

Guaranteed Loans - Section 9006 Program
7 CFR Part 4280-B

Section of Regulation	Title	Form No. (if Any)	Est. No. of Respondents	Reports Filed Annually	Total Annual Responses (D) x (E)	Est. No. of Man hours Per Response	Est. Total Man-hours (F) x (G)	Wage Class	Total Cost (H) x (I)
(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)
REPORTING REQUIREMENTS - NO FORMS									
Sec. 105	Appeals	written	5	1	5	12	60	50	\$3,000
Sec. 128(b)(1) and Sec. 111(b)	Application Narrative - Renewables	written	208	1	208	22	4,576	50	\$228,800
Sec. 128(b)(1) and Sec. 111(b)	Application Narrative - EEI	written	492	1	492	9	4,428	50	\$221,400
Sec. 128(b)(1)(iii), Sec. 128(b)(2)(viii), and Sec. 111(b)(4)	Financial Statements	written	700	1	700	8	5,600	50	\$280,000
Sec. 128(b)(1)(vii) and Sec. 111(b)(8)	Feasibility Studies - Renewables (\geq \$200,000)	written	43	1	43	24	1,032	50	\$51,600
Sec. 128(b)(1)(vi) and Sec. 111(b)(7)	Technical Requirements - Renewables ($>$ \$200,000)	written	43	1	43	24	1,032	80	\$82,560
Sec. 111(b)(7)	Technical Requirements - Renewables (\leq \$200,000)	written	165	1	165	12	1,980	80	\$158,400
Sec. 128(b)(1)(vi) and Sec. 111(b)(7)	Technical Requirements - EEI ($>$ \$200,000)	written	148	1	148	16	2,368	80	\$189,440
Sec. 111(b)(7)	Technical Requirements - EEI (\leq \$200,000)	written	344	1	344	8	2,752	80	\$220,160
Sec. 128(b)(1)(vi) and Sec. 111(b)(7)(ii)(B)	Energy Audit - EEI ($>$ \$50,000)	written	463	1	463	16	7,408	50	\$370,400
Sec. 128(b)(2)(iii)	Personal credit reports	written	625	1	625	2	1,250	50	\$62,500
Sec. 128(b)(2)(iv)	Appraisal reports	written	191	1	191	2	382	50	\$19,100
Sec. 128(b)(2)(x) and (xi)	Lender credit quality analysis, including certification	written	700	1	700	2	1,400	50	\$70,000
Sec. 128(b)(2)(v)	Commercial credit reports	written	363	1	363	2	726	50	\$36,300
Sec. 128(b)(2)(vi)	Current personal and corporate financial statements of guarantors	written	625	1	625	2	1,250	50	\$62,500
Sec. 128(b)(2)(xii)	Proposed loan agreement or sample loan agreement	written	700	1	700	2	1,400	50	\$70,000
Sec. 128(b)(2)(ii)	Request for Environmental Information	RD 1940-20	700	1	700	6	4,200	50	\$210,000
Sec. 131(d)	Construction Planning and Performing Development - Renewable Energy Systems	written	159	1	159	16	2,544	50	\$127,200
Sec. 131(d)	Construction Planning and Performing Development - EEI Projects	written	451	1	451	8	3,608	50	\$180,400
Sec. 137	Repurchase from holder	written	10	1	10	2.5	25	50	\$1,250

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Sec. 138	Replacement of document	written	10	1	10	2	20	50	\$1,000
Sec. 142	Personal and corporate guarantees	written	12	1	12	2	24	50	\$1,200
Sec. 144	Transfer of lender	written	12	1	12	2	24	50	\$1,200
Sec. 145	Changes in borrowers	written	10	1	10	2	20	50	\$1,000
Sec. 146	Conditions precedent to issuance of guarantee	written	625	1	625	2	1,250	50	\$62,500
Sec. 147	Issuance of loan note guarantee	written	625	1	625	2	1,250	50	\$62,500
Sec. 148	Refusal to issue loan note guarantee	written	3	1	3	2	6	50	\$300
Sec. 149(a)	Periodic Servicing Reports - Renewable Energy Systems	written	174	1	174	2	348	50	\$17,400
Sec. 149(b)	Periodic Servicing Reports - EEI project	written	451	1	451	1	451	50	\$22,550
Sec. 113(a)-(b)	Insurance (flood, business interruption)	assignment	625	1	625	2	1,250	50	\$62,500
Sec. 152(a)	Loan classification	written	625	1	625	2	1,250	50	\$62,500
Sec. 152(a)	Agency and lender conference	written	625	1	625	2	1,250	50	\$62,500
Sec. 152(a)	Financial Reports and analysis	written	625	1	625	2	1,250	50	\$62,500
Sec. 152(b); Sec. 124(b)	Interest rate change	written	8	1	8	2	16	50	\$800
Sec. 152(c)	Release of collateral	written	5	1	5	2	10	50	\$500
Sec. 152(d)	Subordination of lien position	written	5	1	5	2	10	50	\$500
Sec. 152(f)	Loan transfer and assumption	written	3	1	3	8	24	50	\$1,200
Sec. 153	Substitution of lender	written	3	1	3	2	6	50	\$300
Sec. 155	Protective advances	written	5	1	5	2	10	50	\$500
Sec. 156	Liquidation plan	written	25	1	25	2	50	50	\$2,500
Sec. 156	Acceleration	written	25	1	25	2	50	50	\$2,500
Sec. 156	Accounting and reports	written	20	1	20	2	40	50	\$2,000
Sec. 160	Termination of guarantee	written	20	1	20	2	40	50	\$2,000
REPORTING REQUIREMENT - FORMS APPROVED UNDER THIS DOCKET									
Sec. 128(a)(2)(i)	Application for a loan guarantee (>\$600,000)	RD 4279-1 (0570-0017)	50	1	50	2	100	50	\$5,000
Sec. 128(c)	Application for a loan guarantee (≤\$600,000)	4279-1a (0570-0017)	650	1	650	3	1,950	50	\$97,500
Sec. 134	Assignment guaranteed agreement	RD 4279-6 (0570-0017)	80	1	80	0.5	40	50	\$2,000
Sec. 143	Conditional Commitment	RD 4279-3 (0570-0017)	625	1	625	1.5	938	50	\$46,875
Sec. 147 (b)	Lender's Agreement	RD 4279-4	625	1	625	2	1,250	50	\$62,500
Totals					13,706		60,948		\$3,291,335

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REPORTING REQUIREMENTS - FORMS APPROVED UNDER OTHER OMB NUMBERS									
Sec. 111(b)(1)(i)(A)	Application for Federal Assistance	SF 424 (4040-0004)	685	1	685	1	685	0	0
Sec. 111(b)(1)(i)(B)	Budget Information - Construction Programs	SF 424C (4040-0008)	685	1	685	3	2,055	0	0
Sec. 111(b)(1)(i)(C)	Assurances - Construction Programs	SF 424D (4040-0009)	685	1	685	0.25	171	0	0
Sec. 116(b)	Outlay and Request for Reimbursement for Construction Programs	SF 271 (0348-0002)	610	1	610	1	610	0	0
Sec. 128(b)(2)(i)	Disclosure of Lobbying Activities	SF LLL (0348-0046)	685	1	685	0.16	110	0	0
Sec. 128(b)(2)(i)	Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions	AD-1047 or in writing	685	1	685	0.25	171	0	0
Sec. 128(b)(2)(i)	Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion - Lower Tier Covered Transactions	AD-1048 or in writing	625	1	625	0.25	156	0	0
Sec. 111(b)(1)(ii)(A)	Cert. Regarding Drug-Free Workplace Req. (Grants) Alt. I - For Grantees Other Than Individuals	AD -1049	685	1	685	0.25	171	0	0
Sec. 128(b)(2)(i)	Equal Opportunity Agreement	RD 400-1 (0575-0018)	685	1	685	0.16	110	0	0
Sec. 128(b)(2)(i)	Assurance Agreement	RD 400-4 (0575-0018)	685	1	685	0.25	171	0	0
Sec. 147(a)(3)	Guaranteed loan closing report	RD 1980-19 (0575-0137)	625	1	625	1	625	0	0
Sec. 152(a)	Guaranteed Loan Status Report	RD 1980-41 (0570-0016)	625	1	625	0.16	100	0	0
Sec. 152(f)(4)	Loan Note Guarantee report of loss	RD 449-30 (0575-0137)	25	1	25	25	625	0	0
Sec. 154	Guaranteed loan delinquent status borrower	RD 1980-44 (0570-0016)	25	1	25	0.33	8	0	0
Sec. 156	Lender's Guaranteed loan payment to the Agency	RD 1980-43 (0575-0137)	25	1	25	0.5	13	0	0
Totals									