses or other small entities.

6. Statutory mandates and Federal program requirements would not be met if the collection is not conducted, or is conducted less frequently.

7. There are no special circumstances that would cause the information collection in a manner, which is inconsistent with the guidelines in 5 CFR 1320.8.

8. HUD published a Notice of Submission of Proposed Information Collection in the *Federal Register*, Volume 76; page 16800 on March 25, 2011. (No comments were received from the public.

9. No payments or gifts to respondents are provided.

10. Assurance of confidentiality is neither provided nor needed because no personal, proprietary or secure information is collected.

11. No sensitive questions are asked.

12. We estimate that the information collection requirements will have the following reporting burden:

	No. of Respon dents	Reference	Freq. Of Response	Total Response s	EST. Avg. Response Time (hrs)	Total Annual Burden	Cost per hour	Total Cost
Reporting	73	24 CFR 906.17	10	730	0.25	182.5	\$27	\$4,927.50
Recordkeepin g	73	24 CFR 906.17	10	730	0.05	36.5	\$27	\$985.50
Totals				730		219		\$5,973

\*. This estimate is based upon the number of PHAs that still have units to sell under Section 5(h), times an estimated average of 10 units sold per year per HA. The volume of Section 5(h) units and the rate of sales varies across HAs.

13. There are no additional costs to respondents.

14. We do not estimate that there will be any additional cost to the Federal Government. The applications will be reviewed in accordance with HUD's existing review and monitoring requirements.

- 15. This is an extension of a currently approved package.
- 16. This information collection will not be published.
- 17. HUD does not seek approval to avoid displaying the expiration date.

18. There are no exceptions to the certification statement in item 19 of the OMB 83-i.

## **B.** Collections of Information Employing Statistical Methods.

This collection of information will not be used for statistical purposes.