

# 2011 Northeast Federal Application



**United States Department of Commerce**  
**National Oceanic and Atmospheric Administration**  
**National Marine Fisheries Service**  
**Northeast Region**  
**55 Great Republic Drive**  
**Gloucester, MA 01930**  
**Telephone:(978)281-9370**

15-FEB-11

## VESSEL APPLICATION

PE

### Section 1 - OWNER INFORMATION

-  
-  
-

### Section 2 - VESSEL INFORMATION

F/V: J  
*Northeast Federal*  
*Vessel Permit Number:* 1  
*CG Documentation or*  
*State Registration:* 1  
*Home Port:* B  
*Principal Port:* B  
*Telephone:* (

### Section 3 - 2010 FISHERY INFORMATION

| <b>Fishery:</b> | <b>Category</b> | <b>Gear(s):</b> | <b>Cancel:</b> |
|-----------------|-----------------|-----------------|----------------|
| 1               | A               | 310 , 4<br>800  | _____<br>_____ |

TO CANCEL A FISHERY/CATEGORY, PLACE AN 'X' IN THE CORRESPONDING COLUMN TO THE RIGHT MARKED 'CANCEL' .

**Section 4a – Address / Owner Changes** – Use this section to correct or change the owner and address information on the front of this application. You do not need to complete this section if your address and vessel information on the front of this form is correct. Information provided in this section will replace the owner and address information on the front of the form.

|                          |                               |        |      |
|--------------------------|-------------------------------|--------|------|
| Vessel Name:             | Owner Name:                   |        |      |
| Mailing Address:         | City:                         | State: | Zip: |
| Telephone:               | Alternate Telephone:          |        |      |
| Home Port (City, State): | Principal Port (City, State): |        |      |

**Section 4b – Fishery permit changes and additions.** The permits you select below will be added to your existing permits provided your vessel qualifies for the categories and gears selected. Refer to the instructions for a list of permit categories and their allowable gear code(s). Application processing will be delayed if you select a category and gear code combination(s) for which your vessel is not eligible.

|                   |                   |                            |                    |                    |                      |
|-------------------|-------------------|----------------------------|--------------------|--------------------|----------------------|
| Gear Codes        | 120 – Purse Seine | 210 – Beach Seine          | 220 – Boat Seines  | 310 – Bottom Trawl | 320 – Midwater Trawl |
| 330 – Other Trawl | 410 – Dredge      | 700 – Gill/Entangling Nets | 800 – Pots & Traps | 911 – Hand Lines   | 912 – Rod & Reel     |
| 913 – Tub Trawl   | 1010 – Harpoons   | 920 – Longlines / Setlines | 1400 – Diving Gear | 1200 – Other Gears |                      |

► Circle the number or letter of the fishery category for which you are applying and print the numeric gear code(s) from the list above.

| Fisheries                   | Open Access Categories - circle | Limited Access Categories Qualified Vessels Only | Gear Code(s) – must be written in |
|-----------------------------|---------------------------------|--|-----------------------------------|
| Atlantic Sea Scallop        |                                 | A B C 2 3 4 5 6 7 8 9                            |                                   |
| Northeast Multispecies      | HB I* J K                       | A C D E F HA                                     |                                   |
| Monkfish                    | E                               | A B C D F G H                                    |                                   |
| Surf Clam/Ocean Quahog      | 1 6                             |  | 410 – Dredge only                 |
| Maine Mahogany Quahog       |                                 | 7  | 410 – Dredge only                 |
| Summer Flounder             | 2*                              | 1  |                                   |
| Scup                        | 2*                              | 1  |                                   |
| Black Sea Bass              | 2*                              | 1  |                                   |
| Squid, Mackerel, Butterfish | 2* 3 4                          | 1 5 5B T1 T2 T3                                  |                                   |
| Spiny Dogfish               | 1                               |  |                                   |
| Atlantic Bluefish           | 1 2*                            |  |                                   |
| Atlantic Herring            | D                               | A B C  |                                   |
| Tilefish                    | 1 2*                            |  |                                   |
| Atlantic Deep-Sea Red Crab  | A                               | B C  |                                   |
| Skate                       | 1                               |  |                                   |
| American Lobster – Non Trap |                                 | 1 2*   |                                   |
| American Lobster - Trap     |                                 | A1 A2 A3 A4 A5 A6 AOC                            | 800 – Pots and Traps only         |

\* Charter/Party permit categories

**Section 5 – Application Checklist & Signature**

➔ Please include the applicable forms below with your signed and dated application

- \_\_\_\_\_ All vessels: copy of current (not expired) US Coast Guard (CG) Documentation or State Registration.
- \_\_\_\_\_ All vessels: Vessel Ownership Form listing names of current owners/officers/shareholders of the vessel or business
- \_\_\_\_\_ Charter/Party permit holders: CG Documentation must state “coastwise” or include a copy of a valid Captain’s license.
- \_\_\_\_\_ Gillnet Form: NE Multispecies DAS gillnet vessels must complete Section 1 and 3 of the Gillnet Form

I, the undersigned, am the owner or legally authorized agent of the owner of the vessel named in Section 1. I affirm, subject to the penalties provided in 18 USC 1001, that all the information that I have given in obtaining this permit is true and correct.

Print Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

\_\_\_\_\_ No longer own vessel

Your application will be returned if it does not contain the proper documents listed above and it is not signed and dated



National Marine Fisheries Service (NMFS)  
Northeast Regional Office / Fisheries Statistics Office



**Northeast Federal Fisheries  
2010/2011 Vessel Permit Renewal Instructions**

Enclosed are the instructions for completing your renewal application for Federal Northeast Region (NER) fishing permits. These instructions do not contain all the regulatory provisions that pertain to each fishery, and are not a substitute for the regulations. A copy of the regulations can be obtained through NOAA's National Marine Fisheries Service (NMFS) NERO web site: <http://www.nero.noaa.gov>. If you have further questions, please contact the Sustainable Fisheries Division at (978) 281-9315.

**Completing the application:** Please use this checklist to help ensure that your application is correct and complete. **Incomplete applications will not be processed and will be returned to you.** To complete your application you must:

- Update or correct the information printed on your application, if necessary
- Include a current copy (**not expired**) of the vessel's State Registration or Coast Guard documentation
- Ensure that your Fishing Vessel Trip Reports (VTRs) have been submitted and accepted to be eligible for permit renewal (contact the Vessel Reporting Office (978) 281-9246 for information)
- Submit a Vessel Ownership Form. Identify all officers, partners, and shareholders (see page 3, additional documents required)
- If you are renewing a charter/party permit, submit a copy of valid state charter/party license, USCG Documentation with a Coastwise endorsement, or copy of a Captain's license (see page 3, additional documents required)
- Sign and date the application
- Submit completed Gillnet Form (if applicable)

**Send or return completed applications to:**

National Marine Fisheries Service  
Attention: Northeast Permit Office  
55 Great Republic Drive  
Gloucester, MA 01930

**When to submit:** There is a 30-day processing period for all applications. This 30-day processing period begins when a **complete** application package is received in the Northeast Region Permit Office. If you have any questions regarding the application process, you may visit our office at the address above from 8–4:30 Mon–Fri. Please note that we do not provide same-day application processing on applications when you visit our office. Please refer to the NMFS NER Web Page at <http://www.nero.noaa.gov/permits/> for applications, instructions, fishery regulations, and other important information, or call 978-282-8438 or e-mail [Permits.ne@noaa.gov](mailto:Permits.ne@noaa.gov).

**Who to contact with questions:** The answers to many questions can be found in these instructions. Please contact us at 978-281-9370 (extension 6438) if you have further questions. Northeast Fisheries Regulations, permit data, permit applications, and important announcements are available on our website at: [www.nero.noaa.gov](http://www.nero.noaa.gov)

## Section 1 - Vessel and Owner Information (all items must be filled in)

Please verify that all owner information is complete and correct. Any new or updated address, owner, or vessel information must be written on the back of the form in Section 4a. The new information will replace the corresponding information on the front of the form. If your vessel is U.S. Coast Guard documented, a change of vessel name must also be reflected on the U.S. Coast Guard document.

## Section 2 - Vessel Information

NMFS defines Home Port and Principal Port as:

**Home Port:** City and State where your vessel is moored.

**Principal Port:** City and State where the majority of your landings occur.

Please make sure the **phone number** and **area code** are complete and correct. Cross out any incorrect information on the front of the form and include the correct information in the space provided on the back of the form in Section 4a.

## Section 3 - Fishery Information

**Fishery information** gives information about the Federal permits that were last issued to your vessel. Please review the fisheries listed in this section because NMFS will consider that you have applied for the fisheries indicated in this section unless you indicate, on the form, that they should be cancelled. See Section 4b below on instructions for adding a fishery that your vessel is qualified for but is not listed in Section 3. NMFS reserves the right to make a final determination on a vessel's eligibility for any permit.

**Cancelling a fishery:** To cancel a fishery, put an "X" in the column marked "Cancel" on the front of the form in Section 3. Please note that, except in certain specific cases, once you relinquish (cancel) a limited access permit, you will lose your right to renew that limited access permit in subsequent years. When cancelling a limited access permit, the application must be accompanied by verification, in writing, that the applicant has requested cancellation of a specific Federal limited access fishing permit(s) and understands that the vessel or any replacement vessel will no longer be eligible for those limited access permits.

## Section 4 – Corrections and Additions

**Address Corrections and Fishery Additions/Corrections** are to be made in Section 4a and 4b on the back of the form. Space is provided for any changes you want to make to your Federal fisheries permit. Please cross out information you want to remove, or place an "X" in the column marked "Cancel" for the permit you want to cancel on the front of the form in Section 3.

**Fishery Categories and Gears:** You may add or change a fishery category or gear code by entering new information in this section in the spaces provided. Your vessel must be qualified to apply for limited access fisheries. Contact Northeast Permit Office for further information. For each fishery category you want to add, circle the category numbers or letters in this column. Note that limited access permits require that you have met eligibility criteria. Selecting categories for which your vessel is not eligible for may delay the processing of your application.

For each fishery permit and category, you must select the applicable gear codes from the Gear Code table on page 3 of these instructions. On your application, write the **gear code numbers** in the column marked *Gear Code(s)*. Please note that some fishery categories have restrictions on the type of gear that can be used as noted on pages 4–10. You may select more than one gear code for a fishery category. **If no gear code is selected, the application cannot be processed and will be returned to you.**

## **Section 5 – Signature of Owner or Authorized Agent**

Sign and date the form. Unsigned, or otherwise incomplete applications, will be returned. Your signature is an affirmation that, under penalty of perjury, all the information provided in order to obtain this permit is true and correct. Submitting false information in connection with an application could subject you to criminal/civil penalties or permit sanctions [18 U.S.C. 1001].

## **Additional Documents Required**

### **USCG Documentation/State Registration**

With each application, submit a copy of your current (not expired) United States Coast Guard (USCG) Certificate of Documentation. If the vessel is not documented, send a copy of a valid (not expired) state vessel registration for the vessel. Do not send your original. We cannot accept a bill of sale or an application for renewal of the USCG Certificate of Documentation. If your vessel's state registration does not list all owners of the vessel, also provide a copy of the vessel's title, or other documentation from the appropriate state agency that identifies all vessel owners. A permit application will not be accepted if the vessel registration or documentation has expired before we receive it.

### **Officer/Owner/Shareholder Information**

Attach a completed NMFS vessel ownership form listing and identifying all owners and shareholders. Provide the full name and mailing address of all officers and shareholders in the corporation/LLC regardless of their percentage ownership in the company/vessel. Examples of acceptable documentation include minutes from the Corporation Annual Meeting listing the names and addresses of the officers and shareholders, or a signed and dated letter from a Corporate Officer listing the names and addresses of current officers and shareholders.

### **Charter/Party/Headboat Vessels**

If you are applying for a charter/party/headboat permit, submit a valid copy (not expired) of at least one of the following:

- State license showing the vessel is authorized to carry charter/party passengers.
- Certificate of Documentation showing vessel is documented for "Coastwise" trade.
- USCG license to operate or navigate passenger-carrying vessels.

### **Gillnet Vessels applying for Limited Access NE Multispecies and Monkfish**

If applying for a NE multispecies category A, E, or F permit with the intention of fishing with gillnet gear, you must complete sections 1 and 3 of the NMFS Gillnet Form. If you wish to order gillnet tags for either the NE multispecies or monkfish gillnet fishery, you must also submit a completed NMFS Gillnet Form.

**Description of Northeast Fisheries Permits – Categories Are Shaded**

❖ **Atlantic Sea Scallop**

**Limited Access Permit Categories:** These permits may only be issued to vessels that have previously held them or to approved replacements of such vessels. All permits issued will be valid for the entire fishing year. A vessel owner has 45 days from the first effective date of the permit within a permit year to make a change to the limited access Sea Scallop permit category (restrictions apply). If you are applying for a limited access scallop permit, you must attach a completed NMFS vessel ownership form (see page 3 for details). Further restrictions may apply, refer to Northeast Fisheries Regulations.

|           |  |
|-----------|--|
| <b>2*</b> | <b>Full-Time:</b> This category includes all gear types except bottom trawl.   |
| <b>3*</b> | <b>Part-Time:</b> This category includes all gear types except bottom trawl.   |
| <b>4</b>  | <b>Occasional:</b> This category includes all gear types except bottom trawl.  |
| <b>5*</b> | <b>Full-Time Small Dredge:</b> Category 3 (Part-Time) vessel may elect this category for the entire year if the vessel uses one dredge no larger than 10.5 ft. and with no more than five people on board. This category is limited to dredge (gear code <b>410</b> ) gear only. |
| <b>6*</b> | <b>Part-Time Small Dredge:</b> Category 4 (Occasional) vessel may elect this category for the entire year if the vessel uses 1 dredge no larger than 10.5 ft. and with no more than five people on board. This category is limited to dredge (gear code <b>410</b> ) gear only.  |
| <b>7*</b> | <b>Full-Time: Authorized to use trawl nets:</b> This category is limited to bottom trawl nets (gear code 310) only.  |
| <b>8*</b> | <b>Part-Time: Authorized to use trawl nets:</b> This category is limited to bottom trawl nets (gear code 310) only.  |
| <b>9</b>  | <b>Occasional: Authorized to use trawl nets:</b> This category is limited to bottom trawl nets (gear code <b>310</b> ) only.   |

**\* A VMS is required to be installed and in continuous operation onboard the vessel, unless the vessel is issued a VMS 'Letter of Exemption' from NMFS to power down the VMS unit.**

**Limited Access General Category (LAGC) Scallop permit categories:** These permits may only be issued to qualified vessels or to approved replacements of such vessels. Further restrictions may apply, refer to Northeast Fisheries Regulations. Owners of vessels issued an NGOM or Incidental scallop permit shall have one opportunity each fishing year to request a change in its permit category by submitting an application within 45 days of the effective date of the vessel's permit. After that date, the vessel must remain in that permit category for the duration of the fishing year. If you are applying for an IFQ scallop permit, you must attach a completed NMFS vessel ownership form (See page 3 for details).

|            |   |
|------------|---|
| <b>A**</b> | <b>LAGC – Individual Fishing Quota (IFQ permit)</b> |
| <b>B**</b> | <b>LAGC – Northern Gulf of Maine (NGOM permit)</b>  |
| <b>C**</b> | <b>LAGC – Incidental Catch (Incidental permit)</b>  |

**\*\* A VMS is required to be installed, activated, and a) transmitting a positional signal, or b) declared into a scallop power down activity code, or c) issued a VMS 'Letter of Exemption' from NMFS to power down the vessel's VMS unit.**

**VMS purchase information:**

**Boatracs**

Telephone: 858-458-8100  
Fax: 858-458-8116

**Skymate**

Telephone: 866-759-6283  
Fax: 703-814-8585

**Thrane & Thrane**

Telephone: 757-463-9557  
Fax: 757-463-9581

- See page 11 for a list of Northeast Fisheries gear types and their codes

## ❖ Northeast Multispecies

“Regulated” species (NE multispecies requiring regulated mesh): cod, haddock, yellowtail flounder, pollock, redfish (ocean perch), winter flounder (blackback), American plaice (dab), witch flounder (grey sole), windowpane flounder (sand dab), white hake, Atlantic halibut, and Atlantic wolffish.

Other NE multispecies:

Silver hake (whiting), red hake (ling), offshore hake, and ocean pout.

**Limited Access Permit Categories:** These permits may only be issued to vessels that have previously held them or to approved replacements of such vessels. All permits issued will be valid for the entire fishing year. A vessel owner has 45 days from the first effective date of his/her permit within a permit year to make a change to the NE multispecies permit category. Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.

|           |   |
|-----------|---|
| <b>A*</b> | <b>Day-at-Sea (DAS):</b> This category includes all gear types except dredge gear.  |
| <b>C</b>  | <b>Small Vessel Exemption</b> (less than or equal to 30 feet): This category includes all gear types except dredge gear.  |
| <b>D*</b> | <b>Hook Gear:</b> (Vessels in this category may not change category) This category is limited to handlines (gear code <b>911</b> ), rod and reel (gear code <b>912</b> ), tub trawl (gear code <b>913</b> ) and longlines (gear code <b>920</b> ) gear only.  |
| <b>E*</b> | <b>Combination Vessels:</b> (Vessels in this category may not change category) This category includes all gear types except a dredge.   |
| <b>F*</b> | <b>Large Mesh Individual DAS:</b> Category A (Individual DAS) or E (Combination) vessels may elect this category for the entire year provided the vessels meet the following requirements. Category A DAS for vessels fishing under this permit category will be increased by 36%. These vessels must fish with either gillnets or trawl nets having a minimum of 8.5-inch square or diamond mesh when fishing in the Gulf of Maine, Georges Bank, or Southern New England Regulated Mesh Areas, and 7.5-inch diamond or 8.0-inch square mesh when fishing in the Mid-Atlantic Regulated Mesh Area. This category is limited to gear types/codes <b>120, 210, 220, 310, 320, 330</b> and <b>700</b> only. |
| <b>HA</b> | <b>Handgear A:</b> (Vessels in this category may not change category) The vessel may possess and land one Atlantic halibut and other possession restrictions for the remaining regulated species. This category is limited to rod and reel (gear code <b>912</b> ), handline (gear code <b>911</b> ) or tub trawl (gear code <b>913</b> ) gear only.  |

\* An operational VMS is required to be on board the vessel to fish for groundfish under a NE multispecies DAS, on a sector trip, or a Handgear A vessel in the common pool that intends to fish in more than one broad stock area (BSA) on a single trip.

**Open Access Permit Categories:** Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.

|           |  |
|-----------|--|
| <b>HB</b> | <b>Handgear B:</b> The vessel may possess and land one Atlantic halibut and other possession restrictions for the remaining regulated species. This category includes rod and reel (gear code <b>912</b> ), handline (gear code <b>911</b> ) or tub trawl (gear code <b>913</b> ) gear only.       |
| <b>I</b>  | <b>Charter/Party:</b> The vessel may possess and land multispecies in the EEZ when carrying passengers for hire (See page 3 for additional information). This category is limited to rod and reel (gear code <b>912</b> ) and handline (gear code <b>911</b> ) gear only.                          |
| <b>J</b>  | <b>Scallop Multispecies Possession Limit:</b> The vessel may possess and land up to 300 lb of regulated species while fishing under a scallop DAS. <b>Vessel must have a Limited Access Scallop Permit (Categories 2 – 9) to qualify for this category.</b> This category includes all gear types. |
| <b>K</b>  | <b>Open Access Multispecies:</b> The vessel may fish for silver hake (whiting), red hake (ling), offshore hake, ocean pout, or Atlantic halibut when participating in an exempted fishing program. This category includes all gear types.  |

- See page 11 for a list of NE Fisheries gear types and their codes.

## ❖ Monkfish

**Limited Access Permit Categories:** These permits may only be issued to vessels that have previously held them or to approved replacements of such vessels. Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.

|           |  |
|-----------|--|
| <b>A</b>  | Vessels may elect this category that were issued permits in this category in the previous fishing year and that do not possess a limited access multispecies (with the exception of a Handgear A) permit or a limited access scallop permit. This category includes all gear types except dredge gear.   |
| <b>B</b>  | Vessels may elect this category that were issued permits in this category in the previous fishing year and that do not possess a limited access multispecies (with the exception of a Handgear A) permit or a limited access scallop permit, and that are less than 51 Gross Registered Tons (GRT) and do not qualify for a Category A permit. This category includes all gear types except dredge gear.   |
| <b>C</b>  | Vessels may elect this category that were issued permits in this category in the previous fishing year and also possess a limited access multispecies permit or a limited access scallop permit. This category includes all gear types except dredge gear.   |
| <b>D</b>  | Vessels may elect this category that were issued permits in this category in the previous fishing year and that also possess a limited access multispecies permit or a limited access scallop permit, and are less than 51 GRT and do not qualify for a Category C permit. This category includes all gear types except dredge gear.   |
| <b>F*</b> | <b>Offshore Fishery Program:</b> Vessels may elect this category if they were issued permits in monkfish limited access permit categories A, B, C, or D in the previous fishing year. Vessels electing this category must have an operational Vessel Monitoring System (VMS) installed on the vessel, and are restricted to fishing under a monkfish Days At Sea during the specified season of October 1–April 30 each year and within the Offshore Fishery Program Area. This category includes all gear types except dredge gear. |

\* **Operational VMS required**

**Limited Access Permit Categories for Vessels Fishing South of 38° 20' N. Lat. –** Vessels issued a limited access Category G or H permit are restricted to fishing under a monkfish DAS in the area south of 38° 20' N. lat.

|          |  |
|----------|--|
| <b>G</b> | Vessels may elect this category if they were issued permits in this category in the previous fishing year. This category includes all gear types except dredge gear.                               |
| <b>H</b> | Vessels may elect this category if they were issued permits in this category in the previous fishing year and that did not qualify for a category G permit. This category includes all gear types. |

**Open Access Permit Categories:** This permit may be issued to any vessel not issued a monkfish limited access permit—to fish for, possess, or land monkfish. Further restrictions may apply to this category. Refer to Northeast Fisheries Regulations.

|          |  |
|----------|--|
| <b>E</b> | <b>Incidental Catch:</b> Allows a vessel to possess and land a limited amount of monkfish, depending on fishing year, area fished, and gear fished. For specific limit amounts, contact the Sustainable Fisheries Division at 978-281-9315. This category includes all gear types. |
|----------|--|

**Area Declaration:** Please note that vessels without an operational VMS unit fishing exclusively in the Northern Fishery Management Area (NFMA) for monkfish must request a Southern Fishery Management Area (SFMA) Exemption Certificate in order to be exempt from the more restrictive management measures of the SFMA. Contact the Permit Office at 978-281-9370. Vessels with an operational VMS unit must declare the appropriate code to indicate they intend to fish exclusively in the NFMA.

- **See page 11 for a list of NE fisheries gear types and their codes.**



## ❖ Surfclam/Ocean Quahog

Surfclam and ocean quahog vessels, as well as Maine mahogany quahog vessels, are required to have an operational vessel monitoring system (VMS) on board their vessel. For open access surfclam and ocean quahog vessels, VMS installation and operation must be verified prior to issuance of the permit. Maine mahogany quahog permitted vessels are not required to install a VMS unit until they fish for, land, take, possess, or transfer ocean quahogs under a limited access Maine mahogany quahog permit. For more information on VMS requirements, call 978-281-9315.

**Open Access Permit Categories:** Further restrictions may apply to specific categories. Refer to Northeast Fishery Regulations.

|           |   |
|-----------|---|
| <b>1*</b> | <b>Surfclam:</b> The vessel may fish for surfclams. This category is limited to dredge (gear code <b>410</b> ) gear only. VMS required.         |
| <b>6*</b> | <b>Ocean Quahog:</b> The vessel may fish for ocean quahogs. This category is limited to dredge (gear code <b>410</b> ) gear only. VMS required. |

To possess and land surfclams or ocean quahogs from the EEZ, an allocation of quota is required.

**Limited Access Permit Categories:** This permit may only be issued to vessels that have previously held it or to approved replacements of such vessels. Further restrictions may apply to this category. Refer to Northeast Fisheries Regulations.

|           |  |
|-----------|--|
| <b>7*</b> | <b>Maine Mahogany Quahog:</b> The vessel may harvest and land ocean quahogs without an allocation from the EEZ in the area north of 43° 50' N. latitude. This category is limited to dredge (gear code <b>410</b> ) gear only. |
|-----------|--|

\* Operational VMS required.

## ❖ Summer Flounder (Fluke)

**Limited Access Permit Categories:** This permit may only be issued to vessels that have previously held it or to approved replacements of such vessels. Further restrictions may apply to this category. Refer to Northeast Fisheries Regulations.

|          |  |
|----------|--|
| <b>1</b> | <b>Commercial Moratorium:</b> This category includes all gear types. |
|----------|--|

**Open Access Permit Categories:** Further restrictions may apply to this category. Refer to Northeast Fisheries Regulations.

|          |   |
|----------|---|
| <b>2</b> | <b>Charter/Party:</b> May fish for or possess summer flounder in the EEZ while carrying passengers for hire (See Section 5D for additional information). This category includes all gear types. |
|----------|---|

## ❖ Scup (Porgy)

**Limited Access Permit Categories:** This permit may only be issued to vessels that have previously held it or to approved replacements of such vessels. Further restrictions may apply to this category. Refer to Northeast Fisheries Regulations.

|          |   |
|----------|---|
| <b>1</b> | <b>Scup Commercial Moratorium:</b> This category includes all gear types. |
|----------|---|

**Open Access Permit Categories:** Further restrictions may apply to this category. Refer to Northeast Fisheries Regulations.

|          |  |
|----------|--|
| <b>2</b> | <b>Charter/Party:</b> May fish for or possess scup in the EEZ while carrying passengers for hire (See Section 5D for additional information). This category includes all gear types. |
|----------|--|

- See page 11 for a list of NE fisheries gear types and their codes.

## ❖ Black Sea Bass

**Limited Access Permit Categories:** This permit may only be issued to vessels that have previously held it or to approved replacements of such vessels. Further restrictions may apply to this category. Refer to Northeast Fisheries Regulations.

|          |   |
|----------|---|
| <b>1</b> | <b>Black Sea Bass Commercial Moratorium:</b> This category includes all gear types. |
|----------|---|

**Open Access Permit Categories:** Further restrictions may apply to this category. Refer to Northeast Fisheries Regulations.

|          |  |
|----------|--|
| <b>2</b> | <b>Charter/Party:</b> May fish for or possess black sea bass in the EEZ north of 35° 15.3´ N. latitude while carrying passengers for hire (See Section 5 D for additional information). This category includes all gear types. |
|----------|--|

## ❖ Squid, Atlantic Mackerel, and Butterfish

**Limited Access Permit Categories:** These permits may only be issued to vessels that have previously held them or to approved replacements of such vessels. Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.

|           |   |
|-----------|---|
| <b>1</b>  | <b>Loligo/Butterfish Moratorium:</b> This category includes all gear types.   |
| <b>5</b>  | <b>Illex Squid Moratorium:</b> This category includes all gear types.   |
| <b>5B</b> | <b>Incidental Highly Migratory Species (HMS) Squid Trawl Permit:</b> Allowed only for vessels issued an Illex Squid Moratorium permit. This category includes all gear types. |
| <b>T1</b> | <b>Atlantic mackerel Moratorium Tier 1.</b>   |
| <b>T2</b> | <b>Atlantic mackerel Moratorium Tier 2.</b>   |
| <b>T3</b> | <b>Atlantic mackerel Moratorium Tier 3.</b>   |

**Open Access Permit Categories:** Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.

|          |  |
|----------|--|
| <b>2</b> | <b>Squid/Mackerel/Butterfish Charter/Party:</b> May fish for or possess <b>Loligo</b> or <b>Illex</b> squid, mackerel or butterfish in the EEZ while carrying passengers for hire (See Section 5 D for additional information). This category includes all gear types.   |
| <b>3</b> | <b>Squid/Butterfish Incidental Catch:</b> May fish commercially in the EEZ for up to 2,500 lb of <b>Loligo</b> squid per trip, 10,000 lb of <b>Illex</b> squid per trip, or 600 lb of butterfish per trip (unless the butterfish fishery closes prior to Oct 1 and then a 250 lb limit would be in effect for the remainder of the year). This category includes all gear types. |
| <b>4</b> | <b>Atlantic Mackerel:</b> May commercially fish for Atlantic mackerel in the EEZ. This category includes all gear types.   |

Note: Atlantic mackerel at-sea processing vessels apply for a permit as a dealer/processor.

## ❖ Spiny Dogfish

**Open Access Permit Categories:** Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.

|          |   |
|----------|---|
| <b>1</b> | <b>General:</b> May commercially fish for, possess, or land spiny dogfish caught in or from the EEZ. This category includes all gear types. |
|----------|---|

## ❖ Atlantic Bluefish

**Open Access Permit Categories:** Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.

|          |  |
|----------|--|
| <b>1</b> | <b>Commercial:</b> May possess or harvest Atlantic bluefish from the EEZ in excess of the daily possession limit. This category includes all gear types.                       |
| <b>2</b> | <b>Charter/Party:</b> May fish for or possess Atlantic bluefish from the EEZ while carrying passengers for hire (See Section 5 for more information). Includes all gear types. |

## ❖ Atlantic Herring

**Limited Access Permit Categories:** These permits may only be issued to qualified vessels or to approved replacements of such vessels. Further restrictions apply to specific categories. Refer to Northeast Fisheries Regulations.

|           |   |
|-----------|---|
| <b>A*</b> | <b>All Areas:</b> Authorizes the harvest of herring in any management area, without being subject to possession limits during the time when the total allowable catch (TAC) in the defined management area has not been reached. This category includes all gear types. |
| <b>B*</b> | <b>Areas 2/3:</b> Authorizes the harvest of herring only in Areas 2 or 3, without being subject to possession limits during the time when the TAC in the defined management area has not been reached. This category includes all gear types.                           |
| <b>C*</b> | <b>Incidental Catch:</b> Authorizes the harvest of up to 55,000 lb herring in any management area during the time when the TAC in the defined management area has not been met, with a limit of one landing per calendar day. This category includes all gear types.    |

**\* All vessels applying for and issued a limited access herring permit, with the exception of fixed gear (weirs and stop seines) fishermen, must install and maintain an operable VMS unit, and comply with all VMS notification and reporting requirements. Such vessels may power down the VMS unit when in port, but must re-power the VMS unit and enter an appropriate trip designation prior to leaving port.**

**Open Access Permit Categories:** Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations.

|          |  |
|----------|--|
| <b>D</b> | <b>Open Access:</b> Authorizes the harvest of up to 6,600 lb of herring in any management area during the time when the TAC in these management areas has not been met, with a limit of one landing per calendar day. This category includes all gear types. |
|----------|--|

## ❖ Tilefish

**Open Access Permit Categories:** Effective November 1, 2009, a vessel must also fish under the authorization of an Individual Fishing Quota Allocation permit to possess more than the incidental trip limit of 300 lb of tilefish. Further restrictions may apply to these categories. Refer to Northeast Fisheries Regulations.

|          |  |
|----------|--|
| <b>1</b> | <b>Open Access Commercial/Incidental:</b> May possess or land tilefish in or from the EEZ, north of the VA/NC border, up to 300 lb per trip. In order for any vessel to possess more than 300 lb of tilefish per trip, the vessel must also fish under the authorization of an IFQ Allocation permit. This category includes all gear types. |
| <b>2</b> | <b>Open Access Charter/Party:</b> May fish for or possess tilefish in the EEZ, north of the VA/NC border, while carrying passengers for hire. This category includes all gear types.   |

## ❖ Skate

**Northeast Skate Complex (Skate)** - The following species of skates comprise the NE skate complex and are included under the Federal skate permit: Winter skate; barndoor skate; thorny skate; smooth skate; little skate; clearnose skate; and rosette skate.

**Open Access Permit Categories:** Further restrictions may apply to this category. Refer to Northeast Fisheries Regulations.

|          |   |
|----------|---|
| <b>1</b> | <b>General:</b> May commercially fish for, possess, or land skate caught in or from the EEZ. This category includes all gear types. |
|----------|---|

- See page 11 for a list of NE Fisheries gear types and their codes.

## ❖ Atlantic Deep-Sea Red Crab

**Limited Access Permit Categories:** These permits may only be issued to vessels that have previously held them or to approved replacements of such vessels. Further restrictions may apply to this category. Refer to Northeast Fisheries Regulations.

|          |  |
|----------|--|
| <b>B</b> | Vessels in this category are subject to a baseline trip limit of 75,000 lb. This category is limited to pots and traps (gear code <b>800</b> ) gear only.  |
| <b>C</b> | Vessels in this category demonstrated a qualifying trip with landings greater than 75,000 lb and qualified for a trip limit equal to the larger trip, rounded to the nearest 5,000 lb. This category is limited to pots and traps (gear code <b>800</b> ) gear only. |

**Open Access Permit Categories:** This permit may be issued to any vessel not issued a red crab limited access permit—to fish for, possess, or land red crab in or from the EEZ.

|          |   |
|----------|---|
| <b>A</b> | May possess or land red crab in or from the EEZ up to 500 lb per trip. This category includes all gear types. |
|----------|---|

## ❖ American Lobster

**Limited Access Permit Categories:** These permits may only be issued to vessels that have previously held them or to approved replacements of such vessels. If eligible, you may select more than one category. Note, if you designate more than one category, you will be subject to the most restrictive management measures, including trap allocations, of all of the categories selected. A vessel owner has 45 days from the first effective date of his/her permit within a permit year to make a change to the American Lobster permit category. Further restrictions may apply to specific categories. Refer to Northeast Fisheries Regulations at 50 CFR 697.

|            |  |
|------------|--|
| <b>1</b>   | <b>Commercial Non-Trap:</b> May harvest lobster in the EEZ. This category includes all gear types except pots and traps. If you plan to fish with non-trap gear only, you are not required to elect trap gear areas.   |
| <b>2</b>   | <b>Charter/Party Non-Trap:</b> May harvest lobster in the EEZ while carrying passengers for hire. This category includes all gear types except pots and traps.   |
| <b>A1</b>  | <b>Commercial Trap Gear Area 1:</b> May harvest lobster in Federal Lobster Management Area 1. This category is limited to pots and traps (gear code <b>800</b> ) gear only.  |
| <b>A2</b>  | <b>Commercial Trap Gear Area 2:</b> May harvest lobster in Federal Lobster Management Area 2. This category is limited to pots and traps (gear code <b>800</b> ) gear only. Includes Area 2/3 overlap.   |
| <b>A3</b>  | <b>Commercial Trap Gear Area 3:</b> May harvest lobster in Federal Lobster Management Area 3. This category is limited to pots and traps (gear code <b>800</b> ) gear only. Must have a valid historic allocation to elect this area. Includes Area 2/3 overlap.       |
| <b>A4</b>  | <b>Commercial Trap Gear Area 4:</b> May harvest lobster in Federal Lobster Management Area 4. This category is limited to pots and traps (gear code <b>800</b> ) gear only. Must have a valid historic allocation to elect this area.                                  |
| <b>A5</b>  | <b>Commercial Trap Gear Area 5:</b> May harvest lobster in Federal Lobster Management Area 5. This category is limited to pots and traps (gear code <b>800</b> ) gear only. Must have a valid historic allocation to elect this area.                                  |
| <b>A6</b>  | <b>Commercial Trap Gear Area 6:</b> May harvest lobster in Federal Lobster Management Area 6. Vessel owner must possess a valid CT or NY state lobster license to be eligible for this category. This category is limited to pots and traps (gear code 800) gear only. |
| <b>AOC</b> | <b>Commercial Trap Gear Outer Cape Area:</b> May harvest in Outer Cape Federal Lobster Management Area. This category is limited to pots and traps (gear code 800) gear only.  |

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### Gear codes and explanations for section 3 of application

| Gear Type        | Code | Gear Type            | Code | Gear Type             | Code |
|------------------|------|----------------------|------|-----------------------|------|
| Purse Seines     | 120  | Other Trawls         | 330  | Rod and Reel          | 912  |
| Beach Seines     | 210  | Dredge               | 410  | Tub Trawl             | 913  |
| Boat Seines      | 220  | Gill/Entangling Nets | 700  | Longlines or Setlines | 920  |
| Bottom Trawls    | 310  | Pots and Traps       | 800  | Harpoons              | 1010 |
| Mid-water Trawls | 320  | Hand Lines           | 911  | Other Gears           | 1200 |
|                  |      |                      |      | Diving Gear           | 1400 |

Public reporting burden for the collection of information on the initial vessel application is estimated to average 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and completing and reviewing the information. Send comments regarding this burden estimate or suggestions for reducing this burden to: National Marine Fisheries Service, Permit Office, 55 Great Republic Drive, Gloucester, MA 01930. The Paperwork Reduction Act requires that potential respondents for a fishing vessel permit be notified of certain information regarding their rights and the burden associated with applying for Federal fisheries permits.

One of the regulatory steps taken by the National Marine Fisheries Service to carry out its conservation and management objectives is the requirement of a permit for users of the resources. All the fisheries included on this application require a permit to fish for or retain these species in or from the EEZ. Section 303(b)(1) of the Magnuson-Stevens Fishery Conservation and Management Act specifically recognizes the need for permit issuance. The purpose and use of these permits are to: (1) Register industry participants and fishing vessels; (2) list the characteristics of fishing vessels; (3) exercise influence over compliance; (4) provide a mailing list for the dissemination of important information to the industry; (5) register participants to be considered for limited access; and (6) provide a pool of participants for data collection samples. Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. Owner name, vessel characteristics, address, phone, and permit information will be released via NMFS Fisheries website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics.

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