NOTICE – Public reporting burden of this collection of information is estimated to average 90 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to CDC/ATSDR Information Collection Review Office; 1600 Clifton Road, MS D-74, Atlanta, GA 30333, ATTN: PRA (0920-0278). Assurance of confidentiality – All information which would permit identification of an individual, a practice, or an establishment will be held confidential; will be used for statistical purposes only by NCHS staff, contractors, and agents only when required and with necessary controls; and will not be disclosed or released to other persons without the consent of the individual or establishment in accordance with section 308(d) of the Public Health Service Act (42 USC 242m) and the Confidential Information Protection and Statistical Efficiency Act (PL-107-347). 1. Label NHAMCS-101(FS) U.S. DEPARTMENT OF COMMERCE Economics and Statistics Administration U.S. CENSUS BUREAU ACTING AS DATA COLLECTION AGENT FOR THE NATIONAL CENTER FOR HEALTH STATISTICS CENTERS FOR DISEASE CONTROL AND PREVENTION NATIONAL HOSPITAL AMBULATORY MEDICAL CARE SURVEY FREESTANDING AMBULATORY SURGERY CENTERS **2011 PANEL** 2a. ASC administrator contact information **b.** ASC contact information Name Name Title Title **RECORD ON RECORD ON** Telephone number CONTROL CARD **CONTROL CARD** Telephone number (Area code and number) (Area code and number) FAX number FAX number **Section I - TELEPHONE SCREENER** 3. Field representative 4. Record of telephone calls information Call Date Results Time FR Code Telephone screener 1 FR Code 2 ASC induction During your initial call to the ASC, attempt to speak to the contact person. If the contact person is not available at 5. Final outcome of ASC screening 1 Appointment this time, determine when he/she can be reached and call again at the designated time. If, after several Day Date Time a.m. attempts, you are still unable to talk to the contact or p.m. have determined the contact is no longer an appropriate respondent, begin the interview with a representative of 2 Noninterview - Complete Sections V and VI on page 19. the contact person or new contact, as appropriate. **NOTES**

	Section I – TELEPHONE SCREENER – Continued					
	Part A. INTRODUCTION					
	surgery centers and in hospitals. You should hadirector of the National Center for Health Stati	r name). I am calling for the Centers for Disease of ambulatory surgery in freestanding ambulatory ave received a letter from Dr. Edward J. Sondik, the stics, describing the study. (Pause) You've probably reau, which is collecting the data for the study.				
6.	Did you receive the letter(s)? (If "No" or "DK," offer to send or deliver another copy.)	1 ☐ Yes – <i>SKIP to STATEMENT A</i> 2 ☐ No 3 ☐ Don't know				
7a.	Let me verify that I have the correct name and address for your ASC. Is the correct name (Read name from Control Card)?	1 ☐ Yes 2 ☐ No – Enter correct name RECORD ON CONTROL CARD				
b.	Is your ASC located at (Read address from Control Card)?	1 ☐ Yes 2 ☐ No – Enter ASC location ⊋				
		Number and street City State ZIP Code RECORD ON CONTROL CARD				
c.	Is this also the mailing address?	1 ☐ Yes 2 ☐ No – Enter correct mailing address _✔				
		Number and street City State ZIP Code RECORD ON CONTROL CARD				
ST	(Although you have not received study to you at this time and	ved the letter,) I'd like to briefly explain the answer any questions about it.				
	Part B. VERIFICATION OF ELIGIBILITY					
	INTRODUCTION STATEMENT B1 The National Center for Health Statistics of the Centers for Disease Control and Prevention is conducting an annual study of ambulatory care. The study began data collection in 1992. CDC has contracted with the U.S. Census Bureau to collect the data. (Name of ASC) has been selected to participate in the study. I am calling to arrange an appointment to discuss your participation. The study is authorized under the Public Health Service Act and the information will be held strictly confidential. Participation is voluntary. Before discussing the details, I would like to verify our basic information about					
8a.	Is ambulatory (outpatient) surgery or are ambulatory diagnostic or therapeutic	1 Yes 2 No - SKIP to CHECK ITEM B on page 4.				
	procedures currently performed in this facility? NOTE: Do not ask item 8b if facility is an eye surgery center.					
b.	In this study we are excluding facilities that are exclusively family planning clinics, birthing centers, abortion clinics, podiatry centers or dentistry centers. Is (Name of facility) exclusively one of these?	1 ☐ Yes – <i>SKIP to CHECK ITEM B on page 4.</i> 2 ☐ No				
	is (manie or identity) exclusively one of these.					

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1 Yes 2 No

9. Is this facility currently licensed by the state?

Section I – TELEPHONE SCREENER – Continued					
Part B. VERIFICATION OF ELIGIBILITY					
10. It is important for us to determine whether or not your facility operates under the license or Provider of Services (POS) number of a parent facility.					
a. Does your ASC operate under the license of a parent facility?	1 □ Yes 2 □ No				
b. Does your ASC operate under the Provider of Services (POS) number of a parent facility?	1 ☐ Yes 2 ☐ No				
CHECK ITEM A Refer to items 10a and 10b. Is "Yes" marked in ANY of these items?					
1 Yes – What is the name and address of your p	parent facility?				
Parent facility name					
Number and street RECOR	RD ON CONTROL CARD				
City State ZIP Code					
Thank you for your time and assistance. We may contact you again in a few days regarding participation in this study. Terminate telephone call.					
FR If after contacting your RO you	find that the ASC is eligible, continue with item 11. CHECK ITEM B on page 4 and mark checkbox 4.				
₂ □ No − <i>GO to item 11.</i>					
11. Is this facility owned, operated, or managed by – 1 A hospital 2 One or more physicians 3 Health maintenance organization 4 Another health care provider 5 A health care corporation that owns multiple health care facilities (e.g., HCA or Health South) 6 Other					
12. Is the ambulatory (outpatient) surgery performed here primarily one specialty?	1 ☐ Yes – What is the specialty? OVER 1 OUT OF TEXA 5				
	SKIP to CHECK ITEM B on page 4.				
13. Is the ambulatory (outpatient) surgery performed here multi-specialty?	1 Yes 2 No				
NOTES					

	Section I – TELEPHONE SCREENER – Continued
CHECK ITEM B	 1 ☐ ASC meets eligibility requirements (item 8a is YES) – SKIP to Check Item B-1 2 ☐ ASC is ineligible because it does not perform ambulatory surgery (item 8a is NO) – Go to CLOSING STATEMENT B1 below.
	 ASC is ineligible because specialty is out-of-scope (item 8b is YES) – Go to CLOSING STATEMENT B2 below. ASC is ineligible because it operates under a parent facility that is on the sampling frame (Item 10a is YES) – Complete Section V on page 19.
CHECK ITEM B-1	ASC refused
	a. Determine whether facility has an eligible ASC and if so, inquire as to how many visits are expected during the reporting period. Eligible ASC?
	b. If unable to determine expected visits for the assigned reporting period, obtain the number of visits to the facility last year .
	ASC visits last year Complete Sections V and VI on page 19.
CLOSING STATEME B1	Thank you, but it seems that our information was incorrect. Since (Name of ASC) does not perform ambulatory surgery, it should not have been chosen for
CLOSING STATEME B2	M ADD/3 Specially is dul-di-scope for dul study, it silvalu lidt liave been cilosell
NOTES	

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Section I - TELEPHONE SCREENER - Continued

Part C. STUDY DESCRIPTION

Thank you. Now I would like to provide you with further information on the study.

INSTRUCTIONS

Provide the administrator or other facility representative with a brief description of the study.

Cover following points -

- (1) The NHAMCS is the only source of national data on health care provided in hospital emergency and outpatient departments and ambulatory surgery centers.
- (2) NHAMCS is endorsed by the:
 - Ambulatory Surgery Center Association
 - American College of Surgeons
 - American Health Information Management Association
 - American Academy of Ophthalmology
 - Society for Ambulatory Anesthesia
 - American College of Emergency Physicians
 - Emergency Nurses Association
 - Society for Academic Emergency Medicine
 - American College of Osteopathic Emergency Physicians
- (3) Nationwide sample of about 600 hospitals and 246 freestanding ambulatory surgery centers.
- (4) Four-week data collection period
- (5) Brief form completed for a sample of patient visits

As one of the ASC's that has been selected for the study, your contribution will be of great value in producing reliable, national data on ambulatory surgery.

CLOSING STATEMENT C2

I would like to arrange to meet with you so that I can better present the details of the study. Is there a convenient time within the next week or so that I could meet with you? Thank you . . . for your cooperation. I am looking forward to our meeting. Record day, date and time of appointment in item 5, page 1; and terminate phone call.

NOTES	

Section II - INDUCTION INTERVIEW

Part A. INTRODUCTION

I would like to begin with a brief review of the background for this study.

INSTRUCTIONS

Provide the administrator or other facility representative with a brief introduction to the study and a general overview of procedures.

Cover the following points -

- (1) NHAMCS is a sister survey of the National Ambulatory Medical Care Survey (NAMCS). NAMCS collects data on visits to physicians in office-based practices
- (2) NAMCS and NHAMCS are sponsored by the National Center for Health Statistics of the Centers for Disease Control and Prevention
- (3) NAMCS and NHAMCS data are used extensively by health care organizations, health services planners, researchers, and educators
- (4) Annually, there are almost 200 million visits to hospital emergency and outpatient departments and 35 million visits to ambulatory surgery centers, including 15 million visits to freestanding ambulatory surgery centers
- (5) The U.S. Census Bureau is the data collection agent for the study
- (6) The study is authorized by Title 42, U.S. Code, Section 242k
- (7) Participation is voluntary
- (8) Any identifiable information will be held confidential and will be used only by NCHS staff, contractors or agents, only when necessary and with strict controls, and will not be disclosed to anyone else without the consent of your facility. By law, every employee as well as every agent has taken an oath and is subject to a jail term of up to five years, a fine of up to \$250,000, or both if he or she willfully discloses ANY identifiable information about your facility and its patients
- (9) NO patients' names or identifiers are collected
- (10) The study was approved by the NCHS Research Ethics Review Board or IRB
- (11) Data from the study will be used only in statistical summaries
- (12) NHAMCS excludes office-based physicians (these are covered under the NAMCS)
- (13) NHAMCS excludes the following types of ASCs: dentistry, podiatry, abortion, small procedures, birth center, and family planning.
- (14) Only a 4-week data collection period
- (15) On average, sample of approximately 100 ASC visits per hospital and 100 freestanding ASC visits.

SHOW PATIENT RECORD FORM

- (16) Form takes only 6 minutes to complete
- (17) Forms are to be completed by ASC staff at their convenience
- (18) Portion containing patient's name or other identifying information is removed before collecting

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Section II - INDUCTION INTERVIEW - Continued					
Part B. SURVEY IMPLEMENTATION					
As I mentioned earlier, I would like to discuss t	As I mentioned earlier, I would like to discuss the plan for conducting the study. This ASC has				
been assigned to a 4-week data collection period beginning on Monday, (${Month}$ / ${Day}$).					
First, I would like to discuss the steps needed t	o obtain approval for the study.				
14a. Are there any additional steps needed to obt in the study?	4a. Are there any additional steps needed to obtain permission for the ASC to participate in the study?				
Yes − Specify the necessary steps below ✓					
2 □ No					
14b. Now I would like to make arrangements to obtain the information needed for sampling. I will need to (know/verify) how your ambulatory surgery center is organized and obtain an estimate of the number of patient visits expected during the 4-week reporting period. Would you prefer I (get/verify) this information from you or someone else?	1 ☐ Respondent 2 ☐ Someone else – Specify below If different respondent(s), arrange to obtain data today if possible. Otherwise arrange an appointment with designated person(s). Briefly explain the study to the new respondent(s). Then proceed with Section III, Ambulatory Surgery Center Description as appropriate. Thank current respondent for his/her time and cooperation.				
	Name				
	Title Record on				
	Department Control Card				
	Telephone number				
	Name				
	Record on				
	Department Control Card				
	Telephone number				

	Section III – AMBULATOR	Y SURGI	ERY CENT	ER DESCRIPT	ION		
15a	Does this facility have any satellite facil which perform ambulatory (outpatient) s	ities surgery?		 Continue with SKIP to develo 	item 15b. ping sampling pl	'an	
lt:	What are the names, addresses, and telephone numbers of the satellite fac	ilities?	Name Address Telephone num	nber	RECORD	JP TO :	
To d	evelop the sampling plan, I would like to ity's ambulatory surgery locations.	(collect/			rmation about	this	
Ob.	tain an estimate of ambulatory (outpatient) surget- 4-week reporting period. Enter the estimate in	gery cases column (d	for each am l) of the listin	bulatory surgery g below.	location, coveri	ng	
FR NOT	Dedicated ambulatory surgery room Satellite operating room Card	liac cathete	m erization lab • — — — —		Family planni Small proced	• Pong • Abnures • Bir	diatry ortion th center
	Specialty groups include: • GEN – General • MULTI – Multi-specialty •	GI – Gastro OPH – Oph	oenterology • hthalmology •	ORTHO - Orthop PAIN - Pain Bloo	pedics • PLASTIC ck • OTHER	C – Plastic – Other s	c Surgery specialty
 INSTRUCTIONS Only record generic ambulatory surgery location names in column (a) (e.g., ambulatory surgery center, endoscopy). If the ambulatory surgery location has a formal/proper name, enter a generic name in (a) and record the Line No. and the formal/proper name on page 2 of the Control Card. Record the specialty group acronym in column (b). Complete columns (e) and (f) after developing the sampling plan. See page 18 of the NHAMCS-124 for instructions. 							
Line No.	Name of ambulatory surgery location (Generic)	Specialt group	y AU number	Expected No. (outpatient) s		Take every number	Random start number
	(a)	(b)	(c)	((d)	(e)	(f)
1							
2							
3							
4							
	TOTAL —						
	CHECK 1 Facility has only 1 ambulatory surgery location – SKIP to Item 15e. 2 Facility has more than 1 ambulatory surgery location – Continue with item 15c.						
	Would you or your IT staff be able to ga single list of outpatient surgery case following locations? (Read each ambulate location name listed above.) Would you or your IT staff be able to generate one list of outpatient surger for some of these locations? Record the name and telephone number of the contact on the Control Card. Give a copy of the "Single Sampling List Instituted IT contact.	enerate es for the ery surgery ry cases the IT	1 Yes 2 No - 3 No - 1 Yes 2 No - 1 Yes 2 No - IT Contact nar	ONLY 2 lists More than 2 list Continue with i	SKIP to item 150	e h item 15	īd.
FR NOT	to the IT contact. If multiple logs were combined into one list, in column (c).	then assig	n the same	, ,	ach location and	record	

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	Section III – AMBULATORY SURGER	Y CENT	TER DESCR	IPTION – C	ontinued	
5e.	Does your ASC submit any CLAIMS electronically (electronic billing)?	1				
f.	Does your ASC verify an individual patient's insurance eligibility <u>electronically</u> , with results returned immediately?	2 Ye	es, with an ENes, using anot	/IR/EHR syste		nent system
g.	Does your ASC <u>use</u> an electronic MEDICAL record (EMR) or electronic HEALTH record (EHR) system? Do not include billing record systems.	Prd 2 Yes, part paper and part electronic Go to item $15g(1)$				
	(1) In which year did your ASC install your EMR/EHR system?		Year			
	(2) What is the name of your current EMR/EHR system? Mark (X) only one box. If "Other" is marked, specify the name.	2 C	HARTCARE ClinicalWorks pic	7 GE/Cer 8 Greenw Medica 9 MED30 10 NextGe	vay 13 F I 14 C 1000 en _	GOAPware Practice Fusion Other Jnknown
h.	Does your ASC have plans for installing a new EMR/EHR system within the next 18 months?	1	es	- Sage	15 🗀 C	JIKHOWH
i.	Indicate whether your ASC <u>has</u> each of the following <u>computerized capabilities</u> . Does you ASC have a computerized system for: Mark (X) of one box per row.	r only	Yes	Yes, but turned off or not used	No	Unknown
	(1) Recording patient history and demographic information?		1 ☐ Go to 15i(1)(a)	₂ □ Skip to 15i(2)	3 ☐ Skip to 15i(2)	4 ☐ Skip to 15i(2)
	If Yes, ask - (a) Does this include a patient problem	m list?	1 🗌	2	3 🗌	4 🗌
	(2) Recording clinical notes?		1 ☐ Go to 15i(2)(a)	² □ Skip to 15i(3)	3 ☐ Skip to 15i(3)	4 □ Skip to 15i(3)
	If Yes, ask – (a) Do they include a comprehensive the patient's medication and allerge	list of dies?	l l 1 🗌	2 🗌	3 🗌	4 🗌
	(3) Ordering prescriptions?		1 ☐ Go to 15i(3)(a)	² ☐ Skip to 15i(4)	3 ☐ Skip to 15i(4)	4 □ Skip to 15i(4)
	If Yes, ask – (a) Are prescriptions sent electronica the pharmacy?	ılly to	1 🗆	2 🗌	з 🗌	4 🔲
	(b) Are warnings of drug interactions containdications provided?	or	1 🗌	2 🗌	3 🗆	4 🗆
	(4) Providing reminders for guideline-based interventions or screening tests?		1 🗆	2 🗌	з 🗆	4 🔲
	(5) Ordering lab tests?		1 ☐ Go to 15i(5)(a)	2	3 ☐ Skip to 15i(6)	4 ☐ Skip to 15i(6)
	If Yes, ask – (a) Are orders sent electronically?		1 🗌	2 🗌	3 🗌	4 🗆
	(6) Providing standard order sets related to a particular condition or procedure?		1 🗆	2 🗌	3 🗆	4 🗆 🖊

	Section III - AMBULATORY SURGERY CENT	ek descki	PIION – Co	ntinuea	
15i. Cont	inued	Yes	Yes, but turned off or	No	Unknown
(7)	Viewing lab results?	1 □ Go to 15i(7)(a)	not used 2 Skip to 15i(8)	₃ ☐ Skip to 15i(8)	4 ☐ Skip to 15i(8)
	If Yes, ask -(a) Are results incorporated in EMR/EHR?	1 🗌	2 🗌	3 🗆	4 🗆
(8)	Viewing imaging results?	1 🗌	2 🗌	3 🗌	4 🗌
(9)	Viewing data on quality of care measures?	1 🗆	2 🗌	3 🗆	4 🗌
(10)	Electronic reporting to immunization registries?	1 🗆	2 🗌	3 🗆	4 🗌
(11)	Public health reporting?	1 □ Go to 15i(11)(a)	2 Skip to 15i(12)	3 ☐ Skip to 15i(12)	4 ☐ Skip to 15i(12)
	If yes, ask - (a) Are notifiable diseases sent electronically?	1 🗌	2 🗌	3 🗌	4 🗌
(12)	Providing patients with clinical summaries for each visit?	1 🗌	2 🗌	3 🗌	4 🔲
(13)	Exchanging secure messages with patients?	1 🗌	2 🗌	3 🗌	4 🗌
(14)	At your ASC, if orders for prescriptions or lab tests are submitted electronically, who submits them? Mark (X) all that apply.	2 ☐ Othe 3 ☐ Preso	criptions and I ubmitted elec	ab test orders	3
	s your ASC exchange patient clinical summaries tronically with any other providers?	2 🗆 Yes,	send summar receive summ send and rece own Go to it	naries only	Go to item 15j(1
(1)	How does your ASC electronically send or receive patient clinical summaries? Mark ALL that apply.	2 ☐ Throu 3 ☐ Throu state		ased system formation Orga	
ince use (Medi	nning in 2011, Medicare and Medicaid will offer ntives to facilities that demonstrate "meaningful of Health IT". Does your ASC have plans to apply for care or Medicaid incentive payments for ningful use of Health IT?	2 🗌 Unce	we intend to a rtain whether ve will not app	we will apply	
(1) [n which year do you expect to apply for the meaningful use payments?	1 2011 2 2012 3 After 4 Unkn	2012		
Notes					

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Section IV - AMBULATORY UNIT RECORD COMPLETE FOR EACH AMBULATORY UNIT SELECTED Section A - AMBULATORY UNIT INFORMATION a. Mark (X) specialty — 1 GEN 2 MULTI з 🗌 GI 4 OPH 5 ORTHO 6 PLASTIC 7 PAIN 8 OTHER **b.** AU No. of Total AU's sampled within the ASC Section B - SAMPLE INFORMATION **4.** Total estimated number of visits during reporting 1. Take every number period for ALL operating rooms within the ASC 2. Random start number REPORTING From: **PERIOD** 3. Estimated number of visits in this (Month/Day/Year) To: AU during reporting period 6. SU number 7. Numerator 8. Denominator Item 6 is the AU No. from Section A, Item b. Items 7 and 8 are each 1. 1.00 NUMBER OF VISITS 9. What was the total number of patient visits to this AU from (dates specified in Week 1 Week 2 Week 3 Week 4 TOTAL B5)?(Refer to patient logs, etc. Ask if necessary.DO NOT LEAVE TOTAL BLANK. BE AS COMPLETE AND ACCURATE AS POSSIBLE.) NUMBER OF FORMS **10.** How many patient record forms were Week 1 Week 2 Week 3 Week 4 TOTAL filled out for this AU? **11.** Was this Ambulatory Unit Record completed for multiple ambulatory 1 Yes surgery locations that were combined in a single list? 2 No **Section C - ASC HOURS OF OPERATION** 1. What are the ASC hours of operation? Mark (X) ONLY one (if applicable) Day(s) Time Open 24 hours Not open Hours varv (d) (a) (b) (c) (e) **FROM** TO a.m. a.m. Monday 1 🔲 p.m. p.m. 2 3 a.m. | TO **FROM** a.m. Tuesday 1 🔲 2 з 🗌 p.m. p.m. FROM a.m. | TO a.m. Wednesday 1 🔲 2 3 p.m. | p.m. **FROM** a.m. a.m. Thursday 1 🔲 p.m. p.m. 2 3 **FROM** a.m. | TO a.m. Friday 1 2 3 p.m. p.m. **FROM** a.m. | TO a m Saturday 1 🔲 2 3 p.m. I p.m. **FROM** a.m. TO a.m. Sunday 1 🔲 2 з p.m. | p.m. Notes

	Section IV – AMBULATORY UNIT RECORD – Continued			
	Section D – VERIFICATION	OF ESTIMATED VISITS		
1.	Verify with ASC director BEFORE data collection begins (and records have been pulled). According to our information, about	1 Yes – SKIP to section G		
	(number from B-3) patient visits are expected during the reporting period. Do you agree with this estimate?	1 2 No		
2.	About how many visits do you expect during the	Revised estimate		
	reporting period, to?			
3a.	Determine if new Take Every and Random Start numbers must be calculated for this ASC. Divide the revised estimate by the original estimate from B-3.	Revised estimate		
	estimate nom b-3.	Original estimate (Result)		
b.	Is the result of (a) between 0.7 and 1.3?	¹ ☐ Yes – SKIP to section G 2 ☐ No		
	Section E – CALCULATE NEW TAKE EVERY A	ND RANDOM START NUMBERS FOR THIS UNIT		
1.	Calculate new Take Every, using the appropriate table (page 19) of the NHAMCS-124. (Use the revised estimate of visits from D-2 and the original total visits from B-4).	New Take Every		
2.	Calculate new Random Start, using the next available row on the label affixed to the back of the NHAMCS-101(FS).	New Random Start		
Section G – PATIENT RECORD FORM INFORMATION				
1. Enter the range of Patient Record Forms that were ACTUALLY used by the unit.				
	FIRST FOLIO FROM:	TO:		
	SECOND FOLIO FROM:	TO:		
	THIRD FOLIO FROM:	TO:		
		AL DISPOSITION		
	FINAL DISPOSITION			
	Ambulatory unit 1 Participated a Patients seen, Continue to Item 2 b No patients seen 2 Refused 3 Closed a Temporary b Permanent	□ Ineligible □ a □ AU not under auspices of ASC □ □ Only ancillary services provided □ AU classified as out-of-scope □ □ Other - Specify □		
	Who completed the patient record forms? Mark (X) all that apply	1 ☐ ASC staff 2 ☐ FR – abstraction DURING reporting period 3 ☐ FR – abstraction AFTER reporting period 4 ☐ Other – Specify Other – Specify →		

Section IV - AMBULATORY UNIT RECORD

COMPLETE FOR EACH AMBULATORY UNIT SELECTED Section A - AMBULATORY UNIT INFORMATION a. Mark (X) specialty — 1 GEN 2 MULTI з GI 4 OPH 5 ORTHO 6 PLASTIC 7 PAIN 8 OTHER **b.** AU No. 2 of Total AU's sampled within the ASC **Section B - SAMPLE INFORMATION 4.** Total estimated number of visits during reporting 1. Take every number period for ALL operating rooms within the ASC **REPORTING** 2. Random start number | From: **PERIOD** 3. Estimated number of visits in this (Month|Day|Year) ∣To: AU during reporting period 6. SU number 7. Numerator 8. Denominator Item 6 is the AU No. from Section A, Item b. Items 7 and 8 are each 1. 2 1.00 NUMBER OF VISITS 9. What was the total number of patient Week 1 Week 3 TOTAL visits to this AU from (dates specified in Week 2 Week 4 B5)?(Refer to patient logs, etc. Ask if necessary.DO NOT LEAVE TOTAL BLANK. BE AS COMPLETE AND ACCURATE AS POSSIBLE.) NUMBER OF FORMS 10. How many patient record forms were Week 3 Week 1 Week 2 Week 4 **TOTAL** filled out for this AU? **11.** Was this Ambulatory Unit Record completed for multiple ambulatory ₁ ☐ Yes surgery locations that were combined in a single list? 2 No **Section C - ASC HOURS OF OPERATION** 1. What are the ASC hours of operation?

D/-)	Time (b)		Mark (X) ONLY one (if applicable)			
Day(s) (a)			Open 24 hours (c)	Not open (d)	Hours vary (e)	
Monday	FROM a.m. p.m.	TO a.m. p.m.	1 🗆	2	з 🗆	
Tuesday	FROM a.m. p.m.		1	2	3 🔲	
Wednesday	FROM a.m. p.m.		1	2	3 🔲	
Thursday	FROM a.m. p.m.	***************************************	1 🗆	2	з 🗌	
Friday	FROM a.m. p.m.		1	2	3	
Saturday	FROM a.m. p.m.		1	2	з 🗆	
Sunday	FROM a.m. p.m.	TO a.m. p.m.	1	2	3 🗆	

	Section IV – AMBULATORY	UNIT RECORD - Continued			
	Section D – VERIFICATION OF ESTIMATED VISITS				
1.	Verify with ASC director BEFORE data collection begins (and records have been pulled). According to our information, about (number from B-3) patient visits are expected during the reporting period. Do you agree with this estimate?	1 ☐ Yes – <i>SKIP to section G</i> 2 ☐ No			
2.	About how many visits do you expect during the	Revised estimate			
	reporting period, to?				
За.	Determine if new Take Every and Random Start numbers must be calculated for this ASC. Divide the revised estimate by the original estimate from B-3.	Revised estimate Original estimate (Result)			
b.	Is the result of (a) between 0.7 and 1.3?	1 ☐ Yes – <i>SKIP to section G</i> 2 ☐ No			
	Section E – CALCULATE NEW TAKE EVERY AN	D RANDOM START NUMBERS FOR THIS UNIT			
1.	Calculate new Take Every, using the appropriate table (page 19) of the NHAMCS-124. (Use the revised estimate of visits from D-2 and the original total visits from B-4).	New Take Every			
2.	Calculate new Random Start, using the next available row on the label affixed to the back of the NHAMCS-101(FS).	New Random Start			
	Section G – PATIENT RECORD FORM INFORMATION				
1. <i>E</i>	Enter the range of Patient Record Forms that were ACTUA	LLY used by the unit.			
F	FIRST FOLIO FROM:	то:			
5	SECOND FOLIO FROM:	то:			
7	THIRD FOLIO FROM:	TO:			
N	OTES				
_					
_					
(–					

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Section IV – AMBULATORY UNIT RECORD – Continued				
Section H – FINAL DISPOSITION				
1. FINAL DISPOSITION	Ambulatory unit Participated			
2. Who completed the patient record forms? Mark (X) all that apply	1 ☐ ASC staff 2 ☐ FR – abstraction DURING reporting period 3 ☐ FR – abstraction AFTER reporting period 4 ☐ Other – Specify			
NOTES	<u></u>			

Section IV - AMBULATORY UNIT RECORD

COMPLETE FOR EACH AMBULATORY UNIT SELECTED

Section A – AMBULATORY UNIT INFORMATION

a.	Mark (X) speci	alty —									
1	☐ GEN 2☐	MULTI	₃□ GI	₄□ OPH	5	ORTHO	6 PLAST	TC 7	PAIN	8 🗌 (OTHER
b.	AU No. 3 Total AU's sam	of pled within t	he ASC								
			Se	ction B	- SAM	PLE INFOR	MATION				
1.	Take every nur	mber			4. Tot per	al estimated i	number of voperating ro	risits durin oms withi	g reporting the ASC	ig Cs	
2.	Random start r	number				EPORTING ERIOD	From	:	/_		/
3.	Estimated number of visits in this AU during reporting period				(Month Day Yea		ar) To:	110:		/ /	
	6 is the AU No		on A, Item b.		6. SU	number	7. Nui	nerator	8	Denon	ninator
item	ns 7 and 8 are e	acn I.				3		1		1	.00
9.	What was the t	otal number	of patient				NUMBER	OF VISITS			
J.	visits to this AL	J from (dates	s specified in	We	ek 1	Week 2	V	leek 3	Wee	ek 4	TOTAL
	B5)?(Refer to p necessary.DO BLANK. BE AS ACCURATE AS	NOT LEĂVE COMPLETI	E TOTAL E AND		/		<u>/</u> _	/		<u>/</u> _	
						'	NUMBER (OF FORMS	3		
10.	How many patie filled out for this	ent record to a Ali?	rms were	We	ek 1	Week 2	V	leek 3	Wee	ek 4	TOTAL
	iniod out for time	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,									
11. Was this Ambulatory Unit Record completed for mosurgery locations that were combined in a single list				nultiple a list?	ultiple ambulatory st? 1 Yes 2 No						
			Sect	ion C – <i>I</i>	ASC HO	URS OF O	PERATIO	ı			
1	What are the	ASC hour	s of operat	tion?							
••	1. What are the ASC hours of operation? Mark (X) ONLY one (if applicable)								ble)		
	Day(s)	Day(s) Time		Time		Or		, [Not open H	
	(a)			(b)			(c)		(d)		(e)
	Monday	FROM	a.n p.n			a.m. p.m.	1 🗆		2		3
	Tuesday	FROM		TO n. n. 		a.m. p.m.	1 🗆		2		з 🗆
	Wednesday	FROM		TO n. n.		a.m. p.m.	1 🗆		2		3 🗌
	Thursday	FROM		TO n. n.		a.m. p.m.	1 🗆		2		3
	Friday	FROM	a.n p.n	TO n. n.		a.m. p.m.	1		2		3 🗆
	Saturday	FROM	a.n p.n	TO 1. 1. 1.		a.m. p.m.	1		2		3 🗆
	Sundav	FROM	a.n p.n	n. TO		a.m.	1		2		3 🗆

Section IV - AMBULATORY UNIT RECORD - Continued Section D - VERIFICATION OF ESTIMATED VISITS Verify with ASC director BEFORE data collection begins (and records have been pulled). According to our information, about (number from B-3) patient visits are 1 ☐ Yes - SKIP to section G 2 No expected during the reporting period. Do you agree with this estimate? 2. About how many visits do you expect during the Revised estimate reporting period, _____ to ____? Determine if new Take Every and Random Start numbers must be calculated for this ASC. Revised estimate **3a.** Divide the revised estimate by the original estimate from B-3. (Result) Original estimate b. Is the result of (a) between 0.7 and 1.3? 1 ☐ Yes - SKIP to section G 2 No Section E - CALCULATE NEW TAKE EVERY AND RANDOM START NUMBERS FOR THIS UNIT **1.** Calculate new Take Every, using the appropriate table (page 19) of the NHAMCS-124. (Use the revised estimate of visits from D-2 and the original total visits New Take Every from B-4). 2. Calculate new Random Start, using the next available row on the label affixed to the back of the New Random Start NHAMCS-101(FS). **Section G - PATIENT RECORD FORM INFORMATION** 1. Enter the range of Patient Record Forms that were ACTUALLY used by the unit. FROM: TO: FIRST FOLIO SECOND FOLIO FROM: THIRD FOLIO FROM: TO: NOTES

Section IV – AMBULATORY UNIT I	RECORD – Continued			
Section H - FINAL DISPOSITION				
1. FINAL DISPOSITION	Ambulatory unit Participated Patients seen, Continue to Item 2 No patients seen 2 Refused Closed Temporary Permanent 4 Ineligible			
2. Who completed the patient record forms? Mark (X) all that apply	1 ☐ ASC staff 2 ☐ FR – abstraction DURING reporting period 3 ☐ FR – abstraction AFTER reporting period 4 ☐ Other – Specify			
NOTES	1			

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	Section V – DISPO	SITION AND SUMMARY
	AMBULATORY	UNIT CHECKLIST
16a.	How many ambulatory surgery locations were selected for sample? Enter 0 if no ambulatory surgery locations were selected for sample. Did you complete an Ambulatory Unit Record for each log/list?	Number of ambulatory surgery locations 1 ☐ Yes 2 ☐ No − Explain ⊋
b	Number of ASC Patient Record Forms completed	Number of ASC PRFs
17.	FINAL DISPOSITION	1 All eligible units completed Patient Record Forms 2 Some eligible units completed Patient Record Forms 3 ASC refused 4 ASC closed 5 ASC ineligible END interview 5 ASC ineligible ASC completed ASC ineligible ASC ineligible
	Section VI –	NONINTERVIEW
18a.	At what point in the interview did the refusal/breakoff occur? Mark (X) appropriate box.	□ During the telephone screening □ During the ASC induction □ After the ASC induction, but prior to assigned reporting period □ During the assigned reporting period
b.	By whom?	1 ASC administrator 2 ASC Director 3 Approval board or official 4 Other ASC official
c.	Was the refusal by telephone or in person?	1 ☐ Telephone 2 ☐ In person
d.	What reason was given?	
e.	Was conversion attempted?	 1 □ Yes 2 □ No

NOTES

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