

# Items Booklet for

Specification:  
Section: Retirement TM

Mark One Only

**PR1\_PR090**

Was [fill HISHER] primary source of work related income during the last 4 months from [fill HISHER] job or from [fill HISHER] business?

- (1) Job
- (2) Business

@

Mark One Only

**PR3\_PR110**

I just need to verify some information. Thinking about the location where [fill HESHE] [fill TEMP1], about how many people are employed there by [fill JBNAME]?

- (1) less than 10
- (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249
- (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

@

Mark One Only

**PR4\_PR120**

About how many people are employed by [fill JBNAME] at all locations?

- (1) less than 10
- (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249
- (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

@

Mark One Only

**PR4A\_PR121**

I just need to verify some information. About how many people are employed by [fill JBNAME]?

- (1) less than 10
- (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249
- (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

@

Enter Number

**PR5\_PR130**

How many weeks during the year [fill DODOES] [fill HESHE] usually work at [fill JBNAME]? Include paid vacation and sick leave as work time.

@ Weeks

Multiple Entry

**PR6\_PR140**

How long [fill HAVHAS] [fill HESHE] been working for [fill JBNAME]?

@1 Number

ENTER "1" FOR MONTHS OR "2" FOR YEARS

- (1) Months
- (2) Years

@2

Mark One Only

**PR7\_PR150**

Now I'd like to ask about retirement plans offered on this job, not Social Security, but plans that are sponsored by [fill HISHER] [fill JOBUSA]. This includes regular pension plans as well as other kinds of retirement plans like thrift and savings plans, 401(k) or 403(b) plans, and deferred profit-sharing and stock plans.

Does [fill HISHER] [fill JOBUSA] have any kind of pension or retirement plans for anyone in [fill HISHER] company or organization?

- (1) Yes
- (2) No

@

Mark One Only

**PR8\_PR160**

[fill C\_AREIS] [fill HESHE] included in such a plan?

- (1) Yes
- (2) No

@

## Multiple Entry

**PR9\_PR170**

Why [fill AREIS] [fill HESHE] not included?

ENTER ALL THAT APPLY  
ENTER "N" AFTER LAST ENTRY

[fill PR9\_1:b] (01) No one in my type of job [fill PR9\_8:b] (08) Employer doesn't contribute,  
is allowed in the plan or contribute enough  
[fill PR9\_2:b] (02) Don't work enough hours, [fill PR9\_9:b] (09) Don't plan to be in job long enough  
weeks or months per year [fill PR9\_10:b] (10) Don't need it  
[fill PR9\_3:b] (03) Haven't worked long enough [fill PR9\_11:b] (11) Have an IRA or other pension plan  
for this employer coverage  
[fill PR9\_4:b] (04) Started job too close to [fill PR9\_12:b] (12) Spouse has pension plan  
retirement date [fill PR9\_13:b] (13) Haven't thought about it  
[fill PR9\_5:b] (05) Too young [fill PR9\_14:b] (14) Some other reason  
[fill PR9\_6:b] (06) Can't afford to contribute  
[fill PR9\_7:b] (07) Don't want to tie up money

@1

## Enter Text

**PR9\_ERR**

"Don't Know and/or Refused" response not permitted with other answers  
Enter (B) to backup

@

## Mark One Only

**PR10\_PR180**

Is the plan something like a 401(k) plan, where workers contribute  
to the plan and their contributions are tax deferred?

- (1) Yes
- (2) No

@

## Enter Number

**PR11\_PR190**

Some workers participate in more than one retirement plan. For  
example, they might have a regular pension plan and also have  
some kind of retirement savings plan.

How many different pension or retirement plans [fill DODOES]  
[fill HESHE] have on this [fill JOBUS]?

@ Number of plans

Mark One Only

**PR12\_PR200**

SHOW FLASHCARD W  
[if PR11\_PR190 gt <1> or PR11\_PR190 eq <D> or PR11\_PR190 eq <R>]  
The following question is about the plan [fill HESHE] would consider  
to be [fill HISHER] most important retirement plan on this job.[endif]  
There are several types of retirement plans.

In the first type, [fill HISHER] benefit is defined by a formula  
usually involving [fill HISHER] earnings and years on the job.

In the second type of plan, contributions made by [fill HIMHER] and/or  
[fill HISHER] employer go into an individual account for [fill HIMHER].

The third type of plan shares some characteristics with the above two  
plans. In this type of plan, [fill HISHER] employer contributes a value  
equal to a percent of each of [fill HISHER] earnings each year and there  
is a rate of return on that contribution. This type of plan is sometimes  
called a cash balance plan.

Which type of plan [fill AREIS] [fill HESHE] in?

[r]H[n]

- (1) Plan based on earnings and years on the job
- (2) Individual account plan
- (3) Cash Balance Plan

@

Mark One Only

**PR13\_PR210**

What is [fill HISHER] second most important plan on this job?  
(SHOW FLASHCARD W)

[r]H[n]

- (1) Plan based on earnings and years on the job
- (2) Individual account plan
- (3) Cash Balance Plan

@

Mark One Only

**PR14\_PR220**

The following series of questions refer to [fill HISHER] [fill IMPORTANT]  
plan.

[fill C\_DODOES] [fill HESHE] contribute any money to this plan,  
for example, through payroll deductions?

- (1) Yes
- (2) No

@

Mark One Only

**PR14A\_PR220A**

In some plans like 401(k) plans the money [fill HESHE] [fill TEMP1]  
is tax-deferred. Are [fill HISHER] contributions to this plan  
tax-deferred?

- (1) Yes
- (2) No

@

Mark One Only

**PR14B\_PR220B**

[If PR14\_PR220 eq <>]  
The following series of questions refer to [fill HISHER] [fill IMPORTANT]  
plan.  
[endif]

If [fill HESHE] [fill WASWERE] to leave [fill HISHER] [fill JOBUSB] now  
or within the next few months, could [fill HESHE] eventually receive  
some benefits from this plan when [fill HESHE] [fill TEMP1] retirement age?

- (1) Yes
- (2) No

@

Mark One Only

**PR14C\_PR220C**

If [fill HESHE] left [fill HISHER] [fill JOBUSB] now, could [fill HESHE]  
get a lump-sum payment from this plan when [fill HESHE] left?

- (1) Yes
- (2) No

@

Enter Number

**PR15\_PR230**

How many years [fill HAVHAS] [fill HESHE] been included in this plan?

@ Years

Mark One Only

**PR16\_PR231**

Will [fill HISHER] benefits from this plan be either increased or  
decreased because [fill HESHE] [fill TEMP1] in the Social Security  
program?

- (1) Yes
- (2) No
- (3) Do not participate in Social Security

@

Enter Number

**PR17\_PR232**

How much has [fill HISHER] [fill JOBUSB] contributed to [fill HISHER]  
plan within the last year?

\$@

Enter Number

**PR18\_PR233**

As of the end of [fill MONTH4], what was the total amount of money in  
[fill HISHER] account?

\$@

Mark One Only

**PR19\_PR234**

What is [fill HISHER] best estimate of the amount in [fill HISHER] account?

READ ALL CATEGORIES:

- (1) Less than \$5,000
- (2) \$5,000 to \$10,000
- (3) \$10,001 to \$25,000
- (4) \$25,001 to \$50,000
- (5) \$50,001 to \$75,000
- (6) \$75,001 or more

@

Mark One Only

**PR20\_PR240**

The following series of questions refer to [fill HISHER] second most important pension plan.

[fill C\_DODOES] [fill HESHE] contribute any money to this plan, for example, through payroll deductions?

- (1) Yes
- (2) No

@

Mark One Only

**PR20A\_PR240A**

In some plans like 401(k) plans the money [fill HESHE] [fill TEMP1] is tax-deferred. Are [fill HISHER] contributions to this plan tax-deferred?

- (1) Yes
- (2) No

@

Mark One Only

**PR20B\_PR240B**

[If PR20\_PR240 eq <>]  
The following series of questions refer to [fill HISHER] second most important pension plan.  
[endif]

If [fill HESHE] [fill WASWERE] to leave [fill HISHER] [fill JOBUSB] now or within the next few months, could [fill HESHE] eventually receive some benefits from this plan when [fill HESHE] [fill TEMP1] retirement age?

- (1) Yes
- (2) No

@

Mark One Only

**PR20C\_PR240C**

If [fill HESHE] left [fill HISHER] [fill JOBUSB] now, could [fill HESHE] get a lump-sum payment from this plan when [fill HESHE] left?

- (1) Yes
- (2) No

@

Enter Number

**PR21\_PR250**

How many years [fill HAVHAS] [fill HESHE] been included in this plan?  
@ Years

Mark One Only

**PR22\_PR251**

Will [fill HISHER] benefits from this plan be either increased or decreased because [fill HESHE] [fill TEMP1] in the Social Security program?  
(1) Yes  
(2) No  
(3) Do not participate in Social Security  
@

Enter Number

**PR23\_PR252**

How much has [fill HISHER] [fill JOBUSB] contributed to [fill HISHER] plan within the last year?  
\$@

Enter Number

**PR24\_PR253**

As of the end of [fill MONTH4], what was the total amount of money in [fill HISHER] account?  
\$@

Mark One Only

**PR25\_PR254**

What is [fill HISHER] best estimate of the amount in [fill HISHER] account?  
READ ALL CATEGORIES:  
(1) Less than \$5,000  
(2) \$5,000 to \$10,000  
(3) \$10,001 to \$25,000  
(4) \$25,001 to \$50,000  
(5) \$50,001 to \$75,000  
(6) \$75,001 or more  
@

Mark One Only

**PR26\_PR260**

I'd like to make sure about a particular type of retirement plan that allows workers to make tax deferred contributions. For example, [fill HESHE] might choose to have [fill HISHER] employer put part of [fill HISHER] salary into a retirement savings account and [fill HESHE] [fill DODOES] not have to pay taxes on this money until [fill HESHE] [fill TEMP1]. These plans are called by different names, including 401(k) plans, pre-tax plans, salary reduction plans and 403(b) plans.

Does [fill HISHER] [fill JOBUSB] offer a plan like this to anyone in [fill HISHER] company or organization?

- (1) Yes  
(2) No  
@

Mark One Only

**PR27\_PR270**

[fill C\_AREIS] [fill HESHE] participating in this plan?

- (1) Yes
- (2) No

@

Multiple Entry

**PR28\_PR280**

Why [fill AREIS] [fill HESHE] not included?

ENTER ALL THAT APPLY  
ENTER "N" AFTER LAST ENTRY.

[fill PR28\_1:b] (01) No one in my type of job is allowed in the plan [fill PR28\_8:b] (08) Employer doesn't contribute, or contribute enough  
[fill PR28\_2:b] (02) Don't work enough hours, enough [fill PR28\_9:b] (09) Don't plan to be in job long enough  
weeks or months per year [fill PR28\_10:b] (10) Don't need it  
[fill PR28\_3:b] (03) Haven't worked long enough plan [fill PR28\_11:b] (11) Have an IRA or other pension plan  
for this employer coverage  
[fill PR28\_4:b] (04) Started job too close to retirement date [fill PR28\_12:b] (12) Spouse has pension plan  
[fill PR28\_5:b] (05) Too young [fill PR28\_13:b] (13) Haven't thought about it  
[fill PR28\_6:b] (06) Can't afford to contribute [fill PR28\_14:b] (14) Some other reason  
[fill PR28\_7:b] (07) Don't want to tie up money

@1

Enter Text

**PR28\_ERR**

"Don't Know and/or Refused" response not permitted with other answers  
Enter (B) to backup

@

Mark One Only

**PR28A\_PR281**

Does [fill HISHER] employer provide a matching contribution, or contribute to the plan in any other way?

- (1) Yes
- (2) No

@

Mark One Only

**PR29\_PR290**

[fill C\_DODOES] [fill HESHE] expect to start participating in this plan within the next few years?

- (1) Yes
- (2) No

@



## Multiple Entry

PR30\_PR300

[if PR14A\_PR220A eq <1> and PR20A\_PR240A eq <1>]  
Referring to [fill HISHER] most important plan, [endif]  
How much [fill DODOES] [fill HESHE] contribute toward this plan?

ENTER (N) IF RESPONDENT MAKES NO CONTRIBUTIONS.

\$ @1

- Per: (1) Week  
(2) Biweekly  
(3) Month  
(4) Quarter  
(5) Year

@2

OR

@3 Percent of Salary

## Mark One Only

PR31\_PR310

Does [fill HISHER] [fill JOBUSB] make contributions  
into this plan?

- (1) Yes  
(2) No

@

## Mark One Only

PR32\_PR320

Does the amount that [fill HISHER] [fill JOBUSB] contributes to the plan  
depend entirely, partly, or not at all on the amount [fill HESHE]  
[fill TEMP1]?

- (1) Depends entirely  
(2) Depends partly  
(3) Not at all

@

## Multiple Entry

PR33\_PR330

How much does [fill HISHER] [fill JOBUSB] actually contribute to the plan?

\$ @1

- Per: (1) Week  
(2) Biweekly  
(3) Month  
(4) Quarter  
(5) Year

@1A

OR

@2 Percent of Salary

OR

- (6) Contributions out of profits  
(7) Contribution varies

@3

Mark One Only

**PR34\_PR340**

[fill C\_AREIS] [fill HESHE] able to choose how any of the money in the plan is invested?

- (1) Yes
- (2) No

@

Mark One Only

**PR35\_PR350**

[fill C\_AREIS] [fill HESHE] able to choose how all of the money is invested, or just part of it?

- (1) All of the money
- (2) Part of the money

@

Multiple Entry

**PR36\_PR360**

How are the current contributions to this account being invested?

READ ALL CATEGORIES. ENTER ALL THAT APPLY.  
ENTER "N" AFTER LAST ENTRY

- [fill PR36\_1:b] (1) Company stock of [fill HISHER] employer
- [fill PR36\_2:b] (2) Stock funds
- [fill PR36\_3:b] (3) Corporate bonds or bond funds
- [fill PR36\_4:b] (4) Long term interest bearing securities
- [fill PR36\_5:b] (5) Diversified stock and bond funds
- [fill PR36\_6:b] (6) Government securities
- [fill PR36\_7:b] (7) Money market funds
- [fill PR36\_8:b] (8) Other investments

@1

Enter Text

**PR36\_ERR**

"Don't Know and/or Refused" response not permitted with other answers  
Enter (B) to backup

@

Mark One Only

**PR37\_PR370**

Of the types of investments just mentioned, which type is where the largest share of current contributions are being invested?

- (1) Employer company stock
- (2) Stock funds
- (3) Corporate bonds or bond funds
- (4) Long term interest bearing securities
- (5) Diversified stock and bond funds
- (6) Government securities
- (7) Money market funds
- (8) Other investments
- (9) Evenly split between types reported

@

Enter Number

**PR38\_PR380**

As of the end of [fill MONTH4], what was the total amount of money in [fill HISHER] account?

\$@

Mark One Only

**PR39\_PR390**

What is [fill HISHER] best estimate of the amount in [fill HISHER] account?

READ ALL CATEGORIES.

- (1) Less than \$5,000
- (2) \$5,000 to \$10,000
- (3) \$10,001 to \$25,000
- (4) \$25,001 to \$50,000
- (5) \$50,001 to \$75,000
- (6) \$75,001 or more

@

Mark One Only

**PR40\_PR391**

[fill C\_HAVHAS] [fill HESHE] ever taken out any money from [fill HISHER] plan in the form of a loan?

- (1) Yes
- (2) No

@

Mark One Only

**PR41\_PR392**

Does [fill HISHER] plan permit [fill HIMHER] to take out a loan?

- (1) Yes
- (2) No

@

Enter Number

**PR42\_PR393**

What is the current outstanding balance due from that loan?

\$@

Mark One Only

**PR43\_PR394**

What is [fill HISHER] best estimate of the amount of the loan?

READ ALL CATEGORIES.

- (1) Less than \$2,500
- (2) \$2,500 to \$5,000
- (3) \$5,001 to \$10,000
- (4) \$10,001 to \$25,000
- (5) \$25,001 to \$50,000
- (6) \$50,001 or more

@

Mark One Only

**PR44\_PR400**

[fill C\_AREIS] [fill HESHE] participating in any pension or retirement plans offered on any other jobs or businesses [fill HESHE] currently [fill HAVHAS]?

- (1) Yes
- (2) No

@

Mark One Only

**PR45\_PR410**

[if RECENT5 lt <1>]  
The next questions are about pension or retirement plans offered by employers or unions. This includes regular pension plans as well as other kinds of retirement plans, like thrift and savings plans, 401(K) or 403(b) plans and deferred profit-sharing and stockplans. Excluding Social Security [else]  
Other than Social Security or the plans we have already talked about [endif]  
[fill HAVHAS] [fill HESHE] ever been covered by a pension or retirement plan on any previous jobs or businesses?

- (1) Yes
- (2) No

@

Mark One Only

**PR46\_PR420**

Are there any previous plans from which [fill HESHE] [fill HAVHAS] not yet received any benefits, but expect to receive them in the future?

- (1) Yes
- (2) No

@

Enter Number

**PR47\_PR430**

How many years did [fill HESHE] work on the job from which [fill HESHE] [fill TEMP1] to receive this pension?

@ Years

Enter Number

**PR47A\_PR431**

In what year did [fill HESHE] leave that job?

@ Years

Mark One Only

**PR48\_PR440**

Will the amount of [fill HISHER] retirement benefits from that plan be determined by a formula such as one based on [fill HISHER] earnings and years of service or will [fill HISHER] benefits be based on the total amount of money held in an individual account for [fill HIMHER]?

- (1) Based on a formula
- (2) Based on the amount of money in account

@

Enter Number

**PR49\_PR450**

As of the end of [fill MONTH4], what was the total amount of money in [fill HISHER] account?

\$@

Mark One Only

**PR50\_PR460**

What is [fill HISHER] best estimate of the amount of money in [fill HISHER] account?

READ ALL CATEGORIES.

- (1) Less than \$5,000
- (2) \$5,000 to \$10,000
- (3) \$10,001 to \$25,000
- (4) \$25,001 to \$50,000
- (5) \$50,001 to \$75,000
- (6) \$75,001 or more

@

Mark One Only

**PR51\_PR461**

Could [fill HESHE] withdraw this money now, or will [fill HESHE] have to wait until retirement age to get the money?

- (1) Could withdraw money now
- (2) Must wait until retirement

@

Mark One Only

**PR52\_PR470**

[fill C\_HAVHAS] [fill HESHE] ever received a lump-sum payment from a pension or retirement plan from a previous job, including any lump sums that may have been directly rolled over to another plan or to an IRA?

- (1) Yes
- (2) No

@

Mark One Only

**PR52A\_PR471**

Why did [fill HESHE] leave that job?

- (1) Laid off
- (2) Retired or old age
- (3) Child care problems
- (4) Other family obligations
- (5) Own illness
- (6) Own injury
- (7) School/training
- (8) Discharged/fired
- (9) Employer bankrupt
- (10) Employer sold business
- (11) Job temporary and ended
- (12) Quit to take another job
- (13) Slack work/business conditions
- (14) Unsatisfactory work arrangements

@

Mark One Only

**PR53\_PR480**

[fill C\_HAVHAS] [fill HESHE] ever received survivor benefits in the form of a lump-sum payment from someone else's pension or retirement plan?

- (1) Yes
  - (2) No
- @

Enter Number

**PR54\_PR490**

Over the years, how many of these lump sum distributions, including rollovers, [fill HAVHAS] [fill HESHE] received?

@ Number

Enter Number

**PR55\_PR500**

[if PR54\_PR490 gt <1> or PR54\_PR490 eq <R> or PR54\_PR490 eq <D>]  
Please answer the following questions about [fill HISHER] most recent lump sum or rollover.

[endif]  
In what year did [fill HESHE] receive this lump sum or rollover?

@ Year

Mark One Only

**PR56\_PR510**

Did [fill HESHE] also receive any lump sum payments in 2011?

- (1) Yes
  - (2) No
- @

Mark One Only

**PR57\_PR520**

[if PR56\_PR510 eq <1>]  
Was the lump sum [fill HESHE] received in 2011  
[else]  
[if PR56\_PR510 eq <2>]  
Was the lump sum [fill HESHE] received in 2012  
[else]  
Was the lump sum  
[endif] [endif]  
from a private employer or union plan,  
from the military, from other Federal employee plans, or from a  
State or Local government plan?

- (1) Private employer or union plan
  - (2) Military plan
  - (3) Other federal plans
  - (4) State or local government
  - (5) Other
- @

Mark One Only

**PR58\_PR521**

Did [fill HESHE] withdraw the money voluntarily, or did the plan require [fill HIMHER] to withdraw it?

- (1) Voluntarily
  - (2) Required to withdraw
- @

Enter Number

**PR59\_PR530**

What was the total amount of the lump sum or rollover?

\$@

Mark One Only

**PR60\_PR540**

What is [fill HISHER] best estimate of the lump sum or rollover amount?

READ ALL CATEGORIES.

- (1) Less than \$5,000
- (2) \$5,000 to \$10,000
- (3) \$10,001 to \$25,000
- (4) \$25,001 to \$50,000
- (5) \$50,001 to \$75,000
- (6) \$75,001 or more

@

Mark One Only

**PR61\_PR550**

Did [fill HESHE] actually receive the money, or was it directly rolled over into another plan or to an IRA?

- (1) Actually received
- (2) Directly rolled over

@

Mark One Only

**PR62\_PR560**

After receiving the lump sum payment, did [fill HESHE] then roll any of the money over into another retirement plan or into an IRA?

- (1) Yes
- (2) No

@

Mark One Only

**PR63\_PR570**

Did [fill HESHE] roll it over into another plan on [fill HISHER] job, an individual annuity, an IRA, or some other type of plan?

- (1) Plan on job
- (2) Individual annuity
- (3) IRA
- (4) Other

@

Mark One Only

**PR64\_PR571**

Did [fill HESHE] roll over the entire amount or just part of it?

- (1) Entire amount
- (2) Partial amount

@

Multiple Entry

PR65\_PR580

People who receive lump sums may spend or invest the money in many different ways. How did [fill HESHE] use the money from the lump sum [fill HESHE] received?  
ENTER ALL THAT APPLY. ENTER "N" AFTER LAST ENTRY.

[fill PR65\_1:b](01) Invested in an IRA, annuity, [fill PR65\_8:b](08) Bought a car, boat, furniture, or other retirement program or other consumer items

[fill PR65\_2:b](02) Put it into a savings account or CDs [fill PR65\_9:b](09) Vacation, travel, or recreation

[fill PR65\_3:b](03) Invested in other financial instruments (stocks, mutual funds, bonds, money market funds) [fill PR65\_10:b](10) Paid expenses while laid off [fill PR65\_11:b](11) Moving or relocation expenses

[fill PR65\_4:b](04) Invested in land, other real properties [fill PR65\_12:b](12) Medical or dental expenses [fill PR65\_13:b](13) Paid or saved for education

[fill PR65\_5:b](05) Invested in own or family business or farm [fill PR65\_14:b](14) General or everyday expenses [fill PR65\_15:b](15) Gave to family members or charities

[fill PR65\_6:b](06) Used for housing (purchase, paid off mortgage, home improvements/repairs) [fill PR65\_16:b](16) Paid taxes [fill PR65\_17:b](17) Saved for retirement expenses

[fill PR65\_7:b](07) Paid bills, loans, or other debts [fill PR65\_18:b](18) Saved or invested in other ways [fill PR65\_19:b](19) Spent in other ways

@1

Enter Text

PR65\_ERR

"Don't Know and/or Refused" response not permitted with other answers  
Enter (B) to backup

@

Multiple Entry

PR66\_PR600

Earlier [fill HESHE] said [fill HESHE] received some pension or retirement income other than Social Security during the period from [fill MONTH1] through [fill MONTH4]. Will [fill HESHE] continue to receive these benefits for the rest of [fill HISHER] life, or will it be just a limited number of payments, or was it just a single lump sum payment?

ENTER ALL THAT APPLY.  
ENTER "N" AFTER LAST ENTRY.

[fill PR66\_1:b](1) Rest of life  
[fill PR66\_2:b](2) Limited number of payments  
[fill PR66\_3:b](3) Lump-sum payment

@1

Enter Text

PR66\_ERR

"Don't Know and/or Refused" response not permitted with other answers  
Enter (B) to backup

@

Mark One Only

PR67\_PR610

Did [fill HESHE] receive this income from more than one pension plan?

(1) Yes  
(2) No

@

Enter Number

PR68\_PR620

How many different plans did [fill HESHE] receive this income from?

@



Mark One Only

**PR69\_PR640**

[if PR67\_PR610 eq <1> and PR66\_A(<1>) eq <X>]  
The following questions refer to the pension or retirement plan that pays the largest amount of lifetime benefits.  
[else]  
[if PR66\_A(<2>) eq <X>]  
The following questions refer to the benefits [fill HESHE] [fill AREIS] receiving in a limited number of payments.  
[else]  
[if PR66\_A(<3>) eq <X>]  
The following questions refer to the benefits [fill HESHE] received as a lump-sum payment.  
[endif] [endif] [endif]  
Does this pension benefit come from a job or business that [fill HESHE] held in the past, or does it come from a job or business held by [fill HESHE] former spouse?

- (1) Respondent's job
- (2) Respondent's former spouse's job
- (3) Other

@

Enter Number

**PR70\_PR650**

In what year did [fill HESHE] begin receiving this pension?

@ Year

Mark One Only

**PR71\_PR660**

Was the amount of this pension payment based on years of service and pay, or on the amount of money held in an individual account for [fill HIMHER]?

- (1) Years of service and pay
- (2) Amount in individual account

@

Mark One Only

**PR72\_PR670**

Were reduced benefits taken in order to elect a survivor's option?

- (1) Yes
- (2) No
- (3) No survivor's option offered

@

Mark One Only

**PR73\_PR680**

Has the amount of [fill HESHE] pension ever increased for any reason?

- (1) Yes
- (2) No

@

Mark One Only

**PR74\_PR690**

Does [fill HESHE] pension plan provide for automatic cost-of-living adjustments known as COLA's?

- (1) Yes
- (2) No

@

Mark One Only

**PR75\_PR700**

Did the amount of [fill HISHHER] pension payment ever decrease for any reason?

- (1) Yes
  - (2) No
- @

Enter Number

**PR76\_PR710**

How much did [fill HESHE] receive from this plan each month when [fill HESHE] first began receiving the pension payment?

\$@

Enter Number

**PR77\_PR720**

How much [fill DODOES] [fill HESHE] currently receive EACH MONTH from this plan?

\$@

Mark One Only

**PR78\_PR730**

Now I have some questions about [fill HISHHER] most recent lump sum payment. Did this payment come from a job or business [fill HESHE] held in the past, or did it come from a job or business held by [fill HISHHER] former spouse?

- (1) Respondent's former job
  - (2) Respondent's former spouse's job
  - (3) Other
- @

Mark One Only

**PR79\_PR740**

[fill C\_HAVHAS] [fill HESHE] ever retired from a job or business?

- (1) Yes
  - (2) No
- @

Mark One Only

**PR80\_PR750**

[fill C\_HAVHAS] [fill HESHE] ever worked for pay as much as five years or more?

- (1) Yes
  - (2) No
- @

Mark One Only

**PR81\_PR751**

```
[if PR79_PR740 eq <1>]
Did [fill HESHE] retire from a job or from a business?
[else]
[if PR80_PR750 eq <1>]
Was [fill HESHER] longest employment on a job or in a business?
[else]
Did this pension benefit come from a job or from a business?
[endif] [endif]

(1) Job
(2) Business
@
```

Mark One Only

**PR82\_PR760**

```
[if PR66_A(<1>) ne <> or PR66_A(<2>) ne <> or PR66_A(<3>) ne <>]
The next questions are about the job from which [fill HESHE] received this
pension or retirement income.
[else]
[if PR78_PR730 ne <>]
The next questions are about the job from which [fill HESHE] received this
most recent lump-sum payment.
[else]
[if PR79_PR740 eq <1>]
The next questions are about the job from which [fill HESHE] retired.
[else]
[if PR80_PR750 eq <1>]
The next questions are about the job on which [fill HESHE] worked the
longest.
[endif] [endif] [endif] [endif]

What type of organization was that?

(1) A Government organization (including Armed Forces)
(2) A Private for profit Company
(3) A non-profit organization including tax-exempt and
charitable organizations
(4) A family business or farm?
@
```

Mark One Only

**PR83\_PR770**

```
Was that Federal Government, State Government, Local Government,
or active duty Armed Forces?

(1) Federal Government (civilian)
(2) State Government
(3) Local Government (county, city, township)
(4) Active duty Armed Forces
@
```

Enter Text

**PR84\_PR780**

```
What was the main function or activity of the government
organization that [fill HESHE] worked for ?
@
```

Mark One Only

**PR85\_PR781**

Did [fill HESHE] work as a paid or unpaid employee for the family business or farm?

- (1) For pay
- (2) Unpaid worker

@

Enter Text

**PR86\_PR790**

What kind of business or industry was that?

READ IF NECESSARY:

What did they make or do where [fill HESHE] worked?

@

Mark One Only

**PR87\_PR810**

Was it mainly?

- (1) Manufacturing
- (2) Wholesale Trade
- (3) Retail Trade
- (4) Service
- (5) Some other kind of business?

@

Enter Text

**PR88\_PR820**

What kind of work [fill WASWERE] [fill HESHE] doing on that job, that is, what was [fill HISHER] occupation?

For example: Bookkeeper, Plumber, Press operator

@

Enter Text

**PR89\_PR830**

What were [fill HISHER] usual activities or responsibilities on that job?

For example: Keeping account books, repairing pipes, operating printing presses

@

Mark One Only

**PR90\_PR840**

Did [fill HISHER] employer operate in more than one location?

- (1) Yes
- (2) No

@

Mark One Only

**PR91\_PR850**

How many people were employed at the location where [fill HESHE] worked?

- (1) less than 10
- (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249
- (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

@

Mark One Only

**PR92\_PR860**

```
[if PR90_PR840 eq <1> and PR91_PR850 ne <8>]
About how many people were employed by that employer
at all locations?
[else]
[if PR90_PR840 eq <2> or PR90_PR840 eq <R> or PR90_PR840 eq <D>]
About how many people were employed by that employer?
[endif] [endif]
```

- (1) less than 10
- (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249
- (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

@

Mark One Only

**PR93\_PR870**

When [fill HESHE] worked for that employer, [fill WASWERE] [fill HESHE] covered under a union or employee association contract?

- (1) Yes
- (2) No

@

Enter Number

**PR94\_PR880**

How many hours per week did [fill HESHE] usually work at that job?

@ Hours

Enter Number

**PR95\_PR890**How many weeks during the year did [fill HESHE] usually work at that job?  
Include paid vacation and sick leave as work time.

@ WEEKS

Enter Number

**PR96\_PR900**

How many years did [fill HESHE] work at that job?

@ Years

Enter Number

PR97\_PR910

In what year did [fill HESHE] leave that job?  
@ Year

Multiple Entry

PR98\_PR920

When [fill HESHE] left that job, how much [fill WASWERE] [fill HESHE]  
earning before deductions for taxes, etc?  
\$ @1  
Per: (1) Week  
(2) Biweekly  
(3) Month  
(4) Year  
@2

Mark One Only

PR99\_PR940

[fill C\_AREIS] [fill HESHE] now covered by a health plan provided  
through [fill HISHER] former employer?  
(1) Yes  
(2) No  
@

Enter Text

PR100\_PR950

[if PR66\_A(<1>) ne <> or PR66\_A(<2>) ne <> or PR66\_A(<3>) ne <>]  
The next questions are about the business from which [fill HESHE] received  
this pension or retirement income.  
[else]  
[if PR78\_PR730 ne <>]  
The next questions are about the business from which [fill HESHE] received  
this most recent lump-sum payment.  
[else]  
[if PR79\_PR740 eq <1>]  
The next questions are about the business from which [fill HESHE] retired.  
[else]  
[if PR80\_PR750 eq <1>]  
The next questions are about the business which [fill HESHE] operated for  
the longest time.  
[endif] [endif] [endif] [endif]  
What kind of business was that?  
  
READ IF NECESSARY: What did the business do or make?  
@

Mark One Only

PR101\_PR951

Was this business mainly...  
(1) Manufacturing  
(2) Wholesale Trade  
(3) Retail Trade  
(4) Service  
(5) Some other kind of business?  
@

Enter Text

**PR102\_PR952**

What kind of work [fill WASWERE] [fill HESHE] doing at that business, that is, what was [fill HISHER] occupation?

For example: Sales manager, dentist, farmer

@

Enter Text

**PR103\_PR953**

What were [fill HISHER] usual activities or responsibilities at that business?

For example: Managing sales, repairing teeth, farming

@

Mark One Only

**PR104\_PR954**

What was the maximum number of people [fill HESHE] employed, including [fill SELF], who worked at this business at any one time?

- (1) less than 10
- (2) 10 to 24
- (3) 25 to 49
- (4) 50 to 99
- (5) 100 to 249
- (6) 250 to 499
- (7) 500 to 999
- (8) 1,000 or more

@

Mark One Only

**PR105\_PR955**

Was this business incorporated?

- (1) Yes
- (2) No

@

Enter Number

**PR106\_PR956**

How many hours per week did [fill HESHE] usually work at that business?

@ Hours

Enter Number

**PR107\_PR957**

How many weeks during the year did [fill HESHE] usually work at that business? Include paid vacation and sick leave as work time.

@ WEEKS

Enter Number

**PR108\_PR958**

How many years did [fill HESHE] work at that business?

@ Years

Enter Number

**PR109\_PR959**

In what year did [fill HESHE] leave that business?  
@ Year

Multiple Entry

**PR110\_PR960**

When [fill HESHE] left that business, how much [fill WASWERE] [fill HESHE]  
earning before deductions for taxes, etc?

\$ @1

- Per: (1) Week  
(2) Biweekly  
(3) Month  
(4) Year  
@2

Mark One Only

**PR111\_PR970**

[fill C\_AREIS] [fill HESHE] now covered by a health plan provided  
through [fill HISHER] former business?

- (1) Yes  
(2) No  
@

Mark One Only

**PR112\_PR980**

Compared to the standard of living [fill HESHE] had in [fill HISHER]  
early fifties, would [fill HESHE] say that [fill HISHER] current  
standard of living is...

READ ALL CATEGORIES.

- (1) Much better  
(2) Somewhat better  
(3) About the same  
(4) Somewhat worse  
(5) Much worse  
@