

## Draft SF 86 for 30-day Federal Register Notice

### Questionnaire for National Security Positions

*Follow instructions fully or we cannot process your form. If you have any questions, contact the office that gave you the form.*

All questions on this form must be answered **completely and truthfully** in order that the Government may make the determinations described below on a complete record. Penalties for inaccurate or false statements are discussed below. If you are currently employed by the federal government, failure to answer any questions fully and truthfully could result in an adverse personnel action against you including loss of employment.

### Purpose of this Form

This form will be used by the United States (U.S.) Government in conducting background investigations, reinvestigations, and continuous evaluations of persons under consideration for or retention in national security positions as defined in 5 CFR 732 and for positions requiring access to classified information under Executive Order 12968. This form may also be used by agencies in determining whether a subject performing work for or on behalf of the Government under a contract should be deemed eligible for logical or physical access when the nature of the work to be performed is sensitive and could bring about an adverse effect on the national security.

All questions on this form must be answered completely and truthfully in order that the Government may make the determinations described above on a complete record.

Giving us this information is voluntary. If you do not provide each item of requested information, however, we will not be able to complete your investigation, which will adversely affect your eligibility for a national security position. Any information that you provide is evaluated on the basis of its recency, seriousness, relevance to the position and duties, and consistency with all other information about you. Withholding, misrepresenting, or falsifying information may affect your eligibility for access to classified information or for a sensitive position, your ability to obtain or retain Federal or contract employment, or your eligibility for physical and logical access to federally controlled facilities or information systems. Withholding, misrepresenting, or falsifying information may negatively affect your employment prospects and job status, and the potential consequences include but are not limited to removal, debarment from Federal service, or prosecution. This form is a permanent document that may be used as the basis for future investigations or determinations for eligibility for access to classified information or to hold a sensitive position, suitability or fitness for Federal employment, fitness for contract employment, or eligibility for physical and logical access to federally controlled facilities or information systems. Your responses to this form may be compared with your responses to previous SF-86 questionnaires. The investigation conducted on the basis of

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information provided on this form may be selected for studies and analyses in support of evaluating and improving the effectiveness and efficiency of the investigative and adjudicative methodologies. All study results will delete personal identifiers such as name, social security number, and date and place of birth. It is imperative that the information provided be true and accurate to the best of your knowledge.

### **Authority to Request this Information**

Depending upon the purpose of your investigation, the U.S. Government is authorized to ask for this information under Executive Orders 10450, 10865, 12333, and 12968; sections 3301, 3302, and 9101 of title 5, U.S. Code (U.S.C.); sections 2165 and 2201 of title 42, U.S.C.; chapter 23 of title 50, U.S.C.; and parts 2, 5, 731, 732, and 736 of title 5, Code of Federal Regulations.

Your Social Security Number (SSN) is needed to identify your unique records. Although disclosure of your SSN is not mandatory, failure to disclose your SSN may prevent or delay the processing of your background investigation. The authority for soliciting and verifying your SSN is Executive Order 9397.

### **The Investigative Process**

Background investigations for national security positions are conducted to gather information to show whether you are reliable, trustworthy, of good conduct and character, and loyal to the U.S. The information that you provide on this form may be confirmed during the investigation.

The investigation may extend beyond the time covered by this form when necessary to resolve issues. Your current employer may be contacted as part of the investigation, even if you have previously indicated on applications or other forms that you do not want your current employer to be contacted.

In addition to the questions on this form, inquiry also is made about your adherence to security requirements, honesty and integrity, vulnerability to exploitation or coercion, falsification, misrepresentation, and any other behavior, activities, or associations that tend to show the person is not reliable, trustworthy, or loyal. Checks of Federal agency records may be made about your spouse or other cohabitant. After an eligibility determination has been made, you may also be subject to continuous evaluation, which may include periodic reinvestigations, to determine whether retention in your position is clearly consistent with the interests of national security.

### **Your Personal Interview**

Some investigations will include an interview with you as a routine part of the investigative process. The investigator may ask you to explain your answers to any question on this form. This provides you the opportunity to update, clarify, and explain information on your form more completely, which often helps to complete your investigation faster. It is important that the interview be conducted as soon as possible after you are contacted. Postponements will delay the processing of your investigation, and declining to be interviewed may result in your investigation being delayed or canceled.

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For the interview, you will be asked to bring identification with your picture on it, such as a valid state driver's license. There are other documents you may be asked to bring to verify your identity as well. These may include documentation of any legal name change, Social Security card, passport, and/or your birth certificate. You may also be asked to bring documents about information you provided on the form or about other matters requiring specific attention. These matters include (a) alien registration or naturalization documentation; (b) delinquent loans or taxes, bankruptcies, judgments, liens, or other financial obligations; (c) agreements involving child custody or support, alimony, or property settlements; (d) arrests, convictions, probation, and/or parole; or (e) other matters described in court records.

### Instructions for Completing this Form

1. Follow the instructions given to you by the office that gave you this form and any other clarifying instructions furnished by that office to assist you in completion of this form. You must sign and date, in ink, the original and each copy you submit. **You should retain a copy of the completed form for your records.**

2. Type or legibly print your answers in ink (if the form is not legible, it will not be accepted).

You may also be asked to submit your form using the approved electronic format.

3. All questions on this form must be answered. If no response is necessary or applicable, indicate this on the form with "N/A" unless otherwise noted.

4. Any changes that you make to this form after you sign it must be initialed and dated by you. Under certain limited circumstances, agencies may modify your response(s) with your consent.

5. You must use the Location codes (abbreviations) listed on the back of this page when you fill out this form. Do not abbreviate the names of cities or foreign countries.

6. Whenever "City (Country)" is shown in an address block, also provide in that block the name of the country when the address is outside the U.S.

7. The 5-digit postal Zip Codes are needed to speed the processing of your investigation. Refer to an automated system approved by the U.S. Postal Service to assist you with Zip Codes.

8. For telephone numbers in the U.S., be sure to include the area code.

9. All dates provided in this form must be in Month/Day/Year or Month/Year format. Use numbers (01-12) to indicate months. For example, July 29, 1968, should be written as 07/29/1968. If you find that you cannot report an exact date, approximate or estimate the date to the best of your ability and indicate this by writing "APPROX." or "EST."

10. If you need additional space for explanation or to list your residences, employment/ self-employment/unemployment, or education, you should use a continuation sheet, SF 86A.

If additional space is needed to answer other items, use the Continuation Space on page 17 or a blank sheet(s) of paper. Each blank sheet of paper you use must contain your name and SSN

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at the top of the page.

### **Final Determination on Your Eligibility**

Final determination on your eligibility for a national security position is the responsibility of the Federal agency that requested your investigation. You will be provided the opportunity personally to explain, refute, or clarify any information before a final decision is made.

### **Penalties for Inaccurate or False Statements**

The U.S. Criminal Code (title 18, section 1001) provides that knowingly falsifying or concealing a material fact is a felony which may result in fines and/or up to 5 years of imprisonment. In addition, Federal agencies generally fire, do not grant a security clearance, or disqualify individuals who have materially and deliberately falsified these forms, and this remains a part of the permanent record for future placements. Your prospects of placement or security clearance are better if you answer all questions truthfully and completely. You will have adequate opportunity to explain any information you give to us on this form and to make your comments part of the record.

### **DISCLOSURE INFORMATION**

The information you give to us is for the purpose of investigating you for a national security position; we will protect it from unauthorized disclosure. The collection, maintenance, and disclosure of background investigative information are governed by the Privacy Act. The agency that requested the investigation and the agency that conducted the investigation have published notices in the Federal Register describing the systems of records in which your records will be maintained. The information on this form, and information collected during an investigation, may be disclosed without your consent by an agency maintaining the information in a system of records as permitted by the Privacy Act [5 U.S.C. 552a(b)], and by routine uses published by the agency in the Federal Register. The office that gave you this form will provide you a copy of its routine uses.

### **PRIVACY ACT ROUTINE USES**

1. To the Department of Justice when: (a) the agency or any component thereof; or (b) any employee of the agency in his or her official capacity; or (c) any employee of the agency in his or her individual capacity where the Department of Justice has agreed to represent the employee; or (d) the United States Government, is a party to litigation or has interest in such litigation, and by careful review, the agency determines that the records are both relevant and necessary to the litigation and the use of such records by the Department of Justice is therefore deemed by the agency to be for a purpose that is compatible with the purpose for which the agency collected the records.
2. To a court or adjudicative body in a proceeding when: (a) the agency or any component thereof; or (b) any employee of the agency in his or her official capacity; or (c) any employee of the agency in his or her individual

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capacity where the Department of Justice has agreed to represent the employee; or (d) the United States Government, is a party to litigation or has interest in such litigation, and by careful review, the agency determines that the records are both relevant and necessary to the litigation and the use of such records is therefore deemed by the agency to be for a purpose that is compatible with the purpose for which the agency collected the records.

3. Except as noted in Question 23 and 27, when a record on its face, or in conjunction with other records, indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute, particular program statute, regulation, rule, or order issued pursuant thereto, the relevant records may be disclosed to the appropriate Federal, foreign, State, local, tribal, or other public authority responsible for enforcing, investigating or prosecuting such violation or charged with enforcing or implementing the statute, rule, regulation, or order.

4. To any source or potential source from which information is requested in the course of an investigation concerning the hiring or retention of an employee or other personnel action, or the issuing or retention of a security clearance, contract, grant, license, or other benefit, to the extent necessary to identify the individual, inform the source of the nature and purpose of the investigation, and to identify the type of information requested.

5. To a Federal, State, local, foreign, tribal, or other public authority the fact that this system of records contains information relevant to the retention of an employee, or the retention of a security clearance, contract, license, grant, or other benefit. The other agency or licensing organization may then make a request supported by written consent of the individual for the entire record if it so chooses. No disclosure will be made unless the information has been determined to be sufficiently reliable to support a referral to another office within the agency or to another Federal agency for criminal, civil, administrative, personnel, or regulatory action. 6. To contractors, grantees, experts, consultants, or volunteers when necessary to perform a function or service related to this record for which they have been engaged. Such recipients shall be required to comply with the Privacy Act of 1974, as amended.

7. To the news media or the general public, factual information the disclosure of which would be in the public interest and which would not constitute an unwarranted invasion of personal privacy.

8. To a Federal, State, or local agency, or other appropriate entities or individuals, or through established liaison channels to selected foreign governments, in order to enable an intelligence agency to carry out its responsibilities under the National Security Act of 1947 as amended, the CIA Act of 1949 as amended, Executive Order 12333 or any successor order, applicable national security directives, or classified implementing procedures approved by the Attorney General and promulgated pursuant to such statutes, orders or directives.

9. To a Member of Congress or to a Congressional staff member in response to an inquiry of the Congressional office made at the written request of the constituent about whom the record is maintained.

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10. To the National Archives and Records Administration for records management inspections conducted under 44 U.S.C. 2904 and 2906.

11. To the Office of Management and Budget when necessary to the review of private relief legislation.

### **LOCATION CODES**

Alabama AL, Alaska AK, Arizona AZ, Arkansas AR, California CA, Colorado CO, Connecticut CT, Delaware DE, District of Columbia DC, Florida FL, Georgia GA, Hawaii HI, Idaho ID, Illinois IL, Indiana IN, Iowa IA, Kansas KS, Kentucky KY, Louisiana LA, Maine ME, Maryland MD, Massachusetts MA, Michigan MI, Minnesota MN, Mississippi MS, Missouri MO, Montana MT, Nebraska NE, Nevada NV, New Hampshire NH, New Jersey NJ, New Mexico NM, New York NY, North Carolina NC, North Dakota ND, Ohio OH, Oklahoma OK, Oregon OR, Pennsylvania PA, Rhode Island RI, South Carolina SC, South Dakota SD, Tennessee TN, Texas TX, Utah UT, Vermont VT, Virginia VA, Washington WA, West Virginia WV, Wisconsin WI, Wyoming WY  
American Samoa AS, Federated States of Micronesia FM, Guam GU, Marshall Islands MH, Northern Mariana Islands MP, Puerto Rico PR, Palau PW, Virgin Islands of the U.S. VI

### **PUBLIC BURDEN INFORMATION**

Public burden reporting for this collection of information is estimated to average 150 minutes per response, including time or reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to OPM Forms Officer, U.S. Office of Personnel Management, 1900 E Street, N.W., Washington, DC 20415. Do not send your completed form to this address; send it to the office that provided you the form. The OMB clearance number, 3206-0005, is currently valid. OPM may not collect this information, and you are not required to respond, unless this number is displayed.

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Investigating agency use only		Codes	Case number
<b>AGENCY USE ONLY</b>			
FOR COMPETITIVE SERVICE INITIAL APPOINTMENTS ONLY: WHEN THE OF306, RESUME, AND OTHER INFORMATION PROVIDED IN THE HIRING PROCESS APPEARS TO BE DISCREPANT WITH INFORMATION PROVIDED ON THIS QUESTIONNAIRE, THOSE DISCREPANT DOCUMENTS MUST BE FORWARDED WITH THIS QUESTIONNAIRE TO OPM FOR ACTION.			
<b>A</b> Type of investigation	<b>B</b> Extra coverage/ Advance results	<b>C</b> Sensitivity level	<b>D</b> Access/Eligibility
		<b>E</b> Nature of action code	<b>F</b> Date of action
<b>G</b> Geographic location	<b>H</b> Position code	<b>I</b> Position title	<b>J</b> SON
<b>K</b> Location of Official Personnel Folder ___None ___NPRC ___At SON ___e-OPF ___Other		Other address/Web address of e-OPF	ZIP Code
<b>L</b> SOI	<b>M</b> Location of security folder ___None ___At SOI ___NPI ___Other	Other Address	ZIP Code
<b>N</b> IPAC	<b>O</b> TAS	<b>P</b> Obligating document number	<b>Q</b> BETC
<b>R</b> Accounting data and/or Agency case number		<b>S</b> Investigative requirement ___Initial ___Reinvestigation	
<b>T</b> Requesting official - Name		Title	Signature
Email address		Telephone number	Date
<b>U</b> Secondary requesting official – Name		Title	
Email address	Telephone number	<b>V</b> Applicant affiliation ___FED CIV ___CON ___MIL ___Other	
<b>W</b> Deployment/PCS location (if imminent)			

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**PERSONS COMPLETING THIS FORM SHOULD BEGIN WITH THE QUESTIONS BELOW AFTER CAREFULLY READING THE FOREGOING INSTRUCTIONS.**

I have read the instructions and I understand that if I withhold, misrepresent, or falsify information on this form, I am subject to the penalties for inaccurate or false statement (per U. S. Criminal Code, Title 18, section 1001), denial or revocation of a security clearance, and/or removal and debarment from Federal Service.

- Yes
- No

### Section 1. Full Name

If you have only initials in your name, use them and enter (I/O) after the initial(s). If you have no middle name, enter "NMN." If you are a "Jr.," "Sr.," etc. enter this in the box after your middle name.

Last Name:

First Name:

Middle Name:

Suffix (Jr., II, etc)

### Section 2. Date of Birth

In what month were you born?

In what day were you born?

In what year were you born?



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### Section 3. Place of Birth

In what city were you born?

In what county were you born?

In what state were you born?

In what country were you born? (if outside the U.S.) - If born in the U.S. enter N/A

### Section 4. Social Security Number

Please enter your Social Security Number (if you have one):

### Section 5. Other Names Used

Have you used any other names?

- Yes  
 No

List other names used and the period of time you used them [for example: your maiden name(s) by a former marriage, former name(s), alias(es), or nickname(s). If the other name is your maiden name, put "maiden" in front of it.

Name #1:

Please list the month/year name was used:

Other names used?

- Yes  
 No

### Section 6. Mother's Maiden Name

If your mother only has initials in her name, use them and enter (I/O) after the initial(s). If she has no middle name, enter "NMN."

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Last Name:

First Name:

Middle Name:

### Section 7. Your Identifying Information

Height: (feet and inches, e.g. 5' 10")

Weight: (in pounds)

Hair Color:

Eye Color:

Sex:

### Section 8. Your Contact Information

Home e-mail address:

Work e-mail address:

Home Telephone Number (International, if applicable):

Work Telephone Number (International, if applicable):

Cell Telephone Number (International, if applicable):

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### Section 9. Citizenship

- I am a U.S. citizen or national by birth in the U.S. or U.S. territory/commonwealth.
- I am a US citizen or national by birth, born to US parents in a foreign country.

Document Type:

Document number for US citizen born abroad:

Date of issuance MM/YYYY:

Place of issuance (city/country):

Name in which document was issued:  
Last Name, First Name, Middle Initial

I was born on a U.S. military installation:

- Yes
- No

If Yes, provide the name of the base and city/country

- I am a US citizen by adoption (pursuant to Public Law 106-395).

Date and place of entry in US –MM/YYYY:

Country(ies) of prior citizenship:

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Document type:

Document number:

Date of issuance MM/YYYY:

Place of issuance (city/country):

**I am a naturalized U.S. citizen.**

Date and place of entry in US –MM/YYYY:

Country(ies) of prior citizenship:

Document type:

Document number:

Date of issuance MM/YYYY:

Place of issuance issued (city and court):

Name in which certificate was issued:

Last Name, First Name, Middle Initial

Under what legal authority did you enter the U.S.?

**I am not a U.S. citizen.**

Are you a legal permanent resident of the US?

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- Yes
- No

If you indicated you are not a legal permanent resident of the US, please explain your residence status:

If you indicated you are a legal permanent resident of the US, answer the following:

Date and place of entry in US –DD/MM/YYYY:

Alien Registration Number:

Name in which your Alien Registration Number was issued:  
Last Name, First Name, Middle Initial

Type of documentation issued:

Date documentation issued DD/MM/YYYY:

Expiration date of visa DD/MM/YYYY:

### b. Do you possess a US Passport (current or expired passport)?

- Yes
- No

If yes, answer the following for the most recent passport you currently possess:

US Passport number:

Date Issued DD/MM/YYYY:

Name in which passport was first issued:

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Last Name, First Name, Middle Initial

Expiration date of passport DD/MM/YYYY:

### Section 10. Dual/Multiple Citizenship Information

Do you now hold or have you EVER held dual/multiple citizenship?

- Yes  
 No

Country of citizenship:

During what period of time did you hold citizenship with this country? From (MM/YYYY to MM/YYYY/Present)

How did you acquire any any non-US citizenship you now have or previously had?

If applicable, how did you lose any non-US citizenship you previously had?

Please explain.

Have you ever been issued a passport (or foreign identity card for travel) by a country other than the US?

- Yes  
 No

If yes, answer the following:

Country in which passport (or identity card) was issued

Date and place issued DD/MM/YYYY

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Name in which passport (or foreign identity card) was issued: Last Name, First Name, Middle Initial

Passport Number

Expiration Date? DD/MM/YYYY

Have you ever used this passport (or identity card) for foreign travel?

- Yes  
 No

List the countries to which you traveled on this passport (or identity card) and the dates (MM/YYYY) involved with each.

Do you have any other foreign passports to report?

- Yes  
 No

### Section 11. Where you have lived

List the places where you have lived beginning with your present residence and working back 7 years. Residences for the entire period must be accounted for without breaks. Indicate the actual physical location of your residence, not a Post Office box or a permanent residence when you were not physically located there. If you split your time between one or more residences during a time period, you must list all residences.

Residence Information and Point of Contact for that Period of Residence

For the First residence:

Date of residence - MM/YYYY to present

Is this address in the United States?

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- Yes
- No

If yes, answer the following:

Enter the street address (including apt. #)

City

State

Zip Code

Do you:

- Own this residence
- Rent or lease this residence
- Other (Please explain)

Is this residence military housing?

- Yes
- No

If no, answer the following:

Enter the street address (including apt. #)

City

Country

Do you:

- Own this residence
- Rent or lease this residence

Is this residence military housing?



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- Yes
- No

Do you have an APO/FPO address for this residence?

- Yes
- No

If yes, Enter the APO/FPO address, including zip code

Provide the name of a neighbor or other person who knows you at this address -  
Last Name, First Name, Middle Initial

Date of Last Contact (MM/YYYY)

Is this person's current address in the United States?

- Yes
- No

If yes, answer the following:

Enter the street, address (including apt. #)

City

State

Zip Code

Enter the following contact information for this person:

Enter evening phone number for this person:

Enter daytime phone number for this person:

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Enter cell phone number for this person (Enter 'Unk' if unknown):

Enter e-mail address for this person (if unknown, enter N/A):

If no, answer the following:

Enter the street address (including apt. #)

City

Country

Does this person also have an APO/FPO address for this residence?

- Yes  
 No

If yes, Enter the APO/FPO address, including zip code

Enter the following contact information for this person:

Enter evening phone number for this person:

Enter daytime phone number for this person:

Enter cell phone number for this person (Enter 'Unk' if unknown):

Enter e-mail address for this person (if unknown, enter N/A):

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Provide your relationship to this person (check all that apply)

- Neighbor
- Friend
- Landlord
- Business Associate
- Other (Please explain)

Do you have an additional residence to enter?

- Yes
- No

### Section 12. Where You Went to School

List all schools you have attended, beginning with the most recent (#1) working back 7 years. List college or university degrees and the dates they were received. If you received your most recent degree or diploma more than 7 years ago, list it below no matter when you received it. In the Code block, show the most appropriate code to describe your school.

- 1 - High School
- 2 - College/University/Military College
- 3 - Vocational/Technical/Trade School
- 4 - Correspondence/Distance/Extension/Online School

For Correspondence/Distance/Extension/Online School, provide the address where the records are maintained.

For schools you attended in the last 3 years, list a person who knew you at school (instructor, student, etc.). Do not list people for education periods completed more than 3 years ago.

Please enter the dates of attendance - MM/YYYY to MM/YYYY

Code:

Name of School

Did you receive a degree/diploma?

- Yes
- No

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Identify type of degree/diploma received:

Date awarded (MM/YYYY)

Street address and City (Country) of school

State

Zip Code

Name of person who knows/knew you at school (for Code 4 schools, list someone who knew you while you received this education):

Email address:

Current address (include apt.#)

City (Country)

State

Zip Code

Telephone Number

Other schools attended?

- Yes  
 No

### Section 13a. Employment Activities

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List all of your employment activities, including unemployment and self-employment, beginning with the present (#1) and working back 7 years. The entire period must be accounted for without breaks. If the employment activity was military duty, list separate employment activity periods to show each change of military duty station.

### Employment Activity Information

For the First Employment, provide dates for the FIRST employment activity period. (MM/YYYY to MM/YYYYPresent)

What is your most recent position title during this employment activity period?

Provide the reason for leaving the employment activity. (If the employment activity period listed is your current employment, answer "None.")

Please select employment activity code

- 1 - Active military duty station
- 2 - National Guard/Reserve
- 3 - USPHS Commissioned Corps
- 4 - Other Federal Employment
- 5 - State Government (Non-Federal employment)
- 6 - Self-employment
- 7 - Unemployment
- 8 - Federal Contractor
- 9 - Non-government employment (excluding self-employment)
- 10-Other (Please explain)

If you selected Code 1, 2, or 3, answer the following:

What is your most recent rank during this period?

Select the employment status for this position:

- Full time
- Part-time

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What is your assigned duty station during this period?

Street Address of Duty Station

City

State

Country

Telephone Number

Do you have an APO/FPO address at this location?

- Yes  
 No

If yes, list the APO/FPO address, including zip code.

List the name of your supervisor. (Last name, First name)

Email address of your supervisor.

List the rank of your supervisor.

List the physical work location of your supervisor.

Street Address

City

State

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Country

Provide supervisor's Telephone Number:

Does your supervisor have an APO/FPO address at this location?

- Yes  
 No

If yes, list the APO/FPO address, including zip code.

Do you have another employment to list?

- Yes  
 No

If you selected Code 4, 5, 8, 9, or 10, answer the following:

What is your most recent position title during this period?

Select the employment status for this position:

- Full time  
 Part-time

What is the name of your employer during this period?

What is the address of this employer?

Street Address

City

State

Country

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Provide employer Telephone Number:

Is your physical work address different than your employer's address?

- Yes  
 No

If yes, list the work address where you are physically located.  
(Include Street Address, City, State (if US), Country)

List telephone number for this address

Do you have an APO/FPO address for your location?

- Yes  
 No

If yes, list the APO/FPO address, including zip code.

List the name of your supervisor. (Last name, First Name)

Email address of your supervisor.

List the position title of your supervisor.

List the physical work location of your supervisor (Include Street Address, City, State (if US), Country)

Provide the telephone number for this supervisor.

Does your supervisor have an APO/FPO address at this location?

- Yes  
 No



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If yes, list the APO/FPO address, including zip code.

Do you have another employment to list?

- Yes
- No

If you selected Code 6, answer the following:

What is your most recent position title during this period?

Select the employment status for this position:

- Full time
- Part-time

What is the name of your employment during this period?

What is the address of this employment?

Street Address

City

State

Country

Zip Code

Provide employment Telephone Number:

Is your physical work address different than your employment address?

- Yes
- No

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If yes, list the work address where you are physically located.  
(Include Street Address, City, State (if US), Country)

List telephone number for this address

Do you have an APO/FPO address for your location?

- Yes  
 No

If yes, list the APO/FPO address, including zip code.

List the name of someone who can verify your self-employment (Last name, First name)

List the address of this verifier.

Street Address

City

State

Country

List the telephone number for this person.

Does the verifier have an APO/FPO address?

- Yes  
 No

If yes, list the APO/FPO address, including zip code.

Do you have another employment to list?

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- Yes
- No

If you selected Code 7, answer the following:

List the name of someone who can verify your unemployment activities and means of support. (Last name, First name)

List the address of this verifier.

Street Address

City

State

Country

Zip Code

List the telephone number for this person.

Does the verifier have an APO/FPO address?

- Yes
- No

If yes, list the APO/FPO address, including zip code.

Do you have another employment to list?

- Yes
- No

**Section 13b. Former Federal Service, excluding military service NOT indicated previously (list if applicable)**

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Enter dates of Federal Service - MM/YYYY to MM/YYYY

List Agency

Street Address

City

State

Country

Zip Code

List Position Title

### Section 13c. Employment Record

Has any of the following happened to you in the last 7 years? (If Yes, begin with the most recent occurrence and go backward, providing date fired, quit, or left, and other information requested)

Fired from a job?

- Yes  
 No

If yes, answer the following:

Enter the month/year you were fired. - MM/YYYY

Enter the name of the employer from which you were fired.

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Enter the street address, city and state of the employer where you were fired.

Street Address

City

State

Specify the reason for being fired.

Were you fired from any other job?

- Yes  
 No

Quit a job after being told you would be fired?

- Yes  
 No

If yes, answer the following:

Enter the month/year when you quit your job - MM/YYYY

Enter the name of the employer from which you quit.

Enter the street address, city and state of the employer where you quit.

Street Address

City

State

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Specify the reason for quitting.

Have you quit any other job after being told you would be fired?

- Yes
- No

Have you left a job by mutual agreement following charges or allegations of misconduct?

- Yes
- No

If yes, answer the following:

Enter the month/year that you left a job - MM/YYYY

Enter the name of the employer from which you left.

Enter the address, city, and state of the employer which you left.

Street Address

City

State

Specify the allegations of misconduct.

Have you left any other job by mutual agreement following charges or allegation of misconduct?

- Yes
- No

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Left a job by mutual agreement following notice of unsatisfactory performance?

- Yes
- No

If yes, answer the following:

Enter the month/year for the <first, second, nth> time you left a job -  
MM/YYYY

Enter the name of the employer from which you left.

Enter the street address, city, and state of the employer which you left.

Street Address

City

State

Specify the reason(s) for unsatisfactory performance.

Have you left any other job following notice of unsatisfactory performance?

- Yes
- No

Received a written warning, been officially reprimanded, suspended, or disciplined for misconduct in the workplace, to include violation of a security policy?

- Yes
- No

If yes, answer the following:

Enter the month/year you were warned, reprimanded, suspended or disciplined - MM/YYYY

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Enter the name of the employer where you were warned, reprimanded, suspended or disciplined.

Enter the street address, city, and state of the employer where you were warned, reprimanded, suspended or disciplined.

Street Address

City

State

Specify the reason(s) for being warned, reprimanded, suspended or disciplined.

Did you receive a written warning, official reprimand, been suspended or disciplined for misconduct at any other employer?

- Yes
- No

### Section 14. Selective Service Record

Are you a male born after December 31, 1959?

- Yes
- No

Have you registered with the Selective Service System (SSS)?

- Yes
- No

If no, explain.

If yes, Please provide registration number. (The Selective Service website, [www.sss.gov](http://www.sss.gov), can help provide the registration number for persons who have registered)



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### Section 15. Military History

Have you ever served in the U.S. Military or the U.S. Merchant Marine?

- Yes
- No

If yes, answer the following:

In which branch of service did you serve? Please check all that apply and indicate your start and end date with each service (MM/YYYY to MM/YYYY)

Army

Army National Guard

Army Reserve

Navy

Navy Reserve

Air Force

Air Force Reserve

Air National Guard

Marine Corps

Coast Guard

Coast Guard Reserve

Merchant Marine

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Were you discharged from U.S. military service, to include Reserves, National Guard, or U.S. Merchant Marine?

- Yes
- No

If yes, answer the following:

Select the type of discharge you received

- Honorable
- Dishonorable
- Other than Honorable
- General (Under honorable conditions)
- Bad Conduct
- Other (please explain)

From what branch of service were you discharged?

- Army
- Army National Guard
- Army Reserve
- Navy
- Navy Reserve
- Air Force
- Air Force Reserve
- Air National Guard
- Marine Corps
- Coast Guard
- Coast Guard Reserve
- Merchant Marine

Enter the date of discharge listed above - MM/YYYY

Enter the reason(s) for the above discharge except for "Honorable."

In the last 7 years, have you been subject to court martial or other disciplinary procedure under the Uniform Code of Military Justice? (Including all Article 15's, Captain's mast, and Article 135 Court of Inquiry).

- Yes
- No

If yes, answer the following:

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Enter the date(s) of the court martial or other disciplinary procedure - MM/YYYY

Describe the UCMJ offense(s) for which you were charged.

Enter the description of the military court or other authority in which you were charged (title of court or convening authority, address, to include city and state or country if overseas.)

Describe the outcome of the court martial or other military procedure under the UCMJ (guilty, not guilty, Article 15, fine, reduction in rank, imprisonment, etc.)

Have you ever served, as a civilian or military member, in a foreign country's military, security forces, merchant marine, militia, or other defense forces?

- Yes
- No

During your Foreign Service, which organizations were you serving under: (Check all that apply)

- Military (Specify Army, Navy, Air Force, Marines, etc)
- Intelligence Service
- Diplomatic Service
- Security Forces
- Merchant Marine
- Militia
- Other Defense Forces (Please Specify)
- Other Government Agency (Please Specify)
- None

Period of service: From <MM/YYYY> To <MM/YYYY>

Name of Country

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Highest position/rank held

List the division/department/office in which you served

Describe the circumstances of your association with the organization checked

Do you maintain contact with current or former associates, colleagues, acquaintances from your service in one or more of the above organizations?

- Yes  
 No

If yes, provide full name, address (if known), official title, length of association, and frequency of contact for each former associate, colleague or acquaintance with whom you maintain contact.

### Section 16. People Who Know you Well

List three people who know you well and who preferably live in the U.S. They should be friends, peers, colleagues, college roommates, associates, etc., who are collectively aware of your activities outside of the workplace, school, or neighborhoods and whose combined association with you covers at least the last 5 years. Do not list your spouse, former spouse (s), other relatives, or anyone listed elsewhere on this form.

Reference Name #1 (Last Name, First Name)

Rank/title (If applicable)

Dates Known (From MM/YYYY to MM/YYYY)

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Relationship to you: (Check all that apply)

- Neighbor
- Friend
- Work Associate
- Schoolmate
- Other (provide explanation)

Enter evening phone number for this person:

Enter daytime phone number for this person:

Enter cell phone number for this person:

Enter e-mail address (if known) for this person:

Please provide home or work address for this reference.

Street Address

City (Country)

State

Zip Code

List another person who knew you well?

- Yes
- No

### Section 17a. Marital Status

Mark one box to show your current marital status and provide information about your spouse or cohabitant. If there is not a middle name, enter "NMN."

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### Current Marital Status

- Never married
- Married
- Separated
- Annulled
- Divorced
- Widowed

If you answered "Never married," proceed to Section 18.

If you answered "Married" or "Separated," provide the following information:

Complete the following about your current spouse only. If your current spouse was born outside of the U.S., provide citizenship information.

Last name

First name

Middle name

Date of Birth (DD/MM/YYYY)

Place of birth (include country if outside the U.S.)

Social Security Number

Maiden name

Other names used (specify other names, names by other marriages, etc. and show dates used for each name)

Last name, First Name, Middle name /Dates

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Country(ies) of citizenship

Date married (DD/MM/YYYY)

Place married (City, include Country if outside the U.S.)

State

Zip code

Current address of your spouse, if different than your current address  
(Street, City, include Country if outside the U.S.)

State

Zip code

Telephone number

Email address

Was your spouse born in the United States?

- Yes  
 No

If yes, indicate one type of documentation that he or she possesses and the document numbers.

- FS 240 or 545  
 DS 1350  
 Citizenship certificate  
 U.S. Passport (current or most recent)

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- Alien registration
- Naturalization certificate
- Other (please explain)

Document number

If separated, date of separation (MM/DD/YYYY)

If legally separated, where is the record located?

City (Country)

State

Zip code

If you answered "Widowed," "Divorced," or "Annulled" provide the following information:

Complete the following about your former spouse.

Last name

First name

Middle name

Date of birth (MM/DD/YYYY)



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Place of birth (include Country if outside the U.S.)

Country(ies) of citizenship

Date married(DD/MM/YYYY)

Place married (City, include Country if outside the U.S.)

State

Date Divorced, Annulled or Widowed (MM/DD/YYYY)

If divorced/annulled, where is the record located?

City (Country)

State

Zip code

If divorced/annulled, provide last known address of former spouse (Street, City, include Country if outside the U.S.)

State

Zip code

Telephone number

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Do you have another former spouse to report?

- Yes
- No

### Section 17 b. Cohabitant

A cohabitant is a person with whom you share bonds of affection, obligation, or other commitment as in a spouse-like relationship, as opposed to a person with whom you live with for reasons of convenience (e.g. a roommate)]. If applicable, complete the following about your cohabitant. If your cohabitant was born outside the U.S., provide citizenship information.

Do you presently reside with a person, other than a spouse, with whom you share bonds of affection, obligation, or other commitment, as opposed to a person with whom you live for reasons of convenience (a roommate)?

- Yes
- No

If yes, provide the following information:

Last name

First name

Middle name

Date of Birth (DD/MM/YYYY)

Place of birth (include Country if outside the U.S.)

Social Security Number

Other names used (specifically maiden names, names by other marriages, etc., and show dates used for each name)

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Country(ies) of citizenship

Date cohabitation began

Was the cohabitant born in the United States?

- Yes
- No

If yes, indicate one type of documentation that he or she possesses and the document numbers.

- FS 240 or 545
- DS 1350
- Citizenship certificate
- U.S. Passport (current or most recent)
- Alien registration
- Naturalization certificate
- Other (please explain)

Document number

### Section 18. Relatives

For each of your relatives listed below check all that apply and provide all requested information whether or not they are living or deceased:

- Mother
- Father
- Stepmother
- Stepfather
- Foster parent
- Child (including adopted/foster)
- Stepchild
- Brother
- Sister
- Stepbrother
- Stepsister
- Half-brother
- Half-sister
- Father-in-law

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- Mother-in-law
- Guardian

For each person checked, provide the following information:  
Full name (Last Name, First Name, Middle Name)

Date of birth MM/YYYY

Place of Birth

City

State

Country

Was this person born in the US?

- Yes
- No

If yes, Is this person deceased?

- Yes
- No

If no, your relative's current address (street, city)

State

Country

If no, Is this person deceased?

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- Yes
- No

If no, Is this person a U.S. citizen?

- Yes
- No

If yes, indicate one type of documentation that he or she possesses and provide the document number below:

- FS 240 or 545
- DS 1350
- U.S. Citizenship certificate
- U.S. Naturalization certificate
- U.S. Passport
- Other (please explain)

Document Number

If no, list country of citizenship

Indicate type of documentation he or she possesses to support U.S. residence:

- Alien Registration
- Visa
- Other (Explain

Document Number

Do you have another relative to list?

- Yes
- No

### Section 19. Foreign Activities: Foreign Contacts

Do you have, or have you had, close and continuing contact with a foreign national (i.e., a person who is not a citizen or national of the US) within the last 7 years with whom you or your spouse, or your cohabitant are bound by affection, common interests, and/or obligation? Include associates, as well as relatives, not already listed previously.

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- Yes
- No

If yes, answer the following:

Last name, First name, Middle name (if known) of foreign contact

Provide other names, nicknames, as appropriate

Country of citizenship

Date and place of birth (if known)

Current address (if known)

Street Address and City

Country

Name and address of current employer (if known)

Approximate date first met - MM/YYYY

Approximate date of last contact - MM/YYYY

**Methods of contact (check all that apply)**

- In person
- Telephone
- Electronic (e.g. e-mail, chat room)
- Written correspondence

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### Approximate frequency of contact

- Daily
- Weekly
- Monthly
- Quarterly
- Annually
- Other (please explain)

### Nature of relationship (check all that apply)

- Professional
- Personal
- Other (please explain)

Is this foreign national affiliated with a foreign government, military, security, defense industry, or intelligence service?

- Yes
- No
- Don't know

If yes, describe the organization with which this foreign national is affiliated.

Do you have or have you had close and/or continuing contact with any other foreign nationals?

- Yes
- No

### Section 20a. Foreign Activities: Foreign Financial Interests

For Section 20a and 20b, "Immediate Family" means your spouse, parents, step-parents, siblings, half- and step-siblings, children, step-children, and cohabitant.

Have you, your spouse or children EVER had any foreign financial interests (include stocks, property, investments, bank accounts, ownership of corporate entities) in which you (and/or your spouse/children) have direct control or direct ownership? (Exclude US-based fund managers and accounts.)

- Yes
- No

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If yes, answer the following:

Specify: (check all that apply)

- Yourself
- Spouse
- Children

Type of financial interest

Date acquired - DD/MM/YYYY

How acquired (purchase, gift, etc.)

Cost in US dollars at time of acquisition

Current value in US dollars

Are there any co-owners?

- Yes
- No

If yes, provide the name, address, citizenship, and relationship of the co-owner(s).

Do you have any other foreign financial interests (as described above) to report?

Have you (and/or your spouse or children) EVER had any foreign financial interests that someone controlled on your behalf?

- Yes
- No

If yes, answer the following:

Specify: (check all that apply)

- Yourself
- Spouse
- Children



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Type of financial interest

Who controls it on your behalf (last name, first name, relationship)

Date acquired - DD/MM/YYYY

How acquired (purchase, gift, etc.)

Cost in US dollars at time of acquisition

Current value in US dollars

Are there any co-owners?

Yes

No

If yes, please provide the name, address, citizenship, and relationship of the co-owner(s).

Do you have any other foreign financial interests (as described above) to report?

Yes

No

Have you (and/or your spouse or children) EVER owned, or do you anticipate owning, or plan to purchase real estate in a foreign country?

Yes

No

If yes, answer the following:

Specify: (check all that apply)

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- Yourself
- Spouse
- Children

Type of real estate property (home, business, etc.)

Location/address of property (City, Country)

Date acquired - DD/MM/YYYY

Date sold (if appropriate) MM/YYYY

How acquired (purchase, gift, etc.)

Cost in US dollars at time of acquisition

Current value in US dollars

Are there any co-owners?

- Yes
- No

If yes, provide the name, address, citizenship, and relationship of the co-owner(s).

Do you own, or expect to inherit, any other real estate in a foreign country (as described above)?

- Yes
- No

Have you (and/or your spouse or children) ever received, or are eligible to receive in the future any educational, medical, retirement, social welfare, or other such benefit from a foreign country?

- Yes
- No

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If yes, answer the following:  
Specify: (check all that apply)

- Yourself
- Spouse
- Children

Type of benefit

Dates benefits received or will become eligible MM/YYYY

The value of any benefit you now receive, have received or anticipate receiving (total one-time payment, annual, monthly, weekly, etc.) in US dollars

Reason

Does any immediate family member (other than your spouse or children) receive educational, medical, retirement, social welfare, or other such benefit from a foreign country?

- Yes
- No

If yes, answer the following:

Provide the name of the immediate family member and their relationship to you.

Describe the nature, frequency and amount of the benefits received by this immediate family member.

Do you provide financial support for any foreign national?

- Yes
- No

If yes, answer the following:

Provide the name(s) of the foreign national(s) you support financially.

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Provide the address (street, city, country) of the individual(s) listed above.

Explain the nature or your relationship with the individual(s) listed above.

Provide the amount and frequency of all financial support provided.

### Section 20b. Foreign Activities: Foreign Business, Professional Activities, and Foreign Government Contacts

For Section 20a and 20b, "Immediate Family" means your spouse, parents, step-parents, siblings, half- and step-siblings, children, step-children, and cohabitant.

*(Based on information readily available or known to you without having to independently query immediate family members)* Respond for the time frame of the last 7 years, unless otherwise noted.

Have you provided advice or support to anyone associated with a foreign business or other foreign organization that you have not previously listed as a former employer? (Answer "No" if your advice or support was authorized pursuant to official US Government business.)

- Yes  
 No

If yes, answer the following:  
Describe advice/support provided.

Name of foreign national and/or organization(s) to which advice or support was provided

Name of foreign country

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Date(s) involved From MM/YYYY To MM/YYYY

Describe what compensation, if any, was provided for your service.

Have you or any member of your immediate family been asked to provide advice or serve as a consultant, even informally, by any foreign government official or agency? (Answer "No" if your advice or support was authorized pursuant to official US Government business.)

- Yes  
 No

If yes, answer the following:

Provide the name of the requesting person/organization and country.

Date of the request MM/YYYY

What are the circumstances of the request,? to include City, Country

Country of Request

Has any foreign national offered you a job, asked you to work as a consultant, or consider employment with them?

- Yes  
 No

If yes, answer the following:

Provide the name of the person who made the offer (last/first/middle).

Describe the position offered.

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When did this occur? - MM/YYYY

Where did this occur?

City

Country

Did you accept the offer?

- Yes  
 No

Provide explanation.

Have you been involved in any other type of business venture with a foreign national not described above (own, co-own, serve as business consultant, provide financial support, etc.)?

- Yes  
 No

If yes, answer the following:

Provide full name of this person. (Last Name, First Name)

Provide the full address of this person. (Street address, city, country)

Provide citizenship of this person.

Provide description of business venture.

What is your relationship to this person?

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Length of time (years) you have been involved in the business venture.

Describe nature of your association, position held, service provided, financial support involved

Describe what compensation was provided for your service.

Have you attended or participated in any conferences, trade shows, seminars, or meetings outside the US? (Do not include those you attended or participated in on official business for the U.S. government)

- Yes  
 No

If yes, answer the following:

Name and description of event

Name of sponsoring organization

City

Country

Dates - MM/YYYY

Purpose

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Was there any subsequent contact with any foreign nationals as a result of the event?

- Yes
- No

If yes, provide explanation.

Have you or any member of your immediate family had any contact with a foreign government, its establishment (embassy, consulate, agency, military service, etc.) or its representatives, whether inside or outside the US? (Answer No if the contact was for routine visa applications and border crossings related to either official U.S. Government travel or foreign travel on a US passport listed in Question 20)

- Yes
- No

If yes, answer the following:

Provide the name(s) of those persons (including yourself) involved in the contact.

Location (City/State/Country) and date (MM/YYYY) of contact

Foreign government and type of establishment involved

Foreign representatives involved in contact

Purpose/circumstances of contact

Was there any subsequent contact initiated by you, your family member, or a representative of the foreign organization?

- Yes
- No

If yes, answer the following:

Purpose of subsequent contact



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Date and purpose of most recent contact

Plans for future contact

Have you sponsored any foreign citizen to come to the U.S. as a student, for work, or for permanent residence?

- Yes  
 No

If yes, answer the following:

Full Name (Last Name, First Name)

Date of birth (if known) (MM/YYYY)

Place of birth (City/Country)

Current Address (Include street address, city, state and country)

Country of citizenship

Name and address of organization through which sponsorship was arranged, if applicable.

Dates of stay in US - From MM/YYYY To MM/YYYY

Address while residing in the U.S.

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Purpose of stay in the US

Purpose of your sponsorship

Have you ever held political office or voted in the election of a foreign country?

Yes

No

If yes, answer the following:

Identify the position held, if any.

Provide the date(s) you held political office or voted in a foreign election -  
From MM/YYYY To: MM/YYYY

Provide the name(s) of the country involved.

Provide the reason(s) for these activities and include current eligibility to hold political office or vote in a foreign election.

### Section 20c. Foreign Activities: Foreign Countries You Have Visited

Respond for the time frame of the last 7 years (Do not list trips that ONLY involved travel on official U.S. Government business, but you must include any personal trips made in conjunction with the official US Government travel)

Have you traveled outside the U.S. in the last seven years?

Yes

No

If yes, answer the following:

List the FIRST country visited.

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List dates of travel beginning with the most recent and working backwards  
(From MM/YYYY To MM/YYYY)

List the total number of days involved in the visit.

- 1-5
- 6-10
- 11-20
- 21-30
- >30

List the purpose of the travel to this country (Check all that apply)

- Business/professional
- Volunteer activities
- Education
- Tourism
- Trade shows
- Visit family or friends
- Other

While traveling to, or in this country, were you: (check all that apply)

- Questioned, searched, or otherwise detained (other than for normal customs requirements) by the local customs or security service officials when entering or leaving a country?
- Involved in any encounter with the police?
- In contact with any person known or suspected of being involved or associated with foreign intelligence, terrorist, security, or military organizations?
- Involved in any counterintelligence or security issues not reported?

Explain

Have you traveled to any other foreign countries?

- Yes
- No

### Section 21. Mental and Emotional Health

Mental health counseling in and of itself is not a reason to revoke or deny eligibility for access to classified information or for a sensitive position, suitability or fitness to obtain or retain Federal employment, fitness to obtain or retain

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contract employment, or eligibility for physical or logical access to federally controlled facilities or information systems

In the last 7 years, have you consulted with a health care professional regarding an emotional or mental health condition or were you hospitalized for such a condition? Answer "No" if the counseling was for any of the following reasons and was not court-ordered:

- strictly marital, family, grief not related to violence by you; or
- strictly related to adjustments from service in a military combat environment.

Yes

No

If yes, answer the following:

Name of counselor or treatment provider:

Street address of counseling/ treatment provider:

City

State

Telephone Number

Name of agency/organization where counseling/treatment was provided:

Is the address the same as above?

Yes

No

Please provide the street address, city, state, and zip code.

Date counseling or treatment began? - MM/YYYY

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Date counseling or treatment ended? - MM/YYYY

Were you admitted as an inpatient to the agency/organization where counseling/treatment was provided?

- Yes
- No

If yes, was the admission voluntary or involuntary?

- Voluntary
- Involuntary

Please explain.

Has a court or administrative agency ever declared you mentally incompetent?

- Yes
- No

If yes, answer the following:

Which court or administrative agency declared you mentally incompetent?

Provide the name and address.

When did this occur? - MM/YYYY

Was this matter appealed to a higher court?

- Yes
- No

Provide the name and address of the court.

What was the final disposition?

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In the last 7 years, have you consulted with another health care professional regarding an emotional or mental health condition or were you hospitalized for such a condition you would like report?

- Yes
- No

### Section 22. Police Record

For this item report information regardless of whether the record in your case has been sealed, expunged, or otherwise stricken from the court record, or the charge was dismissed. You need not report convictions under the Federal Controlled Substances Act for which the court issued an expungement order under the authority of 21 U.S.C. 844 or 18 U.S.C. 3607. Be sure to include all incidents whether occurring in the U.S. or abroad.

In the past 7 years, have you been arrested by any police officer, sheriff, marshal or any other type of law enforcement official?

- Yes
- No

If yes, answer the following:

Did any of the charges related to this arrest involve the commission of a felony or misdemeanor in the jurisdiction in which it occurred?

- Yes
- No

Describe the specific nature of the offense(s)/charge(s) for which you were arrested.

Enter the month and year you were arrested? - MM/YYYY

Enter the city/county where the arrest took place.

Select the state where the arrest took place.

Enter the Country where the arrest took place (if outside the US).

What is the name of the law enforcement agency that arrested you?

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Did any of the charges related to this arrest involve firearms or explosives?

- Yes
- No

Did any of the charges related to this arrest involve alcohol or drugs?

- Yes
- No

What was the disposition of this offense?

In the last 7 years, have you been arrested for any other offense?

- Yes
- No

Have you ever been convicted in any court of the United States of a crime for which you were sentenced to imprisonment for a term exceeding one year?

- Yes
- No

If yes, answer the following:

Provide the name and address (street, city, state) of the court which convicted you.

Provide the date(s) of your conviction. - MM/YYYY

Were you imprisoned as a result of that sentence for more than one year?

- Yes
- No

If yes, provide the length of the sentence that you served. From MM/YYYY to MM/YYYY

Do you have any other convictions to report?

- Yes
- No

Are you now or have you been on probation or parole?

- Yes

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No

If yes, provide explanation.

In the past 7 years have you been issued a summons, citation, or ticket to appear in court in a criminal proceeding against you? (Answer NO if the citation involved a traffic infraction where the fine was less than \$300)

Yes

No

If yes, answer the following:

Enter the month and year of the offense? - MM/YYYY

Enter the city where the offense took place.

Select the state where the offense took place.

What is the name of the law enforcement agency that cited you?

Did any of the offenses involve firearms or explosives?

Yes

No

Did the offenses involve alcohol or drugs?

Yes

No

Describe the specific nature of the offense/charge for which you were cited and the disposition.

Are there any other offenses for which you have been cited, ticketed, or issued a summons or notice to appear before a court by any police officer, sheriff or any other type of law enforcement officer? (Answer NO if the citation involved a traffic infraction where the fine was less than \$300)

Yes

No



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Are you on trial or awaiting a trial on criminal charges?

- Yes
- No

Please explain.

Are you currently awaiting sentencing for a criminal offense?

- Yes
- No

Please explain.

Have you ever been convicted of an offense involving domestic violence or a crime of violence (such as battery or assault) against your child, dependent, cohabitant, spouse, former spouse, or someone with whom you share a child in common?

- Yes
- No

If yes, please explain.

Is there currently a domestic violence protective order issued against you?

- Yes
- No

If yes, please explain.

### Section 23. Illegal Use of Drugs or Drug Activity

The following questions pertain to the illegal use of drugs or drug activity. You are required to answer the questions fully and truthfully, and your failure to do so could be grounds for an adverse employment decision or action against you. Neither your truthful responses nor information derived from your responses will be used as evidence against you in any subsequent criminal proceeding.

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In the last 7 years, have you illegally used any controlled substances? Use of a controlled substance includes injecting, snorting, inhaling, swallowing, experimenting with or otherwise consuming any controlled substance.

- Yes
- No

In the last seven years, have you illegally used any controlled substances listed below? Use of a controlled substance includes injecting, snorting, inhaling, swallowing, experimenting with or otherwise consuming any controlled substance. (Check all that apply)

- Cocaine, crack cocaine
- THC (marijuana, hashish, etc)
- Ketamine
- Narcotics (opium, morphine, codeine, heroin, etc)
- Stimulants (amphetamines, speed, crystal methamphetamine, Ecstasy, etc.)
- Depressants (barbiturates, methaqualone, tranquilizers, etc)
- Hallucinogenic (LSD, PCP, etc)
- Steroids
- Inhalants (toluene, amyl nitrate, etc)
- Other

If yes, answer the following for each marked box:

Estimate the month and year of first use. - MM/YYYY

Estimate the month and year of most recent use. - MM/YYYY

Estimate the number of times you have used this drug.

Do you intend on using this drug in the future?

- Yes
- No

Please explain.

Have you been ordered, advised, or asked to seek counseling or treatment as a result of your use of drugs?

- Yes

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No

If yes, answer the following:

Provide the name of the first treatment provider (Last Name, First Name)

Provide the address for this person (street address, city, state).

Provide a phone number for the treatment provider.

Beginning date of treatment - MM/YYYY

Ending date of treatment - MM/YYYY

Did you successfully complete the treatment?

Yes

No

Please explain

Do you have another treatment provider to enter?

Yes

No

In the last seven years, have you been involved in the illegal purchase, manufacture, cultivation, trafficking, production, transfer, shipping, receiving, handling or sale of any controlled substance?

Yes

No

Please check all the drugs that apply.

Cocaine, crack cocaine

THC (marijuana, hashish, etc)

Ketamine

Narcotics (opium ,morphine, codeine, heroin, etc),

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- Stimulants (amphetamines, speed, crystal methamphetamine, Ecstasy, ketamine, etc.),
- Depressants (barbiturates, methaqualone, tranquilizers, etc),
- Hallucinogenics (LSD, PCP, etc),
- Steroids
- Inhalants (toluene, amyl nitrate, etc),
- Other

If yes, answer the following for each marked box:

For the first drug selected, provide the following information

Estimate the month and year of first involvement? - MM/YYYY

Estimate the month and year of most recent involvement? - MM/YYYY

Why did you engage in the activity?

Do you intend to engage in this activity in the future?

- Yes
- No

Have you EVER illegally used or otherwise been involved with a controlled substance while possessing a security clearance?

- Yes
- No

If yes, answer the following:

Describe your involvement.

Provide the dates of involvement or use (From MM/YYYY To MM/YYYY)

Estimate the number of times you used and/or were involved with this drug while possessing a security clearance:

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Have you EVER illegally used or otherwise been involved with a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official; or while in a position directly and immediately affecting the public safety?

- Yes
- No

If yes, answer the following:

Describe the drugs used and your involvement.

Provide the dates of involvement or use. (From MM/YYYY To MM/YYYY)

Estimate the number of times you used and/or were involved this drug while employed in this capacity:

In the last seven years have you intentionally engaged in the misuse of prescription drugs (to include giving or selling prescription drugs to someone else), regardless of whether or not the drugs were prescribed for you or someone else?

- Yes
- No

If yes, answer the following:

Provide the names of the prescription drug(s) that you misused.

List the dates involved in the above. - MM/YYYY

Provide the reason for the misuse of the prescription drug(s).

### **Section 24. Use of Alcohol (*Respond for the time frame of the last 7 years*)**

Has your use of alcohol had a negative impact on your work performance, your professional or personal relationships, or your finances, or resulted in intervention by law enforcement/public safety personnel?

- Yes

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No

If yes, answer the following.

Provide the month/year when this negative impact occurred. -

MM/YYYY

Explain the circumstances and the negative impact.

Provide the dates of involvement or use. (From MM/YYYY To MM/YYYY)

Has the use of alcohol had other negative impacts on your work performance, your professional or personal relationships, or your finances, or resulted in intervention by law enforcement/public safety personnel?

- Yes  
 No

Have you ever sought counseling or treatment as a result of your use of alcohol?

- Yes  
 No

Have any of the following ordered, advised, or asked you to seek counseling or treatment as a result of your use of alcohol. Please check every category that applies.

- an employer, military commander, or employee assistance program  
 a medical professional  
 a mental health professional  
 a court official / judge  
 I have not been ordered, advised, or asked to seek counseling or treatment by any of the above.

[Please explain](#)

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Did you in fact take action to seek counseling or treatment?

- Yes
- No

If no, explain the reasons for not taking action to seek counseling or treatment

If yes, answer the following:

Date counseling or treatment began - MM/YYYY

Date counseling or treatment ended - MM/YYYY

Name of individual counselor or treatment provider:

Street address of counseling/treatment provider:

City of counseling/treatment provider:

State of counseling/treatment provider:

Telephone Number

Did you successfully complete the treatment program?

- Yes
- No

Have you ever received counseling or treatment as a result of your use of alcohol in addition to what you listed above?

- Yes
- No

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If yes, answer the following:

Name of individual counselor or treatment provider:

Street address of counseling/ treatment provider:

City of counseling/treatment provider:

State of counseling/treatment provider:

Name of agency/organization where counseling/treatment was provided:

Address of agency/organization where counseling/treatment was provided:

- Same as above  
 Other (Please include street address, city, state

Date counseling or treatment began - MM/YYYY

Date counseling or treatment ended - MM/YYYY

Did you successfully complete your counseling or treatment?

- Yes  
 No

Please explain.

Did you receive alcohol-related counseling or treatment another time?

- Yes  
 No



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### Section 25. Investigations and Clearance Record

Has the US Government (or a foreign government) ever investigated your background and/or granted you a security clearance/access? (Begin with the most recent and work backwards)

- Yes
- No

If yes, answer the following:

Investigating agency:

- Defense Department
- U.S. Department of State
- U.S. Office of Personnel Management
- Federal Bureau of Investigation
- Treasury Department
- Department of Homeland Security
- Foreign Government, Provide Name of Government
- Unknown
- Other (Explain)

Date the investigation was completed (if known) - MM/YYYY

Name of agency that issued the clearance/access if different from the investigating agency

Date clearance/access was granted (if known) - MM/YYYY

Level of clearance/access granted

- None
- Confidential
- Secret
- Top Secret
- SCI
- Q
- L
- Unknown

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- Issued by foreign country
- Other (please explain)

Is there another investigation, clearance/access you would like to list?

- Yes
- No

Have you EVER had a clearance or access authorization denied, suspended, or revoked? (Note: An administrative downgrade or termination of a security clearance is not a revocation)

- Yes
- No

If yes, answer the following:

Date clearance or access authorization was denied, suspended or revoked? -MM/YYYY

Provide the name of the agency or activity that took the action?

Provide the address of the agency or activity that took the action?

Explain the circumstances of the denial, suspension or revocation action?

Have you ever been debarred from government employment?

- Yes
- No

Provide details of debarment to include the date, reasons for the action, and the agency taking the action.

### Section 26. Financial Record

For the following, answer for the last 7 years, unless otherwise specified in the question. Disclose all financial obligations, including those for which you are a cosigner or guarantor.

Have you filed a petition under any chapter of the bankruptcy code?

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- Yes
- No

If yes, answer the following:

Check all that apply to the bankruptcy petition:

- Chapter 7
- Chapter 11
- Chapter 13

Provide the name and address of the court involved in handling your bankruptcy

Were you discharged of all debts claimed in the bankruptcy?

- Yes
- No

Provide explanation.

Did you have any other bankruptcy?

- Yes
- No

Have you had any possessions or property voluntarily or involuntarily repossessed or foreclosed?

- Yes
- No

If yes, answer the following:

Identify/describe the type of property or possessions that were repossessed or foreclosed.

Provide the date(s) you had any possessions or property repossessed or foreclosed - MM/YYYY

Provide the reason(s) for the repossession or foreclosure.

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Have you failed to pay Federal, state, or other taxes or to file a tax return, when required by law or ordinance?

- Yes
- No

If yes, answer the following:

Provide the year(s) you failed to file your Federal, state or other tax return(s) - YYYY

Provide the reason(s) for your failure to file required tax returns.

Identify the Federal, state or other agency where you failed to file a tax return

Have you had a lien placed against your property for failing to pay taxes or other debts?

- Yes
- No

If yes, answer the following:

Provide the date(s) you had any liens placed against your property - MM/YYYY

Provide the reason(s) for the lien(s)

Have you had a judgment entered against you?

- Yes
- No

If yes, answer the following:

Provide the date(s) you had a judgment entered against you - MM/YYYY

Provide the reason(s) for the judgment.

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Provide the name of the lender or court that had a judgment entered against you.

Have you defaulted on any type of loan?

- Yes
- No

If yes, answer the following:

Provide the name of the lender who held the loan

Provide the date(s) you defaulted on any type of loan MM/YYYY

Provide the reason(s) for the default.

Have you had bills or debts turned over to a collection agency?

- Yes
- No

If yes, answer the following:

Provide the name of the collection agency.

Provide the date(s) you had bills or debts turned over to a collection agency - MM/YYYY

Provide the reason(s) that the bill or debt(s) was turned over to a collection agency.

Have you had any account or credit card suspended, charged off, or cancelled for failing to pay as agreed?

- Yes
- No

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If yes, answer the following:

Provide the name and address of the credit company that suspended, charged off, or cancelled for failing to pay as agreed?

Provide the date(s) your account or credit card was suspended, charged off or cancelled - MM/YYYY

Provide the reason(s) for the suspension, charge off, or cancellation

Have you been evicted for non-payment of financial obligations?

- Yes  
 No

If yes, answer the following:

Provide the name and address of the individual, company or agency that evicted you.

Provide the date(s) you were evicted - MM/YYYY

Provide the reason(s) for the eviction.

Have you been delinquent on court imposed alimony or child support payments?

- Yes  
 No

If yes, answer the following:

Provide the name and address of the court or agency.

Provide the date(s) of your delinquency - MM/YYYY

Provide the reason(s) for the delinquency

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Have you had your wages, benefits, or assets garnished or attached for any reason?

- Yes  
 No

If yes, answer the following:

Provide the name and address of the court or agency that had your wages, benefits, or assets garnished or attached for any reason.

Provide the date(s) of the garnishment(s)

Provide the reason(s) for the garnishment(s).

Have you been counseled, warned, or disciplined for violating terms of agreement for a travel or credit card provided by your employer?

- Yes  
 No

If yes, answer the following:

Provide the name and address of the company or agency that counseled, warned, or disciplined for violating terms of agreement for a travel or credit card provide by your employer.

Provide the date(s) of your counseling, warning, or disciplinary action - MM/YYYY

Provide the reason(s) for the counseling, warning or disciplinary action.

Have you been over 120 days delinquent on any debt(s)?

- Yes  
 No

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If yes, answer the following:

Enter the loan/account number involved:

Provide the date(s) of your delinquency - MM/YYYY

Provide the reason(s) for the delinquency.

Are you currently over 120 days delinquent on any debt(s)?

If yes, answer the following:

Enter details of the loan/account number involved:

Provide the date(s) of your delinquency - MM/YYYY

Provide the reason(s) for the delinquency.

Are you currently involved with, or seeking assistance from, a credit counseling service or other similar resource to resolve your financial difficulties?

- Yes  
 No

If yes, provide explanation

Have you ever experienced financial problems due to gambling?

- Yes  
 No

If yes, answer the following:

Provide the date(s) of your financial problems - MM/YYYY



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Estimate the amount of gambling losses incurred.

Describe the reason(s) for the financial problems.

Are you currently delinquent on any Federal debt?

- Yes  
 No

If yes, answer the following:

Provide the date(s) of your delinquency - MM/YYYY

Provide the reason(s) for your delinquency.

Enter the loan/account number involved:

### Section 27, Use of Information Technology Systems

The following questions ask about your use of information technology systems. Information technology systems include all related computer hardware, software, firmware, and data used for the communication, transmission, processing, manipulation, storage or protection of information.

You are required to answer the questions fully and truthfully, and your failure to do so could be grounds for an adverse employment decision or action against you. Neither your truthful responses nor information derived from your responses will be used as evidence against you in any subsequent criminal proceeding.

a. In the last 7 years, have you illegally or without proper authorization entered into any information technology system?

- Yes  
 No

If yes, answer the following:

List the date of the incident(s)

MM/YYYY

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Describe the nature of the incident or offense

Provide the location where the incident took place.

Street address

City

State

Describe the action (administrative, criminal or other) taken as a result of this incident, if any.

Are there any other incidents to report?

- Yes  
 No

b. In the last 7 years, have you illegally or without authorization, modified, destroyed, manipulated, or denied others access to information residing on an information technology system?

- Yes  
 No

If yes, answer the following:

List the date(s) of the incident(s)

MM/YYYY

Describe the nature of the incident or offense

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Provide the location where the incident took place.

Street address

City

State

Describe the action (administrative, criminal or other) taken as a result of this incident, if any.

Are there any other incidents to report?

c. In the last 7 years, have you introduced, removed, or used hardware, software, or media in connection with any information technology system without authorization, when specifically prohibited by rules, procedures, guidelines, or regulations?

- Yes
- No

If yes, answer the following:

List the date(s) of the incident(s)

MM/YYYY

Describe the nature of the incident or offense

Provide the location where the incident took place.

Street address

City

State

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Describe the action (administrative, criminal or other) taken as a result of this incident, if any.

Are there any other incidents to report?

- Yes  
 No

### Section 28, Involvement in Non-Criminal Court Actions

In the last 7 years, have you been a party to any public record civil court action(s) not listed elsewhere on this form?

- Yes  
 No

If yes, answer the following:

Provide the date of the civil action  
MM/YYYY

Provide the name and address of the court involved in the civil action  
Court Name

Street Address

City

State

Provide details of the nature of the action(s)

Describe the results of the action(s)

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Provide the name(s) of the principal parties involved in the court action

Are there any other civil court actions to report?

- Yes  
 No

### Section 29, Association Record

The following pertain to your associations. You are required to answer the questions fully and truthfully, and your failure to do so could be grounds for an adverse employment or credentialing decision. For the purpose of this question, terrorism is defined as any criminal acts that involve violence or are dangerous to human life and appear to be intended to intimidate or coerce a civilian population to influence the policy of a government by intimidation or coercion, or to affect the conduct of a government by mass destruction, assassination or kidnapping. To the extent required by the Fifth Amendment to the United States Constitution, neither your truthful response nor information derived from your response to this question will be used as evidence against you in any subsequent criminal proceeding.

Have you ever been a member of an organization dedicated to terrorism, either with an awareness of the organization's dedication to that end, or with the specific intent to further such activities?

- Yes  
 No

If yes, answer the following:

Provide the full name of the organization.

Provide the address/location of the organization.

Street Address

City

State

Country

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Provide the dates of your involvement with the organization - From MM/YYYY To MM/YYYY

List all positions held in the organization, if any.

List all contributions made to the organization, if any.

Describe the nature of and reasons for your involvement with the organization.

Have you ever knowingly engaged in any acts of terrorism?

- Yes
- No

If yes, answer the following:

Provide the reasons for such activities.

Provide the dates for any such activities - MM/YYYY

Have you ever advocated any acts of terrorism or activities designed to overthrow the U.S. Government by force?

- Yes
- No

If yes, answer the following:

Provide the reasons for such activities.

Provide the dates of such activities - MM/YYYY

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Have you ever been a member of an organization dedicated to the use of violence or force to overthrow the United States Government, and which engaged in activities to that end, either with an awareness of the organization's dedication to that end or with the specific intent to further such activities?

- Yes  
 No

If yes, answer the following:  
Provide the full name of the organization.

Provide the address/location of the organization.  
Street Address

City

State

Country

Provide the dates of your involvement with the organization - From MM/YYYY To MM/YYYY

List all positions held in the organization, if any.

List all contributions made to the organization, if any.

Describe the nature of and reasons for your involvement with the organization.

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Have you ever been a member of an organization that advocates or practices commission of acts of force or violence to discourage others from exercising their rights under the U.S. Constitution or any state of the United States with the specific intent to further such action?

- Yes
- No

If yes, answer the following:

Provide the full name of the organization.

Provide the address/location of the organization.

Street Address

City

State

Country

Provide the dates of your involvement with the organization - From MM/YYYY To MM/YYYY

List all positions held in the organization, if any.

List all contributions made to the organization, if any.

Describe the nature of and reasons for your involvement with the organization.



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Have you ever knowingly engaged in activities designed to overthrow the U.S. Government by force?

- Yes
- No

If yes, answer the following:

Provide the reasons for such activities.

Provide the dates of such activities - MM/YYYY

### Continuation Space

**After completing this form and any attachments, you should review your answers to all questions to make sure the form is complete and accurate, and then sign and date the following certification and the attached release(s).**

### Certification

My statements on this form, and on any attachments to it, are true, complete, and correct to the best of my knowledge and belief and are made in good faith. I have carefully read the foregoing instructions to complete this form. I understand that a knowing and willful false statement on this form can be punished by fine or imprisonment or both (18 U.S.C. 1001). I understand that intentionally withholding, misrepresenting, or falsifying information may have a negative effect on my employment prospects or job status, up to and including my removal and debarment from Federal service.

### Signature

### Date (mm/dd/yyyy)

## Draft SF 86 for 30-day Federal Register Notice

### QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

#### UNITED STATES OF AMERICA AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

**I Authorize** any investigator, special agent, or other duly accredited representative of the authorized Federal agency conducting my background investigation, reinvestigation or continuous evaluation (as defined in Executive Order 12968 as amended by Executive Order 13467) to obtain any information relating to my activities from individuals, schools, residential management agents, employers, criminal justice agencies, credit bureaus, consumer reporting agencies, collection agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, criminal history record information, and financial and credit information. I authorize the Federal agency conducting my investigation to disclose the record of my background investigation to the requesting agency for the purpose of making a determination of suitability or eligibility for a national security position.

**I Authorize** the Social Security Administration (SSA) to verify my Social Security Number (to match my name, Social Security Number, and date of birth with information in SSA records and provide the results of the match) to the Office of Personnel Management (OPM) or other Federal agency requesting or conducting my investigation for the purposes outlined above. I authorize SSA to provide explanatory information to OPM, or to the other Federal agency requesting or conducting my investigation, in the event of a discrepancy.

**I Understand** that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, separate specific releases may be needed, and I may be contacted for such releases at a later date.

**I Authorize** any investigator, special agent, or other duly accredited representative of the OPM, the Federal Bureau of Investigation, the Department of Defense, the Department of State, and any other authorized Federal agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for assignment to, or retention in, a national security position, in accordance with 5 U.S.C. 9101. I understand that I may request a copy of such records as may be available to me under the law.

**I Authorize** custodians of records and other sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

**I Understand** that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 86, and that it may be disclosed by the Government only as authorized by law.

**I Authorize** the information to be used to conduct officially sanctioned and approved personnel security-related studies and analyses, which will be maintained in accordance with the Privacy Act.

Photocopies of this authorization that show my signature are valid. This authorization shall remain in effect so long as I remain employed in a sensitive position requiring eligibility for access to classified information.

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Signature ( <i>Sign in ink</i> )	Full name ( <i>Type or print legibly</i> )		Date signed ( <i>mm/dd/yyyy</i> )	
Other names used			Date of birth	Social Security Number
Current street address Apt. #	City ( <i>Country</i> )	State	ZIP Code	Home telephone number

# Draft SF 86 for 30-day Federal Register Notice

## QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

### UNITED STATES OF AMERICA

#### AUTHORIZATION FOR RELEASE OF MEDICAL INFORMATION PURSUANT TO THE HEALTH INSURANCE PORTABILITY AND ACCOUNTABILITY ACT (HIPAA)

If you answered "Yes" to Question 21, carefully read this authorization to release information about you, then sign and date it in ink.

#### Instructions for Completing this Release

This is a release for the investigator to ask your health practitioner(s) the questions below concerning your mental health consultations. Your signature will allow the practitioner(s) to answer only these questions.

#### Authorization

I am seeking assignment to or retention in a national security position. As part of the clearance process, I hereby authorize the investigator, special agent, or duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain the following information relating to my mental health consultations.

In accordance with HIPAA, I understand that I have the right to revoke this authorization at any time by writing to the U.S. Office of Personnel Management. I understand that I may revoke this authorization except to the extent that action has already been taken based on this authorization. Further, I understand that this authorization is voluntary. My treatment, payment, enrollment in a health plan, or eligibility for benefits will not be conditioned upon my authorization of this disclosure.

I understand the information disclosed pursuant to this release is for use by the Federal Government only for purposes provided in the Standard Form 86 and that it may be disclosed by the Government only as authorized by law, but will no longer be subject to the HIPAA privacy rule.

Photocopies of this authorization with my signature are valid. This authorization is valid for one (1) year from the date signed or upon termination of my affiliation with the Federal Government, whichever is sooner.

Signature ( <i>Sign in ink</i> )	Full name ( <i>Type or print legibly</i> )			Date signed ( <i>mm/dd/yyyy</i> )
Other names used				Social Security Number
Current street address Apt. #	City ( <i>Country</i> )	State	ZIP Code	Home telephone number

#### For Use By Practitioner(s) Only

Does the person under investigation have a condition that could impair his or her judgment, reliability, or ability to properly safeguard classified national security information?

YES  NO

If so, describe the nature of the condition and the extent and duration of the impairment or treatment.

What is the prognosis?

Dates of treatment?

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Signature ( <i>Sign in ink</i> )	Practitioner name	Date signed ( <i>mm/dd/yyyy</i> )

# Draft SF 86 for 30-day Federal Register Notice

## QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

### UNITED STATES OF AMERICA FAIR CREDIT REPORTING DISCLOSURE AND AUTHORIZATION

#### Disclosure

One or more reports from consumer reporting agencies may be obtained for employment purposes pursuant to the Fair Credit Reporting Act, codified at 15 U.S.C. § 1681 et seq.

#### Purpose

Information provided by you on this form will be furnished to the consumer reporting agency in order to obtain information in connection with a background investigation to determine your (1) fitness for Federal employment, (2) clearance to perform contractual service for the Federal government, and/or (3) eligibility for a sensitive position or access to classified information. The information obtained may be disclosed to other Federal agencies for the above purposes in fulfillment of official responsibilities to the extent that such disclosure is permitted by law. Information from the consumer report will not be used in violation of any applicable Federal or state equal employment opportunity law or regulation.

#### Authorization

I hereby authorize the U.S. Office of Personnel Management to obtain such reports from any consumer reporting agency for employment purposes described above.

Note: If you have a security freeze on your consumer or credit report file, then we may not be able to complete your investigation, which can adversely affect your eligibility for a national security position. To avoid such delays, you may want to consider requesting that the consumer reporting agencies lift the freeze in these instances.

Your Social Security Number (SSN) is needed to identify your unique records. Although disclosure of your SSN is not mandatory, failure to disclose your SSN may prevent or delay the processing of your background investigation. The authority for soliciting and verifying your SSN is Executive Order 9397.

Print name	Social Security Number
Signature ( <i>Sign in ink</i> )	Date ( <i>mm/dd/yyyy</i> )