



**NC-99001**

**Need help or have questions about filling out this form?**

**Visit** [www.census.gov/econhelp](http://www.census.gov/econhelp)

- OR -

**Write** to the address below. Include your 11-digit Census File Number (CFN) printed in the mailing address.

**Mail** your completed form to:

**U.S. CENSUS BUREAU  
1201 East 10th Street  
Jeffersonville, IN 47132-0001**

*(Please correct any errors in name and address, including ZIP Code.)*

**YOUR RESPONSE IS REQUIRED BY LAW.** Title 13, United States Code, requires businesses and other organizations that receive this questionnaire to answer the questions and return the report to the U.S. Census Bureau. By the same law, **YOUR CENSUS REPORT IS CONFIDENTIAL.** It may be seen only by persons sworn to uphold the confidentiality of Census Bureau information and may be used only for statistical purposes. Further, copies retained in respondents' files are immune from legal process.

The purpose of this form is to obtain an accurate and up-to-date list of your establishments, i.e., separate business locations that were in operation or new plant(s) under construction during part or all of 2011. This list should include establishments of your company and each of its subsidiaries. To make it easier for you to complete this report, we have prelisted information in 5A that you have previously provided to the U.S. Census Bureau. Please list all other establishments of your organization on 5B. Do not duplicate establishments already prelisted in 5A. Be sure to include items 1 through 4 when returning your completed report form. Before completing this form, please read the enclosed definitions and instructions.

## 1 COMPANY OWNERSHIP OR CONTROL

### A. DOMESTIC OWNERSHIP OR CONTROL

1. Does another domestic company hold more than 50 percent of the voting stock of your company **or** have the power to control the management and policies of your company?

0008  Yes - Enter the following information of the owning or controlling company ↴

0009  No - Go to line B

0080 Name of owning or controlling company

0081 Enter Employer Identification Number (EIN) of owning or controlling company (9 digits) →

0082 Home office address (Number and street)

0083 City, town, village, etc.

0084 State

0085 ZIP Code

2. What percent of voting stock was held by the owning **or** controlling company? (Mark "X" only ONE box.)

0027  Less than 50%

0028  50%

0029  More than 50%

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**1** COMPANY OWNERSHIP OR CONTROL - Continued

**B. FOREIGN OWNERSHIP OR CONTROL**

Does a foreign entity (company, individual, government, etc.) own directly or indirectly 10 percent or more of the voting stock or other equity rights of your company?

6101  Yes - Enter the following information of the owning entity and go to line C

6103 Name of foreign beneficial owner

\_\_\_\_\_

6104 Home office address (Number and street)

\_\_\_\_\_

6105 City 6106 Country

\_\_\_\_\_

What was the percent ownership (direct and indirect)? (Mark "X" only ONE box.)

6111	<input type="checkbox"/>	10-24%	6113	<input type="checkbox"/>	50%	6115	<input type="checkbox"/>	100%
6112	<input type="checkbox"/>	25-49%	6114	<input type="checkbox"/>	51-99%			

6102  No - Go to line C

**C. FOREIGN AFFILIATES**

Does this company alone, or with its domestic affiliates, own 10 percent or more of the voting stock of an incorporated foreign business enterprise, or an equivalent interest in an unincorporated business enterprise, including ownership of real estate?

6126  Yes

6127  No

**2** RESEARCH AND DEVELOPMENT

**A. Does your company perform or fund research and development (R&D)?**

6129  Yes - Go to line B

6130  No - Go to line C

**B. What were your company's worldwide expenses for research and development (R&D) in 2011?**

6132  Less than \$3 million

6133  \$3 million or more

**C. Did your company introduce any new or significantly improved methods of manufacturing, producing, delivering or distributing goods or services during the years 2009 through 2011?**

6135  Yes

6136  No

**3A** EMPLOYEES FROM A PROFESSIONAL EMPLOYER ORGANIZATION

Did your company lease 50 percent or more of your permanent full- and part-time workforce from a Professional Employer Organization during 2011? (Permanent workforce excludes temporary staffing from a staffing service and contractors.)

0244  Yes

0245  No

**HOW TO REPORT DOLLAR FIGURES**



Dollar figures should be **rounded** to **thousands** of dollars.

If a figure is **\$3,025,628.79**:

**Report** →

If a value is "0" (or less than \$500.00):

**Report** →

Mark "X" if None

2011			
\$ Bil.	Mil.	Thou.	DoI.
	3	0 2 6	



**3B** OPERATING REVENUES AND NET SALES

**A.** Your company's operating revenues and net sales generated from U.S. operations (i.e., located in the 50 States and the District of Columbia) in 2011.

**Include:**

- Sales of goods and services to foreign firms
- Revenues of discontinued operations

**Exclude:**

- Sales generated by your company's foreign operations
- Domestic inter-company sales

**Special instructions for tax-exempt firms:** Report revenues, realized gains and losses and contributions for all classes of net assets regardless of restrictions.

	<i>Mark "X" if None</i>	2011			
		\$ Bil.	Mil.	Thou.	Dol.
Operating revenues and net sales . . . . .	0100				

**B.** Does the amount reported on line A include inter-company sales by your company's domestic operations to foreign subsidiaries or foreign affiliates?

0000  Yes - Go to **3C**

0000  No - Go to line C

	<i>Mark "X" if None</i>	2011			
		\$ Bil.	Mil.	Thou.	Dol.
C. If not already included on line A, report inter-company sales by your company's domestic operations to foreign subsidiaries and foreign affiliates. . . . .	0000				



**3C** ROYALTIES AND LICENSE FEES FOR THE USE OF INTELLECTUAL PROPERTY

**A.** Did your company's U.S. operations earn revenues in 2011 from royalties and license fees for rights to use intellectual property?

**Include:**

- Revenues from royalties and license fees for intellectual property owned by your company's domestic operations (i.e., located in the 50 states and the District of Columbia).
- Royalties and license fees paid to your company's domestic operations by foreign subsidiaries.

**Exclude:**

- Sales involving the transfer of ownership rights.
- Sales of and products sold as end-use licenses.

9701  Yes - Go to line B

9702  No - Go to **3D**

**B.** Revenues from royalties and license fees for rights to use intellectual property in 2011 . . . . . 9703

Mark "X" if None	2011			
	\$ Bil.	Mil.	Thou.	Dol.
<input type="checkbox"/>				

**C.** Revenues from your company's U.S. operations, as reported in line B, for the following types of royalties and license fees in 2011

**1.** Technological or industrial processes (Include patents, trade secrets, and proprietary technology. Exclude computer software.) . . . . . 9704

Mark "X" if None	2011			
	\$ Bil.	Mil.	Thou.	Dol.
<input type="checkbox"/>				

**2.** Entertainment, artistic, educational, and literary original works (Include royalties and license fees for rights to perform, broadcast, reproduce, and sell copyrighted materials and other intellectual property such as films, television and radio programs, written works, and musical and other artistic works. Exclude computer software.) . . . . . 9705

<input type="checkbox"/>				
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**3.** Software — Rights to reproduce, distribute, or use software protected by copyright and owned or controlled by the licensor. (Exclude sales of software sold with end-use licenses, as well as custom software and programming services.) . . . . . 9706

<input type="checkbox"/>				
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**4.** Business format franchise sales and fees included in line B, if any . . . 9707

<input type="checkbox"/>				
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**5. TOTAL** (Add lines C1 through C4. Total should equal line B.) . . . . . 9708

<input type="checkbox"/>				
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**3D** MANUFACTURING ACTIVITIES

In 2011, did your company do any of the following activities related to manufacturing?

**A.** Operate manufacturing facilities (such as a factory, plant, or mill) where products are completed or partially produced?

9709  Yes

9710  No - Go to line C

**B.** Provide contract manufacturing services to other companies incorporating their patents, trade secrets, or proprietary technology?

9711  Yes

9712  No - Go to line C

Estimate the percent of operating revenues and net sales, as reported in **3B**, from contract manufacturing services.

9713  Less than 25%

9714  25 to 49%

9715  50 to 74%

9716  75 to 99%

9717  100%

**C.** Purchase contract manufacturing services from other companies or foreign subsidiaries of your company incorporating your company's patents, trade secrets, or proprietary technology?

9718  Yes

9719  No - Go to **4**

**1.** Use 3rd party contract manufacturing services inside the U.S.?

9720  Yes

9721  No

**2.** Use 3rd party contract manufacturing services outside the U.S.?

9722  Yes

9723  No

**3.** Use your company's foreign subsidiaries' or affiliates' contract manufacturing services at locations outside the U.S.?

9724  Yes

9725  No

**4.** Estimate the percent of the cost of sales from expenses for contract manufacturing services.

9726  Less than 25%

9727  25 to 49%

9728  50 to 74%

9729  75 to 99%

9730  100%



REMARKS *(Please use this space for any explanations that may be essential in understanding your reported data.)*

**4 CERTIFICATION** - This report is substantially accurate and was prepared in accordance with the instructions.

Name of person to contact regarding this report					Title					
Telephone	Area code	Number			Extension	Fax	Area code	Number		
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Internet e-mail address						Date completed		Month	Day	Year
								<input type="text"/>	<input type="text"/>	<input type="text"/>

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