**OMB Approval No: 1840-XXXX**

***Draft***

**Expiration Date: MM/DD/CCYY**

**STUDENT SUPPORT SERVICES (SSS) PROGRAM**

**2010-11 ANNUAL PERFORMANCE REPORT (APR)**

**SECTION II -- RECORD STRUCTURE FOR PARTICIPANT LIST**

A grantee must submit student records in Excel or CSV format. For additional information regarding data submission, please see the instructions under “**HOW MAY THE REPORT BE SUBMITTED?” NEW REPORTING REQUIREMENT: Beginning with the 2010-11 APR, the Department requires grantees to submit participant records for all students served by the project in the past six years in the case of a 4-year institution and four years in the case of a 2-year institution.** For additional information on data submission requirements, please see the instructions.

| **Field #** | **Field Name** | **Database Column Name** | **Valid Field Content** |
| --- | --- | --- | --- |
| **II.A. Project Identifiers (pre-populated)** | | | |
| 1 | PR/Award Number | PR | The eleven-digit PR/Award Number can be found in Block 5 of the project’s Grant Award Notification for the reporting year. |
| 2 | Batch Year | BatchAY | 2010 for Project Year 2010-11 |
| **II.B. Participant Demographic Information, Eligibility and Cohort Status, and Project Entry Information**  **Fields in this section (#3 - #21) do not require annual updates.** | | | |
| 3 | Social Security Number | SSN | 001010001 to 999999998  Unknown = 000000000  **NOTE**: Please format the SSN in nine digits greater than 0. If using EXCEL, you may use dashes (e.g., 123-45-5667 or 123456789). Do not use letters. The information in this field should not be changed in subsequent data submissions except to correct previously entered erroneous data. |
| 4 | Student’s Last Name | LastNM | 0 to 9  Uppercase A to Z  . (period)  ‘ (apostrophe)  - (dash)  First position will be justified with an uppercase A-Z. **No blanks will be accepted.** |
| 5 | Student’s First Name | FirstNM | 0 to 9  Uppercase A to Z  . (period)  ‘ (apostrophe)  - (dash)  First position will be justified with an uppercase A-Z. **No blanks will be accepted.** |
| 6 | Student’s Middle Initial | MI | Uppercase A to Z  Blank = No response |
| 7 | Student’s Date of Birth | DOB | **Participant’s date of birth is:**  **Format is MM/DD/CCYY**  MM = 01– 12  DD = 01 – 31  CC = 19 – 20  YY = 00 – 99  00/00/0000 = No response/Unknown  **Note:** The information in this field should not be changed in subsequent data submissions except to correct previously entered erroneous data. |
| 8 | Gender | GenderCD | **Participant is a:**  1 = Male  2 = Female  0 = No response/Unknown |
| 9 | Ethnicity—Hispanic | Hispanic | **Participant is identified as Hispanic/Latino.**  1 = Yes  2 = No  Hispanic/Latino refers to a person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race. As such, every Hispanic/Latino person has an associated race(s).  Please see instructions for additional detail on this field. |
| **\*\*\*\*Please provide race information for every participant, ethnicity notwithstanding.\*\*\*\*** | | | |
| 10 | Race—American Indian/Alaskan Native | AmIndAK | **Participant is identified as American Indian/Alaskan Native.**  1 = Yes  2 = No  American Indian/Alaskan Native refers to a person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment.  Please see instructions for additional detail on this field. |
| 11 | Race—Asian | Asian | **Participant is identified as Asian.**  1 = Yes  2 = No  Asian refers to a person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent, including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.  Please see instructions for additional detail on this field. |
| 12 | Race—Black or African American | BlackAfrAm | **Participant is identified as Black or African American.**  1 = Yes  2 = No  Black or African American refers to a person having origins in any of the black racial groups of Africa. Terms such as "Haitian" or "Negro" can be used in addition to "Black or African American."  Please see instructions for additional detail on this field. |
| 13 | Race—White | White | **Participant is identified as White.**  1 = Yes  2 = No  White refers to a person having origins in any of the original peoples of Europe, the Middle East, or North Africa.  Please see instructions for additional detail on this field. |
| 14 | Race—Native Hawaiian or Other Pacific Islander | HIPacIslndr | **Participant is identified as Native Hawaiian or Other Pacific Islander.**  1 = Yes  2 = No  Native Hawaiian or Other Pacific Islander refers to a person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.  Please see instructions for additional detail on this field. |
| 15 | Eligibility | EligibilityCD | **Participant is:**  1 = Low-Income and First-Generation  2 = Low Income only  3 = First-Generation only  4 = Disabled  5 = Disabled & Low Income  0 = No response/Unknown |
| 16 | Academic Need  *(at initial selection)* | NeedCD | **Participant’s academic need is based on:**  1 = Low high school grades  2 = Low admission test scores  *3 and 4 = No longer used*  5 = Predictive indicator  6 = Academic proficient tests  7 = Low college grades  8 = High school equivalency  9 = Failing grades  10 = Out of the academic pipeline for 5 or more years  11 = Other  12 = Limited English proficiency  13 = Lack of educational and/or career goals  14 = Lack of academic preparedness for college level course work  15 = Need for academic support to raise grade(s) in required course(s)/academic major  0 = No response/Unknown  **NOTES**: Select from the options provided the one that best describes the participant’s academic need. Since many students may qualify for project services based on more than one criterion, please select from the list provided only the main category used to determine the individual’s need for project services. Please use option #11, “Other” sparingly.  **Predictive indicator** is a composite variable for estimating the potential success of a student in college using a variety of factors that may include indicators such as high school GPA, SAT or ACT test scores, high school preparedness, etc.  **Academic proficient tests** include tests used for clinical purposes such as to determine learning disabilities as well as placement tests and study skills inventories. |
| 17 | First Enrollment Date  *(at grantee institution)* | FirstEnrollDT | **Participant’s first enrollment date at the grantee institution is:**  **Format is MM/DD/CCYY**  MM = 01– 12  DD = 01 – 31  CC = 19 – 20  YY = 00 – 99  00/00/0000= No response/Unknown |
| 18 | Date of First  Project Service | FirstServiceDT | **Participant’s date of first project service is:**  **Format is MM/DD/CCYY**  MM = 01– 12  DD = 01 – 31  CC = 19 – 20  YY = 00 – 99  00/00/0000= No response/Unknown |
| 19 | College Grade Level  *(entry into project)* | EnterGradeLV | **Participant’s college grade level at entry into the project is:**  1 = 1st yr., never attended  2 = 1st yr., attended before  3 = 2nd yr./sophomore  4 = 3rd yr./junior  5 = 4th yr./senior  6 = 5th yr./other undergraduate  0 = No response/Unknown  **NOTE:** Use options 3, 4, 5, and 6 **only for students who have the required number of credits and GPA** to be classified at the institution as sophomore, junior, senior, and other undergraduate respectively. |
| 20 | Enrollment Status  *(in academic year first served)* | FirstServEnrollCD | **Participant enrollment status in the year first served is:**  1 = Full-time (at least 24 credit hours or 36 clock hours in an academic  year)  2 = 3/4 time (at least 18 credits hours or 27 clock hours in an academic  year)  3 = 1/2 time (at least 12 credit hours or 18 clock hours in an academic  year)  4 = Less than 1/2 time (fewer than 12 credit hours or less than 18 clock  hours in an academic year)  9 = Not applicable (prior year participants no longer enrolled)  10 = Not applicable (New participant—summer session participants)  0 = No response/Unknown  **NOTE:** This information will be provided to the grantee as part of the cohort verification process. Please use that information to complete this data request. If a participant is “New” in this reporting period, then the Enrollment Status in the academic year first served (field #20) and the Enrollment Status at the end of the reporting period (field #23) are the same. If the participant is a new summer participant in this reporting period, please select option #10. |
| 21 | Student Cohort Year | StuCohortYR | **Participant’s Student Cohort Year is:**  1 = Other (prior to 2005-06)  *2 through 6 = No longer used*  7 = 2005-06  8 = 2006-07  9 = 2007-08  10 = 2008-09  11 = 2009-10  12 = 2010-11  13 = 2011-12  0 = Unknown  **NOTE:** New participants served only during the 2011 summer session would be in the 2011-12 cohort (option “13”). All other new participants served for the first time in the 2010-11 reporting period would be in the 2010-11 cohort (option “12”). |
| **II.C. Participant’s Status and Academic Status**  **The following fields (#22 - #25) may require annual updates, dependent on a per-participant basis.** | | | |
| 22 | Participant Status  *(during academic year)* | PartCD | **Participant is a:**  1 = New participant (part of 2010-11 cohort for this reporting period)  2 = Continuing participant  3 = Prior-year participant (enrolled but not receiving SSS services)  4 = Prior-year participant (no longer enrolled at grantee institution)  *5 through 7 = No longer used*  8 = New Summer participant—Earning College Credits (2011 summer session only; part of 2011-12 cohort)  9 = New Summer participant—Not Earning College Credits (2011 summer session only; part of 2011-12 cohort)  0 = No response/Unknown  A **new participant** is an individual who was served by the SSS project for the **first time** in this reporting period (PY 2010-11) and meets the definition of participant in 34 CFR 646.7(c) of the SSS program regulations.  A **continuing participant** is an individual who was served by the SSS project for the first time in **any** prior reporting period and also received services in the 2010-11 reporting period. For APR reporting purposes, a reentry participant who left the grantee institution in a previous reporting period but reentered the institution and was served by the project in 2010-11, is considered a “continuing” participant.  A **prior-year participant enrolled at grantee institution** is an individual served by the SSS project in **any** prior reporting period that was enrolled at the grantee institution during the current reporting period but did not receive project services on a continual basis during the reporting period. This definition includes a prior-year participant who received a certificate/diploma from a program that is less than two years in duration and is pursuing an associate’s degree at the grantee institution. A reentry participant who left the grantee institution in a previous reporting period but reentered the institution and **was not** served by the project in 2010-11, is considered a “prior-year participant enrolled at grantee institution”.  A **prior-year participant not enrolled at the grantee institution** is an individual who was not enrolled at the grantee institution during the current reporting period (2010-11).  A **new participant--summer session only earning credits** is an individual served by the SSS project for the first time during the summer session preceding the participant’s first academic year at the grantee institution (i.e., served during summer 2011 prior to the 2011-12 academic year). This student is thus part of the next year’s cohort (i.e., 2011-12, option “13”, field #21). This definition does not include a student enrolled at the grantee institution prior to the summer session but who received services from the SSS project for the first time during the summer 2011. This individual would meet the definition of “new participant” (option “1”, field #22 and would be in the **2010-11** cohort (option “12”, field #21).  A **new participant--summer session only** **not earning credits** is an individual served by the SSS project for the first time during the summer session preceding the participant’s first academic year at the grantee institution (i.e., served during summer 2011 prior to the 2011-12 academic year). This student is thus part of the next year’s cohort (i.e., 2011-12, option “13”, field #21). This definition does not include a student enrolled at the grantee institution prior to the summer session but who received services from the SSS project for the first time during the summer 2011. This individual would meet the definition of “new participant” (option “1”, field #22 and would be in the **2010-11** cohort (option “12”, field #21).  **Note:** The sum of the number of new (option #1); continuing (option #2); and new summer session only (options #8 and #9) should equal the total number of participants the project served during the 2010-11 academic year. |
| 23 | Enrollment Status  *(at the end of the academic year)* | EnrollCD | **Participant’s enrollment status at the end of the reporting period is:**  1 = Full-time (at least 24 credit hours or 36 clock hours in an academic  year)  2 = 3/4 time (at least 18 credits hours or 27 clock hours in an academic  year)  3 = 1/2 time (at least 12 credit hours or 18 clock hours in an academic  year)  4 = Less than 1/2 time (fewer than 12 credit hours or less than 18 clock  hours in an academic year)  9 = Not applicable (prior year participants no longer enrolled)  10 = Not applicable (New participant—2011 summer session participants  not earning college credits)  0 = No response/Unknown |
| 24 | Academic Standing | AcamStandCD | **Participant’s academic standing is:**  1 = Good standing  2 = Not in good standing  9 = Not applicable (new summer participants not earning credits or prior-year participant no longer enrolled)  0 = No response/Unknown  **NOTE**: Use your institution’s definition of good academic standing. Complete for all new, continuing participants, prior-year participants still enrolled, and new summer participants who earned college credits. Use option “9” (Not applicable) for prior-year participants no longer enrolled and for new summer only participants who did not earn any college credits. |
| 25 | Cumulative GPA | CumGPA | **Participant’s cumulative GPA is:**  0.000 to 5.000  9.999 = Not applicable (prior-year participant no longer enrolled and new summer participants not earning college credits)  Blank = No response/Unknown  **NOTES:** Complete for all new, continuing, prior-year participants still enrolled, and new summer participants who earned college credits. Use “9.999” for prior-year participants no longer enrolled and new summer participants who did not earn any college credits. |
| **II.D. Academic Progress/Persistence**  **The following fields (#26 - #34) may require annual updates, dependent on a per-participant basis.** | | | |
| 26 | College Grade Level  *(at the beginning of the academic year)* | BegCurrentGradeLV | **Participant’s college grade level at the beginning of the academic year was:**  1 = 1st yr., never attended  2 = 1st yr., attended before  3 = 2nd yr./sophomore  4 = 3rd yr./junior  5 = 4th yr./senior  6 = 5th yr./other undergraduate  7 = Dual degree program  8 = Graduate/Professional Student  15 = Not applicable (New summer participant—2011 summer session)  99 = Not applicable (prior-year participant no longer enrolled and  bachelor’s degree recipients)  0 = No response/Unknown  Use grade level options 3, 4, 5, and 6 **only for students who have the required number of credits and GPA** to be classified by the participant’s grantee institution as a sophomore, junior, senior, and other undergraduate respectively**.** Use option “7” for an individual enrolled in a program that awards both the bachelor’s and a graduate degree **upon successful completion of the program of study**.Use option “8” for a student who graduated with a bachelor’s degree and then entered graduate/professional school during the academic year.Use option “15” for an individual who is a new summer participant (i.e., PartCD = 8 or 9).Use option “99” for an individual who is a prior-year participant no longer enrolled at the grantee institution and for bachelor’s degree recipients. |
| 27 | College Grade Level  *(at the end of the*  *academic year)* | EndCurrentGradeLV | **Participant’s college grade level at the end of the academic year is:**  1 = 1st yr., never attended  2 = 1st yr., attended before  3 = 2nd yr./sophomore  4 = 3rd yr./junior  5 = 4th yr./senior  6 = 5th yr./other undergraduate  7 = Dual degree program  8 = Graduate/Professional Student  15 = Not applicable (New summer participant—2011 summer session only not earning college credits)  99 = Not applicable (prior-year participant no longer enrolled and  bachelor’s degree recipients)  0 = No response/Unknown  Use grade level options 3, 4, 5, and 6 **only for students who have the required number of credits and GPA** to be classified by the participant’s postsecondary institution as a sophomore, junior, senior, and other undergraduate respectively**.** Use option “7” for an individual enrolled in a program that awards both the bachelor’s and a graduate degree upon successful completion of the program of study.Use option “8” for a student who graduated with a bachelor’s degree and then entered graduate/professional school during the academic year.Use option “15” for participants who were served by the SSS project for the first time during the summer session preceding the participant’s first academic year at the grantee institution and **did not** earn any college credits.Use option “99” for an individual who is a prior-year participant no longer enrolled at the grantee institution and bachelor’s degree recipients. |
| 28 | Date of Last Project Service | LastSerDT | **Participant’s date of last project service is:**  **Format is MM/DD/CCYY**  MM = 01– 12  DD = 01 – 31  CC = 19 – 20  YY = 00 – 99  88/88/8888 = Not applicable, still enrolled in project  00/00/0000= No response/Unknown |
| 29 | Reason for Withdrawal or  Not Returning | ReaforWith | **Reason participant withdrew/did not return is:**  1 = Academic dismissal  2 = Dismissal for non-academic reasons  3 = Withdrew/Did not return for financial reasons  4 = Withdrew/Did not return for health reasons  5 = Withdrew/Did not return for academic reasons  6 = Withdrew/Did not return for personal reasons  7 = Not applicable (called for military service or deceased)  8 = Not applicable (student still enrolled, completed certificate program, graduated or transferred)  0 = No response/Unknown |
| 30 | Transfers | Transfers | **Participant’s transfer status is:**  1 = Transferred from a 2-year institution to another 2-year institution  2 = Transferred from a 2-year institution to a 4-year institution  3 = Transferred from a 4-year institution to another 4-year institution  4 = Transferred from a 4-year institution to a 2-year institution  8 = Did not transfer, still enrolled or graduated (participant is still enrolled at the grantee-institution, graduated with a bachelor’s degree, received an associate’s degree or certificate and did not transfer to a 4 year institution)  9 = Did not transfer, not enrolled at grantee institution (participant withdrew, did not return, was called for military service or is deceased)  0 = No response/Unknown |
| 31 | Undergraduate Degree/Certificate Completed at Grantee Institution | DegreeCD | **Participant’s degree/certificate status is:**  1 = Certificate/diploma for occupational, educational program (less than two-year program)—**did** **not transfer from a 2 to a 4 year institution**  2 = Certificate/diploma for occupational, educational program (at least two-year program)—**did** **not transfer from a 2 to a 4 year institution**  *3 = No longer used*  4 = 1st Bachelor’s degree  *5 =* 2nd Bachelor’s degree  6 = Equivalent of Bachelor’s Degree  *7 = No longer used*  8 = No degree/certificate, still enrolled at grantee  9 = No degree, not enrolled (withdrew from grantee institution prior to obtaining degree/certificate, did not return to grantee institution including those students who transferred without getting a degree)  10 = Associate’s degree—**did** **not transfer from a 2 to a 4 year institution**  11 = Associate’s degree or certificate **AND** transferred from a 2 to a 4 year institution within the academic year or by the beginning of the next academic year.  0 = No response/Unknown  **NOTES**: Options #1 and #2 are for participants who received a certificate **and did not transfer from a 2 to a 4 year institution** .  If the participant received a certificate and transferred to a 4-year institution within the academic year or by the beginning of the next academic year, select option #11.  For a student enrolled in a dual degree program who has completed four-years of instruction, use option “6” to indicate the student has obtained the equivalent of a bachelor’s degree, even though a bachelor’s degree was not awarded.  For students still enrolled at grantee institution but who have not yet completed their undergraduate program of study, use option “8”.  For a student who received an associate’s degree **(did not transfer from a 2 to a 4 year institution)**, please select option #10.  If the participant received an associate’s degree/certificate **AND** transferred to a four-year institution by the beginning of the next academic year, that is, after attaining an associate’s degree or certificate, please select option #11. Otherwise, if the participant did not transfer by the beginning of the next academic year after receiving a degree or certificate, please select options 1, 2, or 9, accordingly. |
| 32 | Date of Undergraduate Degree/Certificate | DOUD | **Date the participant attained the degree or certificate:**  Format is MM/DD/CCYY, e.g., 05/23/2002  MM = 01- 12  DD = 01 - 31  CC = 20  YY = 00 - 99 (e.g., 00 = 2000)  88/88/8888 = No degree earned yet  00/00/0000 = No response/Unknown  **NOTE**: This date must be the date of the degree/certificate noted in field #31. If you do not know the exact date, use 15 for the day and use your best estimate for the month. |
| 33 | Field of Study Degree/Certificate Earned | DegCertFieldErnd | **Participant earned degree in:**  1 = Humanities  2 = Social/Behavioral Sciences  3 = Life Sciences  4 = Physical Sciences  5 = Mathematics  6 = Computer/Information Science  7 = Engineering  8 = Teacher Education (Teacher Prep)  9 = Education-Research & Administration  10 = Business/Management  11 = Health Professions & Related Sciences  12 = Vocational/Technical  13 = Communication/Journalism/Technologies  14 = General Studies/Transfer Degree  15 = Other technical/professional  16 = Has not earned a degree/certificate  0 = Unknown  If the participant (i.e., all current and prior-year participants) **received** a certificate or degree, please select the field of study (options 1 - 15) in which the degree or certificate was earned. If the participant has not earned a degree/certificate, select option “16”. If you do not know the field of study in which the degree or certificate was earned, select option “0—Unknown/No Response.”  If your institution (e.g., some 2-year institutions) offers a degree or certificate in general education studies or a transfer degree to indicate that the student has met all of the institution’s academic requirements, please select option “14”; otherwise, select the field of study in which the degree or certificate was earned. |
| 34 | Persistence Status  *(at the beginning of the*  *2011-12 academic year)* | CurPerstStatus | **Participant’s enrollment status at the beginning of the 2011-12 academic year was:**  1 = Enrolled at grantee institution  2 = Has graduated from the grantee institution with an associate's degree or received a certificate **and** transferred from a 2 to a 4-year institution  3 = Has transferred from the grantee institution (i.e., 2-year) to a 4-year institution without receiving an associate's degree or certificate  4 = Has graduated from the grantee institution with an associate’s degree or received a certificate **but did not transfer** to a 4-year institution.  5 = Has graduated from the grantee institution with a bachelor’s degree or equivalent  6 = Not enrolled at grantee institution  0 = No response/Unknown  **NOTES**: Use option “1” if the student is still enrolled at the grantee institution at the beginning of the 2011-12 academic year. Use option “2” only if the student graduated from the grantee institution with an associate’s degree or received a certificate **and** transferred from a 2 to a 4-year institution. Use option “3” for students who transferred from a 2 to a 4 year institution **but did not** receive an associate's degree or certificate from the grantee institution. Use option "4" for students who received an associate's degree or certificate from the grantee institution **but did not** transfer to a 4-year institution. Use option “5” for students who graduated with a bachelor's degree or equivalent at the grantee institution. Use option “6” for students who are no longer enrolled at the grantee institution. |
| **II.E. Student Financial Assistance**  **Fields #35 and #36 require annual updates and should be completed for current year participants and prior-year participants still enrolled.** | | | |
| 35 | Amount of Financial  Aid Received | FinAidRecvd | **Amount of financial aid received by the participant is:**  00000 to 99999 (e.g. 05000 for $5,000)  Leave this field blank for prior-year participants no longer enrolled.  **NOTE**: Provide the dollar amount (whole dollars only) of the financial aid received of each participant as determined by the financial aid office. |
| 36 | Amount of SSS Grant Aid Awarded | SSSGrantAid | **Amount of grant aid awarded to the participant is:**  00000 to 99999 (e.g. 05000 for $5,000)  Leave this field blank for prior-year participants.  **NOTE**: Provide the amount of SSS grand aid awarded (whole dollars only) for the academic year.  **NOTE**: For this reporting period, individual grant aid awards should not be less than the minimum Pell Grant award of $555 nor exceed the maximum Pell grant award of $5,550. |

**(Note to Data Collector:  Please ensure that when you are collecting this information from students that they are informed of why they are being asked to provide their Social Security numbers.)**

**Privacy Act**

In accordance with the Privacy Act of 1974 (Public Law No. 93-579, 5 U.S.C. 552a), you are hereby notified that the Department of Education is authorized to collect information to implement the Student Support Services Program under Title IV of the Higher Education Act of 1965, as amended (Pub. Law 102-325, Sec. 402D). In accordance with this authority, the Department receives and maintains personal information on participants in the Student Support Services program. The principal purpose for collecting this information is to administer the program, including tracking and evaluating participant progress. Providing the information on this form, including a social security number (SSN) is voluntary; failure to disclose a SSN will not result in the denial of any right, benefit or privilege to which the participant is entitled. The information that is collected on this form will be retained in the program files and may be released to other Department officials in the performance of their official duties.