GENERAL COMMENTS

#	Date Received	Section	Commenter/ Organization	Comment/Question	Disposition of Comment/ Rationale
1.	4/11	General	Frank Holt/ Marlborough Hospital	The SAMHSA proposal that Block Grant funds be directed to fund priority treatment for those who are permanently or temporarily without insurance, if properly implemented, would go a long way to reaching these hard to reach individuals and, at the same time, reducing financial risk for providers who cannot ethically turn them away, regardless of their inability to pay for treatment. Further, given the still-emerging data about natural recovery and the limitations of making policy based on data derived from outlier populations (typically, incarcerated populations and/or those seeking treatment in publicly-funded facilities), funding universal prevention activities takes on important new meaning. I urge you to have clear guidelines about the accounting for the Prevention Set Aside, as this is often given short shrift by treatment-focused state agencies.	Thank you for your comments. SAMHSA requires that States spend at least 20% of their SAPTBG allotment on primary prevention programs for persons who do not require treatment. States also must report their spending on primary prevention. Some States spend more on primary prevention and may continue to do so. States should make prevention a top priority, taking advantage of science, best practices in community coordination, proven planning processes like the strategic prevention framework (SPF) and science in the 2009 Institute of Medicine report entitled "Preventing Mental, Emotional, and Behavioral Disorders Among Young People: Progress and Possibilities" to develop effective prevention strategies

					and place a priority on targeting high need communities.
2.	4/12	General Comments	Kyle Lloyd/ VA	I've reviewed the Federal Register description for the SAMHSA Unified Block Grant and at present do not see anything that is disparaging in it. I'm delighted to see a lot of the intention from The New Freedom Commission Report rolled into the text of this. Overall, it appears to be fair and very thoughtfully written.	Thank you for your comment.
3.	4/14	General Comments	Howell Cliffvon/ Delaware DHHS	It may be helpful to many state planners, and literally save multiple hours of briefing staff members if the document is released in the word formatPDF has the ability to comment and highlight but it doesn't give you the same visual capability that MS Word provides under the review features.	SAMHSA has provided the application in word format.
4.	4/18	General Comments	Becky Hymas/ Upper Valley Resource and Counseling Center, Idaho	As feedback on the proposal to deliver mental health funding as a block grant: In Idaho, the Legal/Court system has increasingly co-opted the funding that comes through the Substance Abuse block grant. I can see the ways in which they would be likely to do the same to money coming through a mental health block grant unless it is written specifically to short-circuit any efforts to do so. The real down-side of this practice is that treatment is dictated by Judges and	No response needed.

				probation officers rather than based on assessments and treatment plans provided by properly trained professionals.	
5.	4/20	General Comments	Peggy Nikkel/ UPLIFT, Wyoming	I would really like to see SAMHSA focus on mental health and substance abuse services for children and adolescents beginning with the Strategic Initiatives and including the unified block grants. With so much of the focus on services to adults, children often do not receive the services and supports needed to prevent them of entering a life time of struggle with mental health and/or substance abuse issues. Children and families desperately need SAMHSA to help us increase priority focus on this special population that is often overlooked. When states do not have a required percentage for use of federal funds on services for children, these citizens often get the short end of the stick.	Children, youth and families are included as populations states can include in their plan. The MHSBG plan must address the needs of children with SEP. The SAPTBG can address the needs of youth with a SUD.
6.	4/20	General Comments	Tanya Roberts/ CCSAP, North Carolina	Just the suggestion that consideration be given to the utility of information collected and the effort to be more efficient with the collection of such information is a phenomenal start. Whether in private or public work, too often we are over burdened with paperwork without purpose. The unified application allows for the flexibility to be at the state level; state officials will be able to determine what their needs are and better	SAMHSA agrees with comments.

utilize the funding as appropriate for their part of the country.

Submission of a bi-annual plan instead of an annual plan will also provide for time spent on ensuring the funds are being utilized as necessary and not on completing more paperwork that may or may not need to be developed within the first months of funding.

Finally, the service provision for other groups, as each State determines them to be a population to be addressed, is critical. In North Carolina, we have a large Native American and a large military population. Our demographics continue to shift to more retired individuals establishing residency here, growing industry location and re-location to our state and a significant college population across the state. Therefore, it will be imperative that the state have much latitude in their determination of how best to use the BG funds for which population(s).

The changes (and the components staying unchanged) as outlined in pages 19999 to 20003 of Federal Register 76, Issue 69 (April 11, 2011), make sense and appear to be reactive and proactive. Together, this should make for a more simplified and less burdensome process for the states to effectively expend the funds for appropriate treatment of our

				citizens.	
7.	4/21	General Comment	Victor Capoccia/ NIATx, University of Wisconsin	The proposed changes in the application process for the CMHS and SAPT Block Grants reflect both the changing context of behavioral health prevention and treatment as well as a simplified and logical process for states to employ to use these resources. Specifically,	SAMHSA agrees w/ comments
				1. The four purposes: coverage for uninsured, priority services not covered by insurance, prevention, and data collection, quality management and services planning represent a sensible synthesis of the 17 priority areas that accumulated over several years. Experience with extended health insurance coverage in Massachusetts indicates that while 97% of the population is insured (with a robust behavioral health care benefit), between 20 and 30% of patients in community mental health and addiction specialty clinics are uninsured at the time of service.	
				2. The requirement to define population based service needs is especially important to states ability to appropriately plan an expanded Medicaid benefit. Understanding subpopulation needs is a cornerstone to financial and services planning required to expand	

				Medicaid insurance coverage to low-income adults.	
				3. The inclusion of quality improvement requirements	
				is essential in our experience to increasing the access	
				and retention that will be required to close the	
				treatment gap that now exists between prevalence	
				and penetration for early intervention, primary	
				prevention, and treatment for mental health and	
				addiction disorders.	
				4. The application options that permit joint mental	
				health and addiction applications and the new	
				intervals for plan updates reinforce the ability of states	
				to innovate and demonstrate flexibility in their	
				purchasing, regulating, and managing of behavioral	
				health services.	
8.	4/21	General	Jan Cairnes/ Hanley	I believe block grants should support data driven	Comments are not relevant to
		Comments	Center, Florida	strategic planning for funding that is community	information requested through
				focused, evidence based, culturally relevant and	this FRN
				sustains long term change. Funding must remain at	
				least at current levels or increase for all types of	
				service to be effective. I think a standalone Prevention	
				block grant will add an additional burden (cost) and	
				therefore ultimately result in decreased funding.	
				However, if funding would increase (it should due to	
				health care reform moving in the prevention direction)	
				then I thinks it could be successful. In a time of cuts	

				does a third block grant make sense?	
9.	4/21	General Comments	Joan Disare/ New York State (NYS) Office of Alcoholism and Substance Abuse Services	I would like to suggest that SAMHSA develop and post a Q and A section on its website, so that all states have access to clear and consistent information about the new requirements and to document SAMHSA decisions regarding implementation. It would also be helpful for CSAT Project Officers to begin assisting states with clarification of the myriad new details as soon as possible.	FAQ section is posted on the following site: http://samhsa.gov/grants/blockgrant
10.	4/22	General	Jackie Griffin- Doherty/	Having a standard format for both MH and SA and prevention makes sense as it is connected to overall wellness. However, the changes proposed merit several concerns: (i)The SA Block grant currently funds women and children's treatment services, including residential treatment for women and their children allowing for a comprehensive gender-specific approach to recover. It is important that the existing funding remain and we are recommending additional funding for this population. Pregnant and Parenting women, while identified as a special population, have little mention otherwise (Description and Reporting completely eliminates all the former requirements for this population-only asked to report on	Pregnant and parenting women remain a statutorily identified target population and must be addressed by States in their assessment and plan. There is no intent that States cease funding necessary services, instead, SAMHSA is asking that states continue to assess and plan for the populations that are identified in statute, but in addition, take a broader view of the assessment of needs of the individuals in their states. States

				numbers) thus the funding we now use may or may	will then establish their own
				not be available. (Expectation, I assume, that they will	priorities based upon their data
				all be covered under Medicaid, except what about the	and needs.
				•	and needs.
				women w/o custody, as now); (ii)Much of the change	
				in priorities and services emphasis is based on	
				National Health Care Reform and parity. We have NO	
				CLEAR understanding of what national health care	
				reform will really do for SUD, and eliminating some of	
				our currently funded services w/o that knowledge is a	
				concern. (iii) The new priority populations including	
				trauma-survivors, veterans, GLTGBQ are now	
				included with other priority populations. All of these	
				identified populations will require additional services	
				and these MH and SA treatment services that are	
				necessary may not be services that providers will be	
				able to bill Medicaid for; MA and SA treatment	
				services must be prioritized in balance with	
				prevention levels of funding. (iv)There is clear	
				evidence of emphasis on S-BIRT, EBP, NOMS, lots of	
				prevention indicators to be tracked. This requires	
				time and mindfulness as it transitions. (v)This is the	
				WRONG time to be identifying for some in Congress,	
				areas where 'cuts' can be made.	
11.	4/26	General	Karen Casper/	(Re: application's positive impact on access and quality	SAMHSA agrees with comment
		Comment	Vermont Dept. of	of care)	
			Health, Alcohol and	A manusath annihitat annihitat and annihitat and	
			Drug Abuse Program	A more thoughtful approach, client and community focused.	
				IUCUSCU.	

				The integration of a recovery oriented system of care (both prevention and treatment) with health care. This approach is consistent with and supports work that began with the SPF SIG.	
12.	4/26	General Comment	Karen Casper/ Vermont Dept. of Health, Alcohol and Drug Abuse Program	(Re: supported proposed changes) Planning approach. Plan Reporting option of every two years. Allowing us to craft our own block grant and emphasis on recovery approach.	SAMHSA agrees with comment
13.	4/26	General	Karen Casper/ Vermont Dept. of Health, Alcohol and Drug Abuse Program	(Re: proposed changes of concern) How reporting requirements might constrain what/how we purchase. Reporting financial data – will require approval to use non-actual cost data. The challenge of integrating and doing follow-through with other departments, e.g., DMH. Client level cost data. Changes may require the Medicaid and non-Medicaid systems to be linked. This would require special manipulation of the data, which we currently don't	Concerns noted and addressed in other comments SAMHSA is not clear how reporting requirements can constrain how services are purchased. The information requested from states who are able to report related to financial data, following through with other departments, collecting client level cost data and linkages between the Medicaid systems and other system will inform other states who are struggling with this information. If the State is

				do.	unable to report, there is the ability to state that and let SAMHSA know your specific issues.
14.	4/26	Implement ation Comments	Karen Casper/ Vermont Dept. of Health, Alcohol and Drug Abuse Program	First year will be most difficult, but the 2 year renewal option very good.	SAMHSA agrees and factored this into the PRA calculation of burden for years 1 and 2.
15.	4/26	Implement ation Comments	Karen Casper/ Vermont Dept. of Health, Alcohol and Drug Abuse Program	(Re: implementation burden in staff hours) Significant program and operations staff time planning to take full advantage of incorporating intended systems changes. Significant business office and data management staff time to plan and adapt changes. And designated staff time to coordinate, compile and write elements of the grant.	SAMHSA is unclear what specific and additional business office and data management staff time the commenter is proposing.
16.	4/26	Implement ation Comments	Karen Casper/ Vermont Dept. of Health, Alcohol and Drug Abuse Program	(Re: implementation period) Would like the opportunity to implement these changes over a 2 year period with guidance, to be fully on board by 2014. Some involve desired systems change and integration goals that will require more time than other changes.	SAMHSA believes that the phased application will allow for planful systems change.
17.	4/26	Implement ation Comments	Karen Casper/ Vermont Dept. of Health, Alcohol and Drug Abuse Program	(Re: cost of implementation) Staffing to manage changes during time when we're also doing grant-making.	No response needed

18.	4/26	Implement	Karen Casper/	(Re: most difficult provision to implement)	SAMHSA recognizes that this is a
		ation Comments	Vermont Dept. of Health, Alcohol and Drug Abuse Program	The need to reframe how we plan for use of the block grant. It will involve a major shift in our policy.	change for States. States can develop their plans for response to these questions.
				Those changes involving integrating with other systems components, e.g., physical health with behavioral health.	
				Client level cost data is currently not in to state's accounting system. Systems changes would be required to extrapolate that information which is not feasible however cost data could be extrapolated from client base service data.	
				Allocated versus actual costs and federal accountability standards.	
				The proposal of changing prevention set-aside as part of block grant to a separate formula grant would double the administrative burden on the state. It would require that separate applications be processed through the state system. It would also create a barrier to work we have already begun on the ROSC.	Comments regarding the changes to the prevention setaside are not relevant to information requested through this FRN
19.	4/29	General Comments	Diana Marsh/ Kansas Governor's Mental Health Services Planning Council	The Mental Health Block Grant, regardless of its size, is important to our state's mental health program. These funds directly benefit many consumers and provide them with the opportunity to launch new mental health initiatives. Many of these pilot initiatives have led to new programs and have enhanced savings to our system. The technical assistance from SAMHSA is critical to this process.	SAMHSA is using the revised block grant application process to obtain information regarding States' technical assistance needs.

20.	4/29	General	Diana Marsh/	Many states, including ours, are reforming Medicaid.	SAMHSA agrees with comment
		Comments	Kansas Governor's Mental Health Services Planning Council	As in other states, our state did cut state funding for mental health programs. There should be more dialogue as to how best the Block Grant program can add value to our system and then factor that into where the Block Grant program leads. The Mental Health Block Grant should be used to fill critical gaps in what needs exist for the uninsured and underinsured.	J
				The Medicaid expansion will leave at least 5% uninsured in our state and another 10% (or more) underinsured. That is what the true value of the Mental Health Block Grant can be for our state and others.	
21.	5/3	General Comments	David Gustafson/ NIATx	A national set of care standards needs to be developed. We need to identify who needs what treatment, what supports, and when those services should be delivered in order to develop uniform standards of care.	SAMHSA concurs, but this is beyond the scope of the Block Grant.
22.	5/3	General Comments	David Gustafson/ NIATx	Tools need to be developed to measure both client level and system level outcomes. While some process and outcome goals have been identified, there are no specific measures and our own research indicates that there is no capacity to measure at the systems level and scant capacity to measure at the program level.	SAMHSA concurs, but this is beyond the scope of the Block Grant. However, SAMSHSA is reviewing current outcome and performance measures against the National Quality Strategy for future changes.
23.	5/3	General Comments	David Gustafson/ NIATx	Key agency and state competencies need to be identified. These skills, such as billing for services, organizing around a chronic disease model, identifying and managing new technologies such as HER and ICT, clinical capabilities to deliver integrated care, and an ability to identify quality improvement needs and	SAMHSA concurs, but this is beyond the scope of the Block Grant.

				develop action plans for improving care, are all lacking in the current infrastructure.	
24.	5/9	General	Harrison Kinney/ New Mexico Human Services Dept	At the present time, the States do not know whether the 21 month time period will mean a reduced grant award because there will only be 9 months in FY 2012 or if the grant award will be a full 24 months collapsed into the 21 month NGA. This lack of information will affect the data collected or calculated for projections, funding projections, sub-recipients' contracts for service delivery, and other areas of the States' service system.	SAMHSA believes the commenter's has erroneously interpreted the 21-month planning period as an indication of a potential reduction in States' Block Grant allotments and/or the time period available for the obligation and expenditure of Block Grant funds. Title XIX, Part B, Subpart III of the Public Health Service (PHS) Act (42 U.S.C. 300x-xx) describes the availability of payments to States The 24-month period associated with the obligation and expenditure of Block Grant funds is unchanged Therefore, the FY 2012 Block Grant allotment will be available for obligation and expenditure from October 1, 2011 through September 30, 2013; the FY 2013 Block Grant allotment will be available for obligation and expenditure from October 1, 2012 through September 30, 2014.
25.	5/9	General	Harrison Kinney/	States developing their application without full	SAMHSA is unclear what the

	Comments	New Mexico Human Services Dept	disclosure will require assistance from SAMHSA in reapplying with the correct information and data. This will increase the time spent on completing this project.	commenter means by the term "full disclosure."
26. 5/9	General	Harrison Kinney/ New Mexico Human Services Dept	Questions cannot be answered by SAMHSA staff until after the Public Comment period has expired. This deducts 2 months from the process, giving States a minimum of 3 months to complete the process. Unless the Office of Management and Budget approves it right away, the States will still not be assured that the document they are working on is the final version	SAMHSA believes that a final FY 2012-2013 Block Grant Plan and Report document will be available in time for States to submit their respective plans to SAMHSA by the receipt dates for the Community Mental Health Services (CMHS) Block Grant and the Substance Abuse Prevention and Treatment (SAPT) Block Grant identified in Title XIX, Part B, Subpart 1 and Subpart II of the PHS Act, respectively, The CMHS Block Grant Plan is due on or before September 1 and the SAPT Block Grant Plan is due on or before October 1. In the case of any State that may want to submit a joint CMHS and SAPT Block Grant plan, such plans will be due on or before September 1. SAMHSA recognizes that the compressed time period available to States to prepare and submit plans to SAMHSA by the dates described above; therefore, States will be expected to prepare and submit,

					at a minimum, the following: Funding agreements/assurances and certification Intended use plan and related planned expenditures checklists Identification of States' priority/targeted population including, but not limited to, the priority/targeted populations identified in Title XIX, Part B, Subpart 1 of the PHS Act, i.e., severely emotionally disturbed (SED) adolescents and severely mentally ill (SMI) adults and Title XIX, Part B, Subpart II of the PHS Act, i.e., substance using pregnant women and women with dependent children and intravenous drug users. States are encouraged to target available resources to address the complex bio-psycho-social needs of individuals associated with other affinity groups, e.g., military families, lesbian, gay, bisexual and transgender (LGBT), subject to the availability of funds.
27.	5/10	General Comment	Alan Johnson/ Hawaii substance Abuse Coalition	Requiring states to combine both substance abuse and mental health information on one grant application may pose a problem for Hawaii. In Hawaii,	SAMHSA is not requiring states to submit a combined application. This option is

				mental health, substance abuse and children behavioral health systems are substantially different from each other. Such diversity in systems would present difficulty for applying as well as administering grants.	available at the choice of the State.
28.	5/10	General Comment	Alan Johnson/ Hawaii substance Abuse Coalition	Also, in Hawaii, the mental health federal grants are much smaller than the substance abuse grants. While there is no "pooling" at this time, there is concern that the much larger mental health department would utilize substance abuse funding if there were probable future pooling opportunities.	The financial accountability for the two block grant expenditures remains. There is no pooling of funds envisioned.
29.	5/10	General Comment	Alan Johnson/ Hawaii substance Abuse Coalition	Any movement towards having the option to use block grant funding for co-occurring disorders is a plus. This population is significant in Hawaii at 40% to 50% of the chronic addiction clients and is much underserved in terms of addressing their complex needs for adequate treatment.	Thank you for your comment
30.	5/10	General Comment	Alan Johnson/ Hawaii substance Abuse Coalition	HSAC supports the use of SAMHSA funding to use for priority treatment for individuals without health insurance coverage. Many chronic addiction persons, until their addiction is treated first, cannot respond to the documentation and face to face meetings that are required to establish eligibility for insurance coverage.	Thank you for your comment.
31.	5/10	General Comment	Alan Johnson/ Hawaii substance Abuse Coalition	After addressing the priority to fund the chronic uninsured that need immediate treatment services and are not yet connected to Medicaid/Medicare, HSAC does secondarily support systemic changes to fund recovery "support" services as a means to improve outcomes.	Thank you for your comment
32.	5/10	General Comment	Alan Johnson/ Hawaii substance Abuse Coalition	As Healthcare Reform is implemented, there will be a need for flexibility in federal grants to address those undetermined, yet probable, shortage of	SAMHSA concurs with comment. Thank you for your

				coverage issues.	comment
33.	5/10	General Comment	Alan Johnson/ Hawaii substance Abuse Coalition	HSAC supports the requirement for states to assess the needs for services for special populations.	Thank you for your comment.
34.	5/10	General Comment	Alan Johnson/ Hawaii substance Abuse Coalition	HSAC supports the requirement for states to identify unmet service needs and gaps for priority populations.	Thank you for your comment.
35.	5/10	General Comment	Alan Johnson/ Hawaii substance Abuse Coalition	HSAC supports the requirement for states to prioritize target populations as well as develop goals and performance indicators for each priority.	Thank you for your comment.
36.	5/10	General Comment	Lynn Frost on behalf of Tammy Peacock/ Alabama Dept. of Health	More direction relative to identification of best practices, efficient financing practices, etc. is an appropriate role for SAMHSA. However, adding more requirements to the very small amount of funding made available through the MH block grant does not seem proportionate or cost effective.	SAMHSA disagrees with this premise. Federal funds should be used to promote the adoption and diffusion of evidence-based practices and to leverage other resources
37.	5/10	General Comment	Lynn Frost on behalf of Tammy Peacock/ Alabama Dept. of Health	SAMHSA is to be applauded for its effort to align the SAPTBG with reform of the nation's health care system. The proposed 2012-2013 Block Grant application reflects bold steps toward implementation of a new era of services delivery for individuals who are at risk for or have substance use disorders.	Thank you for your comment.
38.	5/10	General Comment	Lynn Frost on behalf of Tammy Peacock/ Alabama Dept. of Health	SAMHSA's help will be needed to support the state change agents assigned with responsibility for leading the system reform efforts. Training opportunities, best practice resources, and support groups will be needed.	SAMHSA will provide technical assistance as identified through the Block Grant planning process.
39.	5/10	General Comment	Lynn Frost on behalf of Tammy Peacock/	SAMHSA must enforce adherence to the requirements set forth in the application. If SAMHSA cannot or	No response needed.

			Alabama Dept. of Health	chooses not to enforce adherence to any one of the application's required activities, please do not include such in the application.	
40.	5/10	General	Lynn Frost on behalf of Tammy Peacock/ Alabama Dept. of Health	Provide opportunities to enhance and grow our workforce to support efforts to monitor the quality of care provided in communities, and to insure the availability of an adequate number of skilled prevention and treatment professionals to fulfill the SAPTBG requirements and meet the needs of the newly insured in 2014.	Title XIX, Part B, Subpart II of the PHS Act (42 U.S.C. 300x-28(b)) requires States to provide continuing education to individuals in community- and faith-based organizations that are sub-recipients of SAPT Block Grant funds. SAMHSA also supports regional Centers for the Application of Prevention Technology (CAPT) and regional Addiction Technology Transfer Centers whose primary focus is the professional development of the substance use disorder (SUD) prevention, treatment, and recovery workforce.
41.	5/10	General Comment	Lynn Frost on behalf of Tammy Peacock/ Alabama Dept. of Health	Provide technical assistance opportunities that provide real hands on assistance. We have experienced far too much technical assistance that seems to benefit the contractor far more than the state.	SAMHSA will provide technical assistance as identified through the Block Grant planning process.
42.	5/10	General Comment	Lynn Frost on behalf of Tammy Peacock/ Alabama Dept. of Health	Insure the many opportunities exist for individuals who have been service recipients to have a meaningful voice in the development and implementation of SAPTBG services.	SAMHSA believes its Block Grant programs promote participation by people with mental and substance use disorders in

					shared decision making person- centered planning and self- direction of their services supports. In Section #D of the Application, SAMHSA requests states to summarize its policies on participant-directed services.
43.	5/10	General Comment	Lynn Frost on behalf of Tammy Peacock/ Alabama Dept. of Health	Give us time to develop the relationships called for in the application: military personnel, Native Americans, LGBTQ populations, primary care providers, etc. There is not enough time prior to the scheduled application's submission date to establish meaningful relationships. We don't want people to just come to a monthly or quarterly meeting so that we can document satisfaction of a requirement for inclusion. We want people to know that we care about their needs and sincerely want their active participation in our planning process. Such participation will help us better meet the needs of the populations they represent. This requires trust and that will take time.	SAMHSA believes that most States have established relationships with community- and faith-based non- governmental organizations providing services for SED adolescents, SMI adults, SUD adolescents, SUD adults as well as other State, county, and local government organizations involved in planning, carrying out, and evaluating activities associated with the delivery of correctional, housing, primary care, prevention, social, vocational, and recovery services and related supports for individuals, families, and communities impacted by mental illnesses, substance use disorders or co-occurring mental and substance use disorders SAMHSA encourages states to

					formalize such relationships, as appropriate, and to reach out to other key stakeholders involved in planning, purchasing, and/or evaluating health services.
44.	5/12	General Comment	Evelyn Frankford/ Frankford Consulting	Current state-of-the-art practices incorporate young adults speaking on their own behalf. It is recommended that the State Behavioral Health Advisory council include youth and young adults who have experienced the mental health/substance abuse and special education systems. They are a critical voice in planning for and implementing programs for this population. In addition, it is recommended that researchers be included on the Councils.	SAMHSA strongly encourages States to broaden and diversify the membership of its State Behavioral Health Advisory Council to include all stakeholders in the behavioral health community. SAMHSA agrees that all critical voices in planning and implementing programs should be represented.
45.	5/12	General Comment	Evelyn Frankford/ Frankford Consulting	Recommendation that SAMHSA should include a strong provision in the Block Grant that States should measure performance in terms of students' emotional and social development as these relate to education outcomes and possibly add others related to reductions in harsh discipline measures such as suspension and expulsion.	The Block Grant application provides flexibility for states to develop performance measures and establish priorities. States can develop a performance measure to assess educational outcomes through their dashboards.
46.	5/12	General Comment	Evelyn Frankford/ Frankford Consulting	It is recommended that the State Behavioral Health Advisory Council include, in addition to a representative of the State Education Agency, representatives of the behavioral health professions	SAMHSA strongly encourages States to broaden and diversify the membership of its State Behavioral Health Advisory

				who are 1) employed by a Local Education Agency and responsible for implementing such programs at the school district level and 2) part of a community or nongovernmental school mental health organization.	Council to include all stakeholders in the behavioral health community. SAMHSA agrees that all critical voices in planning and implementing programs should be represented.
47.	5/13	General Comment	Christie Lundy/Missouri Department of Mental Health	SAMHSA indicates that "the changes were made to better coincide with the majority of State's fiscal year calendars, which are from July 1 through June 30 th the following year." These changes do not help this state's fiscal and budgetary operations.	Current block grant timeframes coincide with only several State's fiscal year planning cycles. SAMHSA proposed change will better align the State and block grant planning period for almost all States.
48.	5/13	General	Christie Lundy/Missouri Department of Mental Health	SAMHSA significantly underestimates the time and resources that are required to respond to the SAPT Block Grant application. This state begins working on its SAPT Block Grant application in June in order to meet the current October 1 st due date – four months. It does not appear that the timeline for preparing the FY 2012 Block Grant will allow states to have sufficient time to prepare responses for sections that essentially remain unchanged from the prior year's application not to mention responses to new items that may require new computer programs and reports to be developed. SAMHSA intends for states to carefully consider its strategic planning and goals with regard to SAMHSA's new strategic initiatives but does not allow adequate time for states to do so. According to language in the federal register, the development of the goals, strategies, and performance indicators are required and not just requested.	SAMHSA has amended the block grant planning timeframes to provide States with more flexibility in the timeframes for submitting the plan.

49.	5/20/11	General Comment	Elyse Linn/Florida	Please provide the HCPCS codes for Table 5.	SAMHSA concurs and will provide the HCPCS/CPT codes for services in Table 5 to reflect generic HCPCS codes in July.
50.	5/20/11	General Comment	Brad Munger/ Wisconsin	In light of the confusion raised by the above statement contained on page 20000 of the Federal Register Notice, (see general question #) it would be helpful for SAMHSA to inform the States about its proposed plans, if any, to modify the current statutory language that would need to occur before the requested information could be required. It is suggested that current priorities, such as Military Families, not be specifically noted, as their priority status could change rather quickly, and statutory change does not occur quickly.	Thank you for your question. This question is requesting information on possible legislation and regulation and is not relevant to the FRN on the Block Grant application.
51.	5/20/11	General Comment	Brad Munger/ Wisconsin	A second area for comments solicited in the April 11, 2011 Federal Register Notice is: the accuracy of the agency's estimate of the burden of the proposed collection of information. There are several concerns here. First, by definition and simple logic, one would expect that since the States are being asked for additional information, over and above what is currently requested, as well as a restructured process that would consolidate two plans into one (at least in the first year) there is an additional burden. When comparing previous year's burden hours estimates with the 2012 estimate for both block grants there is an increase of about 56% for the mental health block grant and a decrease of 22% for the substance abuse block grant. It would be appreciated if SAMHSA would discuss these discrepancies and what they are	The estimate of burden was based on historical information and analysis of requested vs. required information.

				attributed to, with particular attention to the decrease in the substance abuse block grant.	
52.	5/20/11	General	Brad Munger/ Wisconsin	In the Federal Register Notice SAMHSA announced that it needs additional data from the States. When it states: "National dashboard indicators will be based on outcome and performance measures that will be developed in FY 2011." For over 17 years, and beginning with the Government Performance and Results Act (GPRA) of 1993, SAMHSA has requested more and more data from the States under the rubric of the need for accountability. During those 17 years the States have been involved in data collection and the development of performance and outcome indicators related to GPRA, the Office of Management and Budget PART Review, the Uniform Reporting System, the development of National Outcome Measures (NOM's), and the Data Infrastructure Grants (DIG's). The States have responded by modifying their data systems, collaborating with SAMHSA to define and ensure consistency of the data elements, and subsequently have supplied a considerable amount of data in the tables contained in the Implementation Reports. It would be helpful to know what SAMHSA has done, if anything, (other than compile it) with the data that is currently being reported. Further, and in line with OMB questions about the necessity of data collection for the "proper performance of the functions of the agency" and whether the data has "practical utility", it is requested that SAMHSA address the apparent inadequacies of	Data collected by SAMHSA is used to assess compliance, monitor programs and facilitate performance measurement. SAMHSA has a Strategic Initiative Data, Outcomes and Quality that informs policy and measures program impact, leading to improved quality of services and outcomes for individuals, families, and communities. In addition, SAMHSA is reviewing current outcome and performance measures against HHS National Quality Strategy. http://www.samhsa.gov/dataOutcomes/
				the current data they receive in helping them manage	

				their program to justify the need for additional data.	
53.	5/20/11	General Comments	Barbara Leadholm/ Massachusetts Department of Mental Health	Emphasis on prevention and recovery-based services. It has been difficult to reconcile the values of recovery-based services with requirements that mental health block grant funds be utilized only for individuals meeting the federal definitions of adults with serious mental illness and children with serious emotional disturbance. While these requirements are consistent with how DMH defines its priority population, the funding restriction has prevented DMH from using block grant funds for innovative programming and interventions that address prevention, recovery and resiliency.	The state may use the Block Grant funds to develop programming that addresses recovery for the populations that are included in its planning application. However, resiliency and prevention programming funded by Block Grants has to be directly related to adults with SMI and children with SED although the state can use other funds to develop more general programming in these areas.
54.	5/20/11	General Comments	Barbara Leadholm/ Massachusetts Department of Mental Health	Attention to accountability for improving outcomes and experiences of people served. DMH is encouraged by the statement that "SAMHSA will create a flexible, deliberate, and careful method of identifying meaningful and appropriate measures" (Application, page 13). DMH's experience with the National Outcome Measures (NOMS) has demonstrated that outcome measurement is essential, but that it is extraordinarily difficult to develop one unified system that is sensitive and relevant enough to measure outcomes and experiences of unique service systems. DMH appreciates the opportunity to develop state specific priorities, objectives, strategies, and performance indicators.	Thank you for your comments.
55.	5/20/11	General Comments	Barbara Leadholm/ Massachusetts Department of Mental Health	Shift from the current criteria-based application to a focus on developing a behavioral health assessment and plan and addressing SAMHSA's Strategic Initiatives. Over time, new requirements were layered	Thank you for your comments.

				over the existing format, creating a cumbersome document that was redundant in many parts and was not readable or friendly to many of DMH's stakeholders, including the Planning Council.	
56.	5/20/11	General Comments	Barbara Leadholm/ Massachusetts Department of Mental Health	Flexibility provided in phasing in new planning and reporting requirements. DMH is encouraged by the statement in the Federal Register that "SAMHSA intends to approach this process with the goal of assisting States and Territories in setting a clear direction for system improvements over time, rather than a simple effort to seek compliance with minimal requirements" (Federal Register, page 20000). DMH looks forward to partnering with SAMHSA to identify and demonstrate system improvements that are based upon the needs of MA residents, built upon the current strengths and planning efforts within the service system, and address the unique challenges and opportunities that exist in the state.	Thank you for your comments.
57.	5/20/11	General Comments	Barbara Leadholm/ Massachusetts Department of Mental Health	Strengthened expectations for involving the Planning Council, including people with lived experience and their families in the development of the behavioral health assessment and plan. DMH has enjoyed a productive and collaborative relationship with its Planning Council. The Council and its subcommittees play significant roles in identifying needs, recommending system improvements, assisting in their implementation, and monitoring ongoing efforts.	Thank you for your comment
58.	5/20/11	General Comments	Barbara Leadholm/ Massachusetts Department of Mental Health	After careful review of the Federal Register, FY2012-2013 Block Grant Application and FY2012 Block Grant Reporting Section, DMH respectfully submits the following comments and recommendations:	Title XIX, Part B, Subpart III of the PHS requires States to annually submit a report describing the use of CMHS and SAPT Block Grant funds, In prior

T	T
Develop alternative approaches to fiscal reporting	years, States have submitted
	reports describing the use of
Remove requirement that states provide letters of	CMHS Block Grant funds on or
support.	before December 1 each year
	and the timer period of the
Reduce the data reporting requirements related to the	report was the State fiscal year
preparation of the URS table and Client-Level	immediately preceding the
Reporting Data Initiative	Federal fiscal year for which
	States submitted a plan for the
Broaden planning process beyond targeted	obligation and expenditure of
populations	CMHS Block Grant funds. In
	prior years, states have
	submitted reports describing the
	use of SAPT Block Grant funds
	on or before October 1 of the
	Federal fiscal year for States
	submitted a plan for the
	obligation and expenditure of
	SAPT Block Grant funds and time
	period of the report was the
	Federal fiscal year three years
	prior to the Federal fiscal year
	for which States applied for
	funds. SAMHSA has realigned to
	CMHS and SAPT Block Grant
	reports to cover the same time
	period, i.e., the State fiscal year
	immediately proceeding the
	Federal fiscal year for which
	States are applying for CMHS
	and SAPT Block Grant funds.
	SAMHSA recognizes that such

					reports may include Federal funds from multiple awards; therefore, making determinations of compliance with certain statutory set-asides will be subject to confirmation through the audit requirements described in OMB Circular A-133.
					SAMHSA does not agree with the commenter requesting to have the request for letters of support from other State partners removed from the application. SAMHSA believes this support is critical for States to plan and implement their behavioral health systems, but will allow States to provide either letters of support or memoranda of understanding.
59.	5/20/11	General Comments	Barbara Leadholm/ Massachusetts Department of Mental Health	DMH requests that the following information be clarified in the final version of the Application, Reporting Section or other supporting documentation: Further guidance on expectations for mental health block grant planning and spending on prevention. Confirmation that the implementation report due on	John Morrow on MH Guidance SAMHSA can confirm that nothing has been changed on the implementation reports. States have flexibility to establish priorities and
				12/1/11 follows the instructions contained in the	determine what is appropriate

				FY2009-2011 Community Mental Health Services Block Grant Application Guidance and Instructions. Additional instructions on determining the specific populations that should be addressed in the mental health block grant plan. The instructions state that "the plan should address the following populations as appropriate for each Block Grant." Further definition of "as appropriate" is needed. Clarification on required versus optional sections of the plan and tables.	for either Block Grant. The Proposed Block Grant Application has been revised to address issues regarding required versus requested populations and information.
60.	5/20/11	General Comments	Brad Munger/ Wisconsin	The major change planned by SAMHSA as evidenced in the MHBG application and guidance, as well as other SAMHSA documents, is that SAMHSA wants to change its target population from adults with Serious Mental Illness (SMI) and children with Serious Emotional Disturbance (SED) to the entire US population. Since the States were not consulted on this issue, it would be helpful to know SAMSHA's rationale for this change particularly, when the mental health block grant funds, while appreciated by the States, are a small portion of the funding actually necessary for States to spend for persons with mental illness. In addition, in light of all the changes envisioned by SAMHSA in this document, it is assumed that SAMHSA is modifying (or has modified) its mission and role in the delivery of mental health care services. Please inform the States of SAMHSA's latest thoughts on its role and mission. Lastly, please discuss the role SAMHSA played in	Title XIX, Part B, Subpart of the PHS Act identifies targeted populations such as seriously emotionally disturbed (SED) adolescents, seriously mentally ill (SMI) adults and Title XIX, Part B, Subpart II of the PHS Act identifies targeted populations such as substance using pregnant women and women with dependent children and intravenous drug users. While these targeted populations will continue to be the priority populations served with CMHS and SAPT Block Grant funds, respectively, SAMHSA believes that States' plans should include

				shaping health care reform, what policies it was able to influence, and what role it expects to play in the future.	a description of how State mental health authorities and State substance use authorities collaborate and coordination with other State, county, and local government authorities to address correctional, housing, primary care, prevention, social, vocational, and recovery services and related supports for individuals and families among other historically under-served populations such as military families, LGBT, et al.
61.	5/23/11	General Comment	Alessandra Ross/ California Dept. of Public Health, Injection Drug Use Policy and Program Coordinator	Some (providers) question the use of the term "demonstration syringe services program" as potentially confusing: consensus in the public health community is that syringe exchange programs have been sufficiently piloted, and to use this term may imply that only "start up" programs will be qualified to apply for funding. Although the term "demonstration" echoes the language found in federal statute, such echoing is not followed elsewhere in HHS policy – for instance, the term syringe services program, and its definition, is not in statute.	Title XIX, Part B, Subpart II of the PHS Act (42 U.S.C. 300x-31(a)(1) (F)) prohibited states from using SAPT Block Grant funds to provide hypodermic needles or syringes to individuals for the purpose of injecting illicit drugs. The term "demonstration" appears in statutory language that first appeared in the Health Omnibus Extensions Act of 1988 and was incorporated into the ADAMHA Reorganization Act of 1992 (P.L. 102-321). The Surgeon General of the United States has determined that a sufficient body of evidence

exists in the empirical literature has demonstrated that syringe services programs (also known as needle exchange program) provide an opportunity to engage individuals whose substance use disorder (SUD) includes the injection of licit and/or illicit drugs and offer such individuals with information regarding the health risks associated with injection drug use including, but not limited to, the transmission of the human immunodeficiency virus (HIV) and hepatitis. Therefore, during FY 2010 SAMHSA, in collaboration with the Centers for Disease Control and Prevention, developed syringe services program (SSP) guidance regarding the use of Federal funds for certain discretionary grant programs. The term "syringe services program" was introduced in recognition of the array of education, intervention and risk-reduction services provided by community- and faith-based organizations providing such	T T	
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		services identified collectively as

					needle exchange programs. In FY 2011, SAMHSA's Center for Substance Abuse Treatment developed guidance for States who may want to use SAPT Block Grant funds for SSPs. SAMHSA submitted its SSP guidance to the Office of the Surgeon General of the United States earlier this year and is awaiting approval. Upon receipt of approval, SAMHSA will disseminate to the States the SSP guidance applicable to SAPT Block Grant funds. The proposed report format for the SAPT Block Grant program includes three report tables (13a, 13b, and 13c) designed to collect data sufficient to fulfill the requirement of a demonstration SSP.
62.	5/23/11	General Comment - Maintenan ce of Effort	Molly Cisco/ Consumer Run Organizations, Wisconsin	We are extremely concerned that Consumer Run Organizations and State-wide Networks have been completely overlooked in this new application. Without Block Grant funding, these organizations will be forced to close their doors. Shouldn't the Block grant application AT LEAST provide maintenance of effort clause?? Put specific language in the Mental Health Grant Application that strengthens the services we provide.	The proposed Block Grant plan encourages States to incorporate peer-to-peer, recovery support services in its continuum of services for individuals and families impacted by mental and substance use disorders. This is the first block grant application

				Listen to the consumers in Wisconsin (and all over the nation) when we tell you that Consumer Run Services and peer support plays a vital role in our recovery. And back that up with specific directives to the states to adequately fund these programs.	that has identified consumer operated services, recovery and peer services as allowable services to be funded with block grant funds.
63.	5/24/11	General Comment	Peggy Nikkel/ UPLIFT, Wyoming	I would really like to see an emphasis on services for children and adolescents or possibly a percentage requirement for use of block grant funds to support projects and services effecting this population.	Title XIX, Part B, Subpart 1 of the PHS Act requires States to maintain a certain level of expenditures for SED adolescents; however, Title XIX, Part B, Subpart II of the PHS Act contains no such requirement for youth with SUD. In addition, the block grant application requests States include youth with SUD in their needs assessment and planning process.
64.	5/24/11	General Comments	Sarah Ruiz/ Massachusetts Dept. of Public Health, Bureau of Substance Abuse Services	Clarify instructions related to what is required vs. recommended: Considering that the goal of federal block grants is to provide flexibility to the states to address their unique needs, our concern is with the large number of target populations, strategies and priority areas that are included in the application. It seems contrary to the goal of achieving a data driven service system to impose 16 target populations (in section A), 10 service-specific strategies & 8 systems-improvement strategies (in section B), and 8 additional priority areas (in sections D-M). Many of these target populations,	The block grant provides guidance to States on other populations that States may want to consider when performing their needs assessment. We believe that other populations such as LBGTQ populations and returning veterans should be considered by States as they plan for the block grant expenditures. Much has

		Comments	Massachusetts	We are also concerned that Table 5 in the State Plan	and reporting sections requests
65.	5/24/11	General	Sarah Ruiz/	Do not separate SAPT Block Grant funding:	Table 5 of the Block Grant plan
65.	5/24/11		·		•
				strategies and priority areas are quite large in scope. As the application is written now, states will be	changes in the twenty years since the initial block grant was

			Dept. of Public Health, Bureau of Substance Abuse Services	asks for planned expenditures by service type for SAPT Block Grant expenditures only. Similarly, Table 5 in the annual report asks for numbers of individuals served with SAPT BG dollars, the number of units of service paid by the Block Grant, and Block Grant expenditures by service type. Separating out Block Grant expenditures will not provide an accurate picture of our service system.	that States that have the ability to provide this information do so in these Tables. The intent is to obtain more accurate expenditures of the types of services provided and the number of people served.
66.	5/24/11	General Comments	Sarah Ruiz/ Massachusetts Dept. of Public Health, Bureau of Substance Abuse Services	Remove Requirement for Letters of Support: The Bureau of Substance Abuse Services engages in active collaboration with our state partners thanks to the Governor's Inter-Agency Council on Substance Abuse and Prevention and the Inter-Agency Working Group and the Youth Inter-Agency Working Group. All of these groups meet regularly and enjoy active participation. Requesting letters of support from each of these partners is extremely time-consuming and burdensome to the states.	SAMHSA does not agree with the commenter requesting to have the request for letters of support from other State partners removed from the application. SAMHSA believes this support is critical for States to plan and implement their behavioral health systems, but will allow States to provide either letters of support or memoranda of understanding.
67.	5/25/11	General Comment	Sharon Smith/ MOMSTELL Inc., Pennsylvania	Let me commend the Substance Abuse and Mental Health Services Administration (SAMHSA) for identifying youth with substance use disorders as an important population with evolving needs for the first time. I also want to state my support for your addition of family involvement in the draft	Thank you for your comment

			language of the Block Grant Application. I was pleased to see that a recommendation	
			was made to States to actually describe their effort to actively engage individuals and families in developing, implementing, and monitoring the State Substance Abuse and Mental Health systems.	
			I would like to commend the application's focus on the provision of recovery support services and a combined plan for the provision of services for individuals with co-occurring mental and substance use disorders I will look forward to seeing substance abuse/ co-occurring disorder family involvement and adolescent issues included in the final version of the block grant language.	
5/25/11	General Comment	Macy Brown/ Thurston County Family Court, Washington State	I have reviewed the new priorities and am supportive of the direction that the SAMHSA grants are going in. This is thoughtful and very useful use of federal monies.	Thank you for your comment
5/25/11	General Comments	Stacey Larson on behalf of Linda Rosenberg / NCCBH	We agree that the block grant funds should be directed toward four purposes (outlined in the application) We appreciate that SAMHSA is giving States the option of applying for both Grants separately or using one combined application form	Thank you for your comment
		Comment 5/25/11 General	Comment Thurston County Family Court, Washington State 5/25/11 General Stacey Larson on Comments behalf of Linda	Mental Health systems. I would like to commend the application's focus on the provision of recovery support services and a combined plan for the provision of services for individuals with co-occurring mental and substance use disorders I will look forward to seeing substance abuse/ co-occurring disorder family involvement and adolescent issues included in the final version of the block grant language. 5/25/11 General Comment Thurston County Family Court, Washington State 5/25/11 General Comments Stacey Larson on behalf of Linda Rosenberg / NCCBH We appreciate that SAMHSA is giving States the option of applying for both Grants separately or using

				would request that States provide information	
				regarding the use of the Block Grant dollars and that	
				states will be asked to project their expenditures for	
				treatment and support services under the MHSBG and	
				SAPTBG	
				In addition, allowing states to submit two-year plans	
				rather than a new plan annually will have an impact on	
				how SMHAs and SSAs use their limited resources. We	
				appreciate that SAMHSA will provide consultation to	
				the States as this process moves forward and during the next two years in preparation for the influx of	
				individuals currently uninsured who will have the	
				opportunity to enroll in Medicaid or private health	
				insurance.	
70.	5/26/11	General	Michelle Dirst on	We recognize the request for information on how	The block grant application has
		Comment	behalf of Robert	States are addressing these new populations and areas	been changed to identify which
			Morrison/ NASDAD	is optional. We urge that this request be clearly	sections and populations are
				labeled in the application as optional. We also urge	required versus requested. The
				SAMHSA to indicate that the State's award will not be	populations that the proposed
				implicated in any way should the section not be	application has included provide
				completed. Further, if a State completes the provision,	States with additional flexibility
				we recommend that States be given the flexibility to	and does not preclude States
				identify their own priority populations beyond that required in statute.	from identifying additional populations that are not listed in
				required in statute.	this section.
					uns section.
71.	5/26/11	General	Michelle Dirst on	We recommend that SAMHSA immediately work with	SAMHSA concurs and will work
	1			Chata and atom and bear and the standard NACADAD	and the three Charles CCA are and CAALIA.
		Comment	behalf of Robert	State substance abuse agencies through NASADAD on	with the State SSAs and SMHAs
		Comment	behalf of Robert Morrison/ NASDAD	issues pertaining to data collection and reporting in	to develop strategies to asses

72.	5/26/11	General Comment	Michelle Dirst on behalf of Robert	important to note that SAMHSA would have to immediately provide technical assistance to help move certain States to meet this goal. We also recommend that the final application request States to identify barriers to moving to an encounter/claims based approach and identify their technical assistance needs. As you know, all States are at very different places with coverage of substance abuse services, use of	technology issues. SAMHSA recognizes the unique political and social environment
		Comment	Morrison/ NASDAD	Medicaid and how the SAPT Block Grant is used to fill the gaps. There is also considerable variance in how aggressively States are preparing for health care recover; this variance is politically driven and in most cases beyond control of State substance abuse agency directors. Changes to the new application should allow for this range of differences and the goals that each state has for health care reform. We believe the application should bolster the ability of States to use resources to assist them to making the transitions that are unique to their own financing structure.	in which each State mental health authority and state substance abuse authority as well as the economic and social challenges confronting States' chief executive officers. SAMHSA believes that the proposed plan and report provides States with the flexibility to design its mental and substance use disorder services delivery systems in the context of its State-specific environment.
73.	5/26/11	General Comment	Michelle Dirst on behalf of Robert Morrison/ NASDAD	Concerning the use of the term "States", we recommend specific references to the term State substance abuse agency. We also seek assistance from SAMHSA to ensure that SSAs have a strong leadership role in federal ACA dollars from sources other than SAMHSA [e.g. Health Resource and Services Administration (HRSA)] and not currently going through SSA.	SAMHSA has utilized the term "States" to refer to the State mental health authorities and State substance abuse authorities. Title XIX, Part B, Subpart III of the PHS Act defines the term "States" as the fifty States and the term "Territories" as American

					Samoa, Commonwealth of the Northern Marianas Islands (CNMI, Commonwealth of Puerto Rico, District of Columbia, Federated States of Micronesia (FSM), Guam, Republic of the Marshall Islands (RMI), Republic of Palau (RP), and the U.S. Virgin Islands. With respect to the SAPT Block Grant, the term "principal agency of a State" is defined in regulation as the "single State agency for substance abuse."
74.	5/26/11	General Comment	Michelle Dirst on behalf of Robert Morrison/ NASDAD	Clearly identify in the final SAPT Block Grant Application what new sections are required and what sections are optional. If a State is unable to submit optional information, SAMHSA should include direction on how a State is to respond.	Please see response to Comment 70 offered by the same commenter.
75.	5/26/11	General Comment	Michelle Dirst on behalf of Robert Morrison/ NASDAD	A clear set of consistent criterion must be included in the final document for both State substance abuse agencies and SAMHSA project officers to use when submitting and evaluating the application.	Previous block grant application did not include this criterion. SAMHSA will continue to work closely with States once the criterion is completed. SAMHSA will continue to engage States in discussions regarding the results of review and the submission and evaluations of the Block Grant application.
76.	5/26/11	General Comment -	Michelle Dirst on behalf of Robert	Joint planning on prevention - We recommend that work first move forward to establish common	SAMHSA concurs, and while we are developing common

		service definitions	Morrison/ NASDAD	definitions pertaining to substance abuse prevention, mental health promotion, and other relevant and related terms. We recommend working through NASADA on this topic.	definitions, States are encouraged to talk with each other and begin a joint dialogue.
77.	5/26/11	General Comment – service definitions	Michelle Dirst on behalf of Robert Morrison/ NASDAD	Joint planning on recovery – We recommend work to define "recovery services." In particular, we recommend that SAMHSA work with NASDAD to draft a definition within the next 60 to 90 days. Recovery services for populations with substance use disorders and recovery services for those with mental illness will be identical in some cases but in others may be quite different. In addition, a revised SAPT Block Grant application could ask SSAs to identify recovery services funded by SAPT Block Grant as a starting point using common definitions/categories.	Some of the terms in SAMHSA's draft publication "A description of a Good and Modern Addictions and Mental Health Services Delivery System" have subsequently been defined in an effort to provide the Center for Medicaid and Medicare Services with a description of recovery support services that should be considered for coverage under the proposed benchmark plans under Medicaid and the essential plans to be offered by States' health insurance plans. Further, SAMHSA's Center for Substance Abuse Treatment and Center for Mental Health Services will be providing a description of how such services have been incorporated into states mental and substance use disorder services delivery systems.
78.	5/28	General Comment	Donna Espinola- Rooney/ General Public	I support the addition of family involvement in the draft language of the Block Grant Application. And encourage the inclusion of family member input I am	SAMHSA encourages States to include a description of plans for family engagement in their

79.	6/1	General Comment – SAPT Funding	Constance Peters on behalf of Vicker Digravio/ Association for Behavioral Healthcare	pleased to see that SAMHSA is requesting that States submit plans on how they consulted with the Tribes and would like to see that language also include a plan for actively engaging families at the tribal level. Do not separate SAPT Block Grant funding: We are also concerned that Table 5 in the State Plan asks for planned expenditures by service type for SAPT Block Grant expenditures only. Similarly, Table 5 in the annual report asks for numbers of individuals served with SAPT Block Grant dollars, the number of units of service paid by the Block Grant, and Block Grant expenditures by service type. Separating out Block Grant expenditures will not provide an accurate picture of our service system.	response to Tribal consultation. See response to Question 65.
80.	6/1	General Comment - MHBG	Constance Peters on behalf of Vicker Digravio/ Association for Behavioral Healthcare	Emphasis on prevention and recovery-based services. It has been difficult to reconcile the values of recovery-based services with requirements that mental health block grant funds be utilized only for individuals meeting the federal definitions of adults with serious mental illness and children with serious emotional disturbance. While these requirements are consistent with how Massachusetts defines its priority population, the funding restriction has prevented our state Department of Mental Health (DMH) from using block grant funds for innovative programming and interventions that address prevention, recovery and resiliency.	While the priority populations for the MHBG are still adults with serious mental illness and children with a serious emotional disturbance, SAMHSA is asking that States review the needs of other populations for their needs assessment. SAMHSA will allow States to expend block grant funds for populations with mental health needs in addition to the priority populations.
81.	6/1	General Comment -	Constance Peters on behalf of Vicker	Attention to accountability for improving outcomes and experiences of people served. ABH is encouraged	Thank you for your comment

		MHBG	Digravio/ Association for Behavioral Healthcare	by the statement that "SAMHSA will create a flexible, deliberate, and careful method of identifying meaningful and appropriate measures" (Application, page 13). Our experience with the National Outcome Measures (NOMS) has demonstrated that outcome measurement is essential, but that it is extraordinarily difficult to develop a unified system that is sensitive and relevant enough to measure outcomes and experiences of unique service systems. We appreciate the opportunity to develop state specific priorities, objectives, strategies, and performance indicators.	
82.	6/1	General Comment - MHBG	Constance Peters on behalf of Vicker Digravio/ Association for Behavioral Healthcare	Shift from the current criteria-based application to a focus on developing a behavioral health assessment and plan and addressing SAMHSA's Strategic Initiatives. Over time, new requirements were layered over the existing format, creating a cumbersome document that was redundant in many parts and was not readable or friendly to many stakeholders, including the DMH Planning Council.	Thank you for your comment
83.	6/1	General Comment - MHBG	Constance Peters on behalf of Vicker Digravio/ Association for Behavioral Healthcare	Flexibility provided in phasing in new planning and reporting requirements. ABH is encouraged by the statement in the Federal Register that "SAMHSA intends to approach this process with the goal of assisting States and Territories in setting a clear direction for system improvements over time, rather than a simple effort to seek compliance with minimal requirements" (Federal Register, page 20000). Massachusetts looks forward to partnering with SAMHSA to identify and demonstrate system improvements that are based upon the needs of our	Thank you for your comment

				residents, built upon the current strengths and planning efforts within the service system, and address the unique challenges and opportunities that exist in the state.	
84.	6/1	General Comment - MHBG	Constance Peters on behalf of Vicker Digravio/ Association for Behavioral Healthcare	Strengthened expectations for involving the Planning Council, including people with lived experience and their families in the development of the behavioral health assessment and plan. Our state DMH has enjoyed a productive and collaborative relationship with its Planning Council. The Council and its subcommittees play significant roles in identifying needs, recommending system improvements, assisting in their implementation, and monitoring ongoing efforts.	Thank you for your comment
85.	6/1	General Comment	Constance Peters on behalf of Vicker Digravio/ Association for Behavioral Healthcare	Recommendation: Develop alternative approaches to fiscal reporting: Reduce the data reporting requirements related to the preparation of the URS table and Client-Level Reporting Data Initiative •Broaden planning process beyond targeted populations:	Title XIX, Part B, Subpart III of the PHS requires States to annually submit a report describing the use of CMHS and SAPT Block Grant funds, In prior years, States have submitted reports describing the use of CMHS Block Grant funds on or before December 1 each year and the timer period of the report was the State fiscal year immediately preceding the Federal fiscal year for which States submitted a plan for the obligation and expenditure of CMHS Block Grant funds. In prior years, states have

86. 5,	/27/201	Conoral	Linda Warden/	Livented to state my support for your addition of	submitted reports describing the use of SAPT Block Grant funds on or before October 1 of the Federal fiscal year for States submitted a plan for the obligation and expenditure of SAPT Block Grant funds and time period of the report was the Federal fiscal year three years prior to the Federal fiscal year for which States applied for funds. SAMHSA has realigned to CMHS and SAPT Block Grant reports to cover the same time period, i.e., the State fiscal year immediately proceeding the Federal fiscal year for which States are applying for CMHS and SAPT Block Grant funds. SAMHSA recognizes that such reports may include Federal funds from multiple awards; therefore, making determinations of compliance with certain statutory set-asides will be subject to confirmation through the audit requirements described in OMB Circular A-133.
86. 5	/27/201	General	Linua vvarden/	I wanted to state my support for your addition of	Thank you for your comment

				provision of recovery support services, and a combined plan for the provision of services for individuals with co-occurring mental and substance use disorders in the draft language of the Block Grant Application.	
87.	5/27/201 1	General Comment	Kathy Winzig/ General Public	I wanted to state my support for your addition of family involvement, tribal consultation, a focus on the provision of recovery support services, and a combined plan for the provision of services for individuals with co-occurring mental and substance use disorders in the draft language of the Block Grant Application.	Thank you for your comment
88.	5/27/201	General Comment	Shannon CrossBear/ Strongheart Resource Development	I wanted to state my support for your addition of family involvement, tribal consultation, a focus on the provision of recovery support services, and a combined plan for the provision of services for individuals with co-occurring mental and substance use disorders in the draft language of the Block Grant Application.	Thank you for your comment
89.	5/27/201	General Comment	Clint Hasting/ Cherokee Nation Washington Office	SAMHSA must use all available resources and influence to ensure the needs of Tribal populations are addressed in a manner that fulfills the federal trust responsibility to Tribal Nations. If you want to effectively serve the American Indian/Alaska Native population, you must go through Tribal systems, which could include Tribally operated health programs, the Indian Health Service, or urban Indian health sites. Systems consistently under-represent and	Thank you for your comment. SAMHSA will take this comments under consideration for planning purposes and to provide technical assistance to States regarding Tribal Consultation.
i				misrepresent American Indians leading to greater	

				disparities. The best mechanism for addressing inaccurate data is to support Tribal health systems that can feed accurate information into existing data collection systems and/or create more accurate measurement at the local level. An alternative to direct funding to Tribal Nations, SAMHSA could utilize its authority as the coordinator of MCH block grant funds to strongly urge states with federally recognized Tribal National located within state borders to provide direct funding to Tribal Nations for certain substance abuse and mental health activities. If Tribal Nations were able to access block grant funds, Tribal nations would be better suited to implement and access additional grant opportunities.	
90.	5/28/201	General Comment	Donna Espinola- Rooney/ General Public	I wanted to state my support for your addition of family involvement, tribal consultation, a focus on the provision of recovery support services, and a combined plan for the provision of services for individuals with co-occurring mental and substance use disorders in the draft language of the Block Grant	Thank you for your comment
91.	5/30/201	General Comment	Annie Unpingco/ I Famagu'on-ta/ Dept. of Mental Health and Substance Abuse	Application. Concern about the transitioning of youth clients to the adult side of mental health and the lack of coordinated services resulting in many youth/young adults not getting the mental health and related services they need.	Thank you for your comment
92.	5/31/201 1	General Comment	Dawn Mitchell/ Tennessee Voices	I wanted to state my support for your addition of family involvement, tribal consultation, a focus on the	Thank you for your comment

			for Children	provision of recovery support services, and a combined plan for the provision of services for individuals with co-occurring mental and substance use disorders in the draft language of the Block Grant Application.	
93.	5/31/201	General Comment	Joyce Soularie/ Arkansas Division of Behavioral Health Services	I wanted to state my support for your addition of family involvement, tribal consultation, a focus on the provision of recovery support services, and a combined plan for the provision of services for individuals with co-occurring mental and substance use disorders in the draft language of the Block Grant Application.	Thank you for your comment
94.	5/31/201	General Comment	Wendy Luckenbill/ Mental Health Association, Pennsylvania	The language does not consistently include language that encompasses children and youth. Rather in places it clearly refers to solely adult populations.	Thank you for your comment
95.		General Comment	Gary Harmon/ Odyssey House, Inc., New York	I wanted to state my support for your addition of family involvement, tribal consultation, a focus on the provision of recovery support services, and a combined plan for the provision of services for individuals with co-occurring mental and substance use disorders in the draft language of the Block Grant	Thank you for your comment
96.	6/1/2011	General Comment	Heather Harlan/ Phoenix Programs, Inc., Missouri	Application. I wanted to state my support for your addition of family involvement, tribal consultation, a focus on the provision of recovery support services, and a combined plan for the provision of services for individuals with co-occurring mental and substance use disorders in the draft language of the Block Grant Application.	Thank you for your comment
97.	6/1/2011	General Comment	Barbara Burks/ General Public	I wanted to state my support for your addition of family involvement, tribal consultation, a focus on the	Thank you for your comment

				provision of recovery support services, and a combined plan for the provision of services for individuals with co-occurring mental and substance use disorders in the draft language of the Block Grant Application.	
98.	6/1/2011	General Comment	Liz Getter/ Ohio Dept. of Mental Health	I wanted to state my support for your addition of family involvement, tribal consultation, a focus on the provision of recovery support services, and a combined plan for the provision of services for individuals with co-occurring mental and substance use disorders in the draft language of the Block Grant Application.	Thank you for your comment
99.	6/1/2011	General Comment	Maura Casey/ CaseyInk, LLC	I'm writing in support of the proposed move to encourage states to set aside money from block grant for adolescent substance abuse, and name adolescents as a priority population with regards to block grant funding.	Thank you for your comment
100.	6/1/2011	General Comment	Miriam Patterson/ Portland State University	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
101.	6/1/2011	General Comment	Judy Kay/ Johnson County Mental Health Center, Kansas	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
102.	6/1/2011	General Comment	James Simone/ Riverside Medical Center, Illinois	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
103.	6/1/2011	General Comment	Melissa Sienna/ University of	I'm writing to applaud SAMHSA on targeting adolescents, a subpopulation that is often overlooked	Thank you for your comment

			Connecticut Health Center	and/or poorly served by the substance abuse and mental health treatment systems, and urge that SAMHSA also considers a set-aside for adolescent treatment in the block grant.	
104.	6/1/2011	General Comment	Heather Gotham/ University of Missouri	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
105.	6/1/2011	General Comment	Frank Couch/ SAMA Foundation, Washington State	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
106.	6/1/2011	General Comment	Judith Francis/ Pima Prevention Partnership, Arizona	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
107.	6/1/2011	General Comment	Bridget Ruiz/ University of Arizona	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
108.	6/1/2011	General Comment	Angie Harmon/ Advanced Behavioral Health, Connecticut	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
109.	6/1/2011	General Comment	Michael Dennis/ Chestnut Health Systems, Illinois	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
110.	6/1/2011	General Comment	Daron Copp/ Chestnut health Systems	I understand that SAMHSA's proposal to include adolescents with substance abuse problems as a priority in its unified application for substance abuse	Thank you for your comment

				and mental health block grants have met some	
				resistance I want to tell you how important this	
				funding is to the families that walk through our doors	
				each day. Thank you for your continuing efforts to	
				make these types of services a priority.	
111.	6/1/2011	General	Jennifer Fan/		FAQ section is posted on the
		Comment	USPHS/SAMHSA		following site:
				Can you please let me know what the time frame is to get everything in.	http://samhsa.gov/grants/ blockgrant
112.		General Comment	Jim Vollendroff/ King County Public Health Department,		Thank you for your comment
			Washington State	I'm writing to command CANALICA on targeting	
	6/1/2011		wasnington state	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked	
	6/1/2011				
113.		General	Cindy Dayya /	and/or poorly served by the general system of care.	The mile year for years against and
113.			Cindy Rowe/	Una visiting to company CANALICA on towarding	Thank you for your comment
	6/1/2011	Comment	University of Miami	I'm writing to commend SAMHSA on targeting	
	6/1/2011			adolescents, a subpopulation that is often overlooked	
444		General	Disk and Make and	and/or poorly served by the general system of care.	The surface of the su
114.			Richard Watson/	Harrist A. A. CANALICA and base of	Thank you for your comment
		Comment	DC Recovery	I'm writing to commend SAMHSA on targeting	
	6/2/2011		Community Alliance	adolescents, a subpopulation that is often overlooked	
445			0 1 1 11 11 11 1	and/or poorly served by the general system of care.	
115.		General	Gurminder Hothi/	I'm writing to commend SAMHSA on targeting	Thank you for your comment
		Comment	General Public	adolescents, a subpopulation that is often overlooked	
_	6/2/2011			and/or poorly served by the general system of care.	
116.		General	Patricia Murphy/	I'm writing to commend SAMHSA on targeting	Thank you for your comment
		Comment	General Public	adolescents, a subpopulation that is often overlooked	
	6/2/2011			and/or poorly served by the general system of care.	
117.	6/2/2011	General	Susan Broderick/	I'm writing in support of the proposed move to	Thank you for your comment
		Comment	Georgetown	encourage states to set aside money from block grant	

			University	for adolescent substance abuse, and name adolescents as a priority population with regards to block grant funding.	
118.	6/2/2011	General Comment	Katherine Ketcham/ General Public	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
119.	6/2/2011	General Comment	Ken Hartman/ Kentucky Dept. of Juvenile Justice	Substance abuse research, prevention, and treatment for juveniles needs to remain h high on the priority list for funding. The cost savings of early treatment both monetarily and socially cannot be stressed enough.	Thank you for your comment
120.	6/2/2011	General Comment	Marc Fishman/ John Hopkins University	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
121.	6/2/2011	General Comment	Melissa Weiksnar/ General Public	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
122.	6/2/2011	General Comment	Martin Williams/ General Public	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
123.	6/2/2011	General Comment	Bud Lepage/ General Public	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
124.	6/2/2011	General Comment	Sally Stevens/ University of Arizona	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
125.	6/2/2011	General Comment	Ashli Sheidow/ Medical University of South Carolina	There really needs to be a focus on programs that are developmentally appropriate for adolescents and to involve families in planning, implementation, and	Thank you for your comment

				monitoring of adolescent care.	
126.		General Comment	Josie Daniels/ Recovery Centers of King County	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked	Thank you for your comment
	6/2/2011			and/or poorly served by the general system of care.	
127.	6/2/2011	General Comment	Victor Alfandre/ General Public	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
128.	6/2/2011	General Comment	Wendy Philpct/ Native Health, Arizona	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
129.	6/2/2011	General Comment	Terri Shelton/ University of North Carolina	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
130.	6/2/2011	General Comment	Candace Hodgkins/ General Public	I'm writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
131.	6/2/2011	General Comment	Lisa Rogers/ Recovery Centers of King County	I'm writing to commend SAMHSA on targeting adolescents	Thank you for your comment
132.	6/2/2011	General Comment	Ashley Rasch/ Wellspring CD Evaluator, South Dakota	I'm writing to commend SAMHSA on targeting adolescents	Thank you for your comment
133.	6/2/2011	General Comment	Patricia Treeful/ Pantano Behavioral Health Services, Inc., Arizona	I'm writing to commend SAMHSA on targeting adolescents	Thank you for your comment

136.	6/2/2011	General	Arizona Greg Williams/	I'm writing to commend SAMHSA on targeting	Thank you for your comment
130.		Comment	Connecticut Turning to Youth and	adolescents	mank you for your comment
	6/2/2011		Families		
137.		General Comment	Lee Grogg/	I'm writing to commend SAMHSA on targeting adolescents	Thank you for your comment
	6/2/2011	Comment	Ryther, Washington State	adolescents	
138.		General Comment	Claretta Witherspoon/	I'm writing to commend SAMHSA on targeting adolescents	Thank you for your comment
	6/2/2011		Family Centered Care, North Carolina		
139.	0/2/2011	General Comment	Laura Almond/ University of Wisconsin	I'm writing to commend SAMHSA on targeting adolescents	Thank you for your comment
	6/2/2011		VVISCOTISITI		
140.		General Comment	Julie Bailey/ Mental Health		Thank you for your comment
		Comment	America of the	Leupport your offerte to focus maliny and treatment of	
	6/2/2011		Triangle, North Carolina	I support your efforts to focus policy and treatment of addictions among adolescents and young adults.	
	6/2/2011	General	Chris Sturgis/	I am writing to advocate on behalf of our nation's	Thank you for your comment

				to reduce mental health services to young people is	
				just bad policy.	
142.		General Comment	Sonja Frison/ University of North Carolina	I am writing to support and endorse SAMHSA's inclusion of youth in priority populations to be served with these grants.	Thank you for your comment
	6/2/2011				
143.	6/2/2011	General Comment	Elena Bresani/ General Public	I am writing to support and endorse SAMHSA's inclusion of youth in priority populations to be served with these grants.	Thank you for your comment
144.		General Comment	Kelly Brigham- Steiner/ General Public	I am writing to support and endorse SAMHSA's inclusion of youth in priority populations to be served with these grants.	Thank you for your comment
	6/2/2011				
145.	6/2/2011	General Comment	Ryan Shanahan/ General Public	I am writing to support and endorse SAMHSA's inclusion of youth in priority populations to be served with these grants.	Thank you for your comment
146.	6/2/2011	General Comment	Jodi Petersen/ Prtage County Health and Human Services Dept., Wisconsin	I am writing to support and endorse SAMHSA's inclusion of youth in priority populations to be served with these grants.	Thank you for your comment
147.	6/2/2011	General Comment	Richard Duarte/ General Public	I am writing to support and endorse SAMHSA's inclusion of youth in priority populations to be served with these grants.	Thank you for your comment
148.		General Comment	Mike Albertson/	Please do all that you can to help promote movements	Thank you for your comment. SAMHSA does not specifically make recommendations to States regarding specific funding
	6/2/2011		General Public	like Reclaiming Futures.	for Federal or State initiatives.
149.	6/2/2011	General Comment	Tiffany Shelton/ Medina County	I am writing to support and endorse SAMHSA's inclusion of youth in priority populations to be served	Thank you for your comment

			Juvenile Drug Court	with these grants.	
150.		General	Britta Muehlback/	I am writing to support and endorse SAMHSA's	Thank you for your comment
		Comment	Phoenix House	inclusion of youth in priority populations to be served	
	6/2/2011		Foundation	with these grants.	
151.		General	Betty Hames/	I am writing to support and endorse SAMHSA's	Thank you for your comment
		Comment	General Public	inclusion of youth in priority populations to be served	
	6/2/2011			with these grants.	
152.		General	Stephen Phillippi/	I am writing to support and endorse SAMHSA's	Thank you for your comment
		Comment	Louisiana Mental	inclusion of youth in priority populations to be served	
			Health and Juvenile	with these grants.	
			Justice Action		
	6/2/2011		Network		
153.		General	Mary Whewell/	I am writing to support and endorse SAMHSA's	Thank you for your comment
		Comment	New Hope	inclusion of youth in priority populations to be served	
			Behavioral Health &	with these grants.	
			Substance Abuse		
	6/2/2011		Clinic, Connecticut		
154.		General	Doris Broadnax/	I am writing to support and endorse SAMHSA's	Thank you for your comment
		Comment	Dept. of Children	inclusion of youth in priority populations to be served	
			and Family Services,	with these grants.	
	6/2/2011		Louisiana		
155.		General	Lia Casale/	I am writing to support and endorse SAMHSA's	Thank you for your comment
		Comment	Institute for Health	inclusion of youth in priority populations to be served	
			and Recovery,	with these grants.	
			Massachusetts		
	6/2/2011				
156.	6/2/2011		Jessica Williams/	I am writing to support and endorse SAMHSA's	Thank you for your comment
		Comment	Institute for	inclusion of youth in priority populations to be served	
			Research, Education	with these grants.	
			and Training in		
			Addictions,		

			Pennsylvania		
157.	6/2/2011	General Comment	Patrick Burke/ Washington County Diversion Program/ Juvenile Restorative Program	I am writing to support and endorse SAMHSA's inclusion of youth in priority populations to be served with these grants.	Thank you for your comment
158.	6/2/2011	General Comment	Elda-Rosa Coulthrust/ Anuvia Prevention and Recovery Center, North Carolina	I am writing to support and endorse SAMHSA's inclusion of youth in priority populations to be served with these grants.	Thank you for your comment
159.	6/2/2011	General Comment	Dana Lamm/ General Public	I am writing to support and endorse SAMHSA's inclusion of youth in priority populations to be served with these grants.	Thank you for your comment
160.	6/2/2011	General Comment	Peter Panzarella/ Connecticut Dept. of Children and Families	I am writing to support and endorse SAMHSA's inclusion of youth in priority populations to be served with these grants.	Thank you for your comment
161.	6/2/2011	General Comment	Don Cipriani/ General Public	I am writing to support and endorse SAMHSA's inclusion of youth in priority populations to be served with these grants.	Thank you for your comment
162.	6/2/2011	General Comment	Richard Laperriere/ Ventura County Behavioral Health	A simple request that you and your team make the best possible effort to maintain and increase funding for adolescent substance use treatment.	Thank you for your comment
163.	6/2/2011	General Comment	Kimberly Kirby/ General Public	I am writing to support and endorse SAMHSA's inclusion of youth in priority populations to be served with these grants.	Thank you for your comment
164.	6/2/2011	General Comment	Abby Anderson/ Connecticut Juvenile	I am writing to support and endorse SAMHSA's inclusion of youth in priority populations to be served	Thank you for your comment

			Justice Alliance	with these grants.	
165.		General	Kristine Bella/	I am writing to support and endorse SAMHSA's	Thank you for your comment
		Comment	NW Behavioral	inclusion of youth in priority populations to be served	
			Healthcare Services,	with these grants.	
			Oregon		
	6/2/2011				
166.		General	Claudia Dunne/	I am writing to support and endorse SAMHSA's	Thank you for your comment
		Comment	General Public	inclusion of youth in priority populations to be served	
	6/2/2011			with these grants.	
167.		General		I am adding my voice to many who are voicing their	Thank you for your comment
		Comment		support to continue the grant for adolescence	
				substance abuse treatment Bock grants have been	
			Zumo Kollie/General	very helpful in helping families get treatment for their	
	6/2/2011		Public	children.	
168.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Michael	who need treatment for substance abuse disorders as	
			Darcy/Gateway	a priority population that should be addressed by	
	6/2/2011		Foundation	block grant recipients.	
169.		General		I am writing in strong support of SAMHSA's	Thank you for your comment
		Comment	Angelo	identification of youth with substance use disorders as	
			Adson/Intercultural	a population with evolving needs in the Block Grant	
	6/2/2011		Family Services, Inc.	Application Guidance and Instructions.	
170.		General	Melissa Harr/Pinal	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	County Arizona	who need treatment for substance abuse disorders as	
			Juvenile Detention	a priority population that should be addressed by	
	6/2/2011		Counselor	block grant recipients.	
171.		General	Amanda	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	McLaughlin/Planned	who need treatment for substance abuse disorders as	
			Parenthood	a priority population that should be addressed by	
			Columbia	block grant recipients.	
	6/2/2011		Williamette		
172.	6/2/2011	General	Staci Sturges/Clark	I want to commend SAMHSA for including adolescents	Thank you for your comment

		Comment		who need treatment for substance abuse disorders as	
			County Public	a priority population that should be addressed by	
			Health	block grant recipients.	
173.		General	William	I am writing to commend SAMHSA on targeting	Thank you for your comment
		Comment	Deal/Missouri State	adolescents, a subpopulation that is often overlooked	
	6/2/2011		University	and/or poorly served by the general system of care.	
174.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Robert	who need treatment for substance abuse disorders as	
			Ackley/General	a priority population that should be addressed by	
	6/2/2011		Public	block grant recipients.	
175.		General	Jamie	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Weber/Science and	who need treatment for substance abuse disorders as	
			Management of	a priority population that should be addressed by	
	6/2/2011		Addictions	block grant recipients.	
176.		General	Sue	I encourage you to please continue to make our teens	Thank you for your comment
	6/2/2011	Comment	Jackson/LLUBMC	a top priority.	
177.		General	Daniel	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Sevigny/Rowan	who need treatment for substance abuse disorders as	
			County Youth	a priority population that should be addressed by	
	6/2/2011		Services Bureau	block grant recipients.	
178.		General	Alice Baer/Division	The needs for substance abuse treatment for youth	Thank you for your comment
		Comment	of Youth	cannot be ignored or as in year's pasts, generalized	
	6/2/2011		Corrections, CO	into the adult population.	
179.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Anita	who need treatment for substance abuse disorders as	
			Arnold/General	a priority population that should be addressed by	
	6/2/2011		Public	block grant recipients.	
180.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Brett	who need treatment for substance abuse disorders as	
			Carner/Gladstone	a priority population that should be addressed by	
	6/2/2011		Christian Church	block grant recipients.	
181.	6/2/2011	General	Scott	I want to commend SAMHSA for including adolescents	Thank you for your comment

		Comment		who need treatment for substance abuse disorders as	
			Linebaugh/Youth	a priority population that should be addressed by	
			Bridge, Inc.	block grant recipients.	
182.		General	Holly Hagle/Institute		Thank you for your comment
		Comment	for Research,		
			Education, and		
			Training in	I am writing to express my support of the inclusion of	
	6/2/2011		Addictions (IRETA)	priority populations such as adolescents.	
183.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Debbie	who need treatment for substance abuse disorders as	
			Sweet/Reclaiming	a priority population that should be addressed by	
	6/2/2011		Futures	block grant recipients.	
184.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Nicholas	who need treatment for substance abuse disorders as	
			Pace/General	a priority population that should be addressed by	
	6/2/2011		Motors	block grant recipients.	
185.		General	Michael		Thank you for your comment
		Comment	Flaherty/Institute		
			for Research,	I support SAMHSA keeping adolescents and their BH	
			Education, and	and SU issues - and families - a priority for both the	
			Training in	SAMHSA plan, for the states and for any funding	
	6/2/2011		Addictions (IRETA)	related thereto.	
186.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Victoria	who need treatment for substance abuse disorders as	
			Clevenger/Second	a priority population that should be addressed by	
	6/2/2011		Step Housing	block grant recipients.	
187.		General			Thank you for your comment.
		Comment			Youth with SUD have been
			Teresa		included as a planning
			Priddy/Johnson	In your decision for upcoming block grant funding,	population that States can
			County Mental	please consider including adolescent substance abuse	include in their needs
	6/2/2011		Health Center	counseling as priority population in need of funds.	assessment and State plan.

188.		General	Bradley Stein/Clark	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	County Department	who need treatment for substance abuse disorders as	
			of Community	a priority population that should be addressed by	
	6/2/2011		Services	block grant recipients.	
189.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Kiley	who need treatment for substance abuse disorders as	
			Morrison/General	a priority population that should be addressed by	
	6/2/2011		Public	block grant recipients.	
190.		General	Mike		Thank you for your comment
		Comment	Chapman/Native	I want to commend SAMHSA for including adolescents	
			American	who need treatment for substance abuse disorders as	
			Rehabilitation	a priority population that should be addressed by	
	6/2/2011		Association	block grant recipients.	
191.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Geoffrey	who need treatment for substance abuse disorders as	
			Brown/UWHC-	a priority population that should be addressed by	
	6/2/2011		AADAIP	block grant recipients.	
192.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment		who need treatment for substance abuse disorders as	
			Ashley	a priority population that should be addressed by	
	6/2/2011		Hyde/General Public	block grant recipients.	
193.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Jenny	who need treatment for substance abuse disorders as	
			Corvalan/General	a priority population that should be addressed by	
	6/2/2011		Public	block grant recipients.	
194.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Richard	who need treatment for substance abuse disorders as	
			Miles/Daybreak	a priority population that should be addressed by	
	6/2/2011		Youth Services	block grant recipients.	
195.	6/2/2011	General	Michael	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Ott/Daybreak Youth	who need treatment for substance abuse disorders as	
			Services	a priority population that should be addressed by	

				block grant recipients.	
196.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Christina	who need treatment for substance abuse disorders as	
			Woodard/Daybreak	a priority population that should be addressed by	
	6/2/2011		Youth Services	block grant recipients.	
197.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Kymberli	who need treatment for substance abuse disorders as	
			Campbell/Daybreak	a priority population that should be addressed by	
	6/2/2011		Youth Services	block grant recipients.	
198.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Kami	who need treatment for substance abuse disorders as	
			McKinzey/Daybreak	a priority population that should be addressed by	
	6/2/2011		Youth Services	block grant recipients.	
199.		General	Travis		Thank you for your comment
		Comment	Fretwell/Departmen		
			t of Behavioral		
			Health and		
			Developmental	I truly want to commend SAMHSA for the decision to	
	6/2/2011		Disabilities	target adolescents.	
200.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment		who need treatment for substance abuse disorders as	
			Gary Clark/General	a priority population that should be addressed by	
	6/2/2011		Public	block grant recipients.	
201.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment		who need treatment for substance abuse disorders as	
			Jeanette Palmer/Sea	a priority population that should be addressed by	
	6/2/2011		Mar/Visions	block grant recipients.	
202.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Kathy	who need treatment for substance abuse disorders as	
			Kramer/Daybreak	a priority population that should be addressed by	
	6/2/2011		Youth Services	block grant recipients.	
203.	6/2/2011	General	Maureen	I want to commend SAMHSA for including adolescents	Thank you for your comment

		Comment	Pacheco/Center for	who need treatment for substance abuse disorders as	
			Juvenile Law and	a priority population that should be addressed by	
			Policy	block grant recipients.	
204.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Demetra	who need treatment for substance abuse disorders as	
			Taffner/Daybreak	a priority population that should be addressed by	
	6/2/2011		Youth Services	block grant recipients.	
205.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Cindy	who need treatment for substance abuse disorders as	
			Kessinger/Daybreak	a priority population that should be addressed by	
	6/2/2011		Youth Services	block grant recipients.	
206.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment		who need treatment for substance abuse disorders as	
			Jean Mays/TASC,	a priority population that should be addressed by	
	6/2/2011		Inc.	block grant recipients.	
207.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Jaime	who need treatment for substance abuse disorders as	
			Peterson/Daybreak	a priority population that should be addressed by	
	6/2/2011		Youth Services	block grant recipients.	
208.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment		who need treatment for substance abuse disorders as	
			Ken Davis/Daybreak	a priority population that should be addressed by	
	6/2/2011		Youth Services	block grant recipients.	
209.		General	Norma	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Finkelstein/Institute	who need treatment for substance abuse disorders as	
			for Health and	a priority population that should be addressed by	
	6/2/2011		Recovery	block grant recipients.	
210.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Anne	who need treatment for substance abuse disorders as	
			Berestoff/Daybreak	a priority population that should be addressed by	
	6/2/2011		Youth Services	block grant recipients.	
211.	6/2/2011	General	Meredith Was on	I want to commend SAMHSA for including adolescents	Thank you for your comment

		Comment	behalf of Dennis Morrison/Centersto ne Research Institute	who need treatment for substance abuse disorders as a priority population that should be addressed by block grant recipients.	
212.	6/2/2011	General Comment	Annemarie Plumpe/Nathan Hale High School	Are you kidding about a proposal from some states to not specify adolescents in their block grants for mental health and alcohol and drug treatment? Wow- please do all that you can to make sure this doesn't happen. Teens need these resources.	SAMHSA is encouraging States to include youth with SUD in their needs assessment and State plan.
213.	6/2/2011	General Comment	Jodie Tietelbaum/Morriso n Child & Family Services	I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as a priority population that should be addressed by block grant recipients.	Thank you for your comment
214.	6/2/2011	General Comment	Danielle Block/Center for Human Services	I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as a priority population that should be addressed by block grant recipients.	Thank you for your comment
215.	6/2/2011	General Comment	Courtney Mistofsky on behalf of Nancy Young/Children and Family Futures	The planning process needs much more integration with the states' efforts to develop new coverage under the Affordable Care Act and the provisions of the 2008 parity legislation.	Thank you for your comment. A primary focus off the block grant application is to align State planning efforts with the Affordable Care Act.
216.	6/2/2011	General Comment	Courtney Mistofsky on behalf of Nancy Young/Children and Family Futures	The planning process needs more emphasis upon the role of other states and local agencies whose planning, assessments, resources, and outcomes are critical supports to achieving improved treatment outcomes.	Thank you for your comment. Please refer to the draft planning document, Section 3.n.
217.	6/2/2011	General Comment	Courtney Mistofsky on behalf of Nancy Young/Children and Family Futures	The planning process needs more emphasis upon the family roots of addiction, mental illness, and recovery.	Thank you for your comment
218.	6/2/2011	General Comment	Diana Strong/Eastern	I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as	Thank you for your comment

			Oregon Youth	a priority population that should be addressed by	
			Correctional Facility	block grant recipients.	
219.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment		who need treatment for substance abuse disorders as	, ,
			Lee Lederer/Oregon	a priority population that should be addressed by	
	6/2/2011		Youth Authority	block grant recipients.	
220.		General	·	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Robin Carlson/The	who need treatment for substance abuse disorders as	, ,
			Salvation Army-	a priority population that should be addressed by	
	6/2/2011		Portland Metro	block grant recipients.	
221.		General	Phuong	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Nguyen/Asian	who need treatment for substance abuse disorders as	
			Counseling &	a priority population that should be addressed by	
	6/2/2011		Referral Service	block grant recipients.	
222.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Roxanne	who need treatment for substance abuse disorders as	
			Thayer/Daybreak	a priority population that should be addressed by	
	6/2/2011		Youth Services	block grant recipients.	
223.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Carissa	who need treatment for substance abuse disorders as	
			Dougherty/General	a priority population that should be addressed by	
	6/2/2011		Public	block grant recipients.	
224.		General		Substance Abuse and Mental Health Issues are hand	Thank you for your comment
		Comment		in hand afflictions. I witnessed so many traumas over	
			Mary Ellen	these issues and very few of us have succeeded in	
			Boudman/General	helping these students please do not allow this	
	6/2/2011		Public	situation and many others to re-develop!	
225.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Laura	who need treatment for substance abuse disorders as	
			Nissen/Portland	a priority population that should be addressed by	
	6/2/2011		State University	block grant recipients.	
226.	6/2/2011	General	Cindy	I want to commend SAMHSA for including adolescents	Thank you for your comment

		Comment		who need treatment for substance abuse disorders as	
			Gudahl/General	a priority population that should be addressed by	
			Public	block grant recipients.	
227.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Victor	who need treatment for substance abuse disorders as	
	6/2/2011		Bray/Daybreak	a priority population that should be addressed by	
			Youth Services	block grant recipients.	
228.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Sally	who need treatment for substance abuse disorders as	
	6/2/2011		Phillips/General	a priority population that should be addressed by	
			Public	block grant recipients.	
229.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Stephanie	who need treatment for substance abuse disorders as	
	6/2/2011		Suarez/Daybreak	a priority population that should be addressed by	
			Youth Services	block grant recipients.	
230.		General	Dani	I would like to support your efforts to include	Thank you for your comment.
	6/2/2011	Comment	Bergheim/Daybreak	adolescents in the special population to be covered by	
			Youth Services	the block grants.	
231.		General		I would like to support your efforts to include	Thank you for your comment.
	6/2/2011	Comment	Theresa Nims/RCKC	adolescents in the special population to be covered by	
			Kent	the block grants.	
232.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Eddy	who need treatment for substance abuse disorders as	
	6/2/2011		Ameen/StandUp for	a priority population that should be addressed by	
			Kids - National	block grant recipients.	
233.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Lauren	who need treatment for substance abuse disorders as	
	6/2/2011		DiFolco/Mount View	a priority population that should be addressed by	
			Youth Services Ctr.	block grant recipients.	
234.	6/2/2011	General	Susan	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Richardson/Reclaimi	who need treatment for substance abuse disorders as	
			ng Futures	a priority population that should be addressed by	

				block grant recipients.	
235.	6/2/2011	General	Richard	I am writing to commend SAMHSA on targeting	Thank you for your comment
		Comment	Wahl/University of	adolescents, a subpopulation that is often overlooked	
			Arizona	and/or poorly served by the general system of care.	
236.	6/2/2011	General	Harumi	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Hashimoto/Asian	who need treatment for substance abuse disorders as	
			Counseling and	a priority population that should be addressed by	
			Referral Service	block grant recipients.	
237.	6/2/2011	General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Claire	who need treatment for substance abuse disorders as	
			Aberasturi/Daybrea	a priority population that should be addressed by	
			k Youth Services	block grant recipients.	
238.	6/2/2011	General	William McAuliffe-		Thank you for your comment.
		Comment	Schroeder/Tellurian		
			ICAN, Inc.'s	I am writing in strong support of SAMHSA targeting	
			McGovern AODA	adolescents as a special need population; a	
			and Mental Health	subpopulation that is often overlooked and/or poorly	
			Outpatient Services	served by the general system of care.	
239.	6/2/2011	General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Maura	who need treatment for substance abuse disorders as	
			McFeely/General	a priority population that should be addressed by	
			Public	block grant recipients.	
240.	6/2/2011	General	Kelly Kerby/Seattle	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Children's	who need treatment for substance abuse disorders as	
			Hospital/Eckstein	a priority population that should be addressed by	
			Middle School	block grant recipients.	
241.	6/2/2011	General		TCA recommends that SAMHSA include language that	
		Comment	Rob Zucker on	clearly specifies that States choosing to submit a joint	SAMHSA will ensure that
			behalf of Sushma	application maintain specific funding streams for	language is clearly included.
			Taylor /Treatment	mental health, substance abuse and prevention in	
			Communities of	accordance with existing block grant formulas.	
			America		

242.	6/2/2011	General Comment	Rob Zucker on behalf of Sushma Taylor /Treatment Communities of America	TCA recommends that SAMHSA include language that provides clarification regarding the four areas identified as purposes for Block Grant Funding and provide guidance on how to prioritize/weight funding in those four areas.	SAMHSA agrees that the four areas identified as purposes of the BG be highlighted, but depends on states prioritization of their specific needs to weight funding in those areas.
243.	6/2/2011	General Comment	Rob Zucker on behalf of Sushma Taylor /Treatment Communities of America	TCA recommends that SAMHSA implement one year addendums or delay implementation of the two year planning process until after the implementation of health care reform.	SAMHSA disagrees. State substance abuse and mental health systems will be impacted by current and future activities that have been prompted by the Affordable Care Act. States can take advantage of these current opportunities instead of waiting until January 1, 2014.
244.	6/2/2011	General Comment	Rob Zucker on behalf of Sushma Taylor /Treatment Communities of America	TCA recommends that SAMHSA include language that acknowledges the changes that will occur with the implementation of health care reform and require reassessments and/or tracking to monitor changes as they occur.	SANHSA has acknowledged that changes will occur with the implementation of health reform.
245.	6/2/2011	General Comment	Rob Zucker on behalf of Sushma Taylor /Treatment Communities of America	TCA recommends that SAMHSA include language that provides guidance for the development and coordination of combined plans.	The guidance on the development and coordination of the combined plan will be included in the instructions.
246.	6/2/2011	General Comment	Rob Zucker on behalf of Sushma Taylor /Treatment Communities of America	TCA recommends that SAMHSA include guidance that enables prioritization to be altered based on the implementation of health care reform.	States are asked in the planning section to prioritize their services and areas. States may modify their plan on an annual basis or as they see fit.
247.	6/2/2011	General Comment	Rob Zucker on behalf of Sushma	TCA recommends that SAMHSA provide guidance that indicate how planning and collaboration should	The utilization of BG funds for leveraging other funds is state

			Taylor /Treatment	proceed, as well as how funds would be utilized to	specific.
			Communities of	leverage increased availability of treatment and	
			America	support services to specific population.	
248.	6/2/2011	General	Rob Zucker on		SAMHSA disagrees. State's
		Comment	behalf of Sushma		progress toward State and
			Taylor /Treatment		federal goals and objectives may
			Communities of	TCA recommends that SAMHSA defer the	not always be related to the
			America	development and implementation of Dashboards until	implementation of health
				the full implementation of health care reform.	reform.
249.	6/2/2011	General	Rob Zucker on		SAMHSA agrees and will request
		Comment	behalf of Sushma	TCA recommends that SAMHSA incorporate language	more information in the
			Taylor /Treatment	that requires States to provide a plan for working with	planning document.
			Communities of	providers to prepare for implementation of the	
			America	Affordable Care Act.	
250.	6/2/2011	General	Mark	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Berestoff/Spokane	who need treatment for substance abuse disorders as	
			Inpatient Facilities	a priority population that should be addressed by	
			Supervisor	block grant recipients.	
251.	6/2/2011	General	Sharon	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Toquinto/King	who need treatment for substance abuse disorders as	
			County Mental	a priority population that should be addressed by	
			Health	block grant recipients.	
252.	6/2/2011	General	Annie	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Ramniceanu/Spectr	who need treatment for substance abuse disorders as	
			um Youth & Family	a priority population that should be addressed by	
			Services	block grant recipients.	
253.	6/2/2011	General	Sara Wuest	I am writing to commend SAMHSA on targeting	Thank you for your comment.
		Comment	Cowley/General	adolescents, a subpopulation that is often overlooked	
			Public	and/or poorly served by the general system of care.	
254.	6/2/2011	General	Edward	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Collins/Daybreak	who need treatment for substance abuse disorders as	
			Youth Collins	a priority population that should be addressed by	

				block grant recipients.	
255.	6/2/2011	General	Robert		Thank you for your comment.
		Comment	Daniels/Louisville		
			Area Network for	There really needs to be a focus on programs that are	
			Specialized	developmentally appropriate for adolescent and to	
			Adolescent	involve families in planning, implementation and	
			Treatment	monitoring of adolescent care.	
256.	6/2/2011	General	Megan	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	McCloskey/Institute	who need treatment for substance abuse disorders as	
			for Governmental	a priority population that should be addressed by	
			Service and	block grant recipients.	
			Research		
257.	6/2/2011	General	Dale Willetts/NC	I applaud SAMHSA's planning focus on individuals	Thank you for your comment.
		Comment	TASC Training	involved in the criminal and juvenile justice systems I	
			Institute of Coastal	also commend SAMHSA for recognizing the	
			Horizons Center,	importance of collecting and utilizing data to evaluate	
			Inc.	outcomes and improve service provision.	
258.	6/2/2011	General		I also commend SAMHSA for recognizing the	Thank you for your comment.
		Comment	Michael	importance of collecting and utilizing data to evaluate	
			Gray/Region 3 TASC	outcomes and improve service provision.	
259.	6/2/2011	General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Laura	who need treatment for substance abuse disorders as	
			Nissen/Portland	a priority population that should be addressed by	
			State University	block grant recipients.	
260.	6/2/2011	General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment		who need treatment for substance abuse disorders as	
			Cindy Gudahl/	a priority population that should be addressed by	
			General Public	block grant recipients.	
261.	6/2/2011	General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Victor	who need treatment for substance abuse disorders as	
			Bray/Daybreak	a priority population that should be addressed by	
			Youth Services	block grant recipients.	

262.	6/2/2011	General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Sally	who need treatment for substance abuse disorders as	
			Phillips/General	a priority population that should be addressed by	
			Public	block grant recipients.	
263.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Chris Foster/General	who need treatment for substance abuse disorders as	
			Public	a priority population that should be addressed by	
	6/3/2011			block grant recipients.	
264.	6/3/2011	General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Carolyne Haycraft/	who need treatment for substance abuse disorders as	
			Bureau of Police,	a priority population that should be addressed by	
			Oregon	block grant recipients.	
265.	6/3/2011	General	Cheryl Reed on	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Behalf of Susan	who need treatment for substance abuse disorders as	
			Richardson/Reclaimi	a priority population that should be addressed by	
			ng Futures	block grant recipients.	
266.	6/3/2011	General	Carrie Petrucci/EMT	I am writing to commend SAMHSA on targeting	Thank you for your comment.
		Comment	Associates, Inc.	adolescents, a subpopulation that is often overlooked	
				and/or poorly served by the general system of care.	
267.	6/3/2011	General	Emmitt	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Hayes/General	who need treatment for substance abuse disorders as	
			Public	a priority population that should be addressed by	
				block grant recipients.	
268.	6/3/2011	General	Michael Albertson	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Whitley/General	who need treatment for substance abuse disorders as	
			Public	a priority population that should be addressed by	
				block grant recipients.	
269.	6/3/2011	General	Wes	I applaud SAMHSA's planning focus on individuals	Thank you for your comment
		Comment	Stewart/Coastal	involved in the criminal and juvenile justice systems.	
			Horizons Center,		
			Inc.		

270.	6/3/2011	General Comment	Michele Hobbs/Multnomah Wraparound	I support continued block grants for teen treatment of addictions and mental illnesses	Thank you for your comment
271.	6/3/2011	General Comment	Caroline Raymond/Day One	I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as a priority population that should be addressed by block grant recipients.	Thank you for your comment
272.	6/3/2011	General Comment	Armando Salas/University Medical Center at El Paso	I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as a priority population that should be addressed by block grant recipients.	Thank you for your comment
273.	6/3/2011	General Comment	Nancy Olson- Engebreth/Minneto nka High School	I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as a priority population that should be addressed by block grant recipients.	Thank you for your comment
274.	6/3/2011	General Comment	Sarah Taylor/Sexual Assault Resource Center	I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as a priority population that should be addressed by block grant recipients.	Thank you for your comment
275.	6/3/2011	General Comment	Martha Varela/Southern California Alcohol & Drug Programs, Inc.	I am writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment.
276.	6/3/2011	General Comment	Melanie Keepman/Tonka Cares	I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as a priority population that should be addressed by block grant recipients.	Thank you for your comment
277.	6/3/2011	General Comment	Katherin Ranzoni/Mattson	I am writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked	Thank you for your comment.

			Middle School		
				and/or poorly served by the general system of care.	
278.	6/3/2011	General Comment	Yvonne Sherrer/Reclaiming Futures - Montgomery County Juvenile Court	I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as a priority population that should be addressed by block grant recipients.	Thank you for your comment
279.	6/3/2011	General Comment	Emily Goldman on behalf of Michelle Zabel/University of Maryland, Baltimore	Recommendation: provide additional language highlighting the SOC approach as a best practice in serving children and youth with SED and/or SA and their families.	SAMHSA believes that the current language in the Block Grant application regarding Systems of Care is sufficient.
280.	6/3/2011	General Comment	Emily Goldman on behalf of Michelle Zabel/University of Maryland	Recommendation: Ensure that a certain minimum percentage of MHSBG and SAPTBG dollars be allocated to children and youth with SED and/or SA needs and their families;	SAMHSA allows the States the flexibility to establish the allocation of BG dollars depending on the specific state circumstances.
281.	6/3/2011	General Comment	Emily Goldman on behalf of Michelle Zabel/University of Maryland	Recommendation: Include specific requirements on meeting the needs of children and youth with SED and/or SA needs and their families, and develop a special monitoring unit to ensure compliance; and	Children and youth with SED are a statutorily targeted population. SAMHSA will take the recommendation of a special monitoring unit under advisement.
282.	6/3/2011	General Comment	Emily Goldman on behalf of Michelle Zabel/University of Maryland	Require that experts on the needs of and best practice approaches to serving children and youth with SED and/or SA needs and their families be included in federal and state planning efforts, in conjunction and coordinated with other technical assistance provided to states and communities from SAMHSA and Administrations with U.S. DHHS.	SAMHSA has and will continue to use experts including individuals with a lived recovery and residency experience in assisting SAMHSA to develop and implement models and services that reflect best and

					promising practices.
283.	6/3/2011	General Comment	Catherine Dowdell on behalf of Kevin Connally/Hope House Inc.	I am incredibly pleased that SAMHSA is targeting adolescents.	Thank you for your comment.
284.	6/3/2011	General Comment	Donna Garcia/General Public	It is important that SAMHSA's block grants provide adequate funding for children's mental health and family support services.	Thank you for your comment.
285.	6/3/2011	General Comment	Joyce Allen/Bureau of Prevention, Treatment and Recovery	While we are asked to identify unmet services needs and gaps we are at the same time given a host of priority populations to address. It seems inconsistent to ask us to identify populations in need of services and at the same time be asked to prioritize previously identified populations.	SAMHSA has identified additional populations that the State may consider when performing their needs assessment in addition to the statutory populations.
286.	6/3/2011	General Comment	Joyce Allen/Bureau of Prevention, Treatment and Recovery	Tables 1 and 2 from the Federal Register underestimate the time needed to complete the existing application and reporting requirements and do not take into account the need to increase the burden of reporting for the Mental Health Block Grant.	SAMHSA's analysis and historical information were used to establish the estimate of reporting burden.
287.	6/3/2011	General Comment	Joyce Allen/Bureau of Prevention, Treatment and Recovery	We respectfully request assistance from SAMHSA to move the field towards the SAMHSA definition of "good and modern."	SAMHSA is currently providing technical assistance to States based on the FY 2011 addendum. SAMHSA will use information from the FY 2012/2103 block grant application to continue to support States efforts to develop their mental health and substance use systems.
288.	6/3/2011	General	Joyce Allen/Bureau	All of the planning activities (around quality	SAMHSA understands that

		Comment	of Prevention, Treatment and Recovery	information) are overly ambitious in order to obtain the kind of authentic and accurate information that is needed. (States) currently do not have staff or fiscal resources to make these changes in the proposed new time frame.	States may have limited resources to complete the block grant application. SAMHSA is encouraging States to complete and submit the sections of the plan that are requested and will assist States in their efforts to complete the plan.
289.	6/3/2011	General Comment	John Frederick/Milwauke e County DHS	I am writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment.
290.	6/3/2011	General Comment	Kimono Hagen/EPIC	I am writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment.
291.	6/3/2011	General Comment	Jill Gamez/Executive Director of Arbor Place, Inc.	I am writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment.
292.	6/3/2011	General Comment	Teri Baker on behalf of Deborah Hollis/Department of Community Health- MI	(State burden): We estimate that the hours associated with completing the paperwork necessary for a unified application would increase at least 50 percent beyond the hours needed to complete the current BG Application.	SAMHSA has based the estimate of burden on actual and historical information.
293.	6/3/2011	General Comment	Teri Baker on behalf of Deborah Hollis/Department of Community Health	(Compliance Requirements): States have been encouraged to submit the ASR as part of the SAPT BG application in order for states to receive SAPT BG funding in a timely manner. The due date for a unified BG application is September 1, 2011, making it virtually impossible for our state to include the ASR.	Section 1926 of Title XIX, Part B, Subpart II of the PHS Act (42 U.S.C. 300x-36) and the Tobacco Regulations of the Substance Abuse Prevention and Treatment Block Grant; Final Rule (45 C.F.R. 96.130) require

					States to submit their respective Annual Synar Reports (ASR) not later than December 31 each year. Some States have historically submitted the ASR concurrently with the Uniform Application for the SAPTBG on October 1 of the Federal fiscal year for which States are applying for a grant in order to ensure that, subject to review and approval of the States' annual reports including ASR, such States receive a Notice of Block Grant Award during the 1st quarter of the Federal fiscal year. Beginning in FY 2012, States' reports for SAPTBG will be submitted on or before December 1. The ASR format is unchanged; therefore, States may submit their ASR concurrently with the Block Grant plan on or before October 1 but not later than December 31.
294.	6/3/2011	General Comment	Teri Baker on behalf of Deborah		In the proposed Block Grant application SAMHSA has
		Comment	Hollis/Department	(Planning Steps): In the proposed unified application, it	sufficient language in the
			of Community	is unclear where and how services to children are to	planning section for children
			Health	be included.	and youth.
295.	6/3/2011	General	Teri Baker on behalf	(Use of technology): Currently, our mental health data	SAMHSA is interested in learning

		Comment	of Deborah	system does not track services for unique individuals	from the States what would be
			Hollis/Department	at the provider level. Such integration and redesign	required in redesigning the
			of Community	activity would be costly. The federal register notice is	system and what the costs
			Health	silent on earmarked funding for this purpose.	would be.
296.	6/3/2011	General Comment	Teri Baker on behalf of Deborah Hollis/Department of Community Health	(Behavioral health councils): We would need to expand the current council to include: persons receiving substance abuse and recovery services, substance abuse community coalitions, and state and community-level administrators and providers of such services. This expansion would require considerable time and political effort and would be beyond the scope of the SSA for substance abuse.	This expansion is encouraged by SAMHSA, but not required. In addition, the Block grant application has been amended to requests States provide information regarding their current planning bodies regarding the SAPTBG and the coordination of these planning bodies with the MH Planning Councils in a State.
297.	6/3/2011	General Comment	Frances Ball/Reclaiming Futures in the Cumberlands	Please keep the young people a priority in the block grants; they're our future.	Thank you for your comment.
298.	6/3/2011	General Comment	Stacy Blumberg/De Paul Treatment Centers	I strongly support your efforts to focus policy and treatment on adolescents.	Thank you for your comment.
299.	6/3/2011	General Comment	Frank Shelp/ Georgia Dept. of Behavioral Health	There has been no TA provided regarding some of the new populations identified. Bottom line, dollars only can stretch so far to reach a core or identified population. Pushing the population factor out will have a negative impact on other priority populations.	SAMHSA disagrees. States have been provided technical assistance regarding many populations identified in the block grant application including co-occurring mental health and substance abuse, youth with substance use disorders,

					homeless individuals, etc. GEORGIA has been the recipients of several f these grants and technical assistance.
300.	6/4/2011	General Comment	Steven Lafreniere/ Alabama Dept. of Mental Health	I would like to express my support of the merger of block grant applications at SAMHSA.	Thank you for your comment.
301.	6/4/2011	General Comment	Lois DeMott on behalf of Janet Davis/ Association for Children's Mental Health	I am a grandparent raising three grandchildren, who all have mental health needs. I don't know where our family would be without the services we have been provided Please keep the funding going so that families like mine can stay together.	Thank you for your comment.
302.	6/4/2011	General Comment	Nicole Lawson/ Oakland county Community Mental Health Authority	I strongly urge SAMHSA to revise the Unified Application to (1) emphasize community-based programming for children and youth with serious emotional disturbance (SED) and/or substance abuse problems and their families; and (2) recognize the importance of strategies such as the System of Care (SOC) framework, youth and family peer-to-peer support, and the wraparound care coordination process for meeting the needs of these youth and families and maintaining them in their homes and communities.	Thank you for your comment
303.	6/4/2011	General Comment	David Lamarre- Vincent/ New Hampshire Council of Churches	we commend the application's focus on the provision of recovery support services and a combined plan for the provision of services for individuals with cooccurring mental and substance use disordersWhile addressing the critical issues of parity and health care reform, family involvement must be embedded within the entire state and tribal planning process. I will look forward to seeing substance abuse/ co-occurring disorder family involvement and adolescent issues	Thank you for your comment

				included in the final version of the block grant language.	
304.		General		Let me commend the Substance Abuse and Mental	Thank you for your comment.
		Comment		Health Services Administration (SAMHSA) for	, ,
				identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
				draft language of the Block Grant Application I would	
				like to commend the application's focus on the	
			Timothy Lena/	provision of recovery support services and a combined	
			Timberlane, New	plan for the provision of services for individuals with	
	6/4/2011		Hampshire	co-occurring mental and substance use disorders.	
305.		General		Let me commend the Substance Abuse and Mental	Thank you for your comment
		Comment		Health Services Administration (SAMHSA) for	
				identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
				draft language of the Block Grant Application I would	
				like to commend the application's focus on the	
				provision of recovery support services and a combined	
			Gayle Brady/	plan for the provision of services for individuals with	
	6/4/2011		General Public	co-occurring mental and substance use disorders.	
306.	6/4/2011	General	Lois DeMott/	In regards to the consolidation of the Mental Health	SAMHSA is not consolidating the
		Comment	Association for	Services Block Grant and the Substance Abuse and	CMHSBG and the SAPTBG.
			Children's Mental	Prevention Treatment Block Grant I am concerned	States are encouraged to
			Health	with the proposed changes, it will have a devastating	prepare and submit joint State

					plans for mental and substance use disorders. In the event that States choose to submit separate plans for the use of CMHBG and SAPTBG, at a minimum, such plans must provide evidence of States' joint
				effect on my family, as well as other families who may	planning for (1) the bidirectional integration of behavioral health
				need to access services in the future as well as who	and primary care; (2) recovery
				are currently accessing services I ask that you	support services; and (3) co-
				reconsider this consolidation process, and do what is	occurring mental and substance
				right and best for families throughout our nation.	use disorders.
307.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment.
		Comment		who need treatment for substance abuse disorders as	
	. / / / / 00 / 4		Cicely Calvaresi/	a priority population that should be addressed by	
222	6/4/2011		General Public	block grant recipients.	0 11 10 (711 1/1/ 0 1 0
308.	6/4/2011	General	Vicki Boudreaux/	It is critical that SAMHSA ensures that states allocate a	Section 19xx of Title XIX, Part B,
		Comment	Acadiana Youth,	minimum percentage of their block grant funding to	Subpart I of the PHS Act (42
			Inc., Louisiana	support empirically supported community-based services for children and youth and their	U.S.C. 300x-xx) includes minimum set-asides for certain
				familiesWith this in mind, I urge you to:	authorized activities and/or
				1. Ensure that a certain minimum percentage of Block	services for SED adolescents and
				Grant resources be allocated to children and youth	Sections 1922(a), 1922(b), and
				with behavioral health needs and their families;	1924(b) of Title XIX, Part B,
				2. Preserve the system of care (SOC) grant program	Subpart II of the PHS Act (42
				and provide additional language highlighting the SOC	U.S.C. 300x-22(a); 42U.S.C.
				approach as a best practice in serving children and	300x-22(b); and 42 U.S.C. 300x-
				youth with complex behavioral health needs and their	24(b)) includes minimum set-
				families;	asides (performance
				3. Include specific requirements on meeting the needs	requirements) for primary
				of children and youth with behavioral health needs	prevention, pregnant women

				and their families, and develop a special monitoring unit to ensure compliance; and 4. Ensure that states and other block grant recipients receive Technical Assistance and consultation on best practice approaches to serving children and youth with complex behavioral health needs and their families.	and women with dependent children, and, for "designated States", early intervention services for HIV. Such set-asides (performance requirements) appear in the authorizing legislation and/or implementing regulation. Such set-asides (performance requirements) are authorized by amendment to the PHS Act In addition, the proposed application contains language requesting States to use a SOC approach in addressing youth and families with mental health needs. We will take the fourth comment under consideration as SAMHSA is developing its technical assistance plans for FY 2012.
309.	6/4/2011	General Comment	Erick Bruns/ University of Washington	It is critical that SAMHSA ensures that states allocate a minimum percentage of their block grant funding to support empirically supported community-based services for children and youth and their familiesWith this in mind, I urge you to: 1. Ensure that a certain minimum percentage of Block Grant resources be allocated to children and youth with behavioral health needs and their families; 2. Preserve the system of care (SOC) grant program and provide additional language highlighting the SOC approach as a best practice in serving children and youth with complex behavioral health needs and their	Please see response to 308.

				families; 3. Include specific requirements on meeting the needs of children and youth with behavioral health needs and their families, and develop a special monitoring unit to ensure compliance; and 4. Ensure that states and other block grant recipients receive Technical Assistance and consultation on best practice approaches to serving children and youth with complex behavioral health needs and their families.	
310.	6/4/2011	General Comment	Andy Finch/ Vanderbilt University	I believe it is imperative that we target adolescents. One of the things we know that does not work well is training adolescents in adult programs with adult models. There really needs to be a focus on programs that are developmentally appropriate for adolescent and to involve families in planning, implementation and monitoring of adolescent care.	Thank you for your comment.
311.	6/4/2011	General Comment	Malisa Pearson/ General Public	It is crucial that SAMHSA's block grants provide adequate funding for Children's Mental Health services & supports as well as Family Support Services & Family Organizations. Please ensure that sufficient funding is preserved within the block grants for other families like mine!	Thank you for your comment. States have the opportunity to use Block Grant funds for consumer, family and recovery support organizations.
312.	6/4/2011	General Comment	Shelly Alvarez/ General Public	Raising a child such is mine is challenging. That challenge along with the emotional and financial strain associated with it can only "truly" be understood by others who are and/or have raised similar children. There is no book or training that can teach what the family support organizations have learned by listening and supporting actual families raising actual children faced with mental health needs. Our whole family has received, and continues	Thank you for your comment.

				to need a great deal of help from our local family	
				support organizations. It is important that SAMHSA's	
				block grants provide adequate funding for children's	
				mental health and family support services.	
313.		General		I am writing to commend SAMHSA on targeting	Thank you for your comment.
		Comment		adolescents, a subpopulation that is often overlooked	
				and/or poorly served by the general system of care.	
				One of the things we know that does not work well is	
				training adolescents in adult programs with adult	
				models. There really needs to be a focus on programs	
				that are developmentally appropriate for adolescent	
			Natalie Williams/	and to involve families in planning, implementation	
	6/4/2011		General Public	and monitoring of adolescent care	
314.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment.
		Comment	Joeleen Schnettler/	who need treatment for substance abuse disorders as	
			Daybreak Youth	a priority population that should be addressed by	
	6/4/2011		Services	block grant recipients.	
315.	6/4/2011	General	Sharon Morrison-	It is critical that SAMHSA ensures that states allocate a	See response to #308.
		Comment	Velasco/ Velasco	minimum percentage of their block grant funding to	
			Consulting	support empirically supported community-based	
				services for children and youth and their	
				familiesWith this in mind, I urge you to:	
				1. Ensure that a certain minimum percentage of Block	
				Grant resources be allocated to children and youth	
				with behavioral health needs and their families;	
				2. Preserve the system of care (SOC) grant program	
				and provide additional language highlighting the SOC	
				approach as a best practice in serving children and	
				youth with complex behavioral health needs and their	
				families;	
				3. Include specific requirements on meeting the needs	
				of children and youth with behavioral health needs	

				and their families, and develop a special monitoring unit to ensure compliance; and 4. Ensure that states and other block grant recipients receive Technical Assistance and consultation on best practice approaches to serving children and youth with complex behavioral health needs and their families.	
316.		General Comment		I am in recovery as well as the parent of a child with mental health needs and our whole family has	Thank you for your comment.
				received, and continues to need, a great deal of help	
				from family support organizations. It is important that	
			Lori Eva/ General	SAMHSA's block grants provide adequate funding for	
	6/4/2011		Public	children's mental health and family support services.	
317.		General		My ten year old adopted daughter has been a mental	Thank you for your comment.
		Comment		health receiver of services since she was four years	
				old The mental health services my daughter and we	
				as her adoptive parents receive are like a shining ray of	
				hope in such an isolating society. We do not receive	
				Medicaid as we are just over the dollar limit within a	
				couple thousand a year. So we pay over \$3,000	
				annually to keep a Blue Cross Blue Shield program my	
				husband held when he retired. Problem is, the family	
				out of pocket is \$1,800 prior to our 70% / 30% split.	
				Medical bills from last year are still not paid and	
				neither one of us workIt is extremely important to	
				our family that block grants supporting mental health	
				be secured with funding. This funding source aids the	
				mental health of children and family support systems	
				when they need it most. We support our daughter	
				and pray one day with all the talent invested in her	
			Linda Romanowski/	through the years of mental health service that she	
	6/4/2011		General Public	will be able to return to service others.	

318.		General			Title XIX, Part B, Subpart II of the
		Comment			PHS neither prescribes or
					prohibits the obligation and
					expenditure of SAPTBG funds
					for SUD services and related
					recovery support services for
				Michael Dennis, PhD from Chestnut Health Systems	adolescents. States have the
				shared his letter and indicated that we could use any	flexibility to obligate and expend
				or all of it. As Dr. Dennis has indicated, the onset of	such funds for such services for
				substance abuse/dependence is before 18 years of	adolescents. However, SAMHSA
				age. Moreover, at a recent community forum in	encourages States to utilize
				Tucson, Thomas Insel, PhD the Director for the	Early, Periodic, Screening,
				National Institute on Mental Health indicated that	Diagnosis, and Treatment
				mental illness is a developmental disease and we need	(EPSDT) funds authorized by
				to get better at early identification and intervention to	Title XIX of the Social Security
				help youth, families, and communities. Based on this	Act (Medicaid) or 3 rd party
			<u>Juanitatucson@aol.c</u>	science, how can SAMHSA NOT include youth and	health insurance, if available, for
	6/4/2011		<u>om</u>	families? Please continue funding these programs.	such services.
319.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment.
		Comment		who need treatment for substance abuse disorders as	
			Kristopher Vilamaa/	a priority population that should be addressed by	
	6/3/2011		General Public	block grant recipients.	
320.		General		It is important that SAMHSA's block grants provide	Thank you for your comment.
		Comment		adequate funding for children's mental health and	
				family support services. Without these services many	
				families will not be able to hold together and survive.	
				For our family without having these folks come and	
				help, I know our family would not have been able to	
			Lou Anne Kramer/	be as strong as we are and support each other during	
	6/3/2011		General Public	a crisis	
321.	6/3/2011	General	Chris Farentinos/ De	I want to commend SAMHSA for including adolescents	Thank you for your comment.
		Comment	Paul Treatment	who need treatment for substance abuse disorders as	

				a priority population that should be addressed by	
			Centers	block grant recipients.	
322.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment.
		Comment		who need treatment for substance abuse disorders as	
			Dionnea Andricos/	a priority population that should be addressed by	
	6/3/2011		Sea Mar Visions	block grant recipients.	
323.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment.
		Comment		who need treatment for substance abuse disorders as	
				a priority population that should be addressed by	
	6/3/2011		Karin Schaff/ Voaak	block grant recipients.	
324.		General		Please continue to help support adolescents as a	SAMHSA concurs. Thank you for
		Comment		special population for drug and alcohol treatment	your comment.
				grants. SAMHSA is an important resource in our field.	
				Adolescents have special needs and concerns in drug	
				treatment- they can't be treated the same way as	
				adults, and should not be overlooked. If our goal is to	
				provide effective treatment for as many people as	
				possible, we must start with teens. Much of the adult	
				addict population started their use as children or	
				teens, and if we can provide treatment at young ages,	
			Alia Marshall/ Sea	we can help some people get on their feet before it is	
	6/3/2011		Mar Visions	too late.	
325.	6/3/2011	General	Tami Silvera/ EGSD	The delivery of substance abuse prevention and	Thank you for your comment.
		Comment		intervention services to youth is vital. If we can deliver	SAMHSA requires that States
				the prevention message, put in place effective youth	spend at least 20% of their
				development strategies and employ quality substance	SAPTBG allotment on primary
				abuse treatment professionals, then we may really be	prevention programs for
				able to effect change with our youth population. I	persons who do not require
				strongly encourage SAMHSA to make adolescents a	treatment. States also must
				"priority population" for funding and programming.	report their spending on
					primary prevention. Some
					States spend more on primary

do so. States should make prevention a top priority, taking advantage of science, best practices in community coordination, proven planning processes like the strategic prevention framework (SPF) and science in the 2009 Institute of Medicine report entitled "Preventing Mental, Emotional, and Behavioral Disorders Among Young People: Progress and Possibilities" to develop effective prevention strategies and place a priority on targeting high need communities. 326. General Comment Comment Jahnel Burgess/ Sea Mar Visions Jahnel Burgess/ S						prevention and may continue to
top priority, taking advantage of science, best practices in community coordination, proven planning processes like the strategic prevention framework (SPF) and science in the 2009 Institute of Medicine report entitled "Preventing Mental, Emotional, and Behavioral Disorders Among Young People: Progress and Possibilities" to develop effective prevention strategies and place a priority on targeting high need communities. 326. General Comment 327. 6/3/2011 General Comment 328. Frank Schafidi/ WesCare Foundations, Florida General Comment 4 I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as a priority population that should be addressed by block grant recipients. 1 I want to commend SAMHSA on targeting adolescents who need treatment for substance abuse disorders as a priority population that should be addressed by block grant recipients. 1 I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as a priority population that should be addressed by block grant recipients. 1 I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as a priority population that should be addressed by block grant recipients. 1 I want to commend SAMHSA for including adolescents as a priority population that is often overlooked and/or poorly served by the general system of care. I further commend SAMHSA for recognizing the importance of including youth populations with special needs such as those from military families, having						do so.
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needs such as those from military families, having						
trailma nictoriac haad clinctance ablice treatment and					trauma histories, need substance abuse treatment and	

				the GLBTQ youth. One of the things we know that does not work well is training adolescents in adult programs with adult models. There really needs to be a focus on programs that are developmentally appropriate for adolescent and to involve families in planning, implementation and monitoring of adolescent care.	
328.	6/3/2011	General	David Jones/	SAMHSA is strongly urged to revise the Unified	The proposed Block Grant
		Comment	Baltimore Mental	Application to emphasize 1) the needs of children and	application requires States to
			Health System	youth with serious emotional disturbance (SED)	include youth with SED in their
				and/or substance abuse (SA) needs and their families	needs assessment and requests
				and 2) the importance of using System of Care (SOC)	that States include youth with
				approaches to serve them in their homes and	SUD in their needs assessment
				communities. Recommendation 1: Provide additional	and planning efforts. In addition
				language highlighting the SOC approach as a best	SAMHSA believes that the
				practice in serving children and youth with SED and/or	proposed application contains
				SA and their families; Recommendation 2: Ensure that	sufficient guidance to States
				a certain minimum percentage of MHSBG and SAPTBG	regarding using a SOC approach.
				dollars be allocated to children and youth with SED	SAMHSA prefers that the
				and/or SA needs and their families; Recommendation	allocation of funding be
				3: Include specific requirements on meeting the needs	determined by the States, based
				of children and youth with SED and/or SA needs and	upon their needs assessments
				their families, and develop a special monitoring unit to	and specific state priorities.
				ensure compliance; and Recommendation 4: Require	SAMHSA will include language in
				that experts on the needs of and best practice	the application to highlight the
				approaches to serving children and youth with SED	SOC approach. SAMHSA will
				and/or SA needs and their families be included in	provide TA and consultation on
				federal and state planning efforts, in conjunction and	best practice approaches to
				coordinated with other technical assistance provided	serving children and youth
				to states and communities from SAMHSA and	SAMHSA will take into
				Administrations with the U.S. Department of Health	consideration the
				and Human Services, including the Administration on	recommendation for a special

				Children and Families (ACF) and the Centers for	monitoring unit.
				Medicaid & Medicare Services (CMS).	
329.		General		From both a personal and professional perspective, it	SAMHSA concurs. Thank you for
		Comment		is important to me, to my children, and to my	your comments
				community that families have access to necessary	
				children's mental health services as well as	
				comprehensive family supports from family support	
				organizations. In order to be effective, SAMHSA's block	
				grants must provide adequate funding both for	
				children's mental health and related family support	
				services. My family has benefited greatly from such	
				support services, and I have personally witnessed the	
				positive impact of such services on many other	
				families. I do not believe that we can help children if	
				we do not concurrently help their families. I hope that	
			Jennifer Horton/	SAMHSA will ensure adequate funding for the	
	6/3/2011		General Public	continuum of needs that our families share.	
330.		General		I represent Oregon providers who commend SAMHSA	Thank you for your comment.
		Comment	Sheila North/ De	for including adolescents who need treatment for	
			Paul Treatment	substance abuse disorders as a priority population that	
	6/3/2011		Centers	should be addressed by block grant recipients.	
331.		General	Sandi Snelgrove/	I want to commend SAMHSA for including adolescents	Thank you for your comment.
		Comment	Another Choice	who need treatment for substance abuse disorders as	
			Another Chance,	a priority population that should be addressed by	
	6/3/2011		California	block grant recipients.	
332.	6/3/2011	General	David Manitsas/	I urge you to: 1. Allocate a certain minimum	Please refer to response #328.
		Comment	Family and Youth	percentage of Block Grant resources for services to	
			Program, Oregon	children and youth with behavioral health needs; 2.	
				Preserve the system of care grant program as a best	
				practice in serving children and youth with complex	
				behavioral health needs; and 3. Include specific	
				requirements related to meeting the needs of children	

				and youth with behavioral health needs, and develop	
				a special monitoring unit to ensure compliance.	
333.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment.
		Comment		who need treatment for substance abuse disorders as	
			Tara James/ General	a priority population that should be addressed by	
	6/3/2011		Public	block grant recipients.	
334.		General		It is suggested that major changes to the Substance	SAMHSA disagrees with this
		Comment		Abuse Prevention and Treatment Block Grant	comment. There are many
				application process be delayed until expiration of the	opportunities that States can
				currently approved format. Rather, efforts should be	take advantage of now and
				made to collaborate with agencies within the	during FY 2012/2013 and not
			Cheryl Richard on	Department of Health and Human Services, as well as	wait until FY 2014. SAMHSA has
			behalf of Robin	with the Governors Association and affected agencies	worked closely with other HHS
			Rothermel/Bureau	of the states, to develop a strategy for implementation	operating divisions and agencies
			of Drug and Alcohol	of the Affordable Care Act and the Mental Health	regarding federal initiatives,
	6/3/2011		Programs	Parity and Addictions Equity Act.	health reform and MHPAEA.
335.		General	D. Paul Moberg/	I am very pleased to see that SAMHSA is targeting	Thank you for your comment.
		Comment	University of	adolescents in the proposed Unified Block grant	
	6/3/2011		Wisconsin	application.	
336.	6/3/2011	General	Brad Munger/ Dept.	I took a look at the FAQ responses on the webpage	SAMHSA has amended the block
		Comment	of Health Services,	and still had a couple of questions I hope you can help	grant to address the application
			Wisconsin	me with, please. One was with respect to Table 8. Is	submissions timeframes.
				this table referring specifically to Block Grant planned	
				expenditures or planned system expenditures?	
				Additionally, wanted to verify what I heard on one of	
				the conference calls that Table 6 is no longer being	
				required. And finally, with respect to the permitted	
				"phased" or rolling submission process, I know that	
				the only required sections for a MHBG application are	
				3a, 3b, 3o, and 3p; Section 4; and Subsections 7a and	
				7c. We are attempting to address all of the sections,	
				but I wanted to be sure that we have time to secure	

			T		
				section 3n (Support of State Partners). As was pointed	
				out on one of the calls, it will take time to develop the	
				level of alignment and solid collaboration from our	
				State partners; such that it seems that we will not be	
				able to secure letters of support, meaningful	
				collaboration, and agreement with our State Mental	
				Health Plan and MHBG process. So, I simply want to	
				be sure that we won't run into problems if our Sept. 1	
				application comes in without those letters of support	
				in place.	
337.		General		We are writing to strongly endorse SAMHSA's efforts	Thank you for your comment.
		Comment		to prioritize research on adolescents, a subpopulation	
			Kathryn McCollister/	that is often overlooked and/or poorly served by the	
	6/3/2011		University of Miami	general system of care.	
338.		General		SAMHSA is to be commended on targeting	Thank you for your comment.
		Comment		adolescents, a subpopulation that is often overlooked	
				and/or poorly served by the general system of care.	
				We know that adolescents are not well served in adult	
			Julianne Petterson/	programs. We need more programs that are	
			Mountlake Terrace	developmentally appropriate for adolescents and	
			High School,	which involve families in planning, implementation	
	6/3/2011		Washington State	and monitoring of adolescent care.	
339.		General	Kim Beeson, Puget		Thank you for your comment.
		Comment	Sound Educational	I commend you for assuring an adolescent focus on	
	6/3/2011		Service District	this Block grant proposed revision.	
340.		General	Rita Moore/	I want to commend SAMHSA for including adolescents	Thank you for your comment.
		Comment	Multnomah County	who need treatment for substance abuse disorders as	
			Dept. of county	a priority population that should be addressed by	
	6/3/2011		Human Services	block grant recipients.	
341.		General		I would like to recommend that SAMHSA require	Thank you for your
		Comment	albertstein.picket@	language data collection to assist us in providing	recommendation. SAMHSA will
	6/3/2011		dmh.state.ms.us	quality services that are culturally and linguistically	be working closely with States

342.		General		competent. It is our responsibility to assess the needs of individuals receiving our services and, if there is a language barrier, the quality of the service is jeopardized. I want to commend SAMHSA for including adolescents	and other stakeholders to review our data collection strategies for future changes and will take this important comment under consideration. Thank you for your comment.
342.		Comment	Jenna Nevills/ De	who need treatment for substance abuse disorders as	mank you for your comment.
			Paul Treatment	a priority population that should be addressed by	
	6/3/2011		Centers	block grant recipients.	
343.		General		I am writing in support of SAMHSA's identification of	Thank you for your comment.
		Comment		youth with substance use disorders (SUD) as a	
			Cathy Finck/	population with evolving needs in the Block Grant	
	6/6/2011		General Public	Application Guidance and Instructions	
344.		General		Let me commend the Substance Abuse and Mental	Thank you for your comment
		Comment		Health Services Administration (SAMHSA) for	
				identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
				draft language of the Block Grant Application I would	
			Lisa Stalnaker/	like to commend the application's focus on the	
			Families of	provision of recovery support services and a combined	
			Addiction,	plan for the provision of services for individuals with	
	6/6/2011		Pennsylvania	co-occurring mental and substance use disorders.	
345.	6/6/2011	General	Devon Howe/	Let me commend the Substance Abuse and Mental	Thank you for your comment
		Comment	General Public	Health Services Administration (SAMHSA) for	
				identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	

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				support for your addition of family involvement in the	
				draft language of the Block Grant Application I would	
				like to commend the application's focus on the	
				provision of recovery support services and a combined	
				plan for the provision of services for individuals with	
				co-occurring mental and substance use disorders.	
346.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment.
		Comment	Johanna Lawson/	who need treatment for substance abuse disorders as	
			Daybreak Youth	a priority population that should be addressed by	
	6/6/2011		Services	block grant recipients.	
347.		General		I would like to see "Preferred Language" as part of this	SAMHSA is unclear which
		Comment		process. Many deaf and hard of hearing are still being	process the respondent is
	6/6/2011		Gary Talley/ VDDHH	denied language access in medical appointments.	referring to.
348.		General		Let me commend the Substance Abuse and Mental	Thank you for your comment
		Comment		Health Services Administration (SAMHSA) for	
				identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
				draft language of the Block Grant Application I would	
				like to commend the application's focus on the	
				provision of recovery support services and a combined	
			Patricia Genereux/	plan for the provision of services for individuals with	
	6/6/2011		General Public	co-occurring mental and substance use disorders.	
349.	6/6/2011	General	Terri Mostiller/	Let me commend the Substance Abuse and Mental	Thank you for your comment
		Comment	Wesley Common,	Health Services Administration (SAMHSA) for	
			South Carolina	identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				importante population than ovolving needs for the mot	

350.		General Comment	Pamela Talbot/ Bristol County Sheriff's Office,	addition of family involvement in the draft language of the Block Grant Application I also want to state my support for your addition of family involvement in the draft language of the Block Grant Application I would like to commend the application's focus on the provision of recovery support services and a combined plan for the provision of services for individuals with co-occurring mental and substance use disorders. I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as a priority population that should be addressed by	Thank you for your comment.
	6/6/2011		Massachusetts	block grant recipients.	
351. 352.	6/6/2011	General Comment General Comment	Alyson Rush/ General Public	I am concerned to note that while a large population has been identified as targets for mental health services, older adults, the fastest growing demographic in the US, was omitted. Older adults cut across all other target populations and should be a focus point for mental health and substance misuse service provisions. I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as	The age ranges that are reported on in the plan and report sections include information on older adults. As states assess their systems and identify gaps, they will include older adults in that data analysis. Thank you for your comment.
		Comment	Carl Ravencroft/	a priority population that should be addressed by	
	6/6/2011		General Public	block grant recipients.	
353.	6/6/2011	General Comment	Shauna Mann/ Sea Mar Visions	I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as a priority population that should be addressed by block grant recipients.	Thank you for your comment.
354.	6/6/2011	General Comment	Judy Kirkwood/ General Public	I support your addition of family involvement in the draft language of the Block Grant Application.	Thank you for your comment.
355.	6/6/2011	General Comment	Tamara Zaferatos/ Sea Mar Visions	I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as	Thank you for your comment.

				a priority population that should be addressed by block grant recipients.	
356.		General		Let me commend the Substance Abuse and Mental	Thank you for your comment.
330.		Comment		Health Services Administration (SAMHSA) for	Thank you for your comment.
		Comment		identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
			Margaret	draft language of the Block Grant Application I would	
			Polovchak/ Maine	like to commend the application's focus on the	
			Community Youth	provision of recovery support services and a combined	
			Assistance	plan for the provision of services for individuals with	
	6/6/2011		Foundation	co-occurring mental and substance use disorders.	
357.		General		The Substance Abuse and Mental Health Services	Thank you for your comment.
		Comment		Administration (SAMHSA) is to be commended for	
				identifying youth with substance use disorders as an	
				important population with evolving needs. Your	
				addition of family involvement in the draft language of	
				the Block Grant Application is very much needed. It	
				was especially encouraging to learn that a	
				recommendation was made to states to describe their	
				effort to actively engage individuals and families in	
			Nancy Starr/	developing, implementing, and monitoring the State	
	6/6/2011		General Public	Substance Abuse and Mental Health systems.	
358.		General	Dennis Ballinger/		Thank you for your comment.
		Comment	Kent Youth and	I am writing to commend SAMHSA on targeting	
			Family Services,	adolescents, a subpopulation that is often overlooked	
	6/6/2011		Washington	and/or poorly served by the general system of care.	
359.	6/6/2011	General	Celia Arriaga/	I want to commend SAMHSA for including adolescents	Thank you for your comment.
		Comment	Seattle Public	who need treatment for substance abuse disorders as	

			Schools,	a priority population that should be addressed by	
			Washington	block grant recipients.	
360.		General	Lisa Stalnaker/	I want to commend SAMHSA for including adolescents	Thank you for your comment.
		Comment	Families of	who need treatment for substance abuse disorders as	
			Addiction,	a priority population that should be addressed by	
	6/6/2011		Pennsylvania	block grant recipients.	
361.		General		In Step One, states should address the strengths and	SAMHSA concurs with the
		Comment		needs of the service system to address the specific	importance of including family
				populations in conjunction with family members of	members in all aspects of the
			Jackie Hensley/	children from that population and in conjunction with	planning process.
	6/6/2011		General Public	youth and adult consumers from that population.	
362.		General		Under Information on Activities that Support	SAMHSA concurs and has
		Comment		Individuals in Directing the Services, SAMHSA should	revised the application to
				also ask States to provide information about policies	include this language.
				and programs that allow custodial parents of children	
			Jackie Hensley/	with mental illness and/or substance use disorders to	
	6/6/2011		General Public	direct their children's care.	
363.		General		Under Process for Comment on State Plan, SAMHSA	This is included in the request
		Comment		should ask States to describe their efforts and	for description of obtaining
				procedures to obtain public comment from consumers	public comment
				and family members of children consumers, use of	
				electronic media for posting of the draft plan and	
			Jackie Hensley/	solicitation of comments on the development of and	
	6/6/2011		General Public	draft State plan.	
364.		General		Under Description of Processes to Involve Individuals	SAMSHA will take into account
		Comment		and Families, SAMHSA should more than request that	this recommendation for
				States describe their efforts; it should be required of	subsequent applications.
				states and supported by a budget line item. This is	SAMHSA does not concur at this
				necessary because we have seen too often State	time.
				governments fail to adequately involve family	
			Jackie Hensley/	members. Requiring States to do this will force States	
	6/6/2011		General Public	to make family involvement a higher priority.	

365.		General		Under Description of the Use of Technology, we would	SAMHSA concurs and will make
		Comment		like to see SAMHSA include asking States how they	this change
				plan to use ICTs for not only health care services, but	
			Jackie Hensley/	also support services. Many of these support services	
	6/6/2011		General Public	are necessary for children's resilience.	
366.		General		Under Description of State Behavioral Health Advisory	While SAMHSA agrees with the
		Comment		Council, we would like to see SAMHSA also strongly	direction, we will not require
				encourage States to use the council to advise and	such action
				consult regarding issues and services for children	
				through the voice of parents with children with or at	
			Jackie Hensley/	risk of behavioral (including substance use) or mental	
	6/6/2011		General Public	health disorders as well.	
367.		General		Under Information on Data and Information	SAMHSA will take this
		Comment		Technology, SAMHSA should require that states	recommendation under
				include disaggregated data by race, ethnicity and	consideration as it reviews and
				language in all of the reports. This information is	revised its data collection and
			Jackie Hensley/	critical to informing us of where there are health	outcome measures.
	6/6/2011		General Public	disparities among certain populations.	
368.		General		Let me commend the Substance Abuse and Mental	Thank you for your comment.
		Comment		Health Services Administration (SAMHSA) for	
				identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
				draft language of the Block Grant Application I would	
				like to commend the application's focus on the	
				provision of recovery support services and a combined	
			Diane Drumm/	plan for the provision of services for individuals with	
	6/6/2011		General Public	co-occurring mental and substance use disorders.	
369.	6/6/2011	General	Scott Chipman/ San	Let me commend the Substance Abuse and Mental	Thank you for your comment.

		Comment		Health Services Administration (SAMHSA) for	
		Comment			
				identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
				draft language of the Block Grant Application I would	
				like to commend the application's focus on the	
				provision of recovery support services and a combined	
			Diegans for Safe	plan for the provision of services for individuals with	
			Neighborhoods	co-occurring mental and substance use disorders.	
370.		General		Let me commend the Substance Abuse and Mental	Thank you for your comment.
		Comment		Health Services Administration (SAMHSA) for	
				identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
				draft language of the Block Grant Application I would	
				like to commend the application's focus on the	
				provision of recovery support services and a combined	
			Tracy Camble/	plan for the provision of services for individuals with	
	6/6/2011		General Public	co-occurring mental and substance use disorders.	
371.	6/6/2011	General	Doreen Cavanaugh/	I am writing in support of SAMHSA's identification of	Thank you for your comment
		Comment	Georgetown	youth with substance use disorders (SUD) as a	
			University	population with evolving needs in the Block Grant	
				Application Guidance and Instructions By including	
				youth with substance use disorders in the Block Grant	
				Application Guidance and Instructions language and by	
				requesting States to actively engage individuals and	

				families in developing, implementing and monitoring	
				the State system, SAMHSA highlights a population,	
				who if served well, may not only improve quality of life	
				now but may also reduce demand on the entire	
				substance use disorders system for years to come.	
372.		General		Let me commend the Substance Abuse and Mental	Thank you for your comment
		Comment		Health Services Administration (SAMHSA) for	
				identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
				draft language of the Block Grant Application I would	
				like to commend the application's focus on the	
				provision of recovery support services and a combined	
			Michelle Hines/	plan for the provision of services for individuals with	
	6/6/2011		General Public	co-occurring mental and substance use disorders.	
373.		General		Let me commend the Substance Abuse and Mental	Thank you for your comment
		Comment		Health Services Administration (SAMHSA) for	
				identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
				draft language of the Block Grant Application I would	
				like to commend the application's focus on the	
				provision of recovery support services and a combined	
			Gail Golec/ General	plan for the provision of services for individuals with	
	6/6/2011		Public	co-occurring mental and substance use disorders.	
374.	6/6/2011	General	Mike Mayer/	We specifically support:	Thank you for your comment

		Comment			
				Consolidation of data collection and outcomes measurement.	
				Caregiver and family support services.	
				Integrated mental health and substance use treatment and services.	
				Funding of priority treatment and support services not covered by Medicaid, Medicare, or private insurance offered through the exchanges and that demonstrate success in improving outcomes and/or supporting recovery, especially housing, competitive employment supports, jail diversion, transportation, and intensive evidence based programs.	
			Community Resource Alliance	Funding of priority treatment and support services for individuals without insurance or who cycle in and out of health insurance coverage.	
375.	6/6/2011	General Comment	Aaran McKinnon/ National Federation of Families for Children's Mental Health	In Step One, states should address the strengths and needs of the service system to address the specific populations in conjunction with family members of children from that population and in conjunction with youth and adult consumers from that population.	Please see response #361-367 and #441.
				Under Information on Activities that Support Individuals in Directing the Services, SAMHSA should also ask States to provide information about policies and programs that allow custodial parents of children with mental illness and/or substance use disorders to direct their children's care.	

Under Process for Comment on State Plan, SAMHSA should ask States to describe their efforts and procedures to obtain public comment from consumers and family members of children consumers, use of electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan.

Under Description of Processes to Involve Individuals and Families, SAMHSA should more than request that States describe their efforts; it should be required of states and supported by a budget line item. This is necessary because we have seen too often State governments fail to adequately involve family members. Requiring States to do this will force States to make family involvement a higher priority.

Under Description of the Use of Technology, we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services are necessary for children's resilience.

Under Description of State Behavioral Health Advisory Council, we would like to see SAMHSA also strongly encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well.

				Under Information on Data and Information	
				Technology, SAMHSA should require that states	
				include disaggregated data by race, ethnicity and	
				language in all of the reports. This information is	
				critical to informing us of where there are health	
				disparities among certain populations.	
376.		General		Let me commend the Substance Abuse and Mental	Thank you for your comment
		Comment		Health Services Administration (SAMHSA) for	
				identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
			Dottie Scher/	draft language of the Block Grant Application I would	
			Interagency Child	like to commend the application's focus on the	
			Abuse Prevention	provision of recovery support services and a combined	
			Council of Gaston	plan for the provision of services for individuals with	
	6/6/2011		County. Inc.	co-occurring mental and substance use disorders.	
377.		General	,	Let me commend the Substance Abuse and Mental	Thank you for your comment
		Comment		Health Services Administration (SAMHSA) for	
				identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
				draft language of the Block Grant Application I would	
				like to commend the application's focus on the	
				provision of recovery support services and a combined	
			Sarah Reichling/	plan for the provision of services for individuals with	
	6/6/2011		General Public	co-occurring mental and substance use disorders	

378.		General		Let me commend the Substance Abuse and Mental	Thank you for your comment
		Comment		Health Services Administration (SAMHSA) for	
				identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
				draft language of the Block Grant Application I would	
				like to commend the application's focus on the	
				provision of recovery support services and a combined	
			Katie Beeh/ General	plan for the provision of services for individuals with	
	6/6/2011		Public	co-occurring mental and substance use disorders.	
379.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment		who need treatment for substance abuse disorders as	
				a priority population that should be addressed by	
			Rebecca Reddin/	block grant recipients.	
	6/6/2011		Sea Mar Visions		
380.	6/6/2011	General	Kathleen	In Step One, states should address the strengths and	Please see response #361-367
		Comment	Garthwaite/ General	needs of the service system to address the specific	and #441.
			Public	populations in conjunction with family members of	
				children from that population and in conjunction with	
				youth and adult consumers from that population.	
				Under Information on Activities that Support	
				Under Information on Activities that Support	
				Individuals in Directing the Services, SAMHSA should	
				also ask States to provide information about policies	
				and programs that allow custodial parents of children with mental illness and/or substance use disorders to	
				,	
				direct their children's care.	
				Under Process for Comment on State Plan, SAMHSA	
				1	

should ask States to describe their efforts and procedures to obtain public comment from consumers and family members of children consumers, use of electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan.

Under Description of Processes to Involve Individuals and Families, SAMHSA should more than request that States describe their efforts; it should be required of states and supported by a budget line item. This is necessary because we have seen too often State governments fail to adequately involve family members. Requiring States to do this will force States to make family involvement a higher priority.

Under Description of the Use of Technology, we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services are necessary for children's resilience.

Under Description of State Behavioral Health Advisory Council, we would like to see SAMHSA also strongly encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well.

Under Information on Data and Information Technology, SAMHSA should require that states

				include disaggregated data by race, ethnicity and language in all of the reports. This information is critical to informing us of where there are health disparities among certain populations.	
381.		General Comment	Lisa MacNaughton/	Let me commend the Substance Abuse and Mental Health Services Administration (SAMHSA) for identifying youth with substance use disorders as an important population with evolving needs for the first time I also want to state my support for your addition of family involvement in the draft language of the Block Grant Application I also want to state my support for your addition of family involvement in the draft language of the Block Grant Application I would like to commend the application's focus on the provision of recovery support services and a combined plan for the provision of services for individuals with	Thank you for your comment
	6/6/2011		General Public	co-occurring mental and substance use disorders.	
382.	6/6/2011	General Comment	Martha King/ The Awareness Group of Hanover	Let me commend the Substance Abuse and Mental Health Services Administration (SAMHSA) for identifying youth with substance use disorders as an important population with evolving needs for the first time I also want to state my support for your addition of family involvement in the draft language of the Block Grant Application I also want to state my support for your addition of family involvement in the draft language of the Block Grant Application I would like to commend the application's focus on the provision of recovery support services and a combined plan for the provision of services for individuals with co-occurring mental and substance use disorders.	Thank you for your comment
383.	6/6/2011	General Comment	Ken Martinez/ General Public	In Step One, states should address the strengths and needs of the service system to address the specific	Please see response #361-367 and #441.

populations in conjunction with family members of children from that population and in conjunction with youth and adult consumers from that population.

Under Information on Activities that Support Individuals in Directing the Services, SAMHSA should also ask States to provide information about policies and programs that allow custodial parents of children with mental illness and/or substance use disorders to direct their children's care.

Under Process for Comment on State Plan, SAMHSA should ask States to describe their efforts and procedures to obtain public comment from consumers and family members of children consumers, use of electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan.

Under Description of Processes to Involve Individuals and Families, SAMHSA should more than request that States describe their efforts; it should be required of states and supported by a budget line item. This is necessary because we have seen too often State governments fail to adequately involve family members. Requiring States to do this will force States to make family involvement a higher priority.

Under Description of the Use of Technology, we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services

			I		<u> </u>
				are necessary for children's resilience.	
				Under Description of State Behavioral Health Advisory Council, we would like to see SAMHSA also strongly encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well.	
				Under Information on Data and Information	
				Technology, SAMHSA should require that states	
				include disaggregated data by race, ethnicity and	
				language in all of the reports. This information is	
				critical to informing us of where there are health	
				disparities among certain populations.	
384.		General		Let me commend the Substance Abuse and Mental	Thank you for your comment
		Comment		Health Services Administration (SAMHSA) for	
				identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
				draft language of the Block Grant Application I would	
				like to commend the application's focus on the	
			Julie Pouilly/	provision of recovery support services and a combined	
			Geneva Coalition for	plan for the provision of services for individuals with	
	6/6/2011		Youth, Illinois	co-occurring mental and substance use disorders.	
385.	6/6/2011	General	Connie McLaughlin/	Let me commend the Substance Abuse and Mental	Thank you for your comment
		Comment	General Public	Health Services Administration (SAMHSA) for	
				identifying youth with substance use disorders as an	

				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
				draft language of the Block Grant Application I would	
				like to commend the application's focus on the	
				provision of recovery support services and a combined	
				plan for the provision of services for individuals with	
				co-occurring mental and substance use disorders.	
386.		General		Please include Youth with Substance Abuse Disorders	Thank you for your comment
		Comment		and Family Involvement programs as your board	
				revamps the block grant application for 2012 Thank	
				you for all SAMHSA doescontinue the work that	
				saves lives. It is critical to press forward and not hold	
			Lea Minalga/ Hearts	any dollars back or people will die.	
	6/6/2011		of Hope, Illinois		
387.	6/6/2011	General	Jeanette McDougal/	I commend the Substance Abuse and Mental Health	Thank you for your comment
		Comment	General Public	Services Administration (SAMHSA) for identifying	
				youth with substance use disorders as an important	
				population, who were previously undeserved I	
				support your addition of family involvement in the	
				draft language of the Block Grant Application I thank	
				SAMHSA for its recognition and inclusion of this critical	
				voice of family membersOur community will	
				continue to recommend and encourage family	
				involvement at all levels of State plan development.	
				We recognize there will be challenges to the	
				disbursement of block grant funds, due to the	
				existence of multiple priorities. Family involvement in	
				the adolescent treatment and recovery system is a	
				key component that requires support in order to	

				provide effective servicesl also commend the	
				application's focus on the provision of recovery	
				support services and a combined plan for the provision	
				of services for individuals with co-occurring mental	
				and substance use disorders.	
388.		General		. I thank the Substance Abuse and Mental Health	Thank you for your comment
		Comment		Services Administration (SAMHSA) for identifying	
				youth with substance use disorders as an important	
				population with evolving needs for the first time I	
				also want to state my support for your addition of	
				family involvement in the draft language of the Block	
				Grant Application. Additionally, I am pleased to see	
				that SAMHSA is requesting that States submit plans on	
				how they consulted with the Tribes and would like to	
				see that language also include a plan for actively	
				engaging families at the tribal level I would like to	
				commend the application's focus on the provision of	
				recovery support services and a combined plan for the	
			Vicki Foley/ General	provision of services for individuals with co-occurring	
	6/6/2011		Public	mental and substance use disorders.	
389.	6/6/2011	General	Lynne Windle/ PEP	Let me commend the Substance Abuse and Mental	Thank you for your comment
		Comment	Nevada	Health Services Administration (SAMHSA) for	, ,
				identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
				draft language of the Block Grant Application I would	
				like to commend the application's focus on the	
				provision of recovery support services and a combined	
				plan for the provision of services for individuals with	
				Plant for the provision of services for marviadals with	

				co-occurring mental and substance use disorders.	
390.		General		Let me commend the Substance Abuse and Mental	Thank you for your comment
		Comment		Health Services Administration (SAMHSA) for	
				identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
				draft language of the Block Grant Application I would	
				like to commend the application's focus on the	
			Claretta	provision of recovery support services and a combined	
			Witherspoon/	plan for the provision of services for individuals with	
	6/6/2011		General Public	co-occurring mental and substance use disorders.	
391.		General		I commend SAMHSA for including adolescents who	Thank you for your comment
		Comment		need treatment for substance abuse disorders as a	
				priority population that should be addressed by block	
				grant recipients I support your effort to focus policy	
			Amber Greves/	and treatment on this critical population. Thank you	
	6/6/2011		General Public	for your time.	
392.	6/6/2011	General	Andrea Webster/	Let me commend the Substance Abuse and Mental	Thank you for your comment
		Comment	Coranado SAFE,	Health Services Administration (SAMHSA) for	
			California	identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
				draft language of the Block Grant Application I would	
				like to commend the application's focus on the	
				provision of recovery support services and a combined	
			plan for the provision of services for individuals with		

				co-occurring mental and substance use disorders.	
393.		General		Let me commend the Substance Abuse and Mental	Thank you for your comment
		Comment		Health Services Administration (SAMHSA) for	
				identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
				draft language of the Block Grant Application I would	
				like to commend the application's focus on the	
			Beth Ann	provision of recovery support services and a combined	
			Thompson/ General	plan for the provision of services for individuals with	
	6/7/2011		Public	co-occurring mental and substance use disorders.	
394.		General		Let me commend the Substance Abuse and Mental	Thank you for your comment
		Comment		Health Services Administration (SAMHSA) for	
				identifying youth with substance use disorders as an	
				important population with evolving needs for the first	
				time I also want to state my support for your	
				addition of family involvement in the draft language of	
				the Block Grant Application I also want to state my	
				support for your addition of family involvement in the	
				draft language of the Block Grant Application I would	
				like to commend the application's focus on the	
				provision of recovery support services and a combined	
			<u>Le710@comcast.net</u>	plan for the provision of services for individuals with	
	6/7/2011		<u>/</u> General Public	co-occurring mental and substance use disorders.	
395.	6/7/2011	General	Joyce Nalepka/	I AM WRITING TO PLEAD THE CASE FOR MAKING	Thank you for your comment
		Comment	Drug-Free Kids:	CERTAIN THAT FAMILY, CHILD AND COMMUNITY	
			America's Challenge	ISSUES BEING DESIGNED WITH A "DRUG FREE"	
				FOUNDATION.	
				100112/1110111	

				I WOULD BE PLEASED TO BE INVITED TO ATTEND YOUR PROGRAMS AND GIVE INPUT INTO WHAT WORKED THAT GAVE POWER TO THE GOV'T'S STATEMENT THAT "PREVENTION WORKS" AND THEIR STATEMENT THAT WAS PUBLISHED SAYING, "PREVENTION WORKS" AND SHOWED A 50 % REDUCTION IN DRUG USE DURING THE REAGAN ADMINISTRATION. Our organization is a 501C3 non-profit. We are non-partisan and work to prevent drug use by any child.	
396.	6/7/2011	General	Kelly Lieupo on behalf of Arthur Dean/ Community Anit-Drug Coalitions of America	Ensure that the SAPT Block Grant continues to focus on the programmatic, financial, reporting and outcome measurements associated specifically with substance use/abuse prevention; Ensure that the emphasis and funding for bonafide substance use/abuse prevention is not diminished so that the maximum number of youth throughout the country can receive the benefit of these strategies, programs and services; Ensure that the SAPT Block Grant requirements are not overly prescriptive for prevention, as far as mandating a major focus only on communities at "highest risk."	Thank you for your comments. SAMHSA requires that States spend at least 20% of their SAPTBG allotment on primary prevention programs for persons who do not require treatment. States also must report their spending on primary prevention. Some States spend more on primary prevention and may continue to do so.
				Ensure that CADCA and other national substance abuse prevention organizations are involved in further planning and implementation of changes to the structure and reporting requirements of the SAPT and MHS Block Grants; and	States should make prevention a top priority, taking advantage of science, best practices in community coordination, proven planning processes like

				Ensure that the new consolidated application process clearly delineates which changes are optional and	the strategic prevention framework (SPF) and science in the 2009 Institute of Medicine report entitled "Preventing Mental, Emotional, and Behavioral Disorders Among Young People: Progress and Possibilities" to develop effective prevention strategies and place a priority on targeting high need communities. CADCA and other national substance abuse prevention organizations and other stakeholders will be involved in SAMHSA efforts regarding the reporting requirements for the SAPTBG. SAMHSA has made changes to the application to identify
				which are mandatory, as well as consequences for non compliance so that states do not expend unnecessary time and resources.	sections that are required versus requested.
397.	6/7/2011	General Comment	Mary Ellen Jones/ General Public	I want to emphasis the importance of the support services provided by the PACT teams that are not covered by Medicaid. These services are essential in order for individuals living with the challenge of severe and persistent mental illness to be maintained in the community rather than cycling in and out of emergency rooms and hospital inpatient facilities;	Thank you for your comment

				which is not only much better for the individual but is also less of a financial burden to society.	
398.	6/7/2011	General Comment	Lois DeMott on behalf of Gail Lanphear	I strongly support the need for a requirement for broad, ongoing parent/caregiver participation at both the state and federal level, in the planning, program development and evaluation of any block grant program I strongly support the comments written by the Federation of Families for Children's Mental Health.	Thank you for your comment
399.	6/7/2011	General	Cathie Wooledge/ Northern Regional Center for Independent Living	In Step One, states should address the strengths and needs of the service system to address the specific populations in conjunction with family members of children from that population and in conjunction with youth and adult consumers from that population. Under Information on Activities that Support Individuals in Directing the Services, SAMHSA should also ask States to provide information about policies and programs that allow custodial parents of children with mental illness and/or substance use disorders to direct their children's care. Under Process for Comment on State Plan, SAMHSA should ask States to describe their efforts and procedures to obtain public comment from consumers and family members of children consumers, use of electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan. Under Description of Processes to Involve Individuals and Families, SAMHSA should more than request that	Please see response #361-367 and #441.

				States describe their efforts; it should be required of states and supported by a budget line item. This is necessary because we have seen too often State governments fail to adequately involve family members. Requiring States to do this will force States to make family involvement a higher priority. Under Description of the Use of Technology, we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services are necessary for children's resilience. Under Description of State Behavioral Health Advisory Council, we would like to see SAMHSA also strongly encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well. Under Information on Data and Information Technology, SAMHSA should require that states include disaggregated data by race, ethnicity and language in all of the reports. This information is critical to informing us of where there are health disparities among certain populations.	
400.		General Comment		We urge that the request (for information) be clearly labeled in the application as optional. We also urge	Please see response to Comment 70.
		Comment	Timothy Reggev on	SAMHSA to indicate that the State's award will not be	Comment 70.
			behalf of Michael	impacted in any way should the section not be	
	6/6/2011		Gray/ National TASC	completed.	

401.		General		We recommend that a revised SAPT block grant ask	Block grant application has been
		Comment	Timothy Reggev on	the State substance abuse director about current work	revised to reflect this change.
			behalf of Michael	with Federally Qualified Health Centers (FQHCs) and	
	6/6/2011		Gray/ National TASC	State bureaus of primary care.	
402.		General	Timothy Reggev on	We recommend specific references to the term State	Please see response to
		Comment	behalf of Michael	substance abuse agency (rather than using the generic	Comment 73.
	6/6/2011		Gray/ National TASC	term "States").	
403.		General		A clear set of consistent criterion must be included in	Please see response to
		Comment	Timothy Reggev on	the final document for both State substance abuse	Comment 75.
			behalf of Michael	agencies and SAMHSA project officers to use when	
	6/6/2011		Gray/ National TASC	submitting and evaluating the application.	
404.	6/7/2011	General	Martha Lachetta/	Ensure that the SAPT Block Grant continues to focus	See response to #396.
		Comment	General Public	on the programmatic, financial, reporting and	
				outcome measurements associated specifically with	
				substance use/abuse prevention;	
				Ensure that the emphasis and funding for bonafide	
				substance use/abuse prevention is not diminished so	
				that the maximum number of youth throughout the	
				country can receive the benefit of these strategies,	
				programs and services;	
				programs and services,	
				Ensure that the SAPT Block Grant requirements are	
				not overly prescriptive for prevention, as far as	
				mandating a major focus only on communities at	
				"highest risk."	
				_	
				Ensure that CADCA and other national substance	
				abuse prevention organizations are involved in further	
				planning and implementation of changes to the	
				structure and reporting requirements of the SAPT and	
				MHS Block Grants; and	

				Ensure that the new consolidated application process clearly delineates which changes are optional and which are mandatory, as well as consequences for non compliance so that states do not expend unnecessary time and resources.	
405.	6/7/2011	General	Andrea Barnes/ National Federation of Families for Children's Mental Health	In Step One, states should address the strengths and needs of the service system to address the specific populations in conjunction with family members of children from that population and in conjunction with youth and adult consumers from that population. Under Information on Activities that Support Individuals in Directing the Services, SAMHSA should also ask States to provide information about policies and programs that allow custodial parents of children with mental illness and/or substance use disorders to direct their children's care. Under Process for Comment on State Plan, SAMHSA should ask States to describe their efforts and procedures to obtain public comment from consumers and family members of children consumers, use of electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan. Under Description of Processes to Involve Individuals and Families, SAMHSA should more than request that States describe their efforts; it should be required of states and supported by a budget line item. This is necessary because we have seen too often State	Please see response #361-367 and #441.

				governments fail to adequately involve family members. Requiring States to do this will force States to make family involvement a higher priority. Under Description of the Use of Technology, we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services are necessary for children's resilience. Under Description of State Behavioral Health Advisory Council, we would like to see SAMHSA also strongly encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well.	
406.	6/7/2011	General Comment	Angela Blais/ NEKCA Head Start	Under Information on Data and Information Technology, SAMHSA should require that states include disaggregated data by race, ethnicity and language in all of the reports. This information is critical to informing us of where there are health disparities among certain populations. We were pleased to see and heartily support the following additions and recommendations found in	Thank you for your comment
				the draft language of the FY 12/13 Substance Abuse/Mental Health Block Grant application: the addition of <i>family involvement</i> in the draft language	

				the recommendation made to States to describe their efforts to actively engage individuals and families in developing, implementing and monitoring the State substance abuse and mental health system the addition of specific language regarding adolescent treatment the recommendation that States submit plans on how they have consulted with the Tribes	
407.		General Comment		We were pleased to see and heartily support the following additions and recommendations found in the draft language of the FY 12/13 Substance Abuse/Mental Health Block Grant application: the addition of family involvement in the draft language the recommendation made to States to describe their efforts to actively engage individuals and families in developing, implementing and monitoring the State substance abuse and mental health system the addition of specific language regarding adolescent treatment	Thank you for your comment
	, /¬ /00 1 1		Sandi Yandow/ VT	the recommendation that States submit plans on how they have consulted with the Tribes	
	6/7/2011	_	FACES Network		
408.	6/7/2011	General	Michael Kramer/	I fear that the proposed changes will have negative	Thank you for your comments.

		Comment	Noble Superior Court, Indiana	effects on the efforts to improve the lives and health of adults and youth in our country. While a behavioral health approach may be an advance at the conceptual level, it will fail if we reduce our efforts to prevent alcohol and drug use among our youth. There must be specific programmatic, financial, reporting, and outcome division to make sure that substance abuse prevention is not left out and overlooked. If we further reduce or dilute our work to prevent youth substance use, the behavioral health problem in this country will explode. There will not be enough money to ever treat our way out of the problem.	SAMHSA requires that States spend at least 20% of their SAPTBG allotment on primary prevention programs for persons who do not require treatment. States also must report their spending on primary prevention. Some States spend more on primary prevention and may continue to do so. States should make prevention a top priority, taking advantage of science, best practices in community coordination, proven planning processes like the strategic prevention framework (SPF) and science in the 2009 Institute of Medicine report entitled "Preventing Mental, Emotional, and Behavioral Disorders Among Young People: Progress and Possibilities" to develop effective prevention strategies and place a priority on targeting high need communities.
409.	6/7/2011	General Comment	Matt Shapiro/ NAMI	We are concerned with the proposed changes to the federal Mental Health and Substance Abuse Block Grants	Thank you for your comment

				NAMI-NYS would like to emphasize the importance of maintaining focus and prioritization of children and adults living with serious mental illness. NAMI-NYS strongly supports using Block Grant funds to serve individuals with serious mental illness who are uninsured or who cycle in and out of health insurance and/or Medicaid coverage and on encouraging outreach and enrollment of vulnerable individuals with severe mental illness, addictions or co-occurring disorders. NAMI-NYS also strongly supports SAMHSA's focus on funding priority treatment and support services that demonstrate success in improving outcomes or supporting recovery and that are not typically covered by Medicaid, Medicare or private insurance. NAMI-NYS believes it is important to acknowledge and support the vital caregiver and supportive role of parents of adults living with serious mental illness and the value of peer education and supports for parents of adults.	
410.	6/7/2011	General Comment	Ashley Keenan/ Parent Support Network	In Step One, states should address the strengths and needs of the service system to address the specific populations in conjunction with family members of children from that population and in conjunction with youth and adult consumers from that population. Under Information on Activities that Support Individuals in Directing the Services, SAMHSA should also ask States to provide information about policies and programs that allow custodial parents of children with mental illness and/or substance use disorders to direct their children's care.	Please see response #361-367 and #441.

Under Process for Comment on State Plan, SAMHSA should ask States to describe their efforts and procedures to obtain public comment from consumers and family members of children consumers, use of electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan.

Under Description of Processes to Involve Individuals and Families, SAMHSA should more than request that States describe their efforts; it should be required of states and supported by a budget line item. This is necessary because we have seen too often State governments fail to adequately involve family members. Requiring States to do this will force States to make family involvement a higher priority.

Under Description of the Use of Technology, we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services are necessary for children's resilience.

Under Description of State Behavioral Health Advisory Council, we would like to see SAMHSA also strongly encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well.

				Under Information on Data and Information Technology, SAMHSA should require that states include disaggregated data by race, ethnicity and language in all of the reports. This information is critical to informing us of where there are health disparities among certain populations.	
411.	6/7/2011	General	Kathy Yeager/ North Kingstown's Substance Abuse Prevention Coalition	The SAPT Block Grant is the cornerstone of the States' substance abuse prevention, treatment and recovery systems. It provides the basic infrastructure for substance abuse prevention in states and territories throughout the country, accounts for approximately 40 percent of expenditures by State substance abuse agencies, and on average 64 percent of States' substance abuse prevention expenditures. Working Together for Wellness Coalition fully understands SAMHSA's interest in better coordinating the planning requirements for states across substance abuse and mental health agencies. At the same time, our organization strongly recommends that SAMHSA maintain the integrity of the programmatic, financial, reporting and outcome measurements of the substance use/abuse prevention component within the SAPT Block Grant.	Thank you for your comments. SAMHSA requires that States spend at least 20% of their SAPTBG allotment on primary prevention programs for persons who do not require treatment. States also must report their spending on primary prevention. Some States spend more on primary prevention and may continue to do so. States should make prevention a top priority, taking advantage of science, best practices in community coordination, proven planning processes like the strategic prevention framework (SPF) and science in the 2009 Institute of Medicine report entitled "Preventing Mental, Emotional, and Behavioral Disorders Among Young People: Progress and Possibilities" to develop

					effective prevention strategies and place a priority on targeting high need communities.
412.	6/7/2011	General Comment	Aletha Stolar/ Fayette Co. Family Resource Network	Let me commend the Substance Abuse and Mental Health Services Administration (SAMHSA) for identifying youth with substance use disorders as an important population with evolving needs for the first time I also want to state my support for your addition of family involvement in the draft language of the Block Grant Application I also want to state my support for your addition of family involvement in the draft language of the Block Grant Application I would like to commend the application's focus on the provision of recovery support services and a combined plan for the provision of services for individuals with co-occurring mental and substance use disorders.	Thank you for your comment
413.	6/7/2011	General Comment	Cathy Ciano/ Parent Support Network	In Step One, states should address the strengths and needs of the service system to address the specific populations in conjunction with family members of children from that population and in conjunction with youth and adult consumers from that population. Under Information on Activities that Support Individuals in Directing the Services, SAMHSA should also ask States to provide information about policies and programs that allow custodial parents of children with mental illness and/or substance use disorders to direct their children's care. Under Process for Comment on State Plan, SAMHSA should ask States to describe their efforts and	Please see response #361-367 and #441.

procedures to obtain public comment from consumers and family members of children consumers, use of electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan.

Under Description of Processes to Involve Individuals and Families, SAMHSA should more than request that States describe their efforts; it should be required of states and supported by a budget line item. This is necessary because we have seen too often State governments fail to adequately involve family members. Requiring States to do this will force States to make family involvement a higher priority.

Under Description of the Use of Technology, we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services are necessary for children's resilience.

Under Description of State Behavioral Health Advisory Council, we would like to see SAMHSA also strongly encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well.

Under Information on Data and Information Technology, SAMHSA should require that states include disaggregated data by race, ethnicity and

				language in all of the reports. This information is critical to informing us of where there are health disparities among certain populations.	
414.		General	Charles Cudworth/	I am writing to express my opinion that SAMSHA should if possible maintain the integrity of the programmatic, financial, reporting and outcome measures of the Substance Abuse/Prevention component within the SAPT Block Grant to best continue the work that has been done to this point. Universal Substance Abuse Prevention should remain a priority to address individual and community risk factors, changing social norms, and early intervention for youth in need.	SAMHSA concurs. SAMHSA requires that States spend at least 20% of their SAPTBG allotment on primary prevention programs for persons who do not require treatment. States also must report their spending on primary prevention. Some States spend more on primary prevention and may continue to do so. States should make prevention a top priority, taking advantage of science, best practices in community coordination, proven planning processes like the strategic prevention framework (SPF) and science in the 2009 Institute of Medicine report entitled "Preventing Mental, Emotional, and Behavioral Disorders Among Young People: Progress and Possibilities" to develop effective prevention strategies and place a priority on targeting high need communities.
	6/7/2011		RISAS		
415.	6/7/11	General	Arlene Gonzalez-	SAMHSA indicates in the new SAPTBG material that,	This is outside of the scope of

		Comments	Sanchez Commissioner, New York State Office of Alcoholism and Substance Abuse Services	if the President's 2012 budget is adopted, a new state prevention formula grant program will be established, and States will be required mid-year to amend their SAPTBG plans. OASAS opposes both the intent and process proposed for implementing this shift. We believe that this is not the right time to propose the creation of new funding programs, as these will become easy targets for cuts in the 2012 budget process. Furthermore, the proposed allocation formula and other specific components of this new program should be published with sufficient time for state input and dialogue.	this FRN request for comment
416.	6/7/11	General Comments	Arlene Gonzalez- Sanchez Commissioner, New York State Office of Alcoholism and Substance Abuse Services	SAMHSA should provide definitions for the terms included in the new application. For example, SAMHSA needs to define: "behavioral health services," "bi-directional integration of behavioral health and primary care services," "bi-directional primary care," and "community level data."	SAMHSA will provide those definitions.
417.	6/7/11	General Comments	Arlene Gonzalez- Sanchez Commissioner, New York State Office of Alcoholism and Substance Abuse Services	SAMHSA should clarify whether separate SAPTBG and MHSBG awards will still be made if a State decides to submit a combined substance abuse/mental health application.	SAMHSA has clarified that the awards will continue to be separate.
418.	6/7/11	General Comments	Arlene Gonzalez- Sanchez Commissioner, New York State Office of Alcoholism and	SAMHSA suggests that states consider using block grant funding to develop reimbursement strategies including risk based-payments, payments for episodes of care and payment for outcomes. SAMHSA should clarify if this will be a requirement	There is no intent to require this at this time States can use BG funds within the administrative cap limits to support EHR's.

			Substance Abuse Services	in future applications. SAMHSA should clarify if States and providers can use SAPTBG funds to support the development of electronic health record systems and health information technology.	SAMHSA is developing the internal review process.
				SAMHSA should define the application review and approval process. In the past SAPTBG applications and reports have been reviewed by Project Officers, but MHSBG applications have also included a peer review process.	
419.	6/7/11	General Comments	Arlene Gonzalez- Sanchez Commissioner, New York State Office of Alcoholism and Substance Abuse Services	SAMHSA should clarify if States will be required to submit the sections that are currently requested, but not required, and specify what year this requirement will go into effect. SAMHSA should also clarify if there be a penalty if States cannot complete all sections in the future (due to lack of capacity, infrastructure and funding).	The proposed Block Grant application has been revised to include language on which sections are required versus requested. This section also addresses issues regarding the review and awards.
				OASAS appreciates SAMHSA's commitment to providing technical assistance to help States and providers meet new planning, reporting and information technology requirements. The need for such assistance is yet another reason that the proposed revisions should be delayed until after the current SAPTBG application authorization expires in 2013.	Thank you for your comment
				SAMHSA should define the application review and	THE SAPTBG Block Grant

				approval process. In the past SAPTBG applications and reports have been reviewed by Project Officers, but MHSBG applications have also included a peer review process.	applications will continue to be reviewed by the project officer. SAMHSA is developing a review process for those States that are submitting a combined application.
420.	6/7/2011	General	Sita Diehl/ General Public	I support continued inclusion of service recipients and their families as part of the combined substance abuse and mental health block grant application and reporting process with the understanding that service-recipient involvement in planning may involve procedural change for state substance abuse services communities. I would like to emphasize the importance of prioritizing children with serious emotional disturbance (SED) and adults living with serious mental illness (SMI) in allocation of the MHBG. While I strongly support the inclusion of underserved populations, such as military families, tribes, racial and ethnic minorities, individuals released from correctional facilities and LGBTQ individuals who also have serious mental health conditions, this is the wrong time to dilute the MHBG focus on adults with serious mental illness and children with serious emotional disturbance. While I applaud the emphasis on trauma-informed care as an important focus in mental health systems, I strongly encourage acknowledgement of the biological aspects of many mental illnesses. Unless the emphasis on trauma informed care is tempered, progress made over the past 30 years to reduce stigma associated	Thank you for your comment. SAMHSA believes the current application does contain sufficient language that emphasized services for adults with SMI and youth with SED. States have the flexibility to use Block grant funds for other populations with significant mental health needs as needed.

				with mental illness will be eroded. I strongly support the emphasis on consistent unique, client-level encounter data for mental health services purchased with Block Grant funds. I applaud incentives to combine MHBG and SAPTBG application and reporting. As states move to integrate systems, planning philosophies and treatment gaps that have consistently been attributed to discontinuities between mental health and substance abuse funding streams, will hopefully be reduced.	
421.	6/7/2011	General Comment	Bettie Reinhardt/ NAMI	NAMI California strongly supports using Block Grant funds to serve individuals with serious mental illness who are uninsured or who cycle in and out of health insurance and/or Medicaid coverage and on encouraging outreach and enrollment of vulnerable individuals with severe mental illness, addictions or co-occurring disorders.	Thank you for your comments
				NAMI California also strongly supports SAMHSA's focus on funding priority treatment and support services that demonstrate success in improving outcomes or supporting recovery and that are not typically covered by Medicaid, Medicare or private insurance.	
				NAMI California acknowledges the importance of the Mental Health Block Grant Planning and Advisory Councils in catalyzing state system change and supports continued inclusion of service recipients and their families with combined substance abuse and	

				mental health block grant application and reporting.	
422.			Gretchen Geis on behalf of Terri White/ Oklahoma Dept. of Mental Health and Substance Abuse Services	Overall, support the options in the proposed revisions to combine applications and reports as the Single State Authority for mental health and substance abuse services. The proposed changes in submission timelines and alignment with state fiscal years are also attractive features of the proposed revisions. Support the fact that the applications will propose a two-year plan for the state. While definitely support the model of integrated planning and reporting, we recognize that it may require some time and more substantially embrace that model.	Thank you for your comments
				The proposed guidance seems to lack clarity as to what items are required versus recommended for the submissions. Support the majority of the changes proposed.	The Block Grant application has been changes to reflect this comment.
423.	6/7/2011	General Comment	Dally Sanchez/ General Public	I'd like to see: A strong focus on ending discrimination and institutional racism otherwise known as "Disparities" in MH and SA services. Cross cultural/Multi cultural input from persons	Thank you for your comment. SAMHSA is committed to address health disparities across all its efforts, not just the MHSBG and SAPTBG. SAMHSA's Office of Behavioral Health Equity is developing strategies to address these comments. In

who use and/or have used said services.

Require potential grantees to include a plan of action to address institutional racism and discrimination within programs being funded, that includes an outcomes based assessments and reports.

Require potential grantees to show prior efforts and projects focused on narrowing the disparities gap.

Require direct input at all levels from ethnically/racially/culturally diverse groups represented within the community.

Better accountability from grantees as to where money is going and outcomes based initiatives.

Include requirement that grantees follow and incorporate the principles and guidelines of personcenteredness, self-determination, recovery, and trauma-informed within their proposals and show accountability mechanisms for it.

Include multicultural diverse peers in grant development, reviews, and site visits.

Technical assistance from culturally diverse and experienced organizations that understand our struggles and can give real, applicable TA to grantees and that include true and authentic diverse

addition, the Block Grant application has been revised to seek better accountability and to include information regarding participant directed care and involvement of individuals and families in all aspects of planning, delivering and overseeing services.

				peers, instead of organizations trying to represent us without being us.	
424.	6/7/2011	General Comment - Language	Daniel Fisher/ National Empowerment Center	States need to encourage all persons in recovery (PIRs) from mental health and SA issues to be involved in policy, evaluation, training, and peer-delivered services. In order for PIRs to be involved in all these realms, each state should invest block grant funds in the formation and the sustainability of statewide PIR-run organizations (for now separate ones for MH and SA) for PIR involvement in Systems Transformation a. PIRs in policy development. These PIR-run organizations need to have representation at the important decision making bodies of the states such as MH Authority, SA Authority, Medicaid. Medicare Authority, and Health and Human Services Authority, to ensure that PIRs play a central role in policy formation. The State Behavioral Planning Councils should have at least 50% representation by PIRs, and should play a meaningful role in the allocation of MHBG funds at the state level. b. PIRs in training: States need use MHBG to establish and maintain regular training by PIRs of PIRs and non-PIRs in recovery, empowerment, and peer support principles.	SAMHSA concurs, but will leave the specific mechanisms up to the individual states.
				c. PIRs in service delivery: States need to use MHBG funds to ensure that peers are reimbursable under Medicaid and any other financial arrangement carried out through ACA. PIRs should be able to supervise PIRs	

				as is the case in Arizona and PA. d. PIRs in evaluation: States should ensure that PIR-run evaluation teams be established and sustained in each state. These teams will assess the degree to which states are moving their policies and practices towards recovery, wellness, and empowerment.	
425.	6/7/2011	General Comment – Language	Daniel Fisher/ National Empowerment Center	SAMHSA also needs to have more robust monitoring of MHBG expenditures. Annual reports to SAMHSA need to include evaluations by PIRs. States should be directly monitored every 2 years.	The revised Block grant application specifically asks States to provide, if possible, specific information regarding spending and individuals served by service. For those States that can provide this information, SAMHSA will collect this on an annual basis.
426.	6/7/2011	General Comment	Susan Plaza/ Odyssey House	I am writing to commend SAMHSA on targeting adolescents, a subpopulation that is often overlooked and/or poorly served by the general system of care.	Thank you for your comment
427.	6/7/2011	General Comment	Betsy Johnson/ NAMI Ohio	We strongly believe that emphasis must continue to be focused on traditional populations served through the MHSBG, particularly children with serious emotional disturbance (SED) and adults with serious mental illness (SMI). NAMI Ohio believes it is important that SAMHSA explicitly acknowledge the caregiver role of many families of adults living with serious mental illness and the value of peer education and support for	Please see response to #420. In addition, we have added language to include caregivers in the proposed Block Grant application.

				caregivers.	
				NAMI Ohio believes that it is vital that the block grant acknowledge the importance of supporting programs that are unlikely to be covered by Medicaid or other insurance.	
428.	6/8/2011	General	Christine Bandoni/ Cumberland High School	Ensure that the SAPT Block Grant continues to focus on the programmatic, financial, reporting and outcome measurements associated specifically with substance use/abuse prevention; Ensure that the emphasis and funding for bonafide substance use/abuse prevention is not diminished so that the maximum number of youth throughout the country can receive the benefit of these strategies, programs and services; Ensure that the SAPT Block Grant requirements are not overly prescriptive for prevention, as far as mandating a major focus only on communities at "highest risk." Ensure that CADCA and other national substance abuse prevention organizations are involved in further planning and implementation of changes to the structure and reporting requirements of the SAPT and MHS Block Grants; and Ensure that the new consolidated application process clearly delineates which changes are optional and which are mandatory, as well as consequences for non	Please see response to #396
				compliance so that states do not expend unnecessary	

				time and resources.	
429.		General		How important is Teens to me? I was a Teen many	Thank you for your comment
		Comment		years ago, didn't have all the problems, they have	
				today. I have grandchildren that are Teens, and they	
				are facing many of the problems, with guilded help	
				from the county, counseling, and a loving	
				grandmother. These Teens are our future, our next	
				President, our next Governor, our next Senator, or	
				maybe just an electrician, business or a preacher. If we	
				give up on treatments for them, we give up HOPE for	
			Nancy Lee Huff/	them. Hope, and Love is what they need with the	
	6/8/2011		General Public	treatment programs.	
430.		General		Anyone that has dealt with a love one having a mental	Thank you for your comment
		Comment		illness knows the need for funding for these	and story.
				individuals. It is hard emotionally and financially for	
				the patient and the entire family. It is also hereditary	
			Anita Porter/	so most families are financially burdened with more	
	6/8/2011		General Public	than one family member needing care.	
431.		General		I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment		who need treatment for substance abuse disorders as	
			Gayle Brady/	a priority population that should be addressed by	
	6/8/2011		General Public	block grant recipients.	
432.		General		I support your effort to focus policy and treatment on	Thank you for your comment
		Comment		this critical population as I work at an agency in	
				Chicago that sees daily what adolescent substance	
				abuse does to the family and the surrounding	
				community. Please support adolescents within the	
				Block Grant programs as these resources are very	
			Andrea Kuebbeler/	much needed in our communities.	
	6/8/2011		Alternatives, Inc.		
433.	6/8/2011	General	Jill Fuglebrg/ Swift	I want to commend SAMHSA for including adolescents	Thank you for your comment.
		Comment	County Restorative	who need treatment for substance abuse disorders as	

				a priority population that should be addressed by	
			Justice, Minnesota	block grant recipients.	
434.	6/8/2011	General Comment	Mary Ellen Collins/ United Parents, Iowa	I applaud SAMHSA for encouraging block grants that would focus providers (state, county and hopefully with community partners) to reach out to this growing population. Funding is the incentive and backing needed to encourage and extend wellness to a population easily dismissed from treatment because they "choose" not to be sober, or are excluded from programs because they are too risky and might skew outcomes, or are just labeled and left to fate. Please, as a parent and a family non-profit, I urge SAMHSA to implement policy, practices and funding which encourage mental health professionals to adopt effective practices. However, I also ask that these professionals utilize the outreach and common sense our community organizations offer. We work with these teens every day. I so want their options and futures to be brighter.	Thank you for your comment
435.	6/8/2011	General Comment	Elnora Jenkins on behalf of Joe Powel/ National Leadership Council on African American Behavioral Health	The NLC suggests the inclusion of race, ethnicity and language data as reflected in the Institute of Medicine Report on Race, Ethnicity and Language Data.	SAMSHA will review the Block Grant application and make changes as appropriate.
436.	6/8/2011	General Comment	Elnora Jenkins on behalf of Joe Powel/ National Leadership Council on African American Behavioral	Assessment of strengths and needs of the service system: The assessment should be disaggregated to include analysis by race, ethnicity and language as one measure of the state's ability to meet the	The proposed Block Grant application has been revised to request this be included in State's needs assessment and planning activities.

			Health	diverse needs of the population.	
437.	6/8/2011	General Comment	Elnora Jenkins on behalf of Joe Powel/ National Leadership Council on African American Behavioral Health	Identification of unmet service needs and critical gaps within the service system: We would expect that disparities in behavioral health care based on race, ethnicity, and language will emerge, if the data is collected in these domains.	Thank you for your comment. Please see comment to #436
438.	6/8/2011	General Comment	Elnora Jenkins on behalf of Joe Powel/ National Leadership Council on African American Behavioral Health	Prioritization of State planning activities: It is the NLC's hope that the elimination of behavioral health disparities will be one of the prioritized goals of the states where it exists.	Thank you for your comment. Please see comment to #436
439.	6/8/2011	General Comment	Elnora Jenkins on behalf of Joe Powel/ National Leadership Council on African American Behavioral Health	Develop goals, strategies and performance indicators: Again, planning, strategy development and identification of performance indicators will need to be structured in a manner that supports attention to impact on disparities.	Thank you for your comment. Please see comment to #436
440.	6/8/2011	General Comment	Elnora Jenkins on behalf of Joe Powel/ National Leadership Council on African American Behavioral Health	Attention to the bi-directional integration of behavioral health and primary care services: Noting that many persons from African Heritage may seek support for behavioral health issues in primary care settings, the collection of data on utilization, retention, adherence, outcomes (clinical and functional), and satisfaction will provide important information on the effectiveness of an integrative approach for this population.	Thank you for your comment

441.		General	Elnora Jenkins on	Information on Data and Information	SAMHSA will take this
441.	6/8/2011	Comment	behalf of Joe Powel/ National Leadership Council on African American Behavioral Health	Technology: The services utilization table in the Reporting Section of the Application should include race, ethnicity and language. Data collection at every step of the service delivery process needs to include each of these elements.	recommendation under consideration as it reviews and revised its data collection and outcome measures.
442.	6/8/2011	General Comment	Elnora Jenkins on behalf of Joe Powel/ National Leadership Council on African American Behavioral Health	Description of State's Quality Improvement Reporting: The quality improvement process should include assessment on the cultural and linguistic competence of service as one component of quality service.	SAMHSA concurs. However thi section requests that States submit their current quality improvement plan that may have been developed using State specific requirements.
443.	6/8/2011	General Comment	Elnora Jenkins on behalf of Joe Powel/ National Leadership Council on African American Behavioral Health	A special note on language. Although most American born persons of African Heritage use English as their primary language, there is a growing population of persons who are immigrants and refugees from African nations, Spanish speaking countries, and some Caribbean nations where English is not the primary language. In addition, quality care requires ability to communicate with family members of Limited English Proficiency children, youth, adults and seniors who need behavioral health services. In addition, requirements for data collection on language provide the information that will support the development of a Language Access	Thank you for your comment. Please see response to #441.

				Act. In the spirit of the HHS Disparities Action Plan, it would be helpful if the Block Grant Guidance reinforced the expectation of careful attention to services for those with Limited English Proficiency.	
444.	6/8/2011	General Comment	Michael Boyle/ University of Wisconsin	I support the proposed changes to the SAMHSA block grant programs. Even when the current plans for health care reform are fully implemented in 2014, there will still be a large segment of the population that are uninsured. The SAMHSA block grant will play a crucial role in allowing access to mental health and addiction treatment for these persons.	Thank you for your comment
445.	6/8/2011	General Comment	Charlotte Fletcher/ NAMI	NAMI of Elkhart County, Indiana, supports NAMI's proposals for the funding to support children and adults living with a serious mental illness.	Thank you for your comment
446.	6/8/2011	General Comment	Pamela Marshall/ Arkansas Federation of Families for Children's Mental Health	In Step One, states should address the strengths and needs of the service system to address the specific populations in conjunction with family members of children from that population and in conjunction with youth and adult consumers from that population. Under Information on Activities that Support Individuals in Directing the Services, SAMHSA should also ask States to provide information about policies and programs that allow custodial parents of children with mental illness and/or substance use disorders to direct their children's care. Under Process for Comment on State Plan, SAMHSA	Please see response #361-367 and #441.

should ask States to describe their efforts and procedures to obtain public comment from consumers and family members of children consumers, use of electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan.

Under Description of Processes to Involve Individuals and Families, SAMHSA should more than request that States describe their efforts; it should be required of states and supported by a budget line item. This is necessary because we have seen too often State governments fail to adequately involve family members. Requiring States to do this will force States to make family involvement a higher priority.

Under Description of the Use of Technology, we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services are necessary for children's resilience.

Under Description of State Behavioral Health Advisory Council, we would like to see SAMHSA also strongly encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well.

Under Information on Data and Information Technology, SAMHSA should require that states

				include disaggregated data by race, ethnicity and language in all of the reports. This information is critical to informing us of where there are health disparities among certain populations.	
447.		General	Michael Shay/ General Public	I don't disagree with combining these two block grants. I am concerned that they will be geared toward adult treatment services only. It is important for SAMHSA to ensure funding for prevention and early intervention as well as services for children and youth.	Thank you for your comments. SAMHSA requires that States spend at least 20% of their SAPTBG allotment on primary prevention programs for persons who do not require treatment. States also must report their spending on primary prevention. Some States spend more on primary prevention and may continue to do so. States should make prevention a top priority, taking advantage of science, best practices in community coordination, proven planning processes like the strategic prevention framework (SPF) and science in the 2009 Institute of Medicine report entitled "Preventing Mental, Emotional, and Behavioral Disorders Among Young People: Progress and Possibilities" to develop effective prevention strategies and place a priority on targeting
	6/8/2011				high need communities.
448.	6/8/2011	General	Rosemarie Lobretto/	In Step One, states should address the strengths and	Please see response #361-367

Comment	Family Support	needs of the service system to address the specific	and #441.
	Organizations of	populations in conjunction with family members of	
	Bergen County, New	children from that population and in conjunction with	
	Jersey	youth and adult consumers from that population.	
		Under Information on Activities that Support	
		Individuals in Directing the Services, SAMHSA should	
		also ask States to provide information about policies	
		and programs that allow custodial parents of children	
		with mental illness and/or substance use disorders to	
		direct their children's care.	
		Under Process for Comment on State Plan, SAMHSA	
		should ask States to describe their efforts and	
		procedures to obtain public comment from consumers	
		and family members of children consumers, use of	
		electronic media for posting of the draft plan and	
		solicitation of comments on the development of and	
		draft State plan.	
		Under Description of Processes to Involve Individuals	
		and Families, SAMHSA should more than request that	
		States describe their efforts; it should be required of	
		states and supported by a budget line item. This is	
		necessary because we have seen too often State	
		governments fail to adequately involve family	
		members. Requiring States to do this will force States	
		to make family involvement a higher priority.	
		Under Description of the Use of Technology, we would	
		like to see SAMHSA include asking States how they	
		plan to use ICTs for not only health care services, but	

				also support services. Many of these support services are necessary for children's resilience. Under Description of State Behavioral Health Advisory Council, we would like to see SAMHSA also strongly encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well.	
449.	6/8/2011	General Comment	Laura Yager/ Fairfax- Falls Church Community Services Board	I fully support SAMHSA's identification of youth with substance use disorders (SUD) as a population with evolving needs in the Block Grant Application Guidance and Instructions. In addition, I would urge you to include prevention and early intervention as key priorities for serving youth in need as well as needed treatment.	Thank you for your comments.
450.	6/8/2011	General Comment	Monica Davis on behalf of Evelyn Carlson/ Raytheon	MY CHILD SINCE SIX YEARS OLD HAS HAD DIFFERENT ISSUES FROM WHAT A NORMAL CHILD WOULD EXPERIENCE. THESE PROGRAMS THAT GARY HAS GONE THRU HAVE BEEN HELPFUL NOT ONLY FOR HIM BUT AS WELL FOR MYSELF.WE BOTH SAW THAT WE WERE NOT ALONE IN HIS SITUATIONS AND MY AS A CONCERNED PARENT.GARY HAD AND HAS GROUP THREAPY WITH MAYBE ONE TO ONE, OTHER KIDS HIS AGE WITH COUNSELORS AND WITH BOTH KIDS AND PARENTS ALL TOGETHER IN A BIG CLASS, WE RECEIVED MANY HELPFUL INFORMATION TO HELP ONE ANOTHER.I CAN'T IMAGINE WHAT IT REALLY COULD HAVE BEEN IF I HAD GONE THRU ALL HIS LIFE BY MYSELF.	Thank you for your comments and your story.

				GARY IS NOW 17 YRS. OLD NOW, BECAUSE OF MENTAL ILLNESS I REALIZE HE MAY ALWAYS NEED SOME HELP FROM PROGRAMS, FRIENDS AND FAMILY. PLEASE DON'T LET THESE PROGRAMS COME TO AN END, THAT WOULD BE SO SCAREY AND UNFAIR TO THESE CHILDREN WHO DESPERATELY NEED HELP.	
451.	6/8/2011	General	Robin Keener/ General Public	SAMHSA is strongly urged to revise the Unified Application to emphasize the needs of children and youth with serious emotional disturbance (SED) and/or substance abuse (SA) needs and their families, and 2) the importance of using System of Care (SOC) approaches to serve them in their homes and communities. Recommendation 1: Provide additional language highlighting the SOC approach as a best practice in serving children and youth with SED and/or SA needs and their families; Recommendation 2: Ensure that a certain minimum percentage of MHSBG and SAPTBG dollars be allocated to children and youth with SED and/or SA needs and their families; Recommendation 3: Include specific requirements on meeting the needs of children and youth with SED and/or SA needs and their families, and develop a special monitoring unit to ensure compliance; and Recommendation 4: Require that experts on the needs of and best practice approaches to serving children and youth with SED and/or SA needs and	Please see response to #328

				their families be included in federal and state planning efforts.	
452.	6/8/2011	General Comment	Judy Domina/ Nebraska Family Support Network	In Step One, states should address the strengths and needs of the service system to address the specific populations in conjunction with family members of children from that population and in conjunction with youth and adult consumers from that population. Under Information on Activities that Support Individuals in Directing the Services, SAMHSA should also ask States to provide information about policies and programs that allow custodial parents of children with mental illness and/or substance use disorders to direct their children's care. Under Process for Comment on State Plan, SAMHSA should ask States to describe their efforts and procedures to obtain public comment from consumers and family members of children consumers, use of electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan.	Please see response #361-367 and #441.
				Under Description of Processes to Involve Individuals and Families, SAMHSA should more than request that States describe their efforts; it should be required of states and supported by a budget line item. This is necessary because we have seen too often State governments fail to adequately involve family members. Requiring States to do this will force States to make family involvement a higher priority.	

				Under Description of the Use of Technology, we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services are necessary for children's resilience. Under Description of State Behavioral Health Advisory Council, we would like to see SAMHSA also strongly encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well.	
453.	6/8/2011	General Comment	Sarah Dinklage/ RIEAS and RISAS	Ensure that the SAPT Block Grant continues to focus on the programmatic, financial, reporting and outcome measurements associated specifically with substance use/abuse prevention; Ensure that the emphasis and funding for bonafide substance use/abuse prevention is not diminished so that the maximum number of youth throughout the country can receive the benefit of these strategies, programs and services; Ensure that the SAPT Block Grant requirements are not overly prescriptive for prevention, as far as mandating a major focus only on communities at	Thank you for your comments. SAMHSA requires that States spend at least 20% of their SAPTBG allotment on primary prevention programs for persons who do not require treatment. States also must report their spending on primary prevention. Some States spend more on primary prevention and may continue to do so. States should make prevention a top priority, taking advantage of
				"highest risk." Ensure that CADCA and other national substance	science, best practices in community coordination, proven planning processes like

				abuse prevention organizations are involved in further planning and implementation of changes to the structure and reporting requirements of the SAPT and MHS Block Grants; and Ensure that the new consolidated application process clearly delineates which changes are optional and which are mandatory, as well as consequences for non compliance so that states do not expend unnecessary time and resources.	the strategic prevention framework (SPF) and science in the 2009 Institute of Medicine report entitled "Preventing Mental, Emotional, and Behavioral Disorders Among Young People: Progress and Possibilities" to develop effective prevention strategies and place a priority on targeting high need communities. SAMHSA has made changes to the application to identify sections that are required versus requested.
454.		General	Carolyn Castro-	I am writing in support of SAMHSA's identification of	Thank you for your comments.
		Comment	Donlan/ Fairfax-Falls Church Community	youth with substance use disorders (SUD) as a population with evolving needs in the Block Grant	
	6/8/2011		Services Board	Application Guidance and Instructions.	
455.	6/8/2011	General Comment	Francine Kaplan/ General Public	In Step One, states should address the strengths and needs of the service system to address the specific populations in conjunction with family members of children from that population and in conjunction with youth and adult consumers from that population.	Please see response #361-367 and #441.
				Under Information on Activities that Support Individuals in Directing the Services, SAMHSA should	
				also ask States to provide information about policies	
				and programs that allow custodial parents of children with mental illness and/or substance use disorders to	
				direct their children's care.	

Under Process for Comment on State Plan, SAMHSA should ask States to describe their efforts and procedures to obtain public comment from consumers and family members of children consumers, use of electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan.

Under Description of Processes to Involve Individuals and Families, SAMHSA should more than request that States describe their efforts; it should be required of states and supported by a budget line item. This is necessary because we have seen too often State governments fail to adequately involve family members. Requiring States to do this will force States to make family involvement a higher priority.

Under Description of the Use of Technology, we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services are necessary for children's resilience.

Under Description of State Behavioral Health Advisory Council, we would like to see SAMHSA also strongly encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well.

456.	6/8/2011	General	Amy Mayer/ UPLIFT,	Under Information on Data and Information Technology, SAMHSA should require that states include disaggregated data by race, ethnicity and language in all of the reports. This information is critical to informing us of where there are health disparities among certain populations. I am writing to comment on the proposed changes to the federal mental health and substance abuse block	Thank you for your comments.
		Comment	Wyoming	grants. I work with children birth to 26 years old and while I know the need for adult treatment services is important I am asking that SAMHSA take into consideration the importance of services being available for children and youth, especially emphasizing on prevention and early intervention. The more services that are available for children that focus in these areas the sooner treatment, training and intervention can begin and hopefully cut down on the number of adults needing treatment. The sooner we can help our children the better off their lives will be in the long run and the outcomes have a chance to	SAMHSA requires that States spend at least 20% of their SAPTBG allotment on primary prevention programs for persons who do not require treatment. States also must report their spending on primary prevention. Some States spend more on primary prevention and may continue to do so. States should make prevention a
				be so much more positive.	top priority, taking advantage of science, best practices in community coordination, proven planning processes like the strategic prevention framework (SPF) and science in the 2009 Institute of Medicine report entitled "Preventing Mental, Emotional, and Behavioral Disorders Among

					Young People: Progress and Possibilities" to develop effective prevention strategies and place a priority on targeting high need communities.
457.	6/8/2011	General	Sheryl Lebauer/ General Public	In Step One, states should address the strengths and needs of the service system to address the specific populations in conjunction with family members of children from that population and in conjunction with youth and adult consumers from that population. Under Information on Activities that Support Individuals in Directing the Services, SAMHSA should also ask States to provide information about policies and programs that allow custodial parents of children with mental illness and/or substance use disorders to direct their children's care. Under Process for Comment on State Plan, SAMHSA should ask States to describe their efforts and procedures to obtain public comment from consumers and family members of children consumers, use of electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan. Under Description of Processes to Involve Individuals and Families, SAMHSA should more than request that States describe their efforts; it should be required of states and supported by a budget line item. This is	Please see response #361-367 and #441.

450				necessary because we have seen too often State governments fail to adequately involve family members. Requiring States to do this will force States to make family involvement a higher priority. Under Description of the Use of Technology, we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services are necessary for children's resilience. Under Description of State Behavioral Health Advisory Council, we would like to see SAMHSA also strongly encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well. Under Information on Data and Information Technology, SAMHSA should require that states include disaggregated data by race, ethnicity and language in all of the reports. This information is critical to informing us of where there are health disparities among certain populations.	
458.	6/8/2011	General Comment	Becky Lancaster/ UPLIFT	I would like to commend SAMHSA on the work you do for individuals with mental health needs. The funding that is provided through SAMHSA truly helps makes a difference in the lives of so many people. I would like to take this opportunity to say the need for prevention and early intervention is just as	Thank you for your comments. SAMHSA requires that States spend at least 20% of their SAPTBG allotment on primary prevention programs for persons who do not require treatment. States also must

					report their spending on primary prevention. Some States spend more on primary prevention and may continue to do so. States should make prevention a
					top priority, taking advantage of
					science, best practices in
					community coordination,
					proven planning processes like
					the strategic prevention
					framework (SPF) and science in
					the 2009 Institute of Medicine
					report entitled "Preventing
					Mental, Emotional, and
					Behavioral Disorders Among
					Young People: Progress and
					Possibilities" to develop
					effective prevention strategies
					and place a priority on targeting
					high need communities.
450	/ /0 /0044	6	File on Change / Countle	important as treatment for children and youth.	Therefore
459.	6/8/2011	General Comment	Eileen Stone/ South Kingstown	The South Kingstown Partnership for Prevention fully supports and endorses the letter recently submitted to	Thank you for your comments.
		Comment	Partnership for	you by CADCA. With drug use on the rise, now more	
			Prevention	than ever we need to embrace what CADCA spells out	
				in their letter to help our youth. I believe that we are	
				making a difference and need to continue "to stay the	
				course" with these strategic endeavors. As a	
				community coalition making a difference in our	

				community I rely heavily on CADCA's wisdom and knowledge and research. I hope SAMHSA listens to what they have to say regarding the SAPT Block grant.	
460.	6/8/2011	General Comment	Bonnie Cord/ NAMI, West Houston	In Step One, states should address the strengths and needs of the service system to address the specific populations in conjunction with family members of children from that population and in conjunction with youth and adult consumers from that population.	Please see response #361-367 and #441.
				Under Information on Activities that Support Individuals in Directing the Services, SAMHSA should also ask States to provide information about policies and programs that allow custodial parents of children with mental illness and/or substance use disorders to direct their children's care.	
				Under Process for Comment on State Plan, SAMHSA should ask States to describe their efforts and procedures to obtain public comment from consumers and family members of children consumers, use of electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan.	
				Under Description of Processes to Involve Individuals and Families, SAMHSA should more than request that States describe their efforts; it should be required of states and supported by a budget line item. This is necessary because we have seen too often State governments fail to adequately involve family members. Requiring States to do this will force States to make family involvement a higher priority.	

461		Conoral		Under Description of the Use of Technology, we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services are necessary for children's resilience. Under Description of State Behavioral Health Advisory Council, we would like to see SAMHSA also strongly encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well. Under Information on Data and Information Technology, SAMHSA should require that states include disaggregated data by race, ethnicity and language in all of the reports. This information is critical to informing us of where there are health disparities among certain populations.	Thank you for your comment
461.	6/8/2011	General Comment	Karen Gieck/ UPLIFT	Being in the profession of working with children that mental and emotional disorders, I have learned the importance of early intervention. I have two adopted children that could have been help tremendously had earlier intervention been available to them. I now have two GROWN adopted children that will require services the rest of their lives. I hope that all will be taken into consideration as grants and funding become available.	Thank you for your comment and your story
462.	6/8/2011	General	Kathy Sullivan/	Ensure that the SAPT Block Grant continues to focus	Thank you for your comments.
		Comment	Barrington's	on the programmatic, financial, reporting and	SAMHSA requires that States

463. 6/		General	Prevention Coalition Barbara Boggio/	outcome measurements associated specifically with substance use/abuse prevention; Ensure that the emphasis and funding for bonafide substance use/abuse prevention is not diminished so that the maximum number of youth throughout the country can receive the benefit of these strategies, programs and services; Ensure that the SAPT Block Grant requirements are not overly prescriptive for prevention, as far as mandating a major focus only on communities at "highest risk." Ensure that CADCA and other national substance abuse prevention organizations are involved in further planning and implementation of changes to the structure and reporting requirements of the SAPT and MHS Block Grants; and Ensure that the new consolidated application process clearly delineates which changes are optional and which are mandatory, as well as consequences for non compliance so that states do not expend unnecessary time and resources.	spend at least 20% of their SAPTBG allotment on primary prevention programs for persons who do not require treatment. States also must report their spending on primary prevention. Some States spend more on primary prevention and may continue to do so. States should make prevention a top priority, taking advantage of science, best practices in community coordination, proven planning processes like the strategic prevention framework (SPF) and science in the 2009 Institute of Medicine report entitled "Preventing Mental, Emotional, and Behavioral Disorders Among Young People: Progress and Possibilities" to develop effective prevention strategies and place a priority on targeting high need communities. SAMHSA has made changes to the application to identify sections that are required versus requested. Thank you for your comment.
700. 0/	8/2011	(-enersi			

				Systems, has noted, 90% of adults who meet clinical criteria for abuse or dependence of alcohol or drugs started using them under the age of 18, and met the criteria for abuse or dependence by the time they were 20 years old. By treating them as teens, we intervene early in a disease that otherwise costs society millions of dollars in justice system and health care spending. I support your effort to focus policy and treatment on this critical population	
464.	6/8/2011	General Comment	Susan Walsh/ Nebraska Family Support Network	In Step One, states should address the strengths and needs of the service system to address the specific populations in conjunction with family members of children from that population and in conjunction with youth and adult consumers from that population. Under Information on Activities that Support Individuals in Directing the Services, SAMHSA should also ask States to provide information about policies and programs that allow custodial parents of children with mental illness and/or substance use disorders to direct their children's care. Under Process for Comment on State Plan, SAMHSA should ask States to describe their efforts and procedures to obtain public comment from consumers	Please see response #361-367 and #441.
				and family members of children consumers, use of electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan.	

				Under Description of Processes to Involve Individuals and Families, SAMHSA should more than request that States describe their efforts; it should be required of states and supported by a budget line item. This is necessary because we have seen too often State governments fail to adequately involve family members. Requiring States to do this will force States to make family involvement a higher priority.	
				Under Description of the Use of Technology, we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services are necessary for children's resilience.	
				Under Description of State Behavioral Health Advisory Council, we would like to see SAMHSA also strongly encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well.	
				Under Information on Data and Information Technology, SAMHSA should require that states include disaggregated data by race, ethnicity and language in all of the reports. This information is critical to informing us of where there are health disparities among certain populations.	
465.	6/8/2011	General Comment	Susan Bentley/ UPLIFT	PLEASE increase the amount of money going for prevention and early intervention.	Thank you for your comments. SAMHSA requires that States

466.	6/8/2011	General Comment	Lynne Edwards/ General Public	I am not sure if this is the information you are looking for, but here are my feelings and experiences with mental health issues as it pertains to youth and children: No services available in AA county for teens with Autism. I take him to Calvert County for a social skills group. No emergency services for children that talk/try to commit suicide (had to take my son to Howard county) Not enough in-home and/or preventive services Not a holistic approach to treatment and planning	spend at least 20% of their SAPTBG allotment on primary prevention programs for persons who do not require treatment. States also must report their spending on primary prevention. Some States spend more on primary prevention and may continue to do so. Thank you for your comment and information.
467.	-	General		I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as	Thank you for your comment
		Comment	Anthony Capobianco/	a priority population that should be addressed by	
	6/8/2011		General Public	block grant recipients.	
468.	6/8/2011	General	Rodney Glasspoole/	I want to commend SAMHSA for including adolescents	Thank you for your comment
		Comment	Allegany County Probation Dept.	who need treatment for substance abuse disorders as a priority population that should be addressed by	

				block grant recipients.	
469.		General			Thank you for your comments.
		Comment			SAMHSA requires that States
					spend at least 20% of their
					SAPTBG allotment on primary
					prevention programs for
					persons who do not require
					treatment. States also must
					report their spending on
					primary prevention. Some
					States spend more on primary
					prevention and may continue to
			Judy Bredthauer/	My request is that SAMHSA focus more on prevention	do so.
	6/8/2011		UPLIFT	and early intervention	
470.		General		We can't wait until they're adults. As Michael Dennis,	Thank you for your comment.
		Comment		Senior Research Psychologist at Chestnut Health	
				Systems, has noted, 90% of adults who meet clinical criteria for abuse or dependence of alcohol or drugs	
				started using them under the age of 18, and met the	
				criteria for abuse or dependence by the time they	
				were 20 years old. By treating them as teens, we	
				intervene early in a disease that otherwise costs	
				society millions of dollars in justice system and health	
				care spending.	
			Regina Jackson/	I was a few to few to few to the	
	6/8/2011		High School for Recording Arts MN	I support your effort to focus policy and treatment on this critical population	
471.	6/8/2011	General	Mary Jo Logan/	As family members of people with severe mental	Thank you for your comment
寸/ 1.	J, J, ZUII	Comment	NAMI	illness, we strongly support using the Block Grant	Thank you for your comment
				funds to serve individual with SMI who are uninsured	

				or who cycle in/out of Medicaid coverage. Family members are faced with where to get treatment and how to pay for meds for their family member who may be uninsured. Our fear is that even though over time the new Affordable Care Act will provide plans to cover individuals that folks will continue to fall through the cracks even as efforts are made to reduce future Medicaid/Medicare funding. The needs of people with SMI are great. The monies made available from the block grant should be applicable to all services needed by people affect with SMI.	
472.		General Comment		We strongly endorse SAMHSA's decision to allow states to submit a combined block grant application	Thank you for your comment.
				for mental health and substance abuse services	
				funded through the SAMHSA block grant authorities.	
				We are concerned about the potential for shifting	
				mental health block grant funding away from services	
				for the legislatively mandated priority populations.	
				We do not feel that underinsurance and the need for	
			Robert Bernstein/	states to identify and plan for the funding of recovery oriented services for those who carry private	
			Bazelon Center for	insurance is sufficiently addressed in the proposed	
	6/8/2011		Mental Health Law	block grant changes.	
473.	0,0,2011	General	THOMAS TO SELECT LAW	I am writing to praise SAMHSA for continuing to target	Thank you for your comment
		Comment	Betsy Gudz/ Friends	adolescents; a subpopulation whose needs are often	, ,
			of Youth,	overlooked and/or poorly served by the general	
	6/8/2011		Washington	system of care.	

474.	6/8/11	General	Judy Bredthauer	I would encourage SAMHSA to focus more on	
		Comments	Up Lift Wyoming	prevention and early intervention as well as services	
				for children and youth.	
475.	6/8/11	General	Darrell Fraizer	I posit that being placed in guardianship is a "critical	
		Comments	General Public	issue" that needs monitoring at least monthly until the	
				child is able to show stability in their performance and	
				grades.	
476.	6/8/11	General	John Monroe Jr	I want to commend SAMHSA for including adolescents	Thank you for your comments.
		Comments	Contemporary	who need treatment for substance abuse disorders as	
			Services Inc.	a priority population that should be addressed by	
				block grant recipients.	
477.	6/8/11	General	Michelle Dillard	I want to commend SAMHSA for including adolescents	Thank you for your comments.
		Comments	Contemporary	who need treatment for substance abuse disorders as	
			Services Inc.	a priority population that should be addressed by	
				block grant recipients.	
478.	6/8/11	General	Sylvia Gil	NAMI strongly supports using Block Grant funds to	Thank you for your comments
		Comments	NAMI	serve individuals with serious mental illness who are	
				uninsured or who cycle in and out of health insurance	
				and/or Medicaid coverage and on encouraging	
				outreach and enrollment of vulnerable individuals	
				with severe mental illness, addictions or co-occurring	
				disorders.	
				disorders.	
				NAMI also strongly supports SAMHSA's focus on	
				funding priority treatment and support services that	
				demonstrate success in improving outcomes or	
				supporting recovery and that are not typically covered	
				by Medicaid, Medicare or private insurance.	
479.	6/8/11	General	Joe Finkbonner	There should be a specific section that elaborates	SAMHSA will revise the section
		Comments	Northwest Portland	on a method to not only determine this for Tribal	on tribal consultation to reques
			Area Indian Health	populations but all rural populations as identified by	information on how States

			Board	the Health Professional Shortage Areas.	currently collect or document this information.
				SAMHSA should require states to include official	
				tribal representatives to be a voting member of	
				State Behavioral Health Advisory Councils.	
				Suggest inclusion of required components in the	
				State reports that tracks dollars spent. Suggested	
				list of those components should at a minimum	
				include: Name of tribe, date of consultation,	
				duration of consultation, list of tribal	
				representatives, topic of consultation. Related	
				topics of discussion must include: scope of	
				provision, strategies for service provision, utilization	
				of services, time frame for State implementation of	
				proposed project, involvement of dashboard	
				development, suicide prevention, technical	
				assistance needs, involvement of individuals and	
				families, use of technology, collaboration.	
480.	6/8/11	General	TJ Rosenberg	Under Information on Activities that Support	Please see response to #361-367
		Comments	Nevada PEP	Individuals in Directing the Services, SAMHSA should	
				also ask States to provide information about policies	
				and programs that allow custodial parents of children	
				with mental illness and/or substance use disorders to	
				direct their children's care.	
				Under Process for Comment on State Plan, SAMHSA	
				should ask States to describe their efforts and	
				procedures to obtain public comment from consumers	
				and family members of children consumers, use of	
				electronic media for posting of the draft plan and	

				solicitation of comments on the development of and draft State plan.	
				Under Description of Processes to Involve Individuals and Families, SAMHSA should more than request that States describe their efforts, it should be required of states and supported by a budget line item.	
481.	6/8/11	General Comments	Katie Wells Colorado Department of Human Services	I am writing in support of SAMHSA's identification of youth with substance use disorders (SUD) as a population with evolving needs in the Block Grant Application Guidance and Instructions.	Thank you for your comments.
482.	6/8/11	General Comments	Erica Bettwy General Public	I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as a priority population that should be addressed by block grant recipients.	Thank you for your comments.
483.	6/8/11	General Comments	Kimberly Walsh West Virginia Department of Human	 The timelines for these changes will be difficult to manage to accomplish a useable plan. The requirement to respond to statutory language and to new priorities creates an undue burden. 	1. SAMHSA has amended the Block grant planning time frames to provide states with more flexibility in the time frames for submitting the plan. 2. Additionally, SAMHSA is
				3. The requirements assume a State's ability to collect and report client level data.	sensitive to the shortened timeframe and has modified the application to allow for a
				4. The review and approval process for BG plans and reports is not established and may create an undue burden.	phased-in application this year. 3. SAMHSA has asked for encounter data from these states who are able to report. 4. There will be a uniform process for both the Mental Health and Substance Abuse
					Block Grant plan and report,

					even if the documents are submitted separately. A new review process is under development.
484.	6/8/2011	General Comments	Karen Williams General Public	Ensure that a certain minimum percentage of Block Grant resources be allocated to children and youth with behavioral health needs and their families; Preserve the system of care (SOC) grant program and provide additional language highlighting the SOC approach as a best practice in serving children and youth with complex behavioral health needs and their families; Include specific requirements on meeting the needs of children and youth with behavioral health needs and their families, and develop a special monitoring unit to ensure compliance; and Ensure that states and other block grant recipients receive Technical Assistance and consultation on best practice approaches to serving children and youth with complex behavioral health needs and their families.	Please see response to #328
485.	6/8/11	General Comments	William Williams Fairfax-Falls Church Community Services Board	I am writing in support of SAMHSA's identification of youth with substance use disorders (SUD) as a population with evolving needs in the Block Grant Application Guidance and Instructions.	Thank you for your comments.
486.	6/8/11	General Comments	Karen Hartwell General Public	Please continue to help support Teens, by helping fund programs that keep them in school and offer them help with their addiction problems	Thank you for your comments.
487.	6/8/11	General Comments	Shirlee Tanner General Public	Commend the Substance Abuse and Mental Health Services Administration (SAMHSA) for identifying youth with substance use disorders as an important population with evolving needs for the first time. This	Thank you for your comments.

				acknowledgement of the critical issues of this population is groundbreaking.	
488.	6/8/11	General Comments	Kim Torzok General Public	Commend the Substance Abuse and Mental Health Services Administration (SAMHSA) for identifying youth with substance use disorders as an important population with evolving needs for the first time. This acknowledgement of the critical issues of this population is groundbreaking.	Thank you for your comments.
489.	6/8/11	General Comments	Margaret Tom Hawaii Alcohol and Drug Abuse Division	The broad scope and nature of SAMHSA's proposed planning, application, and reporting requirements involving health care reform, financing, and new uses of the SAPT Block Grant would require the State to undertake numerous, fundamental, and complex changes while struggling on a prolonged basis with inadequate staffing capacity.	
				Changes in new application should allow for the range of differences and goals that each State has for health care reform.	The application allows States significant flexibility in assessing needs and developing a plan that is not directly related to health reform. Therefore States can focus on those areas that are more relevant for their jurisdiction.
				The burden will not be reduced for States like Hawaii that will not be submitting a combined application.	SAMHSA disagrees with this comment. The former application had 17 goal areas that the States were required to address for the SAPTBG. The State can now establish its specific goals based on its needs

					assessment. In addition, moving from a one year to a two year planning cycle reduces State's efforts regardless of the submission on a combined application.
490.	6/8/11	General Comments	Margaret Tom Hawaii Alcohol and Drug Abuse Division	Will the Block Grant Application System (BGAS) contractor conduct beta tests of the application plan and reporting section, and if so, when? Provide complete and clear instructions and definitions in the application and reporting section, including specificity as to which narratives and tables are required and which are optional. Urge SAMHSA to utilize other data collection mechanism such as surveys conducted by NASADAD and other contractors. Make available to States the criteria that project officers will use to review and approve the application and reporting section. Significantly improve the training of project officers to enable them to provide consistent, clear and practical guidance to states.	Yes they will beta test the application plan and reporting requirements before the September 1, 2011 deadline. The application has been revised to address the sections that are required versus requested. States have the ability to use the data sources that are available and most relevant to their jurisdictions. SAMHSA will make this criteria available but not as part of the application.
491.	6/8/11	General Comments	Shauna Moses on behalf of Debra Wentz New Jersey Association of	Agree with SAAS's comments Continue to seek provider input on future proposed changes.	Thank you for your comments.

			Mental Health and Addiction Agencies, Inc.	Clarify which of the proposed changes are required and which are encouraged or optional, and provide clear guidance about consequences for not complying with both required and optional changes. Ensure that quality substance use disorder care is provided throughout the country while allowing states to maintain the flexibility needed to address the needs	The application has been revised to address the sections that are required versus requested.
492. 6,		General Comments	Usacitizen1@live.co m	unique to each state. Need to have an audit of this spending of American tax dollars.	Thank you for your comment.
493. 6,	/8/11	General Comments	Lora Thomas NAMI Illinois	NAMI Illinois strongly supports using Block Grant funds to serve individuals with serious mental illness who are uninsured or who cycle in and out of health insurance and/or Medicaid coverage and on encouraging outreach and enrollment of vulnerable individuals with severe mental illness, addictions or cooccurring disorders. NAMI Illinois would like to emphasize the importance of maintaining focus and prioritization of children and adults living with serious mental illness. NAMI Illinois strongly supports SAMHSA's focus on funding priority treatment and support services that demonstrate success in improving outcomes or supporting recovery and that are not typically covered by Medicaid, Medicare or private insurance. NAMI Illinois appreciates SAMHSA's emphasis on data collection and outcomes measurement in public mental health systems, particularly the requirement that states provide "unique client-level encounter data	Thank you for your comments. Children with SED and adults with SMI are the priority populations for the MHSBG.

				for specific services that are purchased with Block Grant funds."	
494.	6/8/11	General Comments	Valerie Coley General Public	I also want to state my support for your addition of family involvement in the draft language of the Block Grant Application. I was pleased to see that a recommendation was made to States to actually describe their effort to actively engage individuals and families in developing, implementing, and monitoring the State Substance Abuse and Mental Health systems.	Thank you for your comments
495.	6/8/11	General Comments	Krystal Foree Daybreak Youth Services	I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as a priority population that should be addressed by block grant recipients.	Thank you for your comments.
496.	6/8/11	General Comments	Mercedes Tolle UPLIFT	Please put a greater emphasis on prevention and early intervention, as well as services for children and youth, as you are considering the changes to make to the federal mental health and substance abuse block grants.	Thank you for your comment. SAMHSA believes that the language in the document and guidance to the States emphasizes prevention and early intervention.
497.	6/8/11	General Comments	Jamie MacDonald	Strongly encourage you to include prevention and early intervention as key priorities for serving youth in addition to needed treatment.	Thank you for your comments
498.	6/9/11	General Comments	Ben Cadet General Public	Ensure that a certain minimum percentage of Block Grant resources be allocated to children and youth with behavioral health needs and their families Preserve the System of Care grant program and provide additional language highlighting the SOC approach as a best practices in serving children and	Please see answer to #328.

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				youth with complex behavioral health needs and their families.	
				Include specific requirements on meeting the needs of children and youth with behavioral health needs and their families, and develop a special monitoring unit to ensure compliance	
				Ensure that states and other block grant recipients receive technical assistance and consultation on best practice approaches to serving children and youth with complex behavioral health needs and their families.	
499.	6/9/11	General Comments	Cathy Abramson National Indian Health Board	To ensure block grant dollars reach AI/ANs, NIHB urges the drafters of the proposed application to adopt stronger language in all areas that address or implicate state – Tribal coordination/cooperation.	SAMHSA has language throughout the document regarding the importance of Tribes in States' planning and implementation efforts
				In some sections of the proposed application guidance and instructions, the drafters omit reference to Tribes. These omissions undermine SAMHSA's strategic initiative to address the health disparities of AI/AN people.	regarding their Block Grant program.
				Wherever applicable, the guidance/instruction language should include specific reference to Tribes and Tribal organizations.	
				Tribes should have input on the creation of the performance measures.	SAMHSA is encouraging States to include Tribes and other stakeholders in all aspects of the

500.	6/9/11	General Comments	Jay Stiener National Council of Urban Indian Health	Furthermore, states should be required to provide letters of support from partners they identify in their grant proposals. NCUIH opposes SAMHSA's decision to block grant Mental Health Services and Substance Abuse and Prevention Treatment to the states, rather than to tribal organizations.	assessment and plan. Please refer to the Section on State Partners. Thank you for your comment. However, the purpose of this FRN was to solicit comments on the application and not on SAMHSA allocation of Block Grant funds.
501.	6/9/11	General Comments	Janet McLinden	Ensure that the SAPT Block Grant continues to focus on the programmatic, financial, reporting and outcome measurements associated specifically with substance use/abuse. Ensure that the emphasis and funding for bona fida substance use/abuse prevention is not diminished so that the maximum number of youth throughout the country can receive the benefit of these strategies, programs and services; Ensure that the SAPT Block Grant requirements are not overly prescriptive for prevention as far as mandating a major focus only on communities at "highest risk".	Thank you for your comments. SAMHSA requires that States spend at least 20% of their SAPTBG allotment on primary prevention programs for persons who do not require treatment. States also must report their spending on primary prevention. Some States spend more on primary prevention and may continue to do so.
				Ensure that new consolidated application process clearly delineates which changes are optional and which are mandatory, as well as consequences for non-compliance so that states do not expend unnecessary time and resources.	States should make prevention a top priority, taking advantage of science, best practices in community coordination, proven planning processes like

					the strategic prevention framework (SPF) and science in the 2009 Institute of Medicine report entitled "Preventing Mental, Emotional, and Behavioral Disorders Among Young People: Progress and Possibilities" to develop effective prevention strategies and place a priority on targeting high need communities.
					CADCA and other national substance abuse prevention organizations and other stakeholders will be involved in SAMHSA efforts regarding the reporting requirements for the SAPTBG. SAMHSA has made changes to the application to identify
					sections that are required versus requested.
502.	6/9/11	General Comments	Maryanne Frangules MOAR	Supports changes that will enhance a truthfully coordinated system of care that enhances Medicaid, insurance coverage via health care reform. SAMHSA should include recovery support services	Thank you for your comment.

				under both the Rehabilitation and Recovery support sections.	
503.	6/9/11	General Comments	Ron Rickenbaker Colleton Commission on	Continue to seek provider input on future proposed changes.	Thank you for your comment.
			Alcohol and Drug Abuse	Clarify which requests for information in the new block grant application are optional and which are required, and clearly explain the consequences (or lack of consequences) for not complying with required or optional changes.	The application has been revised to address the sections that are required versus requested.
				Ensure that substance use disorder care is provided throughout country while allowing states to maintain the flexibility they need to effectively meet the needs of their residents whether they live in metropolitan, urban or rural communities.	
504.	6/9/11	General Comments	Nelson Acquilano Council on Alcoholism and Addictions of the	Continue the percentage set-aside for AOD prevention programs in the block grant, higher percentage would be recommendable.	Thank you for your comment. The first two comments are beyond the scope of the FRN. SAMHSA disagrees with the last
			Finger Lakes	Relax regulations for states and agency providers.	comment and believes that evidenced based services are
				Abandon the emphasis upon "evidence-based programs".	important to ensure the quality of both mental health and substance abuse services.
505.	6/9/11	General Comments	Susan Davis RISAS	Ensure that the SAPT Block Grant continues to focus on the programmatic, financial, reporting and outcome measurements associated specifically with substance use/abuse.	Please see response to #501
				Ensure that the emphasis and funding for bonafide substance use/abuse prevention is not diminished so	

506.	6/9/11	General	Monique Lancaster	that the maximum number of youth throughout the country can receive the benefit of these strategies, programs and services; Ensure that the SAPT Block Grant requirements are not overly prescriptive for prevention as far as mandating a major focus only on communities at "highest risk". Ensure that new consolidated application process clearly delineates which changes are optional and which are mandatory, as well as consequences for non-compliance so that states do not expend unnecessary time and resources. Would like home and community based services	Thank you for your comments.
507.	6/9/11	General Comments	General Public Hans Straight Ritchie County Family Resource Network	included in block grant services. I also want to state my support for your addition of family involvement in the draft language of the Block Grant Application. I was pleased to see that a recommendation was made to States to actually describe their effort to actively engage individuals and families in developing, implementing, and monitoring the State Substance Abuse and Mental Health systems.	Thank you for your comments.
508.	6/9/2011	General Comments	Larry Calkins Seaway Valley Council for Alcohol/Substance Abuse Prevention, Inc.	Maintain the prevention portion of the SAPT block grant in its current form with substance abuse specific strategies.	Thank you for your comments. The comment is beyond the scope of the FRN regarding the Block Grant application.
509.	6/9/11	General Comments	David Patrone General Public	Encourage the endorsement of the CADCA recommendations in the proposed block grant	Thank you for your comments.

				application.	
510.	510. 6/9/11	General Comments	JoAnne Macdonald General Public	Provide additional language highlighting the SOC approach as a best practice in serving children and youth with SED and/or SA needs and their families.	Please see response to #328
				Ensure that a certain minimum percentage of MHSBG and SAPTBG dollars be allocated to children and youth with SED and/or SA needs and their families.	
				Include specific requirements on meeting the needs of children and youth with SED and/or SA needs and their families, and develop a special monitoring unit to ensure compliance.	
				Require that experts on the needs of and best practice approaches to serving children and youth with SED and/or SA needs and their families be included in federal and state planning efforts.	
511.	6/9/201 1	General Comment	Jennifer Faringer/ De Paul's National Council on	It is for these reasons CANYS has the following specific recommendations: Ensure that SAPT Block Grant continues on the	Please see response to #501.
			Alcoholism and Drug Dependence- Rochester Area	programmatic, financial, reporting and outcome measurements associated specifically with substance use/abuse prevention; be sure also to minimize reporting and outcome measures so as not to unnecessarily burden providers.	
				Ensure that the emphasis and funding for bona fide substances use/abuse prevention is not diminished so that the maximum number of youth throughout the country can receive the benefit of these strategies,	

				Ensure that the SAPT Block Grant requirements are not overly prescriptive for prevention, especially as far as mandating a major focus only on communities at "highest risk" or specific populations. While CANYS fully understands the motivation behind trying to target prevention resources to communities and populations of highest need in the case of substance abuse prevention, this will result in major unintended consequences, and will result in the bulk of America's youth being deprived of bona fide substance use/abuse prevention strategies, programs and services needed to reverse the upticks in youth drug use, that are driven by the general population of American youth; and, Ensure that the new consolidated application process clearly delineates which changes are optional and which are mandatory; furthermore, any consequences for non-compliance must be minimized so that states do not expend unnecessary time and resources.	
512.	6/9/2011	General Comment	Patty Warble/ Bedford, Lewisboro, Pund Ridge Drug Abuse Prevention council	The Bedford, Lewisboro, Pound Ridge Drug Abuse Prevention Council is opposed to the proposed modifications of the prevention portion of the SAPT block grant for the following reasons: While we recognize that the population of youth and adults with co-occurring disorders must be addressed, it is inaccurate to assume that most youth who use substances have a pre-disposing or concurrent mental	Please see response to #501

disorder.

Relying on a family's health insurance will trigger an insurance claim that will alert parents that participation in prevention activities or prevention services were obtained and therefore serve as a deterrent to youth seeking information, alternative activities to substance use, or early intervention help.

Using Medicaid or private insurance to pay for prevention activities that were previously funded through the SAPT block grant will "pathologize" youth who simply want advice, information, or help developing skills to refuse substances or find other ways to have fun. Unfortunately many families who can afford it, seek substance abuse treatment from private practices (who often lack adequate substance abuse training) who will accept cash and not access their insurance to prevent possible future consequences. Using the insurance system for prevention will add to this problem.

The reduction in prevention funding from the elimination two years ago of the Safe & Drug Free Schools funding for prevention nationwide has resulted in reductions in the number of youth who perceive substances as harmful and has resulted in a recent increase in use. Further reducing the availability of funds for universal, selective, and indicated prevention will result in increased use.

Therefore we believe that SAMHSA should maintain

				the prevention portion of the SAPT Block grant in its current form with substance abuse specific strategies.	
513.	6/9/2011	General	Laura Hosley/ Rhode Island Student Assistance Services	I respectfully request that you follow through with the recommendations put forth by CADCA: Ensure that the SAPT Block Grant continues to focus on the programmatic, financial, reporting and outcome measurements associated specifically with substance use/abuse. Ensure that the emphasis and funding for bona fide substance use/abuse prevention is not diminished so that the maximum number of youth throughout the country can receive the benefit of these strategies, programs and services; Ensure that the SAPT Block Grant requirements are not overly prescriptive for prevention as far as mandating a major focus only on communities at "highest risk". While CADCA fully understands the motivation behind trying to target prevention resources to communities and populations of highest need in the case of substance abuse prevention, this will have major unintended consequences, and will result in the bulk of America's youth being deprived of bona fide substance use/abuse prevention strategies, programs and services needed to reverse the upticks in youth drug use that are driven by the general population of American youth; Ensure that CADCA and other national substance	Please see response to #501
				Libare that expert and other national substance	

				abuse prevention organizations are involved in further planning and implementation of changes to the structure and reporting requirements of the SAPT and MHS Block Grants; and Ensure that the new consolidated application process clearly delineates which changes are optional and which are mandatory, as well as consequences for non-compliance so that states do not expend unnecessary time and resources.	
514.	6/9/2011	General	Pamela Hyatt/ General Public	In <u>Step One</u> , states should address the strengths and needs of the service system to address the specific populations in conjunction with family members of children from that population and in conjunction with youth and adult consumers from that population. Under <u>Information on Activities that Support Individuals in Directing the Services</u> , SAMHSA should also ask States to provide information about policies and programs that allow custodial parents of children with mental illness and/or substance use disorders to direct their children's care. Under <u>Process for Comment on State Plan</u> , SAMHSA should ask States to describe their efforts and procedures to obtain public comment from consumers and family members of children consumers, use of electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan.	Please see response #361-367 and #441.

515.	6/9/2011	General	Sara Howe/ IL	Under Description of Processes to Involve Individuals and Families, SAMHSA should more than request that States describe their efforts, it should be required of states and supported by a budget line item. This is necessary because we have seen too often State governments fail to adequately involve family members. Requiring States to do this will force States to make family involvement a higher priority. Under Description of the Use of Technology, we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services are necessary for children's resilience. Under Description of State Behavioral Health Advisory Council, we would like to see SAMHSA also strongly encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well. Under Information on Data and Information Technology, SAMHSA should require that states include disaggregated data by race, ethnicity and language in all of the reports. This information is critical to informing us of where there are health disparities among certain populations. As the process moves forward, we urge	Please see response to #491.
515.	0/7/2011	Comment	Alcoholism & Drug	SAMHSA to do the following:	ricase see response to #491.

			Dependence		
			Association (IADDA)	Continue to seek provider input on future proposed changes;	
				Clarify which of the proposed changes are required and which are encouraged or optional, and provide clear guidance about consequences for not complying with both required and optional changes;	
				Ensure that quality substance use disorder care is provided throughout the country while allowing states to maintain the flexibility needed to address the needs unique to each state.	
516.	6/9/2011	General Comment	Amy Smith/ Fairfax County	I am writing in support of SAMHSA's identification of youth with substance use disorders (SUD) as a population with evolving needs in the Block Grant Application Guidance and Instructions.	Thank you for your comments.
517.	6/9/2011	General Comment	Anne Marie Sheffield on behalf of Donna Wyche/ Orange County Mental Health and Homeless Issues Division	In Step One, states should address the strengths and needs of the service system to address the specific populations in conjunction with family members of children from that population and in conjunction with youth and adult consumers from that population. Under Information on Activities that Support Individuals in Directing the Services, SAMHSA should also ask States to provide information about policies and programs that allow custodial parents of children with mental illness and/or substance use disorders to direct their children's care. Under Process for Comment on State Plan, SAMHSA	Please see response #361-367 and #441.

should ask States to describe their efforts and procedures to obtain public comment from consumers and family members of children consumers, use of electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan.

Under <u>Description</u> of <u>Processes</u> to <u>Involve Individuals</u> and <u>Families</u>, SAMHSA should more than request that States describe their efforts, it should be required of states and supported by a budget line item. This is necessary because we have seen too often State governments fail to adequately involve family members. Requiring States to do this will force States to make family involvement a higher priority.

Under <u>Description of the Use of Technology</u>, we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services are necessary for children's resilience.

Under <u>Description of State Behavioral Health Advisory Council</u>, we would like to see SAMHSA also strongly encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well.

Under <u>Information on Data and Information</u>
<u>Technology</u>, SAMHSA should require that states

				include disaggregated data by race, ethnicity and language in all of the reports. This information is critical to informing us of where there are health disparities among certain populations.	
518.	6/9/2011	General Comment	Marianne Moon/ Missoula County Public Schools	We are opposed to the proposed modifications of the prevention portion of the SAPT block grant for the following reasons: While we recognize that the population of youth and adults with co-occurring disorders must be addressed,	Thank you for your comment. Youth with an SUD are much more likely to have a mental health condition. 66 percent of youth with a substance use disorder have experienced an
				it is inaccurate to assume that most youth who use substances have a pre-disposing or concurrent mental disorder.	anxiety, mood or disruptive behavior disorder some time in their life, compared with 31% of youth without a substance use
				Relying on a family's health insurance will trigger an insurance claim that will alert parents that participation in prevention activities or prevention	disorder.
				services were obtained and therefore serve as a deterrent to youth seeking information, alternative activities to substance use, or early intervention help.	SAMHSA does not agree with the assumptions regarding health insurance. There are (and will continue to be) many
				Using Medicaid or private insurance to pay for prevention activities that were previously funded through the SAPT block grant will "pathologize" youth who simply want advice, information, or help	community and individual prevention strategies that will not be included as a reimbursable services under
				developing skills to refuse substances or find other ways to have fun. Unfortunately many families who can afford it, seek substance abuse treatment from private practices (who often lack adequate substance	public or commercial insurance. Therefore other funding streams including SAMHSA grant funds will be critically important to
				abuse training) who will accept cash and not access their insurance to prevent possible future consequences. Using the insurance system for	support these services. The final recommendation is beyond the scope of this FRN.

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				prevention will add to this problem.	
				The reduction in prevention funding from the elimination two years ago of the Safe & Drug Free Schools funding for prevention nationwide has resulted in reductions in the number of youth who perceive substances as harmful and has resulted in a recent increase in use. Further reducing the availability of funds for universal, selective, and indicated prevention will result in increased use. Therefore we believe that SAMHSA should maintain the prevention portion of the SAPT Block grant in its current form with substance abuse specific strategies.	
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519.	6/9/2011	General Comment	Belinda Pearson/ General Public	In <u>Step One</u> , states should address the strengths and needs of the service system to address the specific populations in conjunction with family members of children from that population and in conjunction with youth and adult consumers from that population. Under Information on Activities that Support	Please see response #361-367 and #441.
				Individuals in Directing the Services, SAMHSA should also ask States to provide information about policies and programs that allow custodial parents of children	
				with mental illness and/or substance use disorders to direct their children's care.	
				Under Process for Comment on State Plan, SAMHSA	
				should ask States to describe their efforts and	
				procedures to obtain public comment from consumers	
				and family members of children consumers, use of	

electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan.

Under <u>Description of Processes to Involve Individuals and Families</u>, SAMHSA should more than request that States describe their efforts, it should be required of states and supported by a budget line item. This is necessary because we have seen too often State governments fail to adequately involve family members. Requiring States to do this will force States to make family involvement a higher priority.

Under <u>Description of the Use of Technology</u>, we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services are necessary for children's resilience.

Under <u>Description of State Behavioral Health Advisory Council</u>, we would like to see SAMHSA also strongly encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well.

Under Information on Data and Information
Technology, SAMHSA should require that states
include disaggregated data by race, ethnicity and
language in all of the reports. This information is
critical to informing us of where there are health

				disparities among certain populations.	
520.	6/9/2011	General Comment	Rory Weishaar on behalf of Ellen Morehouse/ Frenchtown High School	disparities among certain populations. We are opposed to the proposed modifications of the prevention portion of the SAPT block grant for the following reasons: While we recognize that the population of youth and adults with co-occurring disorders must be addressed, it is inaccurate to assume that most youth who use substances have a pre-disposing or concurrent mental disorder.	Please see the response to #501.
				Relying on a family's health insurance will trigger an insurance claim that will alert parents that participation in prevention activities or prevention services were obtained and therefore serve as a deterrent to youth seeking information, alternative activities to substance use, or early intervention help.	
				Using Medicaid or private insurance to pay for prevention activities that were previously funded through the SAPT block grant will "pathologize" youth who simply want advice, information, or help developing skills to refuse substances or find other ways to have fun. Unfortunately many families who can afford it, seek substance abuse treatment from private practices (who often lack adequate substance abuse training) who will accept cash and not access their insurance to prevent possible future consequences. Using the insurance system for prevention will add to this problem.	
				The reduction in prevention funding from the	

				elimination two years ago of the Safe & Drug Free Schools funding for prevention nationwide has resulted in reductions in the number of youth who perceive substances as harmful and has resulted in a recent increase in use. Further reducing the availability of funds for universal, selective, and indicated prevention will result in increased use.	
				Therefore we believe that SAMHSA should maintain the prevention portion of the SAPT Block grant in its current form with substance abuse specific strategies.	
521.	6/9/2011	General Comment	Cathey Brown/ Rainbow Days, Inc.	We believe it is critical that SAMHSA maintain the prevention portion of the SAPT Block grant in its current form with substance abuse specific strategies. Our reasons for this are as follows: The country has made significant progress in reducing	Please see response to #501.
				binge drinking and marijuana Further reducing the availability of funds for universal, selective, and indicated prevention will result in increase use. Substance use and abuse specific strategies that address some of the most salient risk factors for youth	
				substance use such as: parents, siblings, and peers, that have favorable attitudes towards substance use; low perceived risk of harm of substance use; the lack of the protective factor of strong social skills; and social norms that favor substance use etc., must be the focus of prevention efforts.	
				Using Medicaid or private insurance to Medicaid or private insurance to pay for prevention activities that have previously been funded through the SAPT block	

				grant will not work. Many youth just want advice	T
				grant will not work. Many youth just want advice, information or help in developing skills to refuse	
				substances or find other ways to have fun.	
				substances of find other ways to have full.	
				It is imperative that we ensure that funding for	
				prevention is not further diminished so that the	
				maximum number of youth throughout the country	
				can receive the benefit of the proven strategies,	
				programs and services that have been developed and	
				implemented over the past three decades.	
522.	6/9/2011	General Comment	Tracey Wangler/ Nelson County, KY PATH Coalition	We are opposed to the proposed modifications of the prevention portion of the SAPT block grant for the following reasons:	Please see response to #501.
				While we recognize that the population of youth and	
				adults with co-occurring disorders must be addressed,	
				it is inaccurate to assume that most youth who use	
				substances have a pre-disposing or concurrent mental	
				disorder.	
				Relying on a family's health insurance will trigger an	
				insurance claim that will alert parents that	
				participation in prevention activities or prevention	
				services were obtained and therefore serve as a	
				deterrent to youth seeking information, alternative	
				activities to substance use, or early intervention help.	
				Using Medicaid or private insurance to pay for	
				prevention activities that were previously funded	
				through the SAPT block grant will "pathologize" youth	
				who simply want advice, information, or help	
				developing skills to refuse substances or find other	

				ways to have fun. Unfortunately many families who can afford it, seek substance abuse treatment from private practices (who often lack adequate substance abuse training) who will accept cash and not access their insurance to prevent possible future consequences. Using the insurance system for prevention will add to this problem. The reduction in prevention funding from the elimination two years ago of the Safe & Drug Free Schools funding for prevention nationwide has resulted in reductions in the number of youth who perceive substances as harmful and has resulted in a recent increase in use. Further reducing the availability of funds for universal, selective, and indicated prevention will result in increased use. Therefore we believe that SAMHSA should maintain the prevention portion of the SAPT Block grant in its current form with substance abuse specific strategies.	
523.	6/9/2011	General Comment	Peter Navratil/ National Council on Alcoholism and Drug Dependence- Rochester Area	We are opposed to the proposed modifications of the prevention portion of the SAPT block grant for the following reasons: While we recognize that the population of youth and adults with co-occurring disorders must be addressed, it is inaccurate to assume that most youth who use substances have a pre-disposing or concurrent mental disorder. Relying on a family's health insurance will trigger an	Please see response to #501.

				participation in prevention activities or prevention services were obtained and therefore serve as a deterrent to youth seeking information, alternative activities to substance use, or early intervention help. Using Medicaid or private insurance to pay for prevention activities that were previously funded through the SAPT block grant will "pathologize" youth who simply want advice, information, or help developing skills to refuse substances or find other ways to have fun. Unfortunately many families who can afford it, seek substance abuse treatment from private practices (who often lack adequate substance abuse training) who will accept cash and not access their insurance to prevent possible future consequences. Using the insurance system for prevention will add to this problem. The reduction in prevention funding from the elimination two years ago of the Safe & Drug Free Schools funding for prevention nationwide has resulted in reductions in the number of youth who perceive substances as harmful and has resulted in a recent increase in use. Further reducing the availability of funds for universal, selective, and indicated prevention will result in increased use. Therefore we believe that SAMHSA should maintain the prevention portion of the SAPT Block grant in its	
524.	6/9/2011	General Comment	Connie Mason/ General Public	current form with substance abuse specific strategies. We would like to say that we welcome the changes to the block grant that reflect SAMHSA's growing	Thank you for your comments.

525	6/0/2011	Conoral	Elaino Morgan/	emphasis on integration of mental health and substance abuse prevention and treatment. Unifying these block grants will send a message to states to better coordinate the care for youth with co-occurring mental health and substance use disorders, who have unique needs that are not being adequately addressed by the system as it is today. We also know that many states use the majority of their mental health dollars on residential treatment for youth, so we hope that the new block grants will also encourage states to move towards funding home and community-based services and supports for children and youth with mental, emotional and/or behavioral health needs. Requiring states to assess their behavioral health needs will force them to see that such an overwhelming emphasis on residential treatment under serves the families who would be better served with home or community-based services. Not to mention the huge financial burden it places on states. Home and community-based services are less expensive, preventative, and produce more positive outcomes in the long term. The emphasis on recovery support should also help to bring more funds away from out-of-home placement.	Diago coo rosponso #241, 247
525.	6/9/2011	General Comment	Elaine Morgan/ Federation of Families of Central Florida	In <u>Step One</u> , states should address the strengths and needs of the service system to address the specific populations in conjunction with family members of children from that population and in conjunction with youth and adult consumers from that population.	Please see response #361-367 and #441.

Under <u>Information on Activities that Support</u> <u>Individuals in Directing the Services</u>, SAMHSA should also ask States to provide information about policies and programs that allow custodial parents of children with mental illness and/or substance use disorders to direct their children's care.

Under <u>Process for Comment on State Plan</u>, SAMHSA should ask States to describe their efforts and procedures to obtain public comment from consumers and family members of children consumers, use of electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan.

Under <u>Description of Processes to Involve Individuals</u> and <u>Families</u>, SAMHSA should more than request that States describe their efforts, it should be required of states and supported by a budget line item. This is necessary because we have seen too often State governments fail to adequately involve family members. Requiring States to do this will force States to make family involvement a higher priority.

Under <u>Description of the Use of Technology</u>, we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services are necessary for children's resilience.

Under <u>Description of State Behavioral Health Advisory</u> <u>Council</u>, we would like to see SAMHSA also strongly

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				encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well.	
				Under <u>Information on Data and Information</u>	
				<u>Technology</u> , SAMHSA should require that states	
				include disaggregated data by race, ethnicity and	
				language in all of the reports. This information is	
				critical to informing us of where there are health	
				disparities among certain populations.	
526.	6/9/2011	General Comment	Arlene Hall/ Mountain View Prevention Services, Inc.	Ensure that SAPT Block Grant continues on the programmatic, financial, reporting and outcome measurements associated specifically with substance use/abuse prevention; be sure also to minimize reporting and outcome measures so as not to unnecessarily burden providers. Ensure that the emphasis and funding for bona fide substances use/abuse prevention is not diminished so that the maximum number of youth throughout the country can receive the benefit of these strategies, programs and services;	Please see response to #501.
				Ensure that the SAPT Block Grant requirements are not overly prescriptive for prevention, especially as far as mandating a major focus only on communities at "highest risk" or specific populations. While Mountain View Prevention Services, Inc. fully understands the motivation behind trying to target prevention resources to communities and populations of highest	

				need in the case of substance abuse prevention, this will result in major unintended consequences, and will result in the bulk of America's youth being deprived of bona fide substance use/abuse prevention strategies, programs and services needed to reverse the upticks in youth drug use, that are driven by the general population of American youth; and,	
				Ensure that the new consolidated application process clearly delineates which changes are optional and which are mandatory; furthermore, any consequences for non-compliance must be minimized so that states do not expend unnecessary time and resources.	
527.	6/9/2011	General Comment	Denise Dishongh/ Education Service District 112	I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as a priority population that should be addressed by block grant recipients.	Thank you for your comments.
528.	6/9/2011	General Comment	Heyman Matlock/ Natomas Unified School District	I would like to lend my voice and support for the reauthorization of the Substance Abuse and Treatment grant because it has added adolescents to the "Priority Population".	Thank you for your comments.
529.	6/9/2011	General Comment	Kbpick66@verizon.n et /General Public	SAMHSA is strongly urged to revise the Unified Application to emphasize the needs of children and youth with serious emotional disturbance (SED) and/or substance abuse (SA) needs and their families, and 2) the importance of using System of Care (SOC) approaches to serve them in their homes and communities.	Please see response to #308.
				Provide additional language highlighting the SOC approach as a best practice in serving children and	

				youth with SED and/or SA needs and their families; Ensure that a certain minimum percentage of MHSBG and SAPTBG dollars be allocated to children and youth with SED and/or SA needs and their families; Include specific requirements on meeting the needs of children and youth with SED and/or SA needs and their families, and develop a special monitoring unit to ensure compliance; and Require that experts on the needs of and best practice	
				approaches to serving children and youth with SED and/or SA needs and their families be included in	
				federal and state planning efforts.	
530.	6/10/201	General Comment	Cecily Rodriguez/ DBHDS Virginia	I would like to encourage SAMHSA to require that recipients of funding to report preferred language as a part of the demographic data elements. Without knowing the languages spoken by participants in programs, budgets for interpreting and translation cannot be established, targeting training on specific cultural communities cannot be planned, and specialized approaches and programs cannot be implemented based on language and culture. States are not inclined to change the required data elements to include elements that are not required by federal agencies. It is still not widely accepted that data on preferred language is tied to quality as well. Localities providing services claim that they make notations in clinical notes related to languages spoken but most do not collect it at an organizational level which makes it less likely that it will be collected at a state level as	Thank you for your comment. We will take these recommendations under consideration as SAMHSA reviews its data and outcome reporting sections in the future.

				well.	
531.	6/9/2011	General Comment	Renee Mello/ San Juan Unified School District	The strategic alignment between current research and prevention and recovery support should guide the reauthorization of the Community Mental Health Services Block Grant. We implore you to consider youth as a "priority group" in regard to future funding so that we may continue to educate and inspire each student to succeed and responsibly contribute to a radically evolving world by providing innovative, research based, student-focused instruction and programs in a safe nurturing environment which encourages personal growth and responsibility.	Thank you for your comments. The reauthorization of the MHSBG is beyond the scope of this FRN.
532.	6/9/2011	General Comment	Diane Narasaki/ Asian Counseling & Referral Service	It is clear that due to a number of environmental risk factors (e.g. racism, poverty, trauma, dislocation, acculturation, etc.), racial minorities and immigrants and refugees (most of whom are also racial minorities) suffer disproportionate rates of mental illness and substance abuse, as well as significant disparities in access to behavioral healthcare. It is extremely important that SAMHSA include these groups in the list of priority populations that will be the focus of block grants. Specialized services, including culturally competent and linguistically accessible services, are essential to decrease behavioral health disparities. Evidence based practices and models that have not been normed to racial, ethnic and cultural minorities should not be required to serve these populations.	Thank you for your comments. We agree that culturally and linguistically are critical and believe we have provided sufficient guidance to States through this application regarding these issues.

				The Recovery Model's emphasis on peer support services can lead to culturally and linguistically competent interventions; peer support should be broadened to include cultural navigation and language support as elements of effective prevention and mental health recovery. Currently, the use of interpreters is often limited and fails to take into account that interpreters and their communities often bring a host of support and culturally responsive perspectives that can be useful in aiding a client's full recovery.	
533.	6/9/2011	General	Patti Herndon/ General Public	I'm the parent of a child with a dual diagnosis of major depression and substance use disorder - Fifteen years into the journey. We, as a familyMy son as an individual with a co-occurring disorder, could not have achieved what we have in terms of our increasing sense of well being/health/ "recovery" without the momentum created by the sense that our input, and the input of other parents and family membersour collective challenges/needs/perspectives, as being key in regard to helping raise awareness, thus menu of options in problem solving for our circumstances as we journey. We have gained immeasurable hope and help as a result of this kind of synergy.	Thank you for your comment and your story.
				Let me commend the Substance Abuse and Mental Health Services Administration (SAMHSA) for identifying youth with substance use disorders as an important population with evolving needs for the first time I also want to state my support for your addition of family involvement in the draft language of the Block Grant Application I also want to state my	

support for your addition of family involvement in the draft language of the Block Grant Application I would like to commend the application's focus on the provision of recovery support services are part of the continuum of support/care; inclusive of being reimbursable under Medicaid - with peer support and ensure the development for the continuum of support/care; inclusive of being reimbursable under Medicaid - with peer support and ensure the development funds. States support and ensure peer delivered services are part of the continuum of support/care; inclusive of being reimbursable under Medicaid - with peer support and ensure the development funds. States support and ensure peer delivered services are part of the continuum of support/care; inclusive of being reimbursable under Medicaid - with peer supervision as part of the supervision as part of the supervision arrangements States support and ensure the development funds. States support and ensure the development funds as part of the supervision arrangements States support and ensure the development funds as part of the supervision arrangements States support and ensure the development funds as part of the support funds as statewide consumer. States support and ensure the development funds as part of the support funds are support funds. States support and ensure the development funds as part of the support funds are support funds. States support and ensure the development funds are						T
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				evaluate all funded services (peer delivered and non peer delivered). The evaluation considers recovery from a peer perspective rather than just provider perspectives.	
535.	6/9/2011	General Comment	Kenneth Dick/ General Public	As a parent and advocate for Childrens Mental Health I support the National Wraparound Initiative response to State Block Grant Application.	Thank you for your comment.
536.	6/9/2011	General	Shareen McBride/ Association for Children's Mental Health	In <u>Step One</u> , states should address the strengths and needs of the service system to address the specific populations in conjunction with family members of children from that population and in conjunction with youth and adult consumers from that population. Under <u>Information on Activities that Support Individuals in Directing the Services</u> , SAMHSA should also ask States to provide information about policies and programs that allow custodial parents of children with mental illness and/or substance use disorders to direct their children's care. Under <u>Process for Comment on State Plan</u> , SAMHSA should ask States to describe their efforts and procedures to obtain public comment from consumers and family members of children consumers, use of electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan.	Please see response #361-367 and #441.
				Under <u>Description of Processes to Involve Individuals</u> <u>and Families</u> , SAMHSA should more than request that	

				States describe their efforts, it should be required of states and supported by a budget line item. This is necessary because we have seen too often State governments fail to adequately involve family members. Requiring States to do this will force States to make family involvement a higher priority. Under Description of the Use of Technology, we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services are necessary for children's resilience. Under Description of State Behavioral Health Advisory Council, we would like to see SAMHSA also strongly encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well. Under Information on Data and Information Technology, SAMHSA should require that states include disaggregated data by race, ethnicity and language in all of the reports. This information is critical to informing us of where there are health	
				critical to informing us of where there are health disparities among certain populations.	
537.	6/9/2011	General	DJ Ida/ National	SAMHSA is to be commended for expanding their	Thank you for your comments.
337.	0/ // 2011	Comment	Asian American	focus to include populations not historically served.	mank you for your confinence.
		Comment	Pacific Islander	These populations include military families, youth who	
			Mental Health	need substance use disorder services, individuals who	
			Association	experience trauma, increased numbers of individuals	
			ASSOCIATION	experience trauma, increased numbers of individuals	

				released from correctional facilities, and lesbian, gay, bisexual and transgendered (LGBTQ) individuals. While the inclusion of services for ethnically diverse populations was mentioned, it is important that SAMHSA require the collection of data that specifically identifies ethnicity and language needs. SAMHSA included language about using a bidirectional approach to integrated care which is critical to insuring that behavioral health carries equal weight with primary care.	
538.	6/10/201	General Comment	Danelle Valenzuela on behalf of Laura Nelson/ Arizona Dept. of Health Services	While most of the changes to the Block Grants are positive, ADHS/DBHS does have concerns around reporting expenditures at the client level. ADHS/DBHS administers behavioral health services through intermediaries known as Tribal and Regional Behavioral Health Authorities (T/RBHAs) which adds a level of complexity to financial and service tracking mechanisms. The current reporting system does not permit the tracking of service expenditures by non-Medicaid fund source at the client level. Obtaining such information would require a complete and costly overhaul to Arizona's reporting system at multiple levels of administration (State, intermediary and provider). ADHS/DBHS understands that reporting client level expenditures is optional at this time and recommends providing additional funding and guidance to comply with the component should it become a required element in the future.	Thank you for your comments. SAMHSA intent was to identify barriers to States' ability to report encounter specific information. The Block Grant application has been revised to request this information.
539.	6/10/201	General	Antonio Fevola/	It is only by addressing the "whole" child that we can	Please refer to comment #328.
	1	Comment	University of	adequately and effectively prevent escalation of	

			Pittsburg School of Medicine	problems and societal costs (and not only monetary). It is for this that while I support the need for: Ensuring that a certain minimum percentage of Block Grant resources be allocated to children and youth with behavioral health needs and their families; Preserving the system of care (SOC) grant program and provide additional language highlighting the SOC approach as a best practice in serving children and youth with complex behavioral health needs and their families; Including specific requirements on meeting the needs of children and youth with behavioral health needs and their families, and develop a special monitoring (local) unit to ensure compliance; Establishing opportunity for development of practice-based models within community-based context tailored around the WHO International Classification of Functioning and Disability.	
540.	6/10/201	General Comment	Cynthia Channell/ General Public	I am parent of a child with Bipolar Disorder and I agree with the comments/recommendations submitted by the National Federation of Families for Children's mental health.	Thank you for your comments.
541.	6/9/2011	General Comment	Emma Mullendore/ General Public	Under Information on Activities that Support Individuals in Directing the Services, SAMHSA should also ask States to provide information about policies and programs that allow custodial parents of children with mental illness and/or substance use disorders to	Thank you for your comment. We have made changes in the document to reflect this recommendation.

				direct their children's care.	
542.	6/9/2011	General Comment	Ron Hornberg/ NAMI	NAMI supports many of the changes in the proposed unified block grant application process, including:	Thank you for your comments.
				Consolidation of data collection and outcomes measurement.	
				Caregiver and family support services. Integrated mental health and substance use treatment and services.	
				Funding of priority treatment and support services not covered by Medicaid, Medicare, or private insurance offered through the exchanges and that demonstrate success in improving outcomes and/or supporting recovery.	
				Funding of priority treatment and support services for individuals without insurance or who cycle in and out of health insurance coverage.	
543.	6/9/2011	General Comment	Robert Levy/ National Council on Alcoholism & Drug Dependence-	As a prevention professional, I wish to express my opposition to the proposed modifications of the prevention portion of the SAPT block grant.	Thank you for your comments.
			Rochester Area	It is widely recognized that prevention programs, policies and practices must target all youth, not just those labeled "at-risk." While co-occurring disorders are common among substance abusers, substance use and abuse occurs among all young people, regardless of background or disability status. Prevention	

				strategies must address the entire community, targeting risk factors for youth substance use such as favorable attitudes towards substance use, low perceived risk of harm of substance use, the lack social skills, and social norms that favor substance use.	
544.	6/9/2011	General	Carol Richards/ General Public	In <u>Step One</u> , states should address the strengths and needs of the service system to address the specific populations in conjunction with family members of children from that population and in conjunction with youth and adult consumers from that population. Under <u>Information on Activities that Support Individuals in Directing the Services</u> , SAMHSA should also ask States to provide information about policies and programs that allow custodial parents of children with mental illness and/or substance use disorders to direct their children's care. Under <u>Process for Comment on State Plan</u> , SAMHSA should ask States to describe their efforts and procedures to obtain public comment from consumers and family members of children consumers, use of electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan. Under <u>Description of Processes to Involve Individuals and Families</u> , SAMHSA should more than request that States describe their efforts, it should be required of states and supported by a budget line item. This is necessary because we have seen too often State governments fail to adequately involve family	Please see response #361-367 and #441.

				members. Requiring States to do this will force States to make family involvement a higher priority. Under <u>Description of the Use of Technology</u> , we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services are necessary for children's resilience. Under <u>Description of State Behavioral Health Advisory Council</u> , we would like to see SAMHSA also strongly	
				encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well. Under Information on Data and Information Technology, SAMHSA should require that states include disaggregated data by race, ethnicity and	
				language in all of the reports. This information is critical to informing us of where there are health disparities among certain populations.	
545.	6/9/2011	General Comment	Norine Hodges/ Schoharie County Council on Alcoholism & Substance Abuse,	Please ensure that the SAPT Block Grant continues to fund prevention, treatment and recovery and also ensure that the requirements for the block grant are	Thank you for your comments.
			Inc.	not overly prescriptive.	
546.	6/9/2011	General Comment	Doug Terbeek/ Prevention Team	The Substance Abuse Prevention Team of Essex County, New York, is opposed to the proposed modifications of the prevention portion of the SAPT	Please see response to #518

block grant for the following reasons:

While we recognize that the population of youth and adults with co-occurring disorders must be addressed, it is inaccurate to assume that most youth who use substances have a pre-disposing or concurrent mental disorder.

Relying on a family's health insurance will trigger an insurance claim that will alert parents that participation in prevention activities or prevention services were obtained and therefore serve as a deterrent to youth seeking information, alternative activities to substance use, or early intervention help.

Using Medicaid or private insurance to pay for prevention activities that were previously funded through the SAPT block grant will "pathologize" youth who simply want advice, information, or help developing skills to refuse substances or find other ways to have fun. Unfortunately many families who can afford it, seek substance abuse treatment from private practices (who often lack adequate substance abuse training) who will accept cash and not access their insurance to prevent possible future consequences. Using the insurance system for prevention will add to this problem.

The reduction in prevention funding from the elimination two years ago of the Safe & Drug Free Schools funding for prevention nationwide has resulted in reductions in the number of youth who

547.	6/9/2011	General Comment	Ashley Johnson on behalf of John Coppola/ New York Association of Alcoholism and Substance Abuse Providers	perceive substances as harmful and has resulted in a recent increase in use. Further reducing the availability of funds for universal, selective, and indicated prevention will result in increased use. Therefore we believe that SAMHSA should maintain the prevention portion of the SAPT Block grant in its current form with substance abuse specific strategies. Specific comments relating ASAP's concerns on the proposed unified Block Grant application. SAMHSA should maintain a structure for funding for SUD specific prevention services Maintain discrete funding for SUD services to ensure that quality SUD care is provided while allowing states like NY to retain flexibility in use of BG funds to most effectively meet the needs of the communities they serve Specify what sections of the proposed application are optional versus required Specify if BG funds can be used to support the development of electronic health record systems and health information technology infrastructure	Thank you for your comment. Thank you for your comment. The revised Block Grant application will provide States more flexibility in who they target for services. The application has been changed to reflect this comment. There is no prohibition on States regarding their use of funds for provider EHRs or infrastructure.
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548.	6/9/2011	General Comment	Jane Walker/ Maryland Coalition of Families for Children's Mental Health	Use the language serious emotional disability instead of serious emotional disturbance throughout the document when referring to children and youth Provide additional language highlighting the SOC	Please refer to response #328.

				approach as a best practice in serving children and youth with SED and/or SA needs and their families	
				Ensure that a certain minimum percentage of MHSBG and SAPTBG dollars be allocated to children and youth with SED and/or SA needs and their families.	
				Include specific requirements on meeting the needs of children and youth with SED and/or SA needs and their families, and develop a special monitoring unit to ensure compliance	
				Require that experts on the needs of and best practice approaches to serving children and youth with SED and/or SA needs and their families be included in federal and state planning efforts. This should include representatives of the Statewide Family Networks.	
549.	6/9/2011	General Comment	Bob Vaughn on behalf of Rebecca Hea/ Denver Children's Home	Denver Children's Home is opposed to the proposed modifications of the prevention portion of the SAPT block grant for the following reasons: While we recognize that the population of youth and adults with co-occurring disorders must be addressed, it is inaccurate to assume that most youth who use substances have a pre-disposing or concurrent mental disorder.	Please see response to #518.
				Relying on a family's health insurance will trigger an insurance claim that will alert parents that participation in prevention activities or prevention services were obtained and therefore serve as a	

				deterrent to youth seeking information, alternative activities to substance use, or early intervention help.	
				Using Medicaid or private insurance to pay for prevention activities that were previously funded through the SAPT block grant will "pathologize" youth who simply want advice, information, or help developing skills to refuse substances or find other ways to have fun. Unfortunately many families who can afford it, seek substance abuse treatment from private practices (who often lack adequate substance abuse training) who will accept cash and not access their insurance to prevent possible future consequences. Using the insurance system for prevention will add to this problem.	
				The reduction in prevention funding from the elimination two years ago of the Safe & Drug Free Schools funding for prevention nationwide has resulted in reductions in the number of youth who perceive substances as harmful and has resulted in a recent increase in use. Further reducing the availability of funds for universal, selective, and indicated prevention will result in increased use.	
				Therefore we believe that SAMHSA should maintain the prevention portion of the SAPT Block grant in its current form with substance abuse specific strategies.	
550.	6/9/2011	General Comment	Giselle Handel/ Prevention Resource Center	Prevention Resource Center is opposed to the proposed modifications of the prevention portion of the SAPT block grant for the following reasons:	Please see response to #518.

While we recognize that the population of youth and adults with co-occurring disorders must be addressed, it is inaccurate to assume that most youth who use substances have a pre-disposing or concurrent mental disorder.

Relying on a family's health insurance will trigger an insurance claim that will alert parents that participation in prevention activities or prevention services were obtained and therefore serve as a deterrent to youth seeking information, alternative activities to substance use, or early intervention help.

Using Medicaid or private insurance to pay for prevention activities that were previously funded through the SAPT block grant will "pathologize" youth who simply want advice, information, or help developing skills to refuse substances or find other ways to have fun. Unfortunately many families who can afford it, seek substance abuse treatment from private practices (who often lack adequate substance abuse training) who will accept cash and not access their insurance to prevent possible future consequences. Using the insurance system for prevention will add to this problem.

The reduction in prevention funding from the elimination two years ago of the Safe & Drug Free Schools funding for prevention nationwide has resulted in reductions in the number of youth who perceive substances as harmful and has resulted in a recent increase in use. Further reducing the availability

				of funds for universal, selective, and indicated prevention will result in increased use.	
				Therefore we believe that SAMHSA should maintain the prevention portion of the SAPT Block grant in its current form with substance abuse specific strategies.	
551.	6/9/2011	General Comment	Melissa Osborne/ Fairfax-Falls Church Community Services Board	I am writing in support of SAMHSA's identification of youth with substance use disorders (SUD) as a population with evolving needs in the Block Grant Application Guidance and Instructions.	Thank you for your comments.
552.	6/9/2011	General Comment	Ellen Morehouse/ Student Assistance Services Corporation	We are opposed to the proposed modifications of the prevention portion of the SAPT block grant for the following reasons: While we recognize that the population of youth and adults with co-occurring disorders must be addressed, it is inaccurate to assume that most youth who use substances have a pre-disposing or concurrent mental disorder. Relying on a family's health insurance will trigger an	Please refer to response #518.
				insurance claim that will alert parents that participation in prevention activities or prevention services were obtained and therefore serve as a deterrent to youth seeking information, alternative activities to substance use, or early intervention help. Using Medicaid or private insurance to pay for prevention activities that were previously funded through the SAPT block grant will "pathologize" youth who simply want advice, information, or help	

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				developing skills to refuse substances or find other ways to have fun. Unfortunately many families who can afford it, seek substance abuse treatment from private practices (who often lack adequate substance abuse training) who will accept cash and not access their insurance to prevent possible future consequences. Using the insurance system for prevention will add to this problem.	
				The reduction in prevention funding from the elimination two years ago of the Safe & Drug Free Schools funding for prevention nationwide has resulted in reductions in the number of youth who perceive substances as harmful and has resulted in a recent increase in use. Further reducing the availability of funds for universal, selective, and indicated prevention will result in increased use.	
				Therefore we believe that SAMHSA should maintain the prevention portion of the SAPT Block grant in its current form with substance abuse specific strategies.	
553.	6/9/2011	General Comment	Eileen Dwyer/ Dept. of Education, diocese of Brooklyn	The SAPT block grant is the cornerstone of the State's substance abuse prevention programs. The federal direction of only funding subpopulations with low socioeconomic status and other shared risk factors-leaves the larger part of our youth with no opportunity to receive prevention efforts. We need to focus on universal, selected and indicated prevention. Drug use is beginning to show an upward movement. Not providing funding for universal prevention now will lead to greater increases of use. There is a perception of risk that is very low and this must be	Thank you for your comment. The SAPTBG will continue to focus on universal, selected and indicated prevention efforts.

				counterattacked. Prevention has already been decimated by the cut to Title IV funding. What will further curtailments of funding do our obligation to provide prevention efforts to all of our youth?	
554.	6/9/2011	General	Frances Purdy/ General Public	In <u>Step One</u> , states should address the strengths and needs of the service system to address the specific populations in conjunction with family members of children from that population and in conjunction with youth and adult consumers from that population. Under <u>Information on Activities that Support Individuals in Directing the Services</u> , SAMHSA should also ask States to provide information about policies and programs that allow custodial parents of children with mental illness and/or substance use disorders to direct their children's care. Under <u>Process for Comment on State Plan</u> , SAMHSA should ask States to describe their efforts and procedures to obtain public comment from consumers and family members of children consumers, use of electronic media for posting of the draft plan and solicitation of comments on the development of and draft State plan. Under <u>Description of Processes to Involve Individuals and Families</u> , SAMHSA should more than request that States describe their efforts, it should be required of states and supported by a budget line item. This is necessary because we have seen too often State governments fail to adequately involve family	Please see response #361-367 and #441.

				members. Requiring States to do this will force States to make family involvement a higher priority.	
				Under <u>Description of the Use of Technology</u> , we would like to see SAMHSA include asking States how they plan to use ICTs for not only health care services, but also support services. Many of these support services are necessary for children's resilience.	
				Under <u>Description</u> of State Behavioral Health Advisory <u>Council</u> , we would like to see SAMHSA also strongly encourage States to use the council to advise and consult regarding issues and services for children through the voice of parents with children with or at risk of behavioral (including substance use) or mental health disorders as well.	
				Under Information on Data and Information Technology, SAMHSA should require that states include disaggregated data by race, ethnicity and language in all of the reports. This information is critical to informing us of where there are health disparities among certain populations.	
555.	6/9/2011	General Comment	Rosemary Smith/ General Public	I am writing in support of SAMHSA's identification of youth with substance use disorders (SUD) as a population with evolving needs in the Block Grant Application.	Thank you for your comments.
556.	6/9/2011	General Comment	Barb Christensen/ DePaul's National Council on Alcoholism and Drug Dependence-	While I understand efforts to better coordinate services of state substance abuse and mental health agencies, it is critical that SAMHSA maintain the integrity of the substance use/abuse prevention component within the SAPT block grant. The SAPT	Thank you for your comments

			Rochester Area	block grant provides the basic infrastructure for	
				substance abuse prevention services in most states	
				across the country, including NYS. We are already	
				seeing the impact of the loss of Federal Safe and Drug-	
				Free Schools on our youth. Perceptions of harm are	
				decreasing and attitudes are softening, leading to a	
				rise in use by our youth. The additional loss of focus on	
				substance abuse specific prevention efforts can only	
				increase this problem. I am hoping that SAMHSA will	
				reconsider these changes to ensure that funding for	
				the continued emphasis on substance abuse specific	
				prevention services are not placed in jeopardy.	
557.	6/9/2011	General	Julia Dostal/ LEAF	LEAF Council on Alcoholism and Addiction is opposed	Please refer to response #518.
		Comment	Council on	to the proposed modifications of the prevention	
			Alcoholism and	portion of the SAPT block grant for the following	
			Addiction	reasons:	
				While we recognize that the population of youth and	
				adults with co-occurring disorders must be addressed,	
				it is inaccurate to assume that most youth who use	
				substances have a pre-disposing or concurrent mental	
				disorder.	
				Relying on a family's health insurance will trigger an	
				insurance claim that will alert parents that	
				participation in prevention activities or prevention	
				services were obtained and therefore serve as a	
				deterrent to youth seeking information, alternative	
				activities to substance use, or early intervention help.	
				Heing Medicaid or private increases to pay for	
				Using Medicaid or private insurance to pay for	
				prevention activities that were previously funded	

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				through the SAPT block grant will "pathologize" youth who simply want advice, information, or help developing skills to refuse substances or find other ways to have fun. Unfortunately many families who can afford it, seek substance abuse treatment from private practices (who often lack adequate substance abuse training) who will accept cash and not access their insurance to prevent possible future consequences. Using the insurance system for prevention will add to this problem. The reduction in prevention funding from the elimination two years ago of the Safe & Drug Free Schools funding for prevention nationwide has resulted in reductions in the number of youth who perceive substances as harmful and has resulted in a	
				recent increase in use. Further reducing the availability of funds for universal, selective, and indicated prevention will result in increased use.	
				Therefore we believe that SAMHSA should maintain the prevention portion of the SAPT Block grant in its current form with substance abuse specific strategies.	
558.	6/9/2011	General Comment	Andrea Wanat/ Erie County Council for the Prevention of Alcohol and Substance Abuse	Ensure that SAPT Block Grant continues on the programmatic, financial, reporting and outcome measurements associated specifically with substance use/abuse prevention; be sure also to minimize reporting and outcome measures so as not to unnecessarily burden providers.	Please see response to #518.
				Ensure that the emphasis and funding for bona fide substances use/abuse prevention is not diminished so	

				that the maximum number of youth throughout the country can receive the benefit of these strategies, programs and services; Ensure that the SAPT Block Grant requirements are not overly prescriptive for prevention, especially as far as mandating a major focus only on communities at "highest risk" or specific populations. While CANYS fully understands the motivation behind trying to target prevention resources to communities and populations of highest need in the case of substance abuse prevention, this will result in major unintended consequences, and will result in the bulk of America's youth being deprived of bona fide substance use/abuse prevention strategies, programs and services needed to reverse the upticks in youth drug use, that are driven by the general population of American youth; and, Ensure that the new consolidated application process clearly delineates which changes are optional and which are mandatory; furthermore, any consequences for non-compliance must be minimized so that states do not expend unnecessary time and resources.	
559.	6/9/2011	General Comment	Giselle Jackman/ Preventionfocus, Inc.	Preventionfocus, Inc. a larger non-profit chemical dependency prevention service provider, is opposed to the proposed modifications of the prevention portion of the SAPT block grant for the following reasons: While we recognize that the population of youth and	Please see response to #518.

adults with co-occurring disorders must be addressed, it is inaccurate to assume that most youth who use substances have a pre-disposing or concurrent mental disorder.

Relying on a family's health insurance will trigger an insurance claim that will alert parents that participation in prevention activities or prevention services were obtained and therefore serve as a deterrent to youth seeking information, alternative activities to substance use, or early intervention help.

Using Medicaid or private insurance to pay for prevention activities that were previously funded through the SAPT block grant will "pathologize" youth who simply want advice, information, or help developing skills to refuse substances or find other ways to have fun. Unfortunately many families who can afford it, seek substance abuse treatment from private practices (who often lack adequate substance abuse training) who will accept cash and not access their insurance to prevent possible future consequences. Using the insurance system for prevention will add to this problem.

The reduction in prevention funding from the elimination two years ago of the Safe & Drug Free Schools funding for prevention nationwide has resulted in reductions in the number of youth who perceive substances as harmful and has resulted in a recent increase in use. Further reducing the availability of funds for universal, selective, and indicated

				prevention will result in increased use.	
				Therefore we believe that SAMHSA should maintain the prevention portion of the SAPT Block grant in its current form with substance abuse specific strategies.	
560.	6/9/2011	General Comment	Ginger Katz/ Courage to Speak Foundation	I am writing to applaud SAMHSA on targeting youth who need substance use disorder services and for funding primary prevention – universal, selective and indicated prevention activities and services for persons not yet identified as needing treatment.	Thank you for your comments
561.	6/9/2011	General Comment	Jacqui Lashbrook/ Broward Sheriff's Office	I want to commend SAMHSA for including adolescents who need treatment for substance abuse disorders as a priority population that should be addressed by block grant recipients.	Thank you for your comments
562.	6/9/2011	General Comment	Frank Sullivan Anne Arundel County Mental Health Agency	Strongly urges SAMHSA to consider as a priority item the safeguarding of existing uses of block grant funds that meet the proposed guidelines' purposes and factors. Collaborative planning for health information systems	SAMHSA has indicated that the uses of the block grant funds will likely change over the next few years, but agrees that the major goals and aims of the block grant programs must be
				is an important and noble factor. However, locals are wary of outcomes that are usable in the local level.	maintained.
563.	6/9/11	General Comment	Brian Pacwa Division of Alcoholism and Substance Abuse, Illinois	Needs to be a focus on programs that are developmentally appropriate for adolescent and to involve families in planning, implementation and monitoring of adolescent care. Concerned about additional requirements that must	SAMHSA recognizes that States will need time to implement the necessary infrastructure and is looking for states to give us a plan to accomplish that. SAMHSA has moved several
				be supported by significant state infrastructure improvements, such as data system enhancements that are necessary for additional reporting	prior narrative requirements to the assurance sections. SAMHSA will continue to use the

				requirements. While Illinois is able to develop and implement the system changes necessary for the new requirements, it is not feasible to do so within the time frame allotted.	appropriate terms for the mental and substance use disorders.
				Concerned with the requirements of additional information without removing any of the existing reporting requirements.	
				SAMHSA should continue to use the terms Substance Use Disorder and Addiction as appropriate rather than the term "behavioral health."	
564.	6/9/11	General Comment	Brian Pacwa Division of Alcoholism and Substance Abuse, Illinois	Consider adjusting the timeframes for submission of the application and clarify what is required. SAMHSA needs to convene panels that are comprised of professionals from both fields of substance abuse and mental health to obtain a well-balanced, comprehensive approach to conceptualization and funding of a comprehensive system comprised of mental health promotion and substance abuse prevention.	See response to #565.
				SAMHSA should provide information regarding the formula for the prevention formula grants for public comment.	
				SAMHSA needs to ensure that new forms and processes do not delay the approval or access to the formula grant award.	
				SAMHSA needs to provide additional funding through	

				the Block/formula grants process so that states can support and sustain systems and best practices.	
565.	6/9/11	General Comment	Brian Pacwa Division of Alcoholism and Substance Abuse, Illinois	Consider adjusting the timeframes for submission of the application and clarify what is required. SAMHSA needs to convene panels that are comprised of professionals from both fields of substance abuse and mental health to obtain a well-balanced, comprehensive approach to conceptualization and funding of a comprehensive system comprised of mental health promotion and substance abuse prevention. SAMHSA should provide information regarding the	SAMHSA has clarified what is required. SAMHSA will consider convening such panels. The prevention formula grants are outside the scope of this FRN. Thank you for your comments.
				formula for the prevention formula grants for public comment. SAMHSA needs to ensure that new forms and processes do not delay the approval or access to the formula grant award. SAMHSA needs to provide additional funding through the Block/formula grants process so that states can support and sustain systems and best practices. Completely preventing use of alcohol and tobacco is	
				not a realistic goal.	
566.	6/9/11	Planning Section	Brian Pacwa Division of Alcoholism and Substance Abuse,	Give States time to enhance our data reporting systems in order to report on the newly requested information.	samsha recognizes that States will need multiple years to enhance the reporting systems. Samhsa will provide guidance
			Illinois	Provide guidance for States to prepare staff and the	and technical assistance to

workforce for changes in expectations implicit in the application and report.

States need time to assess capacity to cover various subpopulations.

SAMHSA needs to provide flexibility as States work with statutorily required substance abuse councils. States should not be expected to establish one council.

If States are expected to maintain a State Epidemiology Outcomes Workgroup, SAMHSA should explicitly state it as an expectation and provide funds to support it.

SAMHSA needs to clarify treatment and prevention strategies, specifically if tobacco cessation is a service within the treatment continuum of care.

SAMHSA needs to define prevention and treatment activities.

SAMHSA should clarify the agency responsible for the submission of the suicide prevention plan.
SAMHSA should assess capacity and not require letters.

SAMHSA should address the inconsistencies between existing SAMHSA programming and resources and the new direction States are being asked to adopt.

States around the changes in the application and report.
SAMHSA has encouraged an expanded BH council, but has not required it.
SAMHSA will continue to clarify treatment and prevention strategies and activities as it has done through the Good and Modern paper available on SAMHSA is not mandating a combined MH and SUD plan. States have 24 months to obligate and expend their block grant awards.

Table 7 and Table 8 instructions have been clarified in the application.

				SAMHSA should make the application and reporting sections available in Microsoft Word.	
				The goals to be set in the plan are they for a 21 month period as described on page 16 "twenty-one month period (10/1/11-6/30/13). The expenditure period authority currently is for 24 months. Will the State be allowed to spend the dollars in a 24 month period?	
				Will a combined substance abuse and mental health plan be mandated in the FFY2012 application?	
				What are the time frames for Table 7 "Projected State Agency Expenditure Report"? For Table 8?	
567.	6/9/11	Planning Section	Vivian Jackson Georgetown University Center for Children and Human Development	Assessment of strengths and needs of the service system: The assessment should be disaggregated to include analysis by race, ethnicity and language as one measure of the state's ability to meet the diverse needs of the population. Special attention should be given to the needs of children, youth, young adults and their families.	Please see response #361-367 and #441.
				Identification of unmet service needs and critical gaps within the service system: Disparities in behavioral health care based on race, ethnicity, and language will probably emerge from the needs assessment, if the data is collected in these domains. Again, look for unmet service needs for children, youth, young adults and their families.	
				Prioritization of State planning activities: The elimination of behavioral health disparities should be	

one of the prioritized goals of the states. A focus on children, youth, young adults and their families is another area that should be prioritized.

Develop goals, strategies and performance indicators: Again, planning, strategy development and identification of performance indicators will need to be structured in a manner that supports attention to impact on disparities. Race, ethnicity and language data will be important elements that will allow adequate measures of performance. Data that tracks the experience of adolescents and young adults in both child serving and adult serving systems will offer a better lens on their experiences and clinical and functional outcomes.

Attention to the bi-directional integration of behavioral health and primary care services: Noting that many persons from various cultures seek support for behavioral health issues in primary care settings, the collection of data on utilization, retention, adherence, outcomes (clinical and functional), and satisfaction will provide important information on the effectiveness of an integrative approach for this population. Systems should be in place to include the role of pediatricians to identify and address behavioral health needs of children and adolescents.

Information on Data and Information Technology: The services utilization table in the Reporting Section of the Application should include race, ethnicity and language. Data collection at every step of the service

				delivery process needs to include each of these elements.	
				Description of State's Quality Improvement Reporting: The quality improvement process should include assessment on the cultural and linguistic competence of service as one component of quality service.	
568.	6/9/11	General Comments	M. Teresa Garland	Provide additional language highlighting the SOC approach as a best practice in serving children and youth with SED and/or SA needs and their families.	SAMHSA has modified the application to include SOC information SAMHSA believes that the allocation of dollars should be
				Ensure that a certain minimum percentage of MHSBG and SAPTBG dollars be allocated to children and youth with SED and/or SA needs and their families.	based upon the States needs assessment and priority populations. SAMHSA will take into
				Include specific requirements on meeting the needs of children and youth with SED and/or SA needs and their families, and develop a special monitoring unit to ensure compliance.	consideration the recommendation of a special monitoring unit.
				Require that experts on the needs of and best practice approaches to serving children and youth with SED and/or SA needs and their families be included in federal and state planning efforts. System planners at both the federal and state levels need to understand	
				and appreciate the data that demands our focus on CYF, and have the CYF expertise to ensure that health reform and Block Grant planning include best practice approaches that will improve outcomes for CYF with MH and SA needs.	

569.	6/9/11	General	Karen Taycher	Emphasize community-based programming for	SAMHSA has included sufficient
		Comments	Nevada PEP	children and youth with serious emotional disturbance and/or substance abuse problems and their families.	language throughout the Block Grant Application
				Recognize the importance of strategies such as the System of Caer framework, youth and family peer-to-	
				peer support, and the wraparound care coordination	
				process for meeting the needs of these youth and families and maintaining them in their homes and communities.	
570.	6/9/11	Comments	Annette Deao Logan County Family Court	Commend SAMHSA for including adolescents who need treatment for substance abuse disorders as a priority population that should be addressed by block grant recipients.	Thank you for your comments.
571.	6/9/11	General Comments	Diane Ferrara Pilgrim High School	Ensure that the SAPT Block Grant continues to focus on the programmatic, financial, reporting and outcome measurements associated specifically with substance use/abuse prevention;	Thank you for your comments. SAMHSA requires that States spend at least 20% of their SAPTBG allotment on primary prevention programs for
				Ensure that the emphasis and funding for bonafide substance use/abuse prevention is not diminished so that the maximum number of youth throughout the	persons who do not require treatment. States also must report their spending on
				country can receive the benefit of these strategies, programs and services;	primary prevention. Some States spend more on primary prevention and may continue to
				Ensure that the SAPT Block Grant requirements are not overly prescriptive for prevention, as far as	do so.
				mandating a major focus only on communities at "highest risk."	States should make prevention a top priority, taking advantage of science, best practices in
				Ensure that CADCA and other national substance	community coordination,
				abuse prevention organizations are involved in further	proven planning processes like

				planning and implementation of changes to the structure and reporting requirements of the SAPT and MHS Block Grants; and Ensure that the new consolidated application process clearly delineates which changes are optional and which are mandatory, as well as consequences for non compliance so that states do not expend unnecessary time and resources.	the strategic prevention framework (SPF) and science in the 2009 Institute of Medicine report entitled "Preventing Mental, Emotional, and Behavioral Disorders Among Young People: Progress and Possibilities" to develop effective prevention strategies and place a priority on targeting high need communities. SAMHSA has made changes to the application to identify sections that are required versus requested
572.	6/9/11	General Comments	Karen Kallen-Brown General Public	Emphasize community-based programming for children and youth with serious emotional disturbance and/or substance abuse problems and their families. Recognize the importance of strategies such as the System of Caer framework, youth and family peer-topeer support, and the wraparound care coordination process for meeting the needs of these youth and families and maintaining them in their homes and communities.	Please see response to #570
573.	6/9/11	General Comments	Larry Calkins The Council on Addiction of New York State	Ensure that the SAPT Block Grant continues to focus on the programmatic, financial, reporting and outcome measurements associated specifically with substance use/abuse prevention; Ensure that the emphasis and funding for bonafide	Thank you for your comments. SAMHSA requires that States spend at least 20% of their SAPTBG allotment on primary prevention programs for persons who do not require

				substance use/abuse prevention is not diminished so that the maximum number of youth throughout the country can receive the benefit of these strategies, programs and services; Ensure that the SAPT Block Grant requirements are not overly prescriptive for prevention, as far as mandating a major focus only on communities at "highest risk." Ensure that the new consolidated application process clearly delineates which changes are optional and which are mandatory, as well as consequences for non compliance so that states do not expend unnecessary time and resources.	treatment. States also must report their spending on primary prevention. Some States spend more on primary prevention and may continue to do so. States should make prevention a top priority, taking advantage of science, best practices in community coordination, proven planning processes like the strategic prevention framework (SPF) and science in the 2009 Institute of Medicine report entitled "Preventing Mental, Emotional, and Behavioral Disorders Among Young People: Progress and Possibilities" to develop effective prevention strategies and place a priority on targeting high need communities. SAMHSA has made changes to the application to identify sections that are required versus requested
574.	6/9/11	General Comments	Stephanie Nocon General Public	Ensure that the SAPT Block Grant continues to focus on the programmatic, financial, reporting and outcome measurements associated specifically with substance use/abuse prevention;	Please see response to #501

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				Ensure that the emphasis and funding for bonafide substance use/abuse prevention is not diminished so that the maximum number of youth throughout the country can receive the benefit of these strategies, programs and services;	
				Ensure that the SAPT Block Grant requirements are not overly prescriptive for prevention, as far as mandating a major focus only on communities at "highest risk."	
				Ensure that CADCA and other national substance abuse prevention organizations are involved in further planning and implementation of changes to the structure and reporting requirements of the SAPT and MHS Block Grants; and	
				Ensure that the new consolidated application process clearly delineates which changes are optional and which are mandatory, as well as consequences for non compliance so that states do not expend unnecessary time and resources.	
575.	6/9/11	General Comments	Susan Jenkins Madison County Council on Alcoholism & Substance Abuse,	Ensure that the SAPT Block Grant continues to focus on the programmatic, financial, reporting and outcome measurements associated specifically with substance use/abuse prevention;	Please see response to #501
			Inc.	Ensure that the emphasis and funding for bonafide substance use/abuse prevention is not diminished so that the maximum number of youth throughout the country can receive the benefit of these strategies,	

				programs and services; Ensure that the SAPT Block Grant requirements are not overly prescriptive for prevention, as far as mandating a major focus only on communities at "highest risk." Ensure that CADCA and other national substance abuse prevention organizations are involved in further planning and implementation of changes to the structure and reporting requirements of the SAPT and MHS Block Grants; and Ensure that the new consolidated application process clearly delineates which changes are optional and which are mandatory, as well as consequences for non compliance so that states do not expend unnecessary	
576.	6/9/11	General Comments	Dan Belnap on behalf of Becky Vaughn State Association of Addiction Services	time and resources. Continue to seek provider input on future proposed changes. Clarify which of the proposed changes are required and which are encouraged or optional, and provide clear guidance about consequences for not complying with both required and optional changes Ensure that quality substance use disorder care is provided throughout the county while allowing states to maintain the flexibility needed to address the needs unique to each state.	SAMHSA will continue to seek public input, including the input of providers. The application has been changed to clarify what is required. SAMHSA believes that quality and state flexibility are contained in the application.
577.	6/10/11	General Comments	Jocelyn Sue Woods National Alliance for	Concerned that money for substance abuse will be used for mental health services unless specific	The two block grants will continue as two separate

			Medication Assisted Recovery	amounts are indicated. Encourage SAMHSA to put a strong emphasis on recovery and particularly for medication assisted treatment. Encourage SAMHSA to assist states and local communities to develop peer programs.	funding streams with separate fiscal accountability. SAMHSA believes the application provides a strong emphasis on recovery. SAMHSA will provide technical assistance to states.
578.	6/10/11	General Comments	Debbie Czupil on behalf of Michael Hogan Office of Mental Health, New York	In NY, mental health and substance abuse offices are separate. If the two agencies remain separated, the mechanism for disbursing the funding is questionable. Applaud SAMHSA on attempting to resolve the problems that were created by differing fiscal calendars.	The two block grants will continue as two separate funding streams.
579.	6/10/11	Planning Section	Debbie Czupil on behalf of Michael Hogan Office of Mental Health, New York	Encounter level data – NY does not have its client data systems organized in such a manner. Difficult for Office of Mental Health to report utilization strategies because utilization management involves many state agencies.	SAMHSA has asked for encounter data from those states who are able to report. While utilization management may involve different state agencies, there are likely some common principles in force.
580.	6/10/11	General Comments	Sis Wenger National Association for Children of Alcoholics	Substance use and abuse – specific strategies and programs must be included in SAPT block grant regulations as they have traditionally in the prevention block grant. Preserve the ability of these at risk children and youth to obtain the education and support they need	SAMHSA has included a listing specific strategies that should be considered.

				without their triggering an insurance claim or having a mental health diagnosis that is not needed or appropriate.	
581.	6/10/11	General Comments	John Taylor Division of Behavioral Health and Recovery, Washington	Support SAMHSA"s identification of youth with substance use disorders as a population with evolving needs in the block grant application guidance and instructions.	Thank you for your comments.
582.	6/10/11	General Comments	Angela Smith Daybreak Youth Services	Support SAMHSA"s identification of youth with substance use disorders as a population with evolving needs in the block grant application guidance and instructions.	Thank you for your comments.
583.	6/10/11	General Comments	Darla Younts on behalf of Nannette Bowler County of Fairfax, Virginia	Support SAMHSA"s identification of youth with substance use disorders as a population with evolving needs in the block grant application guidance and instructions.	Thank you for your comments.
584.	6/10/11	General Comments	Nick Nichols Vermont Department of Mental Health	Proposed changes will require a significant increase in program and operations staff time planning to take full advantage of incorporating the intended systems changes. Proposed changes in reporting requirements may constrain what and how we purchase services, and the changes to the reporting of financial data will require approval for Vermont to use non-actual cost data. Proposed changes may also require the Medicaid and non-Medicaid systems to be linked.	SAMHSA recognizes that States will need to begin the planning process now to take full advantage of the changes designed to occur between now and 2014. SAMHSA anticipates that this will be a multi-year process
				Several provisions that will be difficult and/or time- consuming to implement. Include: integrating with	

				other system components, e.g. PCBHI, reporting client data, determining allocated versus actual costs and federal accountability standards and changing the prevention set-aside as part of block grant to a separate formula grant.	
585.	6/10/11	General	Barry Lovgren General Public	Performance indicators for populations identified as priorities in statute should be specified by SAMHSA to attain uniformity across states, and thus provide for the development of performance-based strategies in State Plans. Each state should be required to use a performance indicator for treatment priority and outreach activities for intravenous drug users comprised of estimated number of IVDUs in the state as determined by the best available prevalence data relative to the number of IVDU's who obtained treatment during the year. Each state should be required to use a performance indicator for treatment priority and publicizing treatment and admission priority for pregnant women comprised of the best available prevalence data relative to the number of pregnant women who obtained treatment during the year. The best available prevalence data may be the product of the estimated number of live births in the State as a proxy measure for the number of pregnant women and the national rate for substance abuse among women of childbearing years.	SAMHSA has established National Outcome Measures which provide for some uniformity across States. Performance measures for State specific priorities have been left to the States to determine to assure that the measures are meaningful for the State.

GENERAL QUESTIONS

#	Date	Section	Commenter/	Comment/Question	Disposition of Comment/
	Received		Organization		Rationale
1.	4/21	General Question	Rob Morrison/ NASADAD	Which year are States supposed to report on?	Applications for FFY 2012 should cover the twenty-one month planning period from 10/1/11-6/30/13 and the reporting period from 10/1/10-9/30/11.
2.	4/21	General Questions	Joan Disare/ New York State (NYS) Office of Alcoholism and Substance Abuse Services	How does SAMHSA define "behavioral health services"?	In the SAMHSA Strategic Initiative paper, the term "behavioral health" refers to a state of mental/emotional health and/or choices and actions that affect wellness. Behavioral health problems include substance abuse or misuse, alcohol and drug addiction, serious psychological distress, suicide, and mental and substance use disorders. The term is also used to describe the service systems encompassing the promotion of emotional health, the prevention of mental and substance use disorders and related problems, treatments and services for mental and substance use disorders, and recovery support.

3.	4/21	General Questions	Joan Disare/ New York State (NYS) Office of Alcoholism and Substance Abuse Services	If a state chooses to submit separate SA and MH applications, which specific sections of the plan are required in a joint submission?	SAMHSA has generated a 'Frequently Asked Questions' document to guide states in preparing the FY 2012 block Grant Application.
					FAQ section is posted on the following site: http://samhsa.gov/grants/blockgrant
					SAMHSA has revised the block grant application to identify those sections of the plan that are required versus requested.
4.	4/21	General Questions	Joan Disare/ New York State (NYS) Office of Alcoholism and Substance Abuse Services	How should states proceed when they are unable to report request plan or report data? What is the process that states should use to seek SAMHSA's guidance/approval when application components cannot be completed? How soon will this process be in place?	In the event that a State is unable to provide a response to a required data collection table or text box, States may utilize the footnote feature provided for all data collection tables or utilize the drop down menu feature provided in the text box, if applicable, in the Web Block Grant Application System (BGAS).

5.	4/21	General	Rob Morrison/	Common general questions: When exactly are the first	All comments are due on
		Questions	NASADAD	set of comments due? When in June?	Thursday, June 9, 2011 to
					Summer King, SAMHSA Repo
					Clearance Officer, Room 8-10
					One Choke Cherry Road,
					Rockville, MD 20857 or emai
					summer.king@samhsa.hhs.g
6.	4/21	General	Rob Morrison/	The September 1 deadline proposed here. How can	SAMHSA believes that a final
		Questions	NASADAD	States reasonably get an application done?	2012-2013 Block Grant Plan a
					Report document will be
					available in time for States to
					submit their respective plans
					SAMHSA by the receipt dates
					the Community Mental Healt
					Services (CMHS) Block Grant
					the Substance Abuse Prevent
					and Treatment (SAPT) Block
					Grant. In the case of any Stat
					that may want to submit a joi
					CMHS and SAPT Block Grant
					plan, such plans will be due o
					or before September 1.
					SAMHSA recognizes that the
					compressed time period
					available to States to prepare
					and submit plans to SAMHSA
					the dates described above;
					therefore, States will be
					expected to prepare and sub

					at a minimum, the following: Funding agreements/assurances and certification Intended use plan and related planned expenditures checklists Identification of States' priority/targeted population including, but not limited to, the priority/targeted populations identified. SAMHSA has provided additional guidance in the block grant application regarding the timeframes and flexibility given to States regarding the application requirements.
7.	4/21	General Questions	Rob Morrison/ NASADAD	There are statutorily required elements and then there are new elements being proposed. We are asking for a crosswalk between what is required by state and what is not.	SAMHSA has generated a 'Frequently Asked Questions' document to guide states in preparing the FY 2012 block Grant Application. FAQ section is posted on the following site: http://samhsa.gov/grants/blockgrant The block grant application has been amended to include information regarding sections

					that are required versus requested.
8.	4/21	General Questions	Rob Morrison/ NASADAD	Clarity on the time frame is utmost60 day comment puts us into June; review/additions-another 30 day comment period put us into July. Documents state the application will be due September 1st. And if OMB and Congress need to approve the new application, the time period in which States have to complete a brand new application will be extremely short. Is it reasonable to expect that the States will be able to accomplish this in less than 6 weeks?	Congress does not have to approve the new application.
9.	4/21	General Questions	Rob Morrison/ NASADAD	The new requirements and earlier submission dates, which fall during legislative session in some States, will likely make the application harder for States to complete. How does SAMHSA propose States deal with this?	SAMHSA is developing a proposal to assist states in addressing the deadlines.
10.	4/21	General Questions	Rob Morrison/ NASADAD	SAMHSA required the State to submit a three year block application last year to take us to 2014. Why is the block grant application being changed again?	BG application is being changed in response to several events, to include: States will play an important role in design and implementation of parity and changes related to new federal initiatives. This may require States to be more strategic in purchasing services. In addition,

					States may need to think more broadly than the populations they serve through BG. States may need to plan/design/collaborative for health information systems.
11.	4/21	General Questions	Rob Morrison/ NASADAD	Can we clarify what is mandatory (<i>states must</i>) versus what is voluntary (<i>states should</i>) in the SAPTBG Application?	SAMHSA has generated a 'Frequently Asked Questions' document to guide states in preparing the FY 2012 block Grant Application. FAQ section is posted on the following site: http://samhsa.gov/grants/blockgrant The mandatory sections of the Block Grant Application- Behavioral Health Assessment and Plan are sections A, B, O-formental health only, and P. States are strongly encouraged to submit sections C through N. The Reporting sections are mandatory.
12.	4/21	General Questions	Rob Morrison/ NASADAD	What happens if a State doesn't have something that is now required? A Project Officer doesn't have to approve the application and report until they are	States may contact their State project officer for specific programmatic guidance

sufficient to say that States will work on implementing new requirements? requested data table or narrative text box. Any required data collection table or narrative text box will require the submission of a State response. With respect to the planned expenditure tables, States will be expected to provide estimated planned expenditures for FY 2012 based on their respective FY 2011 allocations for CMHS and SAPT Block Grant funds. Similarly, with respect to a State's report of expenditures for the State fiscal year immediately preceding the year for which a States is applying for funds, i.e. State fiscal year 2011, SAMHSA recognizes that such expenditure reports will reflect States' estimated expenditures for the State for the State fiscal year involved and that the source of Federal block grant expenditures may reflect one or more Federal		satisfied, but what are the criteria for satisfaction. Is it	regarding a required or
new requirements? narrative text box. Any required data collection table or narrative text box will require the submission of a State response. With respect to the planned expenditure tables, States will be expected to provide estimated planned expenditures for FY 2012 based on their respective FY 2011 allocations for CMHS and SAPT Block Grant funds. Similarly, with respect to a State's report of expenditures for the State fiscal year immediately preceding the year for which a States is applying for funds, i.e. State fiscal year 2011, SAMHSA recognizes that such expenditure reports will reflect States' estimated expenditures for the State fiscal year involved and that the source of Federal block grant expenditures may reflect one or more Federal		•	
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			fiscal year (FY) awards. For

					example, during FY 2011, States may have expended FY 2009, FY 2010, or FY 2011 Block Grant funds. States are encouraged, but not required, to submit data or narrative in response to requested data tables or narrative text boxes
13.	4/21	General Questions	Rob Morrison/ NASADAD	What happens when goals under the new application are not met?	SAMHSA will work closely with States to assist them with meeting or revising their goals if not met.
14.	4/21	General Questions	Rob Morrison/ NASADAD	The new requirements/approach will likely dilute the resources and create organizations that are too thinly spread to be effective in any one mission. How does SAMHSA propose States deal with this?	SAMHSA does not agree with this assumption.
15.	5/10	General Questions	Lynn Frost on behalf of Tammy Peacock/ Alabama Dept. of Health	How do the priorities outlined on page 2 jibe with the statutory criteria in the MH block grant legislation? Will state have any flexibility in addressing one or all of the priorities? What specific suggestions does SAMHSA have for states that are cutting existing services about replacing the block grant dollars for those services when one of the new "opportunities" is funded?	SAMHSA is unclear about what the commenter is referencing since the priorities are determined by the States. Yes, the state will have flexibility in addressing its own priorities.

16.	5/10	General Question	Lynn Frost on behalf of Tammy Peacock/ Alabama Dept. of Health	New submission date is 10/1/11 for 21 months with the next application due 4/1/13. If states have a July fiscal year, why not require submission 10/1 every year so that the fiscal year will be closed out?	The purpose of changing the submission dates was to match most States' internal planning activities with their State planning and fiscal efforts rather than having a separate date for implementing their Block Grant plan.
17.	5/10	General Question	Lynn Frost on behalf of Tammy Peacock/ Alabama Dept. of Health	The proposed application states in Section B, page 5 that SAMHSA Block Grant Funds will be used "to fund priority treatment and support services for individuals without insurance or for whom coverage is terminated for short periods of time." Please define "priority treatment and support services."	SAMHSA has asked the states to establish their priority services in the planning section of the application- The definition is state-determined.
18.	5/10	General Question	Lynn Frost on behalf of Tammy Peacock/ Alabama Dept. of Health	The SAPTBG application plan document (page 6) indicates SAMHSA will be fully exercising its existing authority regarding state's use of Block Grant funds for transition to the four planned purposes. Please clarify SAMHSA's specific authority in regards to state's use of these funds and how it plans to "fully exercise" this authority. For example: Does SAMHSA have the authority to terminate a state's Block Grant? Does SAMHSA have the authority to require that services for clients be purchased with Block Grant funds, or can all of the funds allocated to a state be used for things as training, program development, research development, etc.	SAMHSA has been granted authority under CFR 1922A XIX-part B subparts 2 and 3, which outlines allocations for the primary prevention program.
19.	5/10	General Question	Lynn Frost on behalf of Tammy Peacock/	The SAPTBG application plan document (page 13) states that "State authorities should redesign their	States are required to complete an assessment, which may

			Alabama Dept. of Health	systems to be more accountable for improving the experience of care and for the health of the populations." Does this statement indicate that SAMHSA is certain at this period of time that state systems will not be sustained by the Block Grant as these systems now exist and operate?	indicate that state systems should change to address the needs of special populations. The Block Grant is designed to support state systems.
20.	5/10	General Question	Lynn Frost on behalf of Tammy Peacock/ Alabama Dept. of Health	Where is SAMHSA now in regard to the development of accountability measures for the Block Grant? Will states have real opportunity for input in this process?	The performance indicators and the State dashboards serve as accountability measures in the Block Grant. States have opportunity for input because they determine the Statespecific performance indicators to use for their dashboards. In FY 2011 SAMHSA will work with States to discuss the incentive program identified in the block grant application and the process for identifying and using performance measured.
21.	5/10	General Question	Lynn Frost on behalf of Tammy Peacock/ Alabama Dept. of Health	Additional aims of the Block Grant, listed on pages 14-15 of the application plan document, include services for populations now in statute, as, adults with SMI, children with SED, and injecting drug users. HIV services are also listed. Please clarify why services for pregnant women and women with dependent children are not also listed?	The language has been added in the application.
22.	5/10	Review	Lynn Frost on behalf	Will there be a uniform review process for both the	Yes, there will be a uniform

23.	5/10	Process General Question	of Tammy Peacock/ Alabama Dept. of Health Lynn Frost on behalf of Tammy Peacock/ Alabama Dept. of Health	Mental Health and Substance Abuse Block Grant Plan and Report, even if the documents are submitted separately? If so, will this process follow the protocol previously utilized for the Mental Health Block Grant, the Substance Abuse Block Grant, or will a new process be established? If a new review process will be implemented, please describe. Will there be objective criteria developed for use in the review process to govern compliance with the application's requirements? It is difficult to plan for FY 2014 when more individuals who are uninsured will have insurance options, when we have no idea of what benefits will be offered through those insurance options. Can SAMHSA provide any guidance or insight about the "basic benefits" likely to be covered by Medicaid, Medicare, and private insurance for individuals who have substance use disorders.	process for both the Mental health and Substance Abuse Block Grant Plan and Report, even if the documents are submitted separately. A new review process is under development. Yes, there will be objective criteria developed for the review process to govern compliance with the application's requirements. While decisions for the essential benefit are still pending, SAMHSA believes that information will be available to States during FY 2012 /2013 to make decisions regarding the use of block grant funds for
24.	5/13	General Question	Christie Lundy/Missouri Department of Mental Health	Regarding Table 1 Estimates of Application and Reporting Burden for Year 1 (Federal Register/Vol. 76, No. 69), this state does not have 30 staff persons to work on the SAPT Block Grant application. With staffing reductions resulting from budget shortfalls in the past five years, it would be surprising if any state had 30 staff persons to work on the application. What this means for states, is that there are fewer staff doing more work and, in preparing the FY 2012 BG	2014 and beyond. SAMHSA's estimate of burden is based upon actual and historical information from the States.

				application, will have to do this work in a compressed timeframe. With respect to the calculation that the total burden for the combined submission is one-half that for each separate submission, is length of the combined plan expected to be the same as that of each individual plan – even though it must cover the same material? This would suggest that there is extraneous material in the individual plans that could be eliminated.	
25.	5/13	General Question - Deadlines	Christie Lundy/Missouri Department of Mental Health	Regarding the proposed timeframe, the state completes Form 8 – Substance Abuse State Agency Spending Report and uses that information to base Form 6 Intended Use Plan. So although Form 8 is not due until December 1 st , it must be completed prior to October 1 st . So for this state, it is not feasible to do the application as two separate applications with separate due dates.	While the state completes form 8 to inform the submission of Form 6, not all reports must be completed prior to the plan.
26.	5/13	General Question - instruction s	Christie Lundy/Missouri Department of Mental Health	When will SAMHSA have a working set of instructions (Plan and Report Sections) available for states? In the instructions, it is recommended that SAMHSA clearly mark what is required and what is not required. From the FY 2012 Block Grant Report Section and the FY2012 Block Grant Application documents, it is not clear which narratives will still be required.	SAMHSA has generated a "Frequently Asked Questions" document to guide states in preparing the FY2012 Block Grant application. FAQ section is posted on the following site: http://samhsa.gov/grants/block grant SAMHSA has revised the block

27.	5/13	General Question - priorities	Christie Lundy/Missouri Department of Mental Health	Regarding the state priorities (Table 2, pg. 22: FY 2012-FY 2013 Block Grant Application), the proposed application directs states to "identify the relevant goals, strategies and performance indicators over the next two years." However, language in the proposal document suggests that states are expected to achieve the goals in one year – that "SAMHSA staff will work closely with States during the year" and in the annual report the state must "clearly indicate whether or not the particular goal identified in the State Plan for the prior fiscal year was 'achieved' or 'not achieved.'" Is it realistic to implement goals and strategies for post-2014 in FY 2012? If so, what happens to the large number of childless adults who need substance abuse treatment in FY 2012 but are unable to afford such services?	grant application regarding sections that are required and requested The State priorities should reflect the priorities over the two year planning period. The goals, strategies and indicators are then established to reflect the two year period. States are expected to report progress on achieving their goals in the annual report. The application will clarify the expectation.
28.	5/20	General Question	Florida	When will the instructions and application be finalized?	It is anticipated that the instructions will be finalized in July.
29.	5/20	General Question	Florida	Will there be a template to follow as in previous years?	Yes, it will be in the BGAS system.
30.	5/20	General Question	Florida	When will the instructions and application be available on Web BGas to use (to access template, etc.)?	SAMHSA will make the draft available in WebBGAS by July.
31.	5/20	General Question	Florida	In submitting the combined application, will states need to access two applications in WebBGAS – one for MH and one for SA?	There is one application. The State should complete the entire application.
32.	5/20	General	Florida	With the tables, are data for MH and SA to be	No these tables will not be

		Question		combined?	combined.
33.	5/20	General Question	Florida	Can Memoranda of Understandings (MOUs) substitute for Letters of Support?	Yes, the application has been clarified to allow either letters of support or memoranda of understanding.
34.	5/20	General Question	Florida	Tables pertaining to NOMS that apply to both MH and SA (e.g., employment, housing/homelessness, arrest, etc.,) ARE NOT INTEGRATED (same definitions and algorithms) and Data are not reported the same way (e.g., different table layouts). Will this disintegration issue be resolved to simplify the process of collecting, analyzing, and reporting these NOMS?	SAMHSA will continue its work with the States to improve the clarity and consistency of NOMs data.
35.	5/20	General Question	Liz Gitter/ Ohio	By what date does SAMHSA expect states to fully implement these new expenditure reports especially Tables 5 (MHSBG Expenditures by Service and Table 6 (Primary Prevention Checklist)? Is the implementation schedule any different for states that have separate state agencies for mental health and substance abuse, and county-administered systems of care that may require complex planning to come into compliance?	SAMHSA expects States to inform us through the application when the State is able to implement the expenditure reports if they cannot report them in the 2012 application. For Table 6, Expenditures for 6 strategies or IOM should be directly associated with the cost for completing the task or activity. States still have the option to report either Strat or IOM. If states are able to cost out both, please provide.
36.	5/20	General Question	Jennifer Parker/ Pennsylvania	Will SAMHSA be providing definitions for the services described in table 6 on pg 34?	SAMHSA will include the definitions for 6 Strategies and IOM in the application.

37.	5/20	General	Joyce Allen/	Will states now have to register for and include ISATS	No. States will not have to ask
		Question	Wisconsin	ID Numbers for all agencies that receive Community	their mental health providers to
				Mental Health Block Grant (MHBG) funding? This is	register for an ISATS ID number.
				now a Substance Abuse Prevention Treatment Block	This will be clarified in the
				Grant requirement and not a MHBG Requirement.	instructions.
				Comment: Because the country is moving towards	
				assuring more seamless services with Behavioral	
				Health, Primary Care and Medicaid systems, why	
				wouldn't SAMHSA also follow the new HIPAA 5010	
				requirements for the National Provider ID system?	
				Why perpetuate an outdated method of identifying	
				provider organizations at this point in time? Why not	
				wait and migrate to a single standard when new	
				national Health Care transactions requirements are in	
				place? Is this a necessary labor intensive request (cost)	
				to add to the state mandates for MHBG at this time?	
38.	5/20	General	Susan Orens/ New	In the federal register it says that in regard to the	This will be clarified in the
		Question	York	Block Grant monies we must outline how much is	application.
				spent on treatment and support. I am not entirely	
				clear. Is this differentiated from mental health	
				promotion and prevention or is it differentiated from	
				such things as administrative costs.	
39.	5/20	General	Brad Munger/	It is most interesting that the April 11 Federal Register	The application has been
		Question	Wisconsin	Notice contains a statement on page 20000 that	clarified to reflect this language.
				indicates "States will continue to receive their annual	
				grant funding if they only choose to submit the	
				required section of their State Plans or choose to	
				submit separate plans for the MHBG or SAPTBG".	
				Please inform the States as to why this language was	
				necessary and exactly what it means. Further, since	
				this language is not contained in the Application	

				Guidance document it is requested that it be prominently inserted with all additional written clarification. Specifically clarifying required elements by the verb must and optional elements by the verb should.	
40.	5/20	General Question	Brad Munger/ Wisconsin	One of the questions OMB requests comments on in the April 11, 2011 Federal Register Notice is: "whether the proposed collections of information are necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility?" As SAMHSA does not address this question specifically, or connect how the data/information contributes to the "proper performance of the functions of the agency"—nor what practical utility the data collected would serve, it would be helpful if SAMHSA could address these issues, with particular attention to the expected changing mission that SAMHSA will likely experience in the future. (NOTE: SAMHSA does not address this other than to indicate they want to know these things and it will help them in their provision of technical assistance to the States. There are other ways to obtain this information, such as SAMHSA engaging collaborative discussions with the States and their professional organizations.)	SAMHSA uses the data collected to determine compliance with the statute and regulation, to determine technical assistance opportunities, and to engage in performance management activities.
41.	5/20	General Question – Children's Set Aside	Liz Gitter/ Ohio	The children's set-aside reference date has been changed to FY 2008just before our state went into a recession. Despite significant budget reductions, our state has increased the number of children served between FY 2008 and FY 2010. What numbers	SAMHSA is unclear which section of the plan or reporting section the commenter is referencing.

				is SAMHSA expecting states to use to meet the requirement to demonstrate "a comparison of the number of children with SED for whom services are sought with the availability of services within the State?"	
42.	5/20	General Question - MOE	Liz Gitter/ Ohio	Due to the recession which resulted in reductions to state budgets, many states will have difficulty meeting MOE requirements. What plans does SAMHSA have to address this? (Economists indicate that increases in employment and state revenues often lag behind the end of a recession by six months or moreso this is likely to be a common occurrence.)	While SAMHSA will be engaging in discussions with States about MOE compliance, the data expected in the BG application will not change.
43.	5/20	General Question - MOE	Liz Gitter/ Ohio	As the unemployment and revenue changes that meet Extraordinary Economic Conditions waiver criteria often occur over differing periods of time, is it possible to compare the current year to the average of the number of years over which the changes took place (rather than the average of 2 years)?	The statute specifies that the MOE must look at the average of the prior 2 years.
44.	5/20	General Question - NOMs	Liz Gitter/ Ohio	Mental health NOMs are absent from the Application instructions except for a reference to national indicators in the State Dashboard section. What expectations, if any, does SAMHSA have for states to include them as performance indicators for the Priority Area's addressed by the Plan?	Mental Health NOMS are still required and will be available for use. It is up to the State if it chooses to use them as indicators for their specific priority areas.
45.	5/20	General Questions	Brad Munger/ Wisconsin	Many children's advocates are concerned that the mental health block grant does not give adequate attention to the children's services. Three issues arise here: First, is SAMHSA requiring and/or expecting a separate adult and children's plan, it would be helpful to address advocates concerns that SAMHSA to require separate plans. Second, the formula that	SAMHSA is not requiring or requesting a separate children's plan. Thank you for your comment regarding the SED definition. SAMHSA will take this under consideration.

				operationalizes and defines the term Serious Emotional Disturbance (SED) is seriously outdated for it does not address children under 9 years of age. It would be helpful to know what SAMHSA plans to do to address this issue. Lastly, it is commendable that SAMHSA recognized that the Children's set aside had become meaningless as it is tied to a 1994 spending level. Unfortunately, SAMHSA addresses this problem by changing the base year to 2008. If this were to go into statute it would simply perpetuate the problem that existed when 1994 was chosen. It is recommended that SAMHSA explore the issue and develop a more meaningful alternative, such as requiring that a percentage of the block grant be dedicated to children's services.	
46.	5/20	General Questions - Planning	Brad Munger/ Wisconsin	In line with the hourly burden issue, it would be appreciated if SAMHSA could provide clarification of Table 2 contained in the April 11, Federal Register Notice. That table indicates that 24 entities (presumably States) will submit applications in the second year and that the hourly burden will be 40 hours each. It is unclear where the number 24 came from as SAMHSA reported in Table 1 an estimate that 60 (of the total 119) grantees will submit separate applications in Year One. If those 60 are doing a one year plan, wouldn't they need to submit another plan in year 2? In its clarification of Table 2 it is also requested that SAMHSA clarify and justify the 40 hour estimate. If the 40 hours is to represent the hours spent in preparing the second year plan it is extremely low and certainly would be less than the amount of	It is anticipated that 60 of the total 119 grantees will submit separate substance abuse and mental health plans – it is further anticipated that 24 entities will submit 1 year plans. They are not necessarily the same. The estimate of hourly burden is based upon actual and historical data.

				time the planner would spend simply on ensuring that public comment is taking place and that the planning council has the opportunity to review and comment on the plan. This estimate doesn't include such things as analyzing the data to determine whether performance indicators were met and to identify future goals, updating plans based on changes in the environment, modifying plans based on on-going planning council comment, meeting with other constituents for their input, and the many other things that go into preparing for and actually writing the plan.	
47.	5/26/11	General Question - Behavioral health advisory council	Michelle Dirst on behalf of Robert Morrison/ NASDAD	State behavioral health advisory council – We recommend that the provision be amended to ask State Substance Abuse Directors, "What planning mechanism does your State use to plan and implement the State substance abuse system?" The application could also ask "How does this body coordinate with the State mental health agency and its planning entity?	SAMHSA will modify the application to this effect.
48.	6/1/2011	General Question	Alfred Bidorini/ Connecticut Dept. of Mental Health and Addition Services	It is unclear in the Federal Register Notice or the application or annual report guidance which proposed changes will be required and which are optional or voluntary.	The application has been modified to clarify this
49.	6/1/2011	General Question	Alfred Bidorini/ Connecticut Dept. of Mental Health and Addition Services	It is unclear how SAMHSA will handle the change in application periods form FFY 2012 and 2013 to FFY 2014.	SAMHSA does not understand this comment.

50.	6/1/2011	General Question	Alfred Bidorini/ Connecticut Dept. of Mental Health and Addition Services	How will SAMHSA's efforts at establishing a National dashboard fit with Connecticut's efforts? Any changes in data collection will be costly and would require stuffiest time for implementation.	SAMHSA is not requiring a change in data collection, but a report on performance based upon either national or state level data.
51.	6/1/2011	General Question	Alfred Bidorini/ Connecticut Dept. of Mental Health and Addition Services	What SAPT Block Grant mandates will be included in the certifications and assurances but no longer part of the application process?	This is included in the FAQs posted on www.samhsa.gov\blockgrants
52.	6/1/2011	General Question	Alfred Bidorini/ Connecticut Dept. of Mental Health and Addition Services	In Table 6 Primary Prevention Planning Expenditures, no crosswalk between CSAP's and the IOM categories is provided, leaving it to each State to determine its own definitions. Additionally there are confusing and conflicting definitions in the current SAPT Block Grant application vs. the original CSAP definitions.	SAMHSA will include the definitions of the IOM and 6 Strategies in the application
53.	6/2/2011	General Question	Ashley Johnson/Alcoholism and Substance Abuse Providers of New York State, Inc.	Can you please clarify when the due date is for comments related to SAMHSA's unified Block Grant Application?	All comments are due on Thursday, June 9, 2011 to Summer King, SAMHSA Reports Clearance Officer, Room 8-1099 One Choke Cherry Road, Rockville, MD 20857 or email summer.king@samhsa.hhs.gov.

54.		General	Frank Chala/		The application has been
		Question	Frank Shelp/	How do states know that if we do not respond to	modified to clarify this question
			Georgia Dept. of Behavioral Health	·	
	6/3/2011		and Development	something optional, that we will not be placed on a correction action plan?	
55.	0/3/2011	General	and Development	·	This reference has been deleted
55.				In the FY2012 Block Grant Reporting Section, CFDA	
		Question		93.959 (Substance Abuse Prevention and Treatment),	from the Block Grant
				there is a document identified as Goal 2: Prevention –	application.
				Healthy People 2020 Questionnaire which addresses	
				many of the objectives listed into eh referenced CDC	
				document. This was formerly referenced as	
				"Attachment A" in the SAPT Block Grant.	
				In the second se	
				In researching Healthy People 2020, I found that many	
				of the referenced objectives have a status of "Archived	
				due to lack of adequate data source." Specific	
				examples include Q. 1 referencing HP 26-25 (see	
				attached) and Q. 6 referencing HP 26-24 (see	
				attached).	
				Same abjectives can be larger be lessted in	
				Some objectives can no longer be located, i.e.,	
				question 9 referencing HP 26-9 which cannot be found	
				in 2010 or 2020 healthy People, and also referencing	
				HP 27-4 which has been archived due to data, target	
				on policy reasons.	
			Lalah Lawaan (Nistri	Cines went of the LID philative referenced in Co-140	
			Lelah Larson/New	Since most of the HP objective referenced in Goal #2	
			Mexico Human	appears to have been archived, apparently CDC is not	
			Services	collecting this data. Why cannot this document be	
	(/0/0044		Department	removed as a requirement under the SAPT Block Grant	
	6/3/2011			reporting requirement?	

56.	6/4/2011	General Question	Judy Strange/ National Association of Mental Health Planning & Advisory Councils, Virginia	What is the statutory authority for SAMHSA to move toward combining the Mental Health and Substance Abuse Block Grant Applications and to request that States capture additional data?	Section 19xx of Title XIX, Part B, Subpart I of the PHS Act (42 U.S.C. 300x-xx) and Section 1932(b) of Title XIX, Part b, Subpart II of the PHS Act (42 U.S.C. 300x-32(b)) is the authorizing legislation for the CMHS BG and SAPTBG plan requirements, respectively. In recognition of the realignment of the States' executive branch(es) responsible for State mental health services and State substance abuse prevention and treatment services, SAMHSA is encouraging States to prepare and submit a single plan for prevention, treatment, and recovery support for individuals, families, and communities impacted by mental and substance use disorders. States have the flexibility to prepare and submit a joint plan or submit a separate plan for mental health services and a separate plan for substance abuse prevention and treatment services. In the case of any State that chooses to submit
					services. In the case of any

	minimum, evidence of joint planning for (1) bi-directional integration of behavioral health services and primary care services, (2) recovery support services, and (3) co-occurring mental and substance use
	disorder services. Section 1942(a) of Title XIX, Part B, Subpart III of the PHS Act (42 U.S.C. 300x-52(a)) is the authorizing legislation for the CMHSBG and SAPTBG reports.
	The authorizing legislation for the CMHSBG and SAPTBG reports and the implementing regulations for the SAPTBG report (45 C.F.R. 96.122(g)) in combination with the approval
	of the CMHSBG and SAPTBG data collection forms approved by the Office of Information and Regulatory Affairs (OIRA) within the Office of Management and Budget provide SAMHSA with
	the authority collect, analyze, and report State data to policy- makers and stakeholders at the Federal, State, and local level.

57.		General	Judy Strange/		The State is responsible for
		Question	National Association		reporting financial and
			of Mental Health		performance data.
			Planning & Advisory	Who will bear the financial and personnel burden of	
	6/4/2011		Councils, Virginia	the additional reporting requirements?	
58.		General	Judy Strange/		States that are unable to
		Question	National Association		provide requested information
			of Mental Health		should indicate so in the
			Planning & Advisory	What will happen if States are not able to provide the	application.
	6/4/2011		Councils, Virginia	requested information and data?	
59.		General		SAMHSA proposes that States consider reaching out	SAMHSA will provide technical
		Question		to underserved populations such as persons being	assistance to States on these
				discharged from correctional institutions, veterans,	and other issues, but the
				and people in the LGBTQ communities. Some of these	processes that a state employs
				populations are more easily identified than others.	will be up to the State.
			Judy Strange/	How does SAMHSA envision that States will reach out	-
			National Association	to more difficult populations to identify and reach,	
			of Mental Health	such as the LGBTQ communities? Will technical	
			Planning & Advisory	assistance be available to States and to local providers	
	6/4/2011		Councils, Virginia	to assist with this effort?	
60.		General	Judy Strange/		WebBGAS will support a
		Question	National Association		combined application as well as
			of Mental Health		separate applications .
			Planning & Advisory	How will WebBGAS be configured to allow for a	
	6/4/2011		Councils, Virginia	combined application?	
61.		General			One Designation of Authority
		Question -			letter will be sufficient.
		Designatio		If our Office is submitting a combined CMHS and SAPT	
		n of	Megan Moran/	Block Grant Application, can we submit one	
		Authority	Louisiana Dept. of	Designation of Authority Letter that references both	
	6/7/2011	Letter	Health and Hospitals	grants or will two separate letters be required?	

62.		General		The Designation of Authority Letter submitted for the	A letter designating authority to
		Question -		SAPT Block Grant has historically delegated authority	a "position" is acceptable
		Designatio		to the Assistant Secretary "position" within our Office	
		n of		– without naming the individual serving in that	
		Authority		position. Is it acceptable to submit this same format	
		Letter		for the CMHS Block Grant authority delegation? The	
				Designation of Authority Letter submitted for the	
				CMHS Block Grant has historically provided the actual	
				name of the specific individual serving as the Assistant	
				Secretary.	
				We have also sought guidance from our CSAT Federal	
			Megan Moran/	Project Officer, who has advised that one letter	
			Louisiana Dept. of	delegating authority to the Assistant Secretary	
	6/7/2011		Health and Hospitals	position (without naming the individual) is acceptable.	
63.		General	Roxanne Kennedy/	Please clarify what information is required vs. what	The mandatory sections of the
		Question	NJ Dept. of Human	"should" be completed.	Block Grant Application-
			Services		Behavioral Health Assessment
					and Plan are sections A, B, O- <u>for</u>
					mental health only, and P.
					States are strongly encouraged
					to submit sections C through N.
					The Reporting sections are
	6/9/2011				mandatory
64.	6/9/11	General	Brian Pacwa	Can a state submit their own state plan document to	If the State's plan document
		Question	Division of	fulfill the requirements outlined?	substantively meets the
			Alcoholism and		requirements, then it may be
			Substance Abuse,	Should state goals with state dollars be outlined in the	submitted
			Illinois	plan narrative and on the priority matrix?	SAMHSA will be working
					internally to assure consistency
				How will SAMSHA evaluate whether the plan is	among project officers
				sufficient in order to grant approval? Will there be	

Block Grant Comment Log

	consistency among project officers in what is to be approved.	The plan may follow the outline as presented, but it is not
		required.
	Should the plan narrative follow the outline in the	
	table of contents #3 Behavioral Health Assessment	
	and Plan. If so, this should be included in the	
	instructions.	

NEEDS ASSESSMENT

#	Date Received	Section	Commenter/ Organization	Comment/Question	Disposition of Comment/ Rationale
1.	4/21	Needs Assessment	Joan Disare/ New York State (NYS) Office of Alcoholism and	What technical assistance will be made available by SAMHSA to help States meet new reporting and planning requirements?	SAMHSA is holding a Block Grant conference in June of 2011 to review the planning and reporting
			Substance Abuse Services		requirements. In addition, the State project officers are available to assist states in completing their applications.
2.	4/21	Needs Assessment	Joan Disare/ New York State (NYS) Office of Alcoholism and Substance Abuse Services	Please define "bi-directional integration of behavioral health and primary care services" and "bi-directional primary care"?	SAMHSA defines bi-directional integration of behavioral health and primary care services as integrating mental health and substance abuse treatment services in primary care settings and primary care in mental health and substance abuse treatment settings.
3.	4/21	Needs Assessment	Joan Disare/ New York State (NYS) Office of Alcoholism and Substance Abuse Services	In some sections, the application references block grant funded prevention services supplementing services covered by health care reform, while other parts of the document refer to focusing on communities at highest risk and to eventually removing the prevention set aside (from the block grant) to create a new discretionary state prevention grant. Clarity is needed.	SAMHSA encourages States to use the prevention set aside of the SAPT BG to address the substance abuse prevention needs of high risk communities. As information on the prevention services that will be covered through health insurance under health reform becomes clear, SAMHSA will work with States on modifying their plans to ensure that SAPT BG funds are used to fund those

					prevention strategies not covered by other sources.
4.	4/21	Needs Assessment	Joan Disare/ New York State (NYS) Office of Alcoholism and Substance Abuse Services	There are references to MH prevention (and mitigation). Is there a level of expectation for MH prevention activities? What does the current MH block grant require in regards to MH prevention? The material states there is a science base for MH prevention – what is this? Where can states get details on this?	The promotion of positive mental health and the prevention of substance abuse and mental illness have been key parts of SAMHSA's mission and its Strategic initiative #1: Prevention of Substance Abuse and Mental Illness. The current MH block grant does not require anything regarding MH prevention. The science base for MH promotion is the IOM report. States can access the IOM website for this report. http://www.iom.edu/
5.	4/21	Needs Assessment	Joan Disare/ New York State (NYS) Office of Alcoholism and Substance Abuse Services	SAMHSA is requiring a combined plan for persons with co-occurring disorders that will be an element in both the MH and SA Block Grant applications. There are significant differences within this population that drive service packages and often determine primary location of service delivery and array of services. How much specificity and what kind of data-based documentation will be required for "combined plans"?	SAMHSA is interested in learning what planning States have in place for persons with co-occurring disorders. There is no data-based documentation required.
6.	4/21	Needs Assessment	Joan Disare/ New York State (NYS) Office of Alcoholism and Substance Abuse Services	What level/type of detail is required/suggested to document services that will promote "recovery and resiliency"? Where is this required in the new application?	SAMHSA will defer to the States to document the services that promote recovery and resiliency consistent with the descriptions contained in the Good and Modern document

7.	4/21	Needs Assessment	Joan Disare/ New York State (NYS) Office of Alcoholism and Substance Abuse Services	SAMHSA is recommending that a State's " Olmstead work be included in the Block Grant applications." What level/type of detail is required/suggested to document this? Where is this required in the new application?	State that have a current Olmstead plan could use information from this document to inform the needs assessment and State Plan process. SAMHSA is not requesting States provide their Olmstead plan for this application.
8.	4/21	Needs Assessment	Rob Morrison/ NASADAD	How do the previous 17 goals fit into the new application? Do States need to develop new performance measures?	The previous 17 goals are contained in the new application. States will identify performance measures for their priority populations and may choose to use the same measures or develop new ones, at the discretion of the state.
9.	4/21	Needs Assessment	Rob Morrison/ NASADAD	States would have to report under-utilization using the data sources proposed by SAMHSA but the needs assessment will be expensive and some States cannot afford to complete an extensive analysis	SAMHSA currently requires states to use a data driven needs assessment process. SAMHSA does not see this as a new or additional requirement.

GRANT AWARD

#	Date Received	Section	Commenter/ Organization	Comment/Question	Disposition of Comment/ Rationale
1.	4/21	Grant Award	Joan Disare/ New York State (NYS) Office of Alcoholism and Substance Abuse Services	At what point in FFY 2012 can states expect notice of grant awards to be issued? Will awards be contingent on SAMHSA approval of the plan or the report or both?	Subject to the availability of funds, SAMHSA plans to issue FY 2012 Notices of Block Grant Awards to States that have demonstrated compliance with the authorizing legislation and implementing regulation, if applicable. In the event that SAMHSA will be operating under a continuing resolution at the beginning of FY 2012, Block Grant funds available for distribution will be subject to the authorizing legislation. For example, a 45- or 60-day continuing resolution would only allow SAMHSA to issue a FY2012 Notice of Block Grant Award to a State in an amount equal to 12 percent and 16 percent, respectively, of the FY 2011 Bock Grant allotment made available to a State.
2.	4/21	Grant Award	Joan Disare/ New York State (NYS) Office of Alcoholism and Substance Abuse Services	If a state decides to submit a joint SA/MH application, will separate SABG and MHBG awards still be made based on separately defined SA and MH priorities??	Yes
3.	4/21	Grant Award	Rob Morrison/ NASADAD	When can States expect notice of grant awards to be issued? Are they contingent on having an	Subject to the availability of funds, SAMHSA plans to issue FY 2012

	I	T	T		T
				approved plan or on having an approved plan and	Notices of Block Grant Awards to
				an approved report?	States that have demonstrated
					compliance with the authorizing
					legislation and implementing
					regulation, if applicable. In the event
					that SAMHSA will be operating under
					a continuing resolution at the
					beginning of FY 2012, Block Grant
					funds available for distribution will be
					subject to the authorizing legislation.
					For example, a 45- or 60-day
					continuing resolution would only
					allow SAMHSA to issue a FY2012
					Notice of Block Grant Award to a
					State in an amount equal to 12
					percent and 16 percent, receptively,
					of the FY 2011 Bock Grant allotment
					made available to a State.
4.	4/21	Grant	Rob Morrison/	When will States get notice of grant awards if the	States' reports which provide a
		Award	NASADAD	report is submitted later than usual (e.g. in	description of how Federal CMHS and
				December) when the grant period starts the	SAPT Block Grant, State General
				previous October?	Revenue (SGR), other Federal, and
				·	local funds were expended for
					authorized activities during SFY 2011
					can be submitted on or before
					December 1. States' reports must
					provide responses to the required
					data collection tables and narrative
					text boxes that will provide SAMHSA
					will sufficient information to make
					preliminary determinations of
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					legislation and implementing regulation, if applicable. SAMHSA cannot issue a FY 2012 Notice of Block Grant Award to any State that has not submitted a report as required by the authorizing legislation and implementing regulation, if applicable.
5.	5/10	Allocation of Funds	Lynn Frost on behalf of Tammy Peacock/ Alabama Dept. of Health	Many states have been allocating block grant dollars the same way for years and using state funds to support new evidence-based practices. Some states have shifted block grant dollars to new EBPs or to services identified by the Planning council as high priority. One would assume these states shifted state dollars to support the activities previously supported by the block grant. And for states that do shift all services that have attached reimbursement and shifts those services with no reimbursement to MH Block Grant funds, what will be the mandates about how those MH Block Grants funds will be utilized for? Also, if we are currently doing all we can do and have no way to shift any more services, what are we to do?	For prevention, states will complete a needs assessment based on high heeds populations to be served. Utilize data to identify priority needs and populations. Block Grant allocations should be made based on these identified priorities.
6.	5/12	Funds	Evelyn Frankford/ Frankford Consulting	How are the Block Grant increases and decreases proposed for the 2012 budget calculated?	SAMHSA will not have these calculations until there is a 2012 allocation.
7.	5/12	Funds	Evelyn Frankford/ Frankford Consulting	Will the proposed Mental Health State Prevention Grant funds be allocated via the same Block Grant methodology as the joint Mental health and Substance Abuse Block Grants?	This has not been determined yet.

BEHAVIORAL HEALTH ASSESSMENT AND PLAN SECTION

#	Date		Commenter/	Comment/Question	Disposition of Comment/
	Received	Section	Organization		Rationale
		Section	Organization		
1.	4/18	State	Jo Woodrow/	please make sure we have 51% Consumer and	The requirements for 51% of the
		Behavioral	Consumer	Family Representation. This may mean we need	planning and advisory council have
		Health	Advocate,	to have more seats provided in order to have a	not changed.
		Advisory	Washington	truly diverse representation Any Council that	
		Councils	State	does not incorporate the Voice of the very	
				persons it is to advise for Programs and	
				Treatments, Recovery, and Person Centered, is	
				an incomplete Council and so has in effect failed	
				in its mission in its core. Finally I wish to add	
				that if one has a Provider as a Representative,	
				then say another person who is a Consumer but	
				that person identifies as the Consumer Provider	
				- than that is a category in itself. But the person	
				who is a Consumer either sits as a Consumer	
				Representative and needs to be one or as a	
				Family Representative or as a Provider not	
				both. This has caused confusion in the past for	
				some groups. Each person who is representing a	
				group needs to be doing that and not two	
				roles when what hat a person wears is	
				confused, the result is a mixed and diluted	
				message ay best but invalid message at worse.	

2.	4/21	Consultation with Tribes	Joan Disare/ New York State (NYS) Office of Alcoholism and Substance Abuse Services	What level/type of detail is required/suggested to document "Tribal consultation"? Does SAMHSA suggest that states must consider providing funding to programs that may not be certified or approved by the State?	States could use advisory council participants, partnering sectors, meeting minutes, reports and public comments on the Block grant.
3.	4/21	Consultation with Tribes	Rob Morrison/ NASADAD	Related to working with the Tribal Alcohol and Drug Programs on the Reservations, some Tribes say that they will not sign a contract with the State since doing so would mean they would relinquish their sovereignty since the State would have the right to conduct fiscal audits as part of the contract requirements. How does SAMHSA propose a State deal with this issue related to Tribal Sovereignty?	States cannot require a tribe to relinquish its sovereignty.
4.	4/21	Dashboard Indicators	Joan Disare/ New York State (NYS) Office of Alcoholism and Substance Abuse Services	SAMHSA indicates it will be creating a method of identifying appropriate measures as part of its Strategic Initiative on Data, Outcomes & Quality and is considering development of an incentive program for States that might include financial and administrative incentives based on dashboard performance. Please provide additional information on this initiative.	Please refer to section 3i (p.41) of the BG application for a more detailed description.
5.	4/21	Dashboard	Joan Disare/ New	States are required to describe specific	The proposed process requests

		Indicators	York State (NYS) Office of Alcoholism and Substance Abuse Services	performance indicators that will be utilized to determine if goals are achieved. Is it possible to identify applicable data sources and baseline in FY 2012 application and then implement a process to measure change in FY 2013?	states to identify indicators and develop baseline in 2012.
6.	4/21	Data and Information Technology	Rob Morrison/ NASADAD	The proposal would require major IT infrastructure systems to be put in place in some States which is difficult given the current fiscal climate. Although States would have authority to use SAPT funds for this, it is difficult to justify additional service reductions to develop an IT infrastructure.	It is unclear what major IT changes the commenter is referencing. SAMHSA requests information in section 3e of the BG application but doesn't request/require states to make IT changes.
7.	4/21	Involvement of Individuals and Families	Joan Disare/ New York State (NYS) Office of Alcoholism and Substance Abuse Services	What level/type of detail is required/suggested to document "consumer participation" in program planning? Where is this required in the new application?	States could use advisory council participants, partnering sectors, meeting minutes, reports and public comments on the Block grant
8.	4/21	Service Management Strategies	Rob Morrison/ NASADAD	How should States identify over/under utilization?	States can use data currently collected by TEDs to review utilization and lengths of stay that maybe atypical for certain services
9.	4/21	State Behavioral Health	Cathii Nash/ Consumer Advocate. FW by	Continue to mandate for Planning and Advisory Councils that are 51% Consumer and listen to what they have to say.	The requirements for 51% of the planning and advisory council have

		Advisory Councils	Jo Woodrow and Dorothy Hamner		not changed.
10.	4/21	Technical Assistance Needs	Rob Morrison/ NASADAD	Would Technical Assistance be available to help States meet these new reporting and planning requirements?	SAMHSA will provide technical assistance to States in meeting the reporting and planning requirements for the Block Grant.
11.	4/22	Consultation with Tribes	Sita Diehl/ NAMI	In states with no state or federally recognized tribes, what is the expectation of State Mental Health and Substance Abuse Authorities to engage urban or non-reservation Indian populations using block grant dollars?	States may make a declarative statement that no federally recognized tribes or tribal lands exist within their state borders. That would waive the consultation request. In addition, we would encourage the state to identify any outreach to urban Indian populations. There are states without tribes that have active urban Indian centers.
12.	5/10	Tribal Consultation	Alan Johnson/ Hawaii substance Abuse Coalition	HSAC supports the provision that states must consult with Native Americans and urges SAMHSA to add Native Hawaiians to the requirement.	Native Hawaiians are included under the term Native Americans.
13.	5/11	Suicide Prevention	n/a	What if a State does not have a suicide prevention plan?	If a State does not have a suicide prevention plan or if it has not been updated in the past three years, please indicate so and then describe when the State will create or update the plan.

14.	5/11	Required Forms		Should the funding agreements/certifications be submitted as a part of the Behavioral Health Assessment and Plan or as a part of the Implementation/Expenditure Reports?	The funding agreements/certifications should be submitted as a part of the Behavioral Health Assessment and Plan.
15.	5/20	Application and Reporting Section	Florida	Table 1 (p.16): The reports for the grant period of 10/1/10 - 9/30/11 are due 12/1/11. Will these implementation reports be based on the current or old requirements, e.g. tables, formats, etc? The same question applies to Table 1 in the BG Reporting Section.	The reports that are due 12/1/11 are based upon current requirements
16.	5/20	Application and Reporting Section	Florida	Table 5 (p.30). In order to report the estimated percent of funds distributed per Service/Activity, SAMHSA needs to provide the HCPCS code associated with each Service/Activity. Will this information be available to States and, if so, when? Why doesn't Table 5 in the Application mirror Table 5 in the Report Section? The same questions apply to Table 5 in the BG Reporting Section.	SAMHSA will provide the HCPCS codes generally associated with th services.
17.	5/20	Application and Reporting Section	Florida	Is there any reason why Adult Substance Abuse Residential Services is listed as a Service/Activity in Reporting Section under the Category for Outof-Home Residential Services, but not in Table 5 for BG Application?	Table 5 has been changed to include Adult Substance Abuse Residential Services in the report and planning section.
18.	5/20	Application and Reporting Section	Florida	Table 6 (p.34): Why does this table apply to MH BG? This question also applies to Table 6 in MH BG Reporting Section.	Table 6 is Primary Prevention only
19.	5/20	Application	Florida	Table 7 (p.36): Does this table apply to MHBG,	The table has been clarified

20.	5/20	and Reporting Section Application	Florida	SABG or both? If it is for both, it would be less confusing if Column A for Block Grant was divided into two columns, one for MH BG and one for SABG. This also should apply to Table 4 in MH BG Reporting Section to capture expenditures for both MHBG and SABG. Is there a reason why Adult Substance Abuse Residential Services is not listed as a	Please see response to question #17 in this section
		and Reporting Section		Residential Services is not listed as a Service/Activity under Category for Out-of- Home Residential Services in Tables 5 in both the application and the SA Reporting Section?	#17 In this section
21.	5/20	Planning Section - QA/QI efforts	Leesa Rademacher/ NY OMH	I'm working on NY OMH response to the proposed regs on the guidelines. I came across this one section that I have no idea what it means. It's the one about "Description of state's Quality Improvement Reporting. State's have been reporting the program performance monitoring activities to include the use of independent peer reviewStates are asked to attach their current quality improvement plan to their Block Grant application." Have I already been doing this in my block grant submission and I just don't realize it or is this something new?	A request to report quality improvement activities within the Application is new for mental health, although many States have reported QA/QI efforts when describing the State's system of care. Although Section 1943(a)(1) requires States to provide for an independent peer review of not less that 5% of the entities providing services in the State, the MHBG Program has not required independent peer review but relies on State Quality Improvement Plans to assess statewide quality and appropriateness of mental health services. An assessment of the State's QI Programs is a component of the MHBG on-site

	5 (00 (4.4		1: 6:11 (01:		monitoring protocol with findings and recommendations noted in each State's Monitoring Report.
22.	5/20/11	State Dashboard	Liz Gitter/ Ohio	For the State Dashboard , what is the first FFY for which SAMHSA intends to implement financial incentives to meet performance targets?	SAMHSA is still exploring the use of incentives, which may include non-financial incentives.
23.	5/20/11	State Dashboards	Jennifer Parker/ Pennsylvania	When will SAMHSA identify the national indicators mentioned on pg 42?	States should use the current National Outcome Measures for their State dashboards.
24.	5/24/11	Application Planning Section	Sarah Ruiz/ Massachusetts Dept. of Public Health, Bureau of Substance Abuse Services	On Page 20 in the second paragraph, the instructions specify that planned expenditures for services for individuals with co-occurring mental health and substance abuse disorders should be submitted in a combined plan. Is this plan required to be submitted, or only if applicable? Where should this plan be included in the application? Is it expected to be a priority area that is addressed in Tables 2 and 3? These tables do not include expenditure information. Please clarify the instructions.	The instructions will be clarified in the application
25.	5/24/11	Application Planning Section	Sarah Ruiz/ Massachusetts Dept. of Public Health, Bureau of Substance Abuse Services	On pages 24-25 some of the listed strategies that should be considered and addressed are repetitive – particularly those focused on prevention. For example, on page 24, the third bullet recommends prevention strategies that are consistent with the 2009 IOM report – so does the second bullet on page 25. The first, third and fifth bullets on page 25 are all basically the same. We recommend reducing the number of bullets so they aren't repetitive.	SAMHSA has reduced the number of bullets to remove those that are repetitive.

26.	5/24/11	Application Planning Section	Sarah Ruiz/ Massachusetts Dept. of Public Health, Bureau of Substance Abuse Services	On Page 24 – We recommend that the bullet on tobacco use prevention, tobacco cessation and tobacco-free facilities be reworded in the following way, to put the emphasis on encouraging strategies to address tobacco: "Strategies that target tobacco use prevention, tobacco cessation and tobacco-free facilities that are supported by research and encompass a range of activities including policy initiatives and programs."	SAMHSA concurs and will modify the bullet on tobacco on page 24.
27.	5/24/11	Application Planning Section	Sarah Ruiz/ Massachusetts Dept. of Public Health, Bureau of Substance Abuse Services	Page 29 – The instructions for the Resource Development Expenditures chart specify that this is for the SAPT BG, but the chart itself (Table 8) includes columns for both MH and SA. Are we expected to submit combined information, or expenditure information from each BG separately? Massachusetts will be submitting two state plans and annual reports. Please clarify the instructions.	SAMHSA did not find a reference to the SAPT BG on the form. The form will be clarified
28.	5/26/11	Tribal Consultation	Michelle Dirst on behalf of Robert Morrison/ NASDAD	Tribal consultation – We recommend that SAMHSA amend the provision to ask State Substance Abuse Directors how they currently work with tribes and any technical assistance needs they may have to conduct consultation. SAMHSA would then work with NASADAD and states to provide help and share "best practices"	SAMHSA will work with NASADAD and States to share best practices.

				on this issue.	
29.	6/1	Application and Reporting Section - MH	Liz Gitter/ Ohio Dept. of Mental Health	For Table 5 Mental Health Expenditures by Service, Ohio Department of Mental Health (ODMH) estimates compliance burden to exceed 1000 hours. Ohio has a county-administered system in which 50 ADAMH (Alcohol Drug and Mental Health) Boards have the statutory responsibility to plan, evaluate and contract for mental health services with over 400 providers. Currently, the Boards submit a year-end report listing expenditures by about 30 different services, but this report does not provide client-level information for discretionary funds such as the Mental Health Services Block Grant (MHSBG). Implementing a client-level expenditure report for MHSBG would require ODMH to develop a client-level fund accounting system for providers and Boards. In addition, ODMH would need to create compliance rules that mandate reporting this information. Such an endeavor will be both time-consuming and expensive.	If the State is unable to provide the required data, then it should submit a narrative that describes its challenges regarding the data, as well as, a time-phased plan to address the data reporting challenges.
30.	6/1	Application and Reporting Section - MH	Liz Gitter/ Ohio Dept. of Mental Health	Additionally, <u>Table 9 Statewide Inventory</u> would require the development of an accounting system as described above to be able to break out expenditures for services to adults with SMI, services to children with SED and prevention. ODMH does not currently collect any data from Boards regarding which providers are awarded MHSBG funds, and relies on the Boards to administer these sub-awards in compliance with	If the State is unable to provide the required data, then it should submit a narrative that describes its challenges regarding the data, as well as, a time-phased plan to address the data reporting challenges.

				federal agreements and assurances. ODMH awards MHSBG funds by formula using a grant funding strategy. Boards determine how the MHSBG formula funds will be expended for treatment, recovery supports and prevention.	
31.	6/1	Planning and Reporting Section - MH	Liz Gitter/ Ohio Dept. of Mental Health	Table 6 would also require development of a reporting system for mental health clients and an agreement with Ohio Department of Alcohol and Drug Addiction Services to collect this data. We could conceivably use their prevention data system to collect this information, but we do not have a mental health-specific template in place.	Table 6 is Primary Prevention, not Mental Health.
32.	6/1	Planning Section	Constance Peters on behalf of Vicker Digravio/ Association for Behavioral Healthcare	Clarify instructions related to what is required vs. recommended: It seems contrary to the goal of achieving a data driven service system to impose 16 target populations in section A, 10 service-specific strategies and 8 systems-improvement strategies in section B, and 8 additional priority areas in sections D through M. Many of these target populations, strategies and priority areas are quite large in scope. As the application is written now, states will be required to include all of the SAMHSA target population in the list of State Priorities and provide goals, strategies and performance indicators for each one. This requirement is a burden and does not give states the opportunity to respond to the needs	The application has been clarified. The target populations that are required and the additional populations that are encouraged have been clarified in the application

				that are indicated in an evaluation of the data. We ask that SAMHSA modify the instructions to make it clear which target populations, strategies and priority areas are "recommended" rather than "required". In terms of the target populations, states could analyze data related to these populations and consider the level of need, but not be required to include them in the list of State Priorities (Table 2) and the plan that includes goals, strategies and performance indicators (Table 3). Only those populations and strategies that the state and its partners, in consultation with all of the recommended groups, determine to be priorities based on the data should be included in the list of State Priorities.	
33.	6/3/2011	Tribal Consultation	Frank Shelp/ Georgia Dept. of Behavioral Health	(Tribal consultation): the provision does not clearly define what constitutes consultation, particularly for states with numerous tribes. Our state has never had any consultation with tribes nor would we know how to even begin that process. How do you respond to something like that in your application??	SAMHSA will provide guidance and technical assistance on consultation with Tribes. If you have not had a consultation process, indicate that in your application.
34.	6/3/2011	Behavioral Health Councils	Frank Shelp/ Georgia Dept. of Behavioral Health	The request for States to develop a behavioral health council, without recognizing States' current law or regulations regarding substance abuse councils.	States are encouraged to expand their planning councils – if they cannot do so, please indicate why in your application.
35.	6/7/11	Planning Section	Arlene Gonzalez- Sanchez Commissioner,	SAMHSA is encouraging States to expand the authority of the state advisory council for mental health services to include consultation,	States are encouraged to expand their planning councils, they are not required to do so. The Block

New York State Office of Alcoholism and Substance Abuse Services monitoring, and evaluation of services to individuals with substance use disorders. By statute, New York State maintains two separate State agencies to oversee certification, funding and monitoring of substance abuse and mental health services. State Mental Hygiene Law also requires two separate Advisory Councils. While considerable joint planning and collaboration occurs on a routine basis, New York opposes any provision to require a new Behavioral Health Advisory Council. States should be allowed to conduct joint planning in a manner that makes sense within their own jurisdiction.

Grant application has been revised to request information on State's SAPTBG advisory committee's efforts and their relationship to the MH Planning Council.

SAMHSA proposes new requirements for increased collaboration and strategic partnerships (including letters of support) with primary care providers and other partners. OASAS partners with at least 21 State agencies as well as providers, advocates (including provider organizations), and local governmental units (as described in Goal 12 of our previous SAPTBG Applications). This requirement imposes a hardship for larger states like New York, given the complexity and extent of collaborations we are now engaged in. In addition, the meaningfulness of this kind of paper requirement is questionable. If required at all, this exercise to document

SAMHSA is requesting these letters or MOUs that reflect certain priorities of you BG plan and not every partnership that you have.

				interagency collaboration would be better suited once new health home models and service delivery networks are more fully defined and specific roles can be delineated.	
36.	6/7/11	Planning Section	Arlene Gonzalez-Sanchez Commissioner, New York State Office of Alcoholism and Substance Abuse Services	SAMHSA is requiring that a combined plan for persons with co-occurring disorders be included in both the MHSBG and SAPTBG applications. There are significant differences within these populations that drive services and often determine the primary location of service delivery as well as the array of services. SAMHSA should describe the level of specificity required and the expected databased documentation for "combined plans." SAMHSA should clarify whether substance abuse treatment and prevention SSAs need to submit a suicide prevention plan if the State chooses to submit separate substance abuse/mental health applications. Also, there are references to mental illness prevention (and mitigation) in the SAPTBG proposal. SAMHSA should clearly define the expectation for mental health prevention activities when a State chooses to submit separate applications. SAMHSA indicates it will be creating a method of identifying appropriate measures as part of its "Strategic Initiative on Data, Outcomes & Quality" and is considering development of an incentive program for states that might	A combined plan can identify the differences and describe how the services and location are determined. SAMHSA will provide further guidance on expectations in the instructions.

				include financial and administrative incentives based on dashboard performance.	
37.	6/8/2011	Peer and other Recovery Support Services	Pat Taylor/ Faces and Voices of	Peer and other Recovery Support Services: We endorse the new emphasis on peer and other recovery support services. Some of these services are delivered by paid individuals, others by volunteers and paid staff. In all cases, peers are trained, supervised, regarded as staff and are operating out of a community-based or recovery community organization. The application should allow states to support peer and other recovery support services delivered under either model. The infrastructure – including paid staff – to coordinate and support the use of volunteer-delivered or –run services	SAMHSA concurs, thank you for your comment
38.	6/8/2011	Targeted populations	Recovery Pat Taylor/ Faces and Voices of Recovery	Should also be supported. Targeted populations and priorities: Faces & Voices endorses moving toward identifying specific populations and strategies that States should address in their plan. Targeting adolescents with youth for the delivery of prevention services would be an effective strategy. We would also encourage SAMHSA to rethink one of the strategies below: Strategies that engage schools, workplaces, and communities to establish programs and policies to improve knowledge about alcohol and other drug problem, effective ways to address them and enhance resilience.	SAMHSA will clarify the strategy in the application
39.	6/8/2011	Involvement	Pat Taylor/ Faces	L. Involvement of Individuals and Families	SAMHSA concurs and will make the

		of Individuals	and Voices of	Faces & Voices strongly endorses involving	change in the application
		and Families	Recovery	individuals and families in the development and implementation of recovery-oriented systems and services.	
				We would suggest the following changes to the questions States should ask:	
				How are individuals in recovery and family members utilized in the development and implementation of recovery oriented services (including therapeutic mentors, recovery coaches, peer specialists, recovery community centers, recovery housing)?	
				How are individuals and family members presented with opportunities to proactively engage and participate in treatment and recovery planning, shared decision making, and direct their ongoing care and support?	
40.	6/8/2011	Behavioral Health Advisory Council	Pat Taylor/ Faces and Voices of Recovery	O. State Behavioral Health Advisory Council: We believe that meaningful input of stakeholders in the development of the plan is critical. While that process and input is required by Section 1914(b) of the Public Health Services Act for the Mental Health Services Block Grant, it has not been required for the SAPTBG and should be.	SAMHSA acknowledges that some states have separate planning councils.
				While we appreciate the proposal to encourage States to expand this Planning Council to include	

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				prevention and addiction recovery stakeholders and utilize this mechanism to advise on the formation of the SAPTBG application as well, we believe that SAMHSA should also encourage States to establish a separate SAPTBG Planning Council where appropriate. We strongly support the meaningful involvement of persons who are service recipients and/or in recovery from mental and substance use disorders, their family members, providers of services and supports, representatives from racial and ethnic minorities, LGBTQ populations, persons with coexisting disabilities and other key stakeholders in developing, implementing and monitoring State	
41.	6/9/2011	State Behavioral Health Advisory Council	Roxanne Kennedy/ NJ Dept. of Human Services	systems of care. Will there be changes to the Public Health Services Act for Planning Councils that includes language to direct the Planning Council in their requested role to provide input to the SAPTBG? And if so, will there be a push to include this change and the change in membership to be included in the Public Health Services Act Statues for Planning Councils?	SAMHSA has suggested the expansion to the Planning Councils, but is not requiring it. As such, there will be no change to the Public Health Services Act.
42.	6/9/2011	State Behavioral Health Advisory Council	Roxanne Kennedy/ NJ Dept. of Human Services	Table 10: Please define member representation of the individual that should be representing the State Exchange Agency as this was not previously required.	State Exchanges did not previously exist. SAMHSA defers to the State to define the appropriate representative based upon the specific state structure.

43.	6/9/2011	State Behavioral Health Advisory Council	Roxanne Kennedy/ NJ Dept. of Human Services	Table 11: Add back in the categories that differentiate Families of Adults with SED and Families of Children with SED Add a category that defines and meets the new standards of Families of co-occurring or substance abuse individuals Please define "Leading State Expert" and provide explanation about the necessity and benefit of such an individual on the Planning Council	States may keep information that distinguishes the category of "Family Members of individuals in Recover", but SAMHSA does not require it. SAMHSA defers to State definition of Leading State Expert.
44.	6/8/11	Planning Section	Margaret Tom Hawaii Alcohol and Drug Abuse Division	HIV early intervention services requirement and 45 C.F.R. is also outdated and unduly restrictive.	Thank you for your comment.
45.	6/9/2011	Planning	Roxanne Kennedy/ NJ Dept. of Human Services	Should dollar amounts be reported for just SMI/SED population or the planned defined target populations?	For the planned defined target populations that the State has prioritized.
46.	6/9/2011	Planning	Roxanne Kennedy/ NJ Dept. of Human Services	The completion of the application would be facilitated by providing definitions where necessary instead of being referenced in other documents.	SAMHSA will ensure definitions are available.
47.	6/9/2011	Planning	Roxanne Kennedy/ NJ Dept. of Human Services	Should all Tables be completed for 2012 and 2013? Inconsistent directions are given. Also Tables are referred to as "Charts" and "Forms". Each Table should be presented followed by clear and concise instructions for completion.	SAMHSA concurs and has made the changes to the application to assure consistency.
48.	6/9/2011	Planning	Roxanne	Page 29 states that "States and the service	WebBGAS has the ability for States

			Kennedy/ NJ Dept. of Human Services	providers funded utilizing Block Grant funds should be able to account for unique individuals served and track the services provided to each individual. Please complete the following charts." We currently do not have this capability. How does this impact our application? Should this be footnoted on our Tables?	to submit the information that describes the States capabilities and limitations.
49.	6/9/2011	Planning	Roxanne Kennedy/ NJ Dept. of Human Services	Table 1 "Plan and Report Receipt Dates" indicates a reporting period of 10/1/10-9/30/11. Can we continue to report on a State Fiscal Year basis?	SAMHSA is asking States to report on the 10/1/10 – 9/30/11 time period.
50.	6/9/2011	Planning	Roxanne Kennedy/ NJ Dept. of Human Services	Maintenance of Effort (MOE) and Children's Set- Aside are now part of the Block Grant Reporting Section (Implementation). Does this mean they are no longer part of the Application due 9/1/11?	That is correct
51.	6/9/2011	Planning	Roxanne Kennedy/ NJ Dept. of Human Services	Table 4 – Reimbursement Approach for Services (new table) Note: We are assuming that we will be able to amend this Table as we move some services to the Encounter-Based reimbursement strategy. Do we need to complete for FY 2012 and 2013 or just the current plan year?	Since the plan is for a 2 year period, the reimbursement approaches would cover the 2year period.
52.	6/9/2011	Planning	Roxanne Kennedy/ NJ Dept. of Human Services	Table 5 - Projected Expenditures for Treatment and Recovery Supports (same as the Block Grant Addendum) Note: This is the same as the Block Grant Addendum. Comment: This was completed using total contract expenditures by program and applying	The original methodology described will be sufficient.

				a percentage to the total block grant dollar amount for contract expenditures. Should this methodology be revised to just include SMI/SED and or target group expenditures?	
53.	6/9/2011	Planning	Roxanne Kennedy/ NJ Dept. of Human Services	Table 6 - Primary Prevention Planned Expenditures Checklist (projecting expenditures for substance abuse prevention activities) Comments: Directions indicate that the chart should be completed for substance abuse prevention activities so this is not applicable to Mental Health even though the second column says "SAPT or MHSBG". Please provide definitions for "Universal", "Selective", and "Indicated" in the directions for completing the chart.	Definitions will be provided, and the chart contains a column for mental health in the event that a State chooses to spend its block grant on prevention activities.
54.	6/9/2011	Planning	Roxanne Kennedy/ NJ Dept. of Human Services	Table 7 - Projected State Agency Expenditure Report Comments: Directions say for SAPTBG only (?) for 2012. But last sentence in paragraph says "Please complete these forms for FY 2012 and 2013." Should expenditures be provided for SMI/SED only or for planned defined target populations? Why is the number 6. State Hospital line shaded? Number 9. Subtotal contains two number 4s. What actually comprises this Sub-total? Also, please verify Number 10. Subtotal which also contains number 8.	The instructions have been clarified and the typo's corrected.
55.	6/9/2011	Planning	Roxanne Kennedy/ NJ	Table 8 - Resource Development Planned Expenditures Chart	This table is required for the SAPTBG – For states that choose to

			Dept. of Human Services	Note: Complete for 2012 & 2013. Comments: Directions say to complete for the SAPTBG yet Table has a column for Mental Health. What is the relevance of breaking the amounts into "Prevention" and "Treatment" categories? Also, do these expenditures reflect just those of the Provider Agencies or at the internal State level?	spend mh block grant funds on prevention, they are able to report it on this table.
56.	6/9/2011	Planning	Roxanne Kennedy/ NJ Dept. of Human Services	Framework for Planning: It would be recommended if data were collected for these populations and states determined which populations on page 19 of the draft guidance states were going to fund with MHSBG \$ and thus report on. For those not funded by MHSBG \$, these target populations and outcomes may be monitored by the state but not required to be reported through the MHSBG.	It is expected that States will report on services and populations that are funded through the block grant.
57.	6/9/2011	Planning	Roxanne Kennedy/ NJ Dept. of Human Services	Planning Steps For planning purposes, how frequently will State's be asked to complete a needs assessment? Pages 23-26 of the guidance indicate strategies that should be considered and addressed. These should be suggestions but not required for all to be addressed, especially given the time frame in which to complete the application.	States will be asked to provide an updated needs assessment as part of the planning process every two years. The strategies are for State consideration.
58.	6/9/2011	Quality	Roxanne	Tracking outcomes and performance of priorities	SAMHSA is asking for the States

		Improvement Reporting	Kennedy/ NJ Dept. of Human Services	has been integrated within the rest of the plan and Implementation Report via the Performance Indicator tables, URS data Tables, National Outcome Measures, and Data Dashboard. This new section now asks for the state's QI/TQM plan which goes beyond consumers that are SMI. Some of the items mentioned were incidents, grievances, and complaints. Is there a request to report information on SMI consumers within these parameters?	overall quality improvement plan which should address all aspects of system management
59.	6/9/2011	State Data Dashboards	Roxanne Kennedy/ NJ Dept. of Human Services	This area is duplicative as it asks for 2 NOMS and several of the Performance Indicators to be highlighted in the dashboard. The states are required to do a Performance Indicator table for each of these areas. It may be helpful to explain if and how these areas will be tied to incentives for the states.	SAMHSA is asking States to pick those indicators that are most important to the progress identified by the States.
60.	6/9/2011	Technical Assistance	Roxanne Kennedy/ NJ Dept. of Human Services	It would be helpful if Technical Assistance was provided to the state's over the next year to help them set up their reporting systems in order to become compliant with regards to the reporting of the NOMS/URS/Fiscal and other data tables requested in this application We ask that Technical Assistance be provided to the State Data Planners, the State Planners, the fiscal officers, and the Planning Councils over the next year to prepare them for this grant application process properly. In addition, we ask that these application guidelines be postponed one year.	SAMHSA will provide technical assistance to States, planners, fiscal officers, etc. SAMHSA is planning a National Block Grant meeting at the end of July.
61.	6/9/2011	Use of	Roxanne	Will State's be able to use block grant dollars to	Yes, States will be able to use block

		Technology	Kennedy/ NJ Dept. of Human Services	fund ICT implementation and sustainability? If states cannot use Block Grant dollars to implement EHRs and subsequent ICT can this section be eliminated?	grant dollars for ICT.
62.	6/7/2011		Gretchen Geis on behalf of Terri White/ Oklahoma Dept. of Mental Health and Substance Abuse Services	It is unclear if states will be required to document the specific planning steps articulated in this section (pages 22 – 25) in order to be considered in compliance.	SAMHSA requests that States provide information on their needs assessment and planning efforts in their submission of the State Plan even if different than the steps proposed in the application.
				Recommend the final guidance allow states, in their applications, to describe how they define self-directed care in accordance with their own policies and structures.	The application has been changed to reflect this recommendation.
				Data and Information Technology, if table 5 is required in the earlier section of the application (pages 30 – 33), it appears duplicative to address much of the information set out in this section of the proposed guidance.	If a State provides information in Table 5, the State could provide an abbreviated answer to Section 3.E.
				Quality Improvement Reporting - Believe requesting the state's current CQI plan should be deleted the final guidance instructions.	SAMHSA disagrees. The rationale for deleting this requested Section is not clear.
				Consultation with Tribes – Encourage flexibility and an individualized (state by state) approach to be incorporated how states are required or requested to respond to this item.	The application has been changed to request additional information regarding current State's processes for performing consultation.

Service Management Strategies – Section appears to potentially duplicate effort and responses requested in other section of guidance (3.E., 3.F., 3.I.,).	SAMHSA does not agree. This is separate and distinct from these other Sections referenced in the comment.
State dashboards, suicide prevention, technical assistance needs, all seem to be manageable to report on.	
Use of Technology – Suggest the information requested in this section be incorporated in the WebBGAS as a check list menu with space for brief responses.	We will take this comment under consideration.
Support of State Partners – This appears duplicative with the formation of a Behavioral Health Council.	SAMHSA disagrees. While having State partners involved in the planning council, it does not necessarily commit them to assist
State Behavioral Health Advisory Council, this should be combined with "support of state partners"	the SSA or SMHA with implementing their State plan.

REPORTING SECTION

#	Date Received	Section	Commenter/ Organization	Comment/Question	Disposition of Comment/ Rationale
1.	4/21	Reporting Section - SAPT	Joan Disare/ New York State (NYS) Office of Alcoholism and Substance Abuse Services	What reporting periods are applicable for each section in the report? For example, in the past Table 8 (Entity Inventory) included all expenditures against a specific SAPT award rather than a state fiscal year.	The reports due in December of 2012 should provide data from 7/1/10-6/30/11 (except for Synar).
2.	4/21	Reporting Section	Joan Disare/ New York State (NYS) Office of Alcoholism and Substance Abuse Services	How does SAMHSA define community level data?	For Prevention we allow states to define community. It could be a county, municipality, school district, etc.
3.	4/21	Reporting Section	Rob Morrison/ NASADAD	Some States cannot report expenditures by units of service and unique individuals served. How do they deal with that requirement?	In the event that a State is unable to provide a response to a required data collection table or text box, States may utilize the footnote feature provided for all data collection tables or utilize the drop down menu feature provided in the text box, if applicable, in the Web Block Grant Application System (BGAS). States are encouraged, but not required, to submit data or narrative in response to requested data tables or narrative text boxes.

4.	4/21	Reporting Section	Rob Morrison/ NASADAD	Can we get some clarification on the Entity Inventory? It appears to be only for SAPT (we had been required to report State-only funded providers). It also looks like we will need to report on the prior SFY. Previously, some States had reported on the SFY 2 years prior and the SAPT Block Grant Award (rather than SAPT spent during the prior SFY).	States only need to list entities that received SAPTBG or MHSBG funds.
5.	4/21	Synar	Rob Morrison/ NASADAD	How will the Synar penalty be administered?	Section 1926 of the Alcohol, Drug Abuse, and Mental Health Administration Reorganization Act (P.L. 102-321) established penalties for noncompliance with the Synar requirements. The penalty for a State is the loss of 40% (percent) of its Substance Abuse prevention and Treatment Block Grant funds.
6.	5/3	Reporting Section - SAPT	Jan Nishimura/ Hawai'i Dept. of Health	In Table 6, is SAMHSA/CSAP requiring all States to utilize their 20% primary prevention minimum set aside so that SAPT Block Grant funds must be spent for each of the 6 prevention strategies? If so, what is the SAMHSA/CSAP rationale for eliminating the option that allowed States to plan and report their primary prevention expenditures using either the 6 strategies or the Institute of Medicine (IOM) categories? Recommendation: In the proposed FY 2012-2013 application and FY 2012 reporting section, replace Table 6 with Forms 6a and 6b, and 8a and 8b, respectively, from the FY 2011 application.	SAMHSA is revising Table 6 and States will have the option to report their primary prevention expenditures using either the 6 strategies or the Institute of Medicine categories.

7.	5/10	Reporting Section - MH	Lynn Frost on behalf of Tammy Peacock/ Alabama Dept. of Health	Table 5 asks that we report the unduplicated number of individuals and units of services paid for by MH block grant funds The nature of the current method of disbursement (in AL) of the majority of block grant funds to the CMHCs as a grant (1/12 th contract per month vs. fee-for-service) does not lend itself well to capturing the number of individuals/service units paid for by block grant funds. The block grant funding stream becomes commingled with state funds, local funds and other funds at the CMHC level that are not billed directly to a specific payer. This will require considerable modification both at the state and CMHC system levels	In the event that a State is unable to provide a response to a required data collection table or text box, States may utilize the footnote feature provided for all data collection tables or utilize the drop down menu feature provided in the text box, if applicable, in the Web Block Grant Application System (BGAS). States are encouraged, but not required, to submit data or narrative in response to requested data tables or narrative text boxes.
8.	5/10	Reporting Section - MH	Lynn Frost on behalf of Tammy Peacock/ Alabama Dept. of Health	Table 12 includes a new category of "pregnant women" as an age category. This new category is not an age category in any of the other URS tables so I am wondering what the significance is for Table 12?	SAMHSA included the category "pregnant women" in the MH reporting section to be consistent with the SAPT reporting section. It is not needed in the other tables of the MH reporting section. In the event that a State is unable to provide a response to a required data collection table or text box, States may utilize the footnote feature provided for all data collection tables or utilize the drop down menu feature provided in the

					text box, if applicable, in the Web Block Grant Application System (BGAS). States are encouraged, but not required, to submit data or narrative in response to requested data tables or narrative text boxes.
9.	5/10	Reporting Section - MH	Lynn Frost on behalf of Tammy Peacock/ Alabama Dept. of Health	Also noted on the Block Grant document that we will need to capture more specific information on military personnel and parents with dependent children, HIV/AIDS, and disabilities. (In terms of disabilities, can you specify whether you mean physical or intellectual?)	SAMHSA requests that States collect more specific information on individuals with physical disabilities.
10.	5/20/11	Reporting Section	Florida	Table 15: It is not clear if data in this table pertain to clients whose services are funded/provided by SMHA or by MHBG.	This table will be clarified in the application
11.	5/20/11	Reporting Section	Florida	Table 19: This table is unnecessarily too complex and confusing; it needs to be streamlined and simplified to limit the data only to T1 and T2. The first bullet at the top of the page requires the state to report information pertaining to December 2007 MHBG submission. Is this a typographical error? Also, if this table is going to be part of URS reporting requirements, why are the states required to resubmit the data as part of the implementation reports?	This will be clarified in the application
12.	5/20/11	Reporting Section	Florida	Table 22: Is the date 2007 a typographical error?	This will be clarified in the application
13.	5/20/11	Reporting Section	Tessie Smith/ Mississippi	We understand that this year, the MHBG addresses two years (FY 2012 and FY 2013). (1) The timeline chart on p. 16 of the draft Guidance indicates that we	Yes, the plan is for a 2 year period while the report is annual. There will be the opportunity to describe

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				will need to report on each year separately (and	the data reported and the
				annually) on or before Dec. 1 st ; is my understanding	timeframe represented.
				here correct? (2) The chart on page 16 also appears	
				to indicate that there will be some overlap (7/1/11 -	
				9/30/11) in reporting for FY 2012 and FY 2013, we	
				assume as part of the transition to reporting on the	
				state, rather than the federal fiscal year. If monthly	
				data is available for goals, reporting should not be an	
				issue; however, if we collect some data annually in	
				the aggregate, will we have some way to explain that	
				we may have some duplication across at least those	
				three months of overlap in the reporting process?	
14.	5/20/11	Reporting	Florida	Tables 12, 13, 14a, 14b, 17a, 17b, 18, and 19: Data for	This will be clarified in the
		Section -		these tables are already being submitted as part of	application
		MH		the URS reporting requirements. Why should the	
				States resubmit these data as part of the MH BG	
				Implementation Reports?	
15.	5/20/11	Reporting	Hope Barrett -	In review of the FY2012 BG Reporting Section (mental	All Tables in the 2012 Reporting
		Section -	Kentucky	Health), Tables 8 and 13-23 are duplicates of our	Section are due on December 1 st
		MH		Uniform Reporting System (URS) Tables that we	beginning December of 2012.
				report annually to CMHS on Dec 1 st as our Data	Duplicate Tables will only need to
				Infrastructure Grant requirement. Do you expect the	be completed once through the
				table contents (numbers reported) to be the same for	URS.
				the Block Grant tables submitted on Sept 1 and for	
				the same DIG URS tables submitted on Dec 1?	
				Such expectation will be unrealistic for us. Annually,	
				we run multiple data quality control processes after	
				the fiscal year ends (between June 30th and October	
				15 th). Our data is finalized for all annual reporting on	
				October 15 th ; this is the most accurate data set we'll	
				have and we annually use to prepare the URS Tables	

				for Dec 1 st . Annual data that is used prior to October 15 th (such as that due Sept 1 st) will likely not match the annual URS Tables. Please specify all expectations about the data table contents for MHBG Sept 1 st and the data table contents for URS Dec 1 st .	
16.	5/20/11	Reporting Section - SA	Florida	Tables 12 and 13b: Are these tables going to be prepopulated with TEDS data? If not, why?	Yes they will be pre-populated
17.	5/23/11	Reporting Section - SA	Alessandra Ross/ California Dept. of Public Health, Injection Drug Use Policy and Program Coordinator	The "Number of Admissions to SUD treatment" (Table 13B) data element must be collected by substance use treatment programs in a state, rather than by syringe exchange providers, and that SAMHSA must clarify this in its guidance to the grantees. Numerous barriers exist to asking SSPs to verify referrals to substance use providers: permission for such conversations must be sought from the client, and privacy protection practices differ between providers, making verification a time consuming and potentially complex activity for which time and resources must be allocated. Structural barriers to such verification also exist: most syringe exchange programs do not have the technical capacity (such as electronic medical records) or staff (many are primarily staffed by volunteers) to easily collect this information. If such data collection is required, therefore, it should be required of substance use treatment providers, who may include into the variable in their intake data collection forms and processes.	SAMHSA will clarify the application
18.	5/24/11	Reporting	Sarah Ruiz/	The instructions for Table 2 State Priorities indicate	The instructions will be clarified

		Section - SA	Massachusetts Dept. of Public Health, Bureau of Substance Abuse Services	that we should identify if the goal was "achieved or not achieved." The same paragraph is also present in the instructions for Table 3. Table 3 includes a space where states can identify if the goal was achieved or not achieved, Table 2 does not. Was it SAMHSA's intention to include this same instruction for Table 2 although it does not include a space to indicate achievement, and achievement will be indicated in Table 3? Please clarify the instructions.	
19.	5/24/11	Reporting Section - SA	Sarah Ruiz/ Massachusetts Dept. of Public Health, Bureau of Substance Abuse Services	Table 4 – State Agency Expenditure Report: the Subtotal Rows 9 and 10 are not clear in terms of which rows are expected to be combined for those subtotals.	The form identifies which rows to add for each subtotal.
20.	6/7/11	Reporting Section - SA	Arlene Gonzalez- Sanchez Commissioner, New York State Office of Alcoholism and Substance Abuse Services	Table 14 - New York has concerns about patient confidentiality related to data required to be reported in Table 14 of the SAPTBG report. Further, requiring patients to provide the data being requested may discourage patients from participating in HIV testing. Table 5 and Table 11 - both collect information about individuals served and cost. It is duplicative and confusing to have that information captured in two places. Table 5 - All services listed in Table 5 should be defined.	The Table and instructions will be clarified.
21.	6/7/11	Reporting	Arlene Gonzalez-	Given the required public comment period, it is	SAMHSA is sensitive to the

		Section - SA	Sanchez Commissioner, New York State Office of Alcoholism and Substance Abuse Services	unlikely that States will be provided a complete application document from SAMHSA before August. The stated due date for the SAPTBG plan is September 1. It is not possible to provide a thoughtful plan that addresses the new framework components in this short timeframe. SAMHSA should provide States with an expected date for receipt of the notice of grant award so that States can further identify and address potential fiscal concerns. For example, SAMHSA should clarify the timeframe for issuing grant notices if States submit all requested materials (plan, report and Synar report) by October 1. This is critical for states like New York that rely on receipt of the SAPTBG award in the current state fiscal year.	shortened timeframe and has modified the application to allow for a phased-in application this year. The notice of grant award is dependent on several factors that make giving an expected award date not feasible.
1.	6/8/11	Reporting Section - SA	Margaret Tom Hawaii Alcohol and Drug Abuse Division	SAMHSA's past negotiation with States which resulted in agreement on the National Outcome Measures for substance abuse treatment and prevention, SAMHSA had pledged to reduce respondent burden of the SAPT Block Grant application. However, the broad scope and nature of the proposed planning, application and reporting requirements do not reflect progress towards this pledge. The application and reporting burden has recently increased due to new reporting requirements such as "Reporting Subawards and Executive Compensation" included in the standard terms and	SAMHSA believes that the changes to the block grant application allowing for a uniform application for mental health and substance abuse, the prioritization of state goals and strategies, the movement of narrative reports to assurances and a two year planning process is consistent with SAMHSAs discussions with the states. The instructions and guidance for completing the Reporting Section is under development and will be available with the final application.

conditions attached to the FY 2011 notice of Block Grant Award, expanded reporting requirements added to the FFY 2011 Annual Synar Report regarding enforcement, sampling methodology, and coverage study,

SAMHSA has separated the application plan from the reporting section and has pushed back the due date for the SAPT Block Grant reporting section from October to December 1; however, many tables and parts in the proposed application plan and most of the reporting section lack instructions and definitions on how States are to complete numerous items.

Unclear how much additional time may be allowed to States after the statutory deadline sine the online Block Grant FAQ only says that States "should work closely with their stat project officer regarding the due dates for the final plan".

Short 6-month period would not provide ADAD sufficient time to obtain, compile, review and report close-out expenditure data by December 1.

In reporting section, each table's "report year" is left blank. Does this indicate that States would have the option of filling in the "report year" based on their most recent State expenditure period that is closed out even if that differs from SFY ending June 30 preceding the December 1 deadline for the reporting section?

				Could SAMHSA please identify clearly which forms are the "current" reporting forms and which forms are the "new" reporting forms? Helpful if SAMHSA would include a separate and more detailed Table of Contents in the reporting section.	
2.	6/8/11	Reporting Section - SA	Margaret Tom Hawaii Alcohol and Drug Abuse Division	Table 2 and 3 - Please identify the target populations that are the Federal goals and aims required in the legislation and regulation for the SAPT Block Grant? Table 6 - Disagree with the proposed revisions to Table 6 which stratifies the 6 prevention strategies by the IOM categories of universal, selective and indicated. This blanket stratification results in a table containing 21 cells excluding the "other" category. This would eliminate the option CSAP has been providing states for the past for years to use either the six prevention strategies or the IOM categories, plus Section 1926-Tobacco, to plan and report their 20% set aside. Table 8- Why have five new columns been added? Is SAMHSA requiring the completion of each column for all of the entities listed in Table 8? This would significantly increase the reporting burden. Table 10 - Recommend that this table be deleted. Tables 23-32 - The reporting period for these pre-	The application has been modified to identify the required target populations. States may continue to use either the six prevention strategies or the IOM categories, Table 8 has been modified to allow for reporting by both MH and SUD if necessary. SAMHSA does not agree with eliminating Table 10 The reporting period is accurately described. Table 33 - we will restore the unknown category. SAMHSA does not agree that Health People 2020 questionnaire should be deleted.

				populated tables is shown as FFY 2009. Is this the correct reporting period? Is it supposed to be federal fiscal year or calendar year? Table 33- Under the ethnicity category, the ethnicity unknown subcategory was deleted. Could you please explain why or please restore? Table 34- Continue to believe the requirement to report the numbers of persons served by detailed age, gender, race, and ethnicity breakdowns for population-based programs is unrealistic and impractical. Recommended Healthy People 2020 Questionnaire be deleted or streamlined.	
3.	6/9/2011	Reporting Section	Roxanne Kennedy/ NJ Dept. of Human Services	Table 1 "Plan and Report Receipt Dates" indicates a reporting period of 10/1/10-9/30/11. Can we continue to report on a State Fiscal Year basis?	SAMHSA has determined the reporting period based upon the majority of state's fiscal years.
4.	6/9/2011	Reporting Section	Roxanne Kennedy/ NJ Dept. of Human Services	Maintenance of Effort (MOE) and Children's Set-Aside are now part of the Block Grant Reporting Section (Implementation). Does this mean they are no longer part of the Application due 9/1/11?	That is correct.
5.	6/9/2011	Reporting Section	Roxanne Kennedy/ NJ Dept. of Human Services	Table 3 - Priority Area by Goal, Strategy, and Performance Indicator Comment: It is unclear where the NOMS will be documented since they were unintentionally left out of the guidance. Will the NOMS be included with the Performance Indicator Tables as they have in the past but not required to include the additional elements	As in the past, the data for the CMHS National Outcome Measures (NOMS) will be submitted through the NOMS Performance Tables . However, states will only be required to submit the data and targets into the tables and will not be required to complete the

				that have been added to this guidance or will they be documented on a modified table.	narrative tables that were included in the past.
6.	6/9/2011	Reporting Section	Roxanne Kennedy/ NJ Dept. of Human Services	Table 4 - Profile of Mental Health Service Expenditures and Sources of Funding (new) Note: This is the same as Application Table 7. Comments: Why is Number 6. Other 24 Hour Care shaded? Number 9. Subtotal contains two number 4s. What actually comprises this Sub-total? Also, please verify Number 10. Subtotal which also contains number 8. Please add an "Other" line for categories not on Table.	The instructions and guidance for completing the Reporting Section is under development and will be available with the final application.
7.	6/9/2011	Reporting Section	Roxanne Kennedy/ NJ Dept. of Human Services	Table 5 - MHSBG Expenditures by Service (new) Comments: We may only be able to report on the bolded major categories of service. Is the expectation for the future that we will be able to provide this level of detail in the categories (for planning purposes)?	Providing information on the major categories is sufficient.
8.	6/9/2011	Reporting Section	Roxanne Kennedy/ NJ Dept. of Human Services	Table 6 - Primary Prevention Expenditure Checklist (new) Note: This is the same as Application Table 6. Comments: Directions indicate that the chart should be completed for substance abuse prevention activities therefore this is not applicable to Mental Health, yet Column B. lists only MHSBG in the	SAMHSA will provide the definitions. If a State chooses to spend a portion of its mental health block grant on prevention activities, it can report that in this table.

9.	6/9/2011	Reporting	Roxanne	heading. Please provide definitions for "Universal", "Selective", and "Indicated" in the directions for completing the chart. As per the conference calls, this table does not have to be completed for Mental Health, please update the guidance to reflect this.	Tables will be renumbered for
7.	0/ // 2011	Section	Kennedy/ NJ Dept. of Human Services	Table 7 – Does not exist. Is this correct?	consistency in final application
10.	6/9/2011	Reporting Section	Roxanne Kennedy/ NJ Dept. of Human Services	Table 9 – Statewide Entity Inventory Comments: Table requests CMHS Block Grant Expenditures for SMI/SED but what if you have specified other Target Groups?	CMHS Block Grant funds can only be expended for the target populations of adults with SMI and children with SMI identified in Statute
11.	6/9/2011	Reporting Section	Roxanne Kennedy/ NJ Dept. of Human Services	Table 10 – Maintenance of Effort for State Expenditures on Mental Health Services Comments: Same comment as above regarding SMI/SED vs. other Target Groups vs. Total Expenditures.	MOE expenditures are to be reported only for target populations identified in Statute
12.	6/9/2011	Reporting Section	Roxanne Kennedy/ NJ Dept. of Human Services	Table 11 – Report on Set-Aside for Children's Mental Health Services Comments: Yearly expenditures for services for children with SED have historically been compared to the FY 1994 expenditure amount. If there was a change in legislation or regulation that resulted in a change in the base reporting year, please provide citation.	Reporting date was updated to provide more useful information. No changes have been made to the Statute.
13.	6/9/2011	Reporting	Roxanne	Table 12 - Unduplicated Number of Persons	The instructions and guidance for

		Section	Kennedy/ NJ Dept. of Human	Receiving Services	completing the Reporting Section is under development and will be
			Services	Comments: There are two "Number 5s". The "total should actually be "6". With regard to "7" (pregnant women), is this population included in the above count by age? A category such as this is a little confusing and may present difficulties when displayed publicly, since all categories other than "pregnant women: tabulate gender, age, and ethnicity. This may be a category that is better reported separately or left to states that report it as a target population. The age categories are different from the other tables. There are actually 3 different age groupings in the different URS tables that are displayed. The Division recommends that the age categories are uniform in all of the tables.	available with the final application
14.	6/9/2011	Reporting Section	Roxanne Kennedy/ NJ Dept. of Human Services	Table 13 - Profile of Persons Served in the Community Mental Health Settings, State Psychiatric Hospitals and Other Settings Comments: The directions to complete this table are vague. Should states report only on consumers funded through the CMHBG (per the instructions) or should states report consumers who were "publicly funded (as per the table). In addition it says to include ALL consumers served (not just SMI/SED).	The instructions and guidance for completing the Reporting Section is under development and will be available with the final application
15.	6/9/2011	Reporting Section	Roxanne Kennedy/ NJ Dept. of Human Services	Table 14a - Profile of Persons with SMI/SED Served by Age, Gender and Race/Ethnicity/Profile of Persons served in the Community Mental Health Setting, State Psychiatric Hospitals, and Other Settings Comments: The title of the first page is different than	The instructions and guidance for completing the Reporting Section is under development and will be available with the final application

				the title on the second page. The title on the first page includes Race/Ethnicity but there are not data fields for Race/Ethnicity in the table.	
16.	6/9/2011	Reporting Section	Roxanne Kennedy/ NJ Dept. of Human Services	Table 14b – Profile of Persons with SMI/SED served by Age, Gender and Race/Ethnicity The age categories are different from the other tables. As stated above, there are actually 3 different age groupings in the different URS tables that are displayed. The Division recommends that the age categories are uniform in all of the tables. The Division recommends that the age groupings are: 0-12; 13-17; 18-20; 21-26; 27-44; 45-64; 65-74; 75+; N/A.	The instructions and guidance for completing the Reporting Section is under development and will be available with the final application
17.	6/9/2011	Reporting Section	Roxanne Kennedy/ NJ Dept. of Human Services	Table 15 – Profile of Client Turnover Comments: The table is also labeled as Table 16 above the Report Year. Please clarify.	Tables will be renumbered for consistency in final application
18.	6/9/2011	Reporting Section	Roxanne Kennedy/ NJ Dept. of Human Services	Table 16 – Does not exist. Is this correct?	Tables will be renumbered for consistency in final application
19.	6/9/2011	Reporting Section	Roxanne Kennedy/ NJ Dept. of Human Services	Table 17.A - Profile of Adult Clients by Employment Status Comments: In the previous URS tables, there were check off boxes with questions that asked: "how does your state measure employment status, and "what populations are included". These items are missing from this table. Were these removed? Please refer to previous URS data table 4.	In previous years data from the states for the URS Tables has not been entered into BGAS , but have been submitted in a separate URS data base/ . SAMHSA intends to continue that practice. Tables will be unchanged from previous years.
20.	6/9/2011	Reporting	Roxanne	URS Data Tables Not Currently Included in the	In previous years data from the

		Section	Kennedy/ NJ Dept. of Human	Guidelines:	states for the URS Tables has not been entered into BGAS, but have
			Services	Can you please clarify by publishing a complete list of URS tables that will be required to be completed for the plan and for the report along with corrections to the tables, instructions that match the tables and definitions for the data fields? The tables should be published in an Excel Format in order for the states to be able to import their data.	been submitted in a separate URS data base/. SAMHSA intends to continue that practice.
21.	6/7/2011		Gretchen Geis on behalf of Terri White/ Oklahoma Dept. of Mental Health and Substance Abuse Services	Tables 4 through 6 - Request that final guidance include examples, related to both the MHSBG and the SAPTBG, to assure uniformity in use of the tables and to minimize duplication and reporting burden.	SAMHSA will consider this request.