

U.S. Department of Commerce  
 NOAA/National Marine Fisheries Service  
 Northeast Region Permit Office  
 55 Great Republic Drive  
 Gloucester, MA 01930  
 Tel: (978) 282-8438



**Initial Application for a Federal Limited Access Atlantic Mackerel Permit**

**date**

**Section 1 - Write in Owner, Contact, and Vessel Information**

Owner name	Name of Vessel
Mailing address	Vessel Permit Number
City, State	USCG Documentation / State Registration
Zip Code	Phone Number

**Please write in the vessel's current baseline specifications below and attach the appropriate documentation to verify length overall, gross registered tons (GRT), net tons (NT), horsepower.** Applicants do not need to provide **Fish hold volume** with this initial mackerel application, but this measurement will be required at the time of first renewal for vessels issued a Tier 1 or Tier 2 permit. Further information on these documentation requirements is provided on page 3 of this application. Instead of GRT and NT information, you may submit verification of vessel breadth and depth, and length overall. This information will then be used to calculate GRT and NT using the simplified calculation method.

Length Overall (ft)	Gross Registered Tons	Net Tons
Horsepower	Fish Hold Volume ( <b>optional at this time, but required for renewal of Tier 1 or 2</b> )	

**Section 2A - Atlantic Mackerel Categories and Gears**

By submitting this application, NMFS will evaluate your vessel's eligibility for each of the three limited access Atlantic mackerel categories (Tier 1, Tier 2, and Tier 3). A vessel can be issued only one category. If your vessel is found eligible for more than one category, you will be issued the highest qualifying category. Tier 1 is the highest category, followed by Tier 2, with Tier 3 being the lowest. Please read the enclosed permit holder letter for information regarding eligibility criteria for each category.

**Section 2B – Check off one or more gears to be used with the Atlantic mackerel permit**

<input type="checkbox"/>	120 –Purse Seine	<input type="checkbox"/>	210 - Beach Seine	<input type="checkbox"/>	220 - Boat Seine	<input type="checkbox"/>	310 - Bottom Trawl
<input type="checkbox"/>	320 –Midwater Trawl	<input type="checkbox"/>	330 – Other Trawls	<input type="checkbox"/>	410 – Dredge	<input type="checkbox"/>	700 – Gill/Entangling Nets
<input type="checkbox"/>	800 –Pots and Traps	<input type="checkbox"/>	911 - Handlines	<input type="checkbox"/>	912 - Rod and Reel	<input type="checkbox"/>	913 - Tub Trawl
<input type="checkbox"/>	920 – Longlines/Setlines	<input type="checkbox"/>	1010 - Harpoons	<input type="checkbox"/>	1200 – Other Gears	<input type="checkbox"/>	1400 – Diving Gear

**Please sign and date the back of this form.**

**If you have already renewed the vessel's permit(s) for the 2011 permit year:**

1. Complete all sections and return this application to the address on the front of this form.
2. Provide information to document the vessel's baseline specifications, including fish hold volume if you wish to be considered for a Tier 1 or Tier 2 permit category.

**If your vessel has not been issued a permit for the 2011 permit year or your vessel is replacing another qualifying vessel, you must:**

1. Complete all sections and return this application to the address on the front of this form.
2. Complete the vessel ownership form and return it along with your application
3. Include a copy of the vessel's unexpired Coast Guard Documentation or State Registration.
4. Provide information to document the vessel's baseline specifications, including fish hold volume if you wish to be considered for a Tier 1 or Tier 2 permit category.

**Confirmation of Permit History (CPH)** - If your vessel has sunk, been destroyed, or sold to another person without its permit history, you may apply for a CPH using the following instructions:

1. Complete all sections and return this application to the address on the front of this form.
2. Complete the vessel ownership form and return it along with your application
3. To verify that you have retained the permit history of a vessel you no longer own, please provide one of the following:
  - a. A bill of sale or document stating that the permit history has been retained by you (the seller) and signed by you and the buyer; or
  - b. A copy of the confirmation of permit history.
4. To verify that the vessel has been destroyed or is no longer seaworthy, please provide one of the following: a. Coast Guard accident report; b. Insurance adjustor's report; or c. Verification from certified marine surveyor that vessel is no longer seaworthy.

<b>Section 3 – Applicant's Name and Signature</b>	
<p>_____ No Longer Own Vessel</p> <p>_____ Vessel Sold</p> <p>_____ Vessel Destroyed</p> <p>See CPH instructions above for more information</p>	<p>I, the undersigned, am the owner or legally authorized agent of the owner of the vessel named in Section 1 above. I affirm, subject to the penalties provided in 18 USC 1001, that all information that I have given in obtaining this permit is true and correct.</p> <p>Print Name: _____</p> <p>Signature: _____ Date: _____</p>

**PAPERWORK REDUCTION ACT STATEMENT:** Public reporting burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to the Assistant Regional Administrator, Sustainable Fisheries Division, NOAA National Marine Fisheries Service, 55 Great Republic Drive, Gloucester, MA 01930. All landings and catch data, and any other information protected under federal law, will be kept confidential. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

Any information submitted on this NOAA approved permit application form, which is not otherwise protected under federal law, may be disclosed upon request. To avoid a delay in processing, please verify and complete all sections and include all information requested on this form.

OMB CONTROL NO.: 0648- Expires:

# How to complete the limited access Atlantic mackerel application

## SECTION 1: VESSEL/OWNER INFORMATION:

Enter current address and contact information for vessel owner

Enter vessel name, federal permit number (if it exists), and USCG Documentation or State Registration

The vessel's baseline refers to those specifications from which any future vessel size change is measured and is based on the specifications of the vessel that is initially issued a limited access permit as of the date that the vessel applied for such a permit.

Vessel specification documentation (Please Note: All documentation must include the name and documentation number of the vessel)

For all vessels, you must verify total engine horsepower by providing one of the following:

- Marine survey documentation showing the name and location of the marine surveyor;
- Mechanic certification on the mechanic's letterhead; or
- Engine manufacturer specification and proof that the engine is installed on your vessel.

For Coast Guard documented vessels, you must provide a copy of the documentation papers. By submitting this documentation, you confirm that the vessel length overall is correct as indicated on the CG documentation. If it is not, please submit additional documentation to confirm the length overall of the vessel.

For State registered vessels, you must verify length overall, gross registered tonnage, and net tonnage by providing one of the following:

- Marine survey documentation showing the name and location of the marine surveyor (survey may indicate either gross and net tons or provide information on the vessel's breadth and depth (not draft), and length overall); or
- US Bureau of Shipping document.

Applicants do not need to provide **Fish hold volume** with this initial mackerel application, but this measurement will be required at the time of first renewal for vessels issued a Tier 1 or Tier 2 permit. If not provided with this initial application, the first renewal application for a Tier 1 or Tier 2 permit must include a copy of a fish hold measurement from a Certified Marine Surveyor with a fishing specialty by the National Association of Marine Surveyors (NAMS) or from an Accredited Marine Surveyor with a fishing specialty by the Society of Accredited Marine Surveyors (SAMS).

## SECTION 2: FISHERY INFORMATION

Gear Codes: You must select at least one gear code or multiple gear codes from those listed on the front of this form.

## SECTION 3: OWNER'S SIGNATURE

Please sign the form. Unsigned or incomplete applications will be returned.