

DATE :  
TO : Sharon Mar  
Office of Information and Regulatory Affairs  
Office of Management and Budget  
THROUGH : James Hyler  
Management and Program Analyst  
Regulatory Information Management Services  
From : Lynn Mahaffie  
Acting Deputy Assistant Secretary  
Higher Education Programs  
SUBJECT : Request for Approval of the extension of the Annual Performance Report for the Upward Bound programs (Upward Bound, Upward Bound Math-Science, and Veterans Upward Bound) (OMB No. 1840-0762)

We are requesting approval to extend the expiration date of the currently approved Annual Performance Report (APR) form for the Upward Bound (UB) programs for a period of 24 months to allow the Department of Education (Department) to collect consistent project data from all of the grantees funded during the fiscal year (FY) 2007 grant award cycle and the last year of the extended grant award period for current UB grantees, who were given a one-time, one-year extension due to the delay of the FY 2011 UB competition until FY 2012.

The delay of the competition was necessitated by the negotiated rulemaking process which was conducted to implement the Higher Education Opportunity Act (HEOA) revisions to the Higher Education Act (HEA), the authorizing statute for the programs. All UB grantees must submit an annual performance report as a condition of their awards. In addition, the performance data is needed in order to conduct an assessment of prior experience which is necessary for completing the award's process for the new (FY) 2012 Upward Bound competition. The Department needs OMB's approval of the report form so as to collect 2010–11 performance data in late fall 2011 and 2011-12 performance data in late fall 2012. The current form will expire on July 31, 2011.

The report provides the Department with information needed to evaluate each grantee's performance and compliance with the programs' requirements, to make funding decisions, and to award prior experience points in accordance with the programs' regulations. The data collected are also aggregated to allow the Department to report on each program as a whole, particularly for purposes of the Government Performance and Results Act.

No revisions have been made to the current approved annual performance report form. Likewise, no revisions have been made to the estimated burden hours for each respondent.

The Information Collection Submission Worksheet and supporting documents are also being submitted as required. If additional information is needed, please call Gaby Watts at 202-502-7545 or Sharon Easterling at 202-502-7651.

Attachments

ICR Part I

ICR Part II

Certification of Paperwork Reduction

Act Submission

Supporting Statement

TS & EOC Annual Performance Report

Sections I & II

Instructions